



HAL
open science

Phylogenetic analyses of highly pathogenic avian influenza virus isolates from Germany in 2006 and 2007 suggest at least three separate introductions of H5N1 virus

E. Starick, M. Beer, B. Hoffmann, C. Staubach, O. Werner, A. Globig, G. Strebelow, C. Grund, M. Durban, F.J. Conraths, et al.

► To cite this version:

E. Starick, M. Beer, B. Hoffmann, C. Staubach, O. Werner, et al.. Phylogenetic analyses of highly pathogenic avian influenza virus isolates from Germany in 2006 and 2007 suggest at least three separate introductions of H5N1 virus. *Veterinary Microbiology*, 2008, 128 (3-4), pp.243. 10.1016/j.vetmic.2007.10.012 . hal-00532340

HAL Id: hal-00532340

<https://hal.science/hal-00532340>

Submitted on 4 Nov 2010

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Accepted Manuscript

Title: Phylogenetic analyses of highly pathogenic avian influenza virus isolates from Germany in 2006 and 2007 suggest at least three separate introductions of H5N1 virus

Authors: E. Starick, M. Beer, B. Hoffmann, C. Staubach, O. Werner, A. Globig, G. Strebelow, C. Grund, M. Durban, F.J. Conraths, T. Mettenleiter, T. Harder



PII: S0378-1135(07)00516-0
DOI: doi:10.1016/j.vetmic.2007.10.012
Reference: VETMIC 3852

To appear in: *VETMIC*

Received date: 18-7-2007
Revised date: 10-10-2007
Accepted date: 12-10-2007

Please cite this article as: Starick, E., Beer, M., Hoffmann, B., Staubach, C., Werner, O., Globig, A., Strebelow, G., Grund, C., Durban, M., Conraths, F.J., Mettenleiter, T., Harder, T., Phylogenetic analyses of highly pathogenic avian influenza virus isolates from Germany in 2006 and 2007 suggest at least three separate introductions of H5N1 virus, *Veterinary Microbiology* (2007), doi:10.1016/j.vetmic.2007.10.012

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

1 **Phylogenetic analyses of highly pathogenic avian influenza virus**
2 **isolates from Germany in 2006 and 2007 suggest at least three**
3 **separate introductions of H5N1 virus**

4
5 **E. Starick¹, M. Beer¹, B. Hoffmann¹, C. Staubach³, O. Werner¹, A. Globig¹, G.**
6 **Strebelow¹, C. Grund¹, M. Durban¹, F.J. Conraths³, T. Mettenleiter², T. Harder^{1,*}**

7
8
9 ¹Institute of Diagnostic Virology, ²Institute of Molecular Biology, Friedrich-Loeffler-Institute,
10 17493 Greifswald-Insel Riems, Germany and ³Institute of Epidemiology, Friedrich-Loeffler-
11 Institute, 16868 Wusterhausen, Germany

- 12
13 • Corresponding author:
14 T. Harder, Institute for Diagnostic Virology, O.I.E. and National Reference Laboratory for
15 Avian Influenza, Friedrich-Loeffler Institute, Boddenblick 5a, D-17493 Greifswald-Insel
16 Riems
17 e-mail: tim.harder@fli.bund.de
18 phone: 0049 38351 7152
19 fax: 0049 38351 7275

20 **Abstract**

21

22 In spring 2006, highly pathogenic avian influenza virus (HPAIV) of subtype H5N1 was
23 detected in Germany in 343 dead wild birds, as well as in a black swan (*Cygnus atratus*) kept
24 in a zoo, three stray cats, one stone marten (*Martes foina*), and in a single turkey farm. In
25 2007 (June-July) the virus reoccurred in 96 wild birds at six geographically separate locations
26 in the Southeast of Germany. In addition, a backyard mixed duck and goose holding was
27 affected. Real-time RT-PCR (Hoffmann et al., 2007) and nucleotide sequencing confirmed
28 that these H5-viruses belonged to the Qinghai lineage of HPAIV H5N1 (clade 2.2). For a
29 more detailed analysis, the hemagglutinin and neuraminidase genes of 27 selected German
30 H5N1 viruses isolated 2006 or 2007 and originating from different regions and animal
31 species were sequenced and analysed phylogenetically. As a result, three closely related but
32 distinguishable H5N1 subclades could be defined: In 2006 a 'Northern type' (subclade
33 2.2.2), representing virus isolates from the German federal states Mecklenburg-Western
34 Pomerania, Schleswig-Holstein, Brandenburg, and Lower Saxony, and a 'Southern type'
35 (subclade 2.2.1) from Baden-Württemberg and Bavaria were detected. Interestingly,
36 representatives of both types were present in Central Germany and caused the outbreak in
37 turkeys (subclade 2.2.2) and a case in a tufted duck (*Aythya fuligula*) (subclade 2.2.1) in
38 Saxony. Furthermore, one isolate from the South of Germany was identified as 2.2.2 and
39 vice versa a 2.2.1-like isolate was found in Northern Germany. H5N1 viruses isolated in 2007
40 belonged to a third type (subclade 2.2.3) which was not detected in 2006. Our data suggest
41 the introduction of three distinct H5N1 variants into the wild bird population of Germany. The
42 source of these viruses and the exact time of introduction remain obscure. Based on the
43 identification of closely related H5N1 viruses from Southern and Central Russia, a recent
44 introduction via wild birds on winter escape from these regions, early in 2006 constitutes the
45 most likely scenario for the 2006 outbreaks. The viruses detected in 2007 most likely
46 represent another new incursion from an as yet unknown source.

47

48

49 **Keywords**

50

51 Avian influenza, H5N1, highly pathogenic, phylogenetic analysis, molecular
52 epidemiology

53

54 Influenza A viruses are maintained as biotypes of low pathogenicity (LPAIV) in their
55 reservoir hosts, aquatic wild birds. However, upon transmission to gallinaceous poultry

56 viruses of the subtypes H5 and H7 may mutate to highly pathogenic (HPAIV) strains by
57 insertional mutation at a sequence of the HA gene encoding an endoproteolytic cleavage site
58 (Swayne and Suarez, 2000). Thus, insertion of basic amino acid residues (arginine, R, and
59 lysine, K) can give rise to a polybasic cleavage site accessible to ubiquitous proprotein
60 convertases (Senne et al., 2006). In contrast to LPAIVs with a monobasic HA cleavage site,
61 the polybasic cleavage site renders these avian influenza viruses competent for efficient
62 systemic replication in their avian hosts. HPAIVs cause severe mortality, particularly in
63 gallinaceous birds, and lead to severe losses in the poultry industry (Capua and Alexander,
64 2006). Twenty-four HPAI outbreaks among poultry have been recorded since the 1950s with
65 variable severity and duration (Harder and Werner, 2006). All HPAI outbreaks were caused
66 by unique viruses of either H5 or H7 subtype which apparently arise *de novo* from precursor
67 viruses of low pathogenicity circulating in local wild bird populations.

68

69 In 1996, an H5N1 HPAIV (subtype Asia) was detected in geese in Southern China. Since
70 then this virus established endemic infections in poultry, mainly ducks and geese, in many
71 Southeast Asian countries (Li et al., 2004; Smith et al., 2006b). The geographical extent and
72 duration of this outbreak as well as the number of poultry involved is unprecedented.
73 Moreover, the pronounced zoonoanthropotic properties of this virus are unusual in
74 comparison with previous HPAI outbreaks (WHO, 2005). More than 300 documented human
75 infections resulting in the death of more than 190 patients sparked fears of a new influenza
76 pandemic caused by this virus (WHO, 2007). The transmission of HPAIV H5N1 of the Asian
77 lineage from poultry back to wild birds led to previously unheard of major epidemics of HPAI
78 among susceptible geese, gulls and other waterbird species in April 2005 at Lake Qinghai,
79 North-West China (Chen et al., 2006). This event marked the start of an accelerated spread
80 of H5N1 HPAIV through Central Asia into the Black Sea region and further westward into
81 Central Europe. Phylogenetic studies have identified to date nine major clades of H5N1
82 HPAIV of Asian origin (WHO/FAO/OIE H5N1 Evolution Working Group, 2007). Clade 2
83 appears to be most diversified, and members of subclade 2.2 have been shown responsible
84 for the westward spread of H5N1 since 2005 (Chen et al., 2006). Meanwhile, within subclade
85 2.2 three further subtypes have been distinguished and were designated with respect to their
86 geographic origin European-Middle East-African (EMA-) 1 to 3 (Salzberg et al., 2007).

87

88 The first occurrence of H5N1 HPAIV in Europe was reported from Croatia and Romania in
89 summer and fall 2005. A resurgence of the infection became apparent almost simultaneously
90 in January/February 2006 in several geographically widely spaced locations along a line from
91 Southern Sweden to Sicily. This included Germany where H5N1 HPAIV was reported in

92 February 2006 when wild birds, predominantly swans (*Cygnus sp.*), were affected. Initially,
93 the virus appeared to spread from a focus at the Baltic Sea coast on the Island of Ruegen.
94 Within two weeks, however, the virus had been detected in seven Federal States of
95 Germany, involving more than twenty different wild bird species. Ultimately, 343 H5N1
96 HPAIV infected wild birds were detected in Germany, in 2006, during extensive virological
97 monitoring of wild bird carcasses of which more than 60.000 were analyzed. In addition, the
98 virus was recovered from three stray cats and a stone marten (*Martes foina*) found moribund
99 or dead on the Island of Ruegen at the height of the outbreaks among wild birds in March.
100 Furthermore, in April, just after the peak of the outbreaks in wild birds, a mixed poultry
101 holding in Saxony was found to be infected with HPAIV H5N1 of Asian lineage. Due to rapid
102 adequate disease management efforts, the infection was be contained at this index case.
103 After May 2006 H5N1 HPAIV was found in only one more isolated case involving a juvenile
104 black swan (*Cygnus atratus*) kept in the zoo of the city of Dresden, Saxony.

105

106 A re-occurrence of the HPAI H5N1 virus was noticed at the end of June 2007 in wild birds at
107 six different locations in the Southeast of Germany (federal states of Bavaria, Saxony and
108 Thuringia). At the same time outbreaks in poultry and a mute swan in the Czech Republic
109 and three mute swans in France were reported. Until to day, 96 wild birds, the majority being
110 mute swans and black-necked grebes (*Podiceps nigricollis*) had succumbed to the infection.
111 In addition, HPAIV H5N1 was also found in a small backyard holding of ducks and geese in
112 Thuringia, where a single goose was found to be infected.

113

114 The current study was conducted to elucidate the phylogenetic relationships of the German
115 H5N1 HPAIV isolates to gain further insights into the origin of the virus and to provide clues
116 highlighting putative ways of introduction. Also, analysis of the receptor-binding HA of the
117 feline and the mustelid H5N1 isolate was done to reveal any possible adaptation towards
118 mammals.

119

120

121 **Material and Methods**

122

123 *Virus isolation*

124 Viruses were isolated between February and May 2006 and in June and July 2007 from wild
125 birds in Germany and from one mixed poultry holding located in Saxony. Viruses for this
126 study were selected from a panel of 383 H5N1 HPAIV positive wild birds in order to
127 represent geographically different parts of Germany, as many different species as possible

128 and the two temporally separated waves of outbreaks. Initially, the original material was
129 characterized by real-time RT PCR assays targeting fragments of the M, N1, and H5 genome
130 segments including the sequence encoding the HA₀ cleavage site (Hoffmann et al., 2007). In
131 addition, a virus isolate from a common teal (*Anas crecca*), sampled in autumn 2005 on the
132 Wadden Sea Island of Foehr, Germany, which was characterised by hemagglutination
133 inhibition (HI), RT-PCR assays and sequencing of the HA₀ cleavage site as an H5N1 virus of
134 low pathogenicity, was included in the study. All investigated H5N1 viruses were isolated and
135 propagated in embryonated eggs as described previously (Anonymus, 2006). All work
136 subject to handling of material containing infectious HPAIV was conducted in bio-safety level
137 3+ facilities.

138

139

140 *RNA isolation, RT-PCR and sequencing*

141 RNA was prepared from allantoic fluid by use of the QIAamp Viral RNA Kit (QIAGEN)
142 according to the manufacturer's instructions. The complete HA open reading frame was
143 reverse transcribed and amplified according to Hoffmann et al. (2001). Primers were used in
144 a concentration of 40 or 100 pmol/μl, respectively. The program profile for amplification of
145 cDNA was 95°, 5'; 35x (95°, 30"; 58°, 40"; 72°, 3-7'); 72°, 7'; 8°, hold. Alternatively, own
146 primers were used to generate overlapping HA fragments (nt 1 – nt 1450; nt 935 – 1779
147 referring to sequence DQ464354) for direct sequencing (primer sequences are available
148 upon request). For the characterization of a fragment of the gene encoding neuraminidase
149 subtype 1 a primer pair recommended by the WHO (Wright et al., 1995) which spans
150 nucleotides 490 – 1105 was used. The amplicon was generated with the OneStep RT-PCR
151 Kit (QIAGEN GmbH) as recommended by the manufacturer (program profile: 50°, 30'; 94°,
152 15'; 35x (94°, 45"; 55°, 45"; 72°, 1'); 72°, 7'; 8°, hold). All RT-PCR products were eluted from
153 agarose gels and purified with the QIAquick Gel Extraction Kit (QIAGEN). HA full-length
154 fragments were cloned into the pGEM-T Easy Vector with the help of the pGEM-T Easy
155 Vector System (Promega Corp.). DNA was sequenced using the Prism BigDye Terminator
156 v1.1 Cycle Sequencing Kit on the DNA sequencer "3130 Genetic Analyzer" (both Applied
157 Biosystems). From each HA full-length RT-PCR product, at least 5 plasmids were selected
158 and sequenced with vector-specific primers from both sites. For a full overlap of forward and
159 reverse sequences sequence-specific internal primers were used (Starick et al., 2000). If full
160 length fragments could not be generated, smaller overlapping amplicons were sequenced
161 directly in both directions by using the same primer pairs as for RT-PCR. Sequence data
162 were assembled and edited with GCG, Version 11.1 (Accelrys Inc.). The nucleotide
163 sequences analyzed here cover nt 29–1735 (HA) and nt 506 –1043 (NA), respectively.

164

165 *Phylogenetic analyses*

166 Consensus sequences of the analyzed fragments were generated. Full length HA- and
167 partial NA-sequences were aligned with selected sequences of standard strains representing
168 major clades of H5N1 viruses from GenBank (GCG programs; CLUSTALW; HUSAR, DKFZ
169 Heidelberg). Phylogenetic analysis based on the HA and NA alignments was performed by
170 using the PUZZLE software (Strimmer & von Haeseler, 1996). For maximum-likelihood (ML)
171 tree reconstructions, 1000 puzzling steps and the Hasegawa model for nucleotide
172 substitution were used. Dendrograms generated by PUZZLE were visualized by using the
173 TREEVIEW software (Page, 1996). Similarly, alignments were examined by the neighbor-
174 joining (NJ) distance matrix algorithm (MEGA 4.0) using maximum composite likelihood
175 techniques to estimate the transversion/transition bias and nucleotide substitution patterns
176 while assuming rate variation among sites and substitution pattern heterogeneities among
177 lineages. Finally, a maximum parsimony (MP) approach (heuristic search) was used (MEGA
178 4.0 software; Tamura et al., 2007).

179

180

181 **Results**

182

183 *Generation and characterization of HA and NA sequences*

184 A total of 27 HPAIV H5N1 isolates collected during two outbreaks among wild birds in 2006
185 and 2007 from all affected federal states of Germany were investigated (Table 1). They
186 originated from 16 different wild bird species, from an infected turkey flock as well as from a
187 stray cat and a stone marten. For comparison, an LPAIV H5N1 isolate retrieved from a
188 common teal in autumn 2005 was included in the study. Sequences spanning the complete
189 coding part of the HA segment were created for 23 isolates, and for the remaining 5 HAs the
190 sequences cover 93% of the HA coding region (nt 82–1735). The NA genes of the different
191 isolates were partially sequenced (nt 506 -1043).

192

193 All isolates investigated shared features characteristic for recent HPAIV H5N1 isolates of
194 Asian origin. The alignment of the deduced amino acid sequences of the H5 HA genes
195 showed that all isolates have the same multiple basic amino acids at the connecting peptide
196 between HA1 and HA2 (PQGERRRKKR * GLF). Isolate WV632/05 used here as an
197 outgroup representative, represents an exception as it possesses a monobasic cleavage site
198 typical of LPAIV (PQKETR * GLF). The polybasic cleavage site is identical to that found in all
199 clade 2 H5N1 viruses isolated in other Asian, European and African countries (Ducatez et al.,

200 2006; Salzberg et al., 2007). Based on the amino acid sequence at the HA₀ cleavage site,
201 these new H5N1 influenza viruses are regarded as being highly pathogenic for chickens
202 (Anonymous, 2005). Six potential N-glycosylation sites were localised in the HA1 part for all
203 isolates. Within the receptor binding sites, no amino acid changes were detected including
204 the mammalian isolates obtained from a cat and a stone marten. In addition, all isolates
205 carried HA 238Q and HA 240G (numbering from the initiating methionine residue) at the
206 receptor binding pocket which indicates preferential binding to alpha-2,3-NeuAcGal receptors
207 (Li et al., 2004; Smith et al., 2006a). Further analysis of the HA amino acid (aa) sequences
208 revealed only a few scattered non-synonymous mutations at different positions including the
209 signal peptide (data not shown). The only conspicuous position is HA 403 where D is present
210 preferentially in isolates originating from the South of the country (with the exceptions of
211 R882/06 and R1240/06 which come from the Northern and Central part, respectively, of
212 Germany), and N predominates in Northern isolates including R751/06 from the South of the
213 country.

214

215 Full-length sequences of the NA gene of several isolates from swans, one cat and the stone
216 marten revealed the typical deletion of 20 amino acids in the stalk region (position 49-68;
217 data not shown) which is not present in LPAIV WV632/05 (Li et al., 2004; Harder and
218 Werner, 2006, Weber et al., 2007). Amino acid NA 275 specified H for all HP isolates from
219 2006 and 2007, thus predicting full susceptibility to oseltamivir (WHO, 2005; Smith et al.,
220 2006b).

221

222 *Phylogenetic analyses*

223 Phylogenetic characterization of the HA gene by ML, NJ and MP methods revealed that the
224 analysed HPAIV H5N1 from terrestrial and aquatic birds as well as from mammals in
225 Germany cluster with Qinghai-like viruses (Fig. 1). Further analyses of the HA gene showed
226 that three different clusters are distinguishable among the H5N1 HPAIV isolates from
227 Germany. These clusters are similar to those reported by Salzberg et al. (2007) as EMA-1 to
228 3 which, according to the most recent nomenclature should be referred to as subclades 2.2.1
229 to 2.2.3 (WHO/FAO/OIE H5N1 Evolution Working Group, 2007). Placement of the German
230 isolates in the different clusters coincides, with few exceptions, with the geographic origin of
231 the isolates from the Northern (Fig. 2, subclade 2.2.2) or Southern (Fig. 2, subclade 2.2.1)
232 part of Germany and with the temporal succession of the outbreaks (2.2.1, 2.2.2: 2006; 2.2.3:
233 2007). Isolate R882/06, although originating from a common gull (*Larus canus*) in Lower
234 Saxony, Northern Germany, and isolate R1240/96 from a tufted duck (*Aythya fuligula*) in
235 Saxony clustered with the 'Southern group'. Vice versa, one isolate from the South of

236 Germany (R751/06) belonged to the 'Northern group' as did the turkey isolate R1077/06,
237 obtained from the single affected poultry holding in Germany. Table 2 summarizes the
238 closest relatives of the German isolates of subclades 2.2.1 to 3, respectively, according to a
239 BLAST search and pairwise comparison to H5N1 HPAIV HA sequences from other
240 European countries (one per country).

241

242 This classification into three groups is mirrored by the NA sequences, although only 538 nt
243 were included in the analysis for most isolates (data not shown). Nucleotide positions 743,
244 783, 1007, 1071 and 1083 (numbering from the start codon) define the clusters 2.2.2 and
245 2.2.1. Mutations observed at two of these positions (248, 336) were non-synonymous and
246 characteristic for the two groups (2.2.2: 248N, 336D; 2.2.1: 248S, 336G). This differentiation
247 also included the three isolates R751/06, R882/06, and R1240/06 which represented
248 exceptions concerning the geographical restriction also on the basis of HA sequences. In
249 isolates of cluster 2.2.3 248N and 336G were present.

250

251

252 **Discussion**

253

254 The investigations of H5N1 HPAIV isolates originating from Germany in 2006 and 2007 was
255 conducted in order to (i) identify possible sources and routes of introduction and (ii)
256 molecularly characterize potential species-specific differences in the viral glycoprotein genes,
257 particularly between avian and mammalian hosts.

258

259 Phylogenetically, three clusters of highly pathogenic H5N1 avian influenza viruses could be
260 distinguished on the basis of their HA and NA gene sequences (2.2.1 to 3). Geographic and
261 temporal restrictions of these clusters were evident as 2.2.2-like viruses were found in the
262 North of Germany while 2.2.1-like isolates were predominantly found in the Southern part of
263 the country (Fig. 2). Differences between these clusters were minor but consistent. The
264 position HA 403 specified aa D for 2.2.2 and N for 2.2.1 and served to differentiate these
265 clusters. In addition, aa positions 247 and 335 of the neuraminidase similarly revealed
266 marker-like properties for clusters 2.2.2 and 2.2.1. All 2.2.3-like isolates originated from the
267 2007 outbreak in Southeastern Germany. No 2.2.3-like isolates were detected in Germany in
268 2006.

269

270 Recently, Salzberg et al. (2007) analysed 71 complete genomes of H5N1 HPAIVs isolated
271 from Europe, the Middle East, Russia and Africa. Based on their data three different

272 phylogenetic clades of H5N1 viruses from Europe-Middle-East-Africa (EMA) were
273 distinguished. Analyses of our data on a larger phylogenetic scale showed that the isolates
274 from the North of Germany fall into the EMA group 2 (subclade 2.2.2), while those from the
275 South cluster with EMA-1 (subclade 2.2.1) and those from 2007 with EMA-3 (subclade 2.2.3;
276 Fig. 1). An H5N1 isolate obtained from a common teal in autumn 2005 in the Northwestern
277 part of Germany, i.e. four months before the detection of H5N1/Asia-like viruses in Germany,
278 proved to be of low pathogenicity and clustered with other LPAIVs of recent Eurasian origin
279 (data not shown). Pairwise comparisons of randomly selected H5N1 HPAIVs isolated in 2006
280 and 2007 in other European countries with consensus sequences of subclades 2.2.1 to 3
281 revealed a very close relationships of these viruses to subclades 2.2.1 and 2, respectively.
282 However, subclade 2.2.3 proved to be more distinct (Table 2). No distinct patterns as to the
283 geographical origin of ancestors of subclades 2.2.1 to 3 viruses were evident. Nevertheless,
284 the striking homogeneity of viruses of subclade 2.2.2 suggests that a single introduction
285 caused the epidemic outbreak on the Baltic island of Ruegen. From this epicentre the
286 infection spread radially towards the West and South. Sequences of subclade 2.2.1, in
287 contrast, showed more diversity. This might be due to the longer persistence of the infection
288 in the South where infections could be detected until early May as compared to late March in
289 the North, and, consequently, the chance for an accumulation of mutations. On the other
290 hand, repeated introductions of closely related GER-B like viruses could also have occurred
291 (Rinder et al., 2007). For subclade 2.2.3 closest publicly available sequences originate from
292 wild birds in Mongolia and from poultry in Afghanistan. There is to our knowledge only a
293 single HPAIV H5N1 virus (*A/mute swan/Italy/742/2006*) of this EMA-3/2.2.3 subclade which
294 has been detected in 2006 in Europe.

295

296 No host-related differences were detectable in the sequences analysed. This includes
297 isolates from 16 different aquatic and terrestrial avian species as well as a cat and a stone
298 marten (see also Weber et al., 2007). The mammalian viruses proved to be similar to those
299 circulating at the same time in wild birds indicating that infection of these mammals occurred
300 most likely by scavenging on wild bird carcasses. In addition, the results show that a single
301 passage of the virus in another species and even in mammals is not necessarily associated
302 with changes in the receptor-binding sites.

303

304 Our data suggest the simultaneous introduction in early 2006 of two closely related but
305 distinct H5N1 variants into the wild bird population of Germany. The source of these viruses
306 and the exact time of introduction could not be identified. However, based on the very high
307 degree of similarity between isolates within each of the two respective clusters and the

308 identification of closely related H5N1 viruses from southern and central Russia an
309 introduction, possibly via wild birds on winter escape from these regions, early in 2006
310 appears to be a highly likely scenario. The re-occurrence of HPAIV H5N1 in 2007 appears to
311 be related to a new incursion. These viruses belong to subclade EMA-3 which had not been
312 detected in Germany previously. Its sources and routes of introduction remain to be resolved.

313

314 **Acknowledgments**

315 This study has been funded by the European network of excellence 'EPIZONE' and by the
316 Federal Ministry of Food, Agriculture and Consumer Protection, BMELV, Germany (FSI,
317 project no. 1-3.6). We thank Kathrin Teske for drawing the map (figure 2).

318

319

320

321

322 **References**

323

324 Anonymous, 2005. Opinion of the Scientific Panel on AHAW on a request from the
325 Commission related to animal health and welfare aspects of Avian Influenza. The *EFSA*
326 *Journal* 266, 1-21.

327

328 Anonymous, 2006. Commission decision of 4 August 2006 approving a Diagnostic Manual
329 for avian influenza as provided for in Council Directive 2005/94/EC (notified under document
330 number C(2006) 3477; 2006/437/EC).

331

332 Capua I., Alexander D.J., 2006. The challenge of avian influenza to the veterinary community.
333 *Avian Pathol.* 35, 189-205.

334

335 Chen, H., Li, Y., Li, Z., Shi, J., Shinya, K., Deng, G., Qi, Q., Tian, G., Fan, S., Zhao, H., Sun,
336 Y., Kawaoka, Y., 2006. Properties and dissemination of H5N1 viruses isolated during an
337 influenza outbreak in migratory waterfowl in western China. *J. Virol.* 80, 5976-5983.

338

339 Ducatez, M.F., Olinger, C. M., Owoade, A. A., De Landtsheer, S., Ammerlaan, W. et al.,
340 2006. Avian Flu: Multiple introductions of H5N1 in Nigeria. *Nature* 442, 37.

341

342 Fouchier, R.A., Munster, V., Wallensten, A., Bestebroer, T.M., Herfst, S., Smith, D.,
343 Rimmelzwaan, G.F., Olsen, B., Osterhaus, A.D., 2005. Characterization of a novel influenza
344 A virus hemagglutinin subtype (H16) obtained from black-headed gulls. *J. Virol.* 79, 2814-
345 2822.

346

347 Harder, T.C., Werner, O., 2006. Avian Influenza. In: Kamps, B., Hoffmann C., Preiser W.
348 (eds), *Influenza report 2006*; <http://www.influenzareport.com/ir/ai.htm>

349

350 Hoffmann, B., Harder, T., Starick, E., Depner, K., Werner, O. Beer, M., 2007. Rapid and
351 highly sensitive pathotyping of avian influenza A H5N1 virus by using real-time reverse
352 transcription-PCR. *J. Clin. Microbiol.* 45, 600-603.

353

354 Hoffmann, E., Stech, J., Guan, Y., Webster, R.G. Perez, D.R. 2001. Universal primer set for
355 the full-length amplification of all influenza A viruses. *Arch. Virol.* 146, 2275-2289.

356

357 Li, K.S., Guan, Y. Wang, J., Smith, G.J. Xu, K.M. et al., 2004. Genesis of a highly pathogenic
358 and potentially pandemic H5N1 influenza virus in eastern Asia. *Nature* 430, 209-212.

359

360 Page, RD., 1996. TreeView: an application to display phylogenetic trees on personal
361 computers. *Comput. Appl. Biosci.* 12, 357-358.

362

363 Rinder, M., Lang, V., Fuchs, C., Marx-Hafner, A., Bogner, K.H., Neubauer, A., Büttner, M.,
364 Rinder, H. (2007): Genetic evidence for multi-event imports of avian influenza virus A (H5N1)
365 into Bavaria, Germany. *J. Vet. Diagn. Invest.* 19, 279-282.

366

367 Salzberg, S.L., Kingsford, C., Cattoli, G. , Spiro, D.J., Janies, D.A. et al., 2007. Genome
368 analysis linking recent European and African influenza (H5N1) viruses. *Emerg. Infect. Dis.*
369 13, 713-718.

370

371 Senne, D.A., Pedersen, J.C., Suarez, D.L., Panigrahy, B., 2006. Rapid diagnosis of avian
372 influenza (AI) and assessment of pathogenicity of avian H5 and H7 subtypes by molecular
373 methods. *Dev Biol (Basel)*. 126, 171-177.

374

- 375 Smith, G.J.D., Naipospos, T. S. P., Nguyen, T. D., de Jong, M. D., Vijaykrishna, D. et al.,
376 2006a. Evolution and adaptation of H5N1 influenza virus in avian and human hosts in
377 Indonesia and Vietnam. *Virology* 350, 258-268.
378
- 379 Smith, G.J.D., X.H. Fan, J. Wang, K.S. Li, K. Qin et al., 2006b. Emergence and
380 predominance of an H5N1 influenza variant in China. *Proc. Natl. Acad. Sci. USA* 103, 16936-
381 16941.
382
- 383 Starick, E., Roemer-Oberdoerfer, A., Werner, O., 2000. Type- and subtype-specific RT-PCR
384 assays for avian influenza A viruses (AIV). *J. Vet. Med. B* 47, 295-301.
385
- 386 Strimmer K., von Haeseler, A. 1996. Quartet puzzling: A quartet maximum-likelihood method
387 for reconstructing tree topologies. *Mol. Biol. Evol.*, 13: 964-969.
388
- 389 Swayne, D.E., Suarez, D.L. 2000. Highly pathogenic avian influenza. *Rev. Sci. Tech.* 19,
390 463-482.
391
- 392 Tamura, K., Dudley, J., Nei, M., Kumar, S., 2007. MEGA4: Molecular Evolutionary Genetics
393 Analysis (MEGA) Software Version 4.0. *Mol Biol Evol.* May 7; [Epub ahead of print] PMID:
394 17488738
395
- 396 Weber, S., Harder, T., Starick, E., Beer, M., Werner, O., Hoffmann, B. Mettenleiter, T.C.,
397 Mundt, E., 2007. Molecular analysis of highly pathogenic avian influenza virus of subtype
398 H5N1 isolated from wild birds and mammals in northern Germany. *J. Gen. Virol.* 88, 554-
399 558.
400
- 401 WHO, Writing Committee of the WHO Consultation on Human Influenza A / H5, 2005. Avian
402 influenza A (H5N1) infection in humans. *N. Engl. J. Med.* 353, 1374-1385.
403
- 404 WHO, 2007. Confirmed Human Cases of Avian Influenza A (H5N1).
405 http://www.who.int/csr/disease/avian_influenza/country/en/
406
- 407 WHO/FAO/OIE H5N1 Evolution Working Group. 2007. Towards a Unified Nomenclature
408 System for the Highly Pathogenic H5N1 Avian Influenza Viruses.
409 <http://www.who.int/csr/disease/influenza/en>
410

411 Wright, K.E., Wilson, G.A.R., Novosad, D., Dimock, C., Tan, D., Weber, J.M., 1995. Typing
412 and subtyping of influenza viruses in clinical samples by PCR. *J. Clin. Microbiol.* 33, 1180-
413 1184.

414

415 **Legend to figures**

416

417 **Figure 1.**

418 Phylogenetic analysis of the coding part (nt 29-1735) of the HA gene of 24 H5N1 HPAIV
419 isolates obtained from naturally infected wild birds and mammals in spring 2006 in Germany.
420 Phylogenies were established by maximum likelihood (quartet puzzling); neighbor-joining
421 and maximum parsimony analyses resulted in similar topologies. EMA (Europe-Middle East-
422 Africa) clades refer to Salzberg et al. (2007) and have been designated in the text “subclades
423 2.2.1 (EMA 1) to 2.2.3 (EMA 3)” according to the WHO/FAO/OIE H5N1 Evolution Working
424 Group (2007).

425

426 **Figure 2.**

427 Detection by real time RT PCR and localization of 439 wild birds infected with HPAIV H5N1
428 in Germany in spring 2006 (red dots) and in summer 2007 (purple dots). Blue dots represent
429 four mammals found to be H5N1-infected. Phylogenetic analysis of the HA and NA genes of
430 27 isolates allowed to distinguish, in 2006, a Northern (yellow triangles, subclade 2.2.2) from
431 a Southern cluster (orange squares, subclade 2.2.1) and, in 2007, a third subclade (green
432 stars, subclade 2.2.3) suggesting at least three different introductions of H5N1 into Germany,
433 Outbreaks in poultry are marked with a separate circle around a dot.

434

Accepted Manuscript

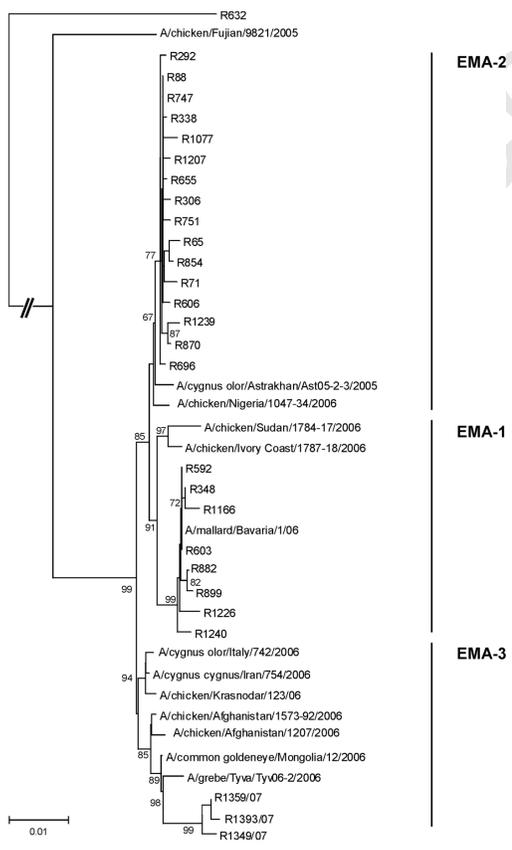


Figure 2.

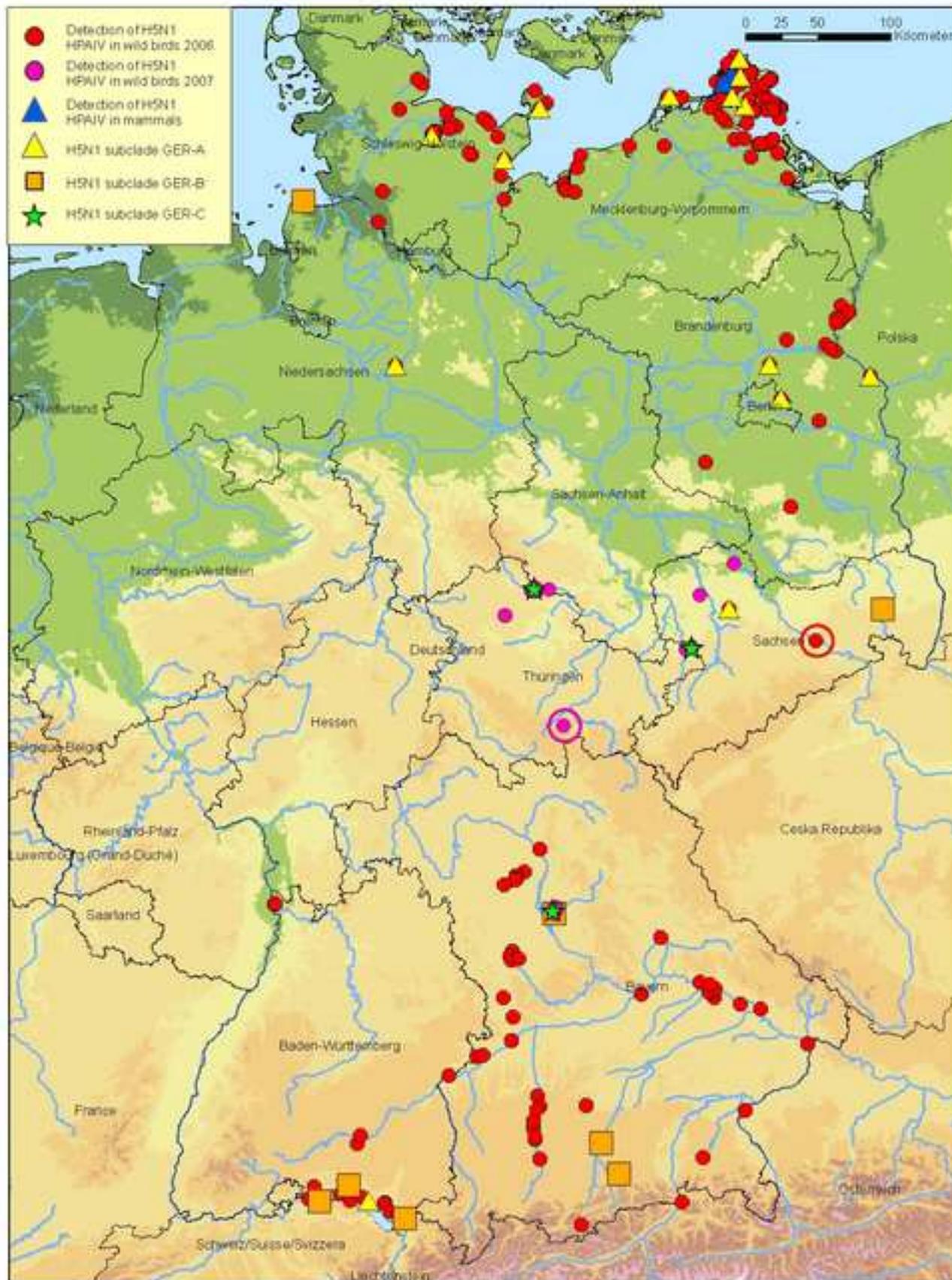


Table 1. Identification, geographic origin and sequence data of HPAIV H5N1 isolates originating from Germany 2006.

Isolate	Geographic region ¹	HA-full length (nt 29-1756)	HA-partial (nt 82-1735)	NA-partial (nt 526-1063)
Subclade 2.2.1				
A/Aythya ferina/Germany/R348/06	South	AM403465		AM403139
A/Aythya ferina/Germany/R592/06	South	AM403466		AM403140
A/Anas spec./Germany/R603/06	South	AM403467		AM403141
A/Larus canus/Germany/R882/06	North	AM408215		AM403148
A/Falco tinnunculus/Germany/R899/06	South		AM408211	AM403149
A/Bubo bubo/Germany/R1166/06	South	AM403473		AM403151
A/Podiceps cristatus/Germany/R1226/06	South		AM408212	AM403153
A/Aythya fuligula/Germany/R1240/06	Central	AM408216		AM403155
Subclade 2.2.2				
A/Cygnus cygnus/Germany/R65/06	North	AM403460		AM403133
A/Branta canadensis/Germany/R71/06	North	AM403461		AM403134
A/Cygnus cygnus/Germany/R88/06	North	AM403462		AM403135
A/Phalacrocorax carbo/Germany/R292/06	North		AM408209	AM403136
A/Larus argentatus/Germany/R306/06	North	AM403463		AM403137
A/Anas platyrhynchos/Germany/R338/06	North	AM403464		AM403138
A/Felis catus/Germany/R606/06	North	AM403468		AM403142
A/Anser anser/Germany/R696/06	North		AM408210	AM403144
A/Fuliva atra/Germany/R655/06	North	AM403469		AM403143
A/Martes foina/Germany/R747/06	North	AM492165		AM492166
A/Aythya fuligula/Germany/R751/06	South	AM403470		AM403145
A/Cygnus olor/Germany/R854/06	North	AM403471		AM403146
A/Buteo buteo/Germany/R870/06	North		AM408213	AM403147
A/Meleagris gallopavo/Germany/R1077/06	Central	AM403472		AM403150
A/Branta canadensis/Germany/R1207/06	North	AM403474		AM403152
A/Ciconia ciconia/Germany/R1239/06	North	AM403475		AM403154
Subclade 2.2.3				
A/Cygnus olor/Germany/R1349/07	South	AM749442		AM749445 *
A/Cygnus olor/Germany/R1359/07	Central	AM749443		AM494444
A/Podiceps nigricollis/Germany/R1393/07	Central	AM773724		AM773725
Outgroup				
A/Anas crecca/Germany/WV632/05 (H5N1-LPAI)	North	AM408214		AM408217

* complete sequence

¹ Geographic area of Germany

Table 2. Pairwise comparison of GER-A and –B consensus and one Ger-C representative nucleotide sequences to HA full-length coding sequences from Europe; one per country (GCG, Version 11.1; Accelrys Inc.)

Accession no	Strain designation	Percentage identity		
		2.2.2 consensus	2.2.1 consensus	2.2.3 consensus
DQ434889	A/Cygnus olor/Astrakhan/Ast05-210/2005	99.60	98.97	98.30
DQ407519	A/Meleagris gallopavo/Turkey/1/2005	98.99	98.82	98.07
CY016819	A/Cygnus olor/Croatia/1/2005	99.83	99.19	98.54
CY017043	A/Cygnus olor /Slovenia/760/2006	99.31	99.13	98.42
CY016795	A/Anas platyrhynchos/Italy/835/2006	99.13	99.08	98.07
DQ458992	A/Anas platyrhynchos/Bavaria/1/2006	99.24	100	98.18
EF110519	A/Fulica atra/Switzerland/V544/06	99.06	99.82	98.01
EF395845	A/Cygnus olor /Austria/216/2006	99.37	99.2	98.36
DQ515984	A/Cygnus olor/Czech Republic/5170/2006	99.14	98.99	98.07
EF474450	A/Gallus gallus/Moscow/2/2007	98.75	98.4	98.18
EF446771	A/Anser domesticus/Hungary/2823-2/2007	99.48	99.31	98.42
EF441263	A/Meleagris gallopavo/England/259/2007	99.41	99.35	98.40
AM403462	A/Cygnus cygnus/Germany/R88/06	100	99.06	98.48
AM408216	A/Aythya fuligula/Germany/R1240/06		100	98.18
AM773724	A/Podiceps nigricollis /Germany/R1393/07			100