

# Study of lead phytoavailability for atmospheric industrial micronic and sub-micronic particles in relation with lead speciation

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16	Capsule							
17	The soil-lettuce lead transfer from atmospheric industrial sub-micronic and micronic							
18	particles depends on particle size.							
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20	Abstract							
21	Particles from channelled emissions of a battery recycling facility were size-segregated							
22	and investigated to correlate their speciation and morphology with their transfer towards							
23	lettuce. Microculture experiments carried out with various calcareous soils spiked with							
24	micronic and submicronic particles $(1650 \pm 20 \text{ mgPb.kg}^{-1})$ highlighted a greater transfer							
25	in soils mixed with the finest particles. According to XRD and Raman spectroscopy							
26	results, the two fractions presented differences in the amount of minor lead compounds							

27 like carbonates, but their speciation was quite similar, in decreasing order of abundance: 28 PbS, PbSO<sub>4</sub>, PbSO<sub>4</sub>.PbO,  $\alpha$ -PbO and Pb<sup>0</sup>. Morphology investigations revealed that 29 PM<sub>2.5</sub> (i.e. Particulate Matter 2.5 composed of particles suspended in air with 30 aerodynamic diameters of 2.5 micrometers or less) contained many Pb nano balls and 31 nano crystals which could influence lead availability. The soil-plant transfer of lead was 32 mainly influenced by size and was very well estimated by 0.01M CaCl<sub>2</sub> extraction.

33

34 **Keywords:** lead, PM<sub>2.5</sub> and PM<sub>10</sub> soil-lettuce transfer, phytoavailability.

35

## 36 1. Introduction

37 Due to its numerous past and present uses and high persistence, lead is a major 38 environmental contaminant (Chen et al., 2006). Potentially toxic for living organisms 39 even at low concentrations, lead constitutes a risk for humans who can absorb it in 40 various ways (Canfield et al., 2003). In the context of contaminated gardens, elevated 41 lead intake by humans can be due to the consumption of crop plants grown on soils with 42 relatively high plant-available metal concentrations, ingestion of contaminated soil, 43 either accidentally or intentionally (pica), inhalation of soil particles and drinking water 44 with high soluble concentrations of metals (Alexander et al., 2006). The total quantities 45 of lead emitted in the environment by industries have decreased sharply in recent 46 decades (Glorennec et al., 2007) and are strictly controlled in Europe nowadays. Lead 47 was recently classified as a substance of very high concern in the European REACH law 48 (Regulation EC 1907/2006 of the European Parliament and of the Council of 18 49 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction 50 of Chemicals). However, particles enriched with lead are still generated especially by

lead-recycling plants (Batonneau et al., 2004; Ohmsen, 2001) and constitue the main 52 source of lead pollution for soils (Miguel, 2001; Donisa et al., 2000).

53 According to Zhang et al. (2005), emitted particles present a large granulometric 54 spectrum in the atmosphere, but during the last decade the proportion of fine particle 55 matter (PM) increased with the use of more effective filters in industry. Indeed  $PM_{10}$  are 56 target species of the World Heath Organization (WHO, 2001) and the European Union 57 Framework Directive on ambient air quality assessment (EU, 1999), due to their 58 adverse effects on the environment and human health. While micrometric and sub-59 micrometric fractions contribute very little to ambient particle mass, they may occur in 60 substantial number concentrations. Most of the studies dealing with the characterization 61 of metal-enriched particles in the ambient air provide information on quantitative 62 measurements for  $PM_{10}$  fractions (EU directives 96/62 and 99/30) and very few on the 63 sub-micronic fraction (Lazaridis et al., 2002). The lack of knowledge regarding metal 64 speciation in the industrial particles results mainly from a lack of analytical tools, both 65 sensitive and specific to the size of the particles.

66 These fine particles are hilply reactive due to their high specific area and can be 67 transported over long distances in the troposphere (Barrie, 1992). They could therefore 68 present a greater impact on the biosphere than coarse particles (Fernandez Espinosa, 69 2005). Ruby et al. (1992) concluded that the bioaccessibility of lead rises strongly in 70 particles under 2.4 µm size. But, the phytoavailability of lead in industrial particles as a 71 function of their size and speciation have not been studied yet. In comparison with zinc, 72 lead generally shows a relatively low mobility in soils (Dumat et al., 2006). It can 73 however migrate through the soil with dissolved organic matter (Cecchi et al., 2008) or 74 be mobilized by certain plants (Arshad et al., 2008). Moreover, carried from the air to the soils as fine particles, lead could be released more easily in soil solution(Komarnicki, 2005).

We therefore focused our study on the links between soil-plant transfer of lead, size and speciation of particles emitted by a lead recycling plant, currently the main source of atmospheric emissions (Cecchi et al., 2008). The objectives were the following: (i) the elemental and molecular characterisation of micrometer and nanometer sized lead-rich particles and (ii) to study the influence of particle characteristics on lead soil-plant transfer.

83 The physico-chemical characterization of industrial PM<sub>10</sub> and PM<sub>2.5</sub> particles 84 collected in the plant was investigated using both bulk and micro analysis techniques: 85 (i) MEB-EDS to determine the morphology and chemistry on the scale of a particle (Laskin et al., 2006; Choel et al., 2005); (ii) Raman microspectrometry to study particle 86 87 speciation (Batonneau et al., 2004; 2006; Falgayrac et al., 2006; Sobanska et al., 2006). 88 The transfer of lead from particles to the lettuce Lactuca sativa a widely grown garden 89 vegetable was investigated in the laboratory: two different uncontaminated calcareous 90 soils were spiked with PM<sub>10</sub> and PM<sub>2.5</sub> for soil-plant experiments with a biotest device 91 that enabled careful study of rhizosphere and roots in addition to the transfer to the 92 shoots (Chaignon and Hinsinger, 2003). The study was finally completed by CaCl<sub>2</sub> 93 extraction experiments carried out according to Houba et al. (1996) to estimate lead 94 phyto-availability.

95 The hypothesis tested throughout all these experiments was that particle96 characteristics have a significant influence on lead soil-plant transfer and translocation.

97

#### 98 **2. Materials and methods**

99 2.1. Particle sampling and size separation.

A secondary lead smelter which currently recycles batteries was chosen as a representative example of the smelter metal industry to develop a methodology aimed at the risk assessment of industrial lead particles. The plant of the Chemical Metal Treatment Company (STCM) is located in the urban area of Toulouse (43°38'12" N, 01°25'34" E). According to the French authorities (DRIRE, 2007), 328 kg of Total Suspended Particles (TSP) including 31 kg of lead were emitted by this factory in 2007.

106 Three sources of particles corresponding to the three work units involving 107 different steps in the process are identified in the plant: (i) the battery grinding unit 108 where battery components are separated under wet conditions (ii) the smelter where lead 109 pastes are processed in rotary furnaces at 1200°C and finally (iii) the refinery where 110 lead is purified from unwanted metals or enriched. The same process has been used for 111 thirty years in the plant. This study focuses on channeled emissions only generated by 112 the furnace unit, considering the transfer towards the biosphere. The particle collection, 113 performed three months after complete cleaning of the three work units (March, 12, 114 2007), is therefore representative of that emission period. Our aim was to characterize 115 the reactivity of the particles in relation with their previously determined speciation and 116 size, not to follow one specific parameter over time.

117 1kg of particles was collected in polyethylene bags from the air-sleeve filters of 118 the furnace, then sealed and transferred in sealed opaque containers for transport to the 119 laboratory. Samples were passed through a 2-mm stainless steel AFNOR sieve. Process 120 dust was stored in a cool (4°C) dark place with Merck desiccant.

Because 80 % of emitted particles were smaller than 10  $\mu$ m, PM<sub>2.5</sub> and PM<sub>10</sub> were size-segregated by artificial resuspension in a Teflon bag (Batonneau et al., 2004; Young et al. 2002) and collected by impaction onto a PM<sub>10/2.5</sub> Dekati inertial impactor. The cascade impactor consists of two successive stages with aerodynamic cut-off 125 diameters of 10 and 2.5  $\mu$ m when it operates at 10 L/min airflow. PM<sub>10</sub> present an 126 aerodynamic diameter between 2.5 and 10  $\mu$ m, whereas the PM<sub>2.5</sub> stage collects 127 particles < 2.5  $\mu$ m.

128

129 2.2. Characterization of particles

Elemental total contents of the two size fractions were determined by ICP-OES (IRIS
Intrepid II XDL) after heated digestion with standard acid (HNO<sub>3</sub>, HCl and HF,
Suprapur, Merk) in a PTFE vessel. Levels of C, H, S and O were determined after
burning in an elemental analyser with coulometric-catharometric detection and IR.

134 X-ray diffraction patterns of PM10 and PM2.5 were recorded on an INEL 135 diffractometer equipped with a curved detector CPS 120 and Co (Ka) radiation allowing 136 a  $120^{\circ}/2\theta$  detection. Crystallized compounds were identified by comparison with the 137 diffraction patterns of the JCPDS database. The relative abundance of each crystallized 138 phase detected in samples was qualitatively estimated by using the relative intensity of 139 the strongest X-ray pattern peaks of each considered phase. It should be noticed that 140 only crystallized phases with an abundance superior to 5 % in weight can be detected by 141 XRD.

Particles were characterized using complementary microscopy and imaging
techniques to determine elementary and molecular composition, size, morphology and
heterogeneity of the individual lead-rich particles.

145 X-ray elemental mapping and secondary images were obtained using an
146 Environmental Scanning Electron Microscope (ESEM) working in high-vacuum mode.
147 The Quanta 200 FEI instrument was equipped with an energy-dispersive X-ray (EDX)
148 detector. ESEM was operated at 10-25 kV. Particles were dispersed on carbon

substrates and analysed without further preparation. Because of the ESEMconfiguration, light element detection (C, N, and O) was ambiguous.

151 Raman microspectrometric measurements were carried out with a LabRAM 152 confocal spectrometer (Jobin Yvon, Horiba Gr, France). The spot size of the laser 153 focused by a 100×objective (numerical aperture (NA) = 0.90) was estimated to be 1  $\mu$ m<sup>2</sup> 154 in size. A liquid nitrogen-cooled CCD (Jobin-Yvon, 2048 pixels  $\times$  512 pixels) was used 155 for detection. The Raman backscattering was excited at 632.8 nm provided by an 156 internal, air-cooled, linearly polarized helium-neon laser. The laser power delivered to 157 the sample was 8 mW. The microscope stage was XY-motorized and computer-158 controlled for point by point scanning with 0.1 µm resolution, 1 µm reproducibility and 159 90 mm  $\times$  60 mm spatial range. The glass plate with the impacted particles was mounted 160 on the microscope stage without any further preparation. Data acquisition consisted in 161 recording many spectra in point-by-point scanning mode with 1  $\mu$ m as a minimum step, 162 one accumulation and 30 s spectrum acquisition time. For identification of Raman 163 spectra the experimental spectra were compared to reference spectra using Spectral 164 Library Search ID 301 software (Thermo Galactic).

165

166 2.3. Measure of soil-plant lead transfer performing microculture experiments

Lettuce was chosen because it is a common vegetable widely grown for human consumption and has recently been used by several authors for metal transfer studies (Khan et al., 2007; Waisberg et al., 2004; Alexander et al., 2006). Moreover, lettuce is often used around plants to estimate atmospheric pollution.

171 The biotest presented on figure 1 was first described by Niebes et al. (1993) and 172 then adapted by Chaignon and Hinsinger (2003). A small PVC cylinder (25 mm inner 173 diameter) was closed by a polyamide net (900 μm mesh) inserted into a larger cylinder,

174 itself closed by a finer polyamide mesh (30  $\mu$ m, Fyltis/Nytel, Sefar filtration). A space 175 of 3 mm was left between the net and the finer mesh, where the roots could develop as a 176 mat.

177 Commercial lettuce seeds, "Batavia blonde dorée" cultivar, were surface sterilized with
178 0.9% CaClO for 15 min and rinsed with deionised water. Three seeds were sown in the
179 container on the surface of the coarser mesh.

180 Lettuces were first grown hydroponically for 21 days to obtain a large flat mass of roots181 that fully covered the fine mesh.

182 The devices were placed on top of troughs containing an aerated complete nutrient 183 solution with the macroelements: 5 mM KNO<sub>3</sub>, 5 mM Ca(NO<sub>3</sub>)<sub>2</sub>, 2 mM KH<sub>2</sub>PO<sub>4</sub> and 184 1.5 mM MgSO<sub>4</sub> and oligoelements: 9.11 µM MnSO<sub>4</sub>, 1.53 µM ZnSO<sub>4</sub>, 0.235 µM 185 CuSO<sub>4</sub>, 24.05 µM H<sub>3</sub>BO<sub>3</sub>, 0.1 µM Na<sub>2</sub>MoO<sub>4</sub> and 268.6 µM Fe/EDTA. The height of the 186 nutrient solution was adjusted daily to keep the fine mesh wet during the whole pre-187 culture period. The experiment was conducted in a growth chamber (temperature  $24 \pm$ 188  $0.5 \text{ °C}/18 \pm 0.5 \text{ °C}$  day/night cycles; photoperiod 16 h under daylight fluorescent lamps providing 400 µmol.m<sup>-2</sup>.s<sup>-1</sup> [Philips 600W, Eindhoven, Netherlands] and 8 h darkness; 189 190 relative humidity 70%).

191 Then, the second step involved an eight-day soil-plant contact period: five replicate192 plants for each treatment were transferred onto the various soil samples.

Top soils of two calcic cambisol profiles (FAO, 1998) produced by quaternary alluvial deposits, were sampled. These two calcareous soils (noted soil-1 and soil-2) with various physicochemical properties (table 1) were chosen because that kind of soil happens to be observed around several battery recycling plants. Moreover, if the lettuce species could favor lead transfer, the choice of calcareous soils could reduce it. The soils differ by texture, soil cultivation, organic matter (OM) and CEC: soil-1 was uncultivated loamy-sandy clay with 2% of OM and soil-2 was sandy-clayey loam undersunflowers with 1% of OM and a higher CEC.

201 These two soils were spiked with  $PM_{2.5}$  and  $PM_{10}$  up to  $[Pb] = 1650 \pm 20 \text{ mg.kg}^{-1}$ . 202 60 mg of particles were added per box containing 10 g of soil and were agitated for a 203 day. Soils were turned over every fifteen days for four months. This long period was 204 chosen to allow time for natural equilibration of the various sorption mechanisms in the 205 soil (Alexander et al., 2006). The relatively high total lead soil concentration was 206 chosen to be representative of a real soil pollution situation observed for atmospheric 207 fallout from an STCM plant (Cecchi et al., 2008) and we wanted to be sure that enough 208 lead was available for the plant in soil solution. Surveys of garden soils in several 209 countries have shown wide ranges of concentrations of heavy metals. For example, Culbard et al. (1988) found concentrations of up to 14,100 mg Pb kg<sup>-1</sup>. Some soils were 210 211 left without crops, to determine the pH possible variation due to soil solution influence. 212 During the growth, pH was measured daily and nutrient solution level was maintained 213 constant. pH of nutrient solution was 5.5±0.3 during the hydroponic and soil contact 214 phases.

This biotest device presents two main advantages: (i) the roots are physically separated from the soil which enables total recovery of the shoots, roots and soil; (ii) the thickness of the soil layer used enables it to be considered it as rhizosphere soil and provides enough rhizosphere material to evaluate root-induced changes in metal speciation.

Roots and aerial parts were collected and analysed separately after the soil plant contact period. Biomass was determined before oven-drying at 80°C for 48H. Lead bound to the outer root cell walls, called [Pb]<sub>adsorbed</sub>, was determined according to the method of acidic desorption as described by Ferrand et al. (2006): roots were shaken end over end with 40mL of 0.001M HCl for 3 min, and then 360 µL of 1 M HCl was

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224 added to yield a final concentration of 0.01 M HCl. After shaking for another 5 min, the 225 suspension was filtered through ashless paper. Then, plant roots and aerial parts were 226 mineralized separately in a 1:1 mixture of HNO3 and H2O2 at 80°C for 4h. After 227 filtration, the major and trace element concentrations were determined with an IRIS 228 Intrepid II XDL ICP-OES. The concentration in roots after mineralization is considered 229 as uptaken lead ([Pb]<sub>roots</sub>). The accuracy of the acidic digestion and analytical 230 procedures was verified using the reference material Virginia tobacco leaves, CTA-231 VTL-2, ICHTJ.

232

## 233 2.4. Estimation of lead availability by CaCl<sub>2</sub> extraction experiments

234 For the determination of the phytoavailable fraction, according to Menzies et al. 235 (2007) neutral salts extractants provide the most useful indication. The 0.01 M CaCl<sub>2</sub> 236 extraction procedure gives a good indication of lead phytoavailability (Meers et al., 237 2007). The CaCl<sub>2</sub> procedure, first described by Houba, et al. (1996), was performed on 238 the two contaminated soils and particle samples (PM<sub>10</sub> and PM<sub>2.5</sub>). 30 mL of 0.01 M 239 CaCl<sub>2</sub> solution was mixed with 3 g of soil (1:10 solid solution ratio) in 50ml 240 polypropylene centrifugation tubes placed on a shaker table (Heidolph promax 1020) at 241 50 oscillations/min for 2h, 20°C. After extraction, the tubes were centrifuged at 10,000 242 g for 30 min (Avanti 30 centrifuge Beckman). The supernatant liquid was then filtered 243 (0.22µm), acidified to 2% with distilled HNO<sub>3</sub> (15M, suprapur 99.9%) and stored at 244 4°C before analysis. That CaCl<sub>2</sub> extraction procedure was performed on particles, 245 reference soils, and the two spiked soils before and after culture. Extracted metal 246 concentrations were determined by an IRIS Intrepid II XDL/ Thermo Electron 247 Corporation model ICP-AES Calibration used reference materials (ion 915 from 248 National Water Research Institute, Canada).

## 250 2.5. Statistical data treatment

The plant absorption and chemical extraction data obtained were subjected to analysis of variance (ANOVA) with one factor, using the software Statistica, Edition'98 (StatSoft Inc., Tulsa, OK, USA). For each bioassay, mean values with different letters represent a significant difference (p < 0.05) as measured by the LSD Fisher test. Letters are reported on the figures and tables.

256

## 257 **3. Results**

258 3.1. PM<sub>10</sub> and PM<sub>2.5</sub> characterization:

259 Elemental concentrations in particles are shown in table 2. All results are given as 260 the mean of the three replicates for each sample (PM<sub>10</sub> and PM<sub>2.5</sub>) and standard 261 deviations never exceed 7%. No significant difference except for Fe in the total 262 elemental concentrations was observed in relation with the size of the particle. Major 263 elements found in the samples were, by mass: Pb (27%), O (15%) and S (7.5%) for both 264 fractions. High levels of Na (3-4%) were due to the industrial recycling process where 265 Na is used to lower the melting point of Pb. Several other metals: Cd (2.5%), Zn (0.5%), 266 Fe (0.1-0.4%) and Sb (0.1%) are also present. The remaining elements to complete to 267 100% are expected to be chloride and other trace metals.

268 XRD patterns of  $PM_{10}$  and  $PM_{2.5}$  provide identification of major crystallized 269 species in bulk samples. The results are presented in table 3. Particles are mainly 270 composed of metallic sulfides, sulfates, oxides and perchlorates. A significant amount 271 of Na<sub>2</sub>SO<sub>4</sub> was found in PM<sub>10</sub> samples. Regardless of the size, the major phases 272 identified were the same and one more Fe species was detected for PM<sub>2.5</sub>.

273 The ESEM-EDX analysis provided the morphology and elemental composition of 274 individual particles in the two size fractions. PM<sub>10</sub> samples exhibited both particles with 275 a size range between 2 and 10 µm and large aggregates composed of many micron-sized 276 particles without specific shapes (figure 2). The main elements detected by EDX in 277 these aggregates were, by order of importance, Pb, S, Cl, Sn, Na, and Fe. The chemical 278 complexity of aggregates did not allow the detection of minor elements. Elemental 279 mapping recorded on PM<sub>10</sub> showed the chemical heterogeneity of aggregates. PM<sub>2.5</sub> 280 samples are composed of fine aggregates of few submicronic particles exhibiting 281 characteristic features i.e. needles (< 100 nm), nano crystals (< 500 nm), cubes (~300 282 nm) and balls (~500 nm). Elemental analysis of particles showed that needles mainly 283 contain Pb and S, nano crystals are composed of Pb, S and Cl while Na and S are 284 detected in cubic particles. Compared to the PM<sub>10</sub> sample, numerous Pb only-rich 285 nanoballs were observed in  $PM_{2.5}$  (figure 2).

286 Raman microspectrometry combines the analytical capability of Raman 287 spectroscopy to distinguish in situ a wide range of chemical substances in aerosols with 288 the spatial resolution of a confocal optical microscope (~1  $\mu$ m<sup>3</sup>) which enables 289 investigation of individual particles. The scanning of a large area of sample (300  $\mu$ m<sup>2</sup>) 290 by automated analyses enables the analysis of a huge number of particles. The data 291 treatment of all the spectra recorded in this area leads to the major and minor 292 component identification.  $xPbO.PbSO_4$  (x = 1,2,3),  $PbSO_4$ ,  $\alpha$ -PbO,  $PbCO_3$ ,  $Na_2SO_4$ , 293 and ZnSO<sub>4</sub> were identified in both PM<sub>10</sub> and PM<sub>2.5</sub> samples as major species. The laser-294 damaging effect generates complete and irreversible oxidation of PbS to PbSO<sub>4</sub> as 295 described previously (Batonneau et al., 2000). The Raman mapping of species within 296 particles exhibits a large heterogeneity of particle composition. A typical Raman image 297 of PM<sub>2.5</sub> particles is shown in figure 3. A large number of particles are composed of Uzu et al., 2008

lead sulphate and are agglomerated with other compounds such as sodium sulphate or carbonates in both  $PM_{10}$  and  $PM_{2.5}$  size fractions. The results suggest that no significant differences in lead speciation are observed in accordance with the particle size. However, for the minor lead compounds (like carbonates) not exactly quantified by XRD, differences in percentages between the two size fractions could exist.

303

304 3.2. Assessment of the available fraction with CaCl<sub>2</sub> extraction

Figure 4A and 4B shows respectively total lead concentrations extracted by  $CaCl_2$ and percentages of extracted lead with  $CaCl_2$  (relative, with respect to total lead concentrations in different subtracts) for various samples: the single particles, soil-1 and spiked soil-1 before and after an eight-day exposure of *Lactuca sativa*.

Due to high levels of lead in particles (330,000 mg.kg<sup>-1</sup>), maximum lead quantities were 309 310 extracted from PM<sub>10</sub> and PM<sub>2.5</sub>. But, in comparison with total lead contents, lead in 311 uncultivated spiked soils was 0.2% exchangeable, while PM<sub>10-2.5</sub> presented a 0.01% exchangeability. Reference soils were under ICP-OES limit detection. After culture, a 312 313 significantly greater lead quantity was extracted by CaCl<sub>2</sub> for the soil spiked with the 314 finest PM<sub>2.5</sub> fraction than in PM<sub>10</sub>. A 1 unit pH decrease was measured after plant-soil 315 contact while the pH values of the control soils (left without crops but with nutrient 316 solution influence) remained constant. Concerning CaCl<sub>2</sub> extraction, no significant 317 differences were observed between the two particle sizes in the PM<sub>10-2.5</sub> and spiked soil-318 1 before culture; The same trends described above for soil-1 were also observed for soil-319 2.

320

321 3.3. Influence of lead exposure on plant growth.

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Fresh biomass data are shown in figure 5. After eight days of soil-plant exposure, biomasses for aerial parts grown on spiked soils were slightly lower than in the respective unspiked soils. No significant biomass differences were observed among the two types of spiking (PM<sub>10-2.5</sub>). In controls, fresh weights were approximately  $18.5 \pm 2.5$ g for aerial parts and  $3.7 \pm 0.7$  g for roots while in spiked soils, weights reached  $12 \pm 4$ g and  $2.5 \pm 1$  g respectively. This slight influence of lead on plant biomass could be due to lead toxicity (Sharma & Dubey, 2005) or water status (Parys et al., 1998).

329

330 3.4. Absorption and adsorption of lead in the lettuce

331 Figure 6 presents results of lead transfer from soil to the various compartments of 332 the plant (roots and shoots) and the distribution between adsorption (noted [Pb]<sub>adsorbed</sub>) 333 and absorption for roots ([Pb]<sub>roots</sub>) respectively described in the materials and method section as lead only adsorbed at the root surface and lead truly taken up by the plant. 334 335 Measurements on the reference samples (Tobacco leaf VTL-2) validated the assay: the concentration found was  $21.4 \pm 1.1 \text{ mg.kg}^{-1}$ , for a certified value of  $22.1 \pm 1.2 \text{ mg.kg}^{-1}$ . 336 337 Several trends were observed dealing with the influence of the particle type, soil type 338 and lead location. For both soils, a significant increase of lead adsorption on roots, 339 absorption and translocation throughout the shoots was observed, when the finest 340 particles were added in comparison with the  $PM_{10}$ . Global uptakes ([Pb]<sub>adsorbed</sub> + 341 [Pb]<sub>roots</sub>, + [Pb]<sub>shoots</sub>) were greater for soil 1 than for soil 2. The main lead fraction was adsorbed on root membranes where concentrations were up to  $985 \pm 147.\text{kg}^{-1}$  for PM<sub>10</sub> 342 contaminated soils and up to  $1281 \pm 195$  mg.kg<sup>-1</sup> for PM<sub>2.5</sub> contaminated soils. The soils 343 spiked with PM<sub>10</sub> allowed a lead uptake of  $230 \pm 24$  mg.kg<sup>-1</sup> by roots and a translocation 344 to aerial parts of 7.7  $\pm$  2.7 mg.kg^-1. While in the PM\_{2.5} spiked soils, root and shoot 345 concentrations reached  $275 \pm 40 \text{ mg.kg}^{-1}$  and  $12.19 \pm 3 \text{ mg.kg}^{-1}$  respectively. 346

347	
348	4. Discussion
349	(1) Influence of particle size on soil-plant transfer and lead translocation.
350	Whatever the soil, for a given total lead concentration (1650 mg Pb.kg <sup>-1</sup> soil),
351	higher lead soil-plant transfer and translocation were observed for the finest particles.
352	Roots exposed to $PM_{2.5}$ spiked soils allowed a 20% greater lead uptake and a 30%
353	increase in adsorbed lead. Shoots presented a 60% increase in translocated lead in $PM_{10}$

354 spiked soils. For the first time in industrial particles, the transfer of lead to the soil 355 solution and its translocation throughout the plant is reported to increase as the particle size decreases. 356

357 Douay et al. (2006), measuring lead concentrations in lettuces in urban gardens (1572 mg Pb.kg<sup>-1</sup>) observed a shoot concentration of 5 mg Pb.kg<sup>-1</sup> DW. The soil to plant 358 359 metal transfer measured in this study (for one week of lead exposure) was relatively 360 high in comparison with previously reported data in publications dealing with 361 vegetables (BAPPET, 2007), probably because of particle size, but also due to 362 numerous factors that influence the soil-plant transfer of metals (Kabata-Pendias, 2004; 363 Dumat et al., 2001 & 2006; Costa & Morel, 1993; Oliver et al., 1994).

364 Root transfer factors (TF-roots) and shoot transfer factors (TF-shoots) were 365 calculated as ratios between [Pb]<sub>roots</sub>, [Pb]<sub>shoots</sub> with [Pb]<sub>soil</sub>. TFr ranged from 0.10 to 366 0.17 and TFs were between 0.005 and 0.007 (table 4). These relatively low values illustrate the known low mobility and availability of lead and are comparable to Khan et 367 368 al.'s results (2008) reporting 0.15 for TFr and 0.07 for TFs. As transfer factors for 369 vegetables decrease with increasing levels of lead in the soil (Zheng et al., 2007; Wang 370 et al., 2006), we therefore expected a greater influence of particle size on transfer with a 371 lower total lead concentration in soil.

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According to Sobanska et al. (1999), lead smelter emissions contain PbS, PbSO<sub>4</sub>, 372 373 PbSO<sub>4</sub>.PbO, Pb, α-PbO compounds and numerous nano balls were observed for PM<sub>2.5</sub>. 374 Dumat et al. (2001) and Cecchi et al. (2008) working on soils polluted by lead produced 375 by industrial atmospheric fallout from smelters, concluded that lead chemical speciation 376 strongly influences its bioavailability. The sequence of solubility constants from the CHESS data base for the lead is the following:  $PbCO_3 > PbSO_4 > PbO > Pb^0$ . In water 377 378 media and equilibrium conditions, lead carbonate and sulphate will be much more labile 379 than PbO or  $Pb^{0}$  species. However, according to Birkefeld et al. (2006 & 2007) which 380 used an in-situ method to study dissolution and phase transformation of lead particles 381 from a smelter in different soils, the sequence of solubility is strongly dependent on soil 382 characteristics (like texture, pH, lime amount...). They observed that PbO was rapidly 383 covered by lead-hydroxy carbonates (hydrocerussite) in some soils while in other it was relatively stable. Moreover, according to the general review of Ruby et al. (1992), 384 385 release of lead in the soil solution depends on particle size, speciation and soil 386 geochemistry. In soil solution, ligands like fulvic acids or low weight organic acids 387 excreted by roots can displace the equilibrium (Ferrand et al., 2006). Finally, as no 388 significant difference among speciation forms was noticed between PM<sub>10</sub> and PM<sub>2.5</sub>, all 389 changes observed in reactivity were attributed to size differences.

Whatever the particle size, greater lead absorption by lettuce was observed for soil-1 than for soil-2. In comparison with soil-2, soil-1 has more clay, its CEC is higher and its level of lime is lower (see Table 1). According to Twining et al. (2004), we could expect that lead transfer would be higher for soil-2. However, several hypotheses can explain the results observed: (i) the higher amount of carbonates present in the soil-2 could reduce lead absorption (Birkefeld et al., 2006 & 2007); (ii) the complex influence of soil organic matter on the transfer of metals (Yin et al., 2002; Inaba &

Takenaka, 2005); (iii) for the total lead concentration studied, the relatively high 398 quantity of lead could be available in the soil solution (due to high solubility of the fine 399 particles) reducing the influence of soil characteristics.

400 Total lead in the roots ([Pb]<sub>roots</sub> + [Pb]<sub>adsorbed</sub>) reached 1500 mgPb/ kg dry weight. 401 Lead in roots was predominantly adsorbed onto the cell walls, 80 % of the total lead in 402 roots, and depends on the nature of the particles used for exposure: the uptake was greater for PM<sub>2.5</sub> than PM<sub>10</sub>. According to Seregin et al. (2004), Pb<sup>2+</sup> binds to the 403 404 carboxy groups at the root surface reducing the translocation rate of lead (Pendergrass et 405 al., 2006; Piechalak et al., 2002). To illustrate this phenomenon, the translocation 406 factors or shoot/root ratios calculated indicate the ability of plants to transport metals 407 from the roots toward the aerial parts (Ferrand et al., 2006): they ranged between 0.03 408 and 0.04 (table 4). But considering the lower biomasses in roots than in shoots, we can 409 also reason with lead quantities. Whatever the type of soil or spiking, the total lead 410 quantity in shoots (Pb<sub>shoots</sub>×dry weight) between 0.003 and 0.007 mg Pb translocated 411 which represents up to 33% (PM<sub>2.5</sub>) of the total lead uptake. This percentage is not 412 negligible with respect to risks concerning consumption of vegetables.

413

### 414

## (2) Behaviour of lead in the rhizosphere and assessment of lead availability

415 Relatively high lead transfer was observed for the lettuce cultivated on the two 416 alkaline spiked calcareous soils. PM<sub>10-2.5</sub> can therefore release lead in particular in the 417 rhizosphere of *Lactuca sativa*. The lead availability estimated by the CaCl<sub>2</sub> procedure 418 was greater for soils spiked with the finest particles and for both soils it increased after 419 soil-plant contact:  $[Pb]_{CaCl_2}$  in spiked soil with  $PM_{2.5} > [Pb]_{CaCl_2}$  in spiked soil with 420 PM<sub>10</sub>. Under the root activity influence, the CaCl<sub>2</sub> lead extracted from polluted soils 421 increased and a one-unit pH decrease in soil was measured. Lin et al. (2004) and Kidd Uzu et al., 2008

422 and Monterroso (2005) also observed that exchangeable lead was much higher in the 423 rhizosphere than in the bulk soil. Producing exudates, plants can modify metal 424 speciation and behaviour in the rhizosphere (Lin et al., 2004; Laperche, 1997; Welch, 425 1995). This phenomenon has been particularly observed for calcareous soil by 426 Chaignon and Hinsinger (2003). As pH influences metal solubility and transfer (Wang 427 et al., 2006), the rhizosphere acidification could have displaced the equilibrium towards 428 bicarbonates, which are less stable than carbonates (Sauvé et al., 1998). An effect of soil 429 on particle solubility was also observed: particles present a CaCl<sub>2</sub> exchangeability ten 430 times lower than in spiked soil. Quantities extracted for particles were only up to 1.5% 431 of the solution extraction. Mixed with soil for four months, particle solubility could 432 therefore have changed.

433 In order to estimate the transfer of lead from polluted soils towards lettuce, 434 relationships were sought between: lead mobilised by CaCl<sub>2</sub> extraction performed on 435 soils before culture and lead concentrations in lettuce (shoots and roots). Equations were 436 obtained from 6 parameters (2 soils; uncontaminated, spiked with PM<sub>10</sub> or PM<sub>2.5</sub>), and 437 every condition was studied by 5 replicates finally involving 30 observations. 438 Significant correlations were observed between lead concentrations in shoots (Equation 1 below, with  $r^2 = 0.8$ ) or in roots (Equation 2 below, with  $r^2 = 0.9$ ) and lead extracted 439 440 by CaCl<sub>2</sub>.

441 Equation 1: 
$$[Pb]_{shoots} = 2.11 \times [Pb]_{CaCl2} + 2.13$$
  $r^2 = 0.797$  p<0.01 n = 30

442 Equation 2: 
$$[Pb]_{roots} = 35.02 \times [Pb]_{CaCl2} + 4.24$$
  $r^2 = 0.915$  p<0.005 n = 30

Both for  $PM_{10}$  and  $PM_{2.5}$ , the CaCl<sub>2</sub> chemical extraction was therefore a good indicator of soil-plant transfer. As previously shown by Pueyo et al. (2004), the 0.01 mol  $1^{-1}$ CaCl<sub>2</sub> extraction procedure seems to be a suitable method for the determination of phytoavailable lead. This test simplifies the matrix and could avoid *in vivo* tests.

## 448 **Conclusions and perspectives**

449 A significant size influence was found for soil-plant lead transfer and translocation 450 throughout the lettuce when micronic and nanometric industrial particles were 451 compared: roots exposed to PM<sub>2.5</sub> spiked soils allowed a 20% greater lead uptake and a 452 30% increase in adsorbed lead. Shoots presented a 60% increase in translocated lead in 453  $PM_{10}$  spiked soils. Our results highlight that source characteristics strongly influence 454 metal transfer: total metal soil concentration is insufficient to estimate the risk induced 455 by soils polluted by metals. Lead speciation was quite similar in PM<sub>10</sub> and PM<sub>2.5</sub> particles i;e. PbS, PbO.PbSO<sub>4</sub>, PbO, PbCO<sub>3</sub> and Pb<sup>0</sup>, were predominant however 456 457 differences could exist for minor lead components. Moreover, the high amount of Pb-458 rich nano balls and nano crystals much more dispersed for PM2.5 could influence the 459 lead transfer in the rhizoshere acidified by plant root activity. Lead concentrations in the 460 edible part of lettuces were very well estimated by 0.01M CaCl<sub>2</sub> extraction.

In order to check the generality of the size influence of industrial particles on soilplant transfer, further experiments could be performed on other vegetables and various soils testing aging effects. Moreover, the study of other metals and metalloids measured in the particles will be performed.

465

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670	

673	Figure legends
674	
675	Figure 1
676	Microculture device.
677	
678	Figure 2
679	ESEM images of $PM_{2.5}$ (A) and $PM_{10}$ (B).
680	
681	Figure 3
682	Raman image of PM <sub>2.5</sub> particles after a smoothing procedure. Blue 4PbO.PbSO <sub>4</sub> , pink
683	PbO.PbSO <sub>4</sub> , red Na <sub>2</sub> SO <sub>4</sub> and green substrate.
684	
685	Figure 4
686	Total contents (A) and relative contents (B) of lead extracted with CaCl <sub>2</sub> on particles
687	(white), spiked soils with lead particles of different size before (grey) and after (black) a
688	seven-days exposure of Lactuca sativa, n=5.
689	
690	Figure 5
691	Plant biomass data for aerial parts (black) and roots (grey). The error bars indicate the
692	standard deviation while different letters show significant differences (p<0.05) as
693	measured by an LSD fisher test (one-factor ANOVA ).
694	
695	Figure 6
696	Lead concentrations in different plant compartments: A: adsorbed onto roots, B: root
697	uptake and C: translocated in shoots.
698	

700 Physico-chemical characteristics of the two soils used for micro-culture experiments.

	unit	Soil-1	Soil-2
рН		8.3	8.4
CEC	cmol+/kg	19.9	8.9
Clay		405	195
Silt	(g.kg <sup>-1</sup> )	261	390
Sand		334	415
C/N		9.15	8.9
МО	(g.kg <sup>-1</sup> )	18.8	12.5
Total carbonates	(g.kg <sup>-1</sup> )	36.8	98
P2O5 Joret Hebert	(g.kg <sup>-1</sup> )	0.28	0.215
Cd (HF extraction)		0.22	0.175
Pb (HF)	(mg.kg <sup>-1</sup> )	35.5	21
Zn (HF)		101	48
Cu (HF)		28.5	31

706 Total elemental analysis of particles.

	_	Contents $(mg.kg^{-1}) \pm 7\%$												
	Sample	Al	As	Cd	Cu	Fe	0	Na	Ni	Pb	Sb	Zn	S	С
	PM 2,5	10	867	25243	480	1395	149300	31691	41	272834	1266	5194	78100	12100
	<b>PM</b> <sub>10</sub>	52	841	23139	617	4010	150000	39697	97	267353	1361	5372	74300	11500
707														

708

- 711 Determination of main chemical speciation of lead in the  $PM_{10}$  and  $PM_{2.5}$  particles by
- X-Ray Powder Diffraction Analysis <sup>a</sup> 712

Sample	Cristallized compound	Estimated % of phases
$PM_{10}$	$PM_{10}$ <b>PbS</b> ,	
	<b>PbO.PbSO</b> <sub>4</sub> , <b>PbSO</b> <sub>4</sub> , Pb(ClO <sub>4</sub> ) <sub>2</sub> , Pb,	25
	$Na_2SO_4$	10
	CdS, Cd(ClO <sub>4</sub> ) <sub>2</sub> , ZnO, ZnSO <sub>4</sub>	10
PM <sub>2,5</sub>	PbS,	55
	<b>PbO.PbSO</b> <sub>4</sub> , <b>PbSO</b> <sub>4</sub> , Pb(ClO <sub>4</sub> ) <sub>2</sub> , Pb,	25
	$Na_2SO_4$	10
	CdS, Cd(ClO <sub>4</sub> ) <sub>2</sub> , ZnO, ZnSO <sub>4</sub> , FeS <sub>2</sub>	10

713 714 <sup>a</sup> The major compounds are written in bold

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720 Tranfer factors in roots (TFr) and shoots (TFs) and translocation ratios.

Soil	TFs	TFr	Translocation
S1 + PM <sub>10</sub>	0.005	0.14	0.03
S1 + PM <sub>2.5</sub>	0.007	0.17	0.04
<b>S1</b>	0.081	0.26	0.31
S2 + PM <sub>10</sub>	0.004	0.10	0.04
<b>S2 + PM</b> <sub>2.5</sub>	0.005	0.15	0.03
<b>S2</b>	0.01	0.44	0.18





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HV Mag

Sig Temp

752



**(B**)

HV Mag



754

755

756

- 757
- 758

759

# Figure 3



762





Figure 4

(A)

766 767

(B)



768

769



