



Rescaled potentials for transition metal solutes in α -iron

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Complete List of Authors:	Hepburn, Derek; University of Edinburgh, School of Physics and Astronomy Ackland, Graeme; The University of Edinburgh, School of Physics and Astronomy; The University of Edinburgh, Department of Physics Olsson, Pär; EDF - R&D, Département M.M.C.
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RESEARCH ARTICLE

Rescaled potentials for transition metal solutes in α -iron

D.J. Hepburn^{a*}, G.J. Ackland^a and P. Olsson^b

^aSchool of Physics and Astronomy, University of Edinburgh, James Clerk Maxwell Building, King's Buildings, Mayfield Road, Edinburgh EH9 3JZ, United Kingdom;

^bDépartement MMC, EDF-R&D, Les Renardières, F-77250 Moret sur Loing, France

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We present semi-empirical potentials for dilute transition metal solutes in α -iron. They are in the Finnis-Sinclair form and are therefore suitable for billion atom molecular dynamics simulations. The potentials have been developed using a rescaling technique to provide solute-iron and solute-solute interactions from an existing iron potential. By fitting to first principles calculations, which show clear trends in the properties of transition metal solutes in iron across the series, we find trends in the rescaling parameters which we model using simple functions of the occupancy of the d-electron band. We comment on the possibility of utilising such relationships to the fundamental electronic properties of the solute to create multi-component potentials for transition metal solutes in α -iron.

Keywords: Finnis-Sinclair ; semi-empirical potential ; transition metal solute ; iron ; rescaling ; multi-component

1. Introduction

The ability to model many component alloys of iron on the atomic level would provide an extremely powerful tool for research into the behaviour of these materials. In particular it would allow the effects of varying proportions of solutes on the properties of these materials to be studied in detail. The theoretical insights gained would complement the already extensive understanding of such systems found from experiment and ultimately impact on the design of new materials to suit particular applications such as those for the nuclear industry.

In principle one would wish to model these materials using ab-initio electronic structure calculations but the computational cost of such techniques is prohibitive, being restricted to a few hundred atoms and pico-second timescales. Alternative

*Corresponding author. Email: dhepburn@ph.ed.ac.uk

1 higher level modelling techniques exist, such as kinetic monte-carlo (kMC) and
2 molecular dynamics simulations (MD) using semi-empirical potentials, that remove
3 these restrictions but at the expense of requiring input from experiment or ab-
4 initio calculations to fix their free parameters. Of particular relevance here, semi-
5 empirical potentials allow billion atom simulations to be performed over nano-
6 second timescales. The results from such simulations are readily used as an integral
7 part of a multiscale modelling strategy and ultimately in the virtual design of new
8 materials.
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11 The Finnis-Sinclair (FS) scheme [1] is based around the idea of a second moment
12 model to the local density of states. In this model the band energy depends on the
13 width of the band, the shape of the band, and the occupation of the band. The
14 moments theorem [2] shows how band width can be determined from the sum of
15 squares of hopping integrals, which forms the physical basis for the cohesive term in
16 Finnis-Sinclair potentials. The band shape and occupation are implicitly assumed
17 to be constant.
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20 For elements in a single phase with charge neutrality [3], the *d*-band occupation is
21 essentially constant, and the band shape does not change massively. This under-
22 lies the success of single-element potentials. Fitting to alloys has a more troubled
23 history. Whereas isoelectronic alloys for isostructural elements work well [4], poten-
24 tials for systems involving structural phase transitions or elements from different
25 series tend to have poor transferability from the composition at which they are
26 fitted. Potentials covering wide ranges of concentration are, however, possible [5]
27 as are multi-component systems [6] although the inclusion of physics beyond the
28 second moment approximation may be necessary in general. Difficulties also arise
29 in the Finnis-Sinclair and related schemes such as the embedded atom method
30 (EAM) [7–9] due to the increasing complexity of the model. For an *N*-component
31 system the number of parametrised functions grows as N^2 , as does the number of
32 data points required to fit the parameters of these functions.
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35 There is one important exception where the band shape and electron density are
36 reasonably constant, and we might hope that the second moment approach will
37 work. That is multi-component alloys with one dominant element and multiple mi-
38 nority elements, a particularly relevant case here being ferritic steel. The dominant
39 element fixes both the crystal structure and the electron density and should, in
40 principle, connect the behaviour of single solute atoms to that of the dominant
41 element and introduce stronger connections between solute-solute interactions and
42 the properties of single solutes.
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46 In this paper we present results of first principles calculations of the interactions
47 between solute atoms in iron and under-coordinated and over-coordinated defects
48 (vacancies and interstitials). We hope that these will be representative of environ-
49 ments encountered in molecular dynamics. We show that these calculations give
50 clear trends with atomic number. Using these results as fitting data, we derive
51 semi-empirical potentials for single transition metal solutes in α -iron by rescaling
52 the functions of a pure iron potential. We also investigate ways to connect the
53 interactions between solute particles to those of single solute atoms in iron which
54 would allow multi-component potentials to be built once the interactions of single
55 solutes in iron are known. We then discuss the results for single solute atoms in
56 iron and present the findings of our investigation into solute-solute interactions.
57 Finally we present our conclusions.
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2. Rescaling

2.1. *Ab-initio calculations: Is rescaling credible?*

If the key physics of substitutional atoms in steel is such that a rescaling approach will work, we should expect that the rescaling will involve the d -electron density and the principal quantum number. Such an approach should work both for the perfect lattice and for defects. The properties of substitutional transition elements in Fe, in particular their magnetic character, have long been known to have systematic trends [10, 11]. Here we supplement this work with an emphasis on total energy calculations for substitutional atoms and their interactions with point defects in bcc Fe.

We perform spin-polarised electronic structure calculations using the VASP code [12] with projector augmented wave (PAW) pseudopotentials [13] and the generalised gradient approximation [14] with the Vosko-Wilk-Nusair interpolation [15], which we find to give the best compromise between computation speed and accuracy. This gives a lattice parameter for pure iron of 2.83\AA , which was used in the impurity and defect calculations to define a fixed-volume supercell. Supercells of 128 ± 1 atoms were used with a Monkhorst-Pack $3\times 3\times 3$ k-point grid sampling the Brillouin zone. The energy cutoff was set to 300 eV. Full details of the calculations will be published elsewhere [16].

Ab-initio total energy calculations with configurations containing a single solute atom (see FIG. 1) show that there are systematic trends across the transition metal series for the free-atom substitutional energy (E_s), excess pressure at fixed equilibrium volume from a single solute atom ($P = -\partial U/\partial V$), first nearest neighbour solute-iron separation (r_{1nn}), binding energies of a single solute to a vacancy defect at first and second neighbour sites, ($E_b^{V,1nn}$ and $E_b^{V,2nn}$), separations and the binding energies to a $\langle 110 \rangle$ -self-interstitial defect in the mixed ($E_b^{SI,M}$), compressive ($E_b^{SI,C}$), and tensile sites ($E_b^{SI,T}$), as shown in FIG. 2. We define the free-atom substitutional energy as the formation energy of a configuration containing a single solute atom from pure bcc iron and a free solute atom. To avoid the difficulties associated with the ab-initio treatment of the free atom we calculate this value as the sum of the ab-initio result for the formation energy from the pure equilibrium phase of the solute and the experimental value for the (negative) cohesive energy of the pure phase [17]. We use the following definition for the binding energy of n defects and impurities, $\{A_i\}$:

$$E_b(\{A_i\}) = \left[\sum_{i=1}^n E(A_i) \right] - [E(\{A_i\}) + (n-1)E_0], \quad (1)$$

where $E(A_i)$ is the energy for a configuration containing A_i only, $E(\{A_i\})$ refers to a configuration containing all the interacting entities and E_0 refers to a configuration containing no defects or impurities i.e. bulk α -iron.

We plot all values against the number of d -electrons in the free atom. In the solid this number will be affected by $s-d$ transfer of approximately 0.5 electrons per atom. Thus although there are clearly different trends with the 3d solutes for more-than or less-than half filled bands, rigorously defining which material corresponds

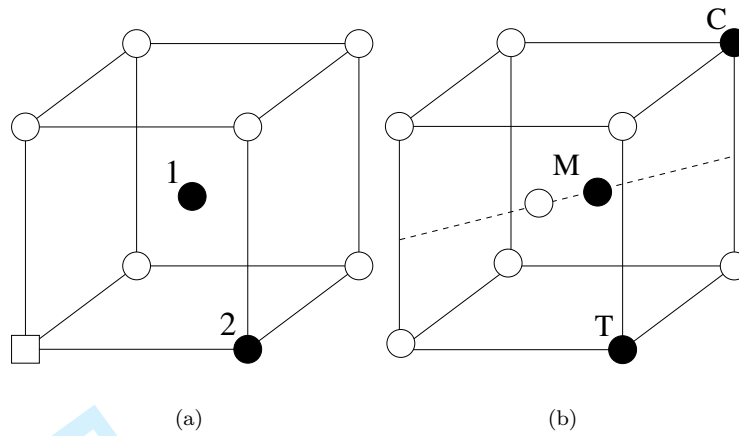


Figure 1. (a) First and second nearest neighbour solute sites (black) relative to a vacancy defect (square) (b) Mixed (M), compressive (C) and tensile (T) solute sites (black) relative to a $\langle 110 \rangle$ -self-interstitial defect. The compressive and tensile sites have reduced and increased local volumes respectively (as measured by their associated Voronoi polyhedra) relative to equilibrium in our ab-initio studies.

to a half-filled d -band is not straightforward.

Two elements produce outlier behaviour: chromium and manganese. Curiously, iron chromium and manganese were elements which Finnis-Sinclair were unable to fit with their original scheme [1]. As Finnis-Sinclair mentioned, these elements exhibit unusual magnetic behaviour, which presumably accounts for this.

We use these results and the results of ab-initio calculations with configurations containing two solute atoms as fit targets in order to determine the parameters of our potentials, as discussed in the following sections.

2.2. Rescaling Strategy

The starting point for our rescaling strategy is the pure iron FS-type potential of Ackland *et al.* [18]. We have chosen this iron potential over those from more recent works [19–21] because it reproduces many of the properties of iron from a small set of parameters and a simple choice for its component functions e.g. using the standard square root form for the embedding function. We believe that such a choice provides a more stringent means of testing our rescaling strategy and in demonstrating its efficacy.

The most general form for the energy, U , of an FS-type potential is given by

$$U(\{r_{ab}\}) = \sum_{a,b>a} V^{(X_a,X_b)}(r_{ab}) - \sum_a \sqrt{\rho_a}, \quad (2)$$

$$\rho_a = \sum_{b \neq a} \phi^{(X_a,X_b)}(r_{ab}), \quad (3)$$

where $V^{(X_a,X_b)}$, $\phi^{(X_a,X_b)}$ and $F^{(X_a)}$ are parametrised functions dependent on the atomic species, X_a and X_b . The cross-species pair functions are taken to be symmetrical here, i.e. $V^{(X,Y)} \equiv V^{(Y,X)}$ when $X \neq Y$, as are the functions, $\phi^{(X,Y)}$.

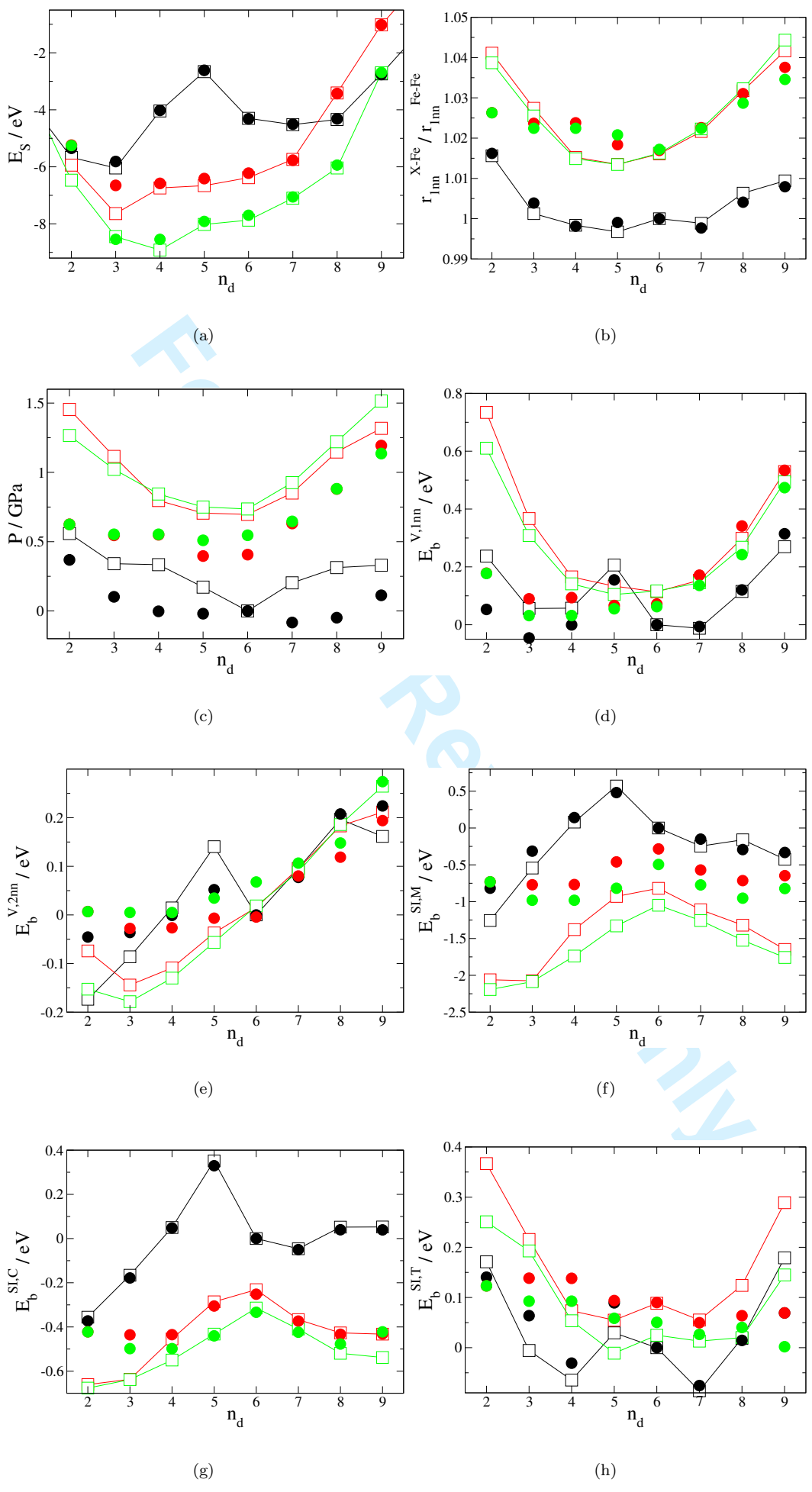


Figure 2. Fit targets (squares and lines), derived from our ab-initio data, and corresponding values from our semi-empirical model (circles) for 4d solutes (black), 5d solutes (green) and 4d solutes (red). In particular we plot (a) the free atom substitutional energy, (b) the first nearest neighbour solute-iron separation, r_{1nn}^{X-Fe} relative to the corresponding iron-iron separation, r_{1nn}^{Fe-Fe} , (c) the excess solute pressure, the solute-vacancy binding energy at (d) 1nn separation and (e) 2nn separation and

We use the same forms for the component functions of our potential as used in the pure iron potential [18]. In particular we define the pair functions by

$$V^{(X,Y)}(r) = \begin{cases} \frac{Z_X Z_Y e^2}{4\pi\epsilon_0 r} \xi(r/r_s) & r \leq r_1 \\ \exp(B_0 + B_1 r + B_2 r^2 + B_3 r^3) & r_1 < r \leq r_2 \\ C^{(X,Y)}(r) = \sum_{k=1}^6 a_k^{(X,Y)} (r_k^{(X,Y)} - r)^3 H(r_k^{(X,Y)} - r) & r > r_2 \end{cases} \quad (4)$$

where Z_X is the atomic number of species X , $r_s = 0.88534 a_b / \sqrt{Z_X^{2/3} + Z_Y^{2/3}}$, a_b is the Bohr radius and

$$\xi(x) = 0.1818e^{-3.2x} + 0.5099e^{-0.9423x} + 0.2802e^{-0.4029x} + 0.02817e^{-0.2016x}. \quad (5)$$

The functional form used below $r_1 = 0.9\text{\AA}$ is the universal screened potential of Biersack and Ziegler [22], above $r_2 = 1.9\text{\AA}$ it is a parametrised cubic spline with spline points, $\{r_k^{(X,Y)}\}$, spline coefficients, $\{a_k^{(X,Y)}\}$, and cutoffs implemented by the use of Heaviside step functions, H , and between these is an interpolating function that ensures continuity of the function and its derivative. The short range terms were not present in the original Finnis-Sinclair approach, and have a significant effect only when atoms get extremely close together, for example the primary knock-on atom of a radiation damage event. The Biersack-Ziegler function is defined for all elements, and is not subject to rescaling.

The ϕ functions take the form of a simple cubic spline

$$\phi^{(X,Y)}(r) = \sum_{k=1}^2 A_k^{(X,Y)} (R_k^{(X,Y)} - r)^3 H(R_k^{(X,Y)} - r). \quad (6)$$

For the pure iron component functions i.e. $V^{(\text{Fe,Fe})}$ and $\phi^{(\text{Fe,Fe})}$ we take the parameters directly from [18]. Iron-solute interactions are defined by rescaling these two functions using rescale parameters, $\{p_i^{(X)}\}$:

$$C^{(\text{Fe},X)}(p_1^{(X)} r) = p_2^{(X)} C^{(\text{Fe,Fe})}(r) \quad (7)$$

$$\phi^{(\text{Fe},X)}(p_3^{(X)} r) = p_4^{(X)} \phi^{(\text{Fe,Fe})}(r) \quad (8)$$

This is equivalent to a direct rescaling of the length and energy units of the cubic spline functions given by, for example,

$$r_k^{(\text{Fe},X)} = p_1^{(X)} r_k^{(\text{Fe,Fe})} \quad (9)$$

$$a_k^{(\text{Fe},X)} = \frac{p_2^{(X)}}{p_1^{(X)3}} a_k^{(\text{Fe,Fe})}. \quad (10)$$

We take the rescaling factors, $\{p_i^{(X)}\}$, to be the adjustable parameters for the purposes of fitting. The trends in the fit target data should therefore translate to trends in these rescale parameters across the transition metal series. In fact it

1 should be possible to quantify these trends by finding functional forms that relate
2 the rescale parameters to the elementary electronic properties of the solutes. We
3 present our results for these rescale parameters in the following section.
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5 Solute-solute interactions can be defined by a similar rescaling procedure:
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$$C^{(X,Y)}(p_1^{(X,Y)} r) = p_2^{(X,Y)} C^{(Fe,Fe)}(r) \tag{11}$$

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$$\phi^{(X,Y)}(p_3^{(X,Y)} r) = p_4^{(X,Y)} \phi^{(Fe,Fe)}(r). \tag{12}$$

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15 In principle it should be possible to relate these rescale parameters back to those
16 for the solute-iron interactions and ultimately back to the electronic properties of
17 the solutes. In the Finnis-Sinclair approach the ϕ functions are related to the tight
18 binding hopping integrals and the band filling. Across a row in the periodic table
19 the "hopping integral" is an average over bonds involving s- and d- wavefunctions,
20 which have a similar functional form for all elements, scaled by atomic number. The
21 band filling, obviously, varies monotonically across the row. Thus we can expect
22 the functions for adjacent elements to be similar. For the V functions, the long
23 range part is mainly due to the screened coulombic potential: in the case of dilute
24 alloys considered here, this screening arises from the electronic structure imposed
25 by iron. The energy also depends on the ionic charge. The short range repulsion is
26 determined by the extent of the atomic-like core electrons: again a full core shell
27 has a size which scales with atomic number.
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30 Thus all the ingredients of the potential depend ultimately on the atomic number,
31 and some form of scaling with this (or, equivalently, the number of d-electrons)
32 seems plausible. If such a relationship were found it would allow multi-component
33 alloys to be immediately modelled. We present results for the rescale parameters,
34 $\{p_i^{(X,X)}\}$, and investigate the presence of any relationships between them and the
35 iron-solute rescale parameters, $\{p_i^{(X)}\}$, in what follows.
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43 **3. Single solute interactions in iron**
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46 In order to determine the rescale parameters, $\{p_i^{(X)}\}$, we fit to the ab-initio data
47 shown in FIG. 2 for transition metal solutes in α -iron. Initial attempts found that
48 the mixed dumbbell $E_b^{SI,M}$ could not be reproduced accurately along with the other
49 fit targets for the 4d and 5d solutes. We chose to remove it as a fit target and found
50 that it still retained its generally repulsive character with a binding energy lower
51 than that of the compressive site. This is a satisfactory compromise as long as it is
52 sufficiently unstable to remain unsampled in any simulation of the solid. We also
53 chose to remove it as a fit target for the 3d solutes: its accurate reproduction there
54 is an indication of good transferability.
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57 The fitting procedure was accomplished by minimising a least squares response
58 function, χ^2 , of the fit parameters, $\{p_i\}$, given in terms of the fit targets, $\{t_r\}$,
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1 model values, $\{m_r(\{p_i\})\}$, and weight factors, $\{\sigma_r\}$, by

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$$\chi^2(\{p_i\}) = \sum_r \left(\frac{m_r(\{p_i\}) - t_r}{\sigma_r} \right)^2. \tag{13}$$

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9 Our potential model values were all calculated in atomically relaxed 4x4x4 bcc unit
10 cell configurations, i.e. 128 atoms before the introduction of defects and solutes, at
11 the equilibrium volume for the pure iron potential, i.e. $a_0 = 2.8665\text{\AA}$ [18]. This was
12 done in order to appropriately match the ab-initio fit target data. We chose weight
13 factors of 0.01eV for energies, 0.005\text{\AA} for lengths and $5 \times 10^{-4}\text{eV/\text{\AA}^3}$ for pressures
14 in our fits (or 1% of the fit target value if that was larger). The minimisation
15 was accomplished using a local direct pattern search minimisation algorithm [23]
16 which is particularly useful when derivative information is time consuming to cal-
17 culate, untrustworthy or non-existent. We have found this is a more trustworthy
18 method when including particle separations for relaxed configurations in the fitting
19 procedure. Initial parameter values for the direct search algorithm were found by
20 evaluating the response function on a regularly spaced grid of points in parameter
21 space and choosing the lowest one. In this way we try to ensure that our minimisa-
22 tion strategy finds the lowest minimum of the response function; there are usually
23 many local minima.
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27 The fitted rescale parameters are given in FIG. 3 and TABLE. B1. It is immediately
28 clear that there are trends across the series, especially for the 4d and 5d transition
29 metal solutes. We have captured these trends by fitting simple piecewise polynomial
30 functions to the rescale parameters, the results of which are given in EQ. B1 and
31 shown in FIG. 3. It is difficult to say if the form these trends take can be attributed
32 to some aspect of the underlying physics (e.g. band filling) or just a result of the
33 rescaling procedure.
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36 What is clear, however, is that the rescale parameters for manganese (3d, $n_d = 5$)
37 show anomalous behaviour, consistent with the anomalous properties of the solute
38 fitting targets. Also clear is that the value of $p_4^{(\text{Hf})}$ (5d, $n_d = 2$) is inconsistent with
39 the trends shown. The presence of multiple minima in the response function could
40 account for this finding but this does not seem the case for hafnium. Attempts
41 to modify $p_4^{(\text{Hf})}$ to be consistent with the trend resulted in a very poor response
42 function value and a further refit returned the rescale parameter to its previous
43 value.
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45

46 Looking at FIG. 2 we can see that the trends in the rescale parameters translate to
47 the potential model values themselves. There is especially good reproduction of the
48 substitution energy across all three series. The binding energy, $E_b^{\text{SI,C}}$, is reproduced
49 almost as well but shows slight deviation from the ab-initio target data at the ends
50 of the series and especially for low n_d . This is all the more impressive because the
51 reproduction of this fit target was complicated by the onset of instability in the con-
52 figuration, resulting from the absence of a stable energy minimum for some subset
53 of parameter space. For small solutes, e.g. Mn, this resulted in the formation of a
54 $\langle 111 \rangle$ mixed interstitial upon relaxation of the configuration. For large solutes, e.g.
55 W, the configuration reverted to a $\langle 111 \rangle$ self-interstitial interacting with the solute
56 at approximately $(0, 0, a_0)$ separation. Despite these complications, potentials were
57 found that reproduced the target values well and stabilised the configuration.
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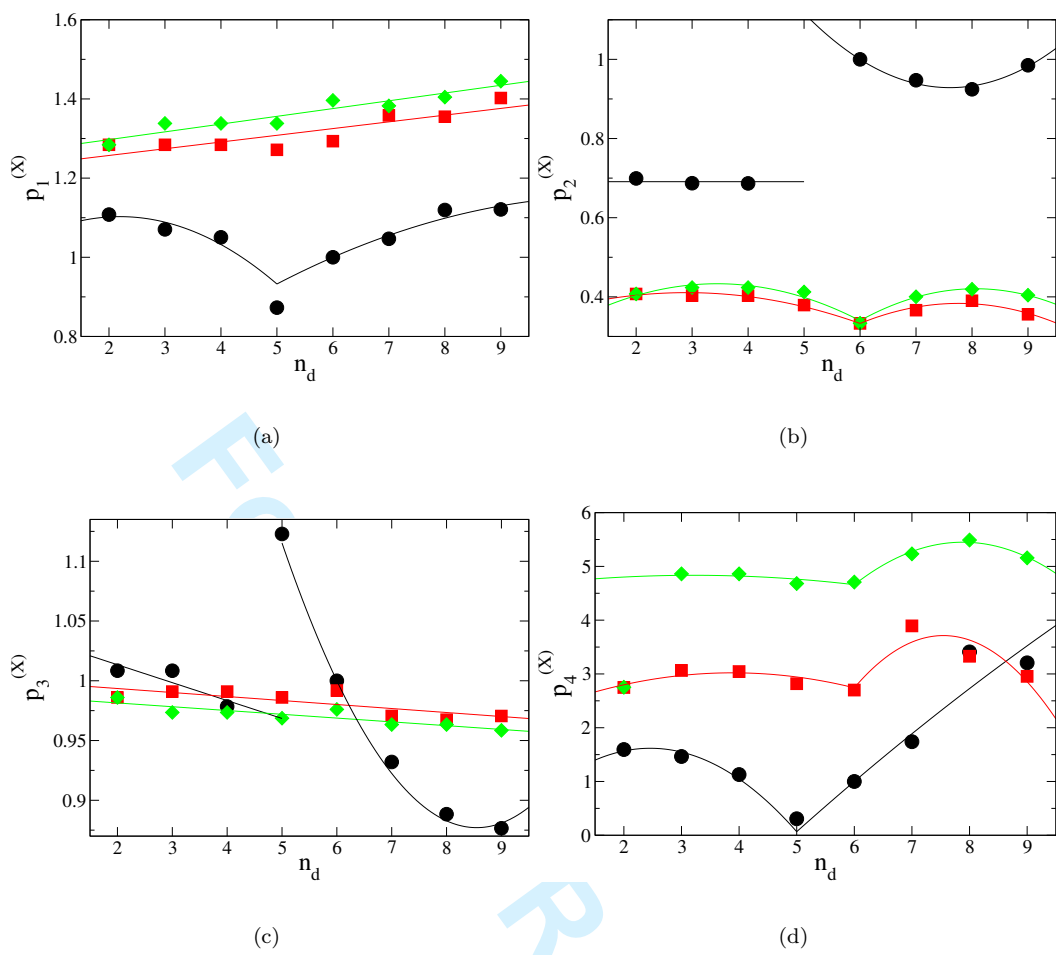


Figure 3. Rescale parameters as a function of the number of d-electrons for the 3d solutes (black circles and lines), 4d solutes (red squares and lines) and 5d solutes (green diamonds and lines). The graphs are for (a) $p_1^{(X)}$, (b) $p_2^{(X)}$, (c) $p_3^{(X)}$ and (d) $p_4^{(X)}$. The lines have been produced by fitting the rescale parameters to simple piecewise polynomial functions (see EQ. B1). Colour versions are available online.

Deviations from the parabolic trends in the ab-initio data are seen generally for the other fit targets. The most notable is for the binding energy, $E_b^{SI,T}$, where the potential models show approximately linear behaviour in n_d . The overall deviations and the quality of the fits is best quantified via the response function values, as shown in TABLE. B3. It is clear from this data that the potentials for the low n_d solutes perform especially poorly. For these elements d-bonding is weakest: s-bonding is neglected in the Finnis-Sinclair concept but may play an important role here. It is also clear from the response function data that the 3d solute potentials perform better overall than those of the other two series despite the presence of complex magnetic interactions. Even the anomalous properties of manganese are reproduced well. The excess solute pressures are, however, significantly underestimated although this is true of the 4d and 5d elements also.

As our only unfitted data point, it is worth returning to the difficulties experienced in fitting the binding energy of the mixed interstitial, $E_b^{SI,M}$. As can be seen from FIG. 2(f) our potentials significantly underestimate the magnitude of this value for the 4d and 5d elements. Including this value in the fits did result in a more accurate reproduction but at significant cost to the reproduction of the other fit targets. Despite this the mixed site is consistently highly unfavoured. Thus the

Table 1. Fit target values for manganese, molybdenum and rhenium compared with those from our full fitted potentials (as shown in FIG. 3 and TABLE. B1 and from our omit and refit strategy. Energies are in eV, lengths in Angstroms and pressures in GPa. Dashes indicate that no value could be obtained due to the absence of a stable minimum for the configuration.

Solute, X	E_s	r_{1nn}^{X-Fe}	P	$E_b^{V,1nn}$	$E_b^{V,2nn}$	$E_b^{SI,C}$	$E_b^{SI,T}$
Mn (target)	-2.66	2.47	0.17	0.21	0.14	0.35	0.03
Mn (full)	-2.62	2.48	-0.02	0.16	0.05	0.33	0.09
Mn (refit)	-0.47	2.48	-0.04	0.09	0.02	0.49	0.10
Mo (target)	-6.74	2.52	0.80	0.17	-0.11	-0.45	0.07
Mo (full)	-6.58	2.54	0.55	0.09	-0.03	-0.43	0.14
Mo (refit)	-6.37	2.54	0.55	0.08	-0.01	-	0.14
Re (target)	-8.02	2.52	0.75	0.10	-0.06	-0.43	-0.01
Re (full)	-7.91	2.53	0.51	0.06	0.03	-0.44	0.06
Re (refit)	-7.18	2.54	0.42	0.03	0.14	-	0.22

incorrect energy is unlikely to have serious consequences for any molecular dynamics simulations using these potentials, since the mixed dumbbell site is not a migration barrier. The interstitial is repelled by the solute by well above any realistic thermal energy and so the mixed interstitial site will occur with very low probability. Only for the 3d solutes is the binding energy low enough to significantly influence solute dynamics. In this case our potentials perform well, even reproducing the positive binding of chromium and manganese in the mixed interstitial, despite being excluded from the fit.

To address the issue of the transferability of our potentials more generally it is worth first mentioning that the set of ab-initio configurations we have used in our fits act as examples of defect-free and undercoordinated and overcoordinated defect environments for the solute atoms. As such they act as computationally accessible prototypes for solute behaviour in similar environments near larger scale topological defects such as voids and dislocations. It is fair to say that our fitted potentials should therefore provide a similar degree of transferability.

In the absence of further ab-initio data to that discussed already we have used the following procedure in order to provide some measure of the transferability of our potentials. We have selected one representative element from each series, namely manganese, molybdenum and rhenium. For each element we remove each fit target in turn and refit the potential. The change in the omitted fit target from the full fit provides a measure of the likely uncertainty with which similar defects will be reproduced by the potential. We expect other elements in the same series to behave similarly. The results of this analysis are given in TABLE. 1.

It is clear that E_s and $E_b^{SI,C}$ exhibit the largest changes after refitting. This is not too surprising given that the substitution energy is the only fit target directly associated with absolute energy values and given the problems already described with the instability of $E_b^{SI,C}$. It is therefore necessary to include these values in the fits in order to reproduce them and, by implication, associated values well. The others, except $E_b^{SI,T}$ for rhenium, change by less than the likely uncertainties in the fit target values themselves. This gives us confidence that similar quantities, not included in our fits, will be reproduced to similar accuracy and therefore in the overall transferability of our potentials.

1 The question of transferability also arises with respect to the trends in the model
2 values and rescaling parameters across a series i.e. is it possible to predict the
3 parameters and therefore model values from those of its neighbouring elements.
4 We answer this by plotting the model values produced by the rescale parameter
5 functions (EQ. B1) in FIG. 4 in an identical manner to those for the full fits
6 in FIG. 2.
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9 A direct comparison of these two figures shows that the model values and trends
10 across the series are preserved well by the change to the rescale parameter func-
11 tions for most solutes, with some notable exceptions. Those with $n_d = 2, 3$ still
12 perform poorly and reproduce the target data less well than the full fit potentials.
13 Manganese performs particularly poorly, which is unsurprising given how poorly
14 the full fit parameters are reproduced by the rescale parameter functions. The
15 only other noteworthy change is that chromium is, perhaps, too strongly bound
16 to a self-interstitial defect in the mixed and compressive sites. Once again it is the
17 magnetic elements which give problems
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20 Overall this shows that we can relate the rescale parameters back to the elementary
21 electronic properties of the solute and still preserve the model values and trends
22 across the transition metal series. This gives us confidence that we are capturing
23 some of the relevant physics of these solutes through our simple rescaling strategy.
24 We can also, in some sense, predict the properties of a particular solute from that
25 of its neighbours through the rescale parameter functions and this demonstrates
26 transferability between different solutes in a series.
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31 4. Solute-solute interactions in iron

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33 We have fitted the rescale parameters, $\{p_i^{(X,X)}\}$, to reproduce our ab-initio val-
34 ues [16] for the solute-solute binding energies from 1nn to 5nn separation, $E_b^{X-X,inn}$,
35 and to the separations between solutes at 1nn separation, r_{1nn}^{X-X} , and 2nn separa-
36 tion, r_{2nn}^{X-X} (see FIG. 5 for configurations). Our potential model values have been
37 calculated in the same way as for the fits to the solute-iron rescale parameters and
38 the same weight factors were used. The resulting values for the rescale parameters
39 are shown in FIG. 6 and given in TABLE. B2, response function values are given
40 in TABLE. B3 and our model values are compared with the ab-initio fit targets
41 in FIG. 7.
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46 The fit targets in FIG. 7 once again show a trend across the group and are well
47 reproduced by our potentials. The picture emerging from the fit parameters them-
48 selves in FIG. 6 is less clear. For elements above half-filling there are clear trends
49 with principal quantum number and n_d . However, for 5d elements with $n_d < 5$
50 there is considerable scatter for $p_2^{(X,X)}$ and $p_3^{(X,X)}$. It appears that a longer-ranged
51 ϕ can compensate for a stronger repulsion. Since this anomaly is not present in the
52 fit targets in FIG. 7, it must be an artifact of the fitting process itself and may
53 well be due to the presence of multiple minima in the response function.
54
55

56 We have attempted to find a relationship between the solute-solute and solute-iron
57 rescale parameters. However, even a direct plot of $p_i^{(X,X)}$ against $p_i^{(X)}$ showed no
58 clear patterns. A functional dependence between the rescale parameters was a pre-
59
60

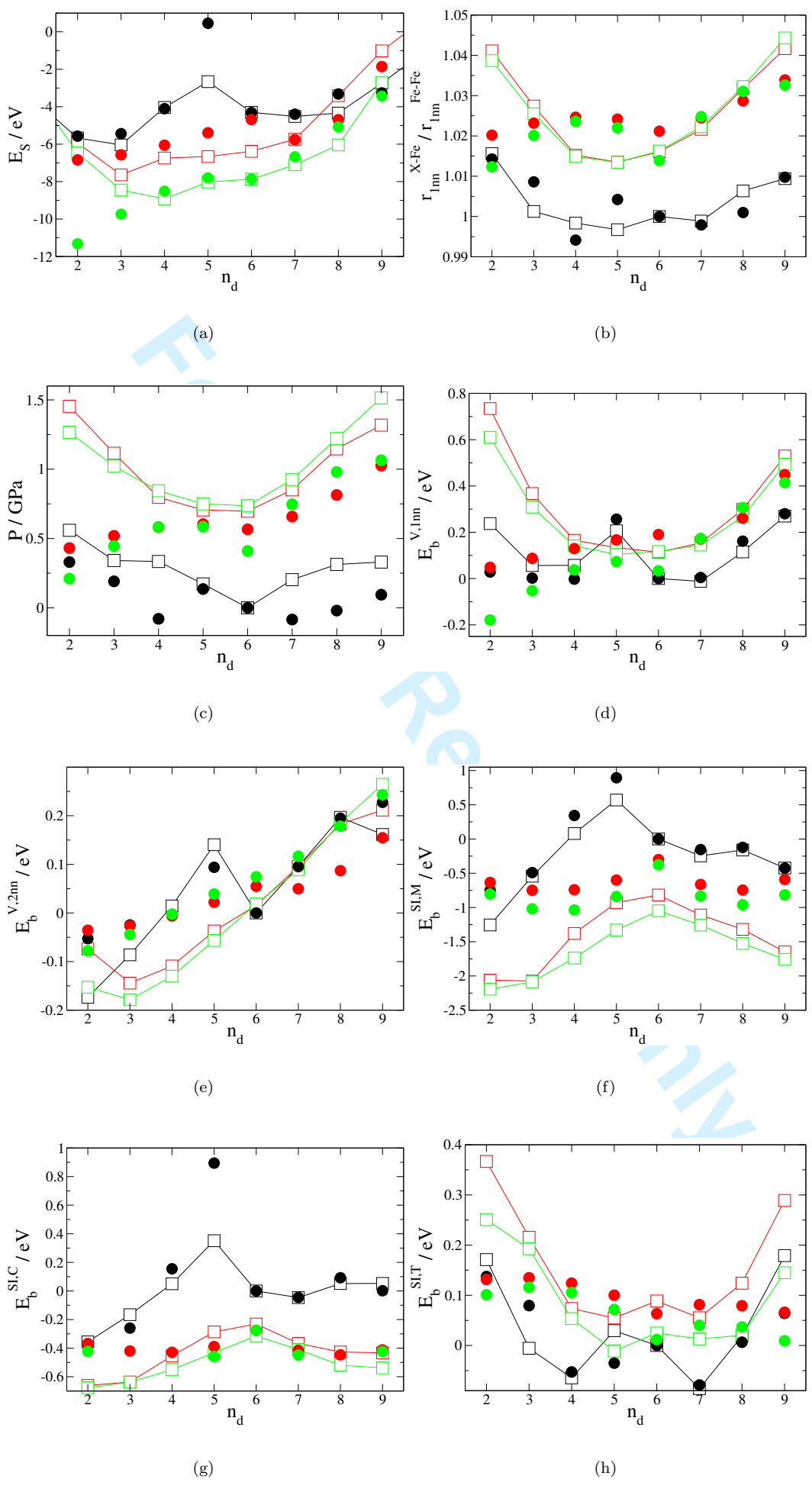


Figure 4. Fit targets and model values as in figure 2 but with model values generated from potentials with parameters given by the rescale parameter functions in Table 1. The values of $E_b^{SI, C}$ for tantalum, tungsten and platinum are absent as no stable minima existed for their parameter values: the interstitial simply relaxes away from the impurity. Colour versions are available online.

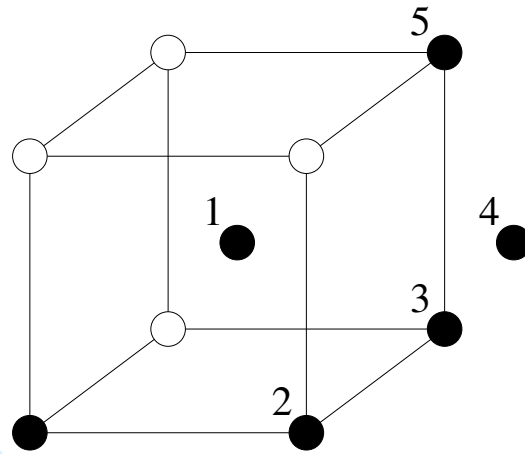


Figure 5. Solute positions (black) in bcc iron (white) for configurations with two interacting solute particles. The numbered solute particles show the first to fifth nearest neighbour positions relative to the unnumbered solute.

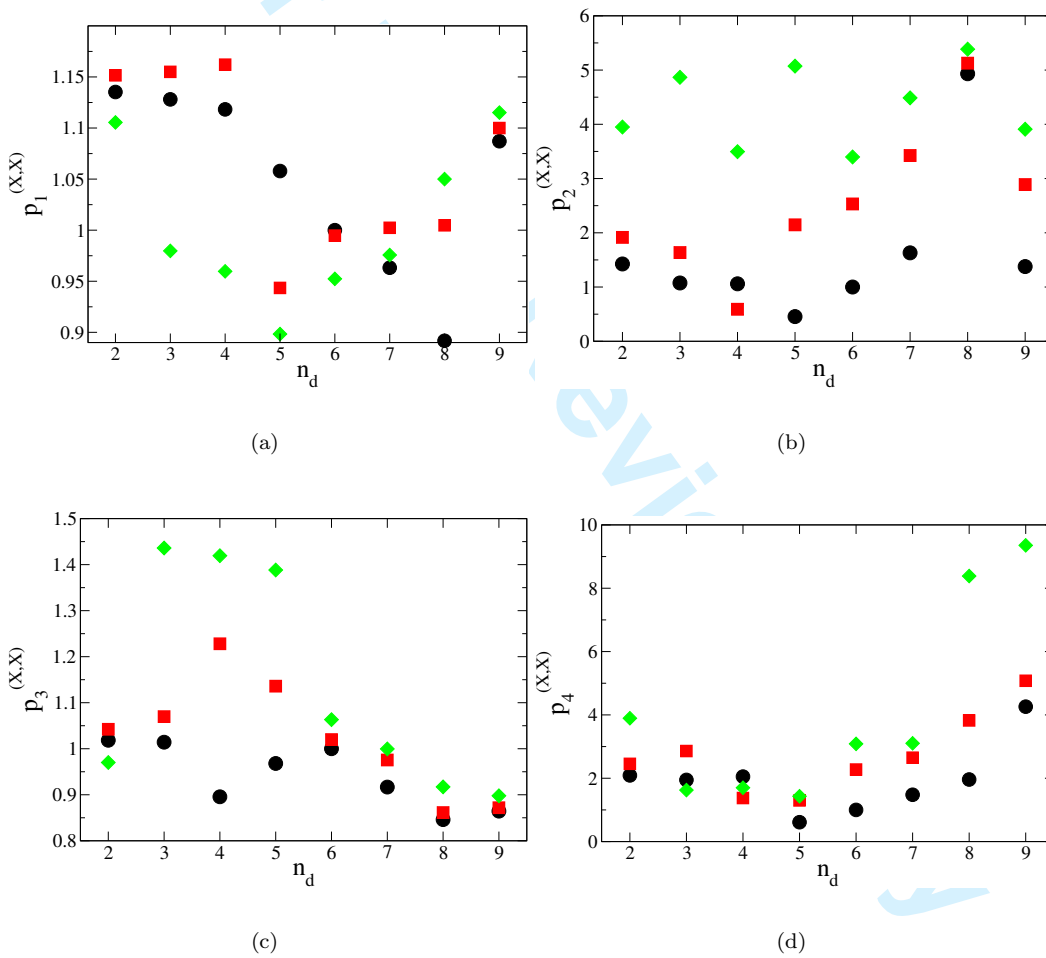


Figure 6. Rescale parameters as a function of the number of d-electrons for the 3d solutes (black circles), 4d solutes (red squares) and 5d solutes (green diamonds). The graphs are for (a) $p_1^{(X,X)}$, (b) $p_2^{(X,X)}$, (c) $p_3^{(X,X)}$ and (d) $p_4^{(X,X)}$. Colour versions are available online.

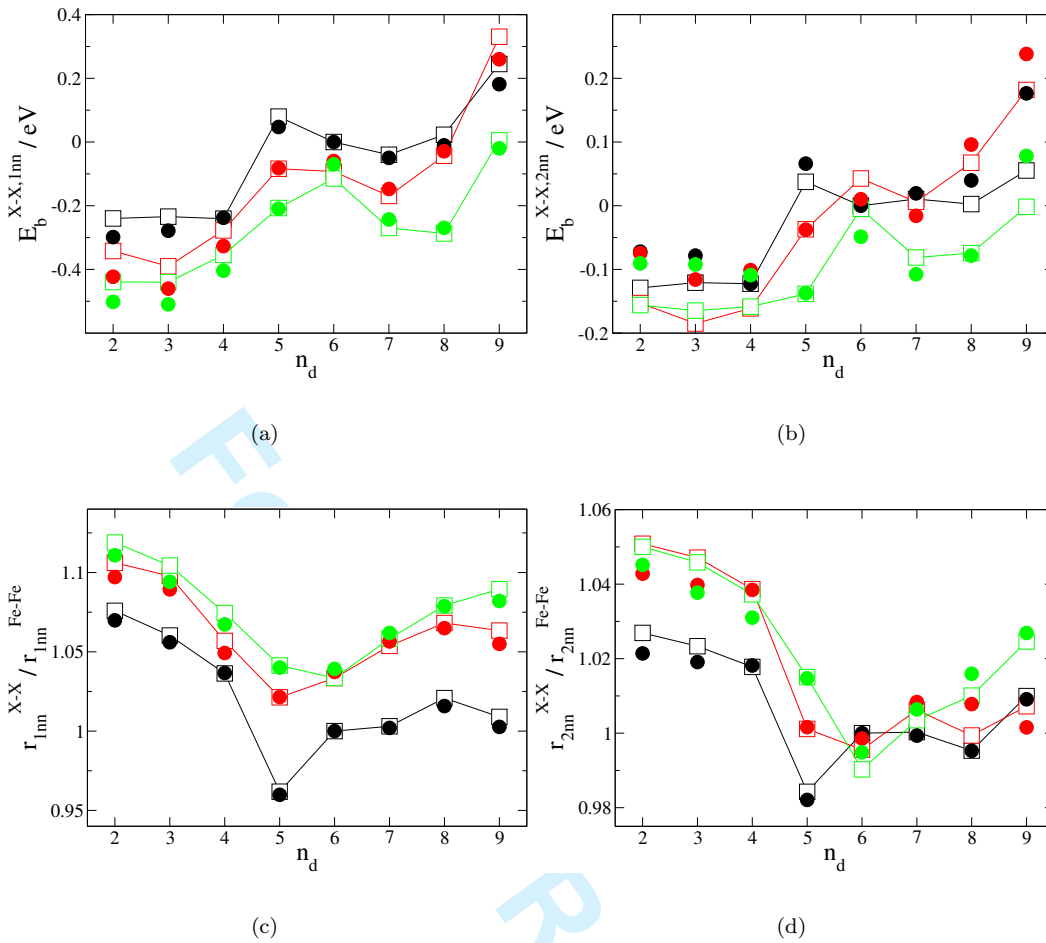


Figure 7. Solute-solute fit targets (squares and lines), derived from our ab-initio data, and corresponding values from our semi-empirical model (circles) versus number of d-electrons for 3d solutes (black), 4d solutes (red) and 5d solutes (green). In particular we plot (a) the solute-solute binding energy at 1nn separation, (b) the solute-solute binding energy at 2nn separation, (c) the relative solute-solute 1nn separation and (d) the relative solute-solute 2nn separation. Colour versions are available online.

requisite for the construction of a multi-component potential based on rescaling factors $p_i^{(X,Y)}$ and so this has not been possible in the current work. We have, however, produced binary potentials for transition metal solutes in α -iron from a simple rescaling technique, many of which reproduce the ab-initio data to within the likely precision of such calculations.

5. Conclusions

In conclusion, we have advanced the hypothesis that the interactions between transition metal atoms in iron can be described by simply scaling the parameters of a Finnis-Sinclair model, and further that these scaling parameters are simply functions of the number of d -electrons and the principal quantum number. Quantum mechanical calculations of interactions between solutes and point defects show clear trends across the group. We have presented best-fit rescaled potentials for all transition metal elements in an iron matrix. Furthermore we have been able to model the rescale parameters for iron-solute interactions, and subsequently the

1 physical properties of the solutes in iron, using simple functions of the elemen-
2 tary electronic properties of the solutes and therefore capture the trends across the
3 transition metal series.
4

5 Unfortunately, we have been unable to find a scaling relationship with which to
6 derive potentials for interactions between different impurities in iron. However,
7 the general success of the rescaling strategy suggests that with some additional
8 ab-initio data such an approach may prove fruitful.
9

10 Finally, we reiterate that our hypothesis is based on the notion that the environ-
11 ment around the impurity is close to that in magnetic bcc iron, hence we do not
12 expect that the rescaled potentials will be transferable to very different electronic
13 environments such as pure elements.
14

15 Acknowledgements

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Appendix A. Pure iron potential

The parameters for the pure iron potential of Ackland *et al.* [18] are given in TABLE. A1. It is worth pointing out that the function, $\phi(r)$, with these parameters actually has a maximum value at $r_{\max} = 1.82021002\text{\AA}$ of $\phi(r_{\max}) = 3.222480407\text{eV}^2$

Table A1. Parameters for the pure iron potential [18].

k	$a_k^{\text{Fe,Fe}} / \text{eV}\text{\AA}^{-3}$	$r_k^{(\text{Fe,Fe})} / \text{\AA}$
1	-1.5522033	3.38247
2	2.649964948	3.296475
3	-0.558542776	3.09582
4	-0.115540092	2.837835
5	0.372003235	2.665845
6	4.245649731	2.482460633
k	$A_k^{\text{Fe,Fe}} / \text{eV}^2\text{\AA}^{-3}$	$R_k^{(\text{Fe,Fe})} / \text{\AA}$
1	3.093735585	3.72645
2	-4.285763266	3.4398

and becomes negative for low separations. To avoid any possible problems this may cause the author recommends setting ϕ to its maximum value below r_{max} . Such radii are only sampled under extreme conditions such as high pressures or in radiation damage simulations and this change will not modify any equilibrium or near equilibrium properties of the iron model.

Appendix B. Rescale parameters and fit results

The fitted values for the rescale parameters for iron-solute, $p_i^{(X)}$, and solute-solute, $p_i^{(X,X)}$, interactions are given in TABLES. B1 and B2. The response function values for the respective fits are given in TABLE. B3. The equations for the rescale parameter functions are shown in EQ. B1.

Table B1. Rescale parameters for iron-solute interactions

Solute, X	$p_1^{(X)}$	$p_2^{(X)}$	$p_3^{(X)}$	$p_4^{(X)}$
Ti	1.1079352022752937	0.69914888963867840	1.0084290227415416	1.5946911193111377
V	1.0704687944688829	0.68703519774868570	1.0084191748980367	1.4652839386546592
Cr	1.0504446925177677	0.68660606895558630	0.9784540205196225	1.1287695242404665
Mn	0.8727971294583414	2.48881963381730960	1.1228404871022541	0.3065915687995297
Co	1.0467024050842750	0.94717978096230060	0.9320535734737820	1.7396896806120596
Ni	1.1194809316877880	0.92438826748289380	0.8883635622171985	3.4100636005122293
Cu	1.1208802828524023	0.98505124753645820	0.8765649836565008	3.2065254293566600
Zr	1.2841655075134310	0.40739377742609160	0.9860071367651349	2.7482154017401133
Nb	1.2841655075134310	0.40336016805208613	0.9909619464976229	3.0647879434909940
Mo	1.2841655075134310	0.40306261907883430	0.9909619275965241	3.0437240549628903
Tc	1.2714509975380506	0.37927270590314116	0.9860317313257698	2.8186046924493455
Ru	1.2932752539714167	0.33285431259332965	0.9918471983137220	2.7002031043018238
Rh	1.3583482886258411	0.36634462066369505	0.9706236772142814	3.8933887256877090
Pd	1.3551721040070680	0.39024726568702440	0.9674492344138608	3.3285717131180084
Ag	1.4023136316534160	0.35592505640677500	0.9705185530509607	2.9551289346288447
Hf	1.2841655075134310	0.40746747208599430	0.9860071367651349	2.7521572914348287
Ta	1.3381889417068988	0.42350948842405384	0.9734862986585047	4.8610714605878340
W	1.3381889417068988	0.42350948842405384	0.9734862986585047	4.8610567185373155
Re	1.3381889417068988	0.41247111384741486	0.9686430832422932	4.6812582679644960
Os	1.3963331257722620	0.33347841442944126	0.9760396335905974	4.7084791631263130
Ir	1.3825438675169937	0.40034598076904200	0.9633439996351745	5.2329577841196330
Pt	1.4045418753797260	0.41926814851469420	0.9633439996351745	5.4900896361876255
Au	1.4447702630891220	0.40420070742435266	0.9585272796369986	5.1583042920958950

$$p_1^{(3d)}(n_d) = 0.93252041 + \begin{cases} -0.12134445 (n_d - 5) - 0.02163213 (n_d - 5)^2, & n_d < 5 \\ 0.07352920 (n_d - 5) - 0.00604960 (n_d - 5)^2, & n_d \geq 5 \end{cases}$$

$$p_2^{(3d)}(n_d) = \begin{cases} 0.69093005, & n_d < 5 \\ 2.52957942 - 0.42093634 n_d + 0.02766774 n_d^2, & n_d \geq 5 \end{cases}$$

$$p_3^{(3d)}(n_d) = \begin{cases} 1.04339658 - 0.01498750 n_d, & n_d < 5 \\ 2.25879719 - 0.32320567 n_d + 0.01890102 n_d^2, & n_d \geq 5 \end{cases}$$

$$p_4^{(3d)}(n_d) = 0.06665052 + \begin{cases} -1.22025129 (n_d - 5) - 0.24000029 (n_d - 5)^2, & n_d < 5 \\ 0.95644475 (n_d - 5) - 0.02309479 (n_d - 5)^2, & n_d \geq 5 \end{cases}$$

$$p_1^{(4d)}(n_d) = 1.22323408 + 0.01698146 n_d$$

$$p_2^{(4d)}(n_d) = 0.33282358 + \begin{cases} -0.05022212 (n_d - 6) - 0.00809846 (n_d - 6)^2, & n_d < 6 \\ 0.05751700 (n_d - 6) - 0.01632125 (n_d - 6)^2, & n_d \geq 6 \end{cases}$$

$$p_3^{(4d)}(n_d) = 1.00021096 - 0.00334742 n_d$$

$$p_4^{(4d)}(n_d) = 2.74215397 + \begin{cases} -0.26363463 (n_d - 6) - 0.06237300 (n_d - 6)^2, & n_d < 6 \\ 1.25632932 (n_d - 6) - 0.40626709 (n_d - 6)^2, & n_d \geq 6 \end{cases}$$

$$p_1^{(5d)}(n_d) = 1.25801235 + 0.01960961 n_d$$

$$p_2^{(5d)}(n_d) = 0.33990519 + \begin{cases} -0.07305533 (n_d - 6) - 0.01430823 (n_d - 6)^2, & n_d < 6 \\ 0.07822813 (n_d - 6) - 0.01897612 (n_d - 6)^2, & n_d \geq 6 \end{cases}$$

$$p_3^{(5d)}(n_d) = 0.98778299 - 0.00316787 n_d$$

$$p_4^{(5d)}(n_d) = 4.66010696 + \begin{cases} -0.12504119 (n_d - 6) - 0.02240603 (n_d - 6)^2, & n_d < 6 \\ 0.83855171 (n_d - 6) - 0.22256433 (n_d - 6)^2, & n_d \geq 6 \end{cases}$$

(B1)

Table B2. Rescale parameters for solute-solute interactions

Solute, X	$p_1^{(X,X)}$	$p_2^{(X,X)}$	$p_3^{(X,X)}$	$p_4^{(X,X)}$
Ti	1.135234375	1.425375	1.0184375	2.090625
V	1.128015625	1.07515625	1.01421875	1.9471875
Cr	1.1182627956431268	1.0591971435622631	0.8956061196601681	2.0520677210215306
Mn	1.0578763126373347	0.45488252197265816	0.9680164178466799	0.6121371734619128
Co	0.9632660156249979	1.63034375	0.916725	1.47909375
Ni	0.8917071437428479	4.931618183795954	0.846	1.9593257026672335
Cu	1.087078125	1.3775	0.864421875	4.26
Zr	1.1515625	1.915	1.04225	2.455375
Nb	1.155	1.63625	1.06975	2.859375
Mo	1.16200390625	0.590203125	1.228046875	1.374328125
Tc	0.94346875	2.1465625	1.135921875	1.299375
Ru	0.9946875	2.5315625	1.0199765625	2.275625
Rh	1.00234375	3.425	0.9755625	2.648625
Pd	1.00478125	5.126925	0.8613123046875	3.8247
Ag	1.1	2.889	0.871875	5.07775
Hf	1.1055	3.95	0.9700625	3.894
Ta	0.97971796875	4.86585	1.436123959960937	1.625
W	0.9597262573242189	3.4952026367187496	1.4194162597656252	1.6996997070312496
Re	0.8983463569335935	5.072334990624995	1.388288172737312	1.431971765625
Os	0.952375	3.3975	1.0632097695312475	3.085089
Ir	0.9756723193359381	4.48695	0.9996396093750014	3.10280625
Pt	1.05	5.385	0.917125	8.385
Au	1.115125	3.90925	0.89775	9.355

Table B3. Response function values, χ^2 , for the solute-iron rescale parameters given in TABLE. B1 and the solute-solute rescale parameters given in TABLE. B2.

Solute, X	$\chi^2(X - \text{Fe})$	$\chi^2(X - X)$
Ti	568.967	96.770
V	221.205	58.907
Cr	99.636	33.934
Mn	160.962	23.567
Co	43.902	2.755
Ni	65.728	32.237
Cu	204.809	204.468
Zr	4796.904	284.391
Nb	1689.753	208.931
Mo	216.139	88.197
Tc	137.204	9.579
Ru	69.618	36.145
Rh	26.411	104.771
Pd	103.652	61.308
Ag	477.687	142.646
Hf	3491.843	182.800
Ta	1502.974	152.638
W	408.336	76.466
Re	198.776	1.503
Os	85.532	261.420
Ir	35.811	284.450
Pt	69.882	302.596
Au	386.018	186.895

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