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Grain growth in thin films with a fiber texture studied by phase-field simulations and mean field modeling

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11 **Grain growth in thin films with a fiber texture studied by**
12 **phase-field simulations and mean field modeling**

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34 The evolution of fiber textured structures is simulated in 2 dimensions using a generalized
35 phase field model assuming two forms for the misorientation dependence of the grain bound-
36 ary energy. In each case, a steady-state regime is reached after a finite amount of grain growth,
37 where the number and length weighted misorientation distribution functions (MDF) are con-
38 stant in time, and the mean grain area A as a function of time t follows a power growth law
39 $A - A_0 = kt^n$ with n close to 1 and A_0 the initial mean grain area. The final shape of the
40 MDF and value of the prefactor k in the power growth law clearly correlate with the mis-
41 orientation dependence of the grain boundary energy. Furthermore, a mean field approach is
42 worked out to predict the growth exponent for systems with nonuniform grain boundary en-
43 ergy. The conclusions from the mean field approach are consistent with the simulation results.
44
45 In previous studies on grain growth in anisotropic fiber textured systems, this steady-state
46 regime was often not reached, which resulted in wrong conclusions on the growth exponent n
47 and evolution of the MDF.
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Keywords: Phase-field Model; Anisotropy; Grain Growth; Polycrystalline Thin Film;
Texture; Grain Boundary Engineering;

1. Introduction

Many thin films have a columnar grain structure in which all crystals have nearly identical orientation in the axial direction (the direction perpendicular to the film), but random radial orientation (in the plane of the film) [1]. The symmetry is for example introduced by a preferential nucleation or growth of certain grain orientations due to anisotropy in the surface energy or film-substrate interfacial energy. Many technically important characteristics of the films, such as their strength, conductivity, corrosion resistance or resistance against void formation, are correlated with characteristics of the grain structure and grain boundary network [2–5]. Moreover, the structure and properties of the grain boundaries can vary considerably, depending on the misorientation between the adjacent grains and the inclination of the boundary with respect to the crystal lattices of the grains [6–13].

Grain growth in systems with non-uniform grain boundary properties has been studied frequently, experimentally [14–17] and by mesoscale simulations [18–25]. Due to the large number of parameters, there is however no systematic insight yet in the correlations between grain boundary properties and grain structure evolution. that would allow us to predict how a given grain structure evolves in time and optimize the film properties for a particular application.

The experiments of Saylor et al. [14] and 3D simulations of Gruber et al. [18] show that the inclination dependence of the grain boundary energy affects the grain boundary plane distribution for bulk structures with a random texture. After a limited amount of grain growth, a steady-state is established with a constant grain boundary plane distribution with maxima at inclinations with low energy.

Inclination dependence of the grain boundary mobility seems to have no effect on the grain boundary plane distribution. Ivasishin et al. [26] found that misorientation dependence of the mobility can induce texture formation in a 3D structure with random orientations. It however occurred at the end of simulations and is thus probably due to the restricted number of grain orientations left in the system. Holm et al. [19] performed 2D simulations of grain growth in anisotropic systems using 3D crystallography (the orientations are represented with 3 Euler angles). They consider a Read-Shockley misorientation dependence for the energy of boundaries with low misorientation [6],

$$\sigma_{gb}(\theta) = \sigma_m \frac{|\theta|}{\theta_m} \left(1 - \ln\left(\frac{|\theta|}{\theta_m}\right) \right) \quad (1)$$

where θ represents the smallest rotation angle between the orientations of two adjacent grains and with $\theta_m = 15, 30$ and 45° and σ_m the energy of the boundary with misorientation θ_m . The energy of high-angle boundaries and the mobility of all boundaries are constant. They find that structures with a random texture show grain growth behavior that is very similar to normal grain growth in isotropic materials. After a short transition period, the average grain size increases in time according to a power growth law

$$A - A_0 = kt^n \quad \text{or} \quad A = kt^n \quad \text{for } t \rightarrow \infty, \quad (2)$$

with A the mean grain area, k a kinetic constant and $n = 1$. Furthermore, the normalized grain size distribution has the same shape as for normal grain growth in isotropic structures. The MDF (misorientation distribution function) is constant in time, but low-energy boundaries have a higher probability than given by the

1 Mackenzie distribution which is obtained for isotropic materials. They explain that
2 the higher probability for low-energy boundaries is mainly geometrical. Thermo-
3 dynamic equilibrium at triple junctions namely forces the low-energy boundaries
4 to lengthen, while the number fraction of low-energy boundaries hardly increases
5 in their simulations. Mobility anisotropy seems not to influence the evolution of
6 a structure with random texture. Ono et al. [28] and Hassold et al. [20] consider
7 the effect of extra low-energy cusps in the grain boundary energy at large mis-
8 orientations, as is the case for some CSL (Coincidence Site Lattice)-boundaries.
9 Ono et al. report an increase of the length fraction for all misorientations with low
10 energy. Hassold et al. assumes narrower cusps around the special high-angle mis-
11 orientations and observes only an increase of the fraction of low-angle boundaries,
12 probably because the possibility to form a high-angle boundary with low energy in
13 a structure with random grain orientations (and using 3D crystallography) is very
14 low in their systems.

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30 Different from structures with a random texture, structures with all grain ori-
31 entations near a single orientation have the tendency to strengthen this texture.
32 The simulations of Holm et al. [19], assuming the same misorientation depen-
33 dence for the grain boundary properties as described in the previous paragraph
34 with $\theta_m = 15^\circ$, but starting from a grain structure with all orientations around
35 $\{111\} \langle 100 \rangle$, demonstrate that the length and number fraction of the smallest
36 misorientations increase continuously. The growth exponent n in the power law
37 equals 0.62 and the grain size distribution is weighted towards smaller grains com-
38 pared to that obtained for normal grain growth in isotropic systems. Furthermore,
39 the grain structures contain many few sided grains and stable quadruple-junctions.
40 The average number of sides is smaller than 6. Kazaryan et al. [21] study highly
41 textured systems with misorientation and weak inclination dependence of the grain
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1 boundary energy and mobility, but using only 1 scalar orientation variable. If grain
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3 boundary energy anisotropy alone is considered, the fraction of low angle bound-
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5 aries increases seriously in time and the growth exponent n is around 0.74 at an
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7 intermediate stage of the simulation, but increases continuously. The edge distribu-
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9 tion also shifts towards grains with less than 6 sides. Mobility anisotropy alone does
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11 not change the power growth law, the MDF or the edge distribution. Different from
12
13 what is observed for randomly textured systems [18], inclination dependence of the
14
15 mobility leads to a continuous evolution of the grain shape and grain boundary
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17 inclination distribution, even if the grain boundary energy is uniform. When both
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19 grain boundary energy and mobility anisotropy are considered, the misorientation
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21 dependence of the mobility affects the grain growth exponent and evolution of the
22
23 MDF.
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26 Strongly textured systems that contain a few grains with random orientation are
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28 likely to undergo abnormal grain growth [22, 29, 30, 35, 36] caused by anisotropy
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30 in grain boundary energy or mobility. If the fraction of randomly oriented grains
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32 is higher (12.5%-27%), there is no abnormal grain growth; however the fraction of
33
34 randomly oriented grains increases or decreases continuously in time, depending
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36 on the relative degrees of anisotropy for grain boundary energy and mobility [23].
37
38 Structures with initially 2 or 3 strong texture components, show often a step-wise
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40 growth in which periods of fast growth and strengthening of 1 of the components are
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42 interrupted by periods of slow growth and negligible change in the fractions of the
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44 texture components [26, 31–34, 37]. In all these cases, the evolution is extremely
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46 sensitive to the initial fraction and spacial distribution of the different texture
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48 components and randomly oriented grains. Furthermore, both energy and mobility
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50 anisotropy can change the MDF and growth exponent n . The analytical mean
51
52 field study of Kazaryan et al. [38] for 2D systems indeed demonstrates that, in
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1 general, anisotropy of the grain boundary mobility alone can lower the exponent n
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3 in the power growth law. The effect is negligible for structures with a single texture
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5 component and for randomly textured structures, which is also seen in simulations.
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7 In the case of a perfect fiber texture, the axi-symmetry allows us to describe
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9 the grain boundary properties as a function of a single variable θ representing
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11 the misorientation measured around the common tilt axis. Different from a fully
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13 random 3D crystallography, the possibility to form a boundary with a certain
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15 misorientation is equal for all possible misorientations. The possibility to form a
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17 low-angle boundary is accordingly larger than in randomly textured 3D structures,
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19 but smaller than in structures with a strong texture component. Grest et al. [24]
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21 and Yu and Essche [39] examined the evolution of systems with a Read-Shockley
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23 dependence (equation (1)) for the energy of low-angle boundaries ($\theta < \theta_m$) and
24
25 constant energy for high-angle boundaries ($\theta > \theta_m$). To study the effect of the width
26
27 of the Read-Shockley well, simulations were performed for θ_m between 0 and π ,
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29 assuming 2-fold orientation symmetry. Grest et al. find that the growth exponent n
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31 is approximately 0.84 for $\theta_m < \pi/2$ and changes gradually from 0.84 for $\theta_m = \pi/2$
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33 to 0.5 for $\theta = \pi$. The length fraction of the boundaries with the lowest energy at
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35 the end of the simulations is around 10%, but varies with θ_m . The number of stable
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37 quadruple junctions is higher and the grain size distribution becomes broader for
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39 larger values of θ_m . Yu and Essche, however, find that regardless the width of
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41 the Read-shockley well, the growth exponent equals 1. At the beginning of the
42
43 simulations, there is an increase of the number of quadruple-junctions for large
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45 values of θ_m , but in all cases this value decreases again during the simulations.
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47 The average number of sides remains close to 6. Yu and Essche devote the different
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49 findings for both studies to differences in the Monte Carlo algorithm used for
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51 the simulations, as the system properties and size are almost the same in both
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1 studies. A growth exponent $n = 0.84$, as obtained by Grest et al., is obviously
2 too low for $\theta_m \rightarrow 0$. Moreover, a Read-Shockley dependence with $\theta_m > 15^\circ$
3 is rather artificial. Saito and Enomoto [40] performed grain growth simulations for
4 fiber textures using a grain boundary energy misorientation dependence based
5 on experimental measurements for Cu with several low-energy cusps. At the end
6 of the simulations, the fraction of low-energy boundaries is approximately 20%
7 and the growth exponent equals 1. The grain size distribution broadens during
8 anisotropic grain growth. Moldovan et al. [41] find that the fraction of low-energy
9 boundaries continuously increases. The growth exponent is smaller than 1, but
10 the grain size and edge number distribution are very similar to those obtained for
11 normal grain growth. Upmanyu et al. [25] compare simulation results obtained with
12 a Potts model and a phase-field model. The misorientation dependence of grain
13 boundary energy and mobility are derived from molecular dynamics simulations
14 ($\theta > 10^\circ$) and theoretical models ($\theta < 10^\circ$). For low misorientations a Read -
15 Shockley dependence (1) with $\theta_m = 10^\circ$ is assumed. The misorientation dependence
16 shows six-fold symmetry. If grain boundary energy anisotropy alone is considered
17 the growth exponent n equals 0.93 if only a Read-Shockley well is considered (Potts
18 simulations), and 0.86 (Potts simulations) or 0.65 (phase-field simulations) if extra
19 cusps at large misorientations are also considered. If both energy and mobility are
20 anisotropic, the growth exponent is 0.69 (Potts simulations) or 0.77 (phase-field
21 simulation). The fraction of boundaries with lower energy increases in time, also
22 for those with large misorientation. There are stable higher order junctions in the
23 structures and the average number of edges is lower than 6. Mobility anisotropy
24 alone does not affect the growth exponent n . Frost et al. [42] report the evolution
25 towards a steady-state regime with $n = 1$ and a constant grain boundary character
26 distribution for thin films with columnar grains and random grain orientations in
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1 the plane of the film.

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3 Previous simulations for fiber textured systems thus indicate that although the
4 grain orientations in the plane of the film are random, the MDF does not remain
5 random when grain boundary energy is anisotropic. It is not clear whether the
6 characteristics of the grain structure change continuously, as for strongly textured
7 structures, or whether a steady-state is established after a finite amount of grain
8 growth, as for randomly textured structures. Furthermore, there is a large scatter
9 on the values obtained for the growth exponent n and there is no systematic insight
10 in how the number, depth, width and position of low-energy or low-mobility cusps
11 influence the results.
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15 In this paper, the evolution of fiber textured systems with misorientation depen-
16 dent energy is studied by means of phase-field simulations assuming two different
17 spectra for the grain boundary energy. It is discussed how the mean grain size and
18 MDF evolve in time. Moreover, a more general mean field analysis is performed to
19 predict the growth exponent for systems with non-uniform grain boundary prop-
20 erties. Since the purpose is to study the effect of the misorientation dependence of
21 the grain boundary energy on the evolution of a fiber texture, effects from grain
22 boundary grooving at the film surface and mismatches between the film and the
23 substrate are not considered.
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44 2. Phase-field Simulation Method

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46 Several simulation techniques have been proposed for the simulation of grain
47 growth, such as Monte Carlo, vertex, front tracking, phase-field and cellular au-
48 tomata [11]. The phase-field method has proven to be a versatile technique for
49 simulating microstructure evolution; it has been applied for solidification, solid
50 state phase transitions and coarsening [44–47]. One advantage of the phase-field
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1 technique for the current application is that the simulation results are less influ-
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 3 enced by the anisotropic nature of the discretization grid because grain boundaries
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 5 are represented as diffuse regions with a finite width.
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10 11 2.1. Phase-field Model

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13 In this section the phase-field model used for the simulations is briefly discussed.
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15 A more extensive explanation is given in [48, 49]. Different grain orientations
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17 are represented by a large set of independent and nonconserved field variables
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19 $\eta_1(\mathbf{r}, t), \eta_2(\mathbf{r}, t), \dots, \eta_i(\mathbf{r}, t), \dots, \eta_p(\mathbf{r}, t)$, with p the number of possible grain orien-
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21 tations. The total free energy of the system F is give by the functional
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$$24 \quad F = \int_V \left[m f_0(\eta_1, \dots, \eta_p) + \frac{\kappa_\theta}{2} \sum_{i=1}^p (\nabla \eta_i)^2 \right] dV, \quad (3)$$

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30 with

$$31 \quad f_0(\eta_1, \dots, \eta_p) = \sum_{i=1}^p \left(\frac{\eta_i^4}{4} - \frac{\eta_i^2}{2} \right) + \gamma_\theta \sum_{i=1}^p \sum_{j>i}^p \eta_i^2 \eta_j^2 + \frac{1}{4}. \quad (4)$$

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42 The homogeneous free energy f_0 has localized minima at $(\eta_1, \dots, \eta_p) =$
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44 $(1, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, \dots, 0, 1)$, where $f_0 = 0$, representing the differ-
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46 ent grain orientations. To introduce the misorientation dependence of the grain
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48 boundary energy, the parameters κ_θ and γ_θ are formulated as a function of the
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50 local values of the field variables:
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$$53 \quad \kappa_\theta = \frac{\sum_{i=1}^p \sum_{j>i}^p \kappa_{i,j} \eta_i^2 \eta_j^2}{\sum_{i=1}^p \sum_{j>i}^p \eta_i^2 \eta_j^2}, \quad (5a)$$

$$\gamma_{\theta} = \frac{\sum_{i=1}^p \sum_{j>i}^p \gamma_{i,j} \eta_i^2 \eta_j^2}{\sum_{i=1}^p \sum_{j>i}^p \eta_i^2 \eta_j^2}. \quad (5b)$$

The parameter m is uniform. Since at a boundary between the grains with orientations i and j , only η_i and η_j are different from 0, it can easily be verified that $\kappa_{\theta} = \kappa_{i,j}$, $\gamma_{\theta} = \gamma_{i,j}$ at the boundary between grains i and j . The grain boundary energy can accordingly be specified individually for all $p - 1$ possible discrete misorientations.

The spatial and temporal evolution of the field variables is calculated from the equations

$$\frac{\partial \eta_i(\mathbf{r}, t)}{\partial t} = -L \left[\eta_i^3 - \eta_i + 2\eta_i \sum_{j \neq i} \gamma_{i,j} \eta_j^2 - \kappa_{\theta} \nabla^2 \eta_i \right], \quad (6)$$

for $\eta_i = \eta_1 \dots \eta_p$, with L a kinetic model parameter related to the grain boundary mobility. These equations are obtained from the free energy functional (3) using a variational approach, but requiring that the local values of the model parameters κ_{θ} and γ_{θ} are fixed for a given grain configuration (the η -dependence in expressions 5.) Since relaxations in grain boundary structure are typically on the atomic scale for metals, we can assume that they are much faster than the mesoscale grain boundary movement and thus consider grain boundary properties as fixed for a given misorientation.

The following expressions have been derived for the grain boundary energy $\sigma_{i,j}$ and mobility $\mu_{i,j}$ of a grain boundary between grains i and j

$$\sigma_{i,j} = g(\gamma_{i,j}) \sqrt{\kappa_{i,j} m} \quad (7)$$

$$\mu_{i,j} = \frac{L}{g(\gamma_{i,j})} \sqrt{\frac{\kappa_{i,j}}{m}}, \quad (8)$$

where $g(\gamma_{i,j})$ is given by the integral expression

$$g(\gamma_{i,j}) = \int_0^1 \sqrt{f_0(\eta_i, \eta_j(\eta_i))} \sqrt{1 + \left(\frac{d\eta_j(\eta_i)}{d\eta_i}\right)^2} d\eta_i. \quad (9)$$

The width $\ell_{i,j}$ of the profiles of the field variables across the interface between two grains (the distance over which the field variables change their values) is defined based on the maximum gradients of the order parameter fields,

$$\begin{aligned} \ell_{i,j} &= \frac{1}{|(d\eta_i/dx)_{\max}|} = \frac{1}{|(d\eta_j/dx)_{\max}|} \\ &= \sqrt{\frac{\kappa_{i,j}}{m f_{0,\text{interf}}(\gamma_{i,j})}}, \end{aligned} \quad (10)$$

with $f_{0,\text{interf}}$ the value of f_0 at the middle of the interface where the 2 fields intersect.

In this way the width of the diffuse grain boundaries can be used as a parameter in criteria for the numerical stability and accuracy of the simulations. Usually it is most efficient to give all boundaries equal width. The functions $g(\gamma)$ and $f_{0,\text{interf}}(\gamma)$ were evaluated numerically for a wide range of γ -values [49]. It was also verified by means of numerical simulations that relations (7)-(10) are valid for $\ell_{i,j}/R < 1/5$, with R the radius of curvature of the boundary.

2.2. System properties and parameter choice

Two forms of misorientation dependence of the grain boundary energy are considered. Both have a Read-Shockley dependence of the form (1) with $\theta_m = 15^\circ$ and $\sigma_m = 0.25\text{J/m}^2$ for misorientations smaller than 15° . For the first type (Type I), all large misorientations ($\theta > 15^\circ$) have the same energy $\sigma_m = 0.25\text{ J/m}^2$. For the

second type, there is an extra low-energy cusp at $\theta = 37,5^\circ$ of the form

$$\sigma_{gb}(\theta) = (\sigma_m - \sigma_l) \frac{|\theta - 37.5|}{\theta'_m} \left(1 - \ln\left(\frac{|\theta - 37.5|}{\theta'_m}\right) \right) + \sigma_l \quad (11)$$

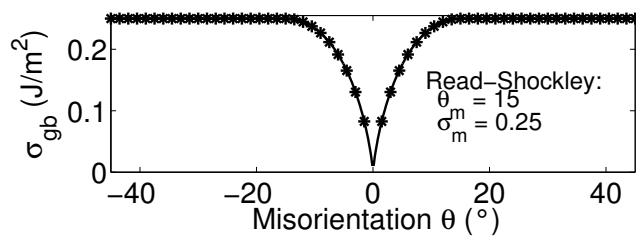
for $27.5^\circ \leq \theta \leq 45^\circ$ and with $\sigma_l = 0.1$ the energy of the special high-angle boundary at $37,5^\circ$ and $\theta'_m = 10^\circ$ a measure for the width of the cusp. The remaining misorientation angles have an energy $\sigma_{gb} = 0.25$ J/m². A fourfold symmetry is assumed in each case. The orientations within one quadrant are discretized with an interspacing $\Delta\theta$ equal to 1.5° or 3° and the discrete orientations are assigned to respectively 60 or 30 field variables. The misorientation between grains with orientations i and j is calculated as

$$\theta = \Delta\theta(|j - i|) \quad \text{if } |j - i| \leq p/2$$

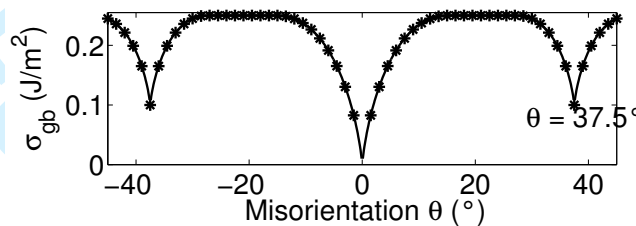
or

$$\theta = -90^\circ + \Delta\theta^\circ(|j - i|) \quad \text{if } |j - i| \geq p/2$$

with p the number of discrete orientations. Two neighboring grains with a misorientation smaller than $\Delta\theta$ are treated as one grain. The 2 energy spectra are plotted as a function of misorientation in figure 1 and the possible discrete misorientations are indicated for $p = 60$. The ratio between the maximum and minimum grain boundary energy are $\sigma_{\max}/\sigma_{\min} = 3.03$ for $\Delta\theta = 1.5^\circ$ and $\sigma_{\max}/\sigma_{\min} = 1.92$ for $\Delta\theta = 3^\circ$. The grain boundary mobility μ_{gb} is assumed to be constant and equal to $1 * 10^{-6}$ m²/s/kg. The grain boundary width $\ell_{i,j}$ is taken $0.66 * 10^{-6}$ m for all misorientations.



(a)



(b)

Figure 1. a) Type I and b) Type II of the misorientation dependent grain boundary energy used in this study.

The model parameters $\kappa_{i,j}$, $\gamma_{i,j}$, m and L that reproduce the desired grain boundary properties were calculated using the iterative algorithm described in [49], giving $m = 2.25 * 10^6$ J/m³, $L = 2$ m·s/kg and values for $\kappa_{i,j}$ and $\gamma_{i,j}$ as shown in figure 2 for the energy of Type II and 60 possible orientations. For the energy of type I, $\kappa_{i,j}$ and $\gamma_{i,j}$ have the same values for the low misorientations, but equal κ_{\max} and γ_{\max} for all high-angle misorientations. If only 30 possible orientations are considered, only the values for $\theta = 3, 6, 9^\circ, \dots$ are used.

A standard explicit finite difference discretization with grid spacing $\Delta x = 0.1 * 10^{-6}$ m and time step $\Delta t = 0.008$ s was used for the numerical solution of the phase-field equation. Since grains are columnar and the grain orientations show axi-symmetry, simulations are performed in 2D. The system size was $1024 * 1024$ grid points ($102.4 \mu\text{m}^2$) and $500 * 500$ grid points ($50.0 \mu\text{m}^2$) grid points.

The initial grain structures were generated as described by Fan and Chen [51]. First, small random values between -0.001 and 0.001 are assigned to all field vari-

ables at all (discrete) positions in the system. Then, the field variables are let to evolve according to equations (6) and assuming isotropic grain boundary properties until a polycrystalline microstructure is obtained. In this way, the initial grain orientations and grain boundary misorientations are random.

2.3. *Expected accuracy for grain boundary movement and triple junction angles*

For these model and simulation parameters, the movement of individual boundaries is reproduced with an accuracy of approximately 1.5 % [49]. The cosines of most triple junction angles are reproduced with the same accuracy (We prefer to express the accuracy of triple junction angles as a function of the cosine of the angles, since the curvature of the boundaries and the accuracy depend linearly on this measure.) Triple junctions with angles far from 120° , namely those with an angle outside the range $[102^\circ \ 138^\circ]$, deviate more. In our simulations, this is the case if 2 boundaries with $\theta = 1.5^\circ$ meet a boundary with $\theta = 3^\circ$ or 2 boundaries with $\theta = 3^\circ$ meet one with $\theta = 1.5^\circ$. Another case is where a boundary with $\theta = 1.5^\circ$, 3° or 37.5° (Type II) meets 2 boundaries with maximum energy or 1 boundary with maximum energy meets two boundaries with $\theta = 3^\circ$. Triple junctions where 2 boundaries with $\theta = 1.5^\circ$ or $\theta = 37.5^\circ$ (Type II) meet one with maximum energy are unstable (this is correctly reproduced in the simulations). Deviations can be of the order of 10% for the largest ratio of $\sigma_{\max}/\sigma_{\min}$ in the simulations with 60 possible orientations (i.e. $\sigma_{\max}/\sigma_{\min} = 0.25/0.0826$). Accurate reproduction of these angles requires a smaller grid spacing Δx or larger grain size. Moreover, the convergence is slow for angles far from 120° .

As a consequence, it is not beneficial to increase further the number of possible orientations in our simulations without decreasing the grid spacing accordingly. If

1 the number of possible orientations is for example doubled, the minimum misorien-
2 tation that can be represented is $\theta = 0.75^\circ$ with, for the considered Read-Shockley
3 dependence (1) with $\theta_m = 15^\circ$, a grain boundary energy $\sigma_{gb} = 0.05 \text{ J/m}^2$ and hence
4 $\sigma_{\max}/\sigma_{\min} = 0.25/0.05 = 5$. It requires an even smaller grid spacing to reproduce
5 these triple junctions well. Doubling the number of possible orientations would not
6 increase the computational cost much; decreasing the grid spacing (and accordingly
7 the time step) or increasing the system size, however, does considerably increase
8 computer requirements. Since the purpose is to derive statistical information from
9 the simulations, we have to consider a large number of grains and cannot take the
10 grid spacing very small.

11 The difficulties with triple junction angles outside the range $[102^\circ \text{ } 138^\circ]$ is due
12 to the discrete nature and anisotropy of the discretization grid used in numeri-
13 cal simulations. Similar problems are experienced with other mesoscale simulation
14 techniques [41, 50]. In previous simulation studies, θ_m in the Read-Shockley rela-
15 tion, was sometimes taken larger than 15° [19, 24, 39]. Then, the misorientation
16 dependence of the grain boundary energy for low misorientations is less steep and
17 it might be possible to apply a finer resolution for the misorientation than in the
18 present study for the same spatial resolution, but there is a limit as well. An ad-
19 vantage of phase-field models is that the range of easy-to-resolve triple junction
20 angles can be modified to a certain extent (for a fixed resolution and grain size) by
21 changing the width of the diffuse grain boundary profiles [49].

22 3. Phase-field simulation results

23 3.1. Microstructures

24 In figure 3 simulation images at different time steps are shown for a system with
25 energy anisotropy of Type I. In figure 4 images are shown for a system with en-
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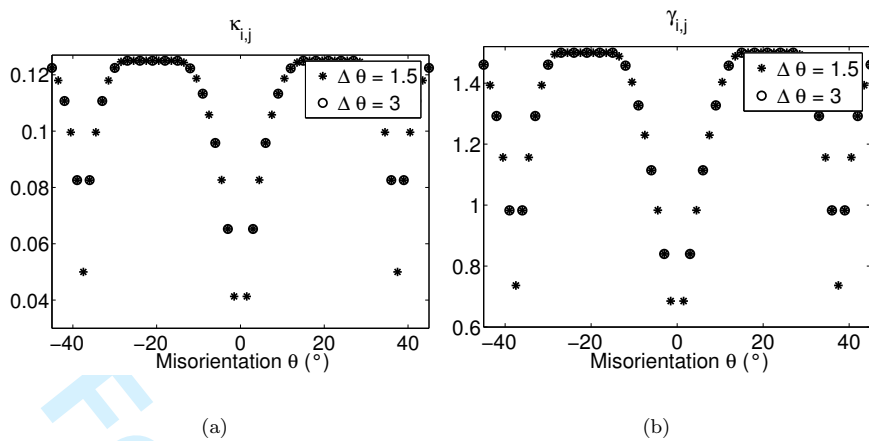


Figure 2. Phase-field model parameters a) $\kappa_{i,j}$ and b) $\gamma_{i,j}$ as a function of misorientation for an energy of type II for 30 ($\Delta\theta = 3^\circ$) and 60 ($\Delta\theta = 1.5^\circ$) discrete misorientations. $\kappa_{i,j}$ ranges between 0.0413 (0.1305) and 0.125 and $\gamma_{i,j}$ between 0.685 (0.8398) and 1.5 for $\Delta\theta = 1.5^\circ$ ($\Delta\theta = 3^\circ$).

ergy anisotropy of Type II. Boundaries with high energy are plotted with darker and thicker lines than boundaries with low energy. The high-energy boundaries clearly dominate the evolution of the structure. Except for a few dangling boundaries, they form an individual grain boundary network with almost uniform grain boundary properties and triple junction angles of 120° . The low-energy boundaries are located within the grains formed by the high-energy grain boundary network. Most often they cross the grains from one side to the opposite. At later stages in the simulations, the low-energy boundaries can also form sub-networks within the grains of the high-energy boundaries. Due to their lower energy, these networks coarsen much slower than the high-energy boundary network. In fact, the low-energy boundaries mainly follow the movement of the high angle boundaries. They lengthen when located in a growing grain and shorten when located in a shrinking grain. Because of the large difference in grain boundary energy, the low-energy boundaries intersect the high-energy boundaries almost perpendicularly so that they hardly affect the configuration of the high-energy boundary network. Both systems show similar grain growth behavior. We do not observe a large amount

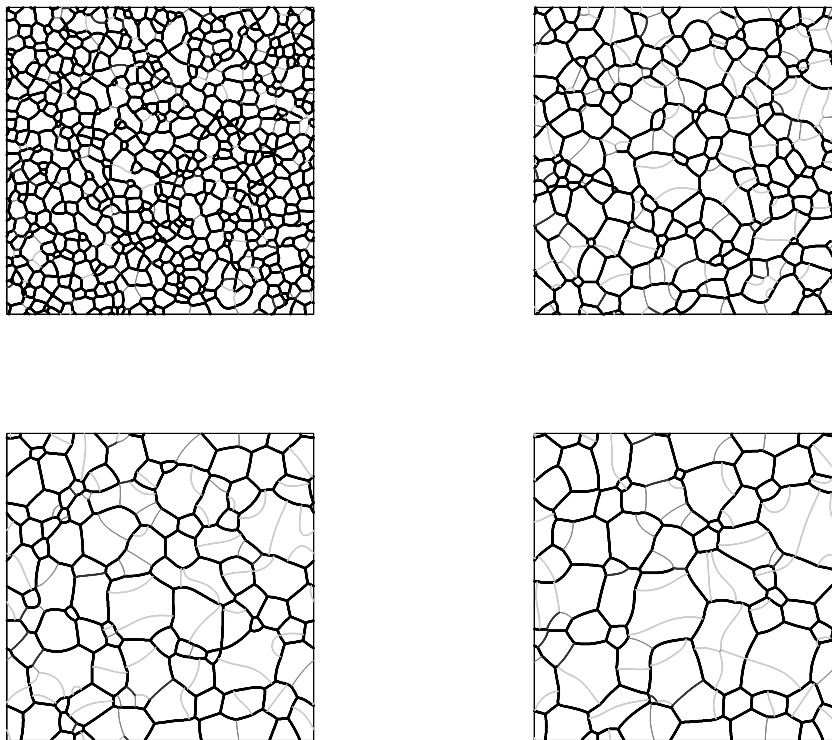
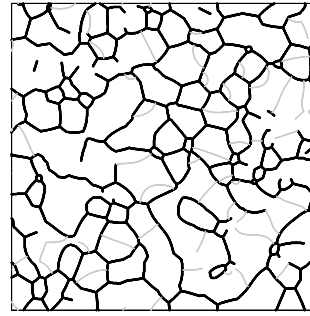
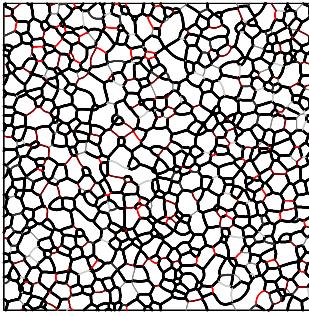


Figure 3. Simulation images obtained for a system with anisotropic grain boundary energy of Type I, 60 possible orientations and a system size of 1024×1024 grid points. Structures at time $t = 0.8, 79, 199$ and 343 s are shown. Low-angle boundaries of a misorientation $\theta = 1.5, 3$ and 4.5° are plotted using gray levels ranging from light to dark for increasing grain boundary energy. All other boundaries are plotted in black and using a thicker line.

of higher-order junctions and they are not stable. The average number of faces is almost constant in time and very close to 6.

Some of the triple junction angles formed by 2 high-energy boundaries ($\sigma_{gb} = 0.25$ J/m²) and one of the boundaries with lowest misorientation and energy ($\theta = 1.5^\circ$, $\sigma_{gb} = 0.0826$ J/m²) appear to be out of equilibrium in the simulation images. This is due to the low accuracy with which these angles can be reproduced for the considered spatial resolution and grain size, as discussed in section 2.3. The angle between the 2 high-energy boundaries should equal 161° . In the simulation images, it is however either considerably too large (resulting in a triple junction that appears to be out of equilibrium) or too small, depending on whether the high-energy boundary is curved towards or away from the low-energy boundary. As illustrated in figure 5, a high curvature is required near the triple junction

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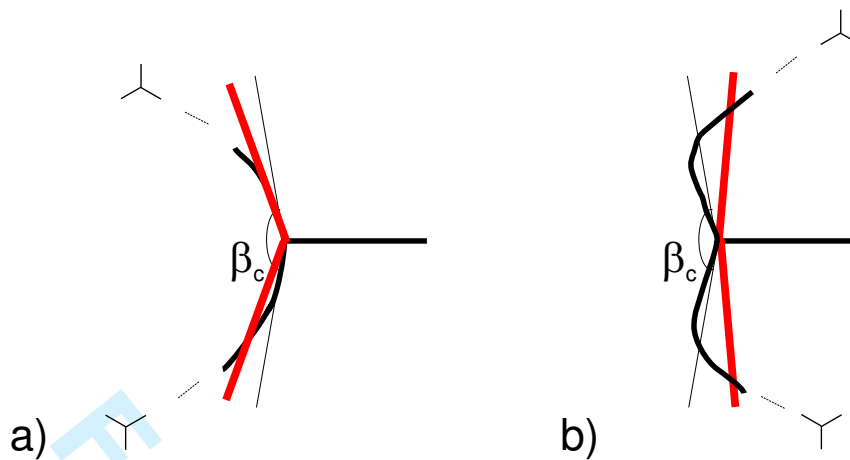


Figure 5. Schematic representation of triple junctions formed by 2 high-energy boundaries ($\sigma_{gb} = 0.25 \text{ J/m}^2$) and 1 boundary with energy $\sigma_{gb} = 0.0826 \text{ J/m}^2$ ($\theta = 1.5^\circ$) for the cases where the high-energy boundaries are curved a) away or b) towards the low-energy boundary. The red line gives the direction of the boundary obtained with low spatial resolution.

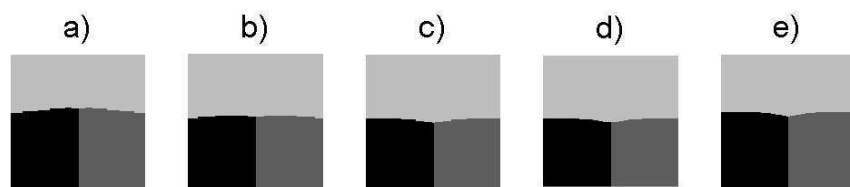


Figure 6. Simulation images obtained for increasing numerical accuracy for an isolated moving triple junction with $\sigma_{gb} = 0.25 \text{ J/m}^2$ for the horizontal boundaries and $\sigma_{gb} = 0.0826 \text{ J/m}^2$ for the vertical boundary. a) $\Delta x = 0.1$, $l_{i,j} = 0.66$, b) $\Delta x = 0.1$, $l_{i,j} = 1.32$, c) $\Delta x = 0.1$, $l_{i,j} = 2.64$, d) $\Delta x = 0.05$, $l_{i,j} = 2.64$ and e) $\Delta x = 0.025$, $l_{i,j} = 2.64$.

increased without increasing the spatial resolution. For the given grid spacing, triple junctions formed by a boundary with an energy equal or lower than $\sigma_{gb} = 0.0826 \text{ J/m}^2$ and 2 high-energy boundaries all give triple junction angles within the same range of values. The exact value rather depends on the local geometry than on the energy of the boundaries.

3.2. Grain growth kinetics

In figure 7, the evolution of the mean grain size as a function of time is plotted for a system with energy anisotropy of type II and using 60 possible discrete orientations. The effective mean grain area follows a power growth law with n_{eff} close to 1, after a short transition time. Since the simulation images in figures 3 and 4 show that the high-energy boundaries form an almost independent grain boundary network,

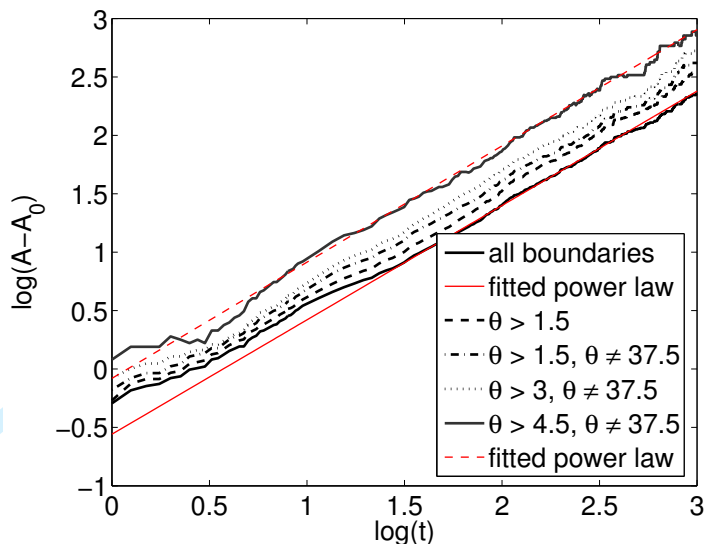


Figure 7. Evolution of the mean grain area as a function of time obtained from a simulation with an energy misorientation dependence of type II, 60 possible orientations and a system size of 1024×1024 grid points. The evolution of the mean grain area of different superstructures formed by all grain boundaries with an energy higher than a certain threshold value is also plotted. It is indicated in the legend which boundaries are included in the network for the different curves. For two curves, the data are fitted to the power growth law (2), giving $n_{eff} = 1.00$ for the complete boundary network and $n_h = 1.02$ for a network consisting of only the high-energy boundaries.

the mean grain size of the grain structures formed by all boundaries with an energy higher than a certain threshold value, was determined as well. The curves for these superstructures (most of the grains formed by these networks contain multiple grains of the actual grain boundary network) are also plotted in figure 7. As the grain boundary energy of these networks is more homogeneous, it is not surprising that fitting of the data points to the power growth law (2) gives a value for $n_{\theta\text{-cutoff}}$ close to 1. A growth exponent close to 1 was obtained for all systems of 1024×1024 grid points. The systems of 500×500 grid points do not contain enough grains to reach the regime where $n_{eff} = 1$, although some of the superstructures can.

3.3. Grain boundary misorientation distribution (MDF)

In figures 8 a and b, the number and length weighted MDF are plotted as a function of absolute misorientation at different times, for a system with grain boundary energy of type II and 60 possible orientations. They are uniform for the initial mi-

1 crostructure. During grain growth, the number and length fraction of boundaries
2 with low energy increase. The increase is larger for the length weighted MDF
3 than for the number weighted, probably because of geometric lengthening of the
4 low-energy boundaries [19]. After a finite amount of time, the misorientation dis-
5 tributions no longer change, except for statistical variations. The shape of the final
6 MDF's correlates with the misorientation dependence of the grain boundary en-
7 ergy. The final number and length fraction (i.e. number or length density $\times \Delta\theta$) for
8 the misorientation with lowest energy ($\theta = 1.5^\circ$) are approximately 0.11 and 0.26,
9 and for that with second lowest energy ($\theta = 37.5^\circ$) are approximately 0.06 and 0.1.
10 All other misorientations, namely $3^\circ \leq \theta \leq 36^\circ$ or $39^\circ \leq \theta \leq 45^\circ$, have almost the
11 same number and length fraction, within the statistical variations. To illustrate
12 this behavior more clearly, the number and length fraction of special boundaries
13 is plotted as a function of time in the figures 8 c and d. They evolve towards a
14 constant value, which is higher for boundaries with a lower energy.
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30 In figure 9, the same quantities are plotted for a system with the same grain
31 boundary energy (Type II), but using 30 possible orientations. Also in this case the
32 number and length weighted MDF's become non-uniform with higher possibilities
33 for boundaries with lower energy and are constant in time after a finite amount
34 of grain growth. The final number and length fraction for the misorientation with
35 lowest energy ($\theta = 3^\circ$) are approximately 0.16 and 0.27. The number and length
36 fraction of boundaries with misorientation $\theta = 36^\circ$ or $\theta = 39^\circ$ increased slightly to a
37 value 0.09 and 0.1, respectively. All other misorientations have almost equal number
38 and length fractions. From a quantitative point of view, these final fractions of low-
39 angle and special high-angle boundaries obtained for $\Delta\theta = 3^\circ$ (30 orientations) are
40 very different from those obtained for $\Delta\theta = 1.5^\circ$ (60 orientations).
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53 Figure 10 compares the final length weighted MDF's obtained using 30 and 60
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1 discrete orientations. Qualitatively, they have a similar shape: both have the highest
2 peak at the smallest misorientation and another peak around the special high-
3 angle misorientation. The peaks are however higher for the case with 60 discrete
4 orientations. The peaks are however higher for the case with 60 discrete
5 orientations. The peaks are however higher for the case with 60 discrete
6 orientations. This set namely contains the misorientations $\theta = 1.5^\circ$ and $\theta = 37.5^\circ$
7 with considerably lower energies, which are not present in the set of 30 discrete
8 misorientations. If, for example, 120 orientations would have been considered ($\Delta\theta =$
9 0.75°), this set would contain the misorientation $\theta = 0.75^\circ$ (not present in the
10 set with 30 or 60 orientations) with an energy $\sigma_{gb} = 0.05$ which is considerably
11 lower than the energy for the other misorientations. This will affect the heights
12 and shapes of the two peaks in the MDF's. Because of the logarithmic term in
13 the Read-Shockley equation for grain boundary energy, the height of the peaks is
14 thus quite sensitive to the number of possible discrete misorientations. The grain
15 boundary energy namely decreases fast for $\theta \rightarrow 0$ or $\theta \rightarrow \theta_{cusp}$. A very small
16 discretization with respect to orientation (which requires a very fine spatial and
17 temporal resolution as described in section 2.3) is probably required to minimize
18 this dependence on $\Delta\theta$.

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34 For an energy of type I, the MDF's have a single peak at the smallest misorienta-
35 tion. The final number and length fraction of the boundary with lowest energy are
36 0.12 and 0.27 when 60 orientations are considered ($\theta = 1.5^\circ$), and approximately
37 0.17 and 0.3 when 30 orientations ($\theta = 3^\circ$). The fraction of low-angle boundaries
38 is thus slightly higher in the absence of energy cusps at high misorientations.

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45 Although the misorientation distribution changes shape, the distribution of grain
46 orientations remains uniform during grain growth in our simulations. Only after a
47 considerable amount of grain growth, some of the grain orientations vanish as the
48 number of grains decreases in time.

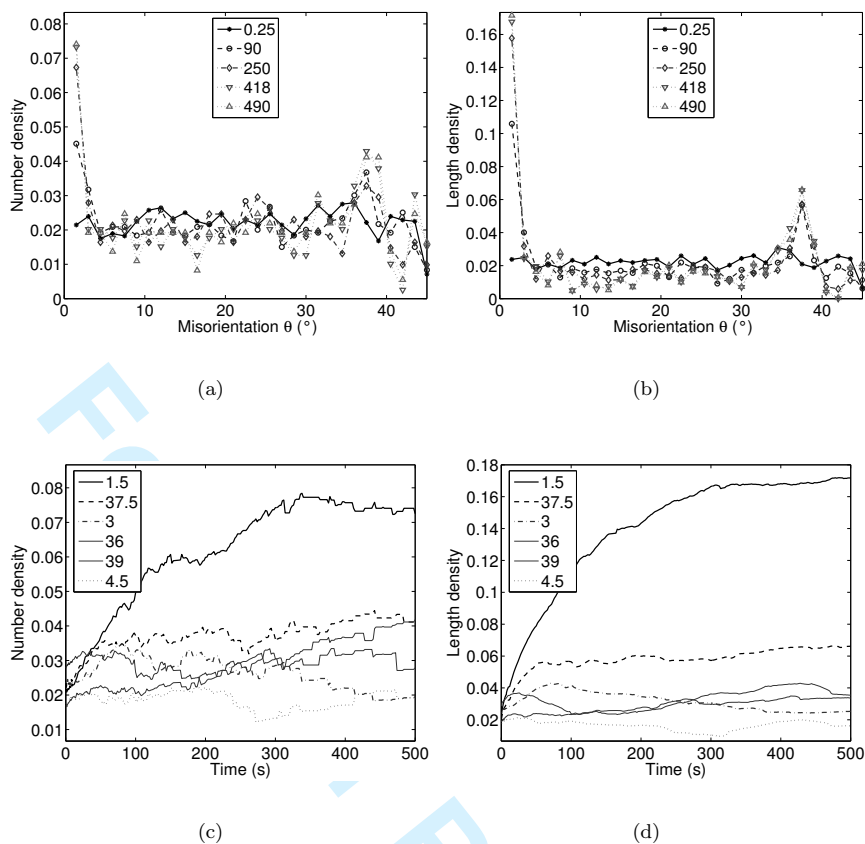


Figure 8. (a) Number and (b) length weighted MDF at different times and temporal evolution of the (c) number and (d) length fraction of special boundaries for a system with anisotropic grain boundary energy of Type II, 60 possible orientations and a system size of 1024×1024 grid points.

4. Mean field analysis

In this section a mean field approach, starting from the parabolic growth law obtained for steady-state grain growth in isotropic materials [16, 52–54], is performed to calculate the growth exponent for structures with non-uniform grain boundary energy.

The images in figures 3 and 4 reveal that the high-energy boundaries form an independent grain boundary network with almost uniform grain boundary energy. This network can be assumed to form a grain structure of N grains with isotropic grain growth behavior. The average grain area of the high-energy boundary network

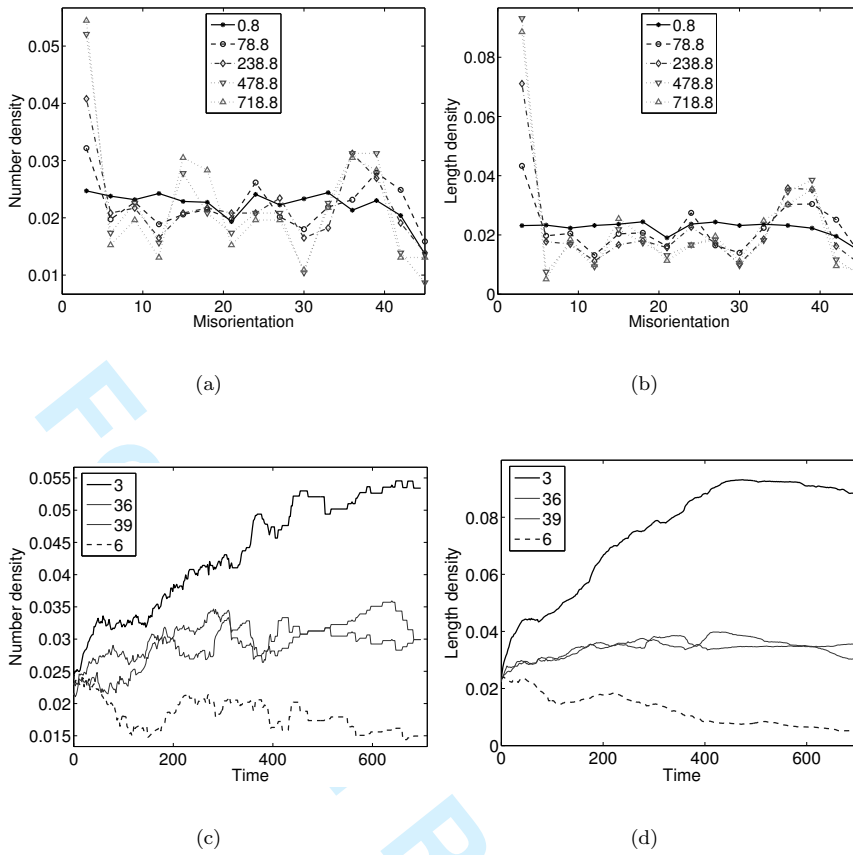


Figure 9. (a) Number and (b) length weighted MDF at different times and temporal evolution of the (c) number and (d) length fraction of special boundaries for a system with anisotropic grain boundary energy of Type II, 30 possible orientations and a system size of 1024×1024 grid points.

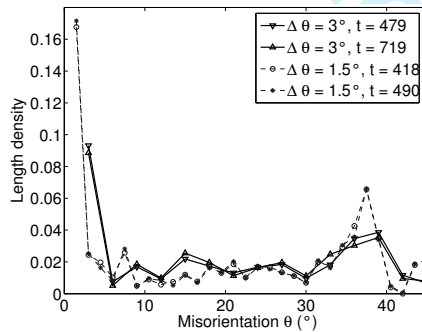


Figure 10. Comparison of the final length weighted MDF's obtained from simulations for systems with anisotropic grain boundary energy of Type II using 30 and 60 discrete orientations.

A_h can accordingly be written as [16, 52–54]

$$A_h = \frac{A_{tot}}{N}, \tag{12}$$

with A_{tot} the total area of the system. The evolution of the mean grain area A_h follows a parabolic growth law

$$A_h = A_h(0) + kt, \quad (13)$$

with t time, k a kinetic constant related to the grain boundary energy and mobility and $A_h(0)$ the average grain area at time $t = 0$.

Next, based on the simulation images, we assume that each low-energy boundary in the anisotropic system increases the effective number of grains in the system by 1. With N' the number of boundaries with low energy, the effective mean grain area of the grains formed by the anisotropic grain boundary network can then be written as

$$A_{eff} = \frac{A_{tot}}{N + N'}, \quad (14)$$

or using (12) and (13)

$$A_{eff} = \frac{A_{tot}}{\frac{A_{tot}}{A_h(0) + kt} + N'} = \frac{1}{\frac{1}{A_h(0) + kt} + \frac{N'}{A_{tot}}} = \frac{A_h(0) + kt}{1 + \frac{N'}{N}}. \quad (15)$$

Taking the logarithm of relation (15) gives

$$\log(A_{eff}) = -\log\left(\frac{1}{A_h(0) + kt} + \frac{N'}{A_{tot}}\right) \quad (16)$$

$$= \log(A_h(0) + kt) - \log\left(1 + \frac{N'}{N}\right). \quad (17)$$

The effective growth exponent n_{eff} for the anisotropic grain structure is accord-

ingly calculated as

$$n_{eff} = \frac{d \log(A_{eff})}{d \log(t)} = \frac{d \log(A_{eff})}{dt} t \quad (18)$$

$$= -\frac{t}{\frac{1}{A_h(0)+kt} + \frac{N'}{A_{tot}}} \left[\frac{-k}{(A_h(0) + kt)^2} + \frac{1}{A_{tot}} \frac{dN'}{dt} \right]$$

$$= \frac{kt}{A_h(0) + kt} - \frac{t}{1 + \frac{N'}{N}} \frac{d(N'/N)}{dt}, \quad (19)$$

where it is applied that for $t \rightarrow \infty$, $A_{eff} - A_{0,eff} = k_{eff} t^{n_{eff}}$ goes asymptotically to $A_{eff} = k_{eff} t^{n_{eff}}$.

Below, equations (18)-(19) are interpreted assuming different limiting situations

(1) If there are no low-energy boundaries in the system ($N' = 0$), equation (18)

reduces to

$$n_{eff} = kt / (A_h(0) + kt), \quad (20)$$

which goes to 1 for t large. Furthermore,

$$\frac{dA_{eff}}{dt} = k = k_{eff}, \quad (21)$$

as expected for normal grain growth in an isotropic system.

(2) If there are only a few low-energy boundaries in the system and $\sigma_{\max}/\sigma_{\min} \ll 1$, the number of high-energy boundaries decreases much faster than the number of low-energy boundaries. In this case, the number of low-energy boundaries N' can be considered to be constant within limited time intervals. Such a condition is approximately obeyed at the beginning of the simulations. Equation (18) then

1 gives

$$n_{eff} = \frac{kt}{A_h(0) + kt} \frac{1}{1 + \frac{N'}{N}} \quad (22)$$

9 and for t large

$$n_{eff} = \frac{1}{1 + \frac{N'}{N}} \quad (23)$$

17 The growth exponent is thus smaller than 1 and changes in time.

19 (3) In our simulations, the system evolves towards a regime where the MDF's
 20 are nearly constant in time. Since the average number of sides of a grain in the
 21 isotropic high-energy boundary network is 6, a constant number fraction of low
 22 energy boundaries involves a constant ratio N'/N . For such conditions, the second
 23 term on the right hand side in relation (19) equals 0. The effective growth exponent
 24 n_{eff} thus equals

$$n_{eff} = \frac{kt}{A_h(0) + kt}, \quad (24)$$

38 which goes to 1 for large t . This is consistent with the simulation data presented in
 39 figure 7. Moreover, taking the derivative with respect to time from equation (15)
 40 gives

$$\frac{dA_{eff}}{dt} = \frac{k}{1 + \frac{N'}{N}} = k_{eff}. \quad (25)$$

51 It follows from equation (25) that the prefactor k_{eff} in the growth law is thus
 52 lowered by the presence of low-energy boundaries, which is also in agreement with

1 the curves plotted for different superstructures in 7. This situation is thus most
2 relevant for the present study.
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5 One might wonder whether and under which conditions it is reasonable to assume
6 that N'/N remains constant during grain growth. There are many events by which
7 the number of grain boundaries and grains in the system changes [16, 27]. One
8 event is the disappearance of a grain from the high-energy grain boundary network.
9 This is always associated with the annihilation of all low-energy boundaries inside
10 this grain. Such a process does therefore not change the ratio N'/N . Furthermore,
11 neighbor switching events in the high-energy grain boundary network do not remove
12 a grain, but may create a low-energy boundary. They can accordingly increase the
13 ratio N'/N . Since the grain orientations in the plane of the film are random for fiber
14 textures, the possibility to create a new low-energy boundary is proportional to the
15 fraction of misorientations with a low energy. Besides the coarsening of the high-
16 energy network, the low-energy substructures coarsen as well, although on a longer
17 time scale. This results in a decrease of the number of low-energy boundaries, but
18 does not affect the number of grains in the high-energy grain boundary network.
19 Coarsening of the low-energy substructures therefore lowers the ratio N'/N . The
20 frequency with which low-energy boundaries are annihilated with respect to the
21 frequency of the 2 other events, depends on the number fraction of low-energy
22 boundaries and the difference in velocity between low- and high-energy boundaries.
23 In order to maintain a steady-state regime with N'/N constant and $n = 1$, the two
24 latter processes must balance each other on average. This seems to be the case
25 for the systems considered in the present study and for 3D structures with fully
26 random texture [14, 18, 19]. It is, for example, not the case for structures with one
27 or a few strong texture components, which rather undergo texture strengthening
28 [19, 21], a change in texture [23, 26, 31–33] or abnormal grain growth [22].
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1 We believe that such a regime with N'/N constant and $n = 1$ can always be
2 established after a finite transition period, in fiber textured systems with realistic
3 grain boundary energy and mobility. The main reason is the random distribution
4 of grain orientations in the plane of the film. The possibility to form low-energy
5 or special boundaries is accordingly relatively small compared to that to form a
6 high-energy boundary, even if more low-energy cusps are considered. The fractions
7 of boundaries with low energy are higher than those with high energy because
8 low-energy boundaries remain in the system for a longer time. They can however
9 not remain for infinite time: as the low-energy boundaries form networks within
10 the grains of the high-energy boundary network, low-energy boundaries are disap-
11 pearing continuously together with shrinking grains of the high-energy boundary
12 network. The final MDF for which there is a balance between all processes described
13 in the above section depends (in a complex way) on the relative velocities of the
14 different boundaries, the possibility to form low-energy boundaries of a certain
15 type (i.e. the number and width of the cusps) and on the triple junction geome-
16 tries, which are all related to the misorientation dependence of the grain boundary
17 energy. The exact correlation between the misorientation dependence of the grain
18 boundary energy and the final MDF is currently not known.

19 Furthermore, we do not expect that mobility anisotropy will prevent a fiber
20 textured system from finding a regime with constant MDF. The mean field study
21 of Kazaryan et al. [38] indicates that mobility anisotropy does not affect the growth
22 and grain size distribution of fiber textured systems with uniform grain boundary
23 energy. Therefore, in the presence of mobility anisotropy, it can still be assumed
24 that the growth exponent of the high-energy boundary network equals 1 so that
25 equation (13) and our mean field analysis remain valid. The rate at which low-
26 energy boundaries are created and annihilated can however be affected by the
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1 mobility anisotropy, and the ratio N'/N , or final MDF, can accordingly be different.
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3 For example, if the low-energy boundaries have a lower mobility, N'/N must be
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5 higher; since the coarsening of the low-energy networks is slower, it must be denser
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7 so that the number of vanishing grain boundaries per unit of time equals the rate
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9 at which low-energy boundaries are created by neighbor switching events in the
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11 high-energy boundary network. If the low energy boundaries have higher mobility,
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13 N'/N must be lower.
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16 If the initial grain orientations are not random, on the other hand, certain types
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18 of boundaries have a higher possibility to form when a grain disappears or from a
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20 neighbor switching event. As a consequence, the evolution of the MDF is governed
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22 by both, the misorientation dependence of the grain boundary energy (and mobil-
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24 ity) and the initial grain orientation distribution. Then, it might require extensive
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26 grain growth or even be impossible to establish a steady-state regime where there
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28 is an equilibrium between the annihilation and formation of boundaries for each
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30 misorientation, for example in the extreme cases where all orientations are around
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32 one or a few texture components or with just a few randomly oriented grains for
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34 which a continuous change in texture is observed [19, 21–23, 26, 31–33]. It is not
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36 clear how large the deviations from a random orientation distribution must be to
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38 induce phenomena such as texture strengthening and abnormal grain growth.
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41 From the previous analysis we conclude that if a growth exponent $n < 1$ was
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43 obtained from previous grain growth simulations for fiber textured systems, this is
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45 highly probably because the system size is too small, the simulation times are too
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47 short or because the initial grain orientations were not fully random.
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1 5. Conclusions
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4 Despite quite a number of studies on anisotropic grain growth, there is no system-
 5 atic understanding yet of how the characteristics of the grain structure and grain
 6 boundary network evolve in time for anisotropic materials with a fiber texture.
 7
 8 We performed grain growth simulations using a generalized phase-field model for
 9 columnar grain structures with a fiber texture and misorientation dependent grain
 10 boundary energy. The phase-field model allows accurate quantification of the grain
 11 boundary energy for each grain boundary individually as a function of its misorien-
 12 tation. Two types of misorientation dependence of the grain boundary energy were
 13 assumed; one with a Read-Shockley dependence for low misorientations ($\theta < 15^\circ$)
 14 and constant energy at high misorientations and another with an extra low-energy
 15 cusp around a misorientation of 37.5° . The energy anisotropy is assumed to have
 16 4-fold symmetry. The initial grain structures have random planar orientations.
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29 In all cases, grain boundaries with high energy form an independent network
 30 that drives the grain growth behavior. Boundaries with low energy are located
 31 within the grains formed by this high-energy boundary network and follow the
 32 movement of the high-energy boundaries. If the system size is large enough, a
 33 steady-state regime is reached after a finite amount of grain growth where the
 34 MDF's are constant in time and the mean grain area evolves according to a power
 35 growth law with n_{eff} close to 1. Qualitatively, we can conclude that both the
 36 number and length weighted MDF are correlated with the grain boundary energy.
 37 Grain boundaries with lower energy have a higher number and length fraction. The
 38 effect is largest for the length weighted MDF, as low-energy boundaries lengthen
 39 when in contact with boundaries with a higher energy to fulfill the equilibrium
 40 requirements at the triple junctions. Quantitatively, the grain boundary fractions
 41 obtained for different misorientations are quite sensitive to the number of discrete
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orientations considered in the simulation. The reason is that, due to the logarithmic Read-Shockley dependence of the grain boundary energy at low misorientations and near cusps, the minimum energy values considered in simulations with discrete orientations largely decrease when smaller misorientations are considered.

In previous simulation studies on grain growth in anisotropic fiber textured systems, this steady-state regime was mostly not reached. Therefore, values smaller than 1 are often reported for the growth exponent n . Using a mean field approach, starting from the parabolic growth law for normal grain growth in isotropic materials, we however show that it is very probable to obtain a steady-state regime with $n = 1$ in fiber textured systems with non-uniform grain boundary properties. Important challenges for future work are an accurate representation of triple junction angles in mesoscale grain growth simulations and the derivation of an exact relation between grain boundary energy, grain boundary mobility and the final MDF.

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