

# Apples-to-apples: a framework analysis for energy-efficiency in networks

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# ▶ To cite this version:

Aruna Prem Bianzino, D. Rossi, Anand Kishore Raju. Apples-to-apples: a framework analysis for energy-efficiency in networks. ACM SIGMETRICS Performance Evaluation Review, 2010, 38 (3), pp.Pages 81-85. hal-00573050

HAL Id: hal-00573050

https://hal.science/hal-00573050

Submitted on 2 Mar 2011

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# Apple-to-Apple: A Common Framework for Energy-Efficiency in Networks

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#### **ABSTRACT**

Research on energy-efficiency in and through communication networks has already gained the attention of a broad research community. Specifically, we consider efforts towards improving environmental sustainability by making networks energy-aware. One of the first, and perhaps most important step towards this direction is establishing a comprehensive methodology for measuring and reporting the energy consumption of the network. In this work, we compare and contrast various energy-related metrics used in the recent literature, by means of a taxonomy definition, as well as through relevant case studies. We believe this to be a first necessary step towards the definition of a common framework for the performance evaluation of energy-aware networks.

#### 1. INTRODUCTION

Information and Communication Technologies (ICT) offer the chance to rationalist the energy-usage and reduce the corresponding carbon emissions for non-ICT process, e.g., by reducing physical travel and enhancing on-line connectivity. At the same time, while ICTs undoubtedly represent an important cornerstone for the development of a carbon-lean economy, there are possible issues that can hamper this process. Whilst the perceived potential for ICT to help reducing carbon emissions is high, however the rapid widespread of ICT deployments in the form of networking equipments and data-centers will prove to be a road block in realizing this potential. Indeed, this ICTs widespread may in turn translate into an increased energy consumption, and in the consequent escalation of carbon emissions. As such, in the recent years, research effort devoted towards embedding energyawareness in ICTs has sprout, which is usually referred to as "greening" of the network.

A number of proposals aims at offering Telecom Operators and Internet Service Providers (ISPs) the ability to optimize their energy-efficiency, hence regulating both Total Cost of Ownership (TCO) and the related carbon foot-

print. At the same time, we point out that the effectiveness of this optimization process is strongly dependent on the accuracy of both the modeling and the input data (e.g., power profile of individual devices). Till now, the green networking community lacks this very definitions of effectiveness: indeed, the current literature proposes many heterogeneous metrics to qualify and quantify the energy savings [4–7,10,11,13,15–18,21–23]. Due to this heterogeneity, comparison of competing solutions may be more favorable to one or to another approach, depending on the metrics used to express the results of the benchmark. Hence, it becomes fundamental to define a coherent framework for the evaluation of networks, which should be able to fully characterize any possible trade-off between energy consumption and system performance.

Our work should be considered as a first step in this direction. It includes over-view and comparison of different metrics that have been proposed in the literature so far. We exemplify the use of these metrics considering both (i) a simple toy case, so as to give a litmus test of their usage, as well as (ii) a realistic in-depth case study, where we use these metrics to compare two routing devices (namely, Cisco CRS-1 and Juniper T1600).

# 2. A TAXONOMY OF GREEN NETWORK-ING METRICS

A comprehensive evaluation of energy savings and system performance in a real-world networking scenario is a challenging task, as many technological and non-technological aspects must be taken into account. Diverse issues like fault tolerance and transmission technologies (e.g., Ethernet, optical, wireless), can be difficult to homogeneously quantify, which complicates the task of developing such a common framework. Non-technical issues like verifiability, accessibility, and representivity of a framework makes the process even more complex.

By a common framework, we imply first of all the use of coherent and widely accepted *metrics* for the performance evaluation of an energy-aware network. More precisely, a framework can be defined as a performance indicator which must be: *simple* enough to be understood; *accurate* enough to withstand scrutiny; and both *usable* and *relevant* enough to be an effective agent of change. As research in green networking has proliferated, so has the number of proposals and studies, resulting in a sprout of heterogeneous energy-related metrics. As such, efforts from research community

Table 1: Summary and Taxonomy of Energy-Related Metrics

EPI [4] Rankings Environmental Performance Index, benchmarks the country's environmental performance.  ESI [5] Rankings Environmental Sustainability Index, indexes the country's environmental sustainability.  EVI [6] Indicators Environmental Vulnerability Index, characterizes various environmental issues.	Country-Level	Uses	Description
EVI [6] Indicators Environmental Vulnerability Index, characterizes various environmental issues.	EPI [4]	Rankings	Environmental Performance Index, benchmarks the country's environmental performance.
EVI [6] Indicators Environmental Vulnerability Index, characterizes various environmental issues.	ESI [5]	Rankings	Environmental Sustainability Index, indexes the country's environmental sustainability.
	EVI [6]	Indicators	Environmental Vulnerability Index, characterizes various environmental issues.
HPI [10] Rankings Happy Planet Index, reveals the ecological efficiency of human well-being.	HPI [10]	Rankings	Happy Planet Index, reveals the ecological efficiency of human well-being.

Corporate-Level	Established as	Description
ISO/TC207 [11] GHG Protocol [7]	Workgroups Standards	Standardization in the field of environmental management tools and systems.  GHG Protocol Corporate Standard provides standards and guidance for companies and other
		organizations preparing a GHG emissions inventory.

Facility-Level	Range	Description
PUE [20]	1 to ∞	Power Usage Effectiveness, is defined as the ratio of data center power to IT power draw.
DCiE [20]	0 to 100%	Data-center infrastructure Efficiency, is a reciprocal of PUE times 100.
$W/ft^2$ [25]	0 to ∞	Over-estimates the area actually devoted to the servers.
DCP [20]	1 to ∞	Data-center Productivity, accounts only for the "useful work done" by the data-center.

Equipment-Level	Units	Description
ECR [13, 21]	Watt/Gbps	$ECR = E_f/T_f$ . Aggregated energy consumption normalized to capacity.
EER [21]	Gbps/Watt	EER = 1/ECR. For convenience, defined under the name of EER.
ECRW [13]	Watt/Gbps	$ECRW = (\alpha E_f + \beta E_h + \gamma E_l)/T_f$ , with $(\alpha, \beta, \gamma) = (0.35, 0.4, 0.25)$ .
		A weighted metric considering energy consumption at different loads.
EPI [23]	Percentage	EPI = 100(M-I)/M. Based on consumption at idle (I) and maximum (M) workload.
Power Per User [18]	Watt/user	Power consumed by each subscriber in a public Internet.
TEER [16]	Gbps/Watt	Telecommunications Energy Efficiency Ratio. Ratio of "useful work" to power consumption.
TEEER [17]	$-\log \frac{watt}{Gbps}$	Telecommunications Equipment Energy Efficiency Rating. Log of the power/throughput ratio.
CCR [22]	rad (Dimensionless)	Consumer level energy metrics, $CCR = E/\Sigma A(j)$ , where E is the power rating of a consumer
		network device, A is the energy allowance per function (DSL, Wi-Fi, etc).
Watts per circuit [15]	Watt	Used for point-to-point (Virtual Leased Line) Ethernet-Line services.
Watts per MAC [15]	Watt	Used for multipoint (MAC Address) Ethernet-LAN services.

are needed to improve the current state-of-the-art evaluation practices in green-networking research. In other words, a common ground is needed for defining a coherent set of energy-efficiency metrics, along with establishing a community wide consensus over their usage and applicability.

When considering the energy metrics present in the literature, we can broadly classify them into four categories, on the basis of the respective domain of application. The resulting categories are: (i) Equipment-level metrics, which account for the lowest level, by evaluating the energy-efficiency rating of an individual piece of ICT equipment; (ii) Facilitylevel metrics relates instead to higher-level systems, where several equipment are interconnected together (e.g., datacenters, Internet exchange points, ISP networks, etc.); (iii) Corporate-level metrics, mostly obscure at a user level, represent the framework where Corporations abide and implement programs in order to meet their social responsibility as good corporate citizens; and (iv) Country-level metrics, which evaluate and benchmark the relative environmental sustainability of a country as a whole, of which ICT represent a non-negligible part. The resulting taxonomy, together with the metrics definition, is reported in Tab. 1.

The extent of Tab. 1 well illustrates the aforementioned need of a common evaluation framework. Moreover, this need can be felt even when focusing on specific levels: e.g., in the case of data-centers research, which is a relatively mature research field, we see that although several metrics have already been proposed (Facility-level metrics in Tab. 1) nevertheless only some of them are widely used. The risk is that, without a coherent community-wide effort, the situation could further degenerate into an inorganic set of unpopular, heterogeneous and non-comparable metrics. On the

other hand, having a clearly agreed and widely accepted set of metrics can be beneficial to both the research community (e.g., to promote cross comparison studies) and the industry as well (e.g., to guide manufacturers and service providers in making informed decisions regarding infrastructure deployments and purchases).

Due to space constraints, in this work we focus on equipmentlevel metrics, which have recently enjoyed a consistent proliferation across the literature: a cursory viewpoint might only consider proposing a new energy metric, while ignoring its comprehensiveness, compatibility and redundancy with other prevailing metrics. Let us consider first a number of technology-agnostic metrics such as ECR [13, 21], EER [21], TEER [16] and TEEER [17] (which roughly correspond to the DCP facility-level metric): these metrics aim at weighting the "energy expenditure" on the ground of the "work". At the same time, the definition of "work" is not unique and can instead be rather flexible (e.g., CPU operations, capacity, throughput, etc.), while this can have some important consequences (e.g., intuitively, expressing the work in terms of capacity (C) or throughput (Th) has a strong bias on the actual results).

Moreover, even whether a common definition of work would be agreed, we notice that simple relationships exist among the different metrics: as further exacerbated in Tab. 2, these metric provide the same information, expressed in a slightly different quantitative fashion. The question still remains whether this redundancy is actually needed (e.g., as a logarithmic scale may be more readable in case of metric spanning several orders of magnitude) or whether it may instead represent a source of confusion.

Table 2: Apple-to-apple metric comparison

	TEER	TEEER	ECR	EER	
TEER	_	$e^{TEEER}$	$\frac{Th}{C \cdot ECR}$	$\frac{Th \cdot EER}{C}$	
TEEER	log TEER	-	$\log \frac{Th}{C \cdot ECR}$	$\log \frac{Th \cdot EER}{C}$	
ECR	$\frac{Th}{C \cdot TEER}$	$\frac{Th}{C}e^{-TEEER}$	_	$\frac{1}{EER}$	
EER	$\frac{C \cdot TEER}{Th}$	$\frac{C}{Th}e^{TEEER}$	$\frac{1}{ECR}$	-	

On the other hand, the above stated range of metrics (ECR, EER, TEER and TEEER) is unable to capture some relevant properties of the system, such as the degree of proportionality between the energy consumption and the level of utilization [19]. In particular, EPI [23] evaluates energy proportionality of a device or a system, on the basis of energy consumption at idle and maximum workloads respectively. Similarly, ECRW [13] can be considered as a weighted extension of the ECR metric: it quantifies the energy consumption of a device taking into account different workloads (at full, half and idle load). It is to be noted that, using weights, the notion of proportionality can be incorporated (to some extent) into other metrics as well. Nevertheless, this solution raises further degrees of freedom concerning the choice of the weights  $(\alpha, \beta, \gamma)$  in ECRW (and contrasting ECRW values gathered with different weights does not result in a apple-to-apple comparison).

The toy-case depicted in Fig. 1 is helpful to better understand the differences among a few relevant metrics (namely, EPI, ECR, ECRW). We consider three different power-profile of a device: a fully proportional model (in which the energy consumption is directly proportional to the utilization), an energy-agnostic model (in which the power drain is constant and irrespective of the utilization level) and a realistic model (including both fixed and proportional consumption components). For sake of simplicity, both maximum energy consumption and capacity are taken unitary (i.e., 1 Watt and 1 bps respectively, which results in highly unrealistic absolute values, that can however be relatively compared). It is here evident how different metrics highlight different aspects of a power consumption profile: EPI considers only the slope, ECR only the efficiency at maximum load, whereas ECRW weights both factors by sampling power efficiency at different loads (notice that given  $(\alpha, \beta, \gamma) = (0.35, 0.4, 0.25)$ , a ECRW = 0.55ECR denotes a fully proportional system while ECRW = ECR denotes a energy-agnostic system).

Finally, technology-dependence of metrics should be considered in the ICT context. Indeed, metrics that depend on the type of services offered, like power-per-{subscriber, port, VLL, circuit, MAC address} [15, 18], all express relevant information from an ISP perspective. It is also fairly difficult to coalesce all the above metrics: e.g., while power-per-subscriber and power-per-port fits well the case of a single-purpose PSTN network, ISPs providing triple-play services would find these conventional energy metrics insufficient for accurate evaluations. As a consequence, for telecom standards, the area of sustainability metrics has become a new battlefield where evaluation metrics are highly volatile mainly due to rapidly evolving services offered by the ISPs.

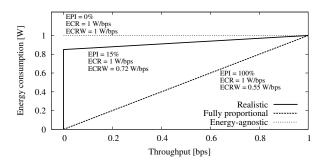


Figure 1: Litmus test of EPI, ECR, ECRW energy-related metrics over a toy-case.

# 3. CASE STUDY: PROFILING ROUTER EN-ERGY CONSUMPTION

In this section, as a realistic case study, we dress a detailed power-profile comparison of widely used network equipments. Specifically, using the previously defined metrics, we characterize the power consumption for different configurations of the Cisco CRS-1 and Juniper T1600 core routers.

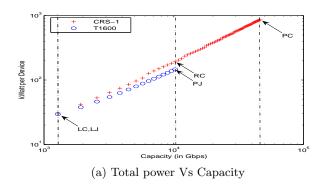
Before quantifying the metrics, it is necessary to consider the architectural and operational details of the considered devices. Notice that, in what follows, we focus on the configuration of the device, excluding external variants like cooling, power-redundancy and console systems, etc. Generally speaking, systems can be configured in *Single-Chassis* (as the Juniper T1600) and *Multi-Chassis* (as the Cisco CRS-1) mode. A basic Single-Chassis system is composed of several linecards (LC), such as Modular Service Cards (MSC) or Physical Interface Cards (PIC) linecards.

A Multi-Chassis system includes instead multiple LCs, which are interconnected by one or more switching fabric (SF) chassis. Interconnection through SFs allow multi-chassis systems to scale up the aggregated system capacity. For example as a Cisco CRS-1 switching fabric can interconnect up to 9 linecard shelves, a CRS-1 Multi-Chassis System can support an array of 72 linecard shelves interconnected by eight switching fabrics.

Tab.3 summarizes the power consumption of the individual sub-components of the CRS-1 and T1600 systems. Notice that we report nameplate ratings from vendors, which are typically overestimated (i.e., well above the actual power consumption values) thereby ensuring a safe system operation by reducing the odds that power-breakdowns occur. CRS-1 uses OC-768c/STM-256c linecards [2], which can support a 40 Gbps throughput for a power consumption of 500 W. Conversely, a Juniper T1600 equipped with a Tx Matrix Plus [12] switching fabric can interconnect 16 T1600 chassis into a single routing entity. T1600 uses OC-768c/STM-256c PIC linecards [14], which also provide a capacity of 40 Gbps for a power consumption of 66 W.

# 3.1 Profiling the Power Consumption

Total power consumption  $P_{total}$  of a Multi-Chassis system can be calculated by summing the power consumption of each component: namely, the power consumption  $P_{chassis}$  of



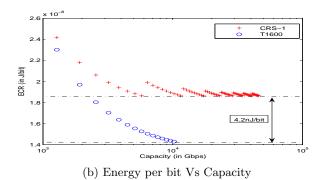


Figure 2: Comparison of Cisco CRS-1 and Juniper T1600: Watts-per-device and ECR metrics as a function of the router aggregated capacity.

Table 3: Power footprint of individual components

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Equipment	Functionality	Power (kW)
OC-768c/STM-256c	Linecard (CRS-1)	0.5[2,24]
OC-768c/STM-256c PIC	Linecard (T1600)	0.066 [14]
Switching Fabric	SF (CRS-1)	9.1 [1]
Tx Matrix Plus	SF (T1600)	12.75 [12]

an empty chassis (i.e., without active linecards), plus the power consumption  $P_{LC}$  of an active linecard installed in a linecard shelf, plus the power consumption  $P_{SF}$  of the switching fabric used to interconnect the Multi-Chassis system.

From Tab. 3, we find the power consumption of the OC-768c/STM-256c linecard ( $P_{LC}=0.5\,\mathrm{kW}$ ) and the switching fabric ( $P_{SF}=9.1\,\mathrm{kW}$ ) for a CRS-1. However, the power rating of the chassis  $P_{chassis}$  is not publicly available. To derive  $P_{chassis}$  we consider a fully equipped Cisco CRS-1 with a single chassis and 16 linecard chassis which is reported in [1,18] to have  $P_{total}=10.9\,\mathrm{kW}$ . Ripping off 16 active linecards (each consuming 500 Watts), gives us a conservative upper bound of  $P_{chassis}=2.92\,\mathrm{kW}$  for the empty CRS-1 chassis.

The above architectural details allow us to derive a model for the total power consumption  $P_{total}$  of any configuration of a CRS-1 Multi-Chassis system:

$$P_{total} = iP_{chassis} + 16iP_{LC} + \left\lceil \frac{i-1}{8} \right\rceil P_{SF}$$
 (1)

where  $i \in [2,72] \subset N$  corresponds to the number of line card chassis installed in the CRS-1 Multi-Chassis System. Notice that our model assumes that once a line card chassis is installed, it is fully utilized (i.e., it consumes  $P_{chassis}$ , plus  $iP_{LC}$  for each of the 16 cards it supports). Also notice that a variable number  $\left\lceil \frac{i-1}{8} \right\rceil$  of SF chassis are needed to support i line card chassis (more precisely, any eight slot is occupied by a SF element needed for the interconnection).

Using a similar profiling technique, we can derive the generic power model for the Juniper T1600 Multi-chassis System. From Tab. 3, we get  $P_{linecard} = 0.066 \, \mathrm{kW}$  and  $P_{SF} = 12.75 \, \mathrm{kW}$  for the Juniper T1600. Interestingly, notice that while the Juniper SF is much more power-hungry than the Cisco's one, the opposite happens concerning linecards (which is due to Short Reach interfaces, which consume much less power): overall, is thus hard to guess the global system power foot-

print, which furthermore depends on the (unknown)  $P_{chassis}$ . As before, we gather  $P_{chassis}$  by ripping off the 16 installed linecards (each consuming 66 W) from a system equipped with a single-chassis that [3] reports to have  $P_{total} = 8.35 \, \mathrm{kW}$ . Finally, we have:

$$P_{total}(i) = iP_{chassis} + 16iP_{LC} + \mathbb{I}_{i>0}P_{SF}$$
 (2)

where  $i \in [2,16] \subset N$  corresponds to the number of linecard chassis installed in the T1600: notice that, unlike in the CRS-1 case, a T1600 Multi-Chassis system only support a SF which delimits its scalability to 16 linecard chassis. It is to be noted that the SF is needed only when more than a single linecard chassis is in use (i.e.,  $\mathbb{I}_{i>0}$ ).

The resulting power profiling, for both Cisco CRS-1 and Juniper T1600, are shown in Fig. 2(a) as a function of the aggregated system capacity achieved under different configurations. Notice that in both cases the system capacity can be expressed as  $C_{total}(i) = 16iC_{LC}$ , where  $C_{LC}$  is the capacity of a single linecard. Fig. 2(a) reports the raw power consumption of the devices (i.e., Watt-per-device metric in Tab. 1); the total power required by CRS-1 and T1600 at a given capacity are reported with crosses and circles respectively. As expected, the power consumption grows roughly linearly with the capacity.

In the figure, we mark with vertical bars a few reference cases:  $L_C = L_J$  corresponding to the lowest capacity for both Cisco and Juniper, while  $P_C$  and  $P_J$  corresponding to the peak capacity configurations. It can be seen that Cisco CRS-1 is able to achieve a higher capacity as it allows the use of multiple switching fabrics: in the case in which capacity (i.e., the absolute amount of work done) is the primary metric to compare the above systems, Cisco is the clear winner. To allow a fair system comparison (i.e., for an equal amount of work done), we consider a further reference  $R_C = P_J$  for Cisco, corresponding to Juniper capacity peak.

In this reference case, we can compare both systems on the basis of the amount of power needed to offer the  $R_C = P_J$  capacity: it is easy to understand that Juniper achieves better performance. This can better be seen in Fig. 2(b), which reports the ECR metrics by normalizing the power consumption over the achievable capacity, thus expressing the energy cost for the device to process (i.e., route) a single bit of information. It can be seen that the ECR metric in

Table 4: Comparison summary of T1600 vs CRS-1

Metric	Juniper T1600		Cisco CRS-1	
Wethe	$L_J$	$P_J$	$L_C$	$R_C$
TEER [Gbps/Watt]	0.04	0.07	0.04	0.05
TEEER $\left[-\log \frac{Watt}{bps}\right]$	7.63	7.84	7.61	7.72
ECR [Watt/Gbps]	23.3	14.3	24.4	18.9
EER [Gbps/Watt]	0.04	0.07	0.04	0.05

the case of Cisco exhibits a non-monotonous behavior. Recall that, every 8th slot needs to be occupied by a switching fabric, which has a higher power consumption with respect to a linecard: this yield a spike in the power consumption, which (although present) could not be spotted in Fig. 2(a) due to the logarithmic y-axis scale. Notice that in  $R_C = P_J$  the energy saving of Juniper T1600 with respect to Cisco CRS-1 amounts to about 4.2 nJ/bit. Cisco Visual Index [8] estimates a yearly Internet traffic of about 885.29 Exabits. Considering this data traverses at least one core router, the annual energy saving can be as high as 1.03 TWh, which corresponds to approximately 700,000 Metric Tons of Carbon Dioxide Equivalent [9].

Finally, for the sake of completeness and to provide a litmus test for this case study as well, we quantify the metrics presented in Tab. 2. The resulting figures are reported in Tab. 4.It is to be noted that computation of TEER and TEEER assumes maximum device utilization. It can be seen that EER and TEER provide valuable but redundant information; moreover, ECR conveys the same quantitative information with perhaps a more intuitive unit of measure. Conversely TEEER, due to the use of a logarithmic scale, is useful for contrasting only very diverse values of measured efficiency.

### 4. CONCLUSION

Proper evaluation of energy-efficiency in green networking is a serious matter that the green networking community shall face soon: on the one hand, power profiles (i.e., the input data) are extremely volatile, due to the fast pace at which technology is evolving; on the other hand, a sprout of different heterogeneous key performance indicators (i.e., the output data) may pose further challenges. In this work, we overview and compare the most common metrics that can be found in the literature. We point out that, due to their intrinsic difference and relevance, likely no single metric can represent the whole state of the system. Nevertheless, choosing a metric rather than another may yield significantly different evaluation results: thus, we believe that a large consensus need to be reached as soon as possible on a reduced set of well defined performance indicators to promote cross-comparison. For illustration purposes, we then consider two case studies: a simple toy-case apt to show qualitative differences between the metrics, and a more realistic case considering widely popular core router devices.

#### Acknowledgment

This work was funded by Eureka Celtic TIGER2 project.

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