Information Hiding in Probabilistic Concurrent Systems

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Abstract

Information hiding is a general concept which refers to the goal of preventing an adversary to infer secret information from the observables. Anonymity and Information Flow are examples of this notion. We study the problem of information hiding in systems characterized by the coexistence of randomization and concurrency. It is well known that the presence of nondeterminism, due to the possible interleavings and interactions of the parallel components, can cause unintended information leaks. The most established approach to solve this problem is to fix the strategy of the scheduler beforehand. In this work, we propose a milder restriction on the schedulers, and we define the notion of strong (probabilistic) information hiding under various notions of observables. Furthermore, we propose a method, based on the notion of automorphism, to verify that a system satisfies the property of strong information hiding, namely strong anonymity or non-interference, depending on the context. Through the paper, we use the canonical example of the Dining Cryptographers to illustrate our ideas and techniques.

1. Introduction

The problem of information hiding consists in trying to prevent the adversary to infer confidential information from the observables. Instances of this issue are Anonymity and Information Flow. In both fields there is a growing interest in the quantitative aspects of the problem, see for instance \cite{25, 3, 40, 14, 15, 29, 30, 4, 17, 11, 12, 38}. This is justified by the fact that often we have some a priori knowledge about the likelihood of the various secrets (which we can usually express in terms of a probability distribution), and by the fact that protocols often use randomized actions to obfuscate the link between secret and observable, like in the case of the anonymity protocols of DC Nets \cite{13}, Crowds \cite{34}, Onion Routing \cite{39}, and Freenet \cite{16}.

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In a concurrent setting, like in the case of multi-agent systems, there is also another source of uncertainty, which derives from the fact that the various entities may interleave and interact in ways that are usually unpredictable, either because they depend on factors that are too complex to analyze, or because (in the case of specifications) they are implementation-dependent.

The formal analysis of systems which exhibit probabilistic and nondeterministic behavior usually involves the use of so-called schedulers, which are functions that, for each path, select only one possible (probabilistic) transition, thus delivering a purely probabilistic execution tree, where each event has a precise probability.

In the area of security, there is the problem that secret choices, like all choices, give rise to different paths. On the other hand, the decision of the scheduler may influence the observable behavior of the system. Therefore the security properties are usually violated if we admit as schedulers all possible functions of the paths: certain schedulers induce a dependence of the observables on the secrets, and protocols which would not leak secret information when running in “real” systems (where the scheduling devices cannot “see” the internal secrets of the components and therefore cannot depend on them), do leak secret information under this more permissive notion of scheduler. This is a well known problem for which various solutions have already been proposed [7, 8, 10, 9]. We will come back to these in the “Related work” section.

1.1. Contribution

We now list the main contribution of this work:

- We define a class of partial-information schedulers (which we call admissible), schedulers in this class are a restricted version of standard (full-information) schedulers. The restriction is rather flexible and has strong structural properties, thus facilitating the reasoning about security properties. In short, our systems consist of parallel components with certain restrictions on the secret choices and nondeterministic choices. The scheduler selects the next component (or components, in case of synchronization) for the subsequent step independently of the secret choices. We then formalize the notion of quantitative information flow, or degree of anonymity, using this restricted notion of scheduler.

- We propose alternative definitions to the property of strong anonymity defined in [3]. Our proposal differs from the original definition in two aspects: (1) the system should be strongly anonymous for all admissible schedulers instead of all schedulers (which is a very strong condition, never satisfied in practice), (2) we consider several variants of adversaries, namely (in increasing level of power): external adversaries, internal adversaries, and adversaries in collusion with the scheduler (in a Dolev-Yao fashion). Additionally, we use admissible schedulers to extend the notions of multiplicative and additive leakage (proposed in [38] and [5] respectively) to the case of a concurrent system.
We propose a sufficient technique to prove probabilistic strong anonymity, and probabilistic noninterference, based on automorphisms. The idea is the following: In the purely nondeterministic setting, the strong anonymity of a system is often proved (or defined) as follows: take two users $A$ and $B$ and a trace in which user $A$ is ‘the culprit’. Now find a trace that looks the same to the adversary, but in which user $B$ is ‘the culprit’ [25, 22, 31, 26]. This new trace is often most easily obtained by switching the behavior of $A$ and $B$. Non-interference can be proved in the same way (where $A$ and $B$ are high information and the trace is the low information).

In this work, we make this technique explicit for anonymity in systems where probability and nondeterminism coexist, and we need to cope with the restrictions on the schedulers. We formalize the notion of switching behaviors by using automorphism (it is possible to switch the behavior of $A$ and $B$ if there exist an automorphism between them) and then show that the existence of an automorphism implies strong anonymity.

We illustrate the problem with full-information schedulers in security, our solution providing admissible schedulers, and the application of our proving technique by means of the well known Dining Cryptographers anonymity protocol.

1.2. Related Work

The problem of the full-information scheduler has already been extensively investigated in literature. The works [7] and [8] consider probabilistic automata and introduce a restriction on the scheduler to the purpose of making them suitable to applications in security. Their approach is based on dividing the actions of each component of the system in equivalence classes (tasks). The order of execution of different tasks is decided in advance by a so-called task scheduler. The remaining nondeterminism within a task is resolved by a second scheduler, which models the standard adversarial scheduler of the cryptographic community. This second entity has limited knowledge about the other components: it sees only the information that they communicate during execution. Their notion of task scheduler is similar to our notion of admissible scheduler, but more restricted since the strategy of the task scheduler is decided entirely before the execution of the system.

Another work along these lines is [19], which uses partitions on the state-space to obtain partial-information schedulers. However that work considers a synchronous parallel composition, so the setting is rather different from ours.

The work in [10, 9] is similar to ours in spirit, but in a sense dual from a technical point of view. Instead of defining a restriction on the class of schedulers, they provide a way to specify that a choice is transparent to the scheduler. They achieve this by introducing labels in process terms, used to represent both the states of the execution tree and the next action or step to be scheduled. They make two states indistinguishable to schedulers, and hence the choice between them private, by associating to them the same label. Furthermore, their
“equivalence classes” (schedulable actions with the same label) can change dynamically, because the same action can be associated to different labels during the execution.

In [1] we have extended the framework presented in this work (by allowing internal nondeterminism and adding a second type of scheduler to resolve it) with the aim of investigating angelic vs demonic nondeterminism in equivalence-based properties.

The fact that full-information schedulers are unrealistic has also been observed in fields other than security. With the aim to cope with general properties (not only those concerning security), first attempts used restricted schedulers in order to obtain rules for compositional reasoning [19]. The justification for those restricted schedulers is the same as for ours, namely, that not all information is available to all entities in the system. Later on, it was shown that model checking is unfeasible in its general form for the kind of restricted schedulers presented in [19]. See [24] and, more recently, [23].

To the best of our knowledge, this is the first work using automorphisms as a sound proof technique (in our case to prove strong anonymity and non-interference). The closest line of work we are aware of is in the field of model checking. There, isomorphisms can be used to identify symmetries in the system, and such symmetries can then be exploited to alleviate the state space explosion (see for instance [28]).

A notion similar to that of automorphism, namely bisimulation, has been used in several works to define and verify anonymity and privacy properties (see for instance [20]). However, the underlying models are different: we annotate the transitions with a label identifying the active component(s), which means that an automorphism can relate different states only if they result from different probabilistic choices. The notion of bisimulation used in the security literature, on the contrary, usually relates states resulting from different nondeterministic choices. In [1] we argue that the notion of bisimulation can be too permissive in certain cases.

A preliminary version of this work, without proofs, appeared in [2].

1.3. Plan of the paper

Looking ahead, after reviewing some preliminaries (Section 2) we formalize the notions of systems and components (Section 3). In Section 4 we present admissible schedulers. We then formalize the notions of internal and external strong anonymity in a probabilistic and nondeterministic setting for admissible schedulers (Section 5). Finally, we turn our attention to the verification problem, in Section 6 we present a strong-anonymity proving technique based on automorphisms. We conclude and outline some future work in Section 7.

2. Preliminaries

In this section we gather preliminary notions and results related to probabilistic automata [37, 36], information theory [18], and information leakage [38, 5].
2.1. Probabilistic automata

A function \( \mu : Q \to [0, 1] \) is a discrete probability distribution on a set \( Q \) if \( \sum_{q \in Q} \mu(q) = 1 \). The set of all discrete probability distributions on \( Q \) is denoted by \( \mathcal{D}(Q) \).

A probabilistic automaton is a quadruple \( M = (Q, \Sigma, \hat{q}, \theta) \) where \( Q \) is a countable set of states, \( \Sigma \) a finite set of actions, \( \hat{q} \) the initial state, and \( \theta \) a transition function \( \theta : Q \to \mathcal{P}(\mathcal{D}(\Sigma \times Q)) \). Here \( \mathcal{P}(X) \) is the set of all subsets of \( X \).

If \( \theta(q) = \emptyset \), then \( q \) is a terminal state. We write \( q \to \mu \) for \( \mu \in \theta(q) \), \( q \in Q \). Moreover, we write \( q \overset{a}{\to} r \) for \( q, r \in Q \) whenever \( q \to \mu \) and \( \mu(a, r) > 0 \). A fully probabilistic automaton is a probabilistic automaton satisfying \( |\theta(q)| \leq 1 \) for all states. In case \( \theta(q) \neq \emptyset \) in a fully probabilistic automaton, we will overload notation and use \( \theta(q) \) to denote the distribution outgoing from \( q \). A path in a probabilistic automaton is a sequence \( q_0 \overset{a_1}{\to} q_1 \overset{a_2}{\to} \cdots \) where \( q_i \in Q \), \( a_i \in \Sigma \) and \( q_i \overset{a_i}{\to} q_{i+1} \). A path can be finite in which case it ends with a state. A path is complete if it is either infinite or finite ending in a terminal state. Given a path \( \sigma \), first(\( \sigma \)) denotes its first state, and if \( \sigma \) is finite then last(\( \sigma \)) denotes its last state. A cycle is a path \( \sigma \) such that last(\( \sigma \)) = first(\( \sigma \)). Let Paths_\( q \)\( (M) \) denote the set of all paths. Paths_\( q \)\( (M) \) the set of all finite paths, and CPaths_\( q \)\( (M) \) the set of all complete paths of an automaton \( M \), starting from the state \( q \). We will omit \( q \) if \( q = \hat{q} \). Paths are ordered by the prefix relation, which we denote by \( \leq \).

The trace of a path is the sequence of actions in \( \Sigma^* \cup \Sigma^\infty \) obtained by removing the states, hence for the above path \( \sigma \) we have trace(\( \sigma \)) = \( a_1a_2\ldots \). If \( \Sigma' \subseteq \Sigma \), then trace\( \Sigma' \)(\( \sigma \)) is the projection of trace(\( \sigma \)) on the elements of \( \Sigma' \).

Let \( M = (Q, \Sigma, \hat{q}, \theta) \) be a (fully) probabilistic automaton, \( q \in Q \) a state, and let \( \sigma \in \text{Paths}_\( q \)\( (M) \) be a finite path starting in \( q \). The cone generated by \( \sigma \) is the set of complete paths \( \langle \sigma \rangle = \{ \sigma' \in \text{CPaths}_\( q \)\( (M) | \sigma \leq \sigma' \} \). Given a fully probabilistic automaton \( M = (Q, \Sigma, \hat{q}, \theta) \) and a state \( q \), we can calculate the probability value, denoted by \( P_q(\sigma) \), of any finite path \( \sigma \) starting in \( q \) as follows:

\[
P_q(q) = 1 \quad \text{and} \quad P_q(\sigma \overset{a}{\to} q') = P_q(\sigma) \mu(a, q'), \quad \text{where last}(\sigma) \to \mu.
\]

Let \( \Omega_q \overset{\text{def}}{=} \text{CPaths}_\( q \)\( (M) \) be the sample space, and let \( F_q \) be the smallest \( \sigma \)-algebra generated by the cones. Then \( P_q \) induces a unique probability measure on \( F_q \) (which we will also denote by \( P_q \)) such that \( P_q(\langle \sigma \rangle) = P_q(\sigma) \) for every finite path \( \sigma \) starting in \( q \). For \( q = \hat{q} \) we write \( P \) instead of \( P_q \).

A (full-information) scheduler for a probabilistic automaton \( M \) is a function \( \zeta : \text{Paths}_\( q \)\( (M) \to (\mathcal{D}(\Sigma \times Q) \cup \{ \bot \}) \) such that for all finite paths \( \sigma \), if \( \theta(\text{last}(\sigma)) \neq \emptyset \) then \( \zeta(\sigma) \in \theta(\text{last}(\sigma)) \), and \( \zeta(\sigma) = \bot \) otherwise. Hence, a scheduler \( \zeta \) selects one of the available transitions in each state, and determines therefore a fully probabilistic automaton, obtained by pruning from \( M \) the alternatives that are not chosen by \( \zeta \). Note that a scheduler is history dependent since it can take different decisions for the same state \( s \) according to the past evolution of the system.
2.2 Noisy Channels

This section briefly recalls the notion of noisy channels from Information Theory [18].

A noisy channel is a tuple $C \overset{\text{def}}{=} (\mathcal{X}, \mathcal{Y}, P(\cdot | \cdot))$ where $\mathcal{X} = \{x_1, x_2, \ldots, x_n\}$ is a finite set of input values, modeling the secrets of the channel, and $\mathcal{Y} = \{y_1, y_2, \ldots, y_m\}$ is a finite set of output values, the observables of the channel. For $x_i \in \mathcal{X}$ and $y_j \in \mathcal{Y}$, $P(y_j | x_i)$ is the conditional probability of obtaining the output $y_j$ given that the input is $x_i$. These conditional probabilities constitute the so called channel matrix, where $P(y_j | x_i)$ is the element at the intersection of the $i$-th row and the $j$-th column. For any input distribution $P_X$ on $\mathcal{X}$, $P_X$ and the channel matrix determine a joint probability $P_{\wedge}$ on $\mathcal{X} \times \mathcal{Y}$, and the corresponding marginal probability $P_Y$ on $\mathcal{Y}$ (and hence a random variable $Y$). $P_X$ is also called a priori distribution and it is often denoted by $\pi$. The probability of the input given the output is called a posteriori distribution.

2.3 Information leakage

We recall here the definitions of multiplicative leakage proposed in [38], and of additive leakage proposed in [5]. We assume given a noisy channel $C = (\mathcal{X}, \mathcal{Y}, P(\cdot | \cdot))$ and a random variable $X$ on $\mathcal{X}$. The a priori vulnerability of the secrets in $\mathcal{X}$ is the probability of guessing the right secret, defined as $V(X) \overset{\text{def}}{=} \max_{x \in \mathcal{X}} P_X(x)$. The rationale behind this definition is that the adversary’s best bet is on the secret with highest probability. The a posteriori vulnerability of the secrets in $\mathcal{X}$ is the probability of guessing the right secret, after the output has been observed, averaged over the probabilities of the observables. The formal definition is $V(X | Y) \overset{\text{def}}{=} \sum_{y \in \mathcal{Y}} P_Y(y) \max_{x \in \mathcal{X}} P(x | y)$. Again, this definition is based on the principle that the adversary will choose the secret with the highest a posteriori probability.

Note that, using the definition of conditional probability, we can write the a posteriori vulnerability in terms of the joint probability, or in terms of the channel matrix and the a priori distribution:

$$V(X | Y) = \sum_{y \in \mathcal{Y}} \max_{x \in \mathcal{X}} P_X(x, y) = \sum_{y \in \mathcal{Y}} \max_{x \in \mathcal{X}} (P(y | x) P_X(x))$$

The multiplicative leakage is $L_\times(C, P_X) \overset{\text{def}}{=} \frac{V(X | Y)}{V(X)}$ whereas the additive leakage is $L_\dagger(C, P_X) \overset{\text{def}}{=} V(X | Y) - V(X)$.

2.4 Dining Cryptographers

This problem, described by Chaum in [13], involves a situation in which three cryptographers are dining together. At the end of the dinner, each of

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4The notion proposed by Smith in [38] was given in a (equivalent) logarithmic form, and called simply leakage. For uniformity sake we use here the terminology and formulation of [5].
them is secretly informed by a central agency (master) whether he should pay the bill, or not. So, either the master will pay, or one of the cryptographers will be asked to pay. The cryptographers (or some external observer) would like to find out whether the payer is one of them or the master. However, if the payer is one of them, they also wish to maintain anonymity over the identity of the payer.

A possible solution to this problem, described in [13], is that each cryptographer tosses a coin, which is visible to himself and his neighbor to the left. Each cryptographer observes the two coins that he can see and announces agree or disagree. If a cryptographer is not paying, he will announce agree if the two sides are the same and disagree if they are not. The paying cryptographer will say the opposite. It can be proved that if the number of disagrees is even, then the master is paying; otherwise, one of the cryptographers is paying. Furthermore, in case one of the cryptographers is paying, neither an external observer nor the other two cryptographers can identify, from their individual information, who exactly is paying (provided that the coins are fair). The Dining Cryptographers (DC) will be a running example through the paper.

![Figure 1: Chaum’s system for the Dining Cryptographers ([13])](image)

3. Systems

In this section we describe the kind of systems we are dealing with. We start by introducing a variant of probabilistic automata, that we call tagged probabilistic automata (TPA). These systems are parallel compositions of purely probabilistic processes, that we call components. They are equipped with a unique identifier, that we call tag, or label, of the component. Note that, because of the restriction that the components are fully deterministic, nondeterminism is generated only from the interleaving of the parallel components. Furthermore,
because of the uniqueness of the tags, each transition from a node is associated

to a different tag / pair of two tags (one in case only one component makes a step,
and two in case of a synchronization step among two components).

3.1. Tagged Probabilistic Automata

We now formalize the notion of TPA.

**Definition 1.** A tagged probabilistic automaton (TPA) is a tuple \((Q, L, \Sigma, ˆq, \theta)\), where

- \(Q\) is a set of states,
- \(L\) is a set of tags, or labels,
- \(\Sigma\) is a set of actions,
- \(ˆq \in Q\) is the initial state,
- \(\theta: Q \to \mathcal{P}(L \times D(\Sigma \times Q))\) is a transition function.

with the additional requirement that for every \(q \in Q\) and every \(\ell \in L\) there is at most one \(\mu \in D(\Sigma \times Q)\) such that \((\ell, \mu) \in \theta(q)\).

A path for a TPA is a sequence \(\sigma = q_0 \xrightarrow{a_1} q_1 \xrightarrow{a_2} q_2 \cdots\). In this way, the process with identifier \(l_i\) induces the system to move from \(q_{i-1}\) to \(q_i\) performing the action \(a_i\), and it does so with probability \(\mu_{l_i}(a_i, q_i)\), where \(\mu_{l_i}\) is the distribution associated to the choice made by the component \(l_i\). Finite paths and complete paths are defined in a similar manner.

In a TPA, the scheduler’s choice is determined by the choice of the tag. We will use \(\text{enab}(q)\) to denote the tags of the components that are enabled to make a transition. Namely,

\[
\text{enab}(q) \overset{\text{def}}{=} \{ \ell \in L | \exists \mu \in D(\Sigma \times Q) : (\ell, \mu) \in \theta(q) \}
\]  

We assume that the scheduler is forced to select a component among those which are enabled, i.e., that the execution does not stop unless all components are blocked (suspended or terminated). This is in line with the spirit of process algebra, and also with the tradition of Markov Decision Processes, but contrasts with that of the Probabilistic Automata of Lynch and Segala [37]. However, the results in this paper do not depend on this assumption: we could as well allow the (more general) notion of schedulers which may decide to terminate the execution even though there are transitions which are possible from the last state. The reason we did not do it is because it would be confusing in the setting of process algebra, and also it would complicate the notation and the proofs. On the other hand, for the purposes of this paper the generality added by the second notion of scheduler can be captured by adding a component that performs only one observable action representing termination.

**Definition 2.** A scheduler for a TPA \(M = (Q, L, \Sigma, ˆq, \theta)\) is a function \(\zeta: \text{Paths}^*(M) \to (L \cup \{\bot\})\) such that for all finite paths \(\sigma\), \(\zeta(\sigma) \in \text{enab(last}(\sigma))\) if \(\text{enab(last}(\sigma)) \neq \emptyset\) and \(\zeta(\sigma) = \bot\) otherwise.
3.2. Components

To specify the components we use a sort of probabilistic version of CCS [32, 33]. We assume a set of secret actions $\Sigma_S$ with elements $s, s_1, s_2, \ldots$, and a disjoint set of observable actions $\Sigma_O$ with elements $a, a_1, a_2, \ldots$. Furthermore we have a disjoint set of communication actions of the form $c(x)$ (receive $x$ on channel $c$, where $x$ is a formal parameter), or $\bar{c}(v)$ (send $v$ on channel $c$, where $v$ is a value on some domain $V$). Sometimes we need only to synchronize without transmitting any value, in which case we will use simply $c$ and $\bar{c}$. We denote the set of channel names by $C$.

A component $q$ is specified by the following grammar:

Components

$$q ::= \begin{array}{l}
0 \quad \text{termination} \\
\bar{a}.q \quad \text{observable prefix} \\
\sum_i p_i : q_i \quad \text{blind choice} \\
\sum_i p_i : s_i.q_i \quad \text{secret choice} \\
\text{if } x = v \text{ then } q_1 \text{ else } q_2 \quad \text{conditional} \\
A \quad \text{process call}
\end{array}$$

Observables

$$a ::= c \mid \bar{c} \quad \text{simple synchronization} \\
\mid \quad c(x) \mid \bar{c}(v) \quad \text{synchronization and communication}$$

The $p_i$, in the blind and secret choices, represents the probability of the $i$-th branch and must satisfy $0 \leq p_i \leq 1$ and $\sum_i p_i = 1$. When no confusion arises, we use simply $+$ for a binary choice. The process call $A$ is a simple process identifier. For each of them, we assume a corresponding unique process declaration of the form $A \defeq q$. The idea is that, whenever $A$ is executed, it triggers the execution of $q$. Note that $q$ can contain $A$ or another process identifier, which means that our language allows (mutual) recursion.

Note that each component contains only probabilistic and sequential constructs. In particular, there is no internal parallelism nor nondeterminism (apart from the input nondeterminism, which disappears in the definition of a system). Hence each component corresponds to a purely probabilistic automaton, as described by the operational semantics below. The main reason to dismiss the use of internal parallelism and nondeterminism is verification: as mentioned in the introduction we will present a proving technique for the different definitions of anonymity proposed in this work. This result would not be possible without such restriction on the components (see Example 5).

For an extension of this framework allowing the use of internal parallelism and nondeterminism we refer to [1]. There, the authors combine global nondeterminism (arising from the interleaving of the components) and local nondeterminism (allowed as a primitive and also arising from the internal parallelism.
of the components). The authors use such (extended) framework for a different purpose than ours, namely to define a notion of equivalence suitable for security analysis. No verification mechanisms are provided in [1].

Components’ semantics: The operational semantics consists of probabilistic transitions of the form \(q \rightarrow \mu\) where \(q \in Q\) is a process, and \(\mu \in \mathcal{D}(\Sigma \times Q)\) is a distribution on actions and processes. They are specified by the following rules:

\[
\begin{align*}
\text{PRF1} & \\
& \frac{v \in V}{c(x).q \rightarrow \delta(c(v), q[v/x])} \\
\text{PRF2} & \\
& \frac{a \neq c(x)}{a.q \rightarrow \delta(a, q)} \\
\text{INT} & \\
& \frac{}{\sum_i p_i : q_i \rightarrow \sum_i p_i \cdot \delta(\tau, q_i)} \\
\text{SECR} & \\
& \frac{}{\sum_i p_i : s_i.q_i \rightarrow \sum_i p_i \cdot \delta(s_i, q_i)} \\
\text{CND1} & \\
& \frac{v = v}{\text{if } v = v \text{ then } q_1} \quad \frac{\text{else } q_2 \rightarrow \delta(\tau, q_1)}{A \rightarrow \mu} \\
\text{CND2} & \\
& \frac{v \neq v'}{\text{if } v = v' \text{ then } q_1} \quad \frac{\text{else } q_2 \rightarrow \delta(\tau, q_2)}{A \rightarrow \mu}
\end{align*}
\]

\(\sum_i p_i \cdot \mu_i\) is the distribution \(\mu\) such that \(\mu(x) = \sum_i p_i \mu_i(x)\). We use \(\delta(x)\) to represent the delta of Dirac, which assigns probability 1 to \(x\). The silent action, \(\tau\), is a special action different from all the observable and the secret actions. \(q[v/x]\) stands for the process \(q\) in which any occurrence of \(x\) has been replaced by \(v\). To shorten the notation, in the examples throughout the paper, we omit writing explicit termination, i.e., we omit the symbol 0 at the end of a term.

3.3. Systems

A system consists of \(n\) processes (components) in parallel, restricted at the top-level on the set of channel names \(C\):

\[(C) \quad q_1 \parallel q_2 \parallel \cdots \parallel q_n.\]

The restriction on \(C\) enforces synchronization (and possibly communication) on the channel names belonging to \(C\), in accordance with the CCS spirit. Since \(C\) is the set of all channels, all of them are forced to synchronize. This is to eliminate, at the level of systems, the nondeterminism generated by the rule for the receive prefix, PRF1.

Systems’ semantics: The semantics of a system gives rise to a TPA, where the states are terms representing systems during their evolution. A transition now is of the form \(q \rightarrow \mu\) where \(\mu \in \mathcal{D}(\Sigma \times Q)\) and \(\ell \in L\) is either the identifier of the component which makes the move, or a two-element set of identifiers.
representing the two partners of a synchronization. The following two rules provide the operational semantics rules in the case of interleaving and synchronization/communication, respectively.

**Interleaving.**

$$q_i \rightarrow \sum_j p_j \cdot \delta(a_j, q_{ij})$$

$$(C) \; q_1 \parallel \cdots \parallel q_i \parallel \cdots \parallel q_n \overset{\tau}{\rightarrow} \sum_j p_j \cdot \delta(a_j, (C) \; q_1 \parallel \cdots \parallel q_{ij} \parallel \cdots \parallel q_n)$$

If $a_j \not\in C$

where $i$ indicates the tag of the component making the step.

**Synchronization/Communication.**

$$q_i \rightarrow \delta(\bar{c} \langle v \rangle, q'_i) \quad q_j \rightarrow \delta(c(v), q'_j)$$

$$(C) \; q_1 \parallel \cdots \parallel q_i \parallel \cdots \parallel q_n \overset{\{i,j\}}{\rightarrow} \delta(\tau, (C) \; q_1 \parallel \cdots \parallel q'_i \parallel \cdots \parallel q'_j \parallel \cdots \parallel q_n)$$

here $\{i, j\}$ is the tag indicating that the components making the step are $i$ and $j$. For simplicity we write $\overset{\{i,j\}}{\rightarrow}$ instead of $\overset{\{i,j\}}{\rightarrow}$. The rule for synchronization without communication is similar, the only difference is that we do not have $\langle v \rangle$ and $(v)$ in the actions. Note that $c$ can only be an observable action (neither a secret nor $\tau$), by the assumption that channel names can only be observable actions.

We note that both interleaving and synchronization rules generate nondeterminism. The only other source of nondeterminism is PRF1, the rule for a receive prefix $c(x)$. However the latter is not real nondeterminism: it is introduced in the semantics of the components but it disappears in the semantics of the systems, given that the channel $c$ is restricted at the top-level. In fact the restriction enforces communication, and when communication takes place, only the branch corresponding to the actual value $v$ transmitted by the corresponding send action is maintained, all the others disappear.

**Proposition 1.** The operational semantics of a system is a TPA with the following characteristics:

(a) Every step $q \xrightarrow{\ell} \mu$ is either

- a blind choice: $\mu = \sum_i p_i \cdot \delta(\tau, q_i)$, or
- a secret choice: $\mu = \sum_i p_i \cdot \delta(s_i, q_i)$, or
- a delta of Dirac: $\mu = \delta(\alpha, q')$ with $\alpha \in \Sigma_O$ or $\alpha = \tau$. 

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(b) If \( q \xrightarrow{} \mu \) and \( q \xrightarrow{} \mu' \) then \( \mu = \mu' \).

Proof.

(a) The rules for the components and the rule for synchronization / communication can only produce blind choices, secret choices, or deltas of Dirac. Furthermore, because of the restriction on all channels, the transitions at the system level cannot contain communication actions. Finally, observe that the interleaving rule maintains these properties.

(b) At the component level, the only source of nondeterminism is PRF1, the rule for a receive prefix \( c(x) \). At the system level, this action is forced to synchronize with a corresponding send action, and, in a component, there can be only one such action available at a time. Hence the tag determines the value to be sent, which in turn determines the selection of exactly one branch in the receiving process. The only other sources of nondeterminism are the interleaving and the synchronization/communication rules, and they induce a different tag for each alternative.

Example 1. We now present the components for the Dining Cryptographers using the introduced syntax. They correspond to Figure 1 and to the automata depicted in Figure 3. As announced before, we omit the symbol 0 for explicit termination at the end of each term. The secret actions \( s_i \) represent the choice of the payer. The operators \( \oplus, \ominus \) represent the sum modulo 2 and the difference modulo 2, respectively. The test \( i == n \) returns 1 (true) if \( i = n \), and 0 otherwise. The set of restricted channel names is 
\[ C = \{c_{0,0}, c_{0,1}, c_{1,1}, c_{1,2}, c_{2,0}, c_{2,2}, m_0, m_1, m_2\} \].

\[
\text{Master} \overset{\text{def}}{=} p : m_0(0) \cdot m_1(0) \cdot m_2(0) + (1 - p) : \sum_{i=0}^{2} p_i : s_i.
\]

\[
\text{Crypt}_i \overset{\text{def}}{=} m_i(pay) \cdot c_{i,i}(coin_1) \cdot c_{i,i}(coin_2) \cdot \overline{\text{out}}(\text{pay} \oplus \text{coin}_1 \oplus \text{coin}_2).
\]

\[
\text{Coin}_i \overset{\text{def}}{=} 0.5 : \overline{\text{out}}(0) \cdot \overline{\text{out}}(1) + 0.5 : \overline{\text{out}}(1) \cdot \overline{\text{out}}(1).
\]

\[
\text{System} \overset{\text{def}}{=} (C) \cdot \text{Master} \parallel \prod_{i=0}^{2} \text{Crypt}_i \parallel \prod_{i=0}^{2} \text{Coin}_i.
\]

Figure 2: Dining Cryptographers CCS

The operation \( \text{pay} \oplus \text{coin}_1 \oplus \text{coin}_2 \) in Figure 2 is syntactic sugar, it can be defined using the \( \text{if-then-else} \) operator. Note that, in this way, if a cryptographer is not paying (\( \text{pay} = 0 \)), then he announces 0 if the two coins are the same (agree) and 1 if they are not (disagree).
4. Admissible Schedulers

We now introduce the class of admissible schedulers.

Standard (full-information) schedulers have access to all the information about the system and its components, and in particular the secret choices. Hence, such schedulers can leak secrets by making their decisions depend on the secret choice of the system. This is the case with the Dining Cryptographers protocol of Section 2.4: among all possible schedulers for the protocol, there are several that leak the identity of the payer. In fact the scheduler has the freedom to decide the order of the announcements of the cryptographers (interleaving), so a scheduler could choose to let the payer announce lastly. In this way, the attacker learns the identity of the payer simply by looking at the interleaving of the announcements.

4.1. The screens intuition

Let us first describe admissible schedulers informally. As mentioned in the introduction, admissible schedulers can base their decisions only on partial information about the evolution of the system, in particular admissible schedulers cannot base their decisions on information concerned with the internal behavior of components (such as secret choices).

We follow the subsequent intuition: admissible schedulers are entities that have access to a screen with buttons, where each button represents one (current) available option. At each point of the execution the scheduler decides the
next step among the available options (by pressing the corresponding button). Then the output (if any) of the selected component becomes available to the scheduler and the screen is refreshed with the new available options (the ones corresponding to the system after making the selected step). We impose that the scheduler can base its decisions only on such information, namely: the screens and outputs he has seen up to that point of the execution (and, of course, the decisions he has made).

**Example 2.** Consider \( S \overset{\text{def}}{=} (\{c_1, c_2\}) r || q || t \), where

\[
\begin{align*}
r &\overset{\text{def}}{=} 0.5 : s_1; s_2 + 0.5 : s_2; s_1, \\
q &\overset{\text{def}}{=} c_1.(0.5 : a_1 + 0.5 : b_1), \\
t &\overset{\text{def}}{=} c_2.(0.5 : a_2 + 0.5 : b_2).
\end{align*}
\]

Figure 4 shows the sequence of screens corresponding to a particular sequence of choices taken by the scheduler\(^5\). Interleaving and communication options are represented by yellow and red buttons, respectively. An arrow between two screens represents the transition from one to the other (produced by the scheduler pressing a button), additionally, the decision taken by the scheduler and corresponding outputs are depicted above each arrow.

![Figure 4: Screens intuition](image)

Note that this system has exactly the same problem as the DC protocol: a full-information scheduler could reveal the secret by basing the interleaving order (\( q \) first or \( t \) first) on the secret choice of the component \( r \). However, the same does not hold anymore for admissible schedulers (the scheduler cannot deduce the secret choice by just looking at the screens and outputs). This is also the case for the DC protocol, i.e., admissible schedulers cannot leak the secret of the protocol.

### 4.2. The formalization

Before formally defining admissible schedulers we need to formalize the ingredients of the screens intuition. The buttons on the screen (available options)

---

\(^5\)The transitions from screens 4 and 5 represent 2 steps each (for simplicity we omit the \( \tau \)-steps generated by blind choices)
are the enabled options given by the function enab (see (1) in Section 3), the decision made by the scheduler is the tag of the selected enabled option, observable actions are obtained by sifting the secret actions to the schedulers by means of the following function:

\[
sift(\alpha) \overset{\text{def}}{=} \begin{cases} 
\alpha & \text{if } \alpha \in \Sigma_O \cup \{\tau\}, \\
\tau & \text{if } \alpha \in \Sigma_S.
\end{cases}
\]

The partial information of a certain evolution of the system is given by the map \( t \) defined as follows.

**Definition 3.** Let \( q \xrightarrow{\ell_1, \alpha_1} \cdots \xrightarrow{\ell_n, \alpha_n} q_{n+1} \) be a finite path of the system, then we define \( t \) as:

\[
t(q \xrightarrow{\ell_1, \alpha_1} \cdots \xrightarrow{\ell_n, \alpha_n} q_{n+1}) \overset{\text{def}}{=} (\text{enab}(q), \ell_1, \text{sift}(\alpha_1)) \cdots (\text{enab}(q_n), \ell_n, \text{sift}(\alpha_n)) \cdot \text{enab}(q_{n+1}).
\]

Finally, we have all the ingredients needed to define admissible schedulers.

**Definition 4 (Admissible schedulers).** A scheduler \( \zeta \) is admissible if for all \( \sigma, \sigma' \in \text{Paths}^* \)

\[
t(\sigma) = t(\sigma') \implies \zeta(\sigma) = \zeta(\sigma').
\]

In this way, admissible schedulers are forced to take the same decisions on paths that they cannot tell apart. Note that this is a restriction on the original definition of (full-information) schedulers where \( t \) is the identity map over finite paths (and consequently the scheduler is free to choose differently).

In the kind of systems we consider (the TPAs) the only source of nondeterminism are the interleaving and interactions of the parallel components. Consequently, in a TPA the notion of scheduler is quite simple: its role, indeed, is to select, at each step, the component or pair of components which will perform the next transition. In addition, the TPA model allows us to express in a simple way the notion of admissibility: in fact the transitions available in the last state of \( \sigma \) are determined by the set of components enabled in the last state of \( \sigma \), and \( t(\sigma) \) gives (among other information) such set. Therefore \( t(\sigma) = t(\sigma') \) implies that the last states of \( \sigma \) and \( \sigma' \) have the same possible transitions, hence it is possible to require that \( \zeta(\sigma) = \zeta(\sigma') \) without being too restrictive or too permissive. In more general systems, where the sources of nondeterminism can be arbitrary, it is difficult to impose that the scheduler “does not depend on the secret choices”, because different secret choices in general may give rise to states with different sets of transitions, and it is unclear whether such difference should be ruled out as “inadmissible”, or should be considered as part of what a “real” scheduler can detect.

5. Information-hiding properties in presence of nondeterminism

In this section we revise the standard definition of information flow and anonymity in our framework of controlled nondeterminism.
We first consider the notion of adversary. We consider three possible notions of adversaries, increasingly more powerful.

5.1. Adversaries

External adversaries: Clearly, an adversary should be able, by definition, to see at least the observable actions. For an adversary external to the system $S$, it is natural to assume that these are also the only actions that he is supposed to see. We also assume that an external adversary has no way to know whether an internal synchronization has taken place. To this purpose, we need to abstract from the $\tau$ actions. Therefore, we define the observation domain, for an external adversary, as the set of the (finite) sequences of observable actions, namely:

$$O_e \equiv \Sigma_O^*.$$ 

Correspondingly, we need a function $t_e : \text{Paths}^*(S) \rightarrow O_e$ that extracts the observables from the executions:

$$t_e \left( q_0 \tau l_1, \alpha_1 \rightarrow \cdots \tau l_n, \alpha_n \rightarrow q_{n+1} \right) \overset{\text{def}}{=} \text{sieve}(\alpha_1) \cdots \text{sieve}(\alpha_n)$$

where

$$\text{sieve}(\alpha) \overset{\text{def}}{=} \begin{cases} \alpha & \text{if } \alpha \in \Sigma_O, \\ \epsilon & \text{if } \alpha \in \Sigma_S \cup \{\tau\}. \end{cases}$$

Note that the difference between $\text{sift}$ and $\text{sieve}$ is that the latter transforms the $\tau$ actions in empty string, thus making completely invisible to the external adversary whether an internal synchronization has taken place or not.

Internal adversaries: An internal adversary may be able to see, besides the observables, also the interleaving and synchronizations of the various components, i.e. which component(s) are active, at each step of the execution. Hence it is natural to define the observation domain, for an internal adversary, as the sequence of pairs of observable action and tag (i.e. the identifier(s) of the active component(s)), namely:

$$O_i \equiv (L \times (\Sigma_O \cup \{\tau\}))^*.$$ 

Analogously to the case of the external adversaries, we need a function $t_i : \text{Paths}^*(S) \rightarrow O_i$ that extracts the observables from the executions:

$$t_i \left( q_0 \ell_1, \alpha_1 \rightarrow \cdots \ell_n, \alpha_n \rightarrow q_{n+1} \right) \overset{\text{def}}{=} (\ell_1, \text{sieve}(\alpha_1)) \cdots (\ell_n, \text{sieve}(\alpha_n)).$$

Note that, in contrast to the case of $t_e$, in the definition of $t_i$ we could have used, equivalently, $\text{sift}$ instead of $\text{sieve}$.

Adversaries in collusion with the scheduler: Finally, we consider the case in which the adversary is in collusion with the scheduler, or possibly the adversary
is the scheduler. To illustrate the difference between this kind of adversaries and internal adversaries, consider the scheduler of an operating system. In such scenario an internal adversary is able to see which process has been scheduled to run next (process in the “running state”) whereas an adversary in collusion with the scheduler can see as much as the scheduler, thus being able to see (in addition) which processes are in the “ready state” and which processes are in the “waiting / blocked” state. We will show later that such additional information does not help the adversary to leak information (see Proposition 4). The observation domain of adversaries in collusion with the scheduler coincides with the one of the scheduler:

\[ \mathcal{O}_s \overset{\text{def}}{=} (\mathcal{P}(L) \times L \times (\Sigma_{\mathcal{O}} \cup \{\tau\}))^*. \]

The corresponding function

\[ t_s : \text{Paths}^*(S) \to \mathcal{O}_s \]

is defined as the one of the scheduler, i.e. \( t_s = t. \)

5.2. Information leakage

In the fields of information flow and anonymity there is a converging consensus for formalizing the notion of leakage as the difference or the ratio between the a priori uncertainty that the adversary has about the secret, and the a posteriori uncertainty, that is, the residual uncertainty of the adversary once it has seen the outcome of the computation. The uncertainty can be measured in different ways. One popular approach is the information-theoretic one, according to which the system is seen as a noisy channel between the secret inputs and the observable output, and uncertainty corresponds to the entropy of the system (see preliminaries – Section 2). In this approach, the leakage is represented by the so-called mutual information, which expresses the correlation between the input and the output.

In most of the approaches in the information flow literature the notion of entropy uses is Shannon entropy. However Cachin, in his PhD thesis [6], had already argued that the right notion of entropy should depend on the notion of adversary, and on the way we measure its success. More recently, Köpf and Basin have considered again this intuition, and have developed an information-theoretic schema to define leakage in terms of mutual information for a large class of adversaries [27].

In his recent paper, Smith has considered again the question of the most suitable notion of entropy, focusing on the particular case of one-try attacks [38]. He has argued that Shannon entropy is not suitable to represent the security threats in the case in which the adversary is interested in figuring out the secret in one try, and he has proposed to use Rényi’s min entropy instead, or equivalently, the average probability of guessing the secret in one try. This leads to interpret the uncertainty in terms of the notion of vulnerability defined in the preliminaries (Section 2). The corresponding notion of leakage, in the
pure probabilistic case, has been investigated in [38] (multiplicative case) and in [5] (additive case).

Here we adopt the vulnerability-based approach to define the notion of leakage in our probabilistic and nondeterministic context. The Shannon-entropy-based approach could be extended to our context as well, because in both cases we only need to specify how to determine the conditional probabilities which constitute the channel matrix, and the marginal probabilities that constitute the input and the output distribution.

We will denote by $S$ the random variable associated to the set of secrets $S = \Sigma S$, and by $O_x$ the random variables associated to the set of observables $O_x$, where $x \in \{e, i, s\}$. So, $O_x$ represents the observation domains for the various kinds of adversaries defined above.

As mentioned before, our results require some structural properties for the system: we assume that there is a single component in the system containing a secret choice and this component contains a single secret choice. This hypothesis is general enough to allow expressing protocols like the Dining Cryptographers, Crowds, voting protocols, etc., where the secret is chosen only once.

**Assumption 1.** A system contains exactly one component with a syntactic occurrence of a secret choice, and such a choice does not occur in the scope of a recursive call.

Note that the assumption implies that the choice appears exactly once in the operational semantics of the component. It would be possible to relax the assumption and allow more than one secret choice in a component, as long as there are no observable actions between the secret choices. But for the sake of simplicity in this paper we impose the more restrictive requirement. As a consequence, we have that the operational semantics of systems satisfies the following property:

**Proposition 2.** If $q \xi \rightarrow \mu$ and $q' \ell' \rightarrow \mu'$ are both secret choices, then $\ell = \ell'$ and there exist $p_i$'s, $q_i$'s and $q'_i$'s such that:

$$
\mu = \sum_i p_i \cdot \delta(s_i, q_i) \quad \text{and} \quad \mu' = \sum_i p_i \cdot \delta(s_i, q'_i)
$$

i.e., $\mu$ and $\mu'$ differ only for the continuation states.

**Proof.** Because of Assumption 1, there is only one component that can generate a secret choice, and it generates only one such choice. Due to the different possible interleavings, this choice can appear as an outgoing transition in more than one state of the TPA, but the probabilities are always the same, because the interleaving rule does not change them.

Given a system, each scheduler $\zeta$ determines a fully probabilistic automaton, and, as a consequence, the probabilities

$$
P_\zeta(s, o) \overset{\text{def}}{=} P_\zeta \left( \bigcup \{ \langle \sigma \rangle \mid \sigma \in \text{Paths}^*(S), t_s(\sigma) = o, \text{secr}(\sigma) = s \} \right)
$$
for each secret $s \in S$ and observable $o \in O_x$, where $x \in \{e, i, s\}$. Here $secr$ is the map from paths to their secret action. From these we can derive, in standard ways, the marginal probabilities $P_\zeta(s)$, $P_\zeta(o)$, and the conditional probabilities $P_\zeta(o \mid s)$.

Every scheduler leads to a (generally different) noisy channel, whose matrix is determined by the conditional probabilities as follows:

**Definition 5.** Let $x \in \{e, i, s\}$. Given a system and a scheduler $\zeta$, the corresponding channel matrix $C_\zeta^x$ has rows indexed by $s \in S$ and columns indexed by $o \in O_x$. The value in $(s, o)$ is given by

$$P_\zeta(o \mid s) = \frac{P_\zeta(s, o)}{P_\zeta(s)}$$

Given a scheduler $\zeta$, the multiplicative leakage can be defined as $L_x(C_\zeta^e, P_\zeta)$, while the additive leakage can be defined as $L_+(C_\zeta^e, P_\zeta)$ where $P_\zeta$ is the a priori distribution on the set of secrets (see preliminaries, Section 2). However, we want a notion of leakage independent from the scheduler, and therefore it is natural to consider the worst case over all possible admissible schedulers.

**Definition 6 ($x$-leakage).** Let $x \in \{e, i, s\}$. Given a system, the multiplicative leakage is defined as

$$ML_x \overset{\text{def}}{=} \max_{\zeta \in \text{Adm}} L_x(C_\zeta^x, P_\zeta),$$

while the additive leakage is defined as

$$ML_+ \overset{\text{def}}{=} \max_{\zeta \in \text{Adm}} L_+(C_\zeta^x, P_\zeta),$$

where $\text{Adm}$ is the class of admissible schedulers defined in the previous section.

We have that the classes of observables $e$, $i$, and $s$ determine an increasing degree of leakage:

**Proposition 3.** Given a system, for the multiplicative leakage we have

1. For every scheduler $\zeta$, $L_x(C_\zeta^e, P_\zeta) \leq L_x(C_\zeta^i, P_\zeta) \leq L_x(C_\zeta^s, P_\zeta)$

2. $ML_+ \leq ML_+ \leq ML_+$

Similarly for the additive leakage.

**Proof.**

1. The property follows immediately from the fact that the domain $O_e$ is an abstraction of $O_i$, and $O_i$ is an abstraction of $O_s$.

2. Immediate from previous point and from the definition of $ML_x$ and $ML_+$. 

\[\square\]
5.3. Strong anonymity (revised)

We consider now the situation in which the leakage is the minimum for all possible admissible schedules. In the purely probabilistic case, we know that the minimum possible multiplicative leakage is 1, and the minimum possible additive one is 0. We also know that this is the case for all possible input distributions if and only if the capacity of the channel matrix is 0, which corresponds to the case in which the rows of the matrix are all the same. This corresponds to the notion of strong probabilistic anonymity defined in [3]. In the framework of information flow, it would correspond to probabilistic non-interference. Still in [3], the authors considered also the extension of this notion in presence of non-determinism, and required the condition to hold under all possible schedulers. This is too strong in practice, as we have argued in the introduction: in most cases we can build a scheduler that leaks the secret by changing the interleaving order. We therefore tune this notion by requiring the condition to hold only under the admissible schedulers.

Definition 7 \((x\text{-strongly anonymous})\). Let \(x \in \{e, i, s\}\). We say that a system is \(x\)-strongly-anonymous if for all admissible schedulers \(\zeta\) we have

\[ P_\zeta(o \mid s_1) = P_\zeta(o \mid s_2) \]

for all \(s_1, s_2 \in \Sigma_S\), and \(o \in O_x\).

The following corollary is an immediate consequence of Proposition 3.

Corollary 8.

1. If a system is \(s\)-strongly-anonymous, then it is also \(i\)-strongly-anonymous.
2. If a system is \(i\)-strongly-anonymous, then it is also \(e\)-strongly-anonymous.

The converse of point (2), in the previous corollary, does not hold, as shown by the following example:

Example 3. Consider the system \(S \equiv (\{c_1, c_2\}) \ P \ || \ Q \ || \ T\) where

\[ P \equiv (0.5 : s_1. c_1) + (0.5 : s_2. c_2) \quad Q \equiv c_1. o \quad T \equiv c_2. o \]

It is easy to check that \(S\) is \(e\)-strongly anonymous but not \(i\)-strongly anonymous, showing that (as expected) internal adversaries can “distinguish more” than external adversaries.

On the contrary, for point (1) of Corollary 8, also the other direction holds:

Proposition 4. A system is \(s\)-strongly-anonymous if and only if it is \(i\)-strongly-anonymous.
Proof. Corollary 8 ensures the only-if part. For the if part, we proceed by contradiction. Assume that the system is $i$-strongly-anonymous but that $P_\zeta(o \mid s_1) \neq P_\zeta(o \mid s_2)$ for some admissible scheduler $\zeta$ and observable $o \in O_\zeta$. Let $o = (\text{enab}(\hat{q}), \ell_1, \text{sift}(\alpha_1)) \cdots (\text{enab}(q_n), \ell_n, \text{sift}(\alpha_n))$ and let $o'$ be the projection of $o$ on $O_\zeta$, i.e. $o' = (\ell_1, \text{sift}(\alpha_1)) \cdots (\ell_n, \text{sift}(\alpha_n))$. Since the system is $i$-strongly-anonymous, $P_\zeta(o' \mid s_1) = P_\zeta(o' \mid s_2)$, which means that the difference in probability with respect to $o$ must be due to at least one of the sets of available processes. Let us consider the first set $L$ in $o$ which exhibits a difference in the probabilities, and let $o''$ be the prefix of $o$ up to the tuple containing $L$. Since the probabilities are determined by the distributions on the probabilistic choices which occur in the individual components, the probability of each $\ell \in L$ to be available (given the trace $o''$) is independent of the other labels in $L$. At least one such $\ell$ must therefore have a different probability, given the trace $o''$, depending on whether the secret choice was $s_1$ or $s_2$. And, because of the assumption on $L$, we can replace the conditioning on trace $o''$ with the conditioning on the projection $o'''$ of $o''$ on $O_\zeta$. Consider now an admissible scheduler $\zeta'$ that acts like $\zeta$ up to $o''$, and then selects $\ell$ if and only if it is available. Since the probability that $\ell$ is not available depends on the choice of $s_1$ or $s_2$, we have $P_\zeta(o''' \mid s_1) \neq P_\zeta(o''' \mid s_2)$, which contradicts the hypothesis that the system is $i$-strongly-anonymous.

Intuitively, this result means that an $s$-adversary can leak information if and only if an $i$-adversary can leak information or, in other words, $s$-adversaries are as powerful as $i$-adversaries (even when the former can observe more information).

6. On the verification of strong anonymity: a proving technique based on automorphisms

As mentioned in the introduction, several problems involving restricted schedulers have been shown undecidable (including computing maximum/minimum probabilities for the case of standard model checking [24], [23]). These results are discouraging in the aim to find algorithms for verifying strong anonymity/non-interference using our notion of admissible schedulers (and most definitions based on restricted schedulers). Despite the fact that the problem seems to be undecidable in general, in this section we present a sufficient (but not necessary) anonymity proving technique: we show that the existence of automorphisms between each pair of secrets implies strong anonymity. We conclude this section illustrating the applicability of our proving technique by means of the DC protocol, i.e., we prove that the protocol does not leak information by constructing automorphisms between pairs of cryptographers. It is worth mentioning that our proving technique is general enough to be used for the analysis of leakage information of a broad family of protocols, namely any protocol that can be modeled in our framework.
6.1. The proving technique

In practice proving anonymity often happens in the following way. Given a trace in which user \( A \) is the 'culprit', we construct an observationally equivalent trace in which user \( B \) is the 'culprit' [25, 22, 31, 26]. This new trace is typically obtained by 'switching' the behavior of users \( A \) and \( B \). We formalize this idea by using the notion of automorphism, cf. e.g. [35].

Definition 9 (Automorphism). Given a TPA \((Q, L, \Sigma, \hat{q}, \theta)\) we say that a bijection \( f : Q \rightarrow Q \) is an automorphism if it satisfies \( f(\hat{q}) = \hat{q} \) and

\[
q \xrightarrow{\ell} \sum_i p_i \cdot \delta(\alpha_i, q_i) \iff f(q) \xrightarrow{\ell} \sum_i p_i \cdot \delta(\alpha_i, f(q_i)).
\]

In order to prove anonymity it is sufficient to prove that the behaviors of any two 'culprits' can be exchanged without the adversary noticing. We will express this by means of the existence of automorphisms that exchange a given pair of secrets \( s_i \) and \( s_j \).

Before presenting the main theorem of this section we need to introduce one last definition. Let \( S = (C) q_1 || \cdots || q_n \) be a system and \( M \) its corresponding TPA. We define \( M_\tau \) as the automaton obtained after “hiding” all the secret actions of \( M \). The idea is to replace every occurrence of a secret \( s \) in \( M \) by the silent action \( \tau \). Note that this can be formalized by replacing the secret choice by a blind choice in the corresponding component \( q_i \) of the system \( S \).

We now formalize the relation between automorphisms and strong anonymity. We will first show that the existence of automorphisms exchanging pairs of secrets implies \( s \)-strong anonymity (Theorem 1). After, we will show that the converse does not hold, i.e., \( s \)-strongly-anonymous systems are not necessarily automorphic (Example 4).

Theorem 1. Let \( S \) be a system satisfying Assumption 1 and \( M \) its tagged probabilistic automaton. If for every pair of secrets \( s_i, s_j \in \Sigma_S \) there exists an automorphism \( f \) of \( M_\tau \) such that for any state \( q \) we have

\[
q \xrightarrow{\ell, s_i} M q' \implies f(q) \xrightarrow{\ell, f(s_i)} M f(q'),
\]

then \( S \) is \( s \)-strongly-anonymous.

Proof. Assume that for every pair of secrets \( s_i, s_j \) we have an automorphism \( f \) satisfying the hypothesis of the theorem. We have to show that, for every admissible scheduler \( \zeta \) we have:

\[
\forall o \in \mathcal{O}_k : \mathbf{P}_\zeta (o \mid s_1) = \mathbf{P}_\zeta (o \mid s_2).
\]

We start by observing that for \( s_i \), by Proposition 2, there exists a unique \( p_i \) such that, for all transitions \( q \xrightarrow{\ell} \mu \), if \( \mu \) is a (probabilistic) secret choice, then \( \mu(s_i, -) = p_i \). Similarly for \( s_j \), there exists a unique \( p_j \) such that \( \mu(s_j, -) = p_j \) for all secret choices \( \mu \).
Let us now recall the definition of \( P_\zeta (o \mid s) \):

\[
P_\zeta (o \mid s) \overset{\text{def}}{=} \frac{P_\zeta (o \land s)}{P_\zeta (s)}
\]

where

\[
P_\zeta (o \land s) \overset{\text{def}}{=} P_\zeta (\{ \pi \in \text{CPaths} \mid t_s (\pi) = o \land \text{secr}(\pi) = s \})
\]

with \( \text{secr}(\pi) \) being the (either empty or singleton) sequence of secret actions of \( \pi \), and

\[
P_\zeta (s) \overset{\text{def}}{=} P_\zeta (\{ \pi \in \text{CPaths} \mid \text{secr}(\pi) = s \})
\]

Note that, since a secret appears at most once on a complete path, we have:

\[
P_\zeta (s_i) = P_\zeta \left( \{ \pi \overset{\ell, s_i}{\longrightarrow} \sigma \in \text{CPaths} \mid \pi, \sigma \} \right)
\]

\[
= \sum_{\pi \overset{\ell, s_i}{\longrightarrow} q_i \in \text{Paths}^*} P_\zeta (\pi) \cdot p_i
\]

and analogously

\[
P_\zeta (s_j) = P_\zeta \left( \{ \pi \overset{\ell, s_j}{\longrightarrow} \sigma \in \text{CPaths} \mid \pi, \sigma \} \right)
\]

\[
= \sum_{\pi \overset{\ell, s_j}{\longrightarrow} q_j \in \text{Paths}^*} P_\zeta (\pi) \cdot p_j
\]

Let us now consider \( P_\zeta (o \mid s_i) \) and \( P_\zeta (o \mid s_j) \). We have:

\[
P_\zeta (o \land s_i)
\]

\[
= P_\zeta \left( \{ \pi \overset{\ell, s_i}{\longrightarrow} \sigma \in \text{CPaths} \mid t_s (\pi) = o \land \text{secr}(\pi) = s \} \right)
\]

\[
= \sum_{\pi \overset{\ell, s_i}{\longrightarrow} \mu \in \text{Paths}^*} P_\zeta (\pi) \cdot p_i \cdot \sum_{\pi \overset{\ell, s_i}{\longrightarrow} \sigma \in \text{CPaths}^*} P_\zeta (\sigma) \cdot \pi, \sigma \in \text{CPaths}^* \text{ and last}(t_s (\sigma)) = o \land \text{last}(t_s (\sigma)) \neq \tau
\]
again using that a secret appears at most once on a complete path. Moreover, note that we have overloaded the notation $P_\zeta$ by using it for different measures when writing $P_\zeta(\sigma)$, since $\sigma$ need not start in the initial state $\hat{q}$.

Analogously we have:

$$P_\zeta(o \land s_j) = P_\zeta\left(\left\{\pi \xrightarrow{\ell,s_j} \sigma \in \text{CPaths} \mid t_s(\pi \xrightarrow{\ell,s_j} \sigma) = o\right\}\right)$$

$$= \sum_{\pi} P_\zeta(\pi) \cdot p_{ij} \cdot \sum_{\sigma} P_\zeta(\sigma)$$

$$= \sum_{\text{last(}\pi\text{)} \rightarrow \mu} \sum_{\mu \text{ secret choice}} P_\zeta(\pi) \cdot P_\zeta(\sigma)$$

$$= \sum_{\text{last(}\pi\text{)} \rightarrow \mu} \sum_{\mu \text{ secret choice}} P_\zeta(\pi) \cdot P_\zeta(\sigma)$$

Therefore, we derive

$$P_\zeta(o \mid s_i) = \frac{\sum_{\text{last(}\pi\text{)} \rightarrow \mu} \sum_{\mu \text{ secret choice}} P_\zeta(\pi) \cdot P_\zeta(\sigma)}{\sum_{\text{last(}\pi\text{)} \rightarrow \mu} \sum_{\mu \text{ secret choice}} P_\zeta(\pi)}$$  \hspace{1cm} (3)$$

$$P_\zeta(o \mid s_j) = \frac{\sum_{\text{last(}\pi\text{)} \rightarrow \mu} \sum_{\mu \text{ secret choice}} P_\zeta(\pi) \cdot P_\zeta(\sigma)}{\sum_{\text{last(}\pi\text{)} \rightarrow \mu} \sum_{\mu \text{ secret choice}} P_\zeta(\pi)}$$  \hspace{1cm} (4)$$

Observe that the denominators of both formulae (3) and (4) are the same. Also note that, since $f$ is an automorphism, for every path $\pi$, $f(\pi)$ obtained by replacing each state in $\pi$ with its image under $f$ is also a path. Moreover, since $f$ satisfies (2), for every path $\pi \xrightarrow{\ell,s_i} \sigma$ we have that $f(\pi) \xrightarrow{\ell,s_i} f(\sigma)$ is also a path. Furthermore $f$ induces a bijection between the sets

$$\{(\pi,\sigma) \mid \text{last(}\pi\text{)} \rightarrow \mu \text{ s.t. } \mu \text{ secret choice, } \pi \xrightarrow{\ell,s_i} \sigma \in \text{Paths}^* \quad t_s(\pi \xrightarrow{\ell,s_i} \sigma) = o, \text{last}(t_s(\sigma)) \neq \tau \}$$

and

$$\{(\pi,\sigma) \mid \text{last(}\pi\text{)} \rightarrow \mu \text{ s.t. } \mu \text{ secret choice, } \pi \xrightarrow{\ell,s_i} \sigma \in \text{Paths}^* \quad t_s(\pi \xrightarrow{\ell,s_i} \sigma) = o, \text{last}(t_s(\sigma)) \neq \tau \}$$

given by $(\pi,\sigma) \leftrightarrow (f(\pi), f(\sigma))$. 24
Finally, since \( \zeta \) is admissible, \( t_\zeta(\pi) = t_\zeta(f(\pi)) \), and \( f \) is an automorphism, it is easy to prove by induction that \( P_\zeta(\pi) = P_\zeta(f(\pi)) \). Similarly, \( P_\zeta(\sigma) = P_\zeta(f(\sigma)) \). Hence the numerators of (3) and (4) coincide which concludes the proof.

Note that, since \( s \)-strong anonymity implies \( i \)-strong anonymity and \( e \)-strong anonymity, the existence of such an automorphism implies all the notions of strong anonymity presented in this work. We now proceed to show that the converse does not hold, i.e., strongly-anonymous systems are not necessarily automorphic.

**Example 4.** Consider the following (single component) system

\[
0.5 : s_1.(0.5 : (p : a + (1-p) : b) + 0.5 : ((1-p) : a + p : b)) + 0.5 : s_2.(0.5 : (q : a + (1-q) : b) + 0.5 : ((1-q) : a + q : b))
\]

It is easy to see that such system is \( s \)-strongly-anonymous, however if \( p \neq q \) and \( p \neq 1-q \) there does not exist an automorphism for the pair of secrets \((s_1, s_2)\).

The following example demonstrates that our proving technique does not carry over to systems whose components admit internal parallelism.

**Example 5.** Consider \( S \overset{\text{def}}{=} (\{c_1, c_2\}) \) \( r \parallel q \parallel t \), where

\[
r \overset{\text{def}}{=} 0.5 : s_1.\overline{r_1} + 0.5 : s_2.\overline{r_2},
q \overset{\text{def}}{=} c_1.(a \parallel b), \quad t \overset{\text{def}}{=} c_2.(a \parallel b).
\]

where \( q_1 | q_2 \) represents the parallel composition of \( q_1 \) and \( q_2 \). It is easy to show that there exists an automorphism for \( s_1 \) and \( s_2 \). However, admissible schedulers are able to leak such secrets. This is due to the fact that component \( r \) synchronizes with \( q \) and \( t \) on different channels, thus a scheduler of \( S \) is not restricted to select the same transitions on the branches associated to \( s_1 \) and \( s_2 \) (remember that schedulers can observe synchronization).

For the same reason, our proving technique does not extend to systems whose components contain nondeterminism. An example proving this point can be obtained from the previous one by replacing the parallel composition \( q_1 | q_2 \) with the nondeterministic composition \( q_1 + q_2 \).

We now show that the definition of \( x \)-strong-anonymity is independent of the particular distribution over secrets, i.e., if a system is \( x \)-strongly-anonymous for a particular distribution over secrets, then it is \( x \)-strongly-anonymous for all distributions over secrets. This result is useful because it allows us to prove systems to be strongly anonymous even when their distribution over secrets is not known.

**Theorem 2.** Consider a system \( S = (C) q_1 \parallel \cdots \parallel q_i \parallel \cdots \parallel q_n \). Let \( q_0 \) be the component which contains the secret choice, and assume that it is of the form
Consider now the system \( S' = (C) q_1 \parallel \cdots \parallel q'_i \parallel \cdots \parallel q_n \), where \( q'_i \) is identical to \( q_i \) except for the secret choice, which is replaced by \( \sum_j p'_j : s_j \cdot q_j \). Then we have that:

1. For every \( s_i, s_j \) there is an automorphism on \( S \) satisfying the assumption of Theorem 1 if and only if the same holds for \( S' \).

2. \( S \) is \( x \)-strongly-anonymous if and only if \( S' \) is \( x \)-strongly-anonymous.

Note: 1) does not imply 2), because in principle neither \( S \) nor \( S' \) may have the automorphism, and still one of the two could be strongly anonymous.

Proof. We note that the PAs generated by \( S \) and \( S' \) coincide except for the probability distribution on the secret choices. Since the definition of automorphism and the assumption of Theorem 1 do not depend on these probability distributions, (1) is immediate. As for (2), we observe that \( x \)-strong anonymity only depends on the conditional probabilities \( P_\zeta(o|s) \). By looking at the proof of Theorem 1, we can see that in the computation of \( P_\zeta(o|s) \) the probabilities on the secret choices (i.e. the \( p_j \)'s) are eliminated. Namely \( P_\zeta(o|s) \) does not depend on the \( p_j \)'s, which means that the value of the \( p_j \)'s has no influence on whether the system is \( x \)-strong anonymous or not.

6.2. An Application: Dining Cryptographers

Now we show how to apply the proving technique presented in this section to the Dining Cryptographers protocol. Concretely, we show that there exists an automorphism \( f \) exchanging the behavior of the Crypt\( i_0 \) and Crypt\( i_1 \); by symmetry, the same holds for the other two combinations.

Consider the automorphisms of Master and Coin\( i_1 \) indicated in Figure 5. The states that are not explicitly mapped (by a dotted arrow) are mapped to themselves.

Also consider the identity automorphism on Crypt\( i_1 \) (for \( i = 0, 1, 2 \)) and on Coin\( i \) (for \( i = 0, 2 \)). It is easy to check that the product of these seven automorphisms is an automorphism for Crypt\( 0 \) and Crypt\( 1 \).

7. Conclusion and future work

We have defined a class of partial-information schedulers which can only base their decisions on the information they have available. In particular they cannot base their decisions on the internal behavior of the components. We have used admissible schedulers to resolve nondeterminism in a realistic way, and to tune the definition of strong anonymity proposed in [3].

Furthermore, we have presented a technique to prove the various definitions of strong anonymity proposed in the paper. This is particularly interesting considering that many problems related to restricted schedulers have been shown to be undecidable. In particular we have shown how to use the technique to
prove that the DC protocol is strongly anonymous when considering admissible schedulers, in contrast to the situation when considering full-information schedulers.

We think that the results of this paper would hold also if we considered probabilistic schedulers (instead than deterministic ones). In a sense, the issue of probabilistic versus deterministic schedulers is orthogonal to the issue of partial versus full information schedulers, and to the problem of “taming” nondeterminism to avoid leakage.

For future work, we plan to investigate the decidability problem for the various definitions of strong anonymity we have proposed. Another interesting direction for future work is to extend well known isomorphism-checking algorithms and tools (see [21] for a survey) to our setting in order to verify automatically strong anonymity (in case an automorphism exists - recall that this is not a necessary condition).

Moreover, we plan to investigate the type of protocols that can be captured by our framework. We believe that we can cope also with systems which do not satisfy the restriction of secret choices being generated by a single component (Assumption 1). More precisely, we believe that our framework can be applied also to the case of system in which secret choices can occur in more than one component, provided that they occur at the beginning of the code (as it is often the case). The idea is to automatically transform the system into a new one satisfying Assumption 1, whose secret choice corresponds to the combination of the original ones, and in such a way that the new system is equivalent to the...
original one w.r.t. anonymity. The following example illustrates our intuition.

**Example 6.** Consider an electronic voting system EVS consisting of two components, \( P \) and \( Q \), who can vote for two candidates \( 0 \) and \( 1 \). Each component selects the candidate by making a probabilistic choice:

\[
\text{EVS} = (p : 0.P[0]) + ((1 - p) : 1.P[1]) \parallel (q : 0.Q[0]) + ((1 - q) : 1.Q[1]).
\]

We can transform EVS in the following system \( \text{EVS}' \) where the probabilistic choices are “centralized” and combined into one single choice, as follows:

\[
\text{EVS}' = (c, d) \text{VotesGenerator} \parallel c(v).P[v] \parallel d(v).Q[v]
\]

where

\[
\text{VotesGenerator} = (p \ q : \bar{c}(0) \bar{d}(0)) + (p \ (1 - q) : \bar{c}(0) \bar{d}(1)) +
((1 - p) \ q : \bar{c}(1) \bar{d}(0)) + ((1 - p) \ (1 - q) : \bar{c}(1) \bar{d}(1))
\]

We believe that \( \text{EVS} \) and \( \text{EVS}' \) are equivalent, in the sense that \( \text{EVS} \) satisfies strong anonymity iff \( \text{EVS}' \) satisfies strong anonymity.

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**References**


