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Hydromorphological Mechanisms Leading to Hypoxia 1 off the Changjiang Estuary 2 **Baodong Wang** 3 The First Institute of Oceanography, State Oceanic Administration, Qingdao 266061, China 4 Abstract 5 Based on the seasonal surveying data and long-term data, the annual 6 changes in the geographical locations, occurrence frequency, affected 7 areas and the minimum oxygen level as well as the formation mechanism 8 of the summer hypoxia off the Changjiang estuary are summarized and 9 10 discussed in this paper. The historical data indicates that there were episodes of hypoxia in the past 50 years but not every year, and the event 11 of summer hypoxia could be traced back to as early as late 1950s off the 12 13 Changjiang estuary. The minimum oxygen levels in the hypoxia zone did not show any decline in the past 50 years, but all the events with large 14 size of affected-area (>5000km²) were observed after the late 1990s, 15 suggesting an enlarging trend. The author argues that the development of 16 summer hypoxia off the Changjiang estuary was related not only to 17 stratification and input of suspended particulate matter, but also to the 18 inflow of Taiwan Warm Current Water as well as the bottom topography. 19 20 Keywords: Hypoxia; Dissolved oxygen; Oxygen depletion; Hydrography; 21 Morphology; Bottom topography; The Changjiang (Yangtze River) estuary; The East China Sea 22 Correspondence: 6 Xianxialing Road, Hi-Tech Industrial Park, Qingdao 23 266061, China. E-mail: wangbd@fio.org.cn; Tel: 86-532-88962016; Fax: 24 86-532-88967447 25

26 **1 Introduction**

Because of their geomorphology and circulation patterns, some marine systems have a greater tendency to develop hypoxic conditions. The basic features of a system that make it prone to hypoxia are low physical energy (tidal, currents, or wind) and large freshwater input (Diaz, 2001). These features combine to form stratified or stable water masses near the bottom that become hypoxic when they are isolated from reoxygenation with surface waters.

33 Hypoxia and anoxia have been widely observed in many estuarine and coastal 34 regions over the last several decades, of which the most well-known areas for hypoxia/anoxia are the Gulf of Mexico, Chesapeake Bay, the North Sea, Tokyo Bay, 35 the Black Sea, and the Baltic Sea (Diaz, 2001; Rabalais, Turner and Wiseman, 2001, 36 37 2002). Hypoxic zones also occur in coastal waters of China Seas, such as the summer hypoxia off the estuaries of Changjiang and Pearl River (e.g., Chen, Gong 38 and Shiah, 2007; Wei, He, Li, Liu and Wang, 2007; Dai, Guo, Zhai, Yuan, Wang and 39 Wang et al., 2006; Li, Zhang, Huang, Wu and Liang, 2002; Yin, Lin and Ke, 2004). 40 Previous studies have obtained some preliminary understanding about the formation 41 42 mechanism of the summer hypoxia off the Changjiang estuary; however, these findings were based on the data of some single cruises (Chen et al., 2007; Li et al., 43 2002; Wei et al., 2007). The annual changes in the geographical locations, the 44 frequency, the affected areas, the minimum oxygen levels, and the regional specific 45 characteristics of summer hypoxia development in this area still remain unknown. 46

Based on the seasonal surveying data and the long-term data on summer hypoxia off the Changjiang estuary, the author attempted to answer the above questions and identify the regional specific hydromorphological mechanisms leading to the development of summer hypoxia off the Changjiang estuary.

51 2 Materials and Methods

The source of field data used in this paper is the same as that of Wang et al. 52 (2003). Since this paper focuses on the chemical hydrography in areas adjacent to 53 the Changjiang estuary, ten cross-shelf transects covering the shelves of the Yellow 54 Sea (YS) and East China Sea (ECS) were selected from the original database. The 55 data collected from November 1997, May 1998, August 1998 and January 1999 56 cruises were designed to represent the autumn, spring, summer and winter 57 conditions, respectively. The station locations, sampling resolution and analytical 58 59 methods of temperature and salinity were described in detail elsewhere (Wang, Wang and Zhan, 2003). Dissolved oxygen was measured using Winkler titration 60 method. The precision in the measurements of temperature, salinity and dissolved 61 oxygen were $\pm 0.005^{\circ}$ C, ± 0.005 , ± 0.06 mg L⁻¹, respectively. 62

63 Historical records of summer hypoxia were also compiled for comparison.

64 **3 Results**

In the winter, circulation in areas adjacent to the Changjiang estuary is 65 characterized by northward extension of the Taiwan Warm Current (TWC) and 66 southward flow of coastal currents along the banks (Guan, 1994). Bottom 67 temperature distribution showed that the saline warm water of TWC flowed 68 northward along 123°E from the south of ECS and turned northwestward at 31°N 69 into the trough off the Changjiang estuary, while the cold, less saline coastal water 70 71 extended southeastward in a tongue-like shape from the west of YS to the northern 72 ECS (Fig. 1a). The shapes of the isotherms were quite similar to isobaths (Fig. 1a). Similar distributions were also found for salinity (not shown) and dissolved oxygen 73 (Fig. 1b). Water with DO $< 8 \text{ mg L}^{-1}$ from the TWC occupied the trough off the 74 Changiang estuary, while $>8 \text{ mg L}^{-1}$ coastal water occupied the coastal areas as 75

well as the middle shelf of the northern ECS (Fig. 1b). The vertical profiles of T, *S* and DO showed that the water column was homogeneous and the TWC water in the trough was very distinct with high temperature (>15°C) and salinity (>34.2) and lower oxygen level (<7.8 mg L⁻¹) (Fig. 2a, b & c).

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83 With the southerly monsoon predominant in spring, the coastal current in ECS moved northward along the coast with increasing width and velocity, while the 84 coastal current in YS extended southeastward into the northern ECS with less 85 intensity than that in winter (Guan, 1994). The TWC at all levels below the sea 86 surface moved north-northeastward along isobaths offshore with intensity stronger 87 than that in winter (Guan, 1994). The bottom salinity distribution indicated that the 88 saline frontal water of TWC extended far reaching into the trough off the 89 Changjiang estuary, which could reach 31.5°N as shown by isohaline of 33 psu (Fig. 90 1c). The oxygen level of the bottom water of TWC was lower than that in the winter. 91 An area with oxygen level less than 5.0 mg L^{-1} was found in the bottom water inside 92 93 the trough and there were sharp gradients with surrounding waters (Fig. 1d).

Solar radiation and river runoffs (mainly from the Changjiang River) made a strengthened stratification in the spring in the northern ECS shelf. In the area of the trough, there were a strong halocline, a weak thermocline and lower dissolved oxygen at the depth of 10 to 30 m (Fig. 2d~2f). Below the halocline and the thermocline lied the deep water of TWC inside the trough, which was characterized by low temperature (<19.0°C), high salinity (>33.5) and low oxygen level (<5.0 mg L^{-1}). In the middle shelf of the northern ECS, there was a stronger thermocline at the

Figure 1

101 depth of 10 to 20 m and below the thermocline lied a cold water ($<13^{\circ}C$) with low

salinity (<33.0) and high oxygen starting level (> 8.0 mg L^{-1}) (Fig. 2d~2f).

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Figure 2

In the summer, the circulation pattern and the composition of water masses in the 106 107 studied area were similar to that in the spring. However, the forces of the coastal 108 current in ECS and TWC were enhanced due to higher runoffs and dominance of southerly wind (Guan, 1994). The surface of the northern ECS and south of YS was 109 occupied by Changjiang Diluted Water with low salinity (< 31.0) and high 110 temperature (see Fig. 2 in Wang et al., 2003). The bottom water from TWC with low 111 112 temperature (<21.0°C) and high salinity (>33.0) occupied the middle shelf as well as the trough off the Changjiang estuary, and its frontal water could reach 32°N (as 113 114 shown by the 33.0 isohaline) (Fig. 3a). The oxygen level of the bottom water of 115 TWC was even lower than that in the spring. A closed area with oxygen level less than 3.0 mg L^{-1} was found in bottom water inside the trough as well as on its right 116 slope (Fig. 3b) and there were sharp gradients both in temperature and oxygen levels 117 118 with its eastern and northern surrounding waters (Fig. 3a & b).

Stronger solar radiation and higher river runoffs during the summer made a stronger stratification than that in the spring on the ECS shelf. In the inner shelf of the northern ECS, there were a very strong thermocline, a halocline and a spring layer of dissolved oxygen at the depth of 5 to 30 m (Fig. 2g~2i). The upper water in the northern ECS shelf was occupied by Changjiang Diluted Water with salinity less than 31.0 (Fig. 2h) and the lower layer water was the deep water of TWC with high salinity (>34.0), low temperature (<19°C) and low oxygen level (<4.0 mg L⁻¹). The

remnant winter water with low temperature (<13°C), low salinity (<33.0), and high oxygen level (> 7.0 mg L^{-1}) still lied on the bottom of the middle shelf of the northern ECS.

In the autumn, the circulation pattern and intensities of the currents were similar 129 to that in the winter. The extending range of the bottom frontal water of TWC was 130 131 almost the same as that in the winter (as shown by 33.0 isohaline, Fig. 3c). The oxygen levels in the bottom waters inside the deep trough as well as on the middle 132 shelf of ECS were less than 5.0 mg L^{-1} (Fig. 3d). The vertical profiles showed that 133 the water column was nearly vertically uniform on the northern ECS shelf except 134 inside the deep trough, where there still existed a halocline and a spring layer of 135 136 dissolved oxygen at the lower layer, which was the remnant deep water of TWC (Fig. 2j~2l). 137

Figure 3

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142 **4 Discussion**

143 *4.1 The locations of hypoxia zone off the Changjiang estuary*

Figure 4 shows the distribution of the locations of the centers of hypoxia zones (defined as the location where the oxygen level was the minimum in a campaign) observed off the Changjiang estuary in the past 50 years. Geographically, almost all of the centers of hypoxia zones were located inside the trough and most centered around 123°′E, 30°50′N; the only exception is the hypoxia zone observed in August 1998 which was outside the trough and far from the Changjiang estuary. Bathymetrically, they occurred in the water depth of 20 to 55 m and mostly in the

151	water depth of 40 m.		
152			
153]
154		Figure 4	
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4.2 Variation of the minimum oxygen levels and the affected areas of the hypoxiazone

A historical picture of oxygen conditions off the Changjiang estuary, as shown in 159 Table 1, indicates that there were episodes of hypoxia in the past 50 years but not 160 161 every year. The overall probability of occurrence of summer hypoxia was 60% in 162 the past 50 years, but up to nearly 90% after the 1990s, demonstrating an increasing trend. In terms of the duration of the hypoxic events, the available monthly 163 surveying data conducted in 1959 revealed that hypoxic events occurred only in 164 165 August, suggesting that the duration of the hypoxic events was probably rather short. The minimum oxygen concentrations observed in the area off the Changjiang 166 estuary were different in different years, but there was no obvious trend of decline 167 or increase. The size of the hypoxia zone also differed greatly in different years. 168 However, all the events of hypoxia with affected area greater than 5000 km² 169 170 occurred after the end of the 1990s, indicating that the extent of summer hypoxia became more severe in recent years, possibly due to the increasing input of 171 anthropogenic nutrients to this area (Wang, 2006). 172

Table 1

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176 *4.3 The formation mechanism of the hypoxia zone off the Changjiang estuary*

Based on the analysis of the seasonal distributions of temperature, salinity and 177 178 dissolved oxygen, the formation mechanism of hypoxia zone off the Changjiang 179 estuary may be described as the following: in the winter, the frontal water of TWC with high temperature and salinity and low oxygen level occupied the whole water 180 181 column in the area of the trough off the Changjiang estuary; in the spring, the 182 combination of higher runoff and stronger solar radiation made a strong 183 stratification in the northern ECS shelf, especially in the area of the trough, resulting 184 in decreased oxygen level at the lower layer through decomposition of organic matter; in the summer, the combination of the highest runoff and the strongest solar 185 186 radiation made the strongest stratification in the northern ECS shelf, especially in 187 the area of the trough, resulting in the continuous decrease in the oxygen level at the lower layer through decomposition of organic matters and finally the hypoxia zone 188 formation inside the trough; and in the autumn, water column stratification is broken 189 190 down was by strong vertical advection, the bottom water is reoxygenated, and the 191 hypoxia zone disappears.

Previous studies emphasized two principal factors, i.e., water column stratification and decomposition of organic matter in the bottom water, leading to the development of hypoxia off the Changjiang estuary (Chen et al., 2007; Li et al., 2002; Wei et al., 2007). In this paper, the author argues that there are also some other factors controlling the development of summer hypoxia off the Changjiang estuary.

First, the inflow of the TWC water with high salinity and lower temperature (during spring and summer) provides an essential prerequisite for the formation of water column stratification in the area of the trough during the spring and the

201 summer and it also provides the bottom water which is originally low in oxygen202 level.

Secondly, the bottom topography might play a key role in the processes of the 203 204 development of summer hypoxia off the Changjiang estuary. The deep trough just 205 off the estuary isolated the bottom water inside the trough from exchange with surrounding oxygen-rich waters through advection, making the bottom water inside 206 207 the trough to be rather stable, so that the hypoxia could develop and persist inside the trough. This is why almost all of the centers of hypoxia zones were located 208 209 inside the trough in the past 50 years. As a matter of fact, the development of most of the well-known hypoxia or anoxia zones in the world oceans has something to do 210 with bottom topography, such as the hypoxic zone in the deep channel of the 211 212 Chesapeake Bay (Hagy, Boynton, Keefe and Wood, 2004), and the hypoxic zone in 213 the V-shaped deep trough of the central Baltic Sea (Conley, Humborg, Rahm, Savchuk and Wulff, 2002), as well as the anoxic zone in the deep basin with steep 214 215 slope in the Black Sea (Neretin, Volkov, Böttcher and Grinenko, 2001).

Moreover, owing to its special bottom topography and hydrographic condition, 216 the deep trough is favorable for the sedimentation of fine sediments from the 217 Changjiang River (Milliman, Shen, Yang and Mead, 1985; Shi, Zhang and Hamilton, 218 2006). This could be supported by the existence of a closed area of muddy 219 220 sediments inside the trough (Hu, 1984). Additionally, upwelling in this area is 221 favorable for the resuspension of fine sediments (Hu, 1984; Wang and Wang, 2007; Zhao, 1993). All these processes accelerate the reduction of oxygen level through 222 223 decomposition of organic matter in the bottom water which can lead to the development of hypoxia off the Changjiang estuary. 224

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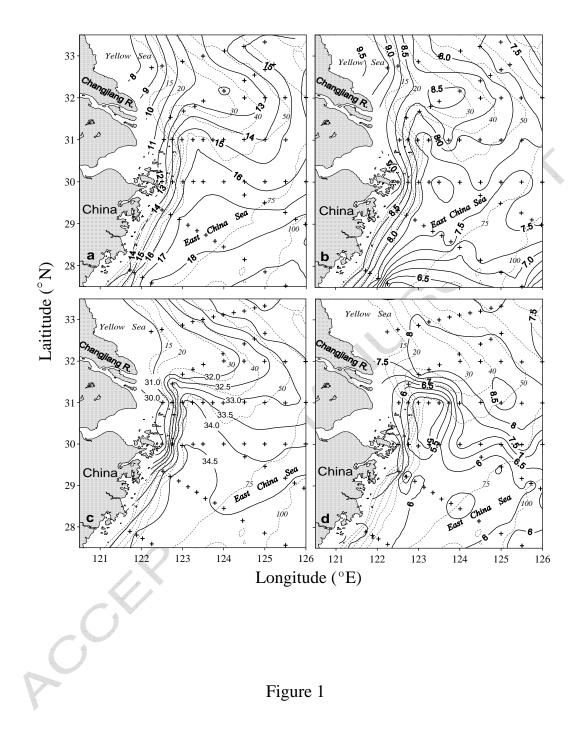
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314 Figure captions:

315	Fig. 1 Distributions of temperature (T, $^{\circ}$ C), salinity (S) and dissolved oxygen (DO,
316	mg L ⁻¹) in bottom water adjacent to the Changjiang estuary in the winter and
317	spring (a: T, Jan. 1999; b: DO, Jan. 1999; c: S, May 1998; d: DO, May 1998)
318	Fig. 2 Vertical profiles of temperature (°C), salinity and dissolved oxygen (mg L ⁻¹)
319	along transect 31°N
320	Fig. 3 Distributions of temperature (T, $^{\circ}$ C), salinity (S) and dissolved oxygen (DO,
321	mg L^{-1}) in bottom water adjacent to the Changjiang estuary in the summer and
322	autumn (a: T, Aug. 1998; b: DO, Aug. 1998; c: S, Nov. 1997; d: DO, Nov.
323	1997)
324	Fig. 4 Bathymetry in the Changjiang estuary and adjacent areas, and the locations of
325	centers of hypoxia zones observed in the past 50 years (1959-2006)
	V

	Location		Min. of	Affected	
Time	longitude	latitude	DO Conc.	area	Reference
			$(mg L^{-1})$	(km ²)	
Aug.,1959	122°45′	31°15′	0.34	1000	Office of Integrated Oceanographic
					Survey of China ,1961
Aug.,1976-85	123°00′	31°00′	≥0.80	?	Zhang, 1990
Aug.,1981	123°00′	30°50′	2.0	-	Limeburner, Beardsley and Zhao, 198
Aug.,1982	122°30′	31°15′	2.85	-	Chen, Chen and Yang, 1988
Aug.,1985	122°30′	31°30′	2.29	-	Ren, 1992
Aug.,1988	123°00′	30°50′	1.96	<200	Tian, Hu and Martin, 1993
Aug.,1998	124°00′	32°10′	1.44	600	Wang and Wang, 2007
Aug.,1999	122°59′	30°51′	1.0	13700	Li et al., 2002
Aug., 2002	122°29′	32°00′	1.73	<500	Shi, Lu, Zhang and Wang, 2006
	123°00′	31°00′	1.99		
Jun., 2003	122°50′	30°50′	~1.0	5000	Xu, 2005
Aug., 2003	123°30′	31°30′	2.0	-	Chen et al., 2007
Sept., 2003	122°56′	30°49′	0.8	20000	Weissen 1, 2007
	122°45′	31°55′	<1.5		Wei et al., 2007
Aug., 2004	122°40′	31°15′	>2.0	-	Pei, 2007
Sept., 2006	123°06′	30°09′	1.96	<300	Zou, Yang, Liu and Shi, 2007

Table 1 Historical record of the locations of the minimum values of dissolved oxygen concentrations and affected areas of the hypoxia zone off the Changjiang estuary



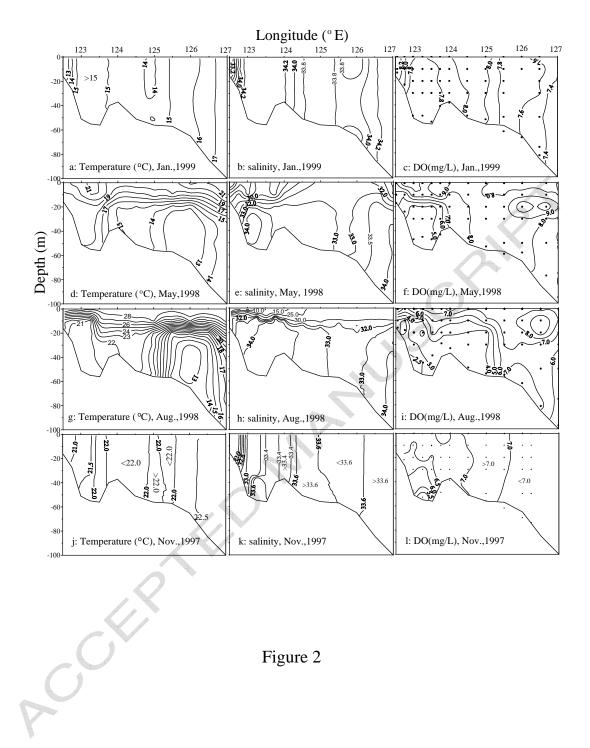


Figure 2

