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Effect of HP/T treatments of in-package food on additive migration from conventional and bio-sourced materials

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3 **Effect of high pressure/temperature (HP/T) treatments of in-package food on**
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6 **additive migration from conventional and bio-sourced materials**
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Abstract

Migration was assessed during and after two high pressure/temperature (HP/T) treatments intended to perform a pasteurization (800 MPa for 5 min, from 20 to 40 °C) and a sterilization treatment (800 MPa for 5 min, from 90 to 115 °C) and were compared with conventional pasteurization and sterilization respectively. The specific migration of actual packaging additives used as antioxidants and UV absorbers (Irganox 1076, Uvitex OB) was investigated in a number of food-packaging system combining one synthetic common packaging (LLDPE) and a bio-sourced one (PLA) in contact with the four food simulating liquids defined by EC regulations. After standard HP/T processing, migration kinetics was followed during the service life of the packaging material using FTIR spectroscopy. LLDPE withstood the HP sterilization whereas it melted during the conventional sterilization. No difference was observed on migration from LLDPE for both treatments. In the case of PLA, migration of Uvitex OB was very low or not detectable for all the cases which were studied.

Introduction

In order to fulfill the increasing demand for high quality food, high pressure (HP) treatments stand as one of the most promising new preservation technologies. Indeed, HP has been proven to be a mild treatment able to render micro organisms inactive as well as the enzymes responsible for shelf-life shortening with almost no modifications of the sensory and nutritional attributes of the product (Rastogi et al. 2007) . In order to extend the applicability of HP, a number of papers have recently appeared proposing the combination of pressure and temperature as a less aggressive method to sterilize food than the conventional thermal sterilization (Ven et al. 2007; Wilson et al. 2008) . Since food is packed before high pressure/thermal (HP/T) batch processing, the packaging material is also exposed to non-conventional conditions of pressure and temperature. The packaging structure may be

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3 24 altered and consequently its mechanical and mass transfer (barrier and migration) properties as well
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6 25 (Guillard et al. 2009) . Therefore, it is essential to assess properly the impact of this novel process on
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8 26 migration in order to ensure its safety and avoid any potential health concern, especially since some
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11 27 migration issues have recently raised public awareness on this subject (Anonymous 2005; Anonymous
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13 28 2008) .
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17 30 Migration of a substance from packaging into food is a subject of growing interest for the scientific
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19
20 31 and legislative communities. Low and medium molecular weight substances, (e.g. plastic additives,
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22 32 residual monomers) are not chemically bound to the polymer chains and can therefore migrate from
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25 33 the polymer matrix, especially, when packaging undergoes severe conditions of temperature during
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27 34 treatment. To date, research on mass transfer phenomena in packaging submitted to the couple effect
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29 35 of HP/T found that these treatments had no or slight effect on barrier properties of packaging materials
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32 36 e.g. Lopez-Rubio et al (2005) on gas permeation and conditions up to 800 MPa and 75°C;
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34 37 Schauwecker et al.(2002) on permeation of the pressure transmitting fluid (up to 827 MPa and 75°C);
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36 38 Caner et al(2004) and Kübel et al (1996) on aroma sorption (up to 800 MPa and 60°C). To our
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39 39 knowledge, only one study (Caner and Harte 2005) was devoted to the impact of HP/T on specific
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41 40 migration of one additive (Irganox 1076 in PP) and did not observe any difference for treated samples
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44 41 at 800 MPa and 40 or 60°C.
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48 43 The aim of this paper is to present a complete assessment of migration under pasteurization and
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51 44 sterilization conditions. Therefore, it aims at extending the knowledge on migration including (i) the
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53 45 four food simulating liquids (FSL) set by European Directive 85/572/EEC (1985); (ii) more severe
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55 46 conditions of pressure/temperature coupled (up to 800 MPa and 115°C) and (iii) on new materials as
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58 47 bio-sourced PLA. It is important to point out that even if olive oil is the fatty FSL set in priority by
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3 48 legislation, none of the previously cited studies has tested it, despite being the most aggressive FSL for
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6 49 plastic materials. The conditions selected in this study were intended to represent sterilization and
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8 50 pasteurization (800 MPa/115°C or 800 MPa/40°C respectively) in order to assess the migration of two
9
10 51 additives from one commercial synthetic polymer (LLDPE) and one commercial bio-sourced polymer
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12 52 (PLA). In this purpose, the kinetics of mass transfer of the two model migrants from HP/T treated and
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15 53 non-treated LLDPE and PLA in contact with the four recommended FSL was investigated. One of the
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17 54 advantages of HP/T is the possibility to treat foodstuff in its final packaging and prevent the risk of
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20 55 recontamination. With this scope, it seemed natural to assess not only the effect of the treatment itself
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22 56 but also of the HP/T treatment and further storage. For evaluating migration a specific approach was
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25 57 used based on the use of a non destructive method for mass transfer kinetic evaluation and a
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27 58 mathematical model for identification of migrant diffusivity.
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32 60 **Materials and Methods**

34 61 *Chemicals*

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36 62 All surrogate compounds (Irganox 1076, Uvitex OB) and solvents were of reagent grade or highest
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39 63 purity available. 2,5-Bis-(5-tert.-butyl-benzoxazol-2-yl)-thiophen (Uvitex OB, 430.6 g mol⁻¹) was
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41 64 purchased from Fluka. Octadecyl 3-(3,5-di-tertbutyl-4-hydroxyphenyl)propionate (Irganox 1076, 530 g
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43 65 mol⁻¹), was purchased from Sigma-Aldrich. Methanol (99.9%), petroleum ether (40-60°C, puriss),
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46 66 tetrahydrofurane (THF, 98%) and toluene (99%) were purchased from Carlo Erba. Ethanol (99.8% v)
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49 67 and acetic acid (99-100% v) were purchased from Riedel-de Haën. Olive oil was purchased in a local
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51 68 supermarket. LLDPE pellets were purchased from Sigma Aldrich and PLA from Cargill Dow.
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53 69 *Films fabrication*

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55 70 LLDPE pellets were mixed with additives at 140°C (50 rpm) during 5 min. PLA pellets were mixed
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57
58 71 with additives at 160°C (50 rpm) during 5 min. The dough material obtained after mixing was then
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3 72 thermoformed (hot press) at 100 bars during 10 min at 140°C for LLDPE and 160°C for PLA. Films
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5
6 73 preparation was kindly carried out by IMCB (Naples, Italy). Two additives were used as surrogate:
7
8 74 Irganox 1076 and Uvitex OB. Three different samples were studied: LLDPE + Irganox 1076, LLDPE
9
10 75 + Uvitex OB and PLA + Uvitex OB, all at a nominal concentration of 0.4% w/w, higher than generally
11
12 76 recommended by the supplier (about 0.1% w/w). Final film's thickness was $656 \pm 64 \mu\text{m}$ for LLDPE
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14
15 77 + Uvitex OB, $492 \pm 25 \mu\text{m}$ for LLDPE + Irganox 1076 and $274 \pm 48 \mu\text{m}$ for PLA + Uvitex OB
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18 78 measured by using a micrometer (Braive Instruments, Chécy, Fr).

19 20 79 *Sample treatments*

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22 80 *High pressure treatments.* High pressure treatments were performed in a Resato hydrostatic pressure
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24 81 apparatus in A&F (Wageningen, Netherlands). For each case, the polymer strip was treated immersed
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26
27 82 in the FSL. Immediately after the treatment, both were removed and put together in a glass flask, in
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29 83 order to imitate the contact of an actual food product treated in its final packaging. Two different
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31
32 84 treatments were applied:

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34 85 - HP/HT treatment: 5 min at 800 MPa and 115°C with a pressure build-up regime of 800 MPa min^{-1}
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36 86 and a starting temperature of 90°C which rises to 115°C due to pressure; this treatment stands for a
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38
39 87 high pressure sterilization.

40
41 88 - HP/LT treatment: 5 min at 800 MPa and 40°C with a pressure build-up regime of 800 MPa min^{-1} and
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43 89 a starting temperature of 20°C which rises to 40°C due to pressure; this treatment stands for a high
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46 90 pressure pasteurization.

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48 91 *Control.* The treated samples were compared to an untreated control (UTC, 40°C) that was immersed
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50 92 in the FSL during 7 min in order to take into account the 5 min of treatment and time needed to build-
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53 93 up and release the pressure (2 min overall).

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3 94 *Conventional treatments.* The high pressure treatments were also compared to classical equivalent
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6 95 stabilization processes, i.e. thermal pasteurization and sterilization. The volume of FSL and surface of
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8 96 films treated were identical to the other experiments. The conditions chosen were:

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11 97 -Pasteurization: 30 min at 0.1 MPa and 63°C in a stove.

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13 98 -Sterilization: 20 min at 121°C in a Hydrolock ACB (Atelier de Technologie Alimentaire, Montpellier,
14
15 99 France).

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20 101 ***Migration kinetics***

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22 102 Following the conditions set in directives 85/572/EEC (1985) and 2002/72/EC (2002) , strips of
23
24 103 polymers (3.5 cm²) were immersed in 6 mL of food simulating liquid, FSL, and stored at 40°C. Four
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27 104 FSL were used: distilled water, 3% acetic acid, 15% ethanol and olive oil. The time of exposition was
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29 105 extended from 10 to 26 days in order to obtain further information about the kinetic profile. The
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32 106 desorption of Uvitex OB and Irganox 1076 was determined in the film in triplicate using a non-
33
34 107 destructive method (FTIR measurements) or a destructive method (extraction + UV). Before each
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36 108 measurement in FTIR, the strip of polymer is wiped, analyzed and then put again in the FSL. At the
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39 109 end of the migration test, the content of the remaining additive was determined in the polymer sample
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41 110 by using extraction + UV. The kinetics of Uvitex OB release from PLA was tentatively followed by
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43
44 111 FTIR (non-destructive method) but, unfortunately, high complexity of the PLA spectra prevented
45
46 112 quantification of Uvitex OB concentration using this non-destructive method. As consequence, all
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48 113 results of Uvitex OB concentration in PLA are based on UV analysis of extracts. For each of the
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51 114 samples the concentration of additives was determined at 3, 6, 10, 15 and 26 days after the treatment
52
53 115 by FTIR.

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55 116 ***FTIR measurements***

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3 117 LLDPE film samples were analyzed by transmission FTIR. Spectra were recorded using a Nexus 5700
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6 118 spectrometer (ThermoElectron Corp.) equipped with HeNe beam splitter and a cooled MCT detector.
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8 119 Spectral data were accumulated from 128 scans with a resolution of 4 cm^{-1} in the range $800\text{-}4000\text{ cm}^{-1}$.
9
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11 120 Three samples were employed for the measure and three spectra were recorded for each sample
12
13 121 All spectra pre-treatments were performed using Omnic v7.3 and TQ Analyst v7.3 softwares
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15 122 (ThermoElectron) Processing included: (1) a multipoint linear baseline correction, (2) a normalization
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17 123 according to the area of the LLDPE doublet ($1369\text{-}1378\text{ cm}^{-1}$) due to the CH_3 symmetric deformation
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20 124 vibration.
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24 126 The release of Uvitex OB from the sample was followed by the disappearance of a double bond
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26
27 127 aromatic peak at 1590 cm^{-1} . A Partial Least Square (PLS) model was calibrated on the basis of the
28
29 128 intensity ratio $1579/1378\text{ cm}^{-1}$. The regression produced a linear relationship ($R^2 = 0.96$, $\text{RMSE} =$
30
31
32 129 0.034% w/w) between spectral data and additives concentration measured by UV analysis as explained
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34 130 afterwards.
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39 132 Likewise, the release of Irganox 1076 from LLDPE samples was followed by the disappearance of the
40
41 133 peak at 1235 cm^{-1} following a similar procedure. The PLS results for Irganox 1076 were $R^2 = 0.97$,
42
43 134 $\text{RMSE} = 0.019\%$ w/w.
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45
46 135 FTIR measurements were successfully validated by measuring migration after 26 days of storage at
47
48 136 40°C using the UV method to determine the final content in the film.
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50 137 51 52 53 138 ***Raman spectroscopy***

54
55 139 Uvitex concentration profiles in the LLDPE were determined as follows. Thin slices of LLDPE were
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57
58 140 prepared using a razor blade and stuck on a microscope slide. Raman spectra were recorded between
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3 141 95 and 3500 cm^{-1} Raman shift using a confocal Raman microspectrometer Almega (Thermo-Electron)
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6 142 with the following configuration: excitation laser He-Ne $\lambda_0 = 633 \text{ nm}$, grating 500 grooves/mm,
7
8 143 pinhole 25 μm , objective $\times 50$. The collection time was about 50 s. Measurements were carried out in
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10
11 144 the depth sample with a step size of 10 μm from the sample center to the interface. All spectra pre-
12
13 145 treatments were performed with Omnic v7.1 (Thermo-Electron). Processing included: (i) a multipoint
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15 146 linear baseline correction, (ii) normalization according to the area of the LLDPE specific band at 1129
16
17 147 cm^{-1} representing the symmetric C-C stretching of all-trans PE chains. The relative content of Uvitex
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19
20 148 OB was assessed using the area of the specific doublet (1569-1614 cm^{-1}) assigned to the aromatic C=C
21
22 149 and C=N bands respectively.
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27 151 *Solubility of Uvitex OB in olive oil*

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29 152 Uvitex OB solubility in olive oil was measured by a Varian Cary Eclipse Fluorimeter. The
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31
32 153 measurements of fluorescence intensity were done at two excitation wavelengths, 332 and 373 nm, and
33
34 154 detected at an emission wavelength of 434 nm. The collection time was 1 s. The results were
35
36 155 averaged on the readings at the two excitations wavelengths.
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39 156 Solutions of olive oil were oversaturated with Uvitex OB and kept for 20 days at 40°C. A small
40
41 157 volume of the supernatant was taken and diluted to 1:1000 or 1:2000 and then measured in the
42
43 158 fluorimeter (in sextuplicate).
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48 160 *Quantification of Uvitex OB and Irganox 1076 using standard methods*

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50
51 161 These standard methods were developed at the FP5 Foodmigsure project (Anonymous 2004) .
52

53 162 Uvitex OB and Irganox 1076 were extracted from the polymers via dissolution in toluene at 103°C for
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55 163 7 min for LLDPE and 5 min for PLA followed by a precipitation in methanol for LLDPE and in
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58 164 petroleum ether for PLA. The cooled solution was filtered to remove the precipitate and evaporated
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3 165 under vacuum at 50°C (for 7 min approximately). Calibration of the extraction procedure showed that
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6 166 these conditions enabled stable and reproducible recovery (88.5% ± 0.6). The calibration and recovery
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8 167 were determined following the same procedure as Nerin et al. (2003) . Dry extracts containing Uvitex
9
10 168 OB were then dissolved in 10.0 ml of THF and dry extracts containing Irganox 1076 were dissolved in
11
12
13 169 10.0 ml methanol. The additive content was quantified by UV spectroscopy (Varian Cary 100 Scan,
14
15 170 UV-Visible spectrophotometer) at 374 nm for Uvitex OB and 277 nm for Irganox 1076.
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18 171

19 20 172 *Diffusivity identification*

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22 173 In the case of a sheet of polymer immersed in a liquid of infinite volume and constant concentration,
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24
25 174 the evolution of additive content with time is given by (Crank 1980) :

$$26
27 175 \frac{C_t - C_{in}}{C_{L,\infty} - C_{in}} = 1 - \frac{8}{\pi^2} \sum_{n=0}^{\infty} \frac{1}{(2n+1)^2} \exp\left[-\frac{(2n+1)^2 \pi^2}{L^2} D.t\right] \quad (1)$$

28
29
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31 176 where C_t is average concentration in diffusing substance in the packaging at time t , C_{in} is the initial
32
33 177 concentration in additive and $C_{L,\infty}$ is the concentration of the diffusing substance on the surface of the
34
35
36 178 polymer required to maintain equilibrium with the concentration of this substance in the liquid at any
37
38 179 time t .

39
40 180 Additive diffusivity was identified from experimental data by minimizing the root mean square error
41
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43 181 (RMSE) between experimental and predicted data (Equation 1) using an optimization method
44
45 182 (Levenberg-Marquardt algorithm predefined in Matlab (Mathworks, USA) software). A Monte Carlo
46
47
48 183 sampling was applied to the input parameters (concentration, thickness and time) in order to determine
49
50 184 the 95% confidence intervals of the determined diffusivity as detailed elsewhere (Hessler 1997; Press
51
52 185 et al. 1989) .
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188 **Results and Discussion**

189 Uvitex OB is an optical brightener and UV stabilizer approved for use in food contact material (FCM)
190 with a specific migration limit (SML) of 0.6 mg kg⁻¹ (2002/72/EEC). Irganox is an antioxidant
191 approved for FCM with a specific migration limit (SML) of 6 mg kg⁻¹ (2002/72/EEC).

192
193 These two molecules were selected to spike the studied samples (LLDPE and PLA) because of (i) their
194 excellent thermal stability (for example, according to the supplier datasheet, the thermogravimetric
195 analysis on Uvitex OB shows a loss of only 1% weight at 280°C) (ii) their relatively high diffusivities
196 in polymers allowing to easily observe migration kinetics in LLDPE (Dole et al. 2006; Helmroth et al.
197 2002) and (iii) their easy detection and quantification using both standard methods (HPLC, UV) and
198 spectroscopic method such as FTIR and Raman (Mauricio-Iglesias et al. 2009) . The objective was to
199 assess the influence of HP/T treatments comparing:

- 200 - HP sterilization-like treatment (800 MPa, 115°C, 5 min)
- 201 - HP pasteurization like treatment (800 MPa, 40°C, 5 min)
- 202 - Conventional sterilization (20 min at 121°C) in autoclave
- 203 - Conventional mild pasteurization (30 min at 63°C)
- 204 - Untreated control (abbreviated to UTC, 40°C, 7 min to take into account the time of
205 build-up and release of pressure, approx 2 min)

207 ***Determination of Uvitex OB solubility in olive oil***

208 Before tackling the assessment of migration of additives it was essential to gather some information
209 about its solubility. A rather low upper bound for the solubilities in water of both Irganox 1076 and
210 Uvitex OB can be found in the safety data sheet, however there is no indication of their solubility in
211 olive oil. Irganox 1076 is consistently more soluble in non polar solvents than in polar ones, what

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3 212 allows considering its solubility in olive oil as very high (probably of the same order of magnitude as
4
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6 213 in n-hexane, 320 g kg⁻¹). For Uvitex OB, a quite complex molecule, it is not easy to find a trend (a
7
8 214 solubility scale could be built as chloroform>ethyl acetate>acetone>n-hexane>methanol). Therefore,
9
10 215 the solubility was obtained experimentally giving a result of 5±2 g L⁻¹ of Uvitex in olive oil.
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13 216

15 217 *LLDPE treatment and migration tests*

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17
18 218 Migration experiments were performed on untreated control (UTC) and treated samples (HP/HT,
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20 219 HP/LT and conventional pasteurization and sterilization) of LLDPE spiked with Uvitex OB (initial
21
22 220 quantity of 0.41±0.10 % w/w) or Irganox 1076 (initial quantity of 0.37±0.023 % w/w. The kinetic
23
24 221 release of Uvitex OB and Irganox 1076 was monitored with FTIR measurements that supplied the
25
26
27 222 content of Uvitex or Irganox in the polymer strip. Concerning the thermal sterilization treatment, none
28
29 223 of the migration results could be obtained since the sterilized samples melted during the sterilization
30
31
32 224 process, which indeed made them useless for packaging purposes. This was not surprising since the
33
34 225 melting point of the LLDPE used had been determined near 115°C. However, it should be emphasized
35
36 226 that the LLDPE samples, which underwent the HP at high temperature (HP/HT, sterilization-like
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39 227 treatment) kept their integrity in turn. This result underpinned the fact that if a packaging material
40
41 228 cannot withstand a thermal treatment; an equivalent treatment might be done with high pressure.
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46 230 The migration of Irganox 1076 during the HP/T treatment alone (no further storage), was assessed by
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48 231 comparing the quantity of remaining additive before and after the treatment (Figure 1A). As it can be
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51 232 seen, migration is much higher in olive oil than in the aqueous FSL (in almost all cases lower actually
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53 233 than the limit of quantification for the FTIR method estimated at 0.03 % w/w in the film). Indeed,
54
55 234 Irganox 1076 is much more soluble in hydrophobic solvents such as olive oil than in polar aqueous
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3 235 solvents; Irganox 1076 solubility in n-hexane is equal to 320 g kg^{-1} of solution compared to less than
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6 236 0.3 g kg^{-1} in water (Sanches Silva et al. 2006) .
7

8 237
9
10 238 According to Figure 1, no effect of HP/T treatment was observed on Irganox 1076 migration from
11
12
13 239 LLDPE into FSL after HP/T treatment and subsequent storage compared to the control. This result was
14
15 240 in accordance with that obtained by Caner and Harte (2005) for PP after HP treatment (800 MPa) and
16
17 241 20 days of storage at 40 and 60°C: no significant differences in the migration level of Irganox 1076
18
19
20 242 were observed by these authors after HP treatment into the tested FSL (95% and 10% ethanol)
21
22 243 compared to the controls. Regarding temperature, which is a known activating factor of migration,
23
24 244 when the release after the treatment and 10 days of storage is considered, the losses in pasteurized
25
26
27 245 samples appear as significantly higher ($p=0.05$) than the untreated control (UTC) but this result was
28
29 246 not confirmed after storage. A question to be answered is if this higher release is caused during the
30
31
32 247 treatment or if the treatment modifies the polymer in a way that the release during storage is enhanced.
33
34 248 Unfortunately, the high deviation of the results after treatment (as the concentration becomes steadily
35
36 249 lower) hinders the potential conclusions about temperature (losses in pasteurization are only higher
37
38
39 250 than those after HP/LT and UTC for a p-value of 0.2).
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41 251
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43 252 Likewise, the migration of Uvitex OB during the HP/T treatment alone (no further storage), was
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45
46 253 assessed by comparing the quantity of remaining additive before and after the treatment (Figure 2A).
47
48 254 For both HP/T treatments and the UTC, the release of the initial content of Uvitex OB was of around
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50
51 255 10% in aqueous FSL and 15% in olive oil. Concerning conventional pasteurization, the pasteurized
52
53 256 samples gave similar results to the UTC and HP treated samples whereas significantly higher losses
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56 257 were found in olive oil. In short, HP treatments represented an advantage as i) the LLDPE samples
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58 258 studied could withstand HP sterilization but not the conventional treatment and ii) migration of
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259 pasteurized samples was higher in olive oil and not significantly different in the rest, compared to the
260 UTC or the HP samples.

261
262 The quantity of remaining Uvitex OB in the HP/T treated LLDPE and the non treated ones was
263 compared after 10 days and 26 days of storage in the same FSL in which they were treated. The
264 resulting losses in Uvitex OB are reported after 10 days (Figure 2B) and 26 days (Figure 2C)
265 migration.

266
267 The losses of Uvitex OB after 10 days storage at 40°C ranged from 35 to 53 % in water, acetic acid
268 and ethanol FSL and as expected, reached higher losses (from 73 to 77%) in olive oil. The solubility of
269 Uvitex OB in olive oil has been estimated in this study as 5 ± 2 g L⁻¹ of olive oil. On the contrary,
270 solubility in water and aqueous simulants is hardly measurable (< 0.3 g L⁻¹ of water according to the
271 supplier data sheet). After 26 days storage at 40°C, losses of Uvitex OB in LLDPE ranged from 57 to
272 70% in water, acetic acid and ethanol FSL and almost 90% in olive oil what confirmed the conclusions
273 already drawn at 10 days of storage.

274
275 Concerning the HP/T treatment, no significant effect of HP treatment was observed after HP/T
276 treatment and 10 or 26 days of storage, either with the control or the pasteurized samples. Effectively,
277 the significant differences detected between the pasteurized samples and the others (Figure 2A)
278 became no longer noticeable after the time of storage, suggesting that the importance of the storage
279 step is higher than that of the treatment itself, either HP or conventional.

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281 Taking into account the low solubility of Uvitex OB in aqueous FSL, unexpected high losses of Uvitex
282 OB from LLDPE into FSL were observed (Figures 2A, B & C) even for aqueous simulants in which

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3 283 Uvitex OB solubility is very low ($<0.03 \text{ g L}^{-1}$ in water which is likely close to solubility in 3% acetic
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6 284 acid and 15% ethanol). A mass balance was made to check the results between the losses in the films
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8 285 and the migrates in the FSL. However, the quantity of Uvitex OB released could not be found back
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11 286 dissolved in the aqueous FSL. The examination of the tissue sheets used to wipe the polymer strips
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13 287 before the FTIR analysis showed traces of Uvitex OB powder. As an illustration, the amount released
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15 288 by the samples in water for the UTC would represent a concentration of 0.5 g kg^{-1} FSL, more than ten
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17 289 folds higher than the already overestimated value of solubility ($<0.03 \text{ g kg}^{-1}$). Actually, Uvitex OB
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20 290 turned out to be stuck to the surface of the polymer film, where it had previously emerged. This
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22 291 phenomenon characterized by a loss of additive from the bulk of the polymer that emerges or “blooms”
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24 292 on the surface is called blooming (sometimes also referred to as bleeding). In principle, blooming
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27 293 occurs when the additive concentration is higher than its solubility in the polymer (Billingham 2001)
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29 294 although it has been observed that supersaturated metastable solutions were possible (Spatafore and
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32 295 Pearson 1991) . Blooming is well known in industry and sometimes difficult to avoid as the quantities
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34 296 of additive needed to be effective may be higher than the solubility in the polymer. Raman
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36 297 microspectroscopy analysis has confirmed blooming of Uvitex OB in LLDPE (Figure 3 and Figure 4)
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39 298 showing an accumulation of additive nearby the interface of the film. In this case study, blooming
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41 299 could have been intensified for the high concentrations of additive used. In any case, an important
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44 300 conclusion arises from the precedent discussion; blooming is a realistic phenomenon that may occur
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46 301 (although infrequently) in real packaging and must be taken into account in migration tests.
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51 303 According to control points made after 26 days of storage in the polymers and the FSL using the
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53 304 standard method, the mass balance regarding what remained in the polymer strip and what migrated
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55 305 into the FSL was incomplete confirming that a partial quantity of Uvitex OB had been taken off during
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58 306 the migration test by wiping. This phenomenon can lead to migration artifacts and highlights the
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3 307 importance of checking the mass balance between the film and the FSL. It can be noticed that
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5 308 migration tests based only on quantifying the amount of additive in the FSL would not have detected it
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8 309 whereas it can be critical in some cases i.e. when packaging solid foodstuff that can mechanically wipe
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10 310 the “bloomed” additive or if the additive sticks to the surface of the foodstuff.
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15 312 ***PLA treatment and migration tests***
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17 313 The same tests (UTC, HP/HT, HP/LT, thermal pasteurization and thermal sterilization) were carried
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20 314 out on PLA spiked with Uvitex OB (initial concentration of 0.52 ± 0.04 % w/w based on UV analysis of
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22 315 extracts as stated previously). It is important to point out that PLA withstood the sterilization process,
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24 316 whether thermal or HP/HT since it has a melting point, T_m , at about 175°C whereas LLDPE T_m lies
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27 317 between $100\text{-}120^\circ\text{C}$). However, the appearance of PLA underwent unequivocal changes after both
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29 318 sterilization treatments. PLA became whitish, translucent and brittle which made it unsuitable for
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31 319 packaging applications. Indeed PLA is heated above its glass transition temperature ($T_g \sim 60^\circ\text{C}$) for
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33 320 sterilization processes, whether it be thermal sterilization or high pressure sterilization (even if the T_g
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35 321 of PLA is not known at 800 MPa, the HP treatment requires the sample to be at 90°C before the
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37 322 pressure build-up, and thus, above the T_g). This transition may promote tempering and recrystallization
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39 323 of PLA, therefore changing its structure and modifying its appearance. If this crystallization also
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41 324 occurs during the HP treatment is not easy to know. Indeed, T_g increases with pressure first linearly
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43 325 and then asymptotically but the value of T_g at 800 MPa is not known to date (Iannace and Mensitieri,
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45 326 Personal communication) .
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53 328 For all the treatments and FSL and even after 26 days of storage, release of Uvitex OB from PLA was
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55 329 so slow that the differences in concentration with initial concentration lay within the error of the
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58 330 quantification method (estimated in 0.03% w/w). PLA appears thus as a good barrier material
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3 331 concerning migration of a medium molecular weight additive, and, to the accuracy of this study, its
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6 332 performance was not modified by high pressure treatments. It is not known however if the structural
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8 333 modifications undergone at high temperature could however enhance the migration of smaller
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10 334 molecules than Uvitex OB (431 g mol^{-1}) and it should be studied in further detail.
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13 335 14 15 336 *Determination of mass transfer parameters*

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17 337 Mass transfer from packaging to foodstuff can be well simulated provided that three parameters are
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20 338 known, diffusivity in the polymer (D), the partition coefficient polymer/foodstuff (KPL) and the mass
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22 339 transfer coefficient (k), although the latter can be ignored in many practical cases as its influence is low
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24 340 compared to the diffusivity in the material (Pocas et al. 2008) . Roughly the KPL represents the values
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27 341 of migration at equilibrium and D, how fast equilibrium is reached. The use of this parameter makes
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29 342 easier the comparison of results inter-studies since it allows to get rid of the influence of variable
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31 343 experimental set-ups (e.g. polymer thickness, polymer/FSL mass ratio). With the scope of fully
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34 344 characterizing this case study, the mass transfer parameters were determined when possible.
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36 345 Otherwise, at least a rough estimation is provided whenever the results prevented an accurate
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39 346 determination of the parameters. The mathematical model used to determine the diffusivity consisted
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41 347 on Fick's law (Equation 1) and the assumption that the FSL surrounding the sample was close to zero.
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43 348 The diffusivity values obtained are not the "actual" diffusivities but for the UTC, since in the other
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46 349 cases the kinetics includes not only the storage but also the treatment (HP/LT and HP/HT). According
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48 350 to Figures 1 and 2, this influence would be negligible. Anyway, these values are suitable for the
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50 351 comparison of the whole migration story of the packaging/FSL system. Figure 5 shows an example of
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53 352 model fitting for HP/HT treated samples.
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3 354 On the other hand, the phenomena assessed here are more complex in the case of Uvitex OB in
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6 355 LLDPE because of blooming of the additive. To the best of our knowledge, little has been published
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8 356 on the kinetics of blooming. Spatafore and Pearson (1991) found that the kinetics of blooming of
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11 357 Irganox 1076 from polypropylene followed Fickian kinetics, at least apparently since they stated that
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13 358 the molecular mechanism must be different than simple diffusion; there were evidences that whole
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15 359 crystals could migrate instead of disperse molecules. As a consequence, if blooming is controlled by
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18 360 Fickian kinetics, the results obtained are also valuable for the assessment of the effect of HP/T
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20 361 treatments on migration.

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24 363 Uvitex OB diffusivity in LLDPE was determined for each FSL and for treated or non treated polymers
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27 364 using the kinetic profile obtained by FTIR. The diffusivity values found for Uvitex OB (Table 1)
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29 365 were in good accordance with those found in the literature: $3.2 \times 10^{-14} \text{ m}^2 \text{ s}^{-1}$ (measured with Moisan
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31 366 cells) and $5 \times 10^{-14} \text{ m}^2 \text{ s}^{-1}$ (measured with sorption kinetics) in LLDPE (Dole et al. 2006) . Besides the
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34 367 root mean square error (RMSE) was in all the cases of the same order of magnitude of the
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37 368 experimental error. For the same FSL, no significant difference was found between the HP/T treated
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39 369 samples and the control although clear differences were detected between different FSL. Likewise,
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41 370 diffusivity values are in agreement with the migration tests showing that there is no significant
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44 371 difference within FSL for each of the treatments but, that the release is faster in olive oil. Olive oil is
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46 372 widely regarded as a plasticizer of plastics that could increase diffusion and then. However, a number
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49 373 of authors have found that olive oil did not have a significant influence on migration of medium weight
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51 374 molecules from polyolefins (Helmroth et al. 2002; Mauricio-Iglesias et al. 2009; O'Brien et al. 1999)
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53 375 Therefore, the discrepancy of diffusivity in olive oil and the other FSL can be linked likely to the
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55 376 inaccuracy of the assumption that Equation (1) correctly describes the blooming phenomena.

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3 378 The diffusivity of Irganox 1076 in LLDPE was only determined in olive oil (Table 2) since the release
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6 379 in the aqueous FSL was too low to be measured. In any case, the results of diffusivity confirm the data
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8 380 shown previously (Figure 1) and no significant effect of HP/T is reflected in the values obtained.

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10 381 Since no difference of concentration could be measured in the case of PLA, the diffusivity values of
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12 382 Uvitex OB could not be determined either. However, the maximum experimental error, which provides
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15 383 consequently the maximum difference in concentration, can be used to roughly estimate an upper
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17 384 bound for the value of diffusivity. This maximum experimental error was evaluated as 0.08% w/w, i.e.
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20 385 the distance between the two bound of the confidence interval of Uvitex OB concentration in PLA. An
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22 386 upper estimation of diffusivity was then determined as if 0.08% w/w had been released to any of the
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25 387 FSL after 26 days of storage. Thus, taking into account the common criterion of considering the
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27 388 partition coefficient polymer/foodstuff (KPL) as $KPL=1000$ if the additive is not soluble in the FSL
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29 389 and $KPL = 1$ otherwise (Practical Guide, 2003) , two diffusivity values were estimated, one for
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32 390 aqueous FSL and another for olive oil. Using these values in equation 1 gave an estimation of 4×10^{-15}
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34 391 $m^2 s^{-1}$ for the maximum diffusivity of Uvitex OB in aqueous FSL and $5 \times 10^{-17} m^2 s^{-1}$ for olive oil.
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36 392 These two values show how, even considering a worst case as a $KPL=1000$, the diffusivity in PLA is
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39 393 much lower than in LLDPE.

40 41 394 42 43 395 **Conclusion**

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46 396 According to migration test results no effect of HP/T treatments and HP/T treatments + 26 days of
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48 397 storage on Uvitex OB and Irganox 1076 migration from LLDPE into four standard FSL was observed.
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51 398 Furthermore, when the HP/T treated samples were compared to an equivalent stabilization process, i.e.
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53 399 thermal pasteurization and sterilization, the advantages were clear. The LLDPE samples did not
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55 400 withstand the thermal sterilization process whereas no change on migration and/or appearance was
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401 detected for HP/HT sterilization. For pasteurized samples, there were no differences between
402 conventional pasteurized and HP/LT treated samples.

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404 Concerning PLA, the effect of HP/T could not be properly assessed since migration was too low and
405 within the experimental error to be detected. Diffusivity of Uvitex OB in PLA is then much lower than
406 in LLDPE and show good barrier properties. However, both sterilization processes (HP/HT and
407 conventional) affect clearly the structure of PLA what suggests that PLA should be restricted to low
408 temperature treatments.

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Figure captions.

Figure 1. Comparison of Irganox 1076 losses from LLDPE in contact with four different FSL after high pressure (800 MPa, 5 min) at low temperature (LT, 20-40°C) and high temperature (HT, 90-115°C) with conventional pasteurisation (63°C, 30 min) and untreated control (UTC, 40°C, 7 min) after treatment (A); or treatment and storage at 40°C for 10 days (B) or 26 days (C).

Figure 2. Comparison of Uvitex OB losses from LLDPE in contact with four different FSL after high pressure (800 MPa, 5 min) at low temperature (LT, 20-40°C) and high temperature (HT, 90-115°C) with conventional pasteurisation (63°C, 30 min) and untreated control (UTC, 40°C, 7 min) after treatment (A); or treatment and storage at 40°C for 10 days (B) or 26 days (C).

Figure 3: Raman spectra at different depths of LDPE film

Figure 4: Initial concentration profile of Uvitex OB in the depth of LLDPE samples according to Raman measurements

Figure 5: Examples of Uvitex OB migration kinetic from HP/HT treated LDPE into FSL at 40°C measured by non-destructive FTIR method (symbols, □ water, ▲ 3% acetic acid, ○ 15% ethanol, ◆ olive oil, experimental data; solid lines, model fitting)

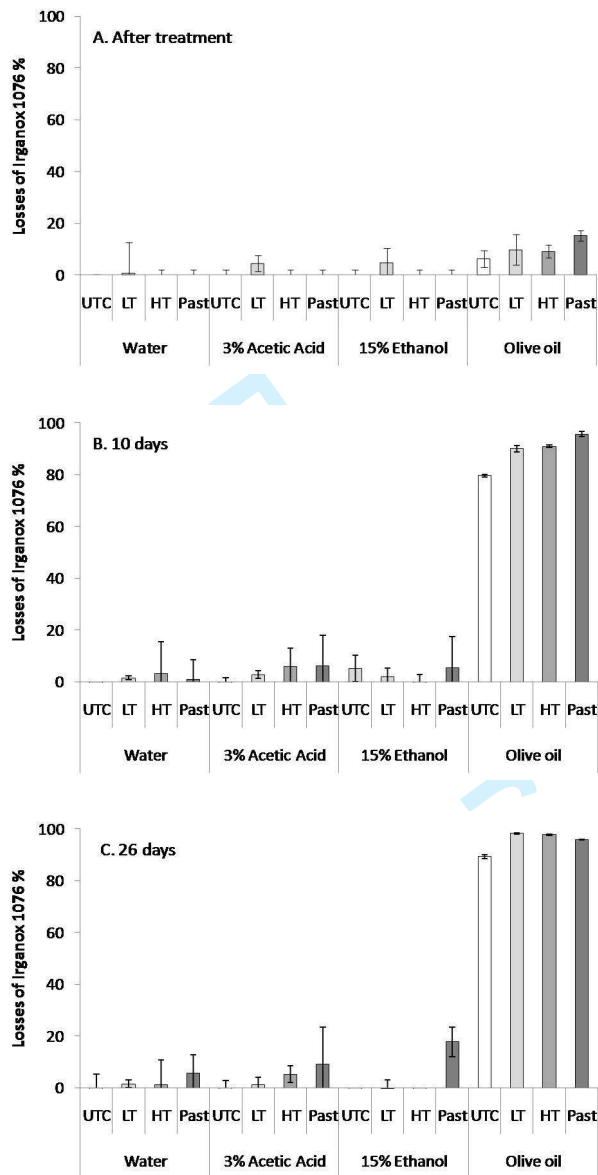


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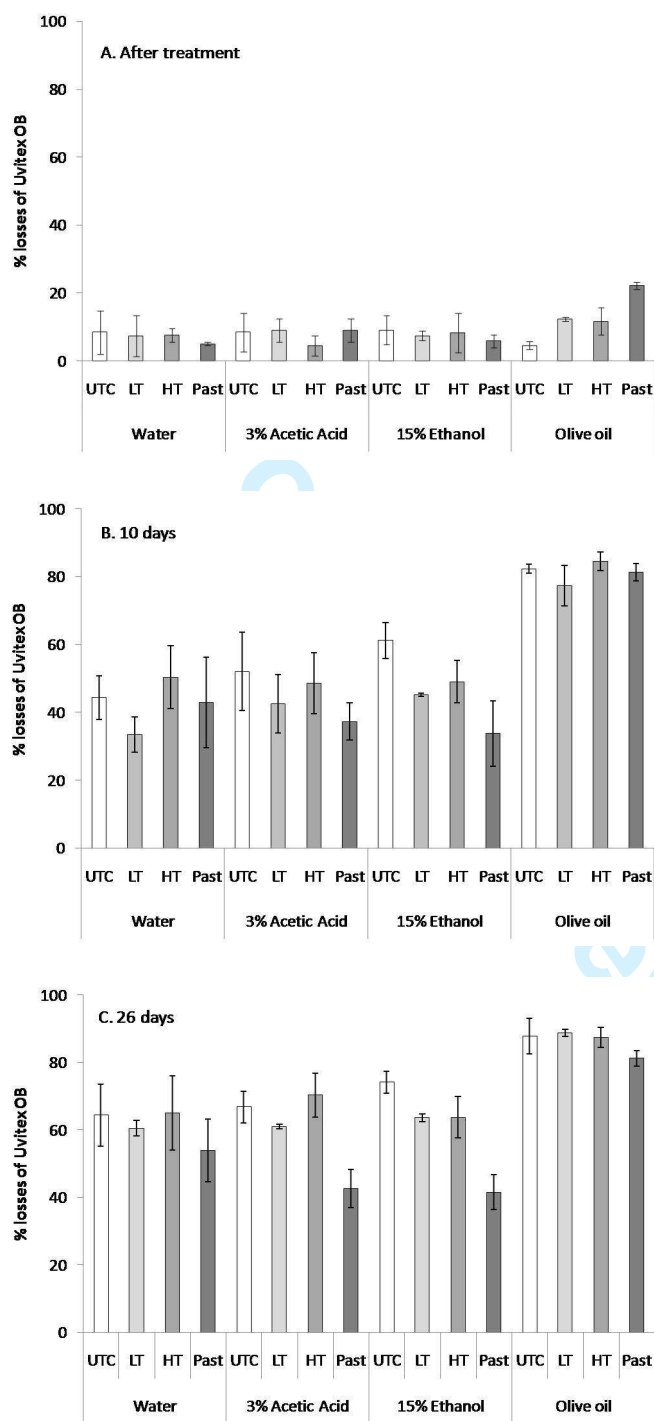
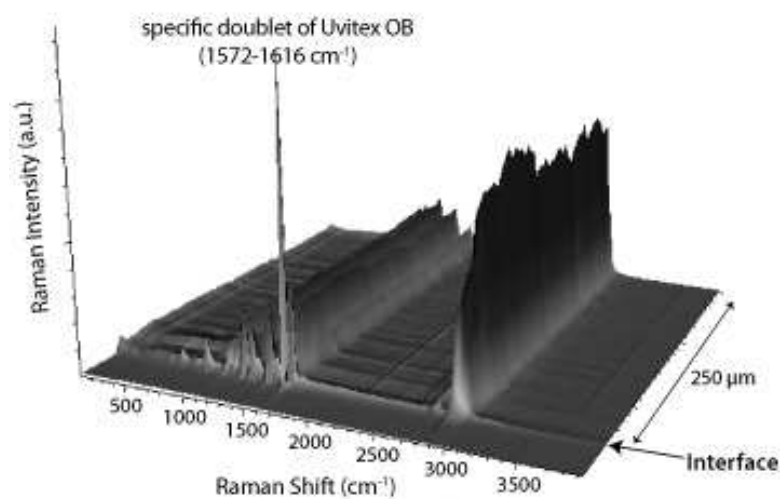


Figure 2. Comparison of Uvitex OB losses from LLDPE in contact with four different FSL after high pressure (800 MPa, 5 min) at low temperature (LT, 20-40°C) and high temperature (HT, 90-115°C) with conventional pasteurisation (63°C, 30 min) and untreated control (UTC, 40°C, 7 min) after treatment (A); or treatment and storage at 40°C for 10 days (B) or 26 days (C).



22 Figure 3: Raman spectra at different depths of LDPE film

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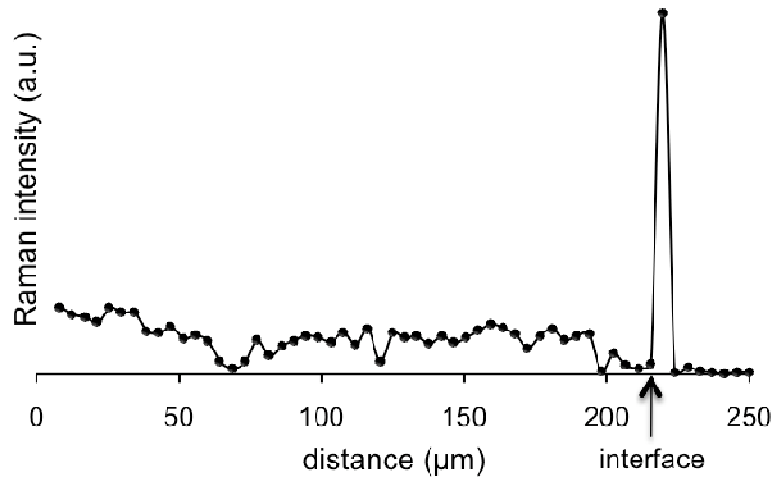


Figure 4: Initial concentration profile of Uvitex OB in the depth of LLDPE samples according to Raman measurements

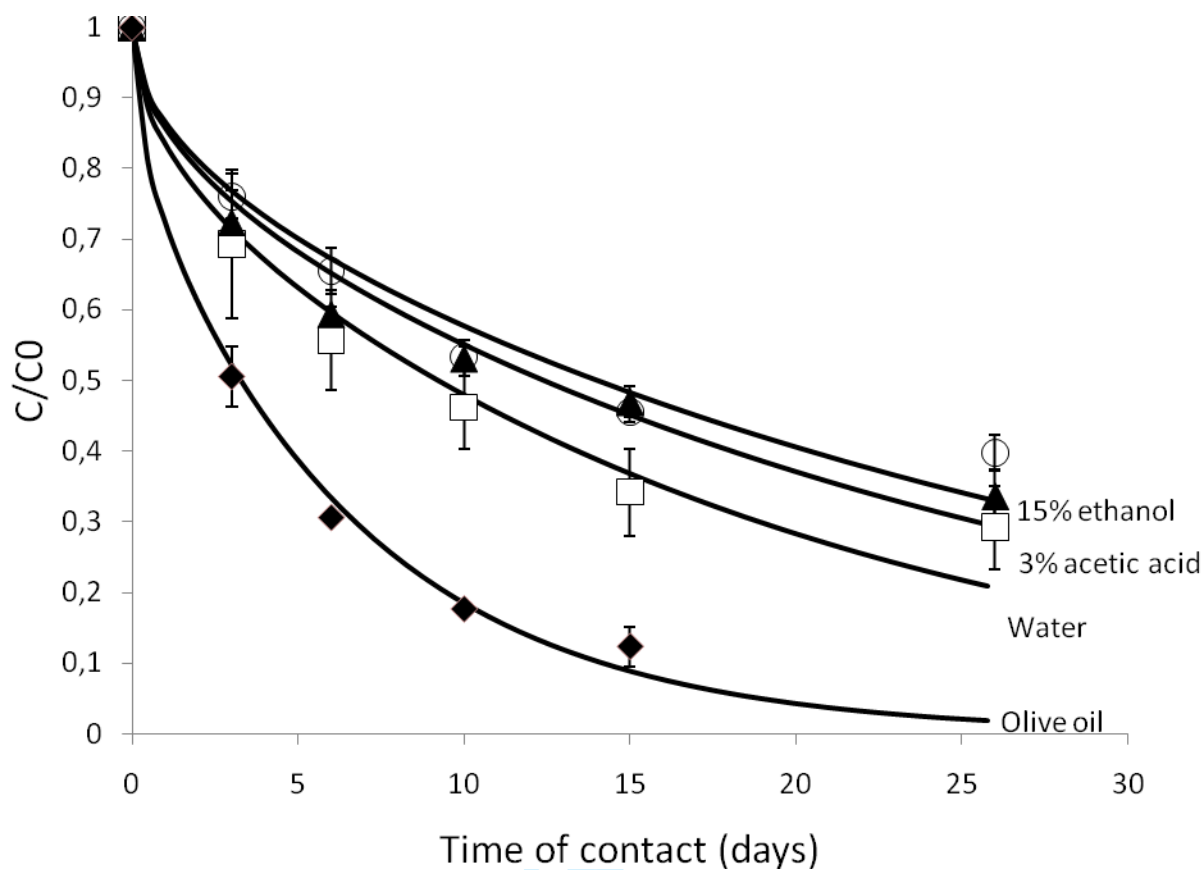


Figure 5: Examples of Uvitex OB migration kinetic from HP/HT treated LDPE into FSL at 40°C measured by non-destructive FTIR method (symbols, \square water, \blacktriangle 3% acetic acid, \circ 15% ethanol, \blacklozenge olive oil, experimental data; solid lines, model fitting)

Table 1. Diffusivity of Uvitex OB in the system LLDPE/simulant after HP/T and 26 days of storage at 40°C

Diffusivity (10^{-14}) m^2s^{-1}	Water	3% Acetic Acid	15% Ethanol	Olive Oil
UTC	1.8±0.4 ^{a,b}	2.3±0.5 ^b	3.1±0.7 ^b	8.2±1.7 ^c
HP/LT (20-40°C)	1.4±0.3 ^{a,b}	1.8±0.5 ^{a,b}	2.1±0.4 ^b	6.2±1.3 ^c
HP/HT (90-115°C)	2.3±0.5 ^b	2.1±0.4 ^b	2.2±0.4 ^b	8.3±1.6 ^c
Pasteurization (63°C)	1.1±0.3 ^a	n.d.	n.d.	8.2±1.6 ^c

Means with different letters are significantly different ($p < 0.05$)

Table 2. Diffusivity of Irganox 1076 in the system LLDPE/olive oil after HP/T and 26 days of storage at 40°C

	Diffusivity (10^{-14}) $\text{m}^2 \text{s}^{-1}$
UTC	4.6±0.9
HP/LT (20-40°C)	3.7±0.7
HP/HT (90-115°C)	4.4±0.9
Pasteurization (63°C)	5.5±1.2