

# Thermoluminescence study of the trapped charge at alumina surface electrode in different dielectric barrier discharges regimes.

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**Abstract.** In the present study charge trapping effect in alumina dielectric surfaces has been deeply investigated by means of a dedicated dielectric barrier discharge apparatus under different discharge regime and gas mixtures. These work further validates our previous findings in the case of air discharges under filamentary regime. The long lasting charge trapping has been evidenced by ex-situ thermoluminescence characterizations of alumina dielectric barrier plates exposed to plasma. The density of trapped surface charges was found to be higher in the glow discharge with respect to pseudoglow and filamentary regimes and for all regimes a minimum trap activation temperature was of 390 K and trap energy less than or around 1 eV. This implies that in the case of glow discharges a higher reservoir of electrons is present. Also, the effect was found to persist for several days after running the discharge.

## 1. Introduction

The surface charge trapping at dielectric surface due to exposure to atmospheric pressure dielectric barrier discharge (DBD) plasma can influence the discharge regime [1]; in fact surface charges act as a reservoir that can contribute to stabilize a glow discharge regime for instance in air discharges [2]. Golubovskii et al. suggested that many seed electrons necessary for a uniform/homogeneous discharge could be produced by electrons trapped on the dielectric surface [3]. Recently, long lifetime of charge trapping on alumina used as dielectric in DBD plasma has been evidenced by Thermally stimulated current [1] and Thermoluminescence technique [4]. We found that the adsorbed electrons in the case of alumina were trapped in shallow traps during plasma running and have mainly an energy of about 1eV [4], i.e. at a much lower energy than the intrinsic alumina electrons and much easier to be removed from the dielectric. These trapped electron could

43 also explain the fact that irradiating the dielectric surface with low fluence laser beam could result in the  
44 triggering of a discharge for a voltage lower than the breakdown value [5].

45 From what stated above, it follows that different discharge regimes are expected to act differently on the  
46 surface charge trapping since the plasma electron energy distribution and current can be different. It is also  
47 furthermore known that DBD can run under filamentary or diffuse mode depending on discharge geometry  
48 and gas mixture. For instance, the nitrogen discharge can be run in different regime depending on the  
49 geometry and other discharge parameters[6,7]. Moreover, the addition of small quantities of oxygen to a  
50 nitrogen discharge transform the regime from glow to filamentary [8]. Conversely, running an helium  
51 discharge this will lead to a quite distinct glow regime [9].

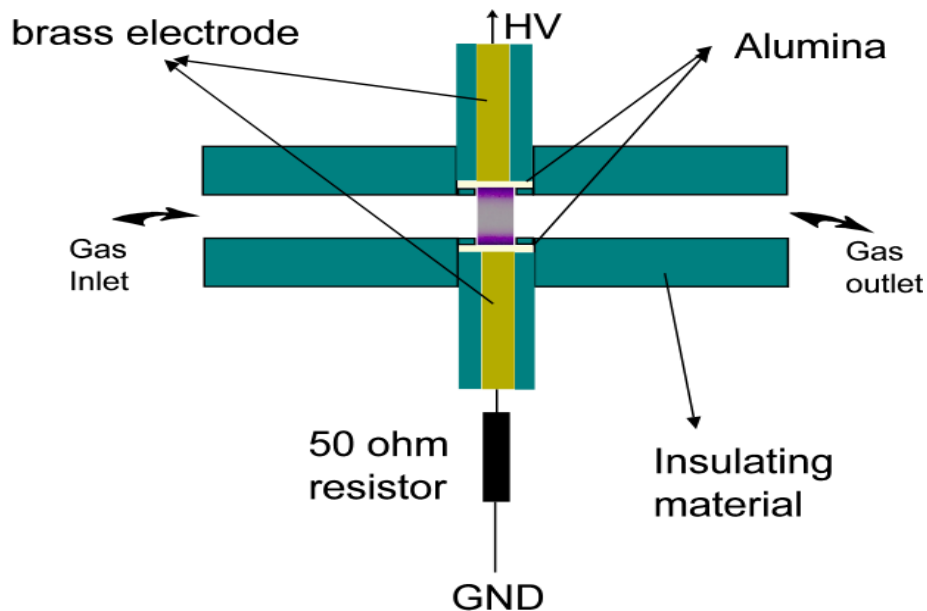
52 The aim of this work is to study the surface charge trapping effect under different discharge regime and gas  
53 mixture at atmospheric pressure running in a dedicated experimental set up. The effect of surface charge  
54 trapping on the dielectric barrier plates after plasma exposure will be evidenced by ex-situ Thermo  
55 Luminescence glow curve (TL GC) measurements as already preliminarily described in ref.4. The correlation  
56 between the discharge regimes and and the observed glow curves parameters will be discussed.

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## 58 2. Experimental

59 A DBD discharge apparatus has been build up in order to easily control the surface area exposed to the  
60 plasma; respect to previous experimental configuration [4], each single specimen of alumina (mean diameter  
61 0.5 mm), constitute one of two dielectric barrier plates, covering the metallic electrodes, exposed to plasma.

62 A drawing of the discharge apparatus is shown in figure 1.



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64 **Figure 1.** Schematic of the experimental apparatus (colour online).

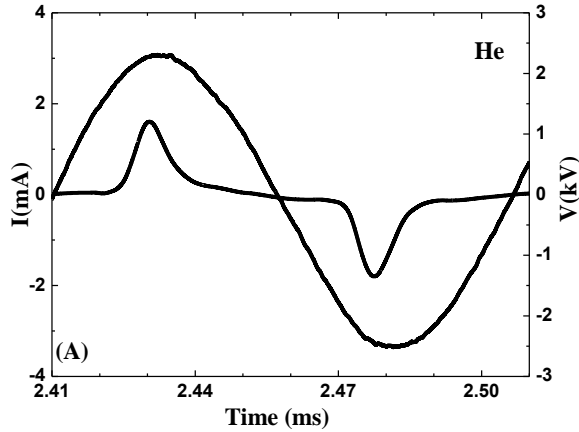
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66 The parallel plates discharge is composed of two brass electrode 3 mm in diameter, two 0.7 mm thick  
67 alumina plates (Coorstek fine grain, 96% purity) that completely cover the electrodes and two spacers  
68 designed to vary the discharge gap from a minimum of 2 mm (for N<sub>2</sub> main gas mixtures) and 5 mm for He

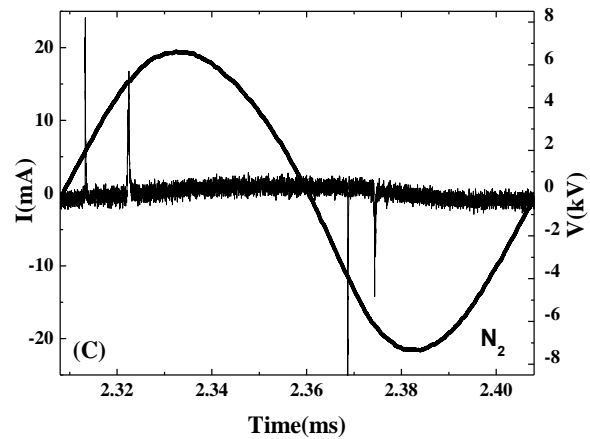
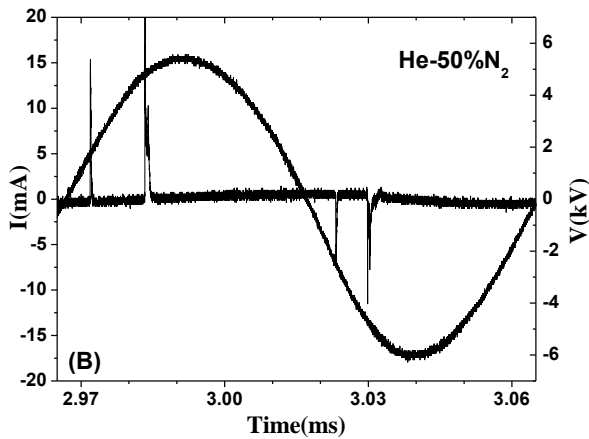
69 main gas mixtures. The minimum distance between electrodes is limited by the geometry of the electrode  
70 support. The electrodes are completely isolated by highly insulating plastics except the surface in contact  
71 with the aluminum dielectrics. The discharge vessel is made of a stainless steel and PVC flanges for the high  
72 voltage (HV) connections and is equipped with vacuum fittings.

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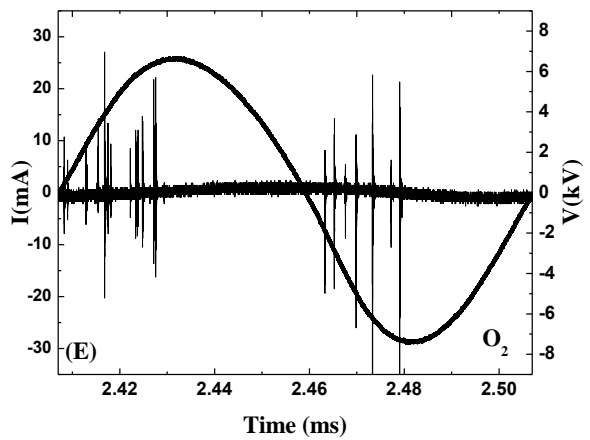
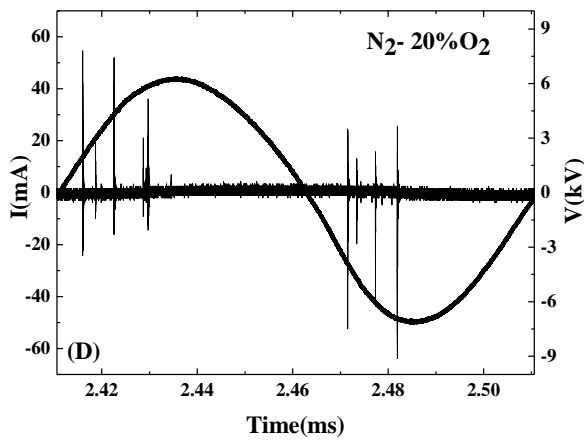
74 **Figure 2.** Typical voltage and current waveforms for: (A) He, (B) He-50%N<sub>2</sub>, (C) N<sub>2</sub>, (D) N<sub>2</sub>-20%O<sub>2</sub>, (E) O<sub>2</sub> plasmas.



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78 Before operation the discharge volume is evacuated to few tens of mTorr by a 30 m<sup>3</sup>/h rotary pump. The gas  
79 flow is operated by MKS flow meters/controllers, and pumping through an adjustable needle valve is used to  
80 maintaining the 760 Torr pressure under a total gas flow of 1000 sccm. Pure N<sub>2</sub>, O<sub>2</sub> and He (impurities less  
81 than 6 ppm) are used as gases supply. The HV supply is composed of a low voltage sinusoidal generator

82 (Wavetek mod. 164), a power amplifier (Industrial Test Equipment Powerton 1000A), and a high voltage  
83 transformer. The applied voltage is pulse modulated with duty cycle  $T_{on}=T_{off}=5ms$ . Different discharge  
84 regimes have been produced under different plasma mixture. Specifically, a filamentary regime will be  
85 typical of  $N_2$ - 20%  $O_2$  (air like mixture) and  $O_2$  discharge, a diffuse range will characterize that of He while  
86 an intermediate regime will be that of  $N_2$  and He- 50%  $N_2$  discharges. The discharge parameter, current and  
87 voltage, have been continuously monitored and registered during each run. Typical oscillograms of the  
88 applied voltage and discharge current for DBD for a total pressure of 760 torr, flow rate of 1 liter/minute and  
89 different gas mixture area reported in figure 2.

90 The discharge regimes characterizing the working conditions of DBDs in the present study are shown in  
91 figure 2. In the case of Helium discharges, the DBD is homogeneous/diffuse along the plane of the electrode  
92 and in the discharge gap. The glow character is associated to one major current peak for half cycle. The  
93 discharge in nitrogen and nitrogen helium is homogeneous and assume the pseudoglow [10] discharge  
94 aspect, with usually a first current pulse which has a faster rise time and shorter duration, and a second pulse  
95 in both polarities which has not only an appreciably longer rise time but also a rather long decay time  
96 constant. Both current peak have a pulse duration of few microseconds. In the case of air and oxygen the  
97 discharge is in a filamentary state that is consisting of a large number of narrow filaments stochastically  
98 distributed over the electrode area. The discharge current has the form of multiple peaks (micro-discharges)  
99 of some tens of nanosecond duration.

100 From the current measurements the electron current transferred charge can be estimated by the integration of  
101 the current peak, using  $Q=\int i(t)dt$  for a current peak recorded in single-shot after subtraction of the  
102 displacement current. Of course the charge measured using this method is generally slightly underestimated  
103 [11] in the case of filamentary regime, in which faster signal are involved and the oscilloscope sampling can  
104 be affected by higher error. Since the current for one cycle is monitored continuously an average transferred  
105 charge per cycle can be easily estimated, and the total current transferred charge can be estimated by  
106 multiplying it for the total number of cycles during the measurements period.

107 During all the experiments several alumina disk plates ( $\varnothing = 6$  mm and 0.7 mm thick) taken from the same  
108  $7 \times 7$  cm<sup>2</sup> slabs were used as dielectric barrier of ground electrode so to be easily removed after discharge run  
109 and analysed ex-situ by TL apparatus.

110 The thermoluminescence glow curves were collected on alumina samples gas plasma by using an automated  
111 RISØ TL/OSL-DA-15 [12] reader equipped with <sup>90</sup>Sr/<sup>90</sup>Y beta ( $\beta$ ) source radiation (0.565 MeV mean  $\beta$   
112 energy, dose rate 0.119 Gy/s). The system is equipped with a photomultiplier tube (bi-alkali EMI 9235QA),  
113 which has a maximum detection efficiency at approximately 400 nm, at a distance of 55 mm from the  
114 sample. The spectral selection was accomplished by means of a 7.5 mm Hoya U-340 detection filter ( $\lambda_{peak} =$   
115 340 nm, FWHM = 80 nm).

116 The thermoluminescence glow curves have been acquired by heating the sample in an inert nitrogen  
117 atmosphere (2 liter/minutes flow) at a heating rate of 5K/s in the temperature range 323K–673K. The  
118 measurement is accomplished in 90 seconds.

119 All the alumina samples were preliminarily classified (after thermal annealing at 800°C for two hours) by  
120 means of Thermoluminescence measurements, that was used as reference for the sample, following a typical  
121 radiation dosimetry protocol:

- 122 a) thermoluminescence background;
- 123 b) 90 s beta irradiation;
- 124 c) thermoluminescence glow curve;

125 Before each plasma exposure the alumina disks were thermally cleaned at 800°C for two hours, in order to  
126 deplete spurious trapped charges due to exposure to environmental radiation.

127 After plasma exposure the alumina disks have been promptly dismantled in dark conditions stored in a black  
128 box, to avoid or reduce any possible thermo luminescence glow curve intensity reduction due to bleaching  
129 effect, in dry air and mounted in the TL apparatus. The overall time from the switch off of the plasma to the  
130 start of the Thermoluminescence measurements was about 20 minutes. The Plasma induced luminescence  
131 [13] counts at the beginning of the measurements in the UV region covered by the TL filters were negligible.

132 The Thermoluminescence protocol after plasma exposure was the following:

- 133 a) Thermoluminescence readout (that takes about 90 seconds);
- 134 b) Thermoluminescence background. In all the sample after different plasma exposure the collected  
135 background was comparable to the reference indicating that no luminescence was coming from the sample  
136 after the thermoluminescence signal readout. We can exclude thermally activated reaction from the surface  
137 as reported in [13].
- 138 c) Control Thermoluminescence readout following the beta radiation exposure protocol. For all the samples  
139 the beta irradiated thermoluminescence were always very close to the control one collected at the beginning.  
140 This is an indication that the surface was not modified by the plasma or for beta radiation damage or  
141 sensitization.

142 Plasma exposure times have been varied ranging from 5 to 90 minutes in the case of air discharge and from 5  
143 to 30 minutes in the case of He and He N<sub>2</sub> discharges. In the case of He two different high voltage values (3  
144 kV<sub>pp</sub> and 5 kV<sub>pp</sub>) were used that is leading to different discharge current. As a matter of comparison,  
145 thermoluminescence glow curves were also collected on a set of alumina samples after β exposure and  
146 varying the irradiation time from 60s to 2100s i.e. for a total dose ranging from 7 Gy to 250 Gy.

147 The thermoluminescence glow curves have been analyzed by using the free downloadable GLOW FIT  
148 program [14] capable of simultaneously processing up to ten TL glow peaks following the first order kinetics  
149 model [15].

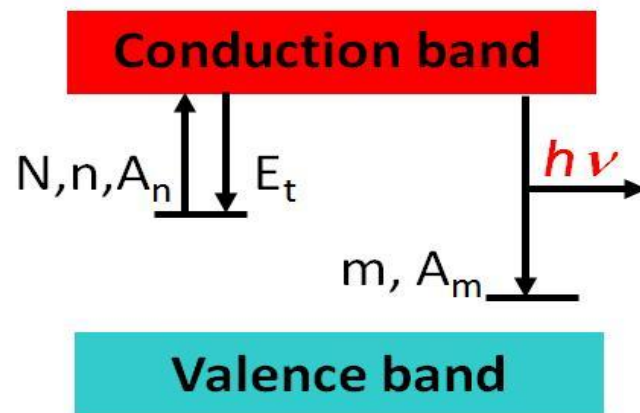
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### 151 **3. Results and discussion**

#### 152 *3.1 The thermoluminescence technique*

153 The charge trapping effect due to plasma exposure was approached by using the thermoluminescence  
154 properties of the dielectric plates used as barrier in DBD discharge. It could be useful to remind that in a pure  
155 insulator (but this hold also for semiconductors) there are two relevant energy bands: (i) an almost

156 completely filled valence band and (ii) an almost empty conduction band separated by a forbidden gap  
 157 (called band-gap energy  $E_g$ ). Transitions of electrons between the valence band and the conduction band can  
 158 occur if the valence band electron acquires an energy  $\geq E_g$ .



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 161 **Figure 3.** Scheme of principle of charge trapping/detrapping and recombination mechanisms in an insulating material  
 162 leading to a luminescence (or thermoluminescence) effect. Here the simplest case on one trap and one recombination  
 163 center energy distribution are displayed.  $N$  is total trap state concentration,  $n$  the concentration of trapped electrons;  $m$  is  
 164 concentration of available hole states (for recombination);  $E_t$  is the trap energy;  $A_n$  is the retrapping probability and  $A_m$   
 165 is the recombination probability (colour online).

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 167 Imperfections in the crystal, associated with impurities and/or lattice defects may create new localized  
 168 energy levels in the forbidden band gap. Under certain conditions, some of these defects are capable to trap  
 169 an electron. One of this conditions is ionizing radiation exposure producing free electrons that can be trapped  
 170 on one of more than of these sites. Some of these traps can be thermally depleted and recombine on  
 171 recombination centers emitting a photon. This is the basic phenomenon on which the thermoluminescence  
 172 technique works. The trap determined after a TL measurements is characterized by the energy  $E_t$  (which is  
 173 referred to as the trap depth) that an electron must acquire from lattice vibrations to escape to the conduction  
 174 band where it can move freely in the crystal and by the characteristic temperature  $T_m$  at which the thermal  
 175 vibrations of the crystal lattice are sufficient to cause the release of trapped electrons. The rate at which the  
 176 electrons, or holes, escape from the traps is roughly governed by the vibrational frequency (or attempt-to-  
 177 escape factor or frequency)  $s$  of the charge within the trap and the trap depth  $E$ . The overall escape rate is  
 178 proportional to  $S \cdot \exp(-E_t/kT)$ .

179 The thermally stimulated light emission from an insulator or a semiconductor following the previous  
 180 absorption of energy from ionizing radiation results in the typical Thermoluminescence glow curve from  
 181 which the information on the electron traps present in the band gap can be extracted. By looking at Figure 3,  
 182 under the hypothesis that the total electron retrapping probability  $(N-n)A_n$  is much lower than the  
 183 recombination probability  $mA_m$  (i.e. slow retrapping condition), the thermoluminescence intensity for single  
 184 peak is given by the well known first order kinetic equation [16] in the case of a linear temperature gradient:

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$$I(T) = n_0 S \exp\left(-\frac{E_t}{kT}\right) \exp\left(-\frac{S}{\delta} \int_{T_0}^T \exp\left(-\frac{E_t}{kT'}\right) dT'\right) \quad (1)$$

Where  $\delta$  is the heating rate,  $T_0$  is the initial temperature,  $n_0$  the initial number of filled traps,  $E_t$  is the trap energy,  $S$  is the above expressed frequency factor ( $s^{-1}$ ) indicating also the number per second an electron interacts with the lattice. The exponential integral in equation (1) could be only analytically solved [17] and gives a representation of the single peak glow curve as a non linear function of trap energy  $E_t$ , peak temperature at maximum  $T_M$  and peak maximum intensity  $I_M$ . The integral in (1) is then the representation of a glow peak with a maximum intensity  $I_M$  at a characteristic temperature  $T_M$  following the equation:

$$\frac{\delta E_t}{kT_M^2} = S \exp\left(-\frac{E_t}{kT_M}\right) \quad (2)$$

It then follows that the integral light intensity depends on the number of trapped charges that are in turn depending on the irradiation dose and independent on the heating cycle. The characteristic temperature  $T_M$  does not depend on the number of trapped charges and relates with the trap energy  $E_t$ .

Moreover, the trap energy depth  $E_t$ , the peak maximum temperature  $T_M$  and the width of the peak  $\omega$  (defined as the ratio  $A/I_M$  i.e. between the glow peak area and the peak intensity at its maximum), are related among them by the following relation [18]:

$$E_t \cong \frac{kT_M^2}{\omega} \quad (3)$$

From eq.(3) it should be expected that glow peak at higher temperature correspond to deeper traps. However, when complex glow curve resulting from peak overlapping are analyzed this is not a followed rule since also the width of glow peak contributes in defining the correspondence between the energy and glow peak maximum temperature[18]

The analysis of such complex curves can be nowadays afforded by a friendly free downloadable software namely GlowFit program [14] specially designed to simulate thermoluminescence glow curve resulting from up ten single or superimposed glow peaks following the first order kinetics. The GlowFit software allows to determine the trap energy depth  $E_t$ , the peak maximum temperature  $T_M$ , the peak maximum intensity  $I_M$  and the frequency factor  $S$  as *output* best fit parameters and calculates the peak area  $A$ . Moreover, the goodness of the fit is expressed through the figure of merit (FOM) value that is a further program output and is defined as :

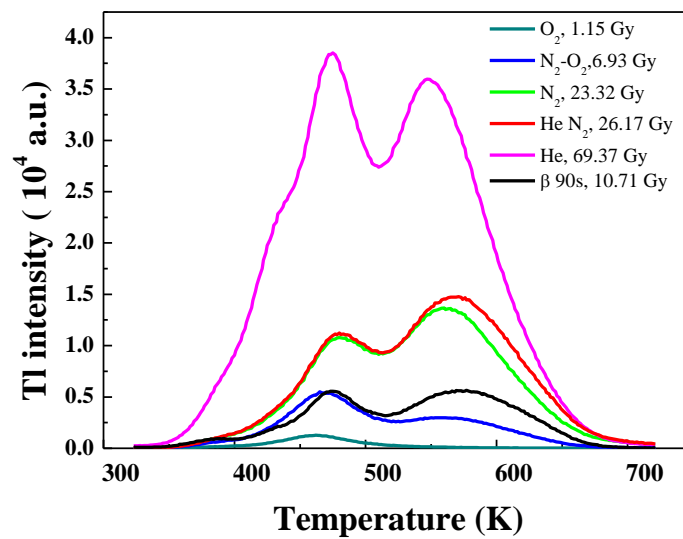
$$FOM(\%) = \sum_{i=1}^n 100 \cdot \frac{|\Delta y_i|}{A_T} \quad (4)$$

where  $n$  is the number of data points,  $\Delta y_i$  is the difference between the experimental and fitted points and  $A_T$  is the integral of the fitted glow curve in the region of interest [19].

### 3.2 Effect of different discharge regimes

In figure 3 the collected thermoluminescence glow curves (TL GCs) for a 30 minutes plasma exposure time and different gas mixture discharges and GC referred to 90 seconds  $\beta$  exposure are reported. In analogy to

220 the terminology used for the  $\beta$  radiation, we will refer to “plasma radiation” as the sum of all agents  
 221 (electrons, photons, ions) that, impinging on the dielectric, are capable to excite an electron into a surface trap  
 222 level and term “plasma radiation dose” the corresponding estimated dose.  
 223 The TL GC intensity of the different observed spectra shown in figure 4 relates well with the measured  
 224 current in the different plasma regime conditions. In fact the higher electron current transferred charge is  
 225 obtained in the helium plasma glow regime, then the pseudo-glow regime of helium-nitrogen and nitrogen  
 226 plasma and last the filamentary regime of air and oxygen plasma (see table 1).

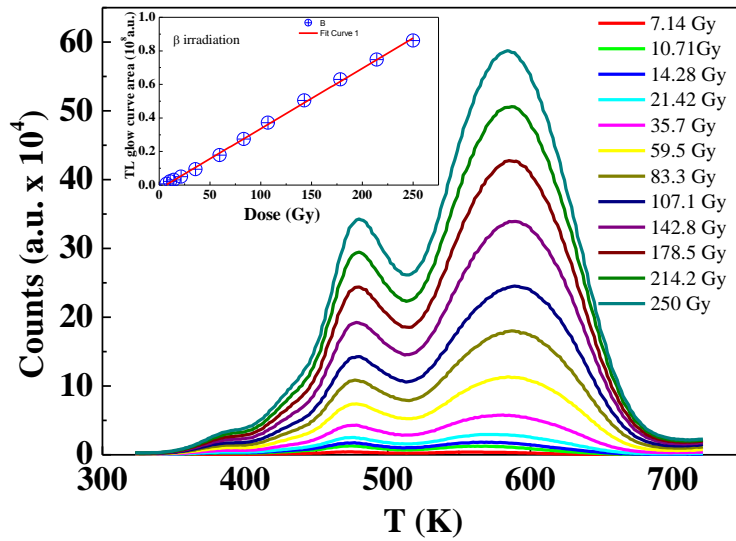


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 228 **Figure 4.** Thermoluminescence glow curves after alumina exposed to different gas mixture discharge plasma. The GC  
 229 data refers to 30 minutes plasma exposure. Glow curve after 90 s of  $\beta$  exposure is also shown for comparison. (colour  
 230 online)

231  
 232 It is evident that exposing the alumina samples for the same time to different plasma produces  
 233 thermoluminescence glow curves with different intensities. Furthermore, the plasma induced  
 234 thermoluminescence glow curves display features similar to those observed after  $\beta$  irradiation which is  
 235 constituted of high energetic electron current. Possible effects of the alumina exposure to UV radiation  
 236 although present in the plasma as irradiation source have been excluded since, as previously observed, glow  
 237 curve observed after UV (mercury lamp) exposure display a different shape due to the UV light induce  
 238 bleaching effect [4]. Respect to previously published results for the case of synthetic air [4], referring to glow  
 239 curve collected after some days from plasma exposure, the short delay between the  $N_2-O_2$  plasma exposure  
 240 and thermoluminescence measurements results in a much more intense glow curve.

241 The radiation dose after plasma exposure was estimated by using the known  $\beta$  radiation source dose rate as a  
 242 reference. First of all the linear dependence of the thermoluminescence glow curve area on the total  
 243  $\beta$  radiation dose has been verified (see figure 5)





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**Figure 5.** Thermoluminescence glow curves for different  $\beta$  radiation exposure time (i.e. total dose). The alumina sample response is linear with the dose. (colour online)

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Therefore, it has been possible to estimate the total plasma exposure dose from the ratio of the plasma thermoluminescence glow curve area and the  $\beta$  thermoluminescence glow curve area for a given radiation dose (i.e. 10.7 Gy). To minimize the experimental error on the plasma radiation dose estimate the reference signal obtained after exposure to the  $\beta$  radiation source were collected on each sample after collecting the plasma thermoluminescence glow curve. The experimental error can be due to small differences on the geometrical area of the alumina slabs or to different GC intensities produced by  $\beta$  irradiation due to sensitization effects. The reference signal enable us also to verify that no radiation damage or sensitization effect was produced on the dielectric surface.

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The total plasma radiation dose for the different discharges are reported in Table 1. As can be observed, He plasma is highly effective in filling several traps in the overall thermoluminescence temperature range while the  $O_2$  plasma is the least effective one. It must be however pointed out that despite the not so much different current from the case of  $N_2-O_2$  discharge the number of counts observed in  $O_2$  plasma produced glow curve is smaller. The counts reduction observed in  $O_2$  discharge can be ascribed to the presence of an higher level of ozone producing de-trapping effect associated to the ozone-surface interaction. It has been in fact demonstrated that ozone annealing efficiently eliminates the charge trapped in the dielectric used as capacitor material [20].

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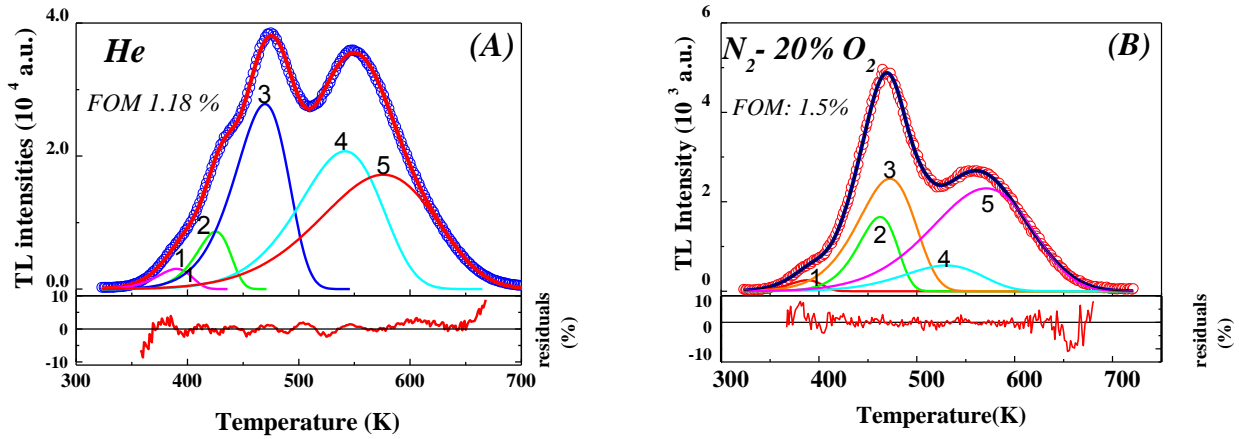
<i>PLASMA</i>	<i>PeakN*</i>	$T_m$ (K)	$E_t$ (eV)	<i>Peak Area</i> (a.u.)	$S$ (s <sup>-1</sup> )	$\omega$	<i>Dose</i> (Gy)	$E_{Ton}$ (mJ)	$Q_{cycle}$ (C)
	<i>1</i>	390	0.755	2310	1.60 10 <sup>9</sup>	27			
<i>O<sub>2</sub></i>	<i>2</i>	458	0.888	20803	1.46 10 <sup>9</sup>	32			
<i>FOM</i>	<i>3</i>	474	0.608	27687	4.61 10 <sup>5</sup>	48	<b>1.15</b>	<b>8.9</b>	<b>1.4 10<sup>-9</sup></b>
<i>2.37%</i>	<i>4</i>	520	0.562	9353	3.71 10 <sup>4</sup>	61			
	<i>5</i>	575	0.500	4064	2.12 10 <sup>3</sup>	83			
	<i>1</i>	388	0.756	6550	3.79 10 <sup>9</sup>	27			
<i>N<sub>2</sub>-O<sub>2</sub></i>	<i>2</i>	462	0.890	53984	2.42 10 <sup>9</sup>	32			
<i>FOM</i>	<i>3</i>	473	0.620	118712	1.32 10 <sup>6</sup>	47	<b>6.93</b>	<b>6</b>	<b>2 10<sup>-9</sup></b>
<i>1.5%</i>	<i>4</i>	530	0.562	36862	5.12 10 <sup>4</sup>	64			
	<i>5</i>	571	0.500	188080	4.62 10 <sup>3</sup>	82			
	<i>1</i>	388	0.852	11418	7.84 10 <sup>10</sup>	38			
<i>N<sub>2</sub></i>	<i>2</i>	418	0.99	12574	5.72 10 <sup>11</sup>	24			
<i>FOM</i>	<i>3</i>	475	0.759	290354	4.36 10 <sup>7</sup>	40	<b>23.32</b>	<b>12</b>	<b>9 10<sup>-9</sup></b>
<i>1.76%</i>	<i>4</i>	552	0.703	344381	6.78 10 <sup>5</sup>	57			
	<i>5</i>	580	0.500	701399	3.79 10 <sup>3</sup>	84			
	<i>1</i>	390	0.868	12425	5.40 10 <sup>10</sup>	23			
<i>He-N<sub>2</sub></i>	<i>2</i>	422	1.090	15618	3.63 10 <sup>12</sup>	22			
<i>FOM</i>	<i>3</i>	475	0.770	296911	2.87 10 <sup>7</sup>	39	<b>26.17</b>	<b>5.9</b>	<b>1.1 10<sup>-8</sup></b>
<i>1.68%</i>	<i>4</i>	559	0.622	432134	4.71 10 <sup>4</sup>	64			
	<i>5</i>	587	0.500	769263	1.66 10 <sup>3</sup>	86			
	<i>1</i>	390	0.810	77232	1.77 10 <sup>10</sup>	25			
<i>He</i>	<i>2</i>	425	0.991	216310	3.50 10 <sup>11</sup>	25			
<i>FOM</i>	<i>3</i>	470	0.758	1080551	5.47 10 <sup>7</sup>	38	<b>69.37</b>	<b>7.55</b>	<b>2.8 10<sup>-8</sup></b>
<i>1.18%</i>	<i>4</i>	542	0.638	1235783	2.20 10 <sup>5</sup>	59			
	<i>5</i>	576	0.500	1430699	4.15 10 <sup>3</sup>	83			

265 Table 1. Best fit parameters extracted using the GlowFit program, i.e. Peak Temperature  $T_m$  (K); Trap Energy  $E_t$  (eV);  
266 Peak Area, A (a.u.); Frequency factor,  $s$  (s<sup>-1</sup>); Figure of Merit (FOM). The peak width  $\omega$  and Dose (Gy) are calculated  
267 as described in the text. The fitted glow curves refers to 30 minutes plasma exposure for gas mixture plasmas leading to  
268 different discharge regime. In the table are reported the corresponding plasma macroscopic parameters i.e.: Energy  $T_{on}$   
269 (mJ); plasma current charge per cycle  $Q_{cycle}$  (C).

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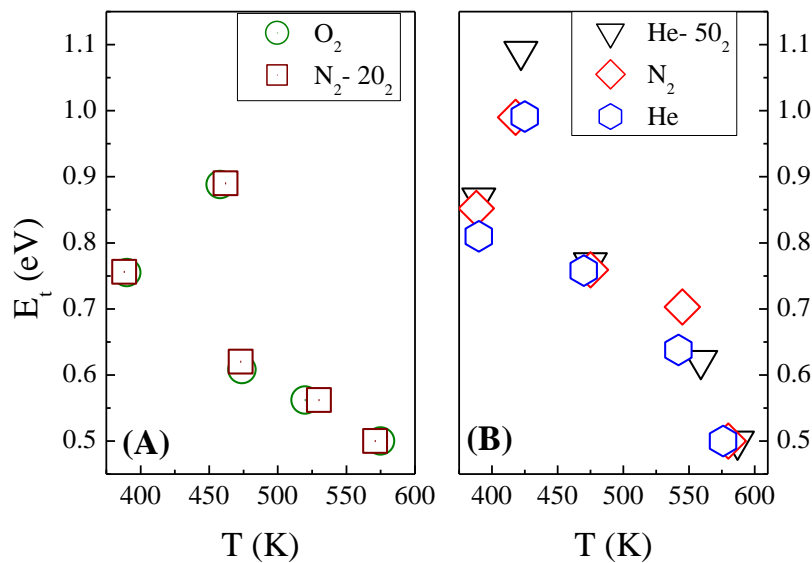
271 The peak deconvolution procedure [14] helps to better elucidate on the plasma radiation thermoluminescence  
272 glow curve features and involved trap energies. In figure 6 the deconvolution for the thermoluminescence

273 glow curve obtained after He and air discharge are reported as examples together with the residual and the  
 274 figure of merit (FOM) for each fit.



275  
 276 **Figure 6.** Glow curve fitting curve (red straight line) and deconvoluted peaks resulting as the output of the application  
 277 of the GlowFit software on the experimental thermoluminescence curve (circles) for He (a) and N<sub>2</sub> O<sub>2</sub> (synthetic air,  
 278 (b)). The TL GC refers to 30 minutes plasma exposure. In the bottom part the residual values in the examined  
 279 temperature range have been represented. The residual represents the difference at each temperature between the  
 280 experimental and fitted points in the GC. The FOM values have been also reported. (colour online)

281  
 282 The best fit parameters obtained after peak deconvolution, referring to each peak, have been listed in Table 1  
 283 and represents the thermoluminescence glow peak maximum temperature ( $T_m$ ), trap activation energies ( $E_t$ ),  
 284 frequency factor  $S$ , glow peak area ( $A$ ) and figure of merit values (FOM)[21]. The frequency factor  $s$  and has  
 285 been found higher for the lowest temperature peaks. The FOM accounts for the goodness of  
 286 thermoluminescence glow curve modeling and respect to previously published results [4], the fitting  
 287 procedure has been improved by reducing the figure of merit (FOM) values less than 2% (see Table 1).



288  
 289 **Figure 7.** Correlation between the discharge regimes and the deconvoluted peak parameters ( $T_M$  and  $E_t$ ) of Table 1:  
 290 filamentary regimes (A) and glow and pseudo glow regimes (B). (colour online)

291

292 The deconvolution of glow curve produced after plasma exposures evidence five contributing peaks. The  
293 results reported in Table 1 can be more easily understood if we consider figure 7.

294 It can be observed that traps filled by the plasma are similar in the case of air and oxygen discharge, but with  
295 a trapping efficiency higher for the air like plasma (see Table 1). We speculate that in  $N_2$ - $O_2$  plasma the  
296 lower ozone production leads to a lower ozone-mediated detrapping effect.

297 The glow curve intensities produced in the case of glow (He) and pseudo glow ( $N_2$ , He- $N_2$ ) regime show  
298 very strong similarities. In particular the higher temperature peaks are the same with slight differences in the  
299 peak temperatures ( $< 15K$ ) and energies ( $< 10$  meV). These attributions are furthermore justified when  
300 considering the similar order of magnitude of the frequency factor  $S$  values.

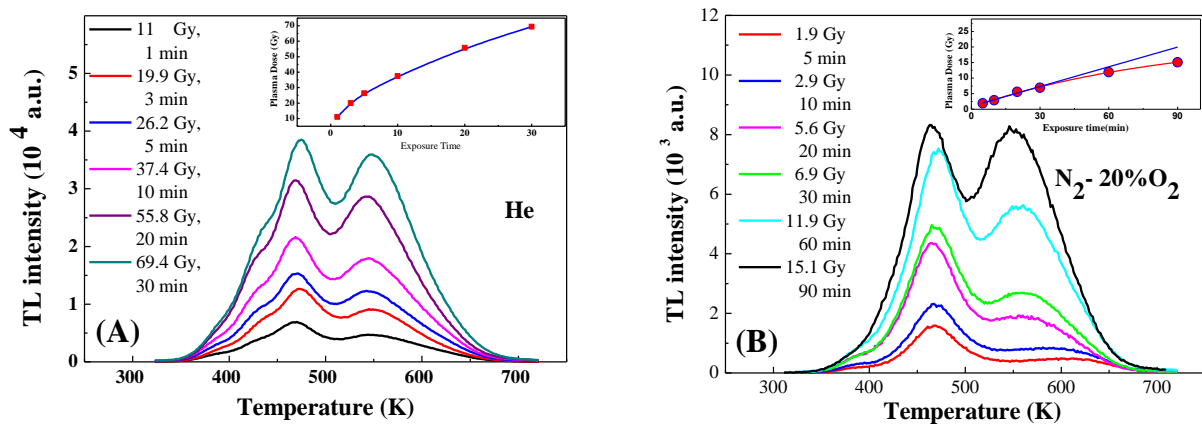
301 We can conclude that the different discharges characterized by different regimes (i.e. different plasma  
302 current and electron energy) are more or less effective in filling the electron traps in the dielectric materials.

303 We can also speculate that the trap filled by different plasmas are keeping memory of the active species  
304 (metastable, or electronegative gases, etc.): in fact different trap at dielectric surface are filled giving a  
305 different signature on the thermoluminescence glow curve.

306

### 307 3.3 Effect of the exposure time

308 The thermoluminescence glow curves were collected for different total exposure time of the alumina samples  
309 to He and  $N_2$ - $O_2$  plasmas, in order to observe the effect of two extreme plasma regimes (glow and  
310 filamentary respectively) on charge trapping. In figure 8 the obtained glow curves are reported together with  
311 the estimated dose for the different exposure time.



312

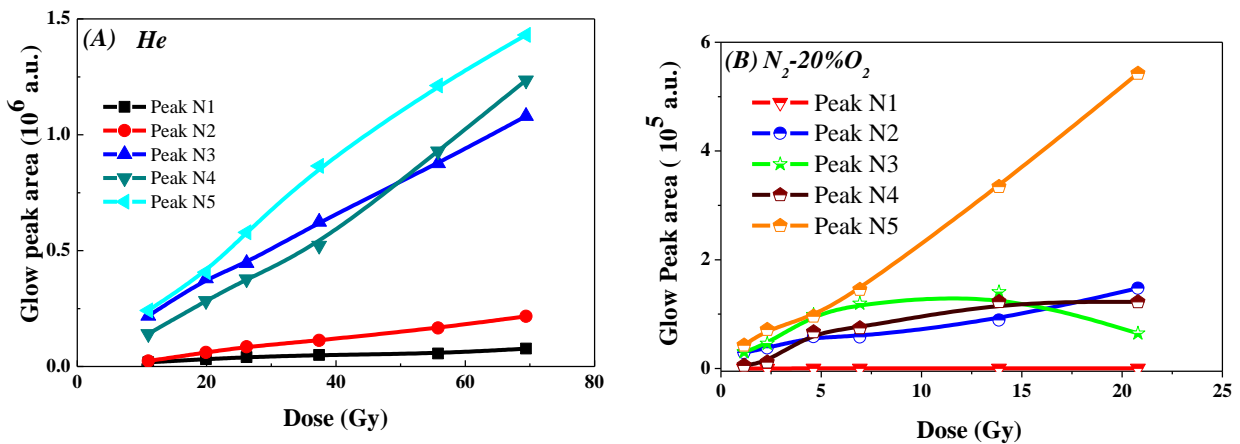
313 **Figure 8.** Thermoluminescence glow curves for different time exposure in the case of (a) Helium and air (b) discharge.

314 In the legend the estimated total dose are reported together with the total exposure time. (colour online)

315

316 At the lowest doses, the thermoluminescence glow curve intensity is higher in the lower temperature region  
317 than in the high temperature region. Increasing the exposure time the thermoluminescence glow curve  
318 intensities for the two temperature region seem to converge to an almost common value. This effect is due to  
319 the presence of several trapping states that can act one as active and other as competitor traps. Generally, the

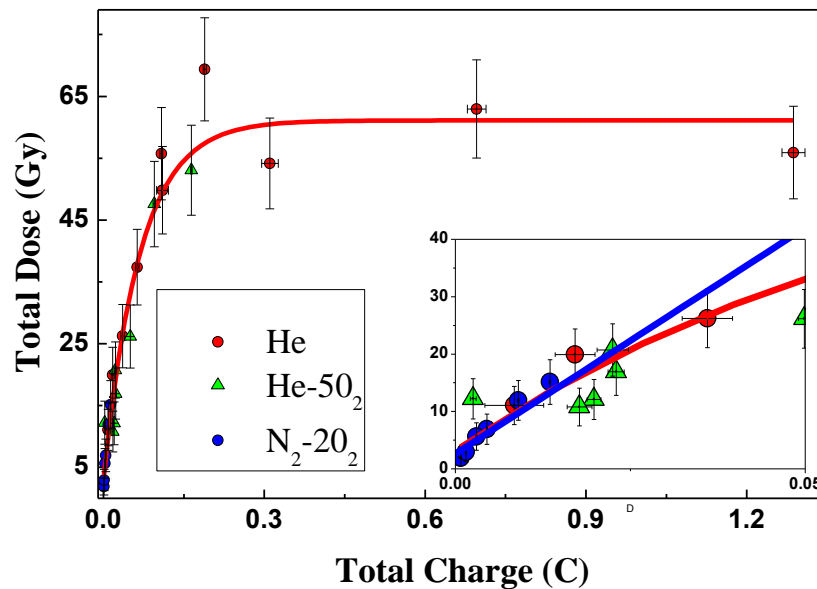
320 trapping probability of the competitor trap is higher than that of the active one so that the glow peak area  
 321 tends to saturate due to the fast filling of competitor trap levels; after competitor level saturation more  
 322 electrons are trapped to the active states that shows a fast linear trap filling [15]. These effects may account  
 323 for the behavior observed at the lowest doses in He and air discharge.  
 324 In the inset of figure 8 are represented the total thermoluminescence glow curve area values as a function of  
 325 irradiation time, that in the case of beta irradiation can be easily converted in the total radiation dose. A  
 326 saturation effect is clearly observed in He while in N<sub>2</sub>-O<sub>2</sub> it is less marked. It has to be stressed that  
 327 saturation effect has not been observed after β irradiation both for doses similar or much higher than plasma  
 328 ones.



329  
 330 **Figure 9.** Thermo luminescence deconvoluted glow curve peak areas versus plasma dose for the case of He (a) and Air  
 331 like plasma (b) discharge. N.i. represent the peak number as reported in Table 1. (colour online)

332  
 333 The dynamic behavior of thermoluminescence glow curve has been exploited by examining the evolution of  
 334 each glow peak when changing the plasma doses. It has to be underlined that since plasma dose depends on  
 335 the discharge regime, the examined dose range is different although exposure time is the same. Figure 9  
 336 shows the glow peak areas as a function of the corresponding irradiation dose under glow (He figure 9(a))  
 337 and filamentary (N<sub>2</sub>-O<sub>2</sub> (figure 9(b)) discharge regime. In the glow regime, the lower temperature and the  
 338 highest temperature peak areas saturates(competitor traps), while intermediate temperature peak display a  
 339 general linear behavior (active trap). In the filamentary regime, the highest temperature peak display an  
 340 almost linear behavior (active trap) while the remaining peaks saturate with the dose (competitor trap).  
 341 The overall saturation effect observed in the case of plasma induced charge trapping can be explained if we  
 342 consider that the plasma electron energy is typically of the order of a few eV, i.e., five orders of magnitude  
 343 lower than the beta source, leading to an electron penetration depth of around tens of nanometer [22].  
 344 Therefore the plasma electrons are effective mostly on the first monolayers of alumina surface where they  
 345 are readily stopped rather than on the overall bulk, which is instead fully crossed by beta radiation. This  
 346 means that the real volume of the sample that is irradiate by plasma is smaller. If we assume that the traps are  
 347 randomly distributed in the sample this means that the number of traps that can be filled by the plasma  
 348 radiation is considerably lower, and as a consequence the saturation dose is also lower [23]. This is

349 furthermore confirmed by comparing the glow curve area vs dose collected after  $\beta$  and plasma irradiation in  
 350 the same dose range (up to 50 Gy) that was found linear for the former while saturates for the latter.  
 351 The strong correlation between the estimated plasma radiation dose and the plasma current is evidenced in  
 352 figure 10. Several experiment were run for different gas mixtures and regime in He, He/N<sub>2</sub>, N<sub>2</sub>, N<sub>2</sub>/O<sub>2</sub>, the  
 353 current was constantly monitored and the thermoluminescence measurements performed soon after each run,  
 354 together with the corresponding calibration measurements by irradiation with  $\beta$  radiation.

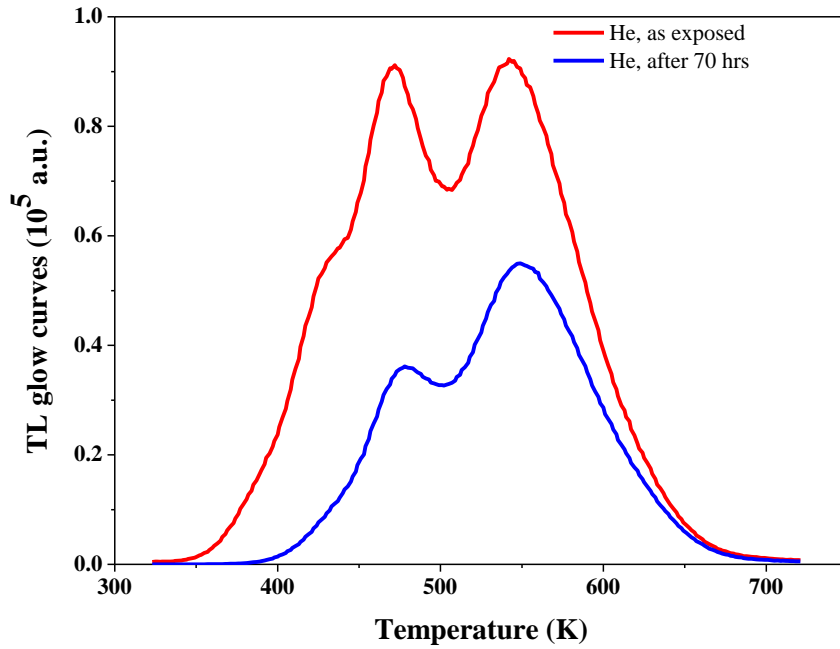


355  
 356 **Figure 10.** Estimated total doses for different plasmas as a function of the total discharge current estimated charge. The  
 357 dose is linearly proportional to the charge up to dose of 15 Gy and deviates from linearity for higher doses, as observed  
 358 when plotting the dose as a function of time exposure. (colour online)

359  
 360 For values of the total dose less or equal to 11 Gy the plasma radiation dose is linearly correlated to the total  
 361 current transferred charge estimated by the integration of the current peak, while start to show a saturation  
 362 effect for higher dose rate. In particular saturation is easily reached in the case of Helium, and He N<sub>2</sub> gas  
 363 mixture. If we look carefully at figure 10 we can observe that if the estimated total plasma current transferred  
 364 charge is the same, then estimated plasma radiation dose is also the same. This can be a further indication  
 365 that the electrons hitting the surface are the main responsible for the charge trapping. Moreover the observed  
 366 charge trapping generated by plasma exposure is a long lasting effect. A set of dedicated experiment was run  
 367 in the case of He discharges in order to clearly show this effect.

368 Figure 11 is an example of the time evolution of the thermoluminescence curve collected on the same  
 369 alumina sample just after the plasma exposure and by left the sample under dark for 70 hours after plasma  
 370 exposure. The plasma irradiated sample was kept under dark in order to avoid the superposition of  
 371 environmental light bleaching to fading effect. In the last case, the glow curve area is about a factor of two  
 372 lower with respect to those of the as exposed sample evidencing the presence of the fading effect [15]. Here,  
 373 the high temperature glow curve region relates to the initial one since the trap temperature and energy

374 distribution were not modified. Conversely, changes in the temperature and energies of trapped charge  
 375 distribution (see Table 2) affect the low temperature glow curve region.



376  
 377 **Figure 11.** Thermoluminescence glow curve taken soon after the exposure to plasma and after 70 hours. (colour online)  
 378  
 379 The fading effect accounts for the high frequency factor  $S$  of the lowest temperature peaks indicating that  
 380 these traps are more easily released in time and can relax toward a more energetically stable trap distribution.  
 381

382 **Table 2.** Peak temperature and energies for glow curves taken just after exposure to plasma, 20, 40 and 70 hours.

	0 hrs	20 hrs	40 hrs	70 hrs
Peak Temperatures (K)				
PK <sub>1</sub>	390			
PK <sub>2</sub>	425	429	431	431
PK <sub>3</sub>	470	469	470	467
		494	494	487
PK <sub>4</sub>	542	547	547	546
PK <sub>5</sub>	576	574	576	574
Peak Energies (eV)				
PK <sub>1</sub>	0.810			
PK <sub>2</sub>	0.990	1.18	1.31	1.38
PK <sub>3</sub>	0.760	1.03	1.04	1.14
		1.72	1.82	1.35
PK <sub>4</sub>	0.640	0.77	0.78	0.76
PK <sub>5</sub>	0.500	0.50	0.52	0.52

383  
 384  
 385

386 **4. Conclusions**

387 Evidence of DBD plasma induced trap filling effect on alumina by using thermoluminescence techniques  
388 was clearly observed. The thermoluminescence signal originates from long living traps previously filled by  
389 plasma electron current, that are subsequently depleted by heating. The plasma generated  
390 thermoluminescence signal was found to be maximum for the Helium glow discharge plasma and in general  
391 higher for the homogenous discharge case. Evidence of a strong correlation between the thermoluminescence  
392 signal, i.e. the filled electron trap, and the total discharge current charge was demonstrated. Due to the low  
393 energy of the plasma electron the penetration depth of the electrons could only be few nanometers, leading to  
394 a saturation of the thermoluminescence signal with increasing exposure time. The generated charge traps was  
395 observed to last for several days, with a redistribution of the charge on deeper energy level. The  
396 redistribution of the trapped charge after several hours from plasma exposure tends to fill higher temperature  
397 trap with trap average energy slightly above 1 eV.

398

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404

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