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The Catalan seismic crisis (1427 and 1428): Geological sources and earthquake triggering (H. Perea)

1           **The Catalan seismic crisis (1427 and 1428; NE Iberian Peninsula): Geological**  
2                           **sources and earthquake triggering**

3  
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11  
12    **Abstract**

13  
14    The Catalan seismic crisis of the years 1427 and 1428 is one of the most destructive  
15    seismic episodes that happened in the northeastern Iberian Peninsula in historical times.  
16    The main earthquakes of this crisis occurred on March 19<sup>th</sup> 1427 in the zone around  
17    Amer ( $I_{EMS-98}=VIII$ ), May 15<sup>th</sup> 1427 in the vicinity of Olot ( $I_{EMS-98}=VIII$ ) and on February  
18    2<sup>nd</sup> 1428 in the area close to Camprodon ( $I_{EMS-98}=IX$ ). There is much evidence that the  
19    Amer fault produced the first two events of this crisis, but is still uncertain which fault  
20    generated the earthquake on February 2<sup>nd</sup> 1428. Using newly available macroseismic  
21    data, the earthquake area sources of the three main earthquakes of the crisis have been  
22    obtained and they corroborate that the Amer fault may be the origin of the first two  
23    events. However, the area source corresponding to the last earthquake of the crisis cannot

24 be associated to a single fault and indicates three possible candidates: the Vallfogona and  
25 Ribes-Camprodon thrusts and the Amer normal fault. Modeling of the Coulomb failure  
26 stress transfer has been performed to help determine the best candidate responsible for the  
27 February event. The results of the modeling points to: a) a triggering relationship between  
28 the three main events of the crisis and b) the Amer fault, or a similar extensional fault  
29 close and parallel to it, as the most probable origin of the earthquake on February 2<sup>nd</sup>  
30 1428.

31

32 Key-words: Catalan seismic crisis; historical earthquakes; seismogenic faults; earthquake  
33 triggering; Coulomb failure stress transfer.

34

### 35 **1- Introduction**

36

37 To establish the faults that have produced big and potentially destructive earthquakes  
38 during the Quaternary and to predict where and, approximately, when the next one might  
39 occur are the main objectives of seismotectonic and active tectonic studies. One of the  
40 basic ways to localize active faults is to study large historical earthquakes reported on  
41 seismic catalogs. From the geographical relationship between the distribution of the  
42 damaged localities during one of these large earthquakes and the surrounding geological  
43 structure, it may be possible to identify the fault responsible for the event.

44

45 The Catalan seismic crisis constitutes the most destructive seismic episode that has taken  
46 place in the northeastern Iberian Peninsula (Fontserè and Iglésies, 1971; Cadiot, 1979;

47 Banda and Correig, 1984; Lambert, 1993; Olivera et al., 2006). The crisis occurred  
48 during the years 1427 and 1428 and the study of its three main shocks (figure 1 and table  
49 1), March 19<sup>th</sup> 1427 ( $I_{EMS-98}=VIII$ ), May 15<sup>th</sup> 1427 ( $I_{EMS-98}=VIII$ ) and February 2<sup>nd</sup> 1428  
50 ( $I_{EMS-98}=IX$ ), and the search for the fault or faults that produced them has been the  
51 objective of various studies (Fontserè and Iglésies, 1971; Banda and Correig, 1984; Briais  
52 et al., 1990; Ferrer et al., 1999; Fleta et al., 2001; Olivera et al., 2006; Perea, 2006; Perea  
53 et al., 2006). The identification of these faults and the recognition of the triggering  
54 relation between the main earthquakes may lead to an improvement of future seismic  
55 hazard studies performed in this region.

56

57 The objectives of the present work are: a) to assess the location and size of the area  
58 sources corresponding to the three main earthquakes of the Catalan seismic crisis,  
59 applying the method proposed by Gasperini et al. (1999) and using the new data  
60 published by Olivera et al. (2006); b) to try to identify the active faults that produced  
61 these earthquakes; and c) to perform a Coulomb failure stress transfer modeling to  
62 elucidate the influence of each of them in the occurrence of each other.

63

## 64 **2- Seismotectonics of the northeastern Iberian Peninsula**

65

66 The active faults located in intraplate regions, such as the northeastern Iberian Peninsula,  
67 show low strain rates. Although the seismicity in these regions is low and characterized  
68 by earthquakes of low to moderate magnitude, some big earthquakes have occurred in the  
69 past, such as those of the Catalan seismic crisis, and could be repeated in the future with

70 important economic and social consequences. Recent studies about the active tectonics of  
71 the northeastern Iberian Peninsula show that the slip rate of active faults ranges between  
72 0.1 and 0.01 mm/yr and the mean recurrence interval of the big earthquakes lies between  
73  $10^3$  and  $10^5$  years (Masana, 1995; Masana et al., 2001a; Masana et al., 2001b; Perea et al.,  
74 2003; Perea, 2006; Perea et al., 2006). Therefore, the existence of these active faults in  
75 low strain regions and its potentiality to produce big earthquakes bring out the necessity  
76 to include their study and analysis into seismic hazard projects.

77

78 The northeastern Iberian Peninsula is characterized by the interference between  
79 Paleogene compression structures related to the Pyrenees orogen (Muñoz et al., 1986;  
80 Vergés and Martínez, 1988; Pujadas et al., 1989; Muñoz, 1992; Muñoz, 2002) and  
81 Neogene extensional basins related to the opening of the western Mediterranean (Saula et  
82 al., 1996; Tassone et al., 1996; Doglioni et al., 1999; Jolivet et al., 1999; Lewis et al.,  
83 2000; Roca et al., 2001; Gelabert et al., 2002). The main Pyrenean structures are thrusts,  
84 and associated folds, which have an approximately E-W strike and which dip about  $40^\circ$  to  
85 the north (Muñoz et al., 1986). The Neogene extensional basins located in the study area  
86 are bounded by mountain fronts, the Transverse ranges, controlled by normal faults with  
87 an approximately NW-SE direction with the main ones dipping about  $60^\circ$  to the NE  
88 (Saula et al., 1996).

89

90 The instrumental seismicity in the northeastern Iberian Peninsula can be described as  
91 moderate to low, given the magnitude and the number of earthquakes (Olivera et al.,  
92 1992; Perea, 2006; Perea et al., 2006). The geographic distribution of the earthquakes

93 reveals that the Pyrenees and the Transverse ranges are characterized by a high density of  
94 events when compared with the whole area (figure 2a). Regarding the depth distribution  
95 of the earthquakes, the seismicity can be described as shallow since more than 90% of the  
96 events are located between the surface and 15-20 km depth (figure 2b). This depth  
97 approximately coincides with the location of the detachment of the main thrust and  
98 normal faults located in the zone. Thus, the active faults may be related to the Pyrenees  
99 and the Transverse ranges structures (Perea, 2006; Perea et al., 2006). Moreover, the  
100 seismogenic crust might correspond to the first 15-20 km of the crust, taking into account  
101 the depth range where the seismicity is mainly located. According to this, the fault  
102 rupture planes that will be used in the Coulomb failure stress transfer modeling must not  
103 surpass the 20 km depth, the bottom boundary of the seismogenic crust.

104

### 105 **3- The Catalan seismic crises**

106

107 The Catalan seismic crisis began at the end of February 1427 and lasted until August  
108 1428 (Olivera et al., 2006). The first earthquakes were felt in the Girona bishopric at the  
109 end of February 1427. During the night between March 2<sup>nd</sup> and 3<sup>rd</sup> two earthquakes, that  
110 did not produced damage, were felt in Barcelona and in the entire Girona bishopric.  
111 Following this, on March 13<sup>th</sup>, 14<sup>th</sup> and 15<sup>th</sup> another three earthquakes struck the same  
112 zone, the largest being the one on the 13<sup>th</sup> ( $I_{EMS-98}=VI-VII$ ) with its epicenter located  
113 close to the town of Amer (figure 1 and table 1). These three earthquakes already had  
114 produced damage and alarm in the population close to the epicentral area, who began to  
115 sleep in tents placed in fields next to the affected cities and towns.

116

117 The first big earthquake of the crisis occurred on March 19<sup>th</sup> 1427 (figure 1 and table 1).  
118 This event produced significant damage in Amer and nearby towns, and was felt in the  
119 majority of the Catalan territory and southern France. Olivera et al. (2006) assign to this  
120 event a maximum intensity of VIII (EMS-98), a seismic moment ( $M_0$ ) of  $8.43 \cdot 10^{17}$  Nm,  
121 a moment magnitude ( $M_w$ ) of 5.9 and a focal depth of 6 km, and locate the epicenter  
122 slightly to the south of Amer. These authors obtained the  $M_0$  using the relationships  
123 between this parameter and the area inside a given intensity contour (Johnston, 1996a;  
124 Johnston, 1996b), the  $M_w$  by means of Hanks and Kanamori (1979) relationship and the  
125 focal depth using the Sponheuer (1960) law.

126

127 After the March 19<sup>th</sup> earthquake, a series of aftershocks occurred in the vicinity of the  
128 epicentral zone from March 21<sup>st</sup> until 22<sup>nd</sup>, and on April 13<sup>th</sup>, 22<sup>nd</sup> and 23<sup>rd</sup> (Olivera et al.,  
129 2006). Among them, the largest was the one on April 22<sup>nd</sup>, with an assigned intensity of  
130 VI-VII (EMS-98) and an epicenter located in the zone of Lloret Salvatge, slightly to the  
131 north of Amer (figure 1 and table 1). This aftershock was the first event of the crisis that  
132 produced casualties, caused by toxic gas emissions.

133

134 On May 15<sup>th</sup> 1427 the second big earthquake of the crisis occurred (Fontserè and Iglésies,  
135 1971; Olivera et al., 2006). The zone between Olot and the Vall d'en Bas, which is  
136 located about 20 km to the north of the area where the previous earthquakes had taken  
137 place, was the most damaged (figure 1 and table 1). Olivera et al. (2006) assigned to this



138 earthquake an intensity of VIII (EMS-98), a  $M_0$  of  $6.31 \cdot 10^{17}$  Nm, a  $M_w$  of 5.8 and a  
139 focal depth of 5 km.

140

141 Between May 15<sup>th</sup> and June 4<sup>th</sup> 1427 small earthquakes were felt in the Girona bishopric  
142 (Olivera et al., 2006). After these, an event of intensity VI (EMS-98) occurred on June 8<sup>th</sup>  
143 and was followed by numerous tremors on June 12<sup>th</sup>, all of them close to the city of  
144 Girona. Following this, on June 14<sup>th</sup> an earthquake of intensity VII (EMS-98) produced  
145 the collapse of half of the town of Caldes de Malavella (figure 1 and table 1), 15 km to  
146 the south of the city of Girona. Olivera et al. (2006) assigned to this event a  $M_w$  of 5.3.  
147 Between June 15<sup>th</sup> 1427 and February 2<sup>nd</sup> 1428 several other earthquakes were felt in the  
148 vicinity of Girona, mainly between July and August, all of them with intensities lower  
149 than V (EMS-98).

150

151 The last of the big earthquakes of the Catalan seismic crisis occurred on February 2<sup>nd</sup>  
152 1428 (Fontserè and Iglésies, 1971; Cadiot, 1979; Banda and Correig, 1984; Lambert,  
153 1993; Olivera et al., 2006). This was the largest of the three main events of the crisis and  
154 its epicentral zone was located close to the town of Camprodon ( $I_{EMS-98}=IX$ ; Olivera et  
155 al., 2006), approximately 15 km to the north of Olot (figure 1 and table 1). This  
156 earthquake produced significant damage in the proximity of the epicentral area, more  
157 than 1000 casualties and was felt in up to almost 300 km from the epicenter. Olivera et al.  
158 (2006) calculated a  $M_0$  of  $5.95 \cdot 10^{18}$  Nm, a  $M_w$  of 6.5 and a focal depth of 9 km.

159

160 After the earthquake on February 2<sup>nd</sup> 1428, there are no further data regarding the  
161 occurrence of aftershocks, probably eclipsed by the high magnitude and destruction of  
162 this last event (Olivera et al., 2006). It is known only that small earthquakes did occur  
163 during July and August 1428.

164

#### 165 **4- Earthquakes area sources and seismogenic faults**

166

167 The historical reports describing the damage produced by a certain earthquake in  
168 different localities can be used to determine the fault that produced it. Gasperini et al.  
169 (1999) proposed a method (“Gasperini method” henceforth), which is based on  
170 algorithms developed in Gasperini and Ferrari (1995 and 1997), to assess the location,  
171 size and orientation of an earthquake area source using the distribution of the intensity  
172 data points, cities or sites where the earthquake caused damage or was felt. The final  
173 result of the method is an oriented rectangle that describes the earthquake area source and  
174 is meant to represent the surface projection of the fault responsible for the earthquake or  
175 the portion of the Earth where it would be likely to be located. The projection of the  
176 obtained earthquake area source on a geological map of the area could allow us to  
177 determine the fault that was the origin of the historical earthquake. Gasperini et al. (1999)  
178 obtained the source of some Italian historical earthquakes with magnitude equal or higher  
179 than 5.5, which means intensities equal or higher than VIII (Ambraseys, 1985; López  
180 Casado et al., 2000). Therefore, in this study the Gasperini method has been only applied  
181 to assess the source of the three main earthquakes of the Catalan seismic crisis (March

182 19<sup>th</sup> and May 15<sup>th</sup> 1427 and February 2<sup>nd</sup> 1428) using the intensity data points published  
183 by Olivera et al. (2006).

184

185 Olivera et al. (2006) furnished 49 localities where there is available information about the  
186 March 19<sup>th</sup> 1427 earthquake (22 with assigned intensity, figure 3a; 15 with supposed  
187 probable damages; 11 with a felt report; 1 with local effects). The data points with  
188 assigned maximum intensity (5 localities with  $I_{EMS-98}=VIII$ ) are located around Amer.  
189 The earthquake area source obtained applying the Gasperini method has a NNW-SSE  
190 direction, a rupture area of  $50.4 \text{ km}^2$  ( $8.0 \times 6.3 \text{ km}$ ) that corresponds to a  $M_w$  of  $5.7 \pm 0.4$   
191 earthquake and the epicenter is located to the south of Amer (figure 3a and source A in  
192 figure 4). When the location of the earthquake area source is projected onto a geological  
193 map it shows partial spatial superposition with the southern Amer fault (figure 4). This is  
194 an extensional fault located in the Transverse ranges with a NNW-SSE direction and  
195 dipping around  $60^\circ$  to the NE (Saula et al., 1996). Therefore, the southern Amer fault  
196 could be considered as the fault that generated the March 19<sup>th</sup> 1427 earthquake. This  
197 inference is supported by the conclusions that other authors have made from  
198 geomorphologic, geophysical and seismotectonic studies of the Amer fault (Goula et al.,  
199 1992; Ferrer et al., 1999; Fleta et al., 2001; Perea, 2006; Perea et al., 2006).

200

201 According to Olivera et al. (2006), the May 15<sup>th</sup> 1427 affected 38 localities (22 with  
202 assigned intensity, figure 3b; 5 with supposed probable damage; 10 with a felt report; 1  
203 with local effects). The maximum intensity data points (6 localities with  $I_{EMS-98}=VIII$ ) are  
204 located between Olot and the Vall d'en Bas valley, a basin to the west of Olot related to

205 the Amer fault. The Gasperini method gives an earthquake area source located in the Vall  
206 d'en Bas zone, with a NE-SW direction and a rupture area of approximately  $38 \text{ km}^2$   
207 ( $6.6 \times 5.7 \text{ km}$ ) that corresponds to an earthquake of  $M_w 5.5 \pm 0.3$  (figure 3b and source B in  
208 figure 4). As a result of projecting the earthquake area source on a geological map it  
209 could be concluded that the May 15<sup>th</sup> event was also produced by the Amer fault (figure  
210 4). Although there is a discrepancy between the direction of the area source and the  
211 direction of the fault, the source is entirely located inside the hanging wall of the Amer  
212 fault, clearly pointing to this fault as the origin of the earthquake. In accordance with the  
213 area source location, this event was generated by a segment of the fault located to the  
214 north of the one that ruptured during the March 19<sup>th</sup> earthquake, close to where the fault  
215 changes its direction from NW-SE to NNW-SSE. The Amer fault being responsible for  
216 this earthquake is also supported by other studies (Goula et al., 1992; Ferrer et al., 1999;  
217 Fleta et al., 2001; Perea, 2006; Perea et al., 2006).

218

219 For the third and the strongest earthquake of the seismic crises, the February 2<sup>nd</sup> 1428,  
220 Olivera et al. (2006) furnished 136 localities with information about the event (70 with  
221 assigned intensity, figure 3c; 36 with damage reported; 27 with probable damage; and 3  
222 with insufficient information). The maximum intensity was assigned to the town of  
223 Camprodon ( $I_{\text{EMS-98}}=\text{IX}$ ), but around it there are 24 localities with an  $I_{\text{EMS-98}}=\text{VIII-IX}$ .  
224 The earthquake area source obtained applying the Gasperini method is located to the  
225 north of the May 15<sup>th</sup> event epicentral zone, has a WNW-ESE direction and a rupture area  
226 of approximately  $164 \text{ km}^2$  ( $17.1 \times 9.6 \text{ km}$ ), corresponding to an earthquake of  $M_w 6.2 \pm 0.3$   
227 (figure 3c and source C in figure 4). In contrast with the previous two analyzed events of

228 the crisis, the projection of the earthquake area source onto the geological map does not  
229 show an univocal spatial relationship with one fault. However, it permits three possible  
230 candidates: the Vallfogona and the Ribes-Camprodon thrusts and the northern zone of the  
231 Amer fault (figure 4). Although Briais et al. (1990) suggested the Tet fault as another  
232 possible source for this earthquake, the results here refute this given that the area source  
233 obtained does not reveal any kind of spatial relationship with this fault (figure 4).

234

## 235 **5- Static stress transfer modeling**

236

### 237 5.1 Coulomb failure stress transfer

238

239 When an earthquake occurs it changes the state of static stress in the surrounding crust.  
240 Studies of Coulomb failure stress transfer have shown that the occurrence of moderate to  
241 large earthquakes can trigger subsequent earthquakes and exerts some control on the  
242 distribution of the aftershocks and quiescent zones (Reasenber and Simpson, 1992; King  
243 et al., 1994; Harris and Simpson, 1996; Hodgkinson et al., 1996; Nostro et al., 1997;  
244 Hardebeck et al., 1998; Harris, 1998; Harris and Simpson, 1998; Nalbant et al., 1998;  
245 Toda et al., 1998; Parsons et al., 1999; Harris, 2000; Wyss and Wiemer, 2000; Jacques et  
246 al., 2001; Lin and Stein, 2004; Martínez-Díaz et al., 2004; Ganas et al., 2005; Ganas et  
247 al., 2006; Martínez-Díaz et al., 2006; Nalbant et al., 2006; Parsons et al., 2006; Freed et  
248 al., 2007; Heidbach and Ben-Avraham, 2007). In this study, the objectives of the  
249 Coulomb stress transfer modeling have been: a) to establish the possible triggering  
250 relationships between the three main earthquakes of the Catalan seismic crisis; and b) to

251 identify the fault that generated the February 2<sup>nd</sup> 1428 event, poorly constrained by the  
252 Gasperini method.

253

254 The Coulomb failure stress change caused by the historical earthquakes has been  
255 calculated for dislocations in an elastic half-space (Okada, 1992) and on slip planes  
256 (“receiver faults” henceforth) with a given strike, dip and rake, or on receiver faults  
257 optimally oriented with respect to the regional stress field (Reasenberg and Simpson,  
258 1992; King et al., 1994). The Coulomb failure stress change ( $\Delta\text{CFS}$ ) is given by:  
259  $\Delta\text{CFS}=\Delta\tau_c-\mu'\Delta\sigma_n$ , where  $\Delta\tau_c$  is the change in shear stress (positive in the direction of the  
260 fault slip),  $\Delta\sigma_n$  is the change in normal stress (positive in unclamping of the fault) and  $\mu'$   
261 is the apparent friction coefficient of the fault. According to Nalbant et al. (1998) and  
262 taking into account that the majority of the faults located in the study area are normal  
263 faults, a value of 0.4 has been selected for  $\mu'$ . Nevertheless, the variations of this  
264 parameter only modestly modify the Coulomb failure stress distribution around a fault  
265 (King et al., 1994; Nostro et al., 1997; Stein et al., 1997; Harris, 1998). A positive  
266 increase of the Coulomb failure stress transfer in an area is interpreted as meaning that a  
267 fault plane located in this area has been brought close to failure, whereas if it is negative  
268 the interpretation is the opposite (i.e., relaxed). For the modeling of the Coulomb failure  
269 stress transfer the Coulomb 3.1 software (Lin and Stein, 2004; Toda et al., 2005) has been  
270 used.

271

272 5.2 Present regional stress field

273

274 The Coulomb stress transfer modeling on optimally oriented receiver faults is most  
275 sensitive to the regional stress field orientation (King et al., 1994). Therefore, it is  
276 necessary to know the present regional stress field, which in northeastern Iberia has been  
277 evaluated using borehole breakout data (Jurado and Muller, 1997; Schindler et al., 1998)  
278 and focal mechanisms (Goula et al., 1999; Herraiz et al., 2000; de Vicente et al., 2008).  
279 However, the different studies show neither a single tectonic regime nor a homogeneous  
280 orientation of the stress field.

281

282 Jurado and Muller (1997) and Schindler et al. (1998) obtained from borehole breakout  
283 data that the maximum horizontal stress in the NE Iberian Peninsula has an orientation  
284 between NE-SW and ENE-WSW. The latter authors proposed a tectonic regime varying  
285 between extensional and strike-slip for the faults located on the north-western margin of  
286 the Valencia trough. Therefore, the maximum ( $\sigma_1$ ) and intermediate ( $\sigma_2$ ) stresses may be  
287 similar in magnitude, but clearly different from the minimum stress ( $\sigma_3$ ) that is horizontal.

288

289 Goula et al. (1999) and Herraiz et al. (2000) evaluated the present stress field tensor from  
290 first P arrival focal mechanisms through the inversion method of Rivera and Cisternas  
291 (1990). The former authors obtained two solutions: a) a strike-slip stress tensor with a  
292 shape factor (defined as  $R_s = (\sigma_2 - \sigma_3) / (\sigma_1 - \sigma_3)$ ) equal to 0.4 and a Q-score=0.854; and b) a  
293 compressive stress tensor with  $R_s=0.5$  and Q-score=0.852. Both solutions have the  
294 compressive axis  $\sigma_1$  in a sub-meridian position. The latter authors obtained, for the  
295 Pyrenees, a strike slip stress tensor solution with  $R_s=0.47$ , a Q-score=0.86,  $\sigma_1$  being the  
296 maximum horizontal stress axis with an approximately N-S direction. Otherwise, these

297 authors, using the inversion method of Giner (1996), obtained for the eastern Pyrenees an  
298 extensional stress tensor with  $\sigma_1$  vertical and  $\sigma_3$  with a NNE-SSW direction, a  $R_s=0.24$   
299 and a  $Q\text{-score}=0.98$ . Nevertheless, they also mention that the low number of events  
300 analyzed (only four) diminishes the reliability of the results. Finally, de Vicente et al.  
301 (2008) calculated the present stress tensor on the Iberian Peninsula from the inversion of  
302 populations of moment tensor focal mechanisms. These authors obtained for the eastern  
303 Pyrenees an extensional regime, with  $R_s=0.65$  and  $\sigma_1=68/242$  (dip and strike),  $\sigma_2=09/131$   
304 and  $\sigma_3=21/037$ .

305

306 The solution proposed by de Vicente et al. (2008) is only in agreement with the solution  
307 proposed by Herraiz et al. (2000) using the inversion method of Giner (1996) and  
308 different from those proposed by the other authors (Jurado and Muller, 1997; Schindler et  
309 al., 1998; Goula et al., 1999; Herraiz et al., 2000). Whereas the latter authors propose a  
310 maximum horizontal stress with a N-S to NE-SW direction and  $\sigma_1$  or  $\sigma_2$  being vertical,  
311 the former ones propose  $\sigma_1$  vertical,  $\sigma_2$  with approximately NW-SE direction and  $\sigma_3$  with  
312 NE-SW direction.

313

314 In the Coulomb failure stress transfer modeling the stress tensor proposed by de Vicente  
315 et al. (2008) for the Pyrenean zone will be used considering that: a) there is evidence of  
316 Quaternary activity on normal faults located in the Pyrenees and surrounding zones, such  
317 as the Lourdes fault (Alasset and Meghraoui, 2005), the Maladeta fault (Ortuño et al.,  
318 2008) and the Amer fault (Perea et al., 2006; and the present study); and b) de Vicente et  
319 al. (2008) conclude that the stress tensor solutions obtained by using the inversion of



320 moment tensor focal mechanisms show better quality compared to those obtained from  
321 first P arrival focal mechanisms.

322

323 5.3 Source and receiver faults

324

325 Source faults are defined as the fault planes that experiment displacement during the  
326 earthquake. Therefore, Coulomb stress transfer modeling has been performed considering  
327 as source faults the rupture planes corresponding to the March 19<sup>th</sup> and May 15<sup>th</sup> 1427  
328 events, since the Amer fault is considered the responsible for both events, as stated above  
329 (figure 4). Two different possible source faults have been used for each earthquake in the  
330 modeling (figure 5), one using the information given by Olivera et al. (2006) (table 2a)  
331 and the other using the data obtained by applying the Gasperini method (table 2b). The  
332 characteristics of the source faults for each event are epicentral location,  $M_0$ ,  $M_w$ ,  
333 rupture area (RA), depth range and average displacement (table 2). When not available,  
334 the RA has been calculated using the empirical relationship between  $M_w$  and RA for  
335 normal faults proposed by Wells and Coppersmith (1994), the  $M_0$  has been obtained  
336 from the relationship between  $M_0$  and  $M_w$  and the average displacement has been  
337 established using the  $M_0$  expression, both from Hanks and Kanamori (1979) (table 2 for  
338 more details). The depth range has been established considering that both earthquakes are  
339 shallow (6 and 5 km depth respectively; Olivera et al, 2006), the dimensions of the source  
340 faults and the thickness of the seismogenic crust.

341

342 According to the distribution of the intensity data points furnished by Olivera et al.  
343 (2006), and for the geographical location of the earthquake area sources obtained from  
344 the Gasperini method, the source fault corresponding to the March 19<sup>th</sup> 1427 earthquake  
345 is located close to the southern tip of the Amer fault. Following the same reasoning, the  
346 event corresponding to the 15<sup>th</sup> May 1427 is located slightly to the north of the zone  
347 where the fault changes direction from NW-SE to NNW-SSE (figure 5). Both source  
348 faults are parallel to the strike of the Amer fault in each zone and dip 60° to the NE.

349

350 As mentioned above, the Coulomb failure stress transfer has been calculated on receiver  
351 faults and the modification of their characteristics (strike, dip and rake) also changes the  
352 pattern distribution of the stress lobes (Lin and Stein, 2004). In the modeling, the stress  
353 change has been calculated on receiver faults optimally orientated with respect to the  
354 present stress field, but also on particular receiver faults. In the second case, two different  
355 directions and kinematics have been considered according to the faults observed in the  
356 zone: a) receiver faults following the Pyrenean direction and kinematics, thrusts with an  
357 approximate strike N90°E and dipping around 40° towards the north, as the Vallfogona  
358 and Ribes-Camprodon thrusts (Muñoz et al., 1986); and b) receiver faults following the  
359 Transverse ranges direction and kinematics, normal faults with strike N145°E and dipping  
360 around 60° towards the northeast, as the Amer fault (Saula et al., 1996).

361

362 Considering the two different source faults and the three different receiver fault  
363 geometries (optimally oriented and Pyrenees and Transverse ranges directions and  
364 kinematics), six Coulomb stress transfer models were performed for the Catalan seismic

365 crisis (figures 6 and 7). The stress change was sampled at 6 km depth, taking into account  
366 the focal depths given by Olivera et al. (2006) for the March 19<sup>th</sup> and May 15<sup>th</sup>  
367 earthquakes, on a horizontal section of 125x100 km with 2.5x2.5 km grid spacing.

368

#### 369 5.4 Results

370

371 The results of the Coulomb failure stress transfer modeling (figures 6 and 7) show that  
372 the location of the lobes where the stress increases and decreases are similar in relation to  
373 the source faults between models considering the same type of receiver faults. However,  
374 the size of the lobes are slightly different; the smallest dimensions are obtained when  
375 using the source faults deduced from the Gasperini method, clearly visible when results  
376 corresponding to the May 15<sup>th</sup> 1427 earthquake are compared (figures 6 and 7 second  
377 column). The models corresponding to the optimally oriented receiver faults (figures 6a  
378 and 7a) show that faults with directions approximately N120°E, almost vertical (dipping  
379 between 80° to the SW and 90°) and with a rake implying mainly normal displacements  
380 (between -80° to -100°, following the Aki and Richards (1980) convention) are the best  
381 oriented with respect to the present stress field.

382

383 The Coulomb stress transfer results for the March 19<sup>th</sup> 1427 earthquake show that, when  
384 the receiver faults are normal faults (figures 6a/b and 7a/b first column), the maximum  
385 stress lobes are located to the north and south of the source fault. However, when the  
386 receiver faults are thrust faults (figures 6c and 7c first column) the lobes are situated in  
387 the area occupied by the source fault and two secondary maximums appear to the NE and

388 SW of it. Similar stress lobes distributions are observed for the May 15<sup>th</sup> 1427 earthquake  
389 (figures 6 and 7 second column). Nevertheless, the models corresponding to the optimally  
390 oriented faults (figures 6a and 7a second column) depict a third maximum stress lobe  
391 close to the northeastern boundary of the source fault.

392

393 The pattern distribution of the stress lobes suggests that the May 15<sup>th</sup> earthquake was  
394 strongly related to the occurrence of the March 19<sup>th</sup> event, which produces an increase of  
395 Coulomb failure stress in normal faults with NW-SE direction to the north, in the vicinity  
396 of the May earthquake source fault (figures 6a/b and 7a/b first column). This relationship  
397 between both events is more clearly in the models using the source faults deduced from  
398 Olivera et al. (2006) (figures 6a and b first column).

399

#### 400 **6- Discussion: the source of the February 2<sup>nd</sup> 1428 earthquake**

401

402 According to the Coulomb failure stress modeling, the May 15<sup>th</sup> 1427 earthquake  
403 produced an increase of the stress to the north and south of the source fault in normal  
404 receiver faults with an approximately NW-SE direction (figure 8c) and around the source  
405 fault in thrust receiver faults with E-W direction (figures 6c and 7c second column). But,  
406 what was the fault responsible for the February 2<sup>nd</sup> 1428 earthquake? As mentioned  
407 above, there are three possible candidates: the Vallfogona and the Ribes-Campardon  
408 thrust faults, both with E-W direction, and the Amer normal fault, with approximately  
409 NW-SE direction.

410

411 Taking into account the stress distribution and the probable triggering relation between  
412 the main earthquakes of the crisis (figures 6c and 7c second column), the Ribes-  
413 Camprodon thrust fault has little likelihood of being the source of the February 2<sup>nd</sup> 1428  
414 event given the distance between it and the lobe of increased stress obtained when  
415 considering E-W thrust receiver faults. Otherwise, considering the same evidence, the  
416 Vallfogona thrust fault and the Amer normal fault are better candidates.

417

418 The occurrence of the May 15<sup>th</sup> 1427 earthquake produced an increase of the stress in E-  
419 W thrust receiver faults in a zone where the Vallfogona thrust fault intersects the surface  
420 (figures 6c and 7c second column), around 20 km to the south of the city of Camprodon,  
421 pointing to this zone as a possible epicentral area. However, the results also show an  
422 increase of the stress in normal receiver faults with NW-SE direction, such as the Amer  
423 fault, to the north of the May event source fault (figure 8c). Furthermore, some results  
424 show that, near the city of Camprodon, the increase of stress is significant and, thus,  
425 indicating a favorable zone to locate a normal fault responsible of the final earthquake of  
426 the crisis. The only normal fault known in the area to date is the Amer fault. Although it  
427 seems not to have sufficient length to produce an earthquake of magnitude around 6.5, if  
428 only its surface expression is considered, its subsurface continuation to the north or the  
429 presence of another normal fault to the east but closeby cannot be discounted (Losantos et  
430 al., 2002; Prof. Josep M<sup>a</sup> Casas, Universitat de Barcelona, personal communication). It  
431 seems more congruent to expect a normal fault as the source of this last event taking into  
432 account that the two previous earthquakes of the crisis were generated by a fault with  
433 such kinematics and considering the present stress field given by de Vicente et al. (2008).

434 Then, according to this, the Amer fault or some normal fault parallel to it would be the  
435 most probable source of the February 2<sup>nd</sup> 1428 earthquake, whereas the Vallfogona thrust  
436 fault would be a less probable source.

437

438 However, there are still important questions that should be answered: a) can the Amer  
439 fault rupture entirely in only one event or does the change in direction of the fault act as a  
440 boundary (barrier) between segments that rupture independently?; and b) if all the  
441 seismic crises related to the Amer fault followed the same behavior in the past, as  
442 described here, should we expect this to continue in the future? The answer to these  
443 questions will significantly improve the seismic hazard assessments in this area.

444

445 Finally, the Gasperini method has been of utility to localize the fault responsible of two  
446 large historical earthquakes in a zone of low strain rate. Moreover, the results obtained  
447 from applying this method have been helpful to define the dimensions and the  
448 geographical location of the source faults used in Coulomb failure stress transfer  
449 modeling. Although this method not always points to a unique fault as responsible of an  
450 historical earthquake as seen with the February 2<sup>nd</sup> 1428 event and as reported in other  
451 works (Perea, 2006; Perea et al., 2006), it has shown its potential to help researchers to  
452 localize the fault sources of large historical earthquakes in low strain zones or, at least,  
453 the probable area where this fault may be located and, also, that the information it gives is  
454 useful to other earthquake modeling studies.

455

456 **7- Conclusions**

457

458 This study corroborates that the Amer fault, in its southern and northern zones, would be  
459 the source of the first two main earthquakes of the Catalan seismic crisis, the March 19<sup>th</sup>  
460 and May 15<sup>th</sup> 1427 events. Also, the Coulomb failure stress transfer modeling points to a  
461 triggering relation between the three big earthquakes of the crisis.

462

463 It has not been possible to determine unequivocally the fault that generated the 2<sup>nd</sup>  
464 February 1428 earthquake. However, the results here discussed point to the Amer fault,  
465 or some other extensional fault parallel and close to it, as the most probable source of the  
466 event. The Vallfogona thrust has less probability to be the source of this event, but cannot  
467 be discarded. Finally, the Ribes-Camprodon thrust, still with some uncertainty, and the  
468 Tet fault have been discarded as possible fault sources.

469

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477 (Wessell and Smith, 1998).

478

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689

690 **Figure captions**

691

692 Figure 1. Schematic geological map of the northeastern Iberian Peninsula with the  
693 location of the macroseismic epicenters of the main earthquakes of the Catalan seismic  
694 crisis (Olivera et al., 2006). Cities/towns: A: Amer; C: Camprodon; CM: Caldes de  
695 Malavella; G: Girona; O: Olot. Mountain ranges and faults (*italics*): AF: Amer normal  
696 fault; CCR: Catalan Coastal Ranges; RCT: Ribes-Camprodon thrust; TF: Tet normal  
697 fault; TR: Transverse Ranges; VT: Vallfogona thrust.

698

699 Figure 2. Geographical distribution and depth of the instrumental seismicity registered in  
700 the northeastern Iberian Peninsula (from Instituto Geográfico Nacional earthquake  
701 catalogue between 1900 and 2003). a) Map showing the geographical distribution of the  
702 earthquakes; color indicates their focal depth and the circle size their magnitude ( $m_{bLg}$ ).  
703 b) Profile a-a' showing the earthquakes focal depth; the projected earthquakes are located  
704 until 50 km to both sides of the profile; the circle size is not related with the earthquake  
705 magnitude. Co: Coastline; Py: Pyrenees; TR: Transverse ranges; VT: València trough.

706

707 Figure 3. Maps showing the distribution of the intensity data points and the intensity  
708 contours (Olivera et al., 2006) corresponding to the: a) March 19<sup>th</sup> 1427 earthquake  
709 located in the vicinity of the town of Amer; contours corresponding to  $I_{EMS-98}$  VIII and  
710 VII; b) May 15<sup>th</sup> 1427 earthquake occurred in the vicinity of the town of Olot; contours  
711 corresponding to  $I_{EMS-98}$  VIII and VII; and c) February 2<sup>nd</sup> 1428 earthquake that affected  
712 the town of Camprodon and surrounding areas; contours corresponding to  $I_{EMS-98}$  VIII,

713 VII, VI and V. Cities/towns: A: Amer; B: Barcelona; C: Camprodon; G: Girona; L:  
714 Lleida; N: Narbonne; O: Olot; P: Puigcerdà; Pe: Perpinyà; T: Tarragona; To: Toulouse.  
715 Faults (*italics*): AF: Amer normal fault; RCT: Ribes-Camprodon thrust; TF: Tet normal  
716 fault; VT: Vallfogona thrust.

717

718 Figure 4. Geological map of the northwestern Iberian Peninsula showing the location of  
719 the earthquake source area of the main events of the Catalan seismic crises. Earthquake  
720 source areas: A: March 19<sup>th</sup> 1427; B: May 15<sup>th</sup> 1427; C: February 2<sup>nd</sup> 1428. The map is in  
721 UTM coordinate system zone 31 northern hemisphere.

722

723 Figure 5. Map showing the geographical location and the dimensions of the source faults  
724 used in the Coulomb failure stress transfer modeling. For more information about the  
725 source faults see Table 2 and text. Cities/towns: A: Amer; C: Camprodon; G: Girona; O:  
726 Olot. Faults (*italics*): AF: Amer normal fault; RCT: Ribes-Camprodon thrust; VT:  
727 Vallfogona thrust.

728

729 Figure 6. Maps showing the Coulomb failure stress changes at 6 km depth when using the  
730 earthquake source faults deduced from Olivera et al. (2006) data. Maps in the first  
731 column correspond to the stress change after the March 19<sup>th</sup> 1427 and maps in the second  
732 to the cumulative stress change after March 19<sup>th</sup> and May 15<sup>th</sup> 1427 earthquakes. a)  
733 Optimally oriented receiver faults; b) receiver faults following the direction of the  
734 Transverse ranges (N145°E and normal faults); and c) receiver faults following the  
735 Pyrenean trend (N90°E and thrust faults). See text for more information. Faults: AF:

736 Amer normal fault; RCT: Ribes-Camprodon thrust; TF: Tet normal fault; VT: Vallfogona  
737 thrust.

738

739 Figure 7. Maps showing the Coloumb failure stress changes at 6 km depth when using the  
740 earthquakes source faults deduced from the Gasperini method. Maps in the first column  
741 correspond to the stress change after the March 19<sup>th</sup> 1427 and maps in the second to the  
742 cumulative stress change after March 19<sup>th</sup> and May 15<sup>th</sup> 1427 earthquakes. a) Optimally  
743 oriented receiver faults; b) receiver faults following the direction of the Transverse ranges  
744 (N145°E and normal faults); and c) receiver faults following the Pyrenean trend (N90°E  
745 and thrust faults). See text for more information. Faults: AF: Amer normal fault; RCT:  
746 Ribes-Camprodon thrust; TF: Tet normal fault; VT: Vallfogona thrust.

747

748 Figure 8. Maps showing the Coulomb failure stress change at 6 km depth in different  
749 periods of the Catalan seismic crisis. The earthquakes source faults correspond to those  
750 deduced from the Olivera et al. (2006) data and the receiver faults are those following the  
751 direction of the Transverse ranges (N145°E and normal faults). a) Map with the location  
752 of the March 13<sup>th</sup> 1427 earthquake macroseismic epicenter, the zone where the seismic  
753 crisis began. b) Map with the location of the March 19<sup>th</sup> 1427 earthquake macroseismic  
754 epicenter and the Coulomb failure stress change distribution. c) Map with the location of  
755 the May 15<sup>th</sup> 1427 and February 2<sup>nd</sup> 1428 earthquakes macroseismic epicenters and the  
756 Coulomb stress change distribution corresponding to the occurrence of the March and  
757 May events. Cities/towns: A: Amer; C: Camprodon; CM: Caldes de Malavella; G:

758 Girona; O: Olot. Faults (*italics*): AF: Amer normal fault; RCT: Ribes-Camprodon thrust;  
759 TF: Tet normal fault; VT: Vallfogona thrust.

760

761

762 **Table captions**

763

764 Table 1. The earthquakes of the Catalan seismic crisis with an assigned magnitude ( $M_w$ )  
765 | higher than 5.0 (Olivera et al., 2006).

766

767 Table 2. Parameters of the source faults used in the Coloumb failure stress transfer  
768 modeling and corresponding to the March 19<sup>th</sup> and May 15<sup>th</sup> 1427 events. a) Source faults  
769 deduced from Olivera et al. (2006) data; and b) source faults deduced from Gasperini  
770 | method.

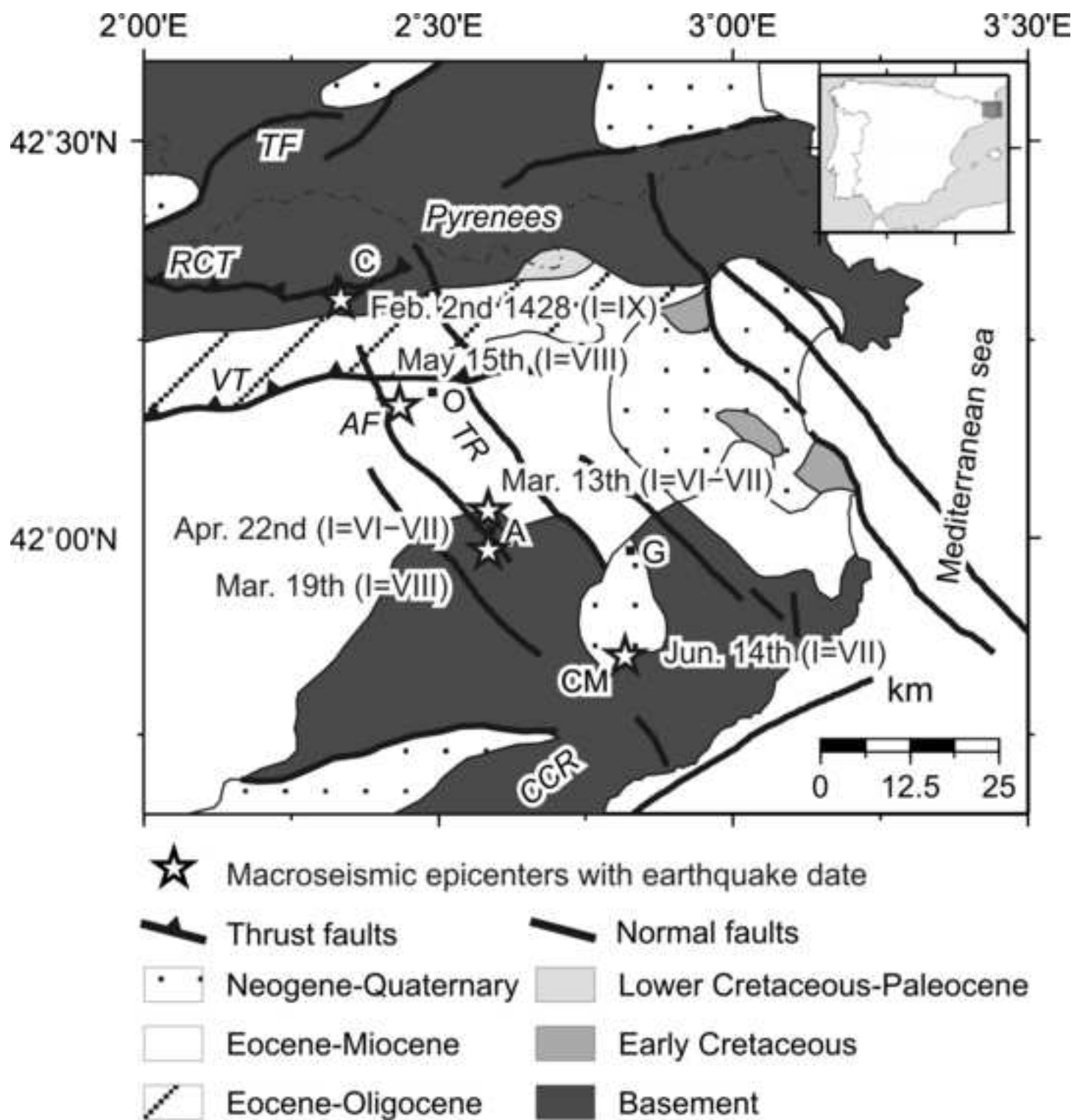


Figure 1 (Press publication)

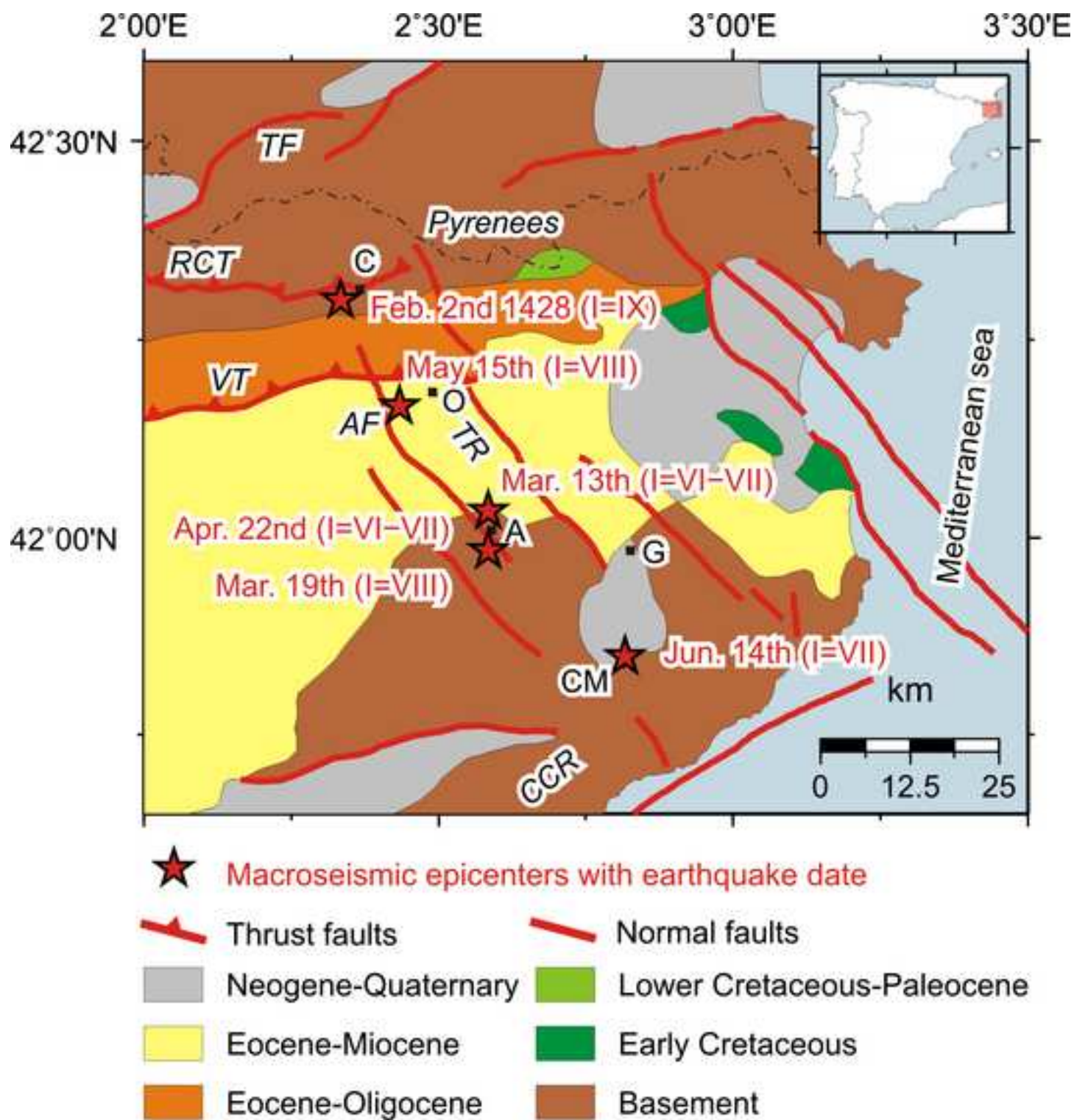


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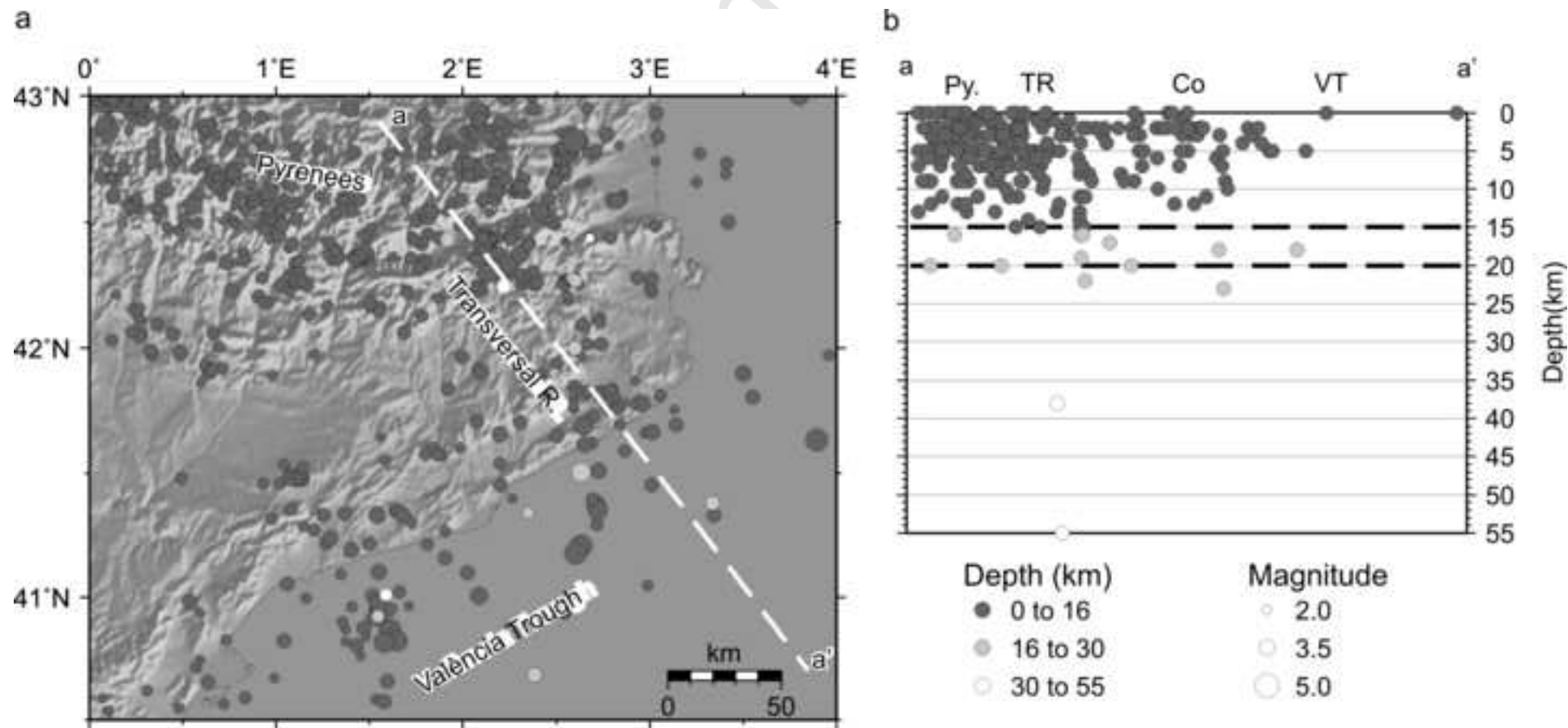


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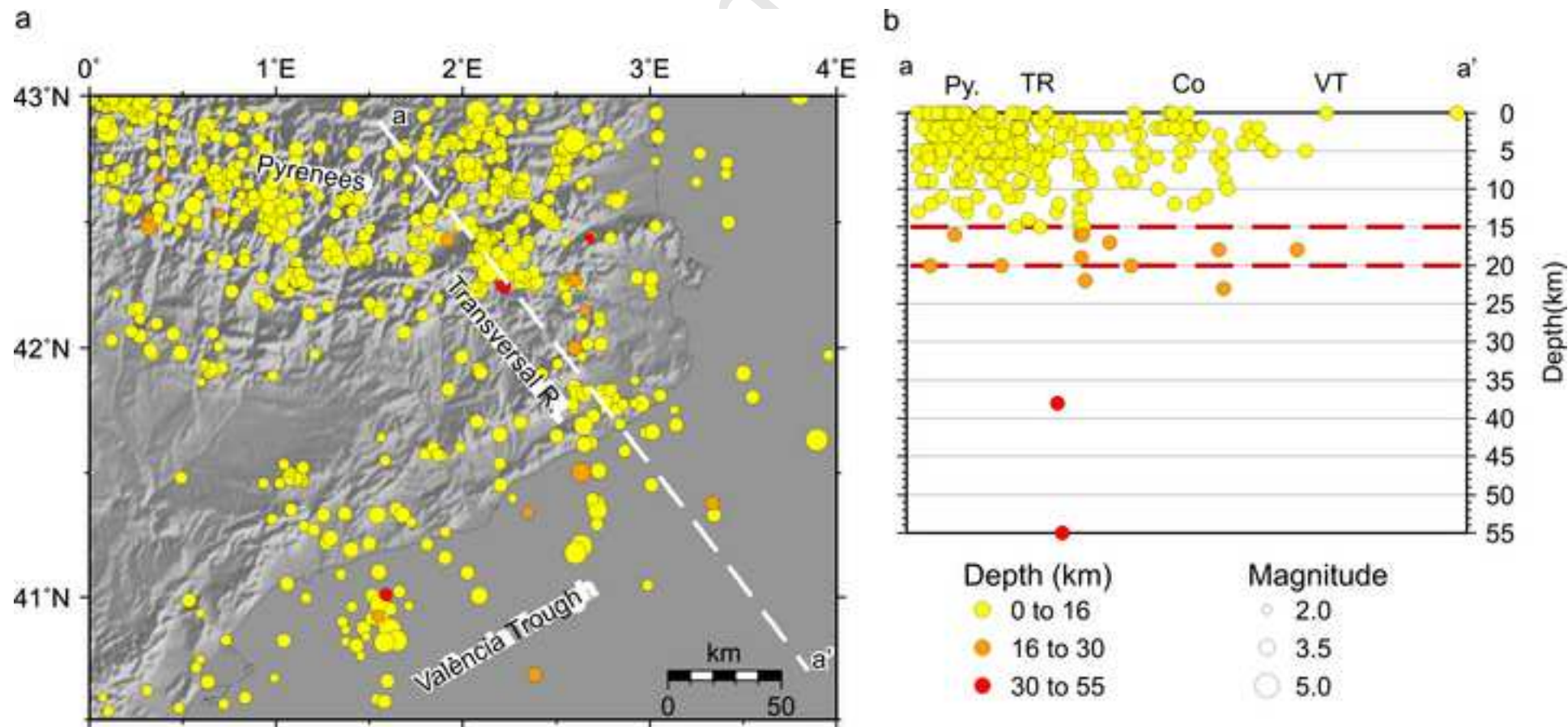


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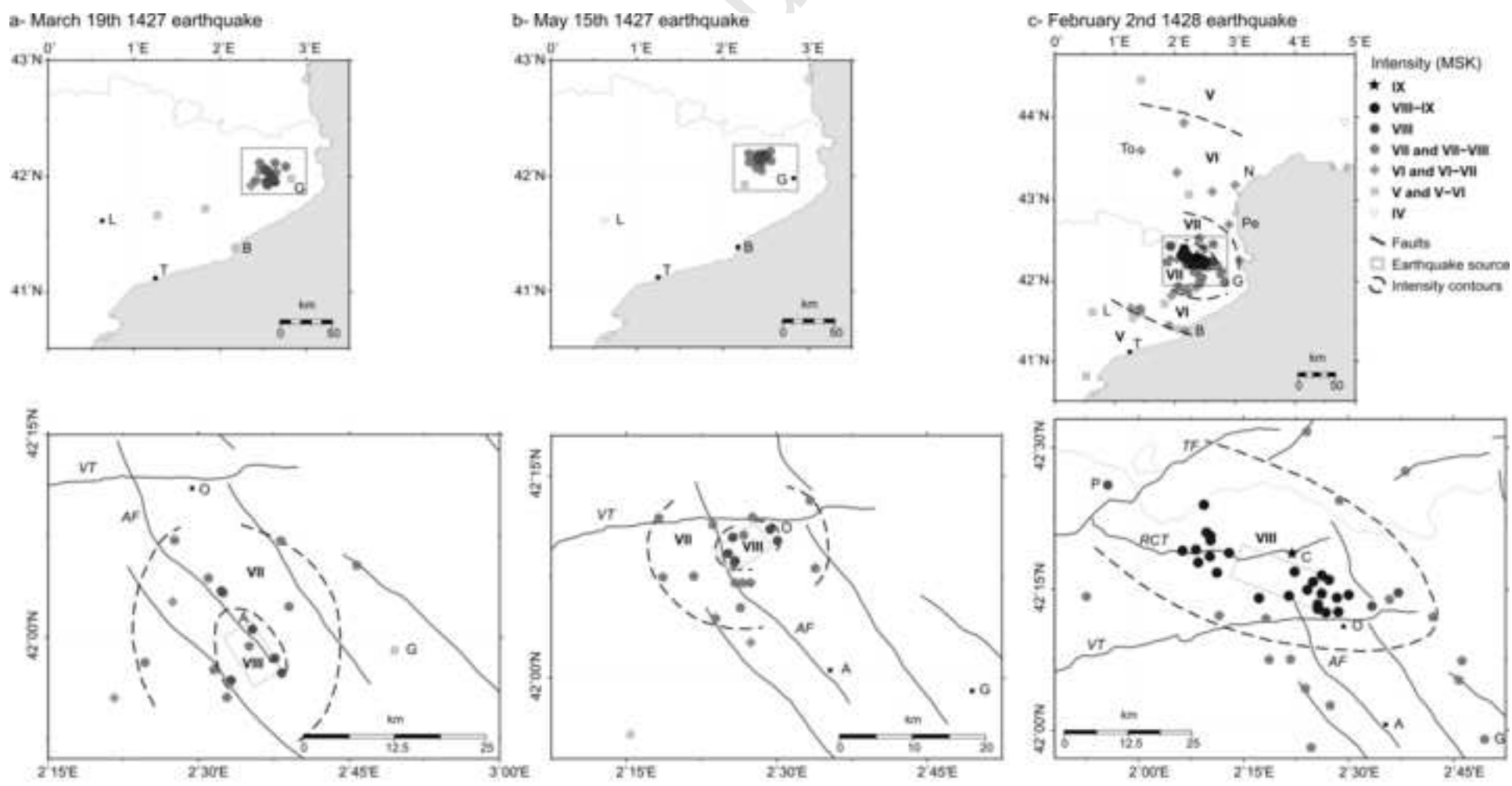


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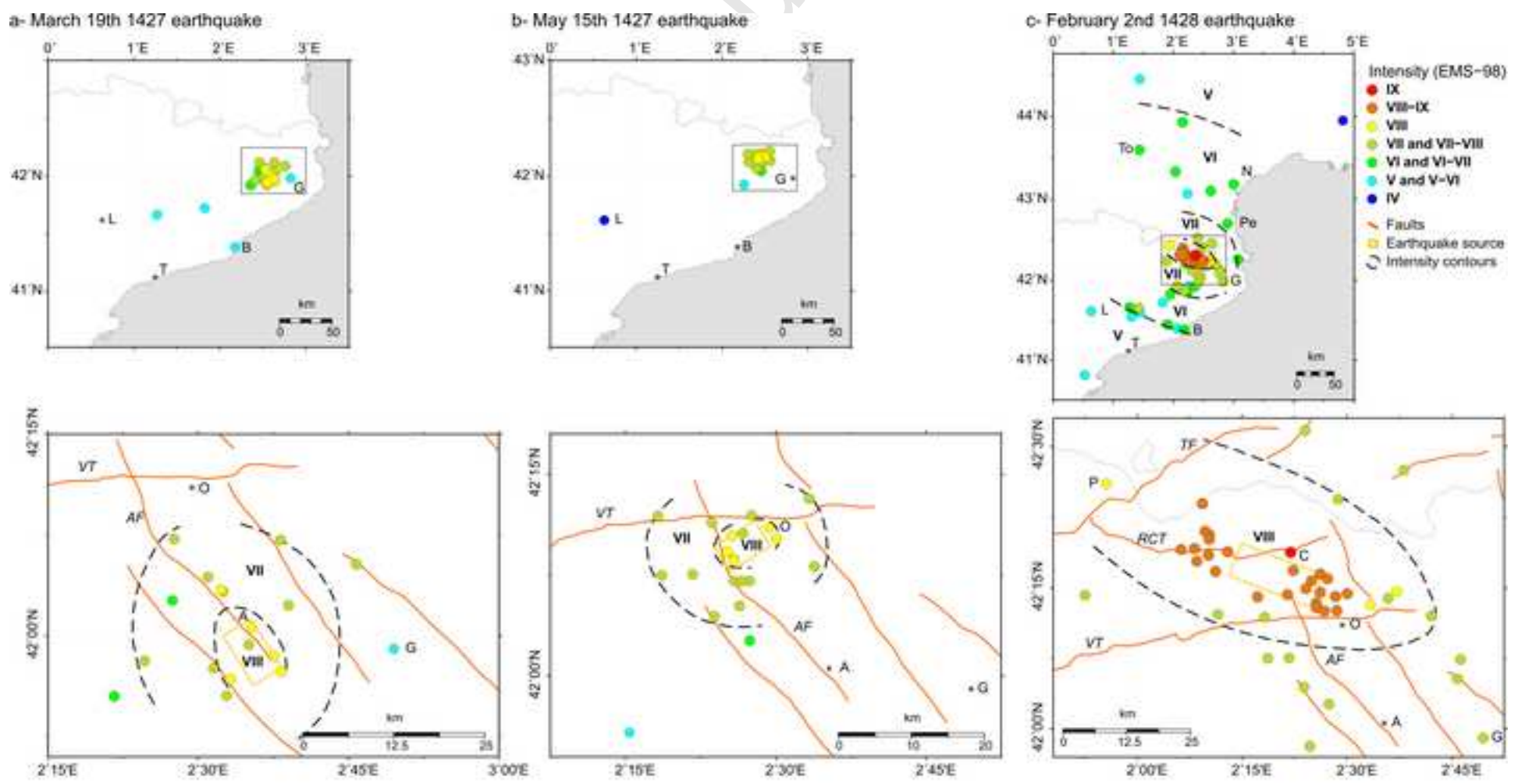


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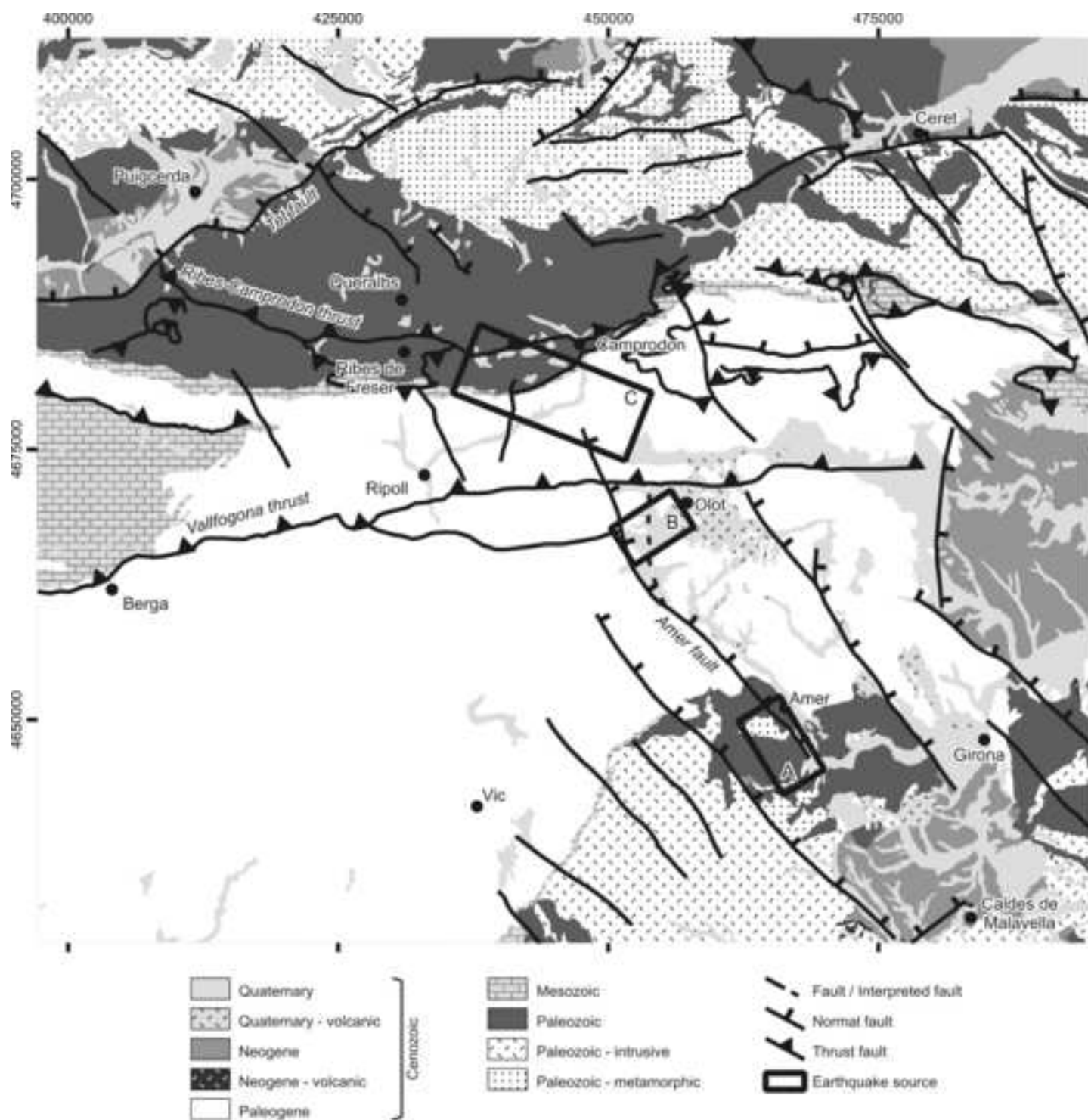


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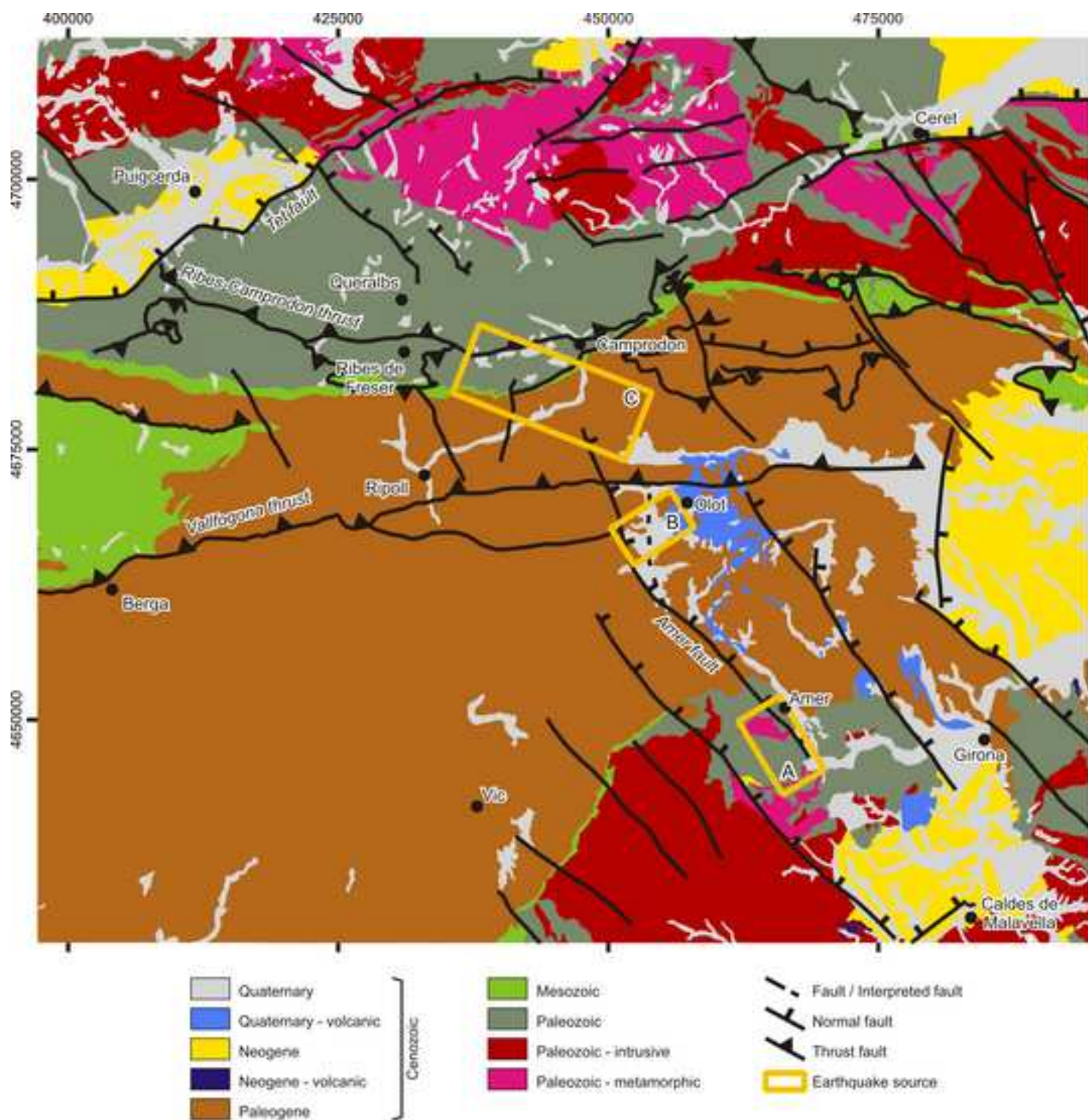


Figure 4 (Online publication)

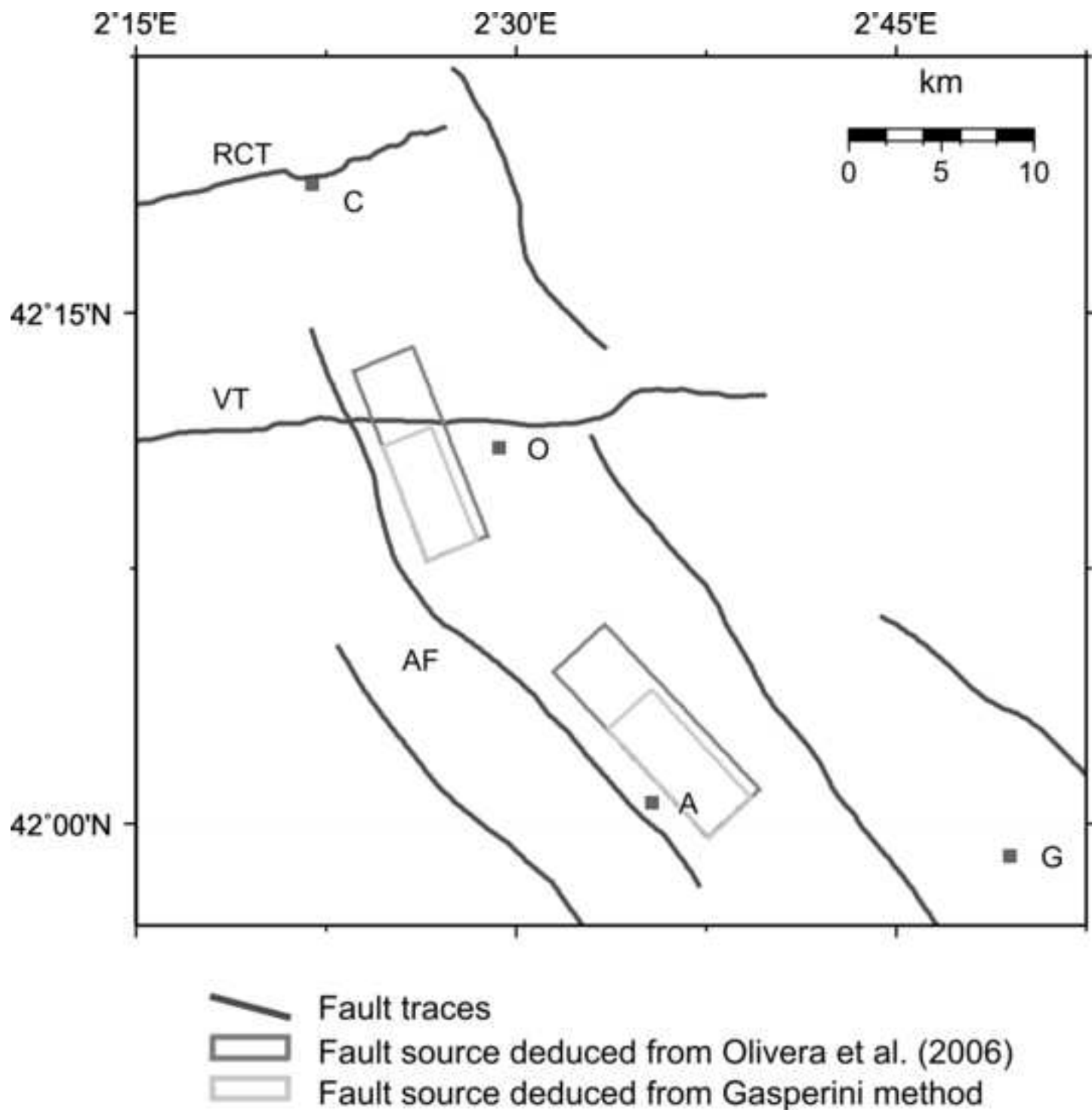


Figure 5 (press publication)

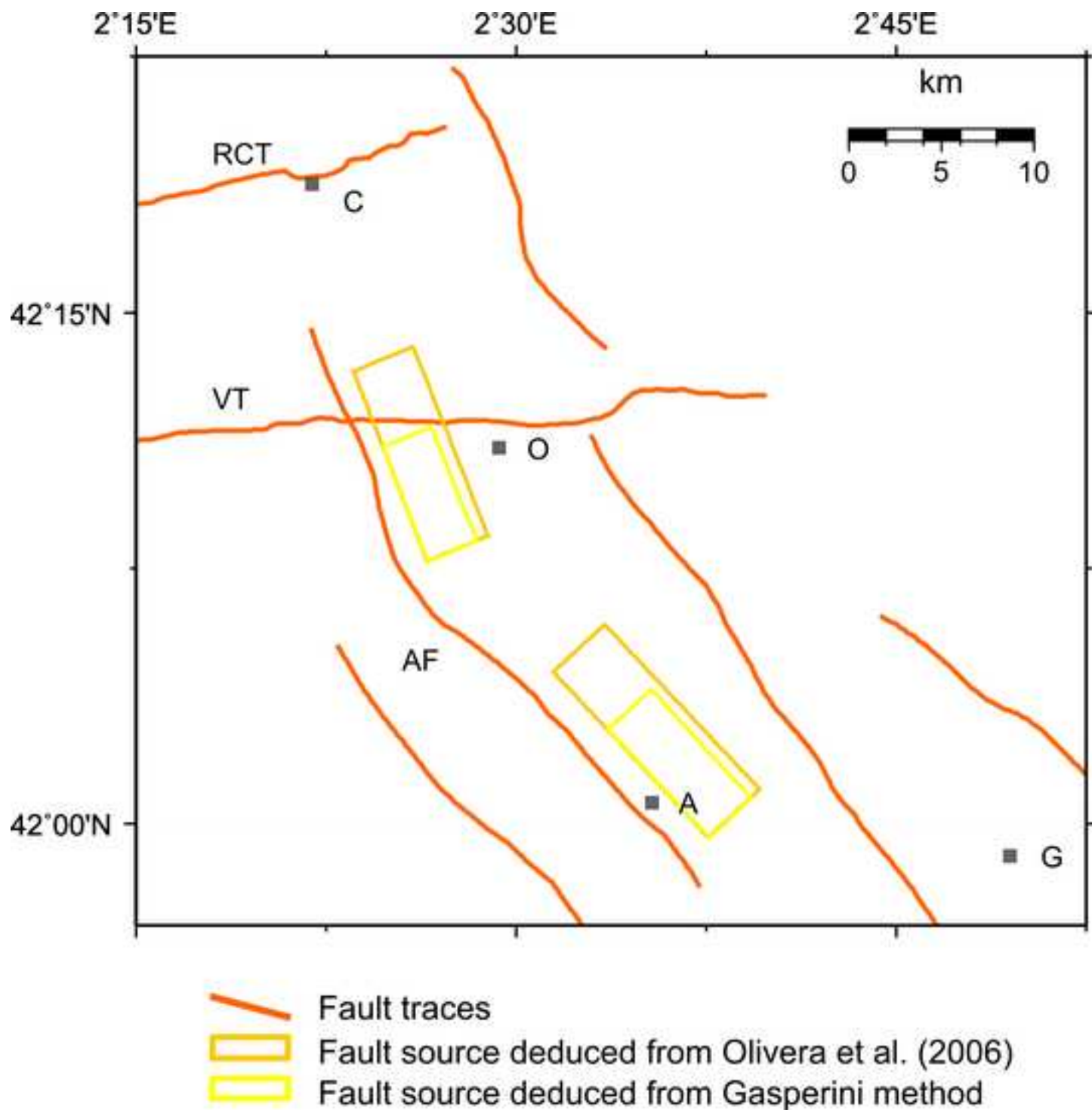


Figure 5 (online publication)

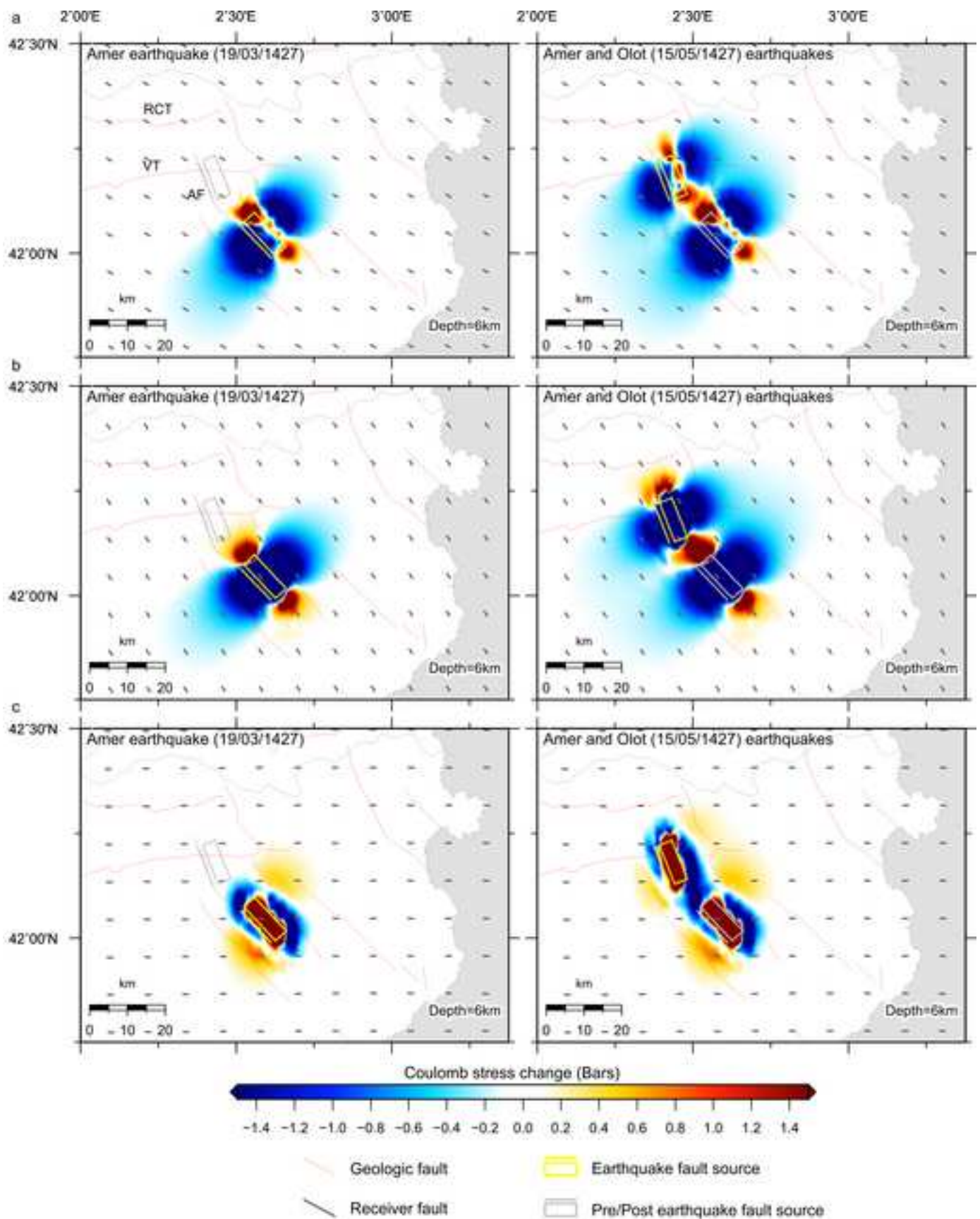


Figure 6



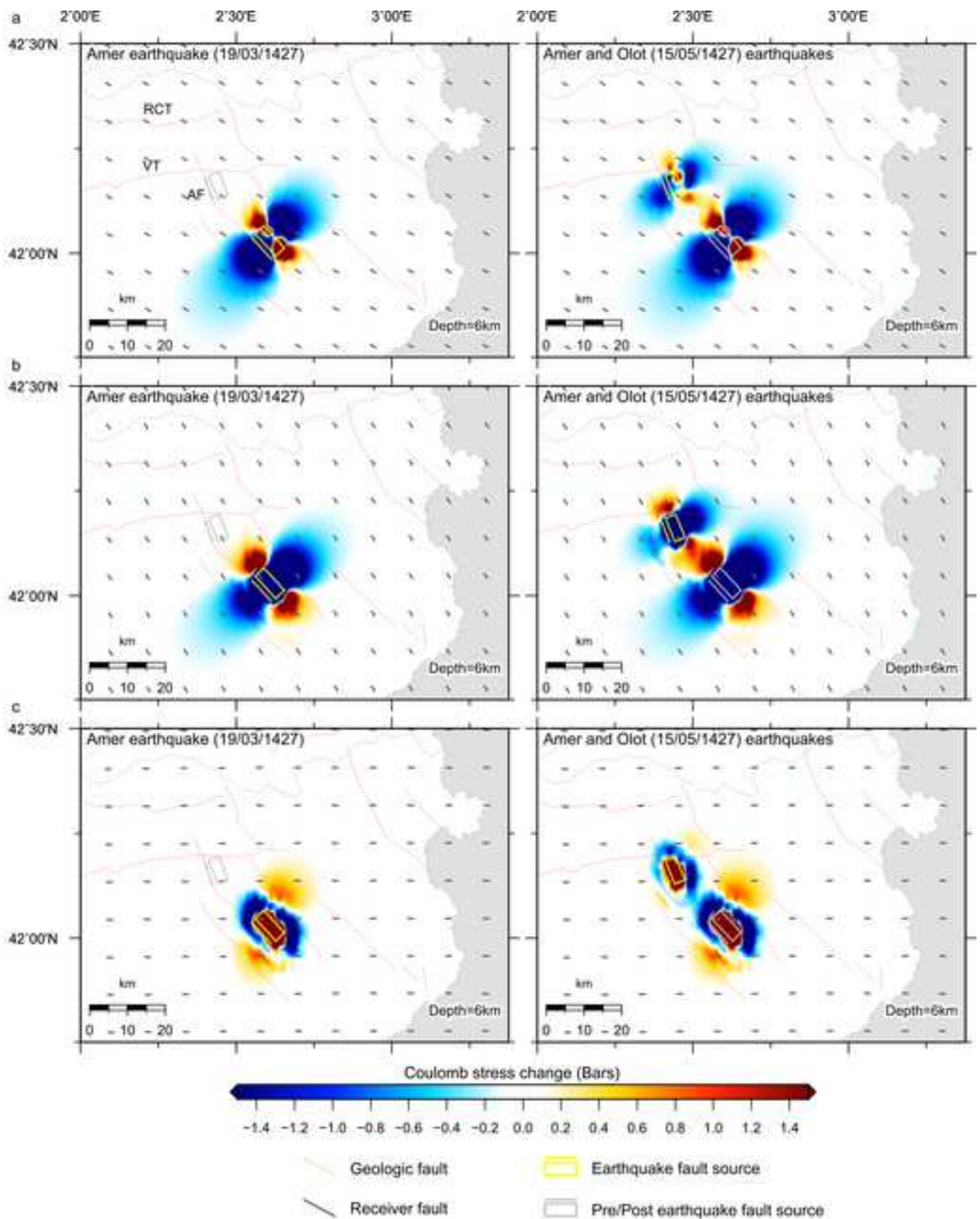


Figure 7

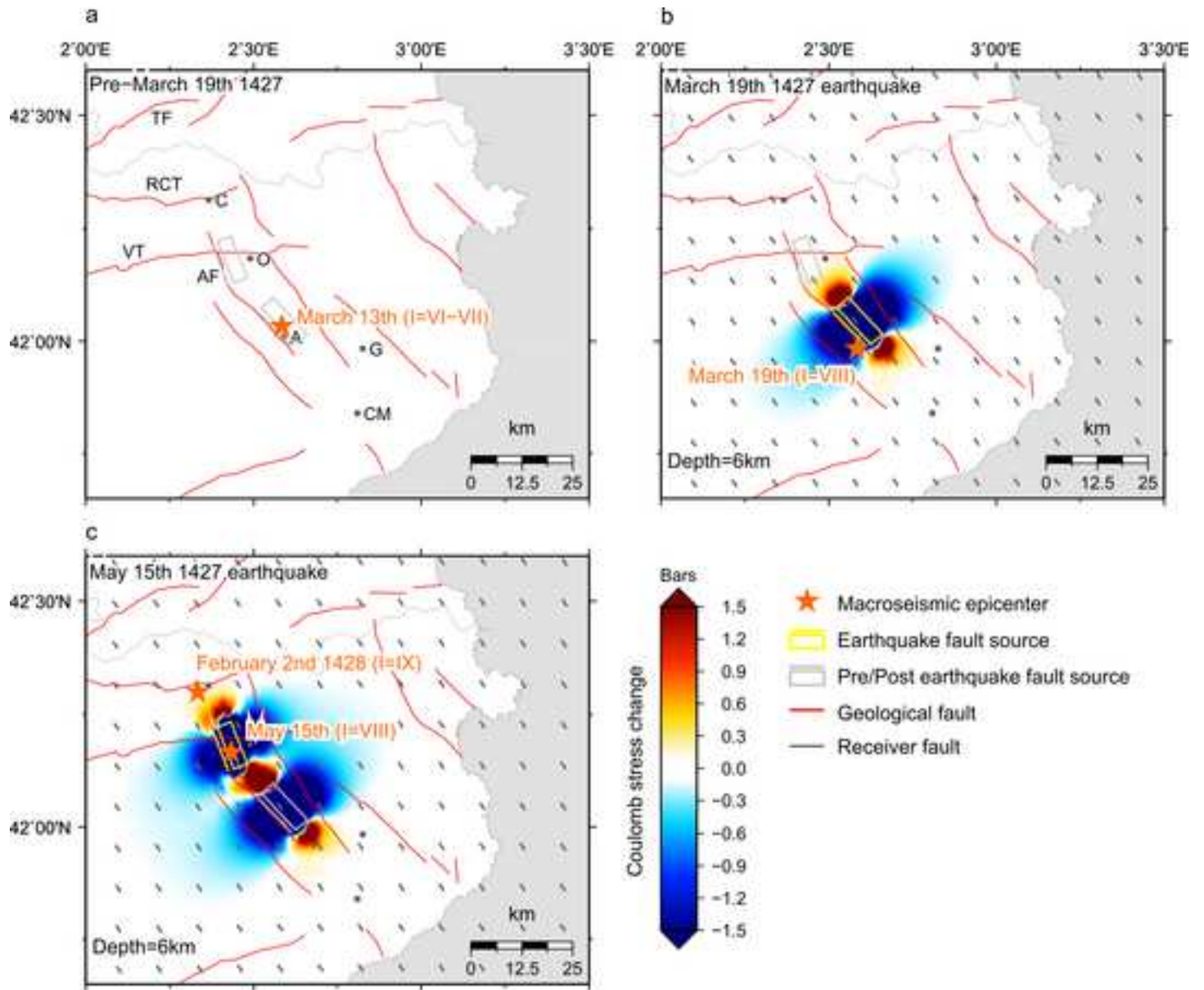


Figure 8

Earthquake	Long epicenter	Lat epicenter	Epicentral intensity (EMS-98)	Mw
March 13 <sup>th</sup> 1427	2.5833	42.0333	VI-VII	5.0
March 19 <sup>th</sup> 1427	2.5833	41.9833	VIII	5.9
April 22 <sup>nd</sup> 1427	2.5833	41.9833	VI-VII	5.0
May 15 <sup>th</sup> 1427	2.4333	42.1667	VIII	5.8
June 14 <sup>th</sup> 1427	2.8167	41.8500	VII	5.3
February 2 <sup>nd</sup> 1428	2.3333	42.3000	IX	6.5

**Table 1**

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**a.** Data from Olivera et al. (2006)

Earthquake	Long epicenter	Lat epicenter	Mw	Area (km <sup>2</sup> ) <sup>1</sup>	Depth range (km)	Mo (Nm)	Average displacement (m) <sup>2</sup>
March 19 <sup>th</sup> 1427	2.5833	41.9833	5.9	92.9	2.5 to 9.0	8.43*10 <sup>17</sup>	0.3
May 15 <sup>th</sup> 1427	2.4333	42.1667	5.8	76.9	2.5 to 8.5	6.31*10 <sup>17</sup>	0.3

**b.** Data obtained from the application of the Gasperini method

Earthquake	Long epicenter	Lat epicenter	Mw	Area (km <sup>2</sup> )	Depth range (km)	Mo (Nm) <sup>3</sup>	Average displacement (m) <sup>2</sup>
March 19 <sup>th</sup> 1427	2.6018	41.9945	5.8	50.4	2.5 to 8.0	6.84*10 <sup>17</sup>	0.5
May 15 <sup>th</sup> 1427	2.4487	42.1547	5.5	37.6	2.5 to 7.5	2.43*10 <sup>17</sup>	0.2

1 Calculated using empirical relationship between magnitude (M) and rupture area (RA) for normal faults  $\log(RA)=-2.87+0.82M$  (Wells and Coppersmith, 1994)

2 Calculated using  $Mo=\mu DA$  (Hanks and Kanamori, 1979)

3 Calculated using  $Mw=2/3 \log_{10} Mo-6.0$  (Nm) (Hanks and Kanamori, 1979)

**Table 2**