

### Identifying global seismic anisotropy patterns by correlating shear-wave splitting and surface wave data

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1	Identifying global seismic anisotropy patterns by correlating
2	shear-wave splitting and surface wave data
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7	
8	Abstract
9	We compare a global compilation of shear-wave splitting measurements with azimuthal seismic
10	anisotropy parameters inferred from surface wave tomography. The currently available splitting
11	dataset is taken from a novel comprehensive collection of available publications that is updated
12	interactively online. The comparison between the two types of data is made by calculating
13	predicted splitting parameters from the anisotropic tomography model. Comparing these
14	predicted splitting parameters with the observed ones, we find a considerable correlation
15	between the two datasets at global scale. This result is noteworthy, since such correlation did not
16	seem to exist in previous studies. The spatial resolution associated with the two types of
17	methods is rather different. While surface waves have good vertical resolution and poor lateral
18	resolution of several hundreds of kilometers, SKS splitting measurements have good lateral, but
19	poor vertical resolution. The correlation can be understood in light of recent propositions that
20	anisotropy seen by SKS splitting constrains mostly the upper mantle, and therefore a similar
21	depth region as surface waves. The correlation also confirms the generally good quality of the
22	shear-wave measurements, as well as that of the anisotropic tomography model.

#### 23 1. Introduction

24 Seismic waves are arguably the most powerful geophysical tools to investigate the Earth's deep 25 interior. Anisotropy is one of the many material properties that affect seismic wave propagation. It 26 manifests itself as a dependence of wave speed on direction. Such anisotropy can be caused by

27 structural variability, e.g. thin layers of alternating elastic properties (Backus, 1962) or fluid-filled 28 cracks (Crampin, 1984; Kendall et al., 2006). A second origin which is widely accepted to 29 dominate at mantle depths is the preferred orientation of anisotropic minerals by strain (e.g., 30 Nicolas and Christensen, 1987). Olivine plays a major role in the anisotropy of the Earth's mantle 31 because it represents the primary constituent of the upper mantle and is more anisotropic than the 32 other minerals. At upper mantle conditions olivine deforms by dislocation-creep (Nicolas and 33 Christensen, 1987), and therefore aligns its main crystallographic axes with respect to structural 34 directions (lineation, pole of the foliation), therefore producing a large-scale anisotropy detectable 35 by seismic waves that is correlated with the geometry of strain in the upper mantle. Identifying the 36 orientation of seismic anisotropy and its strength can thus help to constrain deformation at depth. 37 Comparing seismic anisotropy with other observables such as gravitation and topography (Simons 38 and van der Hilst, 2003) or the magnetic anomalies (Bokelmann and Wüstefeld, 2009; Wüstefeld 39 et al., 2009) is helpful for understanding tectonic processes acting within the Earth, both, ancient 40 ones recorded within the lithosphere, and more recent ones in the asthenosphere.

Hess (1964) was the first to measure azimuthal anisotropy from Pn travel-time variations beneath 41 42 the northern Pacific and to interpret these variations in terms of mantle flow induced by sea-floor 43 spreading. Since these pioneering works, a variety of techniques have been proposed for detecting 44 seismic anisotropy. These include relative P-wave delay times (e.g., Babuska et al., 1984; 45 Bokelmann, 2002), P-wave polarization (Bokelmann, 1995; Schulte-Pelkum et al., 2001; 2003; 46 Fontaine et al., 2009), shear-wave splitting (for references see below) and radial and azimuthal 47 anisotropic surface-wave tomography (e.g., Montagner, 1986, Nishimura and Forsyth, 1988; Montagner and Tanimoto, 1991). 48

The most direct evidence of seismic anisotropy is the splitting of shear-waves. Anisotropic media are indeed birefringent, splitting the incoming shear waves into two perpendicularly polarized waves that propagate at different velocities. At the Earth's surface, it is therefore possible to quantify anisotropy by measuring the two splitting parameters  $\delta t$  and  $\Phi$ . The difference in arrival times ( $\delta t$ ) between the two split shear waves (Figure 1) depends on both the anisotropy strength

54 and the length of the travel path through the anisotropic medium. The azimuth  $\Phi$  of the fast split 55 shear wave polarization planes is related to the orientation of the anisotropic structure. Initially 56 limited to direct S waves from local events (Ando and Ishikawa, 1980), the technique is now 57 widely adopted for core-transiting phases such as SKS, SKKS, and PKS (e.g., Vinnik et al., 1984, 58 1989; Silver and Chan, 1991; see Savage, 1999 and Fouch and Rondenay, 2006 for a review). 59 Travelling on nearly vertical paths, teleseismic shear-waves provide measurements of anisotropy 60 beneath a seismic station with a relatively good lateral resolution of few tens of kilometers 61 (Fresnel-zone width) but with poor vertical resolution. The teleseismic shear-wave splitting 62 technique has become popular in the last decades for performing anisotropy measurements that 63 help revealing present or past mantle deformation processes. This technique has been widely 64 applied in several geologic settings: Subduction zones (e.g., Levin et al., 2004; Margheriti et al., 2003; Nakajima and Hasegawa, 2004), rifts (e.g., Kendall, 1994; Gao et al., 1997; Walker et al., 65 2004; Kendall et al., 2005), hotspots (e.g., Barruol and Granet, 2002; Walker et al., 2001; 2005), 66 67 oceanic islands (e.g., Behn et al., 2004; Fontaine et al., 2005, 2007), orogens (e.g., Barruol et al., 68 1998; Flesch et al., 2005) and stable continental environments (e.g., Fouch et al., 2000; Heintz and 69 Kennett, 2005; Fouch and Rondenay, 2006; Assumpção et al. 2006).

70 Azimuthal anisotropy was initially deduced from surface waves by Forsyth (1975), then by 71 Nishimura and Forsyth (1988) in Pacific and by Montagner and Tanimoto (1991) at global scale. The ever-growing dataset of seismic stations and recordings have allowed, over the years, to 72 73 significantly improve spatial resolution of azimuthally anisotropic tomographic models (e.g., 74 Trampert and Woodhouse, 2003; Smith et al., 2004; Debayle et al., 2005; Maggi et al., 2006). By 75 using the surface wave (Rayleigh and Love) frequency dependence on their penetration depth, surface wave tomography provides a relatively good vertical resolution of velocities and 76 77 anisotropy down to the transition zone although the lateral resolution is relatively poor, generally 78 not better than several hundreds of kilometres. In addition to the good vertical resolution, surface 79 wave tomography has the advantage of providing a continuous and global-scale coverage of 80 azimuthal anisotropy, except in poorly covered areas. This is in sharp contrast to the resolution of

81 teleseismic shear-wave splitting measurements which provide localized measures of anisotropy82 directly beneath a seismic station.

83 Given the constraints on deformation within the Earth that seismic anisotropy offers, there is much 84 interest in understanding the origin of that anisotropy. Comparing observed fast directions with 85 absolute plate motion directions is of interest (e.g., Vinnik et al., 1984; Silver and Chan, 1991; 86 Montagner, 1994), since anisotropy in the asthenosphere, if caused by relative motion between 87 plates and deeper interior of the Earth, should have fast directions parallel to plate motion. On the 88 other hand, fossil anisotropy in the lithosphere does not need to show a correlation with current 89 plate motion directions. It is also of interest to compare seismic anisotropy with predicted seismic 90 anisotropy from flow calculations that have become available in recent years. That has been done 91 at regional scale (Griot et al., 1998; Fouch et al., 2000; Becker et al., 2006), and also at global 92 scale (Behn, et al., 2004; Becker et al., 2007; Conrad et al., 2007).

93 This study addresses the question whether shear-wave splitting observations and surface wave 94 azimuthal anisotropy can be used interchangeably for the comparison with flow models. The 95 different spatial resolution of the two types of data may result in significant differences: surface 96 waves offer vertical resolution, while body waves offer lateral resolution. Furthermore, shear-wave 97 splitting observations have mostly been made on continents (Figure 1), whereas surface wave 98 models offer some resolution at all places of the Earth's surface, although poor ray coverage may 99 result in weak constrains in some regions. A further complication for comparing the two datasets arises from the fact that the anisotropy is mainly characterised by two parameters, fast orientation 100 101 and strength. A good coherence in one parameter does not necessarily means a good coherence in 102 the other.

103 So far, there have been only few attempts to directly relate body-wave and surface wave 104 anisotropy. Because the two techniques sample the anisotropy along vertical and horizontal 105 propagation directions, respectively, the results cannot be compared directly. Montagner, et al. 106 (2000) proposed to predict splitting parameters from a multi-layer anisotropic surface wave model. 107 That study has suggested reasonable correlation at regional scale in some regions, but at global

scale there did not appear to be a clear correlation. The possibility was discussed that the spatial resolution of the two techniques is perhaps too different to allow realistic comparisons.

In this paper we follow the approach of Montagner et al. (2000), and we study the relation between anisotropy measures from shear-wave splitting and surface wave models at global scale, as well as for a few selected key regions. We will in fact show that there is indeed a correlation at global scale, and discuss its implications. In the first part of the paper, we present the new shear-wave splitting dataset. In the second part we present the prediction of splitting measurements obtained from recent surface wave tomography and in the third part, we compare the observed splitting data with the predicted parameters.

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#### 118 2. World-wide shear-wave splitting results

119 Over the past decades, a large number of shear-wave splitting studies have been published. Some 120 of these studies have analyzed sets of globally distributed stations (e.g. Silver, 1996; Barruol and Hoffmann, 1999), while most focused on regional networks of stations, providing detailed 121 122 knowledge on specific areas. Ever-larger temporary seismic arrays (e.g. USArray) and long-123 running permanent stations make the available shear-wave splitting dataset grow rapidly, and the 124 need for a central depository for all available splitting measurements has been evoked (e.g., Fouch 125 and Rondenay, 2006). Evans et al., (2006) present a shear wave splitting database, where they re-126 calculated available broadband stations. Our approach is different from that. In order to make all 127 the published splitting data easily available in a homogeneous format, we developed an interactive 128 database. The main features of this shear-wave splitting database are that the user may: i) Enter 129 new splitting data via a web interface, ii) Search the database for a specific station or within a 130 region, iii) Download the whole splitting parameters and corresponding bibliographic references 131 and iv) Visualize the database, e.g. through GoogleEarth. Such an approach ensures that results of 132 individual studies will be taken into account by the community, as users of splitting data will

always find the most "up-to-date" information. This database is available on the "Geosciences
Montpellier" website at the address http://www.gm.univ-montp2.fr/splitting/DB .

135 As of June 2008, the database contains 2566 entries from 133 referenced studies (see Figure 2a). 136 Note that the database is a collection of the work of various authors over many years, using a 137 broad range of filters and possibly quality control factors. The global splitting delay times show an 138 approximately log-normal distribution with most values between 0.4 and 1.4 sec and a maximum 139 at 1 sec (Figure 3a, b). This distribution is in agreement with earlier studies, e.g. that of Silver 140 (1996). Petrophysical analyses of upper mantle rocks sampled in orogenic or ophiolite peridotite 141 massifs, or as kimberlite or basalt xenoliths suggest that such a mean delay time requires a 100 to 142 150 km thick anisotropic layer (Mainprice and Silver 1993, Ben Ismail and Mainprice, 1998; 143 Mainprice et al., 2000, Ben Ismail et al., 2001). We note that fast-axis orientations prefer E-W 144 orientations, which are interesting in the light of speculations of uniform global plate motion 145 relative to the underlying mantle (Doglioni, 1994; Steinberger and O'Connell, 1998; Doglioni et 146 al., 2003). However, these statistics have to be considered with care, for two reasons: i) The ocean-147 continent discrepancy: Most splitting data originated from continents, where the azimuth may be 148 dominated by frozen-in lithospheric anisotropy. Only a small amount of splitting data originated 149 from oceanic environments where one could expect to observe present-day processes related to 150 asthenospheric flow. ii) Seismic stations are not evenly distributed worldwide. Temporary 151 experiments generally gather many instruments in a small area (e.g., Barruol et al., 1998; Walker 152 et al., 2005; Flesch et al., 2005), which may cause a bias in statistical analyses. Furthermore, the 153 different continents are not equally well sampled, and there is indeed an over-representation of 154 North America and Western Europe in the dataset.

155

#### 156 3. Predicting shear-wave splitting parameters from surface wave data

157 Montagner et al. (2000) developed a method to predict splitting parameters from surface-wave

anisotropy results. Their approach is not unlike that of several earlier studies that discuss the effect

159 of multiple anisotropic layers on the effective splitting parameters (Silver and Savage, 1994; 160 Wolfe and Silver, 1998; Rümpker and Silver, 1998; Saltzer et al., 2000). The fast axis  $\Phi$  as well as the delay time  $\delta t$  of a vertically propagating SKS phase that results from a (weak) anisotropic layer 161 is related to the elastic parameters  $G_s = C_{45}$ ,  $G_c = \frac{1}{2}(C_{55} - C_{44})$ , and  $L = \frac{1}{2}(C_{44} + C_{55})$  that 162 163 can be obtained from surface wave studies (Montagner, 1986). This can be generalized for 164 multiple layers. Montagner et al. (2000) have proposed a first-order expansion that is valid at periods larger than 10 seconds, e.g. for SKS and SKKS waves. Under that assumption, splitting 165 166 delay and fast direction can be predicted by a simple integration along the (assumed vertical) ray 167 path, as

168

169

$$d\bar{t} = \sqrt{\left(\int_{0}^{a} \sqrt{\frac{\rho}{L} \frac{G_{c}}{L}} dz\right)^{2} + \left(\int_{0}^{a} \sqrt{\frac{\rho}{L} \frac{G_{s}}{L}} dz\right)^{2}}$$

$$\bar{\phi}_{fast} = \frac{1}{2} \arctan\left(\frac{\int_{0}^{a} \sqrt{\frac{\rho}{L} \frac{G_{s}}{L}} dz}{\int_{0}^{a} \sqrt{\frac{\rho}{L} \frac{G_{c}}{L}} dz}\right)$$
(1)

where *a* corresponds to the maximum depth extent of the tomographic model and  $\rho$  is the density of each layer. In the following we will use the global tomographic model of Debayle et al. (2005). Figure 2b shows predicted shear-wave splitting for that model, integrating from 500 km to 50 km depth (thus excluding the negligible influence of the crust).

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Debayle et al. (2005) have used observations of fundamental and higher-mode Rayleigh waves, and among the 100779 ray paths, they include many short epicentre-station paths, which give particularly strong constraints. The inversion has used a spatially variable cell size determined using Voronoi tessellation, and the smallest cells have sizes of about 200 km. Since anisotropic and isotropic variations often occur together, it is not likely that the very small variations are resolved. Therefore, the tomographic inversion has also imposed a spatial smoothness constraint via a Gaussian correlation function with standard deviation of 400 km. It is clear that resolution

must vary strongly with location along the Earth's surface, due to the variable ray coverage. In places where the coverage is particularly good, resolution will be better, but it is not quite clear what the resolution limit is. In principle, a Voronoi technique is well-adapted for optimally extracting information in this situation. In any case, a lower bound on resolution is imposed by the smoothness constraint corresponding to length scales of 400 km.

187 Figure 3c-f presents the statistical distribution of the predicted splitting parameters. The delay 188 times range between 0 and 2 s, with a maximum of the distribution around 0.5 s, for both 189 continental and oceanic environments. The predicted splitting delay times are thus systematically 190 smaller delay than the observed ones (Figure 3a, b). Potential causes for this bias may be i) the 191 damping that is necessarily applied during the surface-wave inversion, leading to an 192 underestimation of parameters, ii) an underestimation of true lateral variations due to an 193 insufficient lateral resolution of surface waves and/or iii) incompatibilities between the 194 assumptions of anisotropy systems inherent to both methods. We choose to show the angular distribution separately for continental (Figure 3c, d) and oceanic regions (Figure 3e,f). For oceanic 195 196 environments, the predicted splitting orientations display hardly any azimuthal preference on a 197 global scale. However, for continental areas there are two maxima of predicted fast directions, a 198 stronger one in N-S direction, and a weaker one in E-W direction. The N-S predicted fast 199 directions appear primarily in Africa, north-east Asia, and Australia (Figure 2b), where station 200 coverage for observed splitting measurements is poor. Such a discrepancy between predicted and 201 observed fast split direction statistics could therefore be related to the extremely uneven station 202 coverage present in the splitting database. However, at least for Africa, the N-S orientation is in 203 accordance with recent surface wave tomographic models (Sebai et al.; 2006; Montagner et al., 204 2007). The E-W maximum is much less pronounced for surface waves than that for body waves, 205 and it therefore does not serve to confirm this as a continental feature at the largest scale.

206

#### 207 4. Comparing observed and predicted SKS splitting

208 The two datasets we compare have rather different distribution on the Earth's surface. While the 209 splitting parameters are obtained at unevenly distributed seismic stations (Figure 2a), the predicted 210 splitting values from the surface wave model of Debayle et al. (2005) are evenly distributed on a 211 1°x1° grid (Figure 2b). Comparing the two datasets therefore requires interpolation. Interpolating 212 sparse vectorial data as splitting measurements onto a regular grid is possible (Bird and Li, 1996; 213 Lucente et al., 2006), but to avoid stability problems due to the different spatial support, we prefer 214 to follow the approach proposed by Montagner et al. (2000), i.e., we linearly interpolate the 215 regular grid of the predicted anisotropy parameters to the location of each splitting measurement.

The correlation between the observed and predicted datasets is then obtained by using an adapted form of the coherence function initially defined by Griot et al. (1998). This mathematical function quantifies the correlation between two vectorial data sets on a sphere (co-latitude  $\Theta$ , longitude  $\Psi$ ) by taking into account the two anisotropy parameter pairs ( $\Phi_1$ ,  $\delta_{t_1}$ ;  $\Phi_2$ ,  $\delta_t_2$ ). Since each parameter pair corresponds to a phase and amplitude, a natural form of the coherence between two continuous fields on the sphere is

222 
$$C(\alpha) = \frac{\iint\limits_{\Theta \Psi} \left\{ dt_1(\Theta, \Psi) * \sin \Theta * dt_2(\Theta, \Psi) * \sin \Theta * \exp\left(-\frac{\left(\phi_1(\Theta, \Psi) - \phi_2(\Theta, \Psi) + \alpha\right)^2}{2D_{corr}^2}\right) \right\} d\Theta d\Psi}{\sqrt{\iint\limits_{\Theta \Psi} dt_1(\Theta, \Psi)^2 * \sin^2 \Theta d\Theta d\Psi} \sqrt{\iint\limits_{\Theta \Psi} dt_2(\Theta, \Psi)^2 * \sin^2 \Theta d\Theta d\Psi}$$

223 (2) 224 The phases (fast directions) enter through an exponential form that is normalized by a "correlation 225 factor"  $D_{corr}$ . This formula does not require an alignment of the two sets of fast axes. The test 226 orientation  $\alpha$  can vary between -90° and +90° and allows testing for an angular bias between the 227 two datasets. The normalization in the conventional fashion ensures that the coherence varies 228 between 0 (uncorrelated) to 1 (perfect correlation). An anti-correlation between the fast axes 229 would show up as a peak at +/- 90°.

230 If  $C(\alpha)$  is represented by a horizontal line, the two models are uncorrelated. A Gaussian shape of 231  $C(\alpha)$  indicates a certain coherence, with the location of the peak corresponding to the mean 232 rotation between the models. Figure 4a illustrates this by comparing the 1°x1° predicted splitting 233 dataset with itself. As expected, this results in a Gaussian distribution with a maximum value of 1 234 oriented at 0°. Figure 4b shows the coherence of the predicted splitting data on a 1° grid with that 235 of a 10° grid. Maximum values are considerably smaller than 1 now, and the distributions are 236 much wider, reflecting the added variance from the interpolation using the 10° grid. In fact, there is 237 much variation of predicted fast directions in Figure 2b, at scales below 10°.

238

#### 239 4.1. Global correlation of splitting parameters

240 Montagner et al. (2000) compared splitting parameters as predicted by a global anisotropic 241 tomography model (Montagner and Tanimoto, 1991) with the shear-wave splitting results 242 compiled by Silver (1996). The results suggested a fairly good coherence at regional scale (for 243 instance in the Western United States and in Central Asia), but no clear coherence at global scale. 244 The authors have attributed the latter to the different depth and lateral sensitivity in both datasets. 245 Surface waves are best resolved in oceanic environment, where large-scale structures are expected 246 but where station coverage and therefore shear-wave splitting measurements are sparsely 247 distributed in that environment. In contrast, shear-wave splitting has a relatively good lateral 248 resolution and may evidence short scale variations in upper mantle structures beneath the 249 continents that are hardly visible from surface wave analyses. The authors also suggested that the 250 usually-performed single-layer inversion for splitting parameters may represent an 251 oversimplification that contributes to the missing global correlation.

Figure 4c and d show the coherence of predicted splitting from the model of Debayle et al. (2005), integrated between 50-500km depth, with the splitting database, taking into account the entire database using gridding sizes of 1° and 10°, for the correlation lengths used previously ( $D_{corr} = 5$ , 10 or 20°). The coherence is slightly broader for the 10° grid (Figure 4d) than for the 1° grid

(Figure 4c). However, both comparisons give a rather good correlation of available splitting data and anisotropic surface wave model, with a maximum centred near 0° misfit. This indicates that the available splitting database sufficiently covers the globe, as it is insensitive to the resolution of the surface wave model.

260 In the former study, Montagner et al. (2000) used the anisotropic surface wave model of 261 Montagner and Tanimoto (1991) and the splitting data collection of Silver (1996). The global 262 coherence was found to show a local minimum at 0° misfit, with local maxima on both sides. We 263 were able to roughly reproduce this behaviour by incorporating only the splitting measurements 264 available before 1997 (Figure 4e). Montagner et al. (2000) concluded that there is no global 265 correlation. In fact, Figures 4d-f suggest that the absence of correlation in that study was 266 apparently an effect of insufficient splitting data coverage and limited spatial resolution in 267 available surface wave models at the time. Since Fresnel zones of the commonly used SKS waves are of the order of 100 km at upper mantle depths, and since splitting parameters vary at these 268 269 scale length (Figure 2a), averaging azimuthal anisotropy at scale larger than 10° introduce a too 270 large smoothing to preserve a good coherence between the body wave pattern and the large-scale 271 surface wave pattern.

The higher correlation of the new global set (Figure 4c) in comparison to sub-dataset of Silver 272 273 (1996; Figure 4e) may on the other hand reflect the numerous regional studies performed after 274 1996, that concentrate a large number of stations in relatively small areas, e.g. in the Eifel region 275 (Walker et al., 2005), and the Pyrenees (Barruol et al., 1998). This leads to regional biases. The 276 difference suggests that we should try to eliminate the regional biases if possible, or at least 277 eliminate the effect of the spatially varying data density where we have data. So far, all data points 278 enter equally into the coherence, and local studies with dense station coverage will thus have a 279 stronger effect on the coherence than sparsely instrumented areas. We address this problem by 280 gridding the splitting data. However, standard interpolation procedures are inappropriate when 281 interpolating sparse directional data on a sphere (Bird and Li., 1996). One approach to solve this 282 problem may be by using Voronoi cells, similar to the method of Debayle et al. (2005) or Larmat

283 et al. (2006). In this study, however, we follow a different approach: To account for both the amplitude and orientation of anisotropy we separate amplitude (delay time) and orientation (fast 284 285 direction). The delay time is gridded using a standard cubic spline interpolation to give a map of 286 gridded delay times T. The directional component of anisotropy is computed using an algorithm 287 that has already been successfully applied to global stress data (Müller et al., 2003). The gridding 288 algorithm is based on Watson (1985) and extended by a distance-weighting (Wehrle, 1998). For a 289 series of unit vectors  $\vec{y}$ , given at positions  $\vec{x}$ , Watson (1985) proposes a technique that searches for a 'predictor' function  $\vec{f}(\vec{x})$  that describes the vector field parallel (or anti-parallel) to  $\vec{y}$ . This 290 is achieved by maximising the (quadratic) scalar product  $P = \langle \vec{f}(\vec{x}_i)^T \vec{y}_i \rangle^2$ . Furthermore, to be 291 smooth, the (quadratic) scalar product of two neighbouring functions  $N = \left\langle \vec{f}(\vec{x}_i)^T \vec{y}_j \right\rangle^2$  must be 292 maximum as well. The resulting gridded vector field is thus the maximum of the following 293 294 function *K(f)*:

295 
$$K(f) = \sum_{i=1}^{n} \left( Pd(\vec{x}_{i}, \vec{x}_{i}) + \lambda \sum_{j=1, j \neq i} Nd(\vec{x}_{j}, \vec{x}_{i}) \right)$$
(3)

As mentioned, the P-term achieves optimum fitting of the data, the N-term controls the smoothness of the resulting field. The weighting factor  $\lambda$  lambda thus controls the relative influence of the data fidelity and smoothness, respectively. The function  $d(x_i, x_j)$  expresses the distance weighting of point  $x_j$  at  $x_i$  within a search radius R (Wehrle, 1998). The interpolated splitting "vector field" is then the linear combination of the gridded splitting amplitude and K(f), calculated at the locations  $\vec{s}_i$ . These coincide with the grid points of the tomographic model and thus the location of the predicted splitting parameters.

303 
$$V = T|_{\vec{s}} * K(f)|_{\vec{s}}$$
(4)

Figure 5 shows an example result of that gridding for R = 600 km and  $\lambda = 5$  on a 3° x 3° grid. Null measurements, though important to constrain fast directions at individual stations (Wüstefeld and Bokelmann, 2007), are not taken into account.

307 Similar to Figure 4, we calculate the coherence functions of the predicted splitting with these 308 smoothed global datasets, to ascertain that the correlation between observed and predicted splitting 309 as described in the previous section, is not an artefact of the rather heterogeneous data density. If 310 the correlation is real, this helps to define the inherent lateral resolvability of anisotropy by the 311 used surface-wave model.

The results for various parameter combinations of R and  $\lambda$  are presented in Figure 6a (D<sub>corr</sub> is fixed to 10°). For all tests, there is a maximum near 0°, suggesting that there is indeed a correlation also for the gridded data, similar to the global correlation found previously (Figure 4). The correlation between the two datasets is therefore not an artefact of the uneven sampling.

Figure 6b shows the dependence of the coherence value at 0° on search radius for various  $\lambda$ . 316 Interestingly, the coherence decreases clearly below R = 400km while it stays more or less 317 318 constant between 400 and 1000 km. Below R = 400km, the coherence shows a weak dependence 319 on smoothing parameter  $\lambda$ . This is as expected, since the effect of  $\lambda$  must be somewhat similar to 320 that of the search radius. Thus, the decrease of coherence below R = 400km also manifests itself in 321 the  $\lambda$  values. A peak in coherence is obtained for strong smoothing with  $\lambda = 10$  and 50 at search 322 radii of R = 400 km. Moreover, a plateau of relatively good coherence is found for  $\lambda = 5$  and R between 600 and 700 km. 323

Figures 6c and 6d are similar to 6a and 6b, but the order of each parameter ( $\Phi_1$ ,  $\delta t_1$ ;  $\Phi_2$ ,  $\delta t_2$ ) has been randomised. In such random test any spatial coherence should be eliminated, unless there is an inherent correlation, due to a non-random data distribution. Comparing Figure 6c with Figure 6a we note that coherence indeed drops sharply, indicating that the correlation observed in Figure 6a is indeed real. The weak residual coherence in Figure 3b thus shows the artefact of the nonuniform distribution of splitting fast directions.

#### 330 4.2. Regional correlation of splitting parameters

The previous section showed that anisotropy as determined from surface waves and those from body waves show similar results on a global scale, though both methods sample the Earth at

333 different scales. In this section we discuss several regional subsets of different tectonic 334 background. Montagner et al. (2000) point out that several regional subsets have a rather good 335 coherence despite the poor global coherence obtained in their earlier study. They relate that 336 partially to an increased resolution of regional tomographic models used. We limit our comparison 337 here to regional subsets of the global model. Regional tomographic models might provide higher 338 resolution, yet a detailed comparison of all available models would be beyond the scope of this 339 study. Figure 7 illustrates the coherence function for several regional subsets in different tectonic 340 settings (subduction, rifts, volcanic islands, cratons). See Figures 2 and 5 for locations. Generally, 341 the coherence is very good, with a clear maximum centred close to 0° misfit. Only the absolute 342 value of that maximum varies between the regions. We attribute this to differences in the observed 343 and predicted delay times, as we will discuss below.

Montagner et al. (2002) selected Western United States and Central Asia for closer discussion. For consistency and comparison with that study we will briefly discuss the results of our new coherence study, and also present results for other selected regions and tectonic settings. Additional maps of test regions can be found in the Appendix. This section shall provide an overview on coherences between the two datasets. For detailed interpretation of the anisotropy in the selected regions we kindly refer to the according publications.

350 4.2.1. The Andes

Several studies analysed shear-wave splitting in the Andes and adjacent Nazca plate. Polet et al. (2000) argue that most significant contribution to splitting occurs below the slab, with a complex, spatially varying anisotropy pattern. Russo and Silver (1994) and Helffrich et al. (2002) propose that the large-scale pacific mantle flow pattern is deviated around the South American continent by roll-back of the Nazca slab.

The coherence between predicted and interpolated observed splitting is variable in this area, with poles at  $-70^{\circ}$ ,  $+20^{\circ}$  and  $+60^{\circ}$  (Figure 7a, Figure A1). This behaviour renders the complex interaction, sometimes at small scale, between subduction of oceanic plate and mountain range

formation, both of which may cause different anisotropy orientations (Vauchez and Nicolas, 1991;
Barruol et al., 1998; Kneller et al., 2007).

361 4.2.2. The East Pacific Rise

362 The coherence between predicted and interpolated observation of splitting is striking for stations in the vicinity of the East Pacific Rise (Figure 7b; Figure A2). These measurements come from the 363 364 MELT and GLIMPSE Ocean Bottom Seismometers experiments (Wolfe and Solomon, 1999; Harmon et al., 2004). They proposed Lattice Preferred Orientation (LPO) of anisotropic minerals 365 366 parallel to mantle flow trending normal to the spreading axis as main cause for splitting, as well as 367 a deeper contribution of an asthenospheric return flow from the South Pacific superswell. This 368 agreement between the fast split directions and the surface wave anisotropy is not restricted to the 369 ridge itself but is extending further West in the French Polynesia domain where Fontaine et al. 370 (2007) evidenced a clear signature of the asthenospheric flow in the SKS splitting observations 371 that also dominates within the surface wave tomographies, both exhibiting dominant fast directions 372 parallel to the plate motion direction. Further south, the EPR region also contains station RPN, located on the Easter Island Hot Spot, for which a multilayer case has been proposed (Fontaine et 373 374 al., 2007). The SKS anisotropy pattern observed at this station is clearly not the result of the ridge spreading alone and may likely result from the combination of spreading-related mantle flow and 375 376 hotspot-related mantle upwelling. South and east of station RPN, although both the station 377 coverage and resolution of the surface wave model is too small to confidently relate this behaviour 378 to mantle upwelling, the predicted and interpolated splitting coincide rather well, whereas towards 379 the north, the two models are almost perpendicular. This can be seen in Figure 7b as a smaller 380 peak at -65°.

381 *4.2.3. North America* 

The understanding of regional tectonics in North America is continuously improved (e.g., Humphreys and Coblentz, 2007). Seismic anisotropy beneath North America has been addressed by numerous authors (see references in Walker et al., 2004; Fouch and Rondenay, 2006, Bokelmann and Wüstefeld, 2009). The pertaining mantle flow is mainly controlled by the various

386 tectonic settings ranging from cratons in the north (e.g., Bokelmann, 2002a, b), mountain ranges in 387 the east (e.g., Barruol et al., 1997; Fouch et al., 2000), and continental hotspot (e.g., Waite et al., 388 2005) and subduction in the west that could interfere with strike-slip tectonics to produce a 389 complex toroidal mantle flow pattern (e.g., Xue and Allen, 2007; Zandt and Humphreys, 2008). 390 The splitting dataset presented in this study indicates good correlation with the splitting predicted 391 from surface wave azimuthal anisotropy in North America (Figure 7c, Figure A3). Montagner et 392 al. (2000) observed however a rotation of  $+30^{\circ}$  between observed and predicted splitting in the 393 Western US (Figure 8a). In this region, the coherence of the new dataset has improved (Figure 7d), 394 likely due to the larger amount of splitting data (109 measurements today versus 47 available in 395 1996), but perhaps also due to the more recent tomographic model used in this study that may 396 provide an improved lateral resolution. The resulting misfit is reduced to a slight rotation of -10° 397 for all of North America. In Western North America the angular misfit is precisely 0°. This 398 suggests that surface waves and shear wave splitting experience the same anisotropic structures 399 (Figure 8a) and therefore, that the apparent complexity of the crustal tectonics in this region may 400 be overlying a mantle structure characterized by a simpler pattern at longer wavelength. It thus 401 appears to be consistent to invert the two datasets together as has been done recently by Marone 402 and Romanowicz (2007).

403 4.2.4. Kaapvaal Craton

404 Several studies analysed the Kaapvaal upper mantle anisotropy by using either SKS wave splitting (e.g., Vinnik et al., 1996; Barruol and Ben Ismail, 2001; Silver et al., 2001; Fouch et al., 2004) or 405 the information brought to the surface by kimberlite nodules (e.g., Ben Ismail et al., 2001). Silver 406 407 et al. (2001) and Gao et al. (2002) point out that the fast orientations do not correlate with plate 408 motion directions of Gripp and Gordon (2002). The fast orientation correlates however very well 409 with regional geologic trends, suggesting lithospheric origins of anisotropy. Furthermore, a high-410 density array in the Kimberley region found small-scale variations in shear wave splitting 411 parameters, which can only be explained by lithospheric origins of anisotropy (Fouch et al., 2004).

412 In global tomographic models, one of the most intriguing features is the African Superswell (e.g., 413 Nyblade and Robinson, 1994; Ritsema and van Heijst, 2000; Conrad and Gurnis, 2003), which is 414 an ongoing, large-scale, northward oriented mantle upwelling. This upwelling can provide an 415 explanation for the predicted splitting (Figure 2b, 8b) particularly because of the absence of 416 predicted splitting beneath the Namibia-Botswana border region (Figure 8b), since vertically 417 oriented anisotropy is not included in the methods used here. The presence of two peaks in the 418 regional coherence function (Figure 7e) may indicate that the anisotropy observed by tomographic 419 studies is not only related to the ongoing mantle upwelling, but also related to ancient lithospheric 420 anisotropy, at least in the upper mantle regions.

421 4.2.5. The East African Rift Zone

Rifting along the East African Rift Valley (EAR) has been analysed at mantle to crustal scales by various studies (see Kendall et al., 2006 for a review). Collectively, the results support a model for magma assisted rifting in Ethiopia with an increase in melt production towards the Red Sea, which is strongly supported by the prediction of partial melt on the basis of P- and S-wave tomography (Bastow et al., 2005, 2008). In Tanzania and Kenya, fast split shear waves parallel the E and W arms of the EAR that encircle the Tanzanian craton (Gao et al., 1997; Walker et al., 2004).

428 The coherence between observed and predicted splitting is rather strong with a maximum at 0° 429 deviation (Figure 7f, Figure A4). The strong coherence is mainly due to high correlation of both 430 direction and amplitude of splitting between the predicted and interpolated datasets beneath Afar 431 (Figure A4). Kendall et al. (2006) proposed a suite of different causes of anisotropy beneath the 432 East African rift system. The main cause of anisotropy is attributed to thin melt pockets directly 433 beneath the rift zone, oriented parallel to the rift axis. Away from the rift axis, the lithospheric 434 LPO is likely related to pre-existing fabric, while beneath the lithosphere the LPO could be due to 435 viscous coupling between the base of the lithosphere and large-scale mantle upwelling. This model 436 explains the rift-parallel fast splitting orientations in the rift valley. Such explanation leaves the 437 good correlation somewhat surprising, since global surface waves are not expected to resolve that 438 feature. The splitting delay times predicted in this area are relatively low, which may be due to two

perpendicular anisotropic orientations (see discussion for Australia). An alternative explanation
might be that the mantle upwelling beneath the rift causes vertically oriented fast axis in the
mantle. These do not contribute to the observed and the predicted splitting.

442 *4.2.6. Central Asia* 

443 In Central Asia (Figure 7g, Figure A5), more than 250 measurements are now available, in 444 comparison with the 33 available before 1997. The new model seems to be less coherent (Figure 445 7g) than the previous one, as discussed in Montagner (2000), and it is characterized by the 446 presence of two peaks in the coherence function at +15° and -70°. Montagner et al. (2000) used a 447 local high-resolution tomographic model (Griot et al., 1998) for calculating the predicted splitting. 448 The two compared datasets seem to be rotated by  $20^{\circ}$ . The main region of discrepancy is the 449 Tibetan Plateau, while coherence is good for the Tien Shan basin in the North-West and the North 450 China craton, in the North-East of Figure A5). In general, discrepancies between the global 451 (Montagner and Tanimoto, 1991) and local (Griot et al, 1998) tomographic datasets highlight the 452 importance of local high-resolution tomography that may be sensitive to shorter wavelength 453 structures. This regional analysis also suggests that more data do not necessarily imply a better 454 coherence since local studies involving a large number of stations over a limited space may 455 strongly bias the general coherence function at the regional and global scale. They conclude that 456 future approaches should consider the effect of different data density in greater detail. New 457 azimuthal anisotropy tomographic models of Central Asia with an enhanced lateral resolution are 458 presently issuing. Consequently time is ripe for a new quantitative comparison between SKS 459 splitting data and tomographic models, but it is beyond the scope of this paper.

460

461 4.2.7 Australia

462 The coherence in Australia is rather good (Figure 7h, A6). Given the complex anisotropy 463 described by other studies of this area, this is somewhat surprising. Debayle et al. (2005) found 464 that Australia is the only continent where azimuthal anisotropy (inferred from surface waves)

465 correlates well with present day absolute plate motion. This correlation is most significant at 466 depths between 150 and 300km. In Australia many stations are observed as isotropic, i.e. Nulls 467 from a broad range of backazimuths. Heintz and Kennett (2005, 2006) point out that this apparent 468 isotropy at these stations is also consistent with two layers of mutually perpendicular anisotropy 469 orientations (Silver and Savage, 1994; Barruol and Hoffmann, 1999).

The predicted shear wave splitting contains a few regions of negligible splitting amplitude (central Northern Territory, just south of Western Australia, and in Central New South Wales; see Figure A6). In our approach, the predicted splitting is calculated from 19 layers, each 25 km thick. The correlation between non-Null observations and predicted splitting is very good, indicating that such gradual change in anisotropy orientation with depth mimics the non-Null splitting observations well. This in turn indicates that assuming a two layer case may be an oversimplification.

#### 477 5. Discussion

478 Generally, the resolution of global anisotropic surface wave models is thought to not be better than 479 a thousand kilometres. For an increasing number of regions, recent high-resolution regional 480 anisotropic tomographic models are available, and future studies should address their correlation 481 with regional splitting data in more detail. For the global model, the dependence of correlation on 482 search radius in Figure 6b may suggest that resolution capability is somewhat better than the 483 initially thought thousand kilometres. This is true at least for the parts of the Earth that contain the 484 most seismological stations. These areas correspond to the seismically best-resolved portions on 485 Earth, obviously for shear wave splitting but also in surface wave data due to the higher density of 486 crossing ray paths in those areas. The use of Voronoi cells allows the tomographic model of 487 Debayle et al. (2005) to reflect spatially varying resolutions. The drop-off in correlation below 400 488 km is interesting, since we would be able to see that effect only if there is resolution of surface 489 wave data for those best-resolved parts of the Earth down to 400 km length scales, which is 490 considerably better than we expected. Whether or not this is the correct explanation for the decay 491 below 400 km, this resolution study is very encouraging, since it indicates lateral surface wave

492 resolution somewhat below a thousand kilometres for the well-resolved portion of the Earth. The 493 resolution will be considerably weaker in less well-covered regions. A recent study of the 494 Canadian shield (Bokelmann and Wüstefeld, 2009) has suggested that surface-wave anisotropy 495 models do not yet have a similar resolution there, which would be required to distinguish inner-496 cratonic variations of anisotropy.

This will probably change somewhat in the next years, with the availability of massive regional
datasets. Also methodological developments such as simultaneous inversions of splitting and
surface wave data are quite useful (e.g., Marone and Romanowicz, 2007).

500 A limitation of our method is that no Null stations, i.e. apparently isotropic stations, are included. 501 The explanation of such Null stations is not yet completely understood as ever more evidence 502 suggests that at least the upper part of the mantle is anisotropic. This evidence is based on 503 seismological observations as presented in this paper and also on mineralogical studies (e.g., Ben 504 Ismail and Mainprice, 1998; Mainprice et al., 2000; Holtzman et al., 2003). Nulls stations do not 505 necessarily imply pervasive upper mantle isotropy, but may for example be caused by two 506 anisotropic layers with mutually perpendicular fast orientations (e.g., Silver and Savage, 1994; 507 Heintz and Kennett, 2006). Null stations may also not imply large scale upper mantle isotropy. For 508 instance, P-polarization analysis at station PPT in Tahiti Island (Fontaine et al., 2009) clearly 509 demonstrates that the isotropy deduced from SKS splitting, located vertically beneath the station, 510 has a restricted lateral extent, implying that such a short-scale upper mantle structure can hardly be 511 visible in surface wave tomographies.

The database contains only a limited amount of multi-layer cases. For the bulk of data, the observed (apparent) splitting parameters reflect the vertical integration of the different layers of the surface wave anisotropic model Although the model is divided in 19 layers between 40 and 500 km depth, the radial correlation length limits the actual number of independent layers to 4 or 5. These layers can in turn display effectively the same azimuth and so the real number of layers with different orientations (in complex areas) may be at the most two or three. However, it is important to note that in many areas these azimuthal variations and this stratification of anisotropy are real

and significant, although they cannot directly be inferred from shear wave splitting (Silver and
Savage, 1994; Rümpker and Silver, 1998; Saltzer et al., 2000).

521 The predicted delay times are smaller than the observed. Figure 9 shows a scatter-plot, comparing 522 the predicted delay times of the surface wave model with those delay times of the smoothed, 523 observed delay times for smoothing parameters of R = 600 km. The majority of the data are 524 located on the right-hand side of the graph, implying that surface wave-predicted delay times are 525 biased to smaller values. This also shows up in relatively low coherence (Figure 4). Our findings 526 of best coherence between the two datasets at approximately 600 km is in agreement with the 527 stochastic model of anisotropy correlation by Becker et al. (2007), which reveals a relatively short 528 correlation length of splitting parameters of L  $\sim$ 550 km for orogens and volcanic zones.

There is only a limited amount of shear-wave splitting measurements in the oceans although future deployments of Ocean Bottom Seismometers will eventually enhance the global splitting coverage (e.g., Suetsugu et al., 2005). This should allow for a separate analysis of continents having mostly thick and old lithosphere, with sometimes ancient anisotropy orientations, and oceans having a much more recent and simpler history (Montagner, 2002; Becker et al., 2003), which will probably include exciting new possibilities such as observing hotspot-induced mantle flow, small-scale convection, plume-ridge interaction etc.

The coverage of shear-wave splitting data that are available at this point does not allow accepting or rejecting predominantly East-West mantle flow (e.g., Doglioni, 1994) at global scale as manifested in fast directions. The maximum observed in Figure 3b is clearly an artefact of the spatial sampling and probably includes many observations of fossil lithospheric anisotropy.

#### 540 6. Conclusion

In this paper, we have compared shear-wave splitting measurements made around the world with predicted splitting parameters derived from anisotropic surface wave tomography. This allowed us to show that the two measures of anisotropy are indeed correlated, even though they have very different lateral and vertical resolution. This correlation is apparently supported by the fact that

both measures are mostly sensitive to the upper mantle (e.g., Sieminski et al., 2007). The quality of the measurements and resulting models allows us to address the limit of lateral resolution of the anisotropic surface wave model. Finally, the agreement of the two different methods highlights the fact that the two techniques are fully complementary since they are sensitive to vertical or lateral variations of upper mantle flow and therefore deserve to be twined together in studies aiming at mapping upper mantle deformation.

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#### 826 Figure Captions

Figure 1: Paths and spatial resolution associated with teleseismic (SKS) shear waves and surface waves. Surface waves propagate along the surface of the Earth, while wave paths of teleseismic shear waves are nearly vertical. For the latter, there is a progressive time delay between the two (split) shear-waves on the path toward the station at the Earth's surface.

831

Figure 2: Global comparison of shear-wave splitting parameters: a) measured b) predicted. a)
World-wide shear-wave splitting observations contained in the splitting database. b):
predicted splitting values calculated using the method of Montagner et al. (2000) for the
1°x1° anisotropic tomography model of Debayle et al. (2005) at depths between 50 and
500km. Values are displayed on a 3°x3° grid. The regions which are analysed in further
detail in the text are marked (a-h)

838

Figure 3: Statistical distribution of 2286 globally distributed shear-wave splitting measurements
contained in the current SKS splitting database. a) shows the distribution of delay times, b)
that of fast split directions. The statistical distributions of predicted splitting parameters,
calculated from surface wave anisotropy (Debayle et al., 2005) are presented separately
for continents (c, d) and oceans (e, f). See text for discussion.

844

Figure 4: Coherence of the SKS splitting parameters with the predicted splitting values integrating
anisotropy between 50 to 500 km depth, for "correlation lengths" D<sub>corr</sub> of 5, 10, and 20
degrees. Several combinations of datasets are tested, such as the effect of a 1° and 10° grid
of predicted values and a limited set of data (e and f): studies published before 1997,
corresponding to dataset of Silver (1996)). See text for discussion.

850

851	Figure 5: Smoothed version of shear-wave splitting observations. Smoothing parameters are
852	chosen as R = 600 km and $\lambda$ = 5 (cf. Figure 7). Calculation was for a 1°x1° grid, displayed
853	on a 3°x 3° grid

854

Figure 6: a) Coherence function using the smoothed splitting data of Figure 5. Three different values for R are used (100, 600, 1000 km), as well as a smoothing value  $\lambda = 5$ . b) Coherence value as a function of search smoothing parameter  $\lambda$  at 0° misfit. c) and d) show the same as a) and b) respectively, but for a randmised distribution of splitting parameters to test for inherent correlation of the two models. Note the much lower amplitude of correlation in c) and the random variation in d).

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Figure 7: Correlation of the smoothed splitting parameters (similar to Figure 4), for individual
regions shown in Figure 5.

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Figure 8: Map of the predicted (blue), observed (red, with circle marker) and smoothed (magenta
with small arrow head) shear wave splitting data in a) Western US and b) Kaapvaal Craton
where the tomographic model (i.e., predicted splitting) seems to be dominated by the
African Super Swell. Differences in coherence magnitude (Figure 7) are caused by
different delay time due to damping effects in the tomography.

870

Figure 9: Scatter-plot of predicted splitting delay versus observed splitting delay. The predicted
delay times are generally smaller, indicating a bias from the tomography, probably due to

873 damping effects.

874

- Figure A1: Map of the predicted (blue), observed (red, with circle marker) and smoothed (magenta
  with small arrow head) shear wave splitting data in the Andes.
- Figure A2: Map of the predicted (blue), observed (red, with circle marker) and smoothed (magenta
  with small arrow head) shear wave splitting data in the East Pacific.
- Figure A3: Map of the predicted (blue), observed (red, with circle marker) and smoothed (magenta
  with small arrow head) shear wave splitting data in the North America.
- Figure A4: Map of the predicted (blue), observed (red, with circle marker) and smoothed (magenta
  with small arrow head) shear wave splitting data in East Africa.
- Figure A5: Map of the predicted (blue), observed (red, with circle marker) and smoothed (magenta
  with small arrow head) shear wave splitting data in Central Asia.
- Figure A6: Map of the predicted (blue), observed (red, with circle marker) and smoothed (magenta
  with small arrow head) shear wave splitting data in Australia

Figure1



Figure2



#### SCRIP $\mathbf{cc}$ D Ŧ. U

90°E

90°E

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