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**Pin1 in Alzheimer's disease: multiple substrates, one
regulatory mechanism?**

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Abstract

Presence of neuritic plaques and neurofibrillary tangles in the brain are two neuropathological hallmarks of Alzheimer's disease (AD), although the molecular basis of their coexistence remains elusive. The neurofibrillary tangles are composed of microtubule binding protein Tau, whereas neuritic plaques consist of amyloid- β peptides derived from amyloid precursor protein (APP). Recently, the peptidyl-prolyl *cis/trans* isomerase Pin1 has been identified to regulate the function of certain proteins after phosphorylation and to play an important role in cell cycle regulation and cancer development. New data indicate that Pin1 also regulates the function and processing of Tau and APP, respectively, and is important for protecting against age-dependent neurodegeneration. Furthermore, Pin1 is the only gene known so far that, when deleted in mice, can cause both tau and A β -related pathologies in an age-dependent manner, resembling many aspects of human Alzheimer's disease. Moreover, in the human AD brain Pin1 is downregulated or inhibited by oxidative modifications and/or genetic changes. These results suggest that Pin1 deregulation may provide a link between formation of tangles and plaques in AD.

Pin1 in cell cycle regulation and cancer

Transition through the cell cycle in eukaryotic cells is regulated by highly orchestrated and intertwined processes of protein synthesis, degradation and post-translational modification. For its rapid activating/inhibiting effect, phosphorylation of regulatory molecules by cell cycle kinases plays a key role among the post-translational processes. Several families of the cell cycle kinases can be distinguished, the most prominent being cyclin dependent kinase (cdk), Polo, aurora and never in mitosis A (NIMA) families (for review see [1]). Activation of the protein kinases during the cell cycle triggers phosphorylation cascades that drive transition from one phase of the cell cycle to another. For example, activation of the cyclin-dependant kinase Cdc2 during the G₂/M transition leads to phosphorylation of a large number of proteins on Ser/Thr-Pro motifs, which has been shown in some cases to regulate mitotic events [2-4].

With the discovery of Pin1, another level of cell cycle regulation has been uncovered [5]. Pin1 has been originally identified as a binding partner and suppressor of the mitotic kinase NIMA [5]. It contains two functional domains, an N-terminal WW domain and a C-terminal peptidyl-prolyl *cis/trans* isomerase (PPIase) domain [5-7]. The WW domain is a phosphorylation-specific protein interaction module that directs Pin1 to its substrates – proteins phosphorylated at a certain serine or threonine residue followed by proline (pSer/Thr-Pro motif) [7-9]. Upon this binding, the PPIase domain catalyzes conformational change of the Pin1 substrates by isomerizing specific pSer/Thr-Pro bonds [6, 10]. The specific binding to and isomerization of pSer/Thr-Pro motifs distinguishes Pin1 from the other known PPIase families such as cyclophilins and FK506-binding proteins. To date, Pin1-type PPIases are the only known pSer/Thr-Pro-specific

isomerases [5, 7, 8]. The isomerization of pSer/Thr-Pro motifs represents an important regulatory mechanism since several protein kinases (e.g. CDK2, MAPK) and phosphatases (e.g. PP2A) are conformation specific, recognizing only *trans* Ser/Thr-Pro isomers [10-12]. Furthermore, phosphorylation slows the already protracted isomerization reaction of Ser/Thr-Pro bonds [8, 13], and renders the phosphopeptide bond resistant to the catalytic action of cyclophilin, FKBP or parvulin [8, 14]. Thus, conformation of a Ser/Thr-Pro motif can have a profound effect on phosphorylation signaling.

Due to a large number of Pin1 substrates, Pin1 is involved in multiple cellular processes. The discovery of Pin1's regulatory function in the cell cycle and signaling has been followed by its important function in DNA damage responses, transcription, splicing, and germ cell development [5, 6, 9, 10, 15-32]. The involvement of Pin1 in the regulation of the cell cycle, cell signaling and responses to DNA damage suggests that its deregulation might contribute to some medical conditions in humans. Indeed, Pin1 is overexpressed in many tumors and its overexpression correlates with poor clinical outcome [20, 33-35]. Furthermore, Pin1 is an E2F target gene that is critical for activation of multiple upstream oncogenic pathways [20, 21, 27, 33, 36, 37] and also for coordination of some downstream cell cycle events such as centrosome duplication [38]. Moreover, Pin1 overexpression results in centrosome amplification and tumorigenesis in vitro and in vivo [38]. In contrast, Pin1 knockout in mice prevents certain oncogenes from inducing tumors [39] and Pin1 knockout in cancer cells suppresses cell growth in vitro and tumor growth in vivo [40]. These and other results indicate that Pin1 plays a major role in cancer development and is an attractive anticancer target [41, 42].

Pin1 in Alzheimer's Disease

Many phospho-proteins recognized by Pin1 are recognized also by a phospho-specific monoclonal antibody mitotic phospho-protein monoclonal-2 (MPM-2), which strongly reacts with mitotic protein extracts [43] and with neurofibrillary tangles (NFTs), neuritic processes, and neurons in the brains of Alzheimer disease (AD) patients [44-46]. Re-appearance of the MPM-2 epitopes in the AD brains is concomitant with aberrant expression of some kinases, e.g. Cdc2 - a mitotic kinase phosphorylating Ser/Thr-Pro motif during the G₂/M phase of the cell cycle but absent in the healthy brain [45]. Consequently, while in the healthy brains Pin1 is expressed mainly in the neuronal soluble fraction [5, 20, 32, 47, 48], in the brains of AD patients it co-localizes and co-purifies with NFTs resulting in depletion of soluble Pin1 [47-50]. Moreover, Pin1^{-/-} mice develop progressive age-dependent neuropathy characterized by Tau hyper-phosphorylation, Tau filament formation, amyloid precursor protein (APP) amyloidogenesis, intracellular A β 42 accumulation and neuronal degeneration [51, 52]. Pin1 inhibition in the brain, therefore, may be an important factor in development of neurodegenerative disorders and AD, in particular. In the following section we will focus on the role of Pin1 in Tau- and APP-related pathologies.

Pin1 and tauopathies

Tauopathies are a heterogeneous group of diseases characterized by the presence of NFTs, a pathological structure composed of hyper-phosphorylated microtubule-

associated protein Tau organized in dense arrays of paired helical filaments (PHFs). NFTs have been discovered in AD, frontotemporal dementia parkinsonism linked to chromosome 17 (FTDP-17), progressive supranuclear palsy (PSP) Pick disease and cortico-basal degeneration (for review see [53]). Even though the presence of hyper-phosphorylated Tau is clearly pathological, it has been a matter of debate whether it causes the diseases or whether it is a consequence of a common pathologic process. The fact that Tau mutations have been found in patients suffering from FTDP-17 indicates that at least in some cases mutant Tau can trigger a disease [54-56]. Several mouse models have been created mimicking pathologic features of human tauopathies. Overexpression of human wild-type Tau or especially Tau FTDP-17 mutants causes progressive and age-dependent formation of NFTs in mice [57-59]. Transgenic mice overexpressing a different version of human Tau (namely the smallest isoform, four repeats of microtubule domain isoform, Pro301Leu mutant, Arg406Trp mutant, or Val337Met mutant) all exhibit hyper-phosphorylation of Tau [57-63], although different mutations or splice variants of Tau have demonstrated different levels and patterns of neuronal loss or axonal degeneration in brain and spinal cords [57-63].

Tangle formation in AD appears to be preceded by increased phosphorylation of Tau and other proteins on serine or threonine residues followed by proline (pSer/Thr-Pro). Even though the exact role of hyper-phosphorylation for development of tauopathies has not been clearly defined, analysis of transgenic mouse models overexpressing p25 activator of CDK5 kinase has shown that an increased phosphorylation can induce tauopathy in mice [64]. Importantly, hyper-phosphorylated Tau can activate mitotic signaling pathways [65], as demonstrated in *Drosophila*. Since

re-entry into the cell cycle is toxic for neurons, and activation of CDKs and other mitotic proteins has been correlated with neurodegeneration in AD proteins (reviewed by [66]), hyper-phosphorylated Tau activating mitotic signaling could in some cases lead to neuronal cell death independent of NFT formation. Hyper-phosphorylation of Tau may trigger multiple cellular responses. These include cell cycle re-entry and deposition of NFTs since there are many phosphorylation sites on Tau protein which may interact with various signaling molecules contributing separately or in combination to neuronal toxicity. Notably, among the phosphorylation sites in Tau there are 15 pSer/Thr-Pro motifs [67], i.e. putative Pin1 binding sites.

Pin1 binds to Tau in a phosphorylation-dependent manner specifically to its pThr231 residue [48, 68]. Interestingly, the levels of Tau-P-T231 have been shown to correlate with the progression of the AD [69, 70]. Upon its binding to pThr231 Pin1 catalyzes *cis/trans* isomerization of pSer/Thr-Pro, thereby inducing conformational changes in Tau. Such conformational changes can directly restore the ability of phosphorylated Tau to bind microtubules and promote microtubule assembly [71] and/or facilitate Tau dephosphorylation by its phosphatase PP2A, as PP2A activity is conformation-specific [10]. Hamdane et al. recently showed that Pin1 level was strongly increased during neuronal differentiation and tightly correlated with Tau dephosphorylation at Thr231 [72]. In their cellular model, Pin1 facilitated Tau dephosphorylation of Thr231 specifically, whereas other phosphorylation sites were not affected by Pin1 [72]. To investigate whether Pin1 could function similarly in Tau overexpressing animal models, would be of a great interest.

When Pin1 protein was analyzed in human brain using immunohistochemical staining, its expression in normal brain was relatively higher in hippocampal CA3, CA2 and CA4 regions and in presubiculum and lower in hippocampal CA1 region and subiculum [51]. In the parietal cortex, expression of Pin1 is relatively higher in layer IIIb-c neurons and lower in layer V neurons [51]. In the AD brain, Pin1 expression in the hippocampus and parietal cortex is relatively high in tangle-sparing subregions, but low in the tangle-rich subregions. [51]. Furthermore, even within the tangle-prone CA1 region and subiculum of the hippocampus, Pin1 expression in most tangle-bearing neurons is still relatively lower than that in tangle-free neurons [51]. Thus, Pin1 expression level is inversely correlated with the neuronal vulnerability to degeneration in normal brain and with actual neurofibrillary degeneration in AD brain.

The significance of the differential Pin1 expression is further demonstrated by analyzing neuronal phenotypes of Pin1 knockout mice. Pin1 knockout mice develop normally but they suffer from progressive retinal degeneration with the onset at around 4–6 months [21]. Aged Pin1^{-/-} mice, but not their wild-type littermates, show progressive age-dependent motor and behavioral deficits, which includes abnormal limb-clasping reflexes, hunched postures, and reduced mobility [51]. These deficits have also been reported in Tau transgenic mice studies [58, 73]. The phenotype seems to be caused by neuronal loss as the number of neurons is significantly decreased in the parietal cortex and spinal cords of old (over 1 year), but not young Pin1^{-/-} mice. Correspondingly, Tau hyperphosphorylation has been observed in aged Pin1^{-/-} mice [51]. The various phosphorylated forms of Tau in Pin1^{-/-} mice were also detected by a range of phospho-specific or Alzheimer-conformation-specific antibodies, such as AT180 and TG3 [51]. In

aged $Pin1^{-/-}$ mice, immunohistochemical staining of the hippocampus, cortex and spinal cord with specific pTau antibodies showed pathological localization of Tau in soma and dendrites of neurons [51]. The hyper-phosphorylation of Tau eventually leads to Tau aggregation and Tau filament formation in $Pin1^{-/-}$ mice [51]. Additionally, NFT-like Tau filaments decorated by AT180 gold label can be isolated from sarkosyl insoluble fractions of $Pin1^{-/-}$ mice [51]. The entorhinal cortex and hippocampus, two brain regions which show prominent degeneration in AD, were strongly immunopositive for stains that label NFTs including Gallyas and thioflavin-S in $Pin1^{-/-}$ mice [51].

Together with the *in vitro* data, analysis of $Pin1^{-/-}$ mice demonstrates that Pin1 regulates the function of Tau both *in vivo* and *in vitro* likely through catalysis of its conformational change. Importantly, Tau hyperphosphorylation and NFT formation can be induced by $A\beta$ challenge and/or PS1 mutation [74-78]. Thus, Pin1 functioning in APP processing could also contribute to the development of Tau phenotypes in $Pin1^{-/-}$ mice.

Pin1 and APP processing and $A\beta$ production

APP is a transmembrane protein consisting of a large extracellular and short transmembrane and intracellular domains. It can be processed by two alternative pathways: non-amyloidogenic, which involves cleavage by α -secretase, or amyloidogenic, which involves cleavage by β - and γ -secretases and leads to production of plaque forming β -amyloid peptides ($A\beta$) (for review see [79]). Several factors can influence APP processing and shift it towards amyloid/non-amyloid pathway. Recently, phosphorylation of APP has been found to be one of those factors [52, 80, 81].

To date only four phosphorylation sites have been confirmed at the intracellular domain of APP: S655, T654, T668, and Y682 [82-86]. Even though APP has not been identified as an MPM-2 epitope, it does contain a pThr-Pro motif (T668) which has been shown to be phosphorylated during mitosis by CDC2 [83, 87], in neurons by CDK5 [88] and *in vitro* by GSK-3 β [89] and which is located at the consensus Pin1 binding sequence [8, 9]. In addition, APP has been found to undergo a conformational change following phosphorylation of T668 [90] making it a promising candidate for a Pin1 substrate. Indeed, we have shown that Pin1 interacts with APP isolated from mitotic cells and that the interaction is phosphorylation dependent [52]. Furthermore, direct NMR measurement demonstrated that Pin1 catalyzes the *cis/trans* isomerization of pThr668-Pro [52], since it accelerates both $k_{\text{cis to trans}}^{\text{cat}}$ and $k_{\text{trans to cis}}^{\text{cat}}$ by over 1000 fold over the typical uncatalyzed isomerization rates for pThr-Pro peptides [13]. The catalyzed *cis to trans* rate is 10-fold faster than the catalyzed *trans to cis* rate [52]. Change of the conformation of pThr668-Pro may represent an important regulatory mechanism since it may influence the interaction between APP and its binding partners such as Fe65. The binding of Fe65 to APP has been shown to be phosphorylation-dependent through pT668 residue of APP and influence production of A β [91]. Thus, Pin1 may have a direct impact on this regulatory process.

Pin1 subcellular localization is driven by the presence of its substrates [6, 31, 92, 93]. Processing of APP, an integral membrane protein, is influenced by APP subcellular localization and occurs through non-amyloidogenic α -secretases mainly at the plasma membrane and amyloidogenic β -secretases at endosomes and other subsequent structures [94-98]. Recently, it has been shown that Pin1 co-localizes with APP primarily in

vesicles localized at the plasma membrane and in AP-2 coated clathrin-coated vesicles, but not at endosomes [52]. This suggests that Pin1 may influence APP intracellular localization, affecting its processing and A β production. This hypothesis has been corroborated by additional data. In the cell culture experiments, overexpression of Pin1 has been shown to reduce A β secretion, and its effect was particularly pronounced in the mitotic cells where Thr668 phosphorylation was increased [52].

Sequential proteolysis of APP by β - and γ -secretases generates mainly 40- and 42-residue A β peptides (A β 40 and A β 42). While A β 40 is a major secreted product, A β 42 is more toxic and is the major contributor to the plaque formation in AD brains [96-98]. Familial AD-linked (FAD) mutations in the APP or presenilin genes selectively increase A β 42 levels in humans and mice [99-102]. Pin1 ablation seems not to have a significant effect on soluble A β 40 or A β 42 levels, but we found a significant increase of insoluble A β 42 in Pin1^{-/-} brains over Pin1^{+/+} littermates [52]. Additionally, Pin1 ablation causes prominent localization of A β 42 to multivesicular bodies (MVB) [52], as in human AD and APP-Tg2576 mice before β -amyloid plaque pathology [103]. Thus, although it is not clear yet whether and how A β 42 at MVB contributes to plaque formation, the data suggest that Pin1 may be involved in A β -related pathology.

Strong support for a direct role of Pin1 in APP processing came from analysis of a classic mouse AD model: APP-Tg2576 mice overexpressing the human APP KM670/671NL (Swedish) mutant [76]. APP processing is initiated by either non-amyloidogenic α - or amyloidogenic β -secretases, which cleave the extracellular/luminal domain, generating soluble NH₂-terminal fragments, α APPs or β APPs, and a membrane-anchored 83-residue or 99/89-residue COOH-terminal fragments (α CTFs or β CTFs),

respectively [94-98]. In APP-Tg2576 mouse brains, Pin1 deletion leads to a significant increase of soluble total APPs and β APPs, but to a decrease of α APPs in an age-dependent manner [52]. More importantly, these age-dependent effects are also accompanied by the age-dependent increase in insoluble A β 42 [52]. Thus, Pin1 depletion seems to favor the amyloidogenic versus non-amyloidogenic processing of APP.

The view of Pin1 as an important factor contributing to development of AD has been supported by several studies. Recently, a new genetic locus associated with late-onset AD has been identified on chromosome 19p13.2 where the Pin1 gene is located [104]. Furthermore, the Pin1 promoter polymorphisms at -842 bp and -667 bp have been found to be associated with reduced Pin1 levels and increased risk for late-onset AD in Italian cohorts [105], although apparently not in French cohorts [106]. Moreover, proteomic approaches have confirmed downregulation of Pin1 in AD neurons, and also uncovered that Pin1 is inhibited by oxidation in AD hippocampus even in patients with mild cognitive impairment [107, 108]. Finally, our findings of the opposite effects of Pin1 on the pathogenesis of cancer versus on AD suggest an interesting inverse relationship between these two major age-dependent diseases, which is also supported by an epidemiological study [109]. Roe *et al.* have found that the risk of developing cancer decreased among participants with Alzheimer type dementia versus non-demented participants and that the risk of developing Alzheimer type dementia may be lower for participants with a history of cancer [109]. Together these results indicate that Pin1 inhibition may be an important contributing factor to the development of AD.

A model of the role of Pin1 in the healthy and Alzheimer's neurons

The above results suggest a model of the function of Pin1 in the healthy and AD neurons (Fig. 1): In the healthy neurons (Fig. 1A), Pin1 binds to Tau and APP after their phosphorylation at Thr231 or Thr668, respectively (which drastically slows down their *cis* to *trans* isomerization) and greatly accelerates their *cis* to *trans* isomerization rates, thereby inducing conformational changes. This might facilitate dephosphorylation of Tau, and promote the non-amyloidogenic pathway and reduce A β production (presumably through affecting APP intracellular localization and its interactions with specific binding partners). However, under pathological conditions (Fig. 1B), Pin1 function may be absent as in Pin1^{-/-} mice or inhibited as seen in AD (due to down-regulation, oxidation of and/or genetic changes in Pin1, or relatively due to excess APP phosphorylation caused by upstream regulators including over-activation of JNKs, Cdks and/or GSKs). In these cases, isomerization rate of pThr231-Pro of Tau and pT668-Pro of APP may be reduced, which may lead to accumulation of phosphorylated Tau and promote the amyloidogenic pathway of APP. Increased levels of phosphorylated Tau may lead to tangle formation as well as trigger pathological re-entry into the cell cycle and cell death of the affected neurons, as demonstrated in the *Drosophila* model [65], while increased A β production might enhance the plaque pathology.

Conclusions

Neurofibrillary tangles and senile plaques are two neuron-pathological hallmarks of AD, but the molecular basis of their coexistence remains elusive. Recent results indicate that the peptidyl-prolyl *cis/trans* isomerase Pin1 acts on both Tau and APP to regulate their dephosphorylation, processing and biological function [51, 52]. Furthermore, loss of Pin1 function in mice can cause both tau and A β -related pathologies in an age-dependent manner, resembling many aspects of human Alzheimer's disease [51, 52]. As a support for the deleterious effect of Pin1 depletion, Pin1 has been found to be oxidized and inhibited in AD brains even with mild cognitive impairment [107, 108] and AD neurons have been shown to be depleted of the soluble form of Pin1 [48, 49]. Moreover, the Pin1 promoter polymorphisms have been found to be associated with reduced Pin1 levels and an increased risk for late-onset AD in a certain population [105]. Thus, Pin1 plays a pivotal role in protecting against age-dependent neurodegeneration, and Pin1 downregulation or inhibition may provide a link between tangle and plaque formation in AD. While it is possible that depletion of Pin1 modulates additional AD related molecular pathways, its impact on the function and metabolism of the two major AD related molecules Tau and APP is likely an important factor in AD development. Furthermore, since the ablation of the Pin1 gene alone or in combination with mutant APP overexpression leads to the age-dependent accumulation of insoluble A β 42 in multivesicular bodies of neurons, an early sign of plaque pathology in human AD, it can be speculated that Pin1 plays a role in the initial steps of β -amyloid pathology and plaque formation. Moreover, Pin1 prevents tau hyperphosphorylation, which again precedes tangle formation and neurodegeneration. These findings suggest that Pin1 may be an

attractive new target to be modulated for the treatment of Alzheimer's disease at early stages. Further analysis of the regulation of Pin1 expression in neurons will be necessary to achieve this goal.

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Figure legend

Fig. 1: Pin1 catalyzes *cis* to *trans* conformational change of APP phosphorylated at T668-P and Tau phosphorylated at T231-P. In the presence of functional Pin1 (A) isomerization of pT668-P and pT231-P is shifted towards the *trans* conformation, which promotes non-amyloidogenic cleavage of APP and dephosphorylation of Tau. In Alzheimer's disease (B), downregulation, oxidation or mutation of Pin1 reduces the isomerization rate that may, in the case of APP, promote the amyloidogenic pathway and increase A β production. In the case of Tau, reduced isomerization rate may promote aggregation of hyperphosphorylated Tau, inducing formation of NFTs and pathological re-entry into cell cycle.

