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► **To cite this version:**

Arno Rainer Clauss, Ewald Bischoff, Ralf Schacherl, Eric Jan Mittemeijer. Phase transformation of mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride precipitates in ferrite. *Philosophical Magazine*, 2009, 89 (06), pp.565-582. 10.1080/14786430802709105 . hal-00514011

**HAL Id: hal-00514011**

**<https://hal.science/hal-00514011>**

Submitted on 1 Sep 2010

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**Phase transformation of mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride precipitates in ferrite**

Journal:	<i>Philosophical Magazine &amp; Philosophical Magazine Letters</i>
Manuscript ID:	TPHM-08-Oct-0368.R1
Journal Selection:	Philosophical Magazine
Date Submitted by the Author:	19-Dec-2008
Complete List of Authors:	Clauss, Arno; Max Planck Institute for Metals Research, Prof. Dr Ir. E.J. Mittemeijer Bischoff, Ewald; Max Planck Institute for Metals Research, Prof. Dr Ir. E.J. Mittemeijer Schacherl, Ralf; Universität Stuttgart Mittemeijer, Eric Jan; Max Planck Institute for Metals Research, Prof. Dr Ir. E.J. Mittemeijer
Keywords:	nitrides, transformations
Keywords (user supplied):	nitriding, Fe-Cr-Al alloys, excess nitrogen



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3 Manuscript No: THPM-08-Oct-0368  
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12 Dear Dr. Knowles,  
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16 we are very pleased with the promising remarks by the referee and that our manuscript has been  
17 recommended for publication.  
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22 The attached word documents provides our response to the few remarks made by the referee and  
23 includes precisely how we made the few modifications requested.  
24

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27  
28 We hope that the paper can now definitively be accepted for publication.  
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30 Thank you very much for your time spent on this manuscript.  
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36 Yours Sincerely  
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40 Dr. Ralf Schacherl  
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6 Response to the comments by the referee  
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- 8 • We now have included a couple of sentences in the introduction (p. 4 ,l. 8-11 and p.5, l.15-17 )  
9 providing the broader perspective and repercussion of the work performed.
- 10 • A revised version of Ref. 13 has been submitted. The comments by the referees on the first  
11 version make it likely that the paper will definitively be accepted. Hence, it will be possible to  
12 indicate more precise publication details of Ref. 13. In the proofs of the current paper.
- 13 • The chemical potential of nitrogen in the inert gas atmosphere is nil. Hence a strong driving  
14 force exists to form  $N_2$  at the surface from dissolved nitrogen. This explains why some  
15 denitriding (outward diffusion of nitrogen) occurs during the anneal.
- 16 • One of the purposes of this paper was to reveal what happens with the excess nitrogen during  
17 annealing, expecting that it would diffuse to the unnitrided core, also in order to mimic a  
18 situation possibly occurring in practice. Homogeneous nitriding is only possible for very thin  
19 foils. Such specimens are employed by us for the investigation of nitrogen-absorption-isotherms  
20 (e.g. se Ref. 20).  
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ARTICLE TYPE [ORIGINAL PAPER / MANUSCRIPT (REGULAR ARTICLE)]

Article Title [Phase transformation of mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride precipitates in ferrite]

For Peer Review Only

## Phase transformation of mixed $\text{Cr}_{1-x}\text{Al}_x\text{N}$ nitride precipitates in ferrite

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### Abstract

Nitriding of specimens with the composition Fe–1.5wt.%Cr–1.5wt.%Al (Fe–1.6at.%Cr–3.1at.%Al) at 853 K leads to the formation of mixed, ternary  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride platelets precipitated in the cubic, rock-salt structure type obeying a Bain-type orientation relationship with the ferrite matrix. Upon subsequent annealing (at 973 K) the mixed, ternary nitrides transform into the two equilibrium, binary nitrides, namely CrN of cubic, rock-salt structure type in the Bain orientation relationship with the ferrite matrix and AlN of hexagonal, wurtzite structure type, obeying a Pitsch–Schrader orientation relationship with the ferrite matrix. At the same time the mobile excess nitrogen, dissolved in the ferrite matrix, diffuses towards the originally not nitrated core, where relatively coarse, cubic CrN and hexagonal AlN precipitates develop. The microstructure and (local) composition changes have been analysed by XRD, (HR)TEM, STEM, EDX and EPMA. It was found that the transformation proceeds by Al depletion of the original mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates.

**Keywords:** nitriding; Fe–Cr–Al alloy; nitrides; transformations; excess nitrogen

## 1 Introduction; instability of nitrides

Nitriding is a thermochemical surface engineering process that is widely used in industry to improve technical properties of ferritic steel workpieces like the resistance against fatigue, wear and corrosion [1-3]. Nitriding implies the introduction of nitrogen into the specimen/workpiece through its surface. Alloying elements like Al and Cr have a strong affinity for nitrogen and can form nitride precipitates in the ferrite matrix, which improves the mechanical strength drastically [4, 5].

Although nitriding has great industrial importance, the application practise is largely based on phenomenology. In particular Al and Cr are used together as alloying elements in typical nitriding steels. Hence, a strong technological interest stimulates the investigation of the composition, structure and shape of nitride precipitates in such multicomponent alloys.

Very often a gas mixture containing  $\text{NH}_3$  is used as nitriding medium. During gaseous nitriding the chemical activity of nitrogen dissolved in the specimen at its surface can be accurately adjusted by the so-called nitriding potential  $r_N = \frac{P_{\text{NH}_3}}{P_{\text{H}_2}^{3/2}}$  [6]. By setting the value of the nitriding potential, the whether or not occurrence of a layer of iron nitrides at the surface, in addition to the formation of alloying-element nitrides in the ferritic matrix, can be controlled.

It should be realised that local (thermodynamic) equilibrium with the nitriding atmosphere can only be realised at the surface. As a matter of fact, beneath the surface the iron nitrides are metastable with respect to decomposition in iron and nitrogen gas (which leads to pore/channel formation along grain boundaries [6, 7]). Also the alloying-element nitrides developing in the ferritic substrate can be metastable. For example, the equilibrium modification of AlN is the hexagonal, wurtzite structure type. However, the nucleation of this thermodynamically stable structure is difficult in an annealed ferrite matrix [4, 8-11] and more easily nucleating metastable cubic, rock-salt structure type AlN precipitates occur, in particular in cold-rolled specimens. Transformation from such cubic AlN precipitates to



1 hexagonal, wurtzite structure type AlN precipitates upon annealing of an Fe–Al–N alloy at  
2  
3 973 K was observed [12].  
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5 It was recently shown that upon nitriding a ternary Fe–Cr–Al alloy,  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates  
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7 of the cubic, rock-salt structure type develop in the ferrite matrix [13]. The development of  
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9  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates is the consequence of kinetics: Al cannot precipitate separately as  
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11 hexagonal, equilibrium AlN due to difficult nucleation. Instead mixed, cubic  $\text{Cr}_{1-x}\text{Al}_x\text{N}$   
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13 precipitates of rock-salt structure type develop and cause a considerable (although not  
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15 maximal) amount of energy release. Such mixed, ternary nitrides may be unstable with  
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17 respect to decomposition into the thermodynamically stable binary nitrides, i.e. CrN of cubic,  
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19 rock-salt structure type and AlN of hexagonal, wurtzite structure type.  
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22 The purpose of the present paper is to investigate the behaviour of these presumably  
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24 metastable, mixed nitrides upon annealing. Does the mixed nitride decompose? Further, if  
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26 AlN precipitates directly upon such annealing, which crystal structure does occur? Moreover,  
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28 an accompanying effect of the annealing after the nitriding is a redistribution of the (mobile)  
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30 excess nitrogen in the nitrided zone, which hitherto has not been investigated. From a  
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32 scientific point of view this paper thus contributes to the understanding of the emergence and  
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34 disappearance of intermediate precipitates in supersaturated solids.  
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## 40 41 2 Experimental

### 42 43 2.1 Specimen preparation

44 Specimens were prepared as described in Ref. [13]. The chemical composition and the  
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46 amount of impurities were measured by chemical analysis (inductively coupled plasma –  
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48 optical emission spectroscopy, carrier gas hot extraction and combustion method). The thus  
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50 determined alloy composition is shown in Table 1.  
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53 The element distribution (homogeneity) was proven by electron probe microanalysis. No  
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55 segregation was observed. The recrystallised specimens with a dimension of  $20 \times 15 \times 1 \text{ mm}^3$   
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58 had an average grain diameter of 22  $\mu\text{m}$ .  
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### 2.2 Nitriding and subsequent annealing

1 Gaseous nitriding was performed in a vertical, multizone quartz-tube furnace at 853 K (cf.  
2 Ref. [13]). The gas fluxes of H<sub>2</sub> and NH<sub>3</sub> were adjusted by means of mass-flow controllers.  
3 The corresponding nitriding potential (cf. Refs. [6] and [7]) was  $r_N = 0.104 \text{ atm}^{-1/2}$ . Under the  
4 chosen nitriding potential no iron nitrides develop at the surface, i.e. the nitrided layer consists  
5 only of the diffusion zone with nitride precipitates of the alloying elements.  
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12 After nitriding the specimens were annealed. To this end they were put in an Al<sub>2</sub>O<sub>3</sub>-  
13 crucible which was encapsulated in an evacuated quartz tube under a protective argon gas  
14 atmosphere (purity Ar: 99.999 vol.%) with a pressure of 0.3 atm (equivalent to 1 atm at  
15 973 K). Subsequently the quartz tube was put into a muffle furnace at a temperature of about  
16 973 K. After 168 h annealing time the quartz tube was taken out of the furnace and cooled  
17 down to ambient temperature.  
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### 26 **2.3 Microstructural analysis**

27 Phase analysis by X-ray diffraction applied to the surface of all specimens before nitriding,  
28 after nitriding and after subsequent annealing was performed on both a PANalytical (formerly  
29 Philips) X'Pert Multi-Purpose Diffractometer (MPD) in Bragg-Brentano geometry and a  
30 PANalytical (formerly Philips) Materials-Research Diffractometer (MRD) with a quasi-  
31 parallel beam geometry. Both diffractometers were equipped with graphite-diffracted beam  
32 monochromators set to Co-K $\alpha$  radiation. The specimens were rotated on a spinner around  
33 their vertical axis during each measurement, to improve crystal statistics. The diffraction  
34 angle  $2\theta$  was scanned over a range from 30° until 140° in steps of 0.05° (MPD) and 0.04°  
35 (MRD) with a counting time of 10 s (MPD) and 100 s up to 120 s (MRD) per step. Detected  
36 phases were identified by  $2\theta$  positions of their diffraction peaks in comparison with data from  
37 the ICDD data base [14].  
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55 Concentration depth profiles were determined by electron probe microanalysis (EPMA)  
56 performed on polished cross-sections. For light microscopy a piece of each nitrided and  
57 annealed specimen was cut off, embedded, ground, polished and etched with 2.5 % Nital  
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(2.5 vol.% HNO<sub>3</sub> in ethanol) at a temperature of about 323 K for about 200 s. The microhardness was measured along the EPMA line-scans on the cross-sections of nitrided and annealed specimens. For details regarding the methods used for EPMA, light microscopy and microhardness measurements, see Ref. [13].

Transmission electron microscopy (TEM) was applied to investigate the (crystal) structure and morphology of the nitride precipitates in the nitrided and annealed specimens. For this purpose TEM samples were produced by either the jet-electropolishing technique or by argon ion milling (cf. Ref. [13] for details) from different depths of the nitrided and annealed zone. Specimens prepared by both preparation methods provide complementary insight (see Section 3.2). Two different precipitate crystal structures and orientation relationships (OR) with the ferrite matrix are relevant for the analyses of the selected area diffraction patterns (SADPs) in the present work:

(i) the Bain-type OR [15] (sometimes also called after Baker and Nutting [16]) between the bcc ferrite matrix and the nitride (MeN) fcc rock-salt structure type precipitates

$$\{001\}_{\alpha\text{-Fe}} // \{001\}_{\text{MeN}}, \langle 100 \rangle_{\alpha\text{-Fe}} // \langle 110 \rangle_{\text{MeN}}; \text{Me} = \text{Cr, Al},$$

(ii) the Pitsch–Schrader OR [17] between the bcc ferrite matrix and nitride (MeN) hcp wurtzite structure type precipitates

$$\{110\}_{\alpha\text{-Fe}} // \{0001\}_{\text{MeN}}, \langle 001 \rangle_{\alpha\text{-Fe}} // \langle 11\bar{2}0 \rangle_{\text{MeN}}; \text{Me} = \text{Al}.$$

Schematic SADPs with  $[001]_{\alpha\text{-Fe}}$  incident electron-beam axis pertaining to the two cases above are shown in Fig. 1. The experimentally recorded SADPs (cf. Section 3) exhibit additional spots at forbidden  $100_{\alpha\text{-Fe}}$  sites. These spots originate from  $220_{\text{Fe}_3\text{O}_4}$  reflections due to unavoidable oxidation at the faces of the electron-transparent foils [4, 18, 19].

Scanning transmission electron microscopy (STEM) was applied to get information about the chemical composition of individual nitride precipitates. High resolution transmission electron microscopy (HRTEM) was performed in particular to reveal the structure of the precipitate/matrix interfaces. For details of the instruments and techniques used, see Ref. [13].

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### 3 Results and discussion

#### 3.1 Concentration depth and microhardness depth profiles; after nitriding and after subsequent annealing

EPMA concentration depth profiles of a nitrided (15 h at 853 K) and subsequently annealed (168 h at 973 K) specimen are shown in Fig. 2 a together with the corresponding LM micrograph. The depicted graph in Fig. 2 a consists of six graphically superimposed line-scans, determined at different locations on the cross-section perpendicular to the specimen surface. The dashed vertical white lines denote the depths from which TEM samples were prepared. The dotted horizontal black line denotes the so-called *normal* amount of N (i.e.  $[N]_{\text{normal}}$ ), which is necessary to transform all alloying elements (Al and Cr substitutionally dissolved in ferrite) into AlN, CrN and/or mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates (i.e.  $[N]_{\text{MeN}}$ ) plus the amount of N, which can be dissolved interstitially in the remaining pure, unstrained ferrite matrix in thermodynamic equilibrium with the nitriding gas atmosphere at the applied nitriding conditions (i.e.  $[N]_{\alpha\text{-Fe}}^0 = 0.30$  at.% in pure ferrite [20]). The amount of N in excess of this normal amount is called “*excess*” nitrogen [20-23].

During nitriding of an Fe–1.5wt.%Cr–1.5wt.%Al specimen for 15 h at 853 K cracks develop along grain boundaries, in particular those running more or less from the surface towards the specimen centre (cf. the LM micrograph in Fig. 2 a); the nitrided layer is brittle. The nitrided zone *before* annealing ranges until a depth of about 360  $\mu\text{m}$  (cf. Fig. 2 b). After annealing the nitrogen is, overall, distributed more or less evenly over the cross-section of the specimen, except near the surface where the nitrogen concentration decreases towards the outer surface as a consequence of outward diffusion of N during annealing (see the EPMA concentration depth profiles in Figs. 2 a and b). In the initially unnitrided core the N and Cr atomic concentrations scatter strongly after the annealing (see, for N, Cr and Al, at the “specimen centre” area in Fig. 2 a and for N also in Fig. 2 b).

1 As can be concluded from the difference between the N concentration depth profiles after  
2 only nitriding and after nitriding plus annealing (Fig. 2 b), during annealing the *mobile* excess  
3 (i.e. dissolved in the  $\alpha$ -Fe matrix) nitrogen apparently diffuses towards the formerly not  
4 nitrided core. After the annealing the amount of nitrogen remaining in the former diffusion  
5 zone is still higher than the “normal” amount of nitrogen (cf. dotted horizontal black line in  
6 Fig. 2 a). This is ascribed to the presence of immobile excess nitrogen, which is trapped at the  
7 precipitate/matrix interface (cf. Ref. [13]).

8 After EPMA the embedded cross-section was etched to uncover the trace of the electron  
9 beam line-scan. Along such an EPMA line-scan the microhardness values were determined to  
10 get a microhardness depth profile. The N atomic concentration depth profiles of a specimen  
11 after nitriding and of a specimen after subsequent annealing together with the corresponding  
12 microhardness depth profiles are shown in Fig. 2 b. In view of the different widths of the  
13 cross sections of the two specimens, the centre line of the nitrided specimen was made  
14 coincident with the centre line of the nitrided plus annealed specimen. It follows that the  
15 microhardness depth profiles and the corresponding N concentration depth profiles have the  
16 same characteristic course, except in the core of the annealed specimen, where the N  
17 concentration scatters strongly, and the hardness values are only slightly increased as  
18 compared to the hardness increase observed upon nitriding in the initially nitrided zone, with  
19 reference to the formerly unnitrided core. The maximum Vickers microhardness at the  
20 nitrided specimen surface of about 1200 HV 0.015 had decreased upon annealing to a value of  
21 about 600 HV 0.015. The decrease of the microhardness during annealing, in particular in the  
22 near-surface region, indicates a coarsening and/or a transformation of the initially platelet-like  
23 (semi)coherent  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates. The microhardness in the unnitrided core of about  
24 150 HV 0.015 had increased to about 300 HV 0.015 upon annealing. This microhardness  
25 increase in the formerly unnitrided core is due to inward diffusion of excess nitrogen and the  
26 formation of relatively coarse and incoherent equilibrium nitride precipitates (cf.  
27 Section 3.2.3), which explains the scatter in the N, Cr and Al concentrations in this region.

## 3.2 Precipitates after nitriding and after subsequent annealing; structure, composition and orientation relationships

### 3.2.1 Near the surface

X-ray diffraction analyses were carried out on specimen surfaces before nitriding, after nitriding and after subsequent annealing; the corresponding diffractograms are shown in Fig. 3 a. No new phase is identifiable in the diffractogram after nitriding. However, a strong broadening of the ferrite-matrix reflections is apparent. This is due to the presence of tiny nitride precipitates, which diffract coherently with the ferrite matrix (see Ref. [24]). TEM and STEM–EDX analyses have shown that these precipitates are mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitrides [13].

Annealing after nitriding leads to strong decrease of the line broadening of the ferrite-matrix reflections. Also some tiny intensity humps occur at the low-angle side of the  $\alpha\text{-Fe}$  110 reflection in the X-ray diffractograms; see Fig. 3 b. The intensity humps can be assigned to reflections from hexagonal AlN in the wurtzite structure that evidently diffracts incoherently with respect to the ferrite matrix. The presence of cubic, rock-salt AlN nitride and cubic, rock-salt CrN nitride cannot be verified because of overlap of corresponding nitride reflections with ferrite reflections. Therefore TEM analysis was performed next.

A BF image of a *jet-electropolished* TEM sample from near the surface (cf. Fig. 2 a), together with corresponding SADPs and EDX spectra, is shown in Fig. 4. “Round” particles are visible. The dissolution of the ferrite matrix during jet-electropolishing leads partially to “loosening” of the nitride particles (see the round particles in Fig. 4) and a distinct OR with the matrix then no longer occurs. The dark round particles on the BF image were big enough to get a separate SADP of mainly such a particle. By means of the measured lattice spacings in combination with the results from the EDX spectra (compare counting rate for Cr in the “matrix” spectrum and in the “round particle” spectrum; the Fe counts in the particle spectrum are from the surrounding matrix), the round particles can be identified as cubic, rock-salt structure type CrN.

1 An HRTEM image of an *ion-milled* TEM sample from near the surface (cf. Fig. 2 a) is  
2 shown in Fig. 5. By this type of TEM specimen preparation the cohesion of nitride particles  
3 and matrix is maintained, in contrast with the jet-electropolishing technique (see above). In  
4 the dotted square A a roundish precipitate can be recognised (cf. white arrow) whereas in the  
5 solid square B two differently oriented smaller, more platelet-like precipitates are visible (cf.  
6 white arrows), which are perpendicular to each other. Fast Fourier transformation (FFT)  
7 patterns have been generated from selected regions from the square areas A and B. The FFT  
8 pattern of area A is congruent with the Pitsch–Schrader OR whereas the FFT pattern of area B  
9 is congruent with the Bain OR (cf. Fig. 1).  
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22 For the determination of the chemical composition of the nitride precipitates in the ion-  
23 milled foils, EDX line-scans were recorded by STEM. A STEM BF image of three adjacent  
24 grains in an ion-milled sample from the nitrated and annealed specimen near the surface is  
25 shown in Fig. 6, together with the results of the STEM line-scans (EDX), along paths  
26 indicated by the arrows b and c in the BF image. The drift of the samples imposed a practical  
27 limit on the counting time, and therefore, in contrast with the successful recording of the Cr  
28 and Al line-scans shown in the figure, a reliable nitrogen line-scan could not be obtained (for  
29 details of the quantitative analysis see Ref. [13]).  
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41 Along the grain boundaries and at the triple junction shown in Fig. 6 relatively large  
42 particles are visible with bright contrast. STEM line-scan b crosses such a particle. The line-  
43 scan reveals the distinct presence of Al, and not of Cr, in the particle. Such bright but smaller  
44 precipitates are also visible inside the grains. The STEM line-scan c across such a smaller  
45 precipitate shows a similar result like that for the bigger particle.  
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52 The above results, combined XRD and TEM, can be summarised: after annealing the  
53 microstructure in the near surface region reveals the presence of cubic, rock-salt structure type  
54 chromium-rich precipitates (“CrN”) and hexagonal, wurtzite structure type aluminium-rich  
55 precipitates (“AlN”). The particle size varies from 10 nm to 50 nm and more; the thickness of  
56 the initial  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  platelets is 1-2 nm [13]. The cubic precipitates exhibit a Bain OR with the  
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1 ferrite matrix, the hexagonal precipitates show a Pitsch–Schrader OR with the ferrite matrix.  
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3 These results imply that in the near-surface region the initially present cubic, rock-salt  
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5 structure type  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates are replaced by  $\text{AlN}$  and  $\text{CrN}$  upon sufficiently long  
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7 annealing after nitriding.  
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### 10 3.2.2 In the nitrogen diffusion zone

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12 A TEM BF image and corresponding DF images from an ion-milled sample, taken from the  
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14 diffusion zone at a depth of about  $215\ \mu\text{m}$  (cf. Fig. 2 a), are shown in Fig. 7. The zone axis  
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16 (incident electron-beam direction) is  $[001]_{\alpha\text{-Fe}}$ . Two kinds of precipitates are visible in the BF  
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18 image and as evidenced by the SADP and DF images: (i) platelet-like cubic, rock-salt  
19  
20 structure type precipitates (largely viewed edge-on for the operating zone axis of the ferrite  
21  
22 matrix) possessing a Bain-type OR with the ferrite matrix and (ii) coarser hexagonal, wurtzite  
23  
24 structure type precipitates exhibiting the Pitsch–Schrader OR with the ferrite matrix (cf. Fig. 7  
25  
26 and the SADP shown as inset in Fig. 7); see in particular the corresponding DF images taken  
27  
28 from a rock-salt  $002_{\text{MeN}}$  spot (Fig. 7 b) and a wurtzite  $0002_{\text{MeN}}$  or  $1\bar{1}00_{\text{MeN}}$  (cannot be  
29  
30 distinguished; cf. Fig. 1) spot (Fig. 7 c) indicated by circles in the SADP. The bright platelets  
31  
32 in the DF image of Fig. 7 b are not exactly parallel and there is a variation in the brightness  
33  
34 inside the platelets. Apparently deviations of the Bain OR occur along a platelet, which is  
35  
36 compatible with the slight splitting up of the  $002_{\text{MeN}}$  rock-salt spots in the SADP (cf.  
37  
38 Ref. [13]). In the BF image the strain-field contrast around the precipitates is not pronounced,  
39  
40 as compared to BF images from the same depth of the nitrated zone before annealing (cf.  
41  
42 Ref. [13]).  
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52 A BF image recorded by STEM on an ion-milled sample, taken from the nitrated and  
53  
54 annealed specimen at the same depth of about  $215\ \mu\text{m}$ , is shown in Fig. 8 a. Two kinds of  
55  
56 precipitates are visible with different orientation: bright particles and dark particles. The  
57  
58 results of the STEM line-scans (EDX) shown in Figs. 8 b and c reveal that the bright particle  
59  
60 b contains Al but no Cr, whereas the dark particle c contains both elements, Cr and Al. Hence,

1 precipitates of the mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  cubic, rock-salt structure type are (still) present in the  
2  
3 ferrite matrix at this depth (dark precipitate in Fig. 8 a). However, the Al content of the mixed  
4  
5  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride pertaining to the line-scan in Fig. 8 c appears to be decreased distinctly  
6  
7 compared with STEM line-scan results from the nitrided specimen before annealing (cf.  
8  
9 Fig. 7 b in Ref. [13]): Al depletion in  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  has occurred due to the formation of the  
10  
11 hexagonal, wurtzite AlN upon annealing.  
12  
13

14  
15 The above results suggest that the replacement of the initial cubic  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates by  
16  
17 (equilibrium) cubic CrN and hexagonal AlN precipitates, proceeds by Al depletion of the  
18  
19 initial  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates, which eventually become CrN, under simultaneous coarsening.  
20  
21

### 22 **3.2.3 In the initially unnitrided core**

23  
24 Excess nitrogen from the original nitrogen diffusion zone diffuses upon annealing inwardly  
25  
26 and brings about a “nitriding” of the initially unnitrided core (cf. Fig. 2 and its discussion in  
27  
28 Section 3.1). An EPMA concentration depth profile in the region of the specimen centre  
29  
30 (before annealing: the unnitrided core) of an annealed specimen, together with the  
31  
32 corresponding scanning electron microscopy (SEM) image, is shown in Fig. 9. The white  
33  
34 arrow shows the trace of the line-scan. The dashed vertical lines denote points where the  
35  
36 electron beam crossed black particles, which evidently led to local, abrupt increases of the N  
37  
38 and Cr concentrations. These black particles, thus likely CrN, occur mainly along grain  
39  
40 boundaries of the ferrite matrix and only sporadically within the grains. The Al concentration  
41  
42 shows also a larger variation in the originally unnitrided core, as compared to the originally  
43  
44 nitrided zone and the unnitrided state, but much less pronounced as for the Cr and N  
45  
46 concentrations: apparently a distinctly preferred precipitation of AlN at grain boundaries does  
47  
48 not occur (see what follows).  
49  
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54  
55 XRD phase analysis of the core region was carried out, with a high counting time, by  
56  
57 recording an X-ray diffractogram from the surface obtained after material removal from one  
58  
59 side of the specimen by grinding and polishing. The thus obtained diffractogram is shown in  
60  
Fig. 10. Sharp reflections are visible, in addition to the ferrite-matrix reflections, the positions

1 of which agree well with corresponding data from the ICDD data base [14] for both the  
2  
3 hexagonal AlN wurtzite structure type phase and the cubic CrN rock-salt structure type phase.  
4  
5 The presence of cubic, rock-salt structure type AlN cannot be excluded since the important  
6  
7 possible reflections suffer from severe overlap with ferrite and CrN reflections.  
8  
9

10 A BF image and the corresponding SADP (electron-beam axis:  $[001]_{\alpha\text{-Fe}}$ ) of an ion-milled  
11  
12 TEM sample taken from the specimen centre are shown in Fig. 11. Besides ferrite (and  
13  
14  $220_{\text{Fe}_3\text{O}_4}$ ; cf. Section 2.3) spots, only AlN wurtzite structure type spots, indicating a Pitsch–  
15  
16 Schrader OR of the precipitate with the ferrite matrix (cf. Fig. 1), can be observed in the  
17  
18 SADP. The AlN precipitates in Fig. 11 appear bright in the ferritic matrix due to the  
19  
20 considerable difference in atomic number of Al (precipitate) and Fe (matrix). The atomic  
21  
22 numbers of Cr and Fe are not so different and hence a low contrast between chromium-rich  
23  
24 precipitates and the ferrite matrix is expected: it is hardly possible to identify chromium-rich  
25  
26 precipitates in Fig. 11.  
27  
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32 In order to characterise the precipitates by their chemical composition a STEM line-scan  
33  
34 (EDX) was carried out across a bright precipitate (Figs. 12 a and b). In this bright particle  
35  
36 only Al is detectable, but no Cr. Another STEM line-scan was carried out across a hardly  
37  
38 visible precipitate (Figs. 12 c and d). In this precipitate only Cr is detectable, and not Al.  
39  
40  
41

42 A STEM BF image and EDX elemental maps for N–K, Al–K and Cr–K at this location are  
43  
44 shown in Fig. 13. The aluminium-rich (also nitrogen-rich) areas can clearly be assigned to the  
45  
46 bright (AlN) precipitates in the BF image. The chromium-rich area (also nitrogen-rich) cannot  
47  
48 be assigned to a distinct contrast in the BF image: CrN precipitates are hardly visible in the  
49  
50 BF images (see above).  
51  
52

53 From the above results it is concluded that the bright particles consist of the hexagonal,  
54  
55 equilibrium AlN wurtzite structure type, whereas the dark, hardly visible particles consist of  
56  
57 the cubic, equilibrium CrN rock-salt structure type. There is no indication for the presence of  
58  
59 the mixed, metastable  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride as an intermediate precipitation stage.  
60

1 It follows that during annealing after nitriding in the originally unnitrided core relatively  
2 coarse (size 100 nm to 200 nm) hexagonal, wurtzite structure type AlN and cubic, rock-salt  
3 structure type CrN precipitates develop in the grain interiors; mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  precipitates are  
4 not observed.  
5  
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#### 10 4 Conclusions

11 (i) Upon nitriding (here at 853 K) ferritic iron-based Fe–Cr–Al alloy, mixed, metastable  
12 cubic, rock-salt structure type  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitrides develop in the nitrated zone, which contains  
13 considerably more nitrogen than necessary to precipitate all Cr and all Al: excess nitrogen.  
14  
15  
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18 (ii) *In the nitrated zone*, annealing (here at 973 K) subsequent to nitriding leads to the  
19 development of the equilibrium precipitates CrN and AlN:  
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- 24 – Cubic, rock-salt structure type and hexagonal, wurtzite structure type particles occur after  
25 annealing, which exhibit a Bain-type orientation relationship and a Pitsch–Schrader  
26 orientation relationship, respectively, with the ferrite matrix, and which correspond with  
27 (compositional analysis) CrN and AlN, respectively. Part of the cubic, rock-salt structure  
28 type particles is (still) mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride, however containing less Al than initially  
29 present.  
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- 39 – Transformation of the initial mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitrides proceeds by their Al depletion. The  
40 subsequent precipitation of AlN occurs in the interior and at grain boundaries of the  
41 matrix. A coarser microstructure results.  
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- 46 – The precipitates no longer exhibit strong coherency with the ferrite matrix, as reflected by  
47 the strong decrease of the broadening of the XRD ferrite-matrix reflections and the  
48 distinct decrease of hardness.  
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53 (iii) *In the originally unnitrided core*, annealing leads to the presence of nitrogen by diffusion  
54 of the mobile excess nitrogen from the nitrated zones. This nitrogen immediately precipitates  
55 as relatively coarse CrN at grain boundaries and as smaller (~100 nm to 200 nm) cubic, rock-  
56 salt structure type CrN and hexagonal, wurtzite structure type AlN in the interior of the grains.  
57 Mixed  $\text{Cr}_{1-x}\text{Al}_x\text{N}$  nitride does not develop.  
58  
59  
60

### Acknowledgements

The authors wish to thank Mr. J. Köhler and Mr. P. Kress for assistance with the nitriding experiments, Mrs. S. Haug for assistance with the EPMA experiments, Mr. W.-D. Lang for TEM sample preparation, Mrs. M. Kelsch for assistance during the first stage of TEM experiments, Dr. F. Phillipp for assistance during the first stage of HRTEM experiments, Dr. W. Sigle for assistance during the STEM experiments, discussion and critical reading of the manuscript, and Dr. A. Leineweber for discussion.

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**Figure captions**

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7 Fig. 1: Schematic SADPs with  $[001]_{\alpha\text{-Fe}}$  incident electron-beam axis pertaining to the Bain  
8 orientation relationship (OR) between cubic, rock-salt structure type precipitates and the  
9 ferrite matrix (including superposition of all variants) and of the Pitsch–Schrader OR between  
10 hexagonal, wurtzite structure type precipitates and the ferrite matrix (for clarity here only one  
11 variant is shown).  
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21 Fig. 2: (a) EPMA concentration depth profiles of a nitrided and annealed specimen together  
22 with the corresponding LM micrograph. The dashed vertical white lines indicate the depths at  
23 which TEM samples were prepared. The dotted horizontal black line represents the normal  
24 amount of N (see text). (b) Comparison of the microhardness depth profiles and the N  
25 concentration depth profiles of both an only nitrided specimen and a nitrided and  
26 subsequently annealed specimen. The centre lines of both specimens have been made  
27 coincident.  
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40 Fig. 3: (a) X-ray diffractograms taken from the specimen surface before nitriding, after  
41 nitriding and after nitriding and annealing (counting time 10 s/step). After nitriding a very  
42 pronounced peak broadening occurs, which becomes reduced upon subsequent annealing.  
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47 (b) X-ray diffractogram of the specimen surface after nitriding and annealing recorded  
48 applying longer counting times (100 s/step) than in Fig. 3 a in order to identify the intensity  
49 humps at the low-angle side of the  $\alpha\text{-Fe}$  110 reflection. Small peaks from the hexagonal AlN  
50 wurtzite structure can be observed. Presence or absence of peaks from the cubic-rock salt  
51 structure type AlN and CrN cannot be confirmed, because they generally are subject to strong  
52 overlapping with ferrite-matrix reflections.  
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1 Fig. 4: BF image of a jet-electropolished TEM sample from the nitrided and annealed  
2 specimen near the surface, together with corresponding SADPs  
3 (electron-beam axis near  $[001]_{\alpha\text{-Fe}}$ ) and EDX spectra of the ferrite matrix and precipitate  
4 (round particle).  
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11  
12 Fig. 5: HRTEM image of an ion-milled TEM sample from the nitrided and annealed specimen  
13 near the surface (electron-beam axis:  $[001]_{\alpha\text{-Fe}}$ ). The FFT patterns generated from an area  
14 with the roundish precipitate in the dotted square A and from an area with platelet-like  
15 precipitates in the solid square B are shown at the right. The roundish particle in A exhibits a  
16 Pitsch–Schrader OR with the ferrite matrix, whereas the more platelet-like particles in B  
17 satisfy the Bain OR with the ferrite matrix (cf. Fig. 1).  
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30 Fig. 6: (a) STEM BF image of three adjacent grains with triple junction in an ion-milled  
31 sample from the nitrided and annealed specimen near the surface and the results of STEM  
32 line-scans along paths (b) and (c) (see arrows).  
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40 Fig. 7: (a) BF image and corresponding SADP of an ion-milled TEM sample from a nitrided  
41 and annealed specimen taken at a depth of about  $215\ \mu\text{m}$  (electron-beam axis:  $[001]_{\alpha\text{-Fe}}$ ).  
42 (b) DF image obtained from a  $002_{\text{MeN}}$  spot of rock-salt structure type precipitates having a  
43 Bain OR with the ferrite matrix. (c) DF image obtained from a  $0002_{\text{MeN}}$  or  $1\bar{1}00_{\text{MeN}}$  (cannot  
44 be distinguished; cf. Fig. 1) spot of the wurtzite structure type precipitates exhibiting a Pitsch–  
45 Schrader OR with the ferrite matrix (for analysing the SADP, see Fig. 1).  
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58 Fig. 8: (a) STEM BF image of an ion-milled TEM sample from a nitrided and annealed  
59 specimen taken at a depth of about  $215\ \mu\text{m}$  showing two precipitates with different contrast.  
60



1 The image quality is poor because of the ferromagnetism of the material. (b) Results of the  
2  
3 STEM line-scan of the bright precipitate in Fig. 8 a (see arrow). (c) Results of the STEM line-  
4  
5 scan of the dark precipitate in Fig. 8 a (see arrow).  
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10 Fig. 9: EPMA concentration depth profile from the region around the specimen centre  
11 (initially the unnitrided core) after nitriding and subsequent annealing, together with the  
12 corresponding SEM micrograph. The white arrow indicates the course of the line-scan. The  
13 dashed vertical black lines denote points where the electron beam hit black particles mostly at  
14 matrix grain boundaries, which exhibit a local concentration increase of N and Cr.  
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22 Fig. 10: X-ray diffractogram of the specimen centre (formerly unnitrided core, i.e. at about  
23 500  $\mu\text{m}$  depth) for phase analysis after nitriding and annealing (counting time 120 s/step).  
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31 Fig. 11: BF image and corresponding SADP of an ion-milled TEM sample from a nitrided  
32 and annealed specimen taken at the specimen-centre area (initially the unnitrided core)  
33 showing coarse, wurtzite structure type precipitates, exhibiting a Pitsch–Schrader OR with the  
34 ferrite matrix (see SADP (inset; electron-beam axis:  $[001]_{\alpha\text{-Fe}}$ ) and cf. Fig. 1).  
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43 Fig. 12: (a) and (c) STEM BF images of an ion-milled TEM sample from a nitrided and  
44 annealed specimen taken at the specimen-centre area (initially the unnitrided core).  
45 (b) and (d) Results of the corresponding STEM line-scans (EDX; see arrows in Figs. 12 a and  
46 c). Figs. 12 a and b: only Al is detectable in the bright precipitate. Figs. 12 c and d: only Cr is  
47 detectable in the hardly visible precipitate.  
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58 Fig. 13: (a) STEM BF image of an ion-milled sample from a nitrided and annealed specimen  
59 taken at the specimen-centre area (the formerly unnitrided core) and the results of element  
60

1 mapping for intensities of N-K, Al-K and Cr-K: (b), (c) and (d) respectively. The bright  
2  
3 precipitates can clearly be interpreted as AlN, whereas the CrN precipitate is hardly visible in  
4  
5 the BF image.  
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## Tables and table captions

Table 1

Amounts of alloying elements and impurities of the used alloy

Alloy	Cr		Al		N	O	S	C
	(wt.%)	(at.%)	(wt.%)	(at.%)	(wt.%)	(wt.%)	(wt.%)	(wt.%)
Fe-Cr-Al	1.52 ± 0.01	1.60 ± 0.01	1.52 ± 0.01	3.09 ± 0.02	< 0.0005	0.0043 ± 0.0004	< 0.0010	0.0025 ± 0.0002

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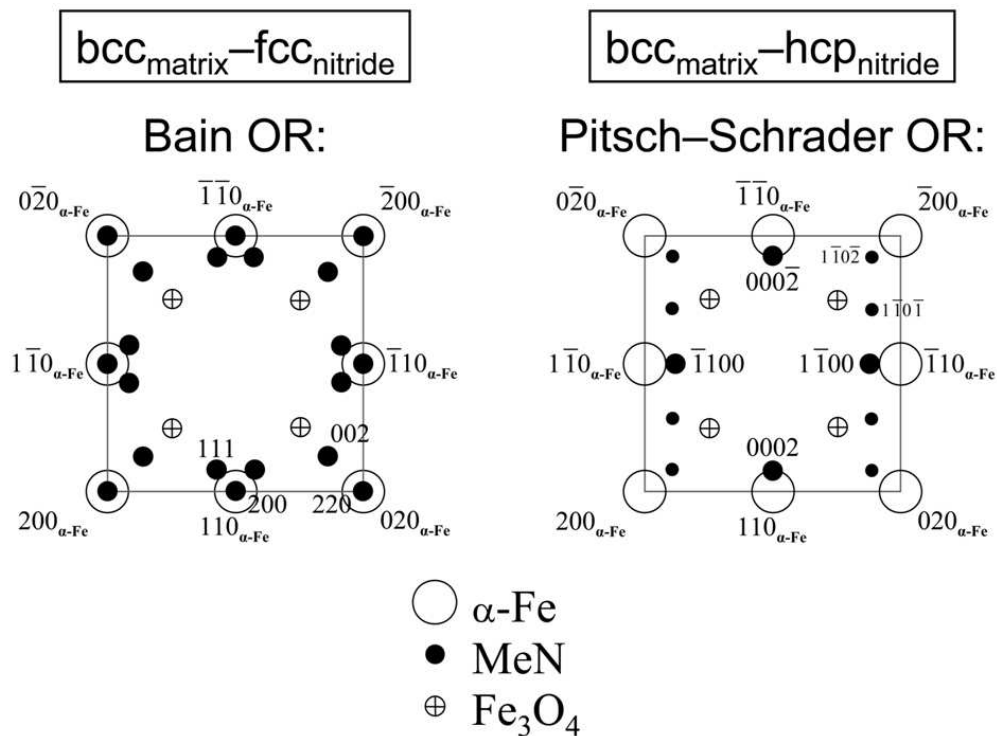


Fig. 1: Schematic SADPs with  $[001]_{\alpha\text{-Fe}}$  incident electron-beam axis pertaining to the Bain orientation relationship (OR) between cubic, rock-salt structure type precipitates and the ferrite matrix (including superposition of all variants) and of the Pitsch-Schrader OR between hexagonal, wurtzite structure type precipitates and the ferrite matrix (for clarity here only one variant is shown).

160x118mm (150 x 150 DPI)

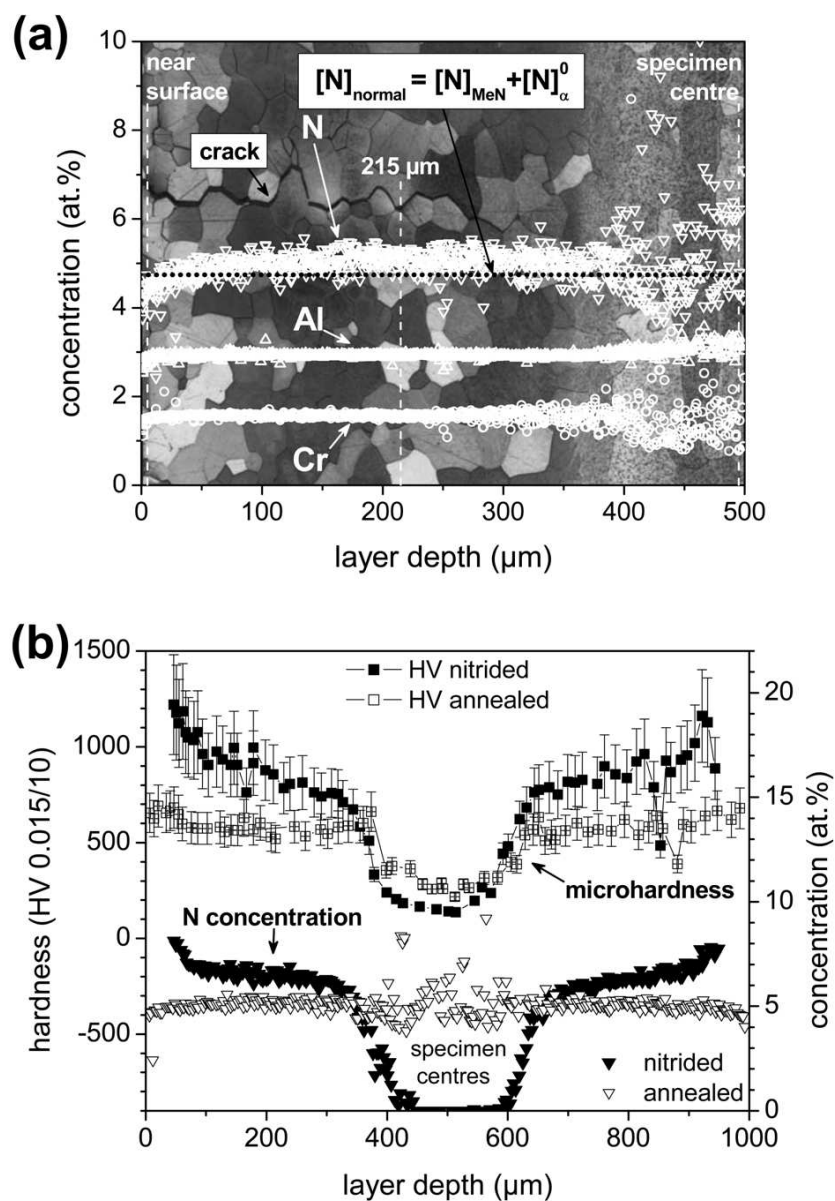


Fig. 2: (a) EPMA concentration depth profiles of a nitrided and annealed specimen together with the corresponding LM micrograph. The dashed vertical white lines indicate the depths at which TEM samples were prepared. The dotted horizontal black line represents the normal amount of N (see text). (b) Comparison of the microhardness depth profiles and the N concentration depth profiles of both an only nitrided specimen and a nitrided and subsequently annealed specimen. The centre lines of both specimens have been made coincident.  
160x230mm (150 x 150 DPI)

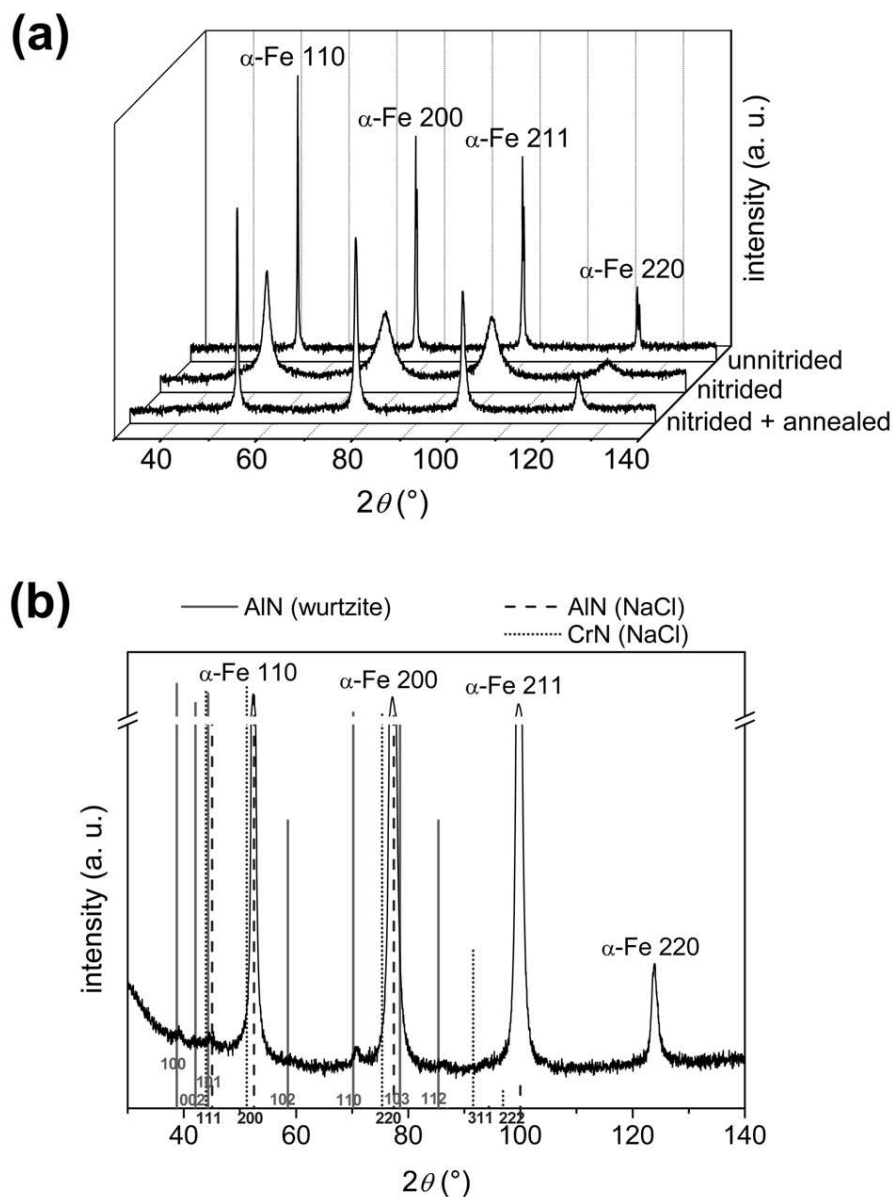


Fig. 3: (a) X ray diffractograms taken from the specimen surface before nitriding, after nitriding and after nitriding and annealing (counting time 10s/step). After nitriding a very pronounced peak broadening occurs, which becomes reduced upon subsequent annealing. (b) X ray diffractogram of the specimen surface after nitriding and annealing recorded applying longer counting times (100s/step) than in Fig. 3a in order to identify the intensity humps at the low-angle side of the  $\alpha$  Fe110 reflection. Small peaks from the hexagonal AlN wurtzite structure can be observed. Presence or absence of peaks from the cubic-rock salt structure type AlN and CrN cannot be confirmed, because they generally are subject to strong overlapping with ferrite-matrix reflections.

160x215mm (150 x 150 DPI)

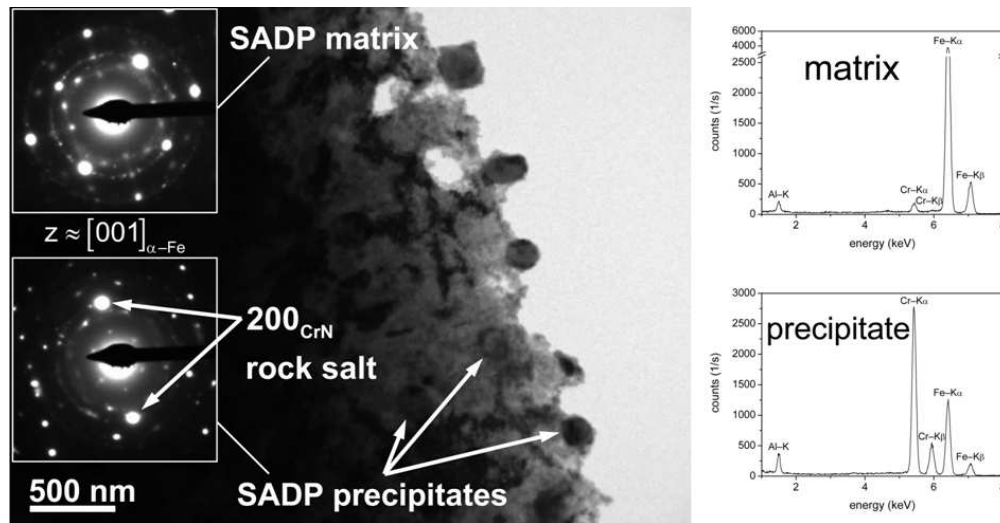


Fig. 4: BF image of a jet-electropolished TEM sample from the nitrated and annealed specimen near the surface, together with corresponding SADPs (electron-beam axis near  $[001]_{\alpha-Fe}$ ) and EDX spectra of the ferrite matrix and precipitate (round particle).  
160x83mm (150 x 150 DPI)

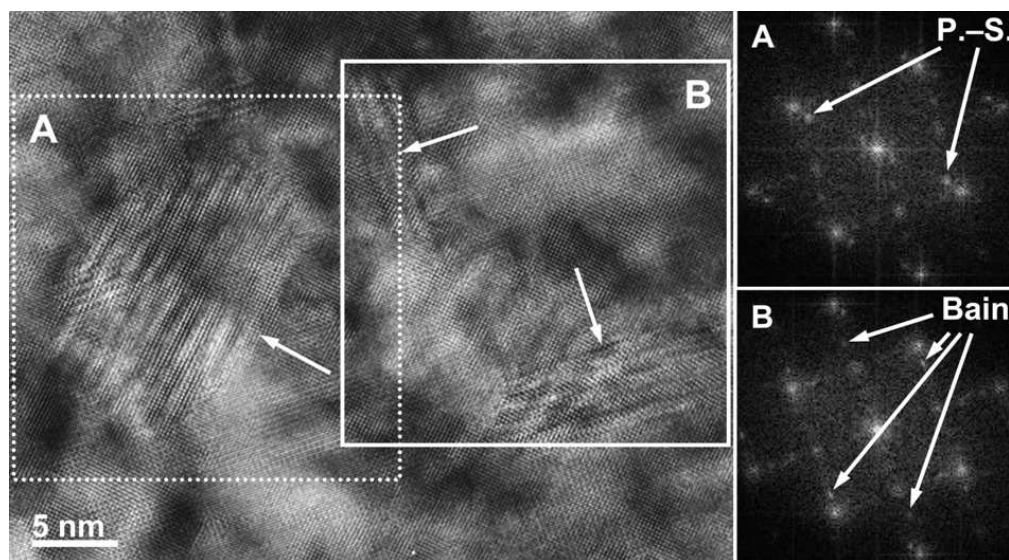


Fig. 5: HRTEM image of an ion-milled TEM sample from the nitrided and annealed specimen near the surface (electron-beam axis  $[001] \alpha_{-Fe}$ ). The FFT patterns generated from an area with the roundish precipitate in the dotted square A and from an area with platelet-like precipitates in the solid square B are shown at the right. The roundish particle in A exhibits a Pitsch-Schrader OR with the ferrite matrix, whereas the more platelet-like particles in B satisfy the Bain OR with the ferrite matrix (cf. Fig. 1).

160x88mm (150 x 150 DPI)



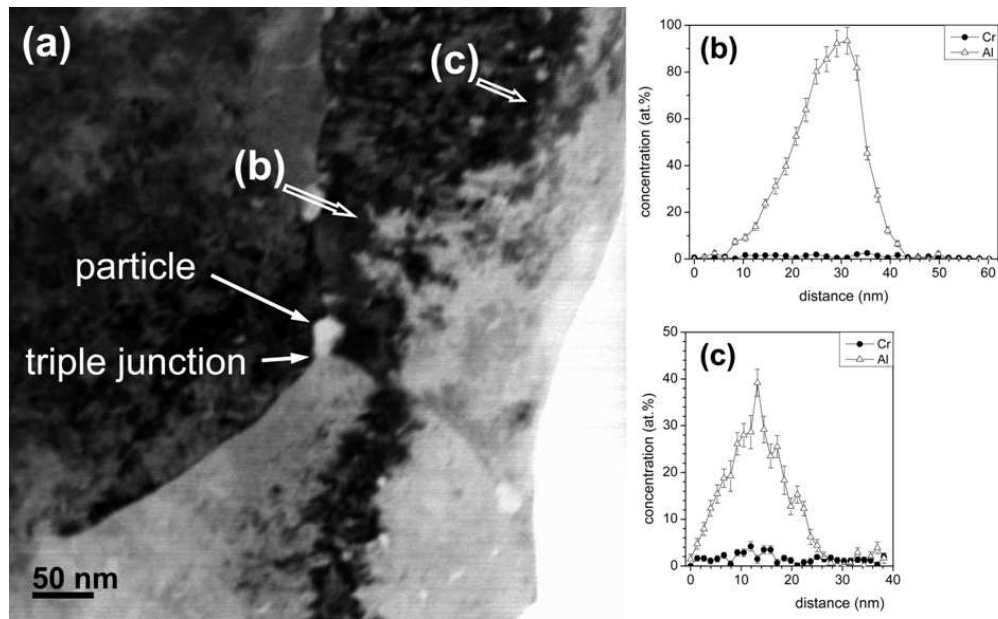


Fig. 6: (a)STEM BF image of three adjacent grains with triple junction in an ion-milled sample from the nitrided and annealed specimen near the surface and the results of STEM line-scans along paths (b) and (c) (see arrows).  
160x98mm (150 x 150 DPI)

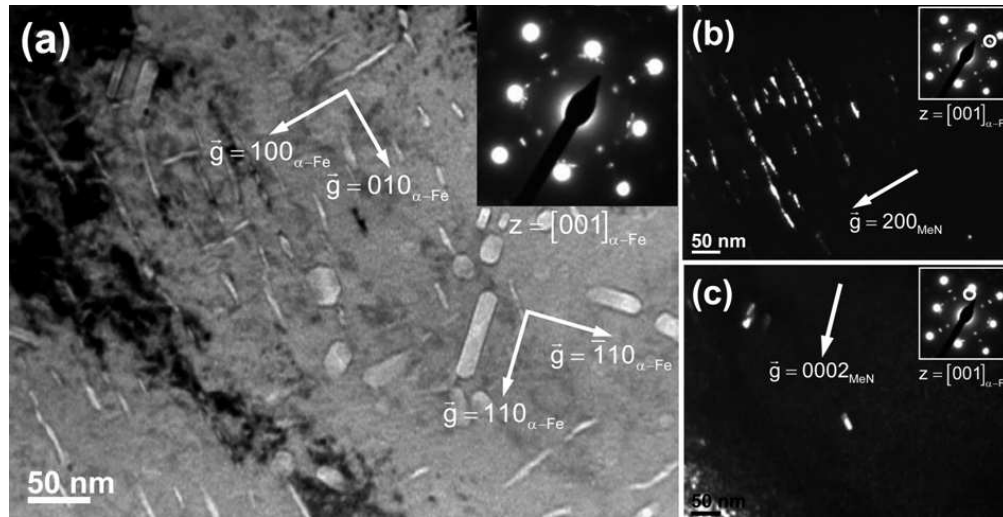


Fig. 7: (a)BF image and corresponding SADP of an ion-milled TEM sample from a nitrided and annealed specimen taken at a depth of about 215 $\mu$ m (electron-beam axis [001]  $\alpha$ -Fe). (b)DF image obtained from a spot of rock-salt structure type precipitates having a Bain OR with the ferrite matrix. (c) DF image obtained from a or (cannot be distinguished; cf. Fig. 1) spot of the wurtzite structure type precipitates exhibiting a Pitsch-Schrader OR with the ferrite matrix (for analysing the SADP, see Fig. 1).  
160x81mm (150 x 150 DPI)

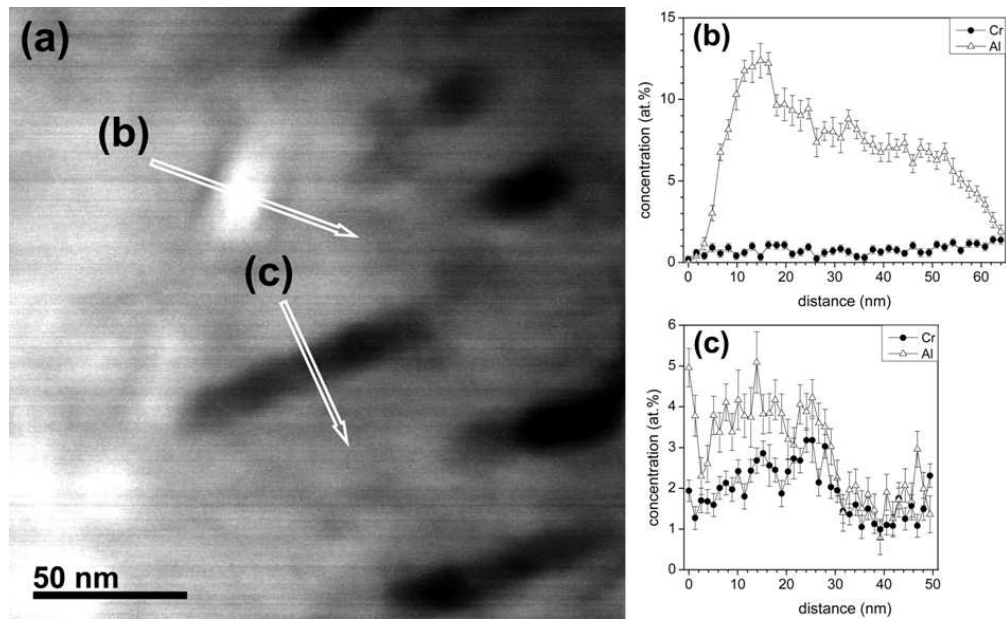


Fig. 8: (a)STEM BF image of an ion-milled TEM sample from a nitrated and annealed specimen taken at a depth of about 215 $\mu$ m showing two precipitates with different contrast. The image quality is poor because of the ferromagnetism of the material. (b)Results of the STEM line-scan of the bright precipitate in Fig. 8a (see arrow). (c)Results of the STEM line-scan of the dark precipitate in Fig. 8a (see arrow).

160x98mm (150 x 150 DPI)

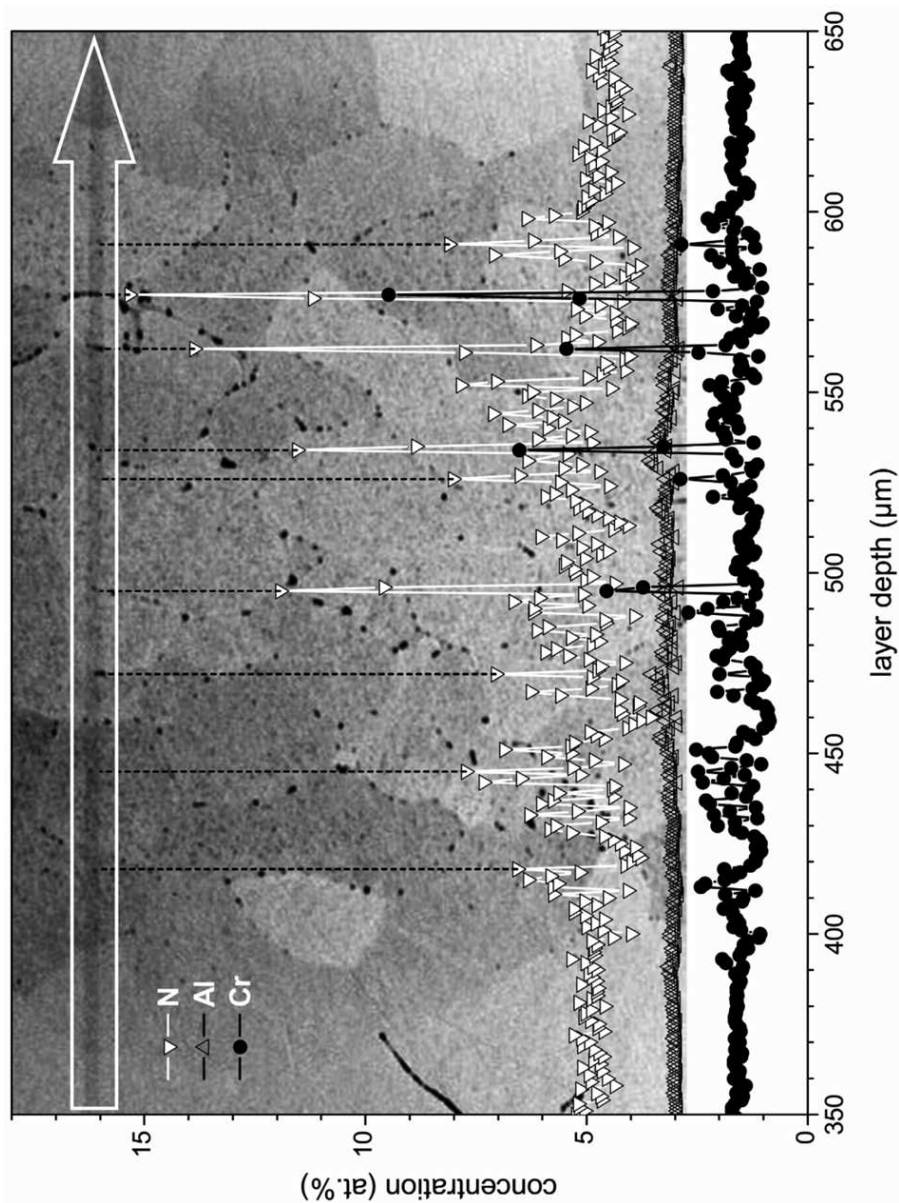


Fig. 9: EPMA concentration depth profile from the region around the specimen centre (initially the unnitrided core) after nitriding and subsequent annealing, together with the corresponding SEM micrograph. The white arrow indicates the course of the line-scan. The dashed vertical black lines denote points where the electron beam hit black particles mostly at matrix grain boundaries, which exhibit a local concentration increase of N and Cr.  
160x213mm (150 x 150 DPI)

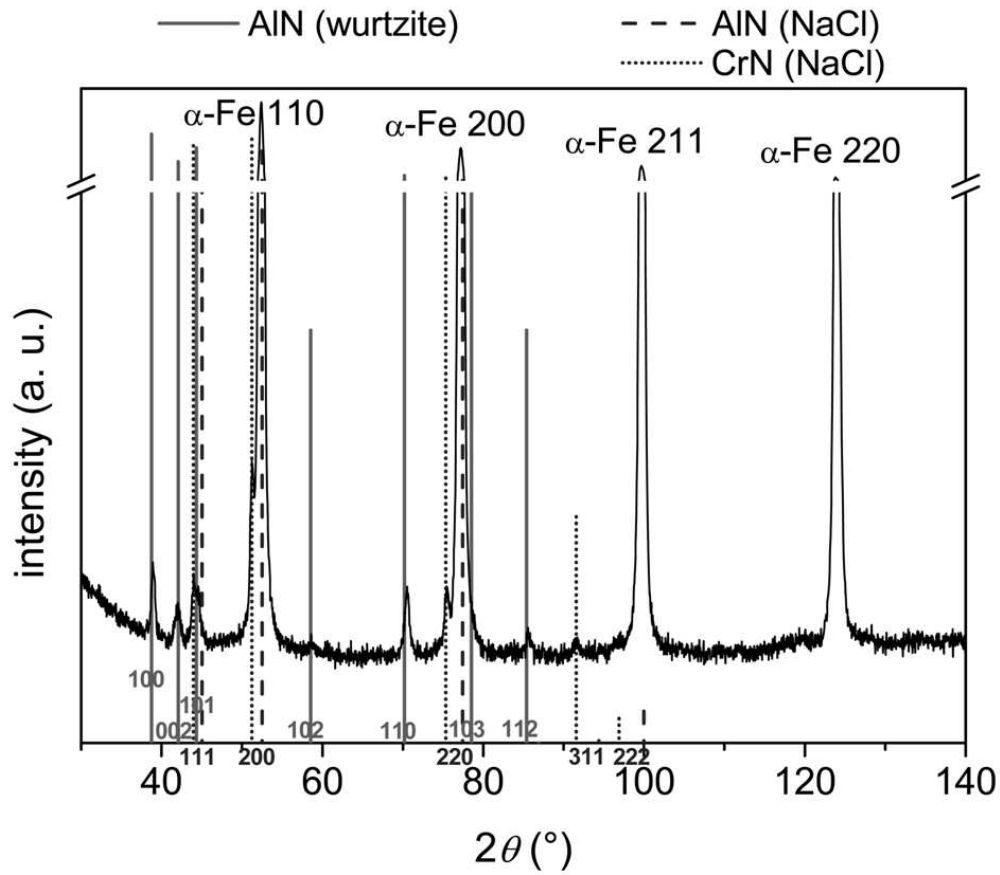
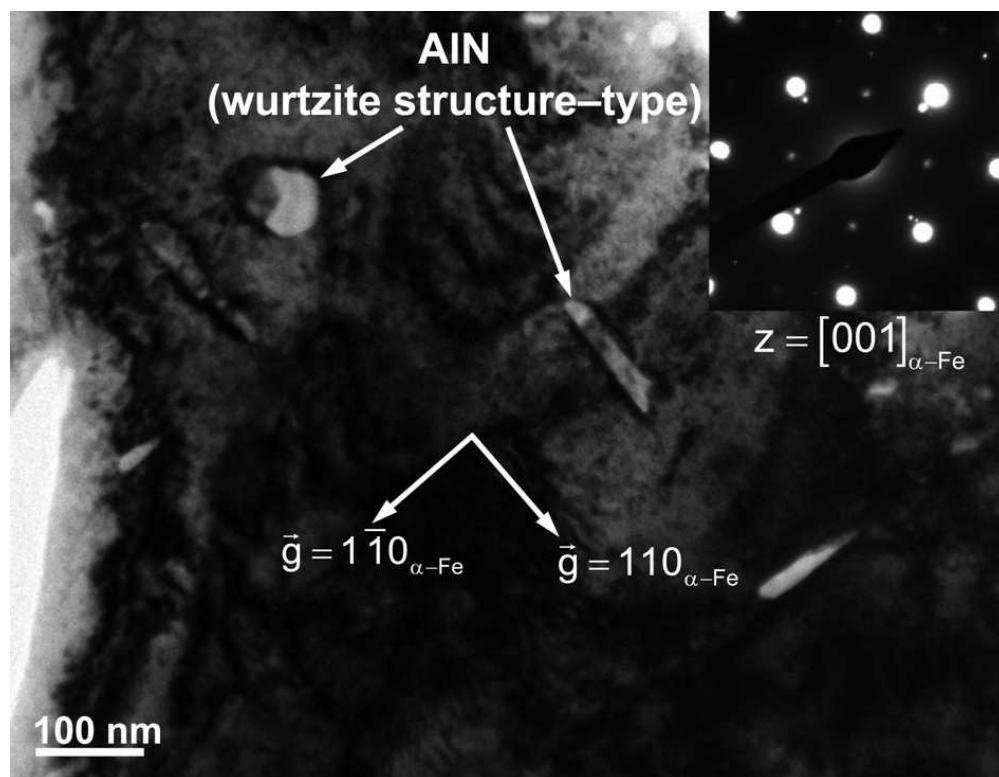


Fig. 10: X ray diffractogram of the specimen centre (formerly unnitrided core, i.e. at about 500 $\mu$ m depth) for phase analysis after nitriding and annealing (counting time 120s/step).  
 160x140mm (150 x 150 DPI)



34 Fig. 11: BF image and corresponding SADP of an ion-milled TEM sample from a nitrided and  
35 annealed specimen taken at the specimen-centre area (initially the unnitrided core) showing coarse,  
36 wurtzite structure type precipitates, exhibiting a Pitsch-Schrader OR with the ferrite matrix (see  
37 SADP (inset; electron-beam axis  $[001]_{\alpha-Fe}$ ) and cf. Fig. 1).  
38 160x123mm (150 x 150 DPI)

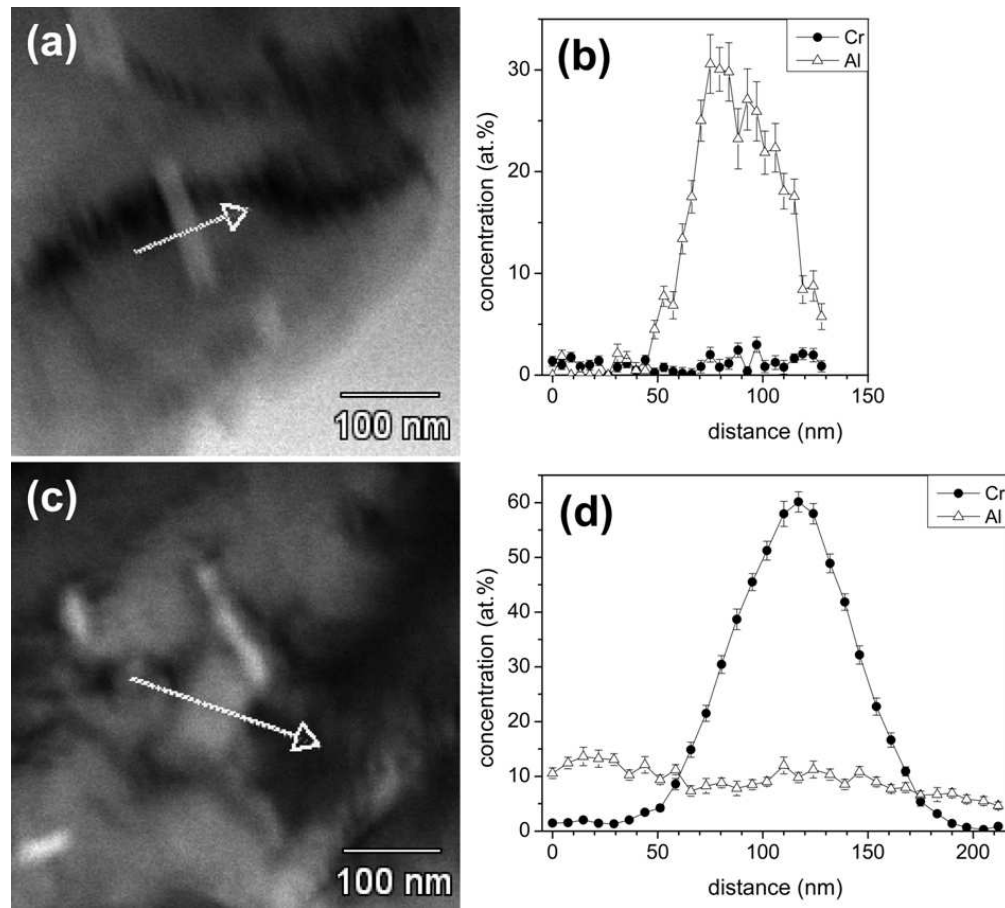


Fig. 12: (a) and (c) STEM BF images of an ion-milled TEM sample from a nitrided and annealed specimen taken at the specimen-centre area (initially the unnitrided core). (b) and (d) Results of the corresponding STEM line-scans (EDX; see arrows in Figs. 12a and c). Figs. 12a and b: only Al is detectable in the bright precipitate. Figs. 12c and d: only Cr is detectable in the hardly visible precipitate.

160x144mm (150 x 150 DPI)



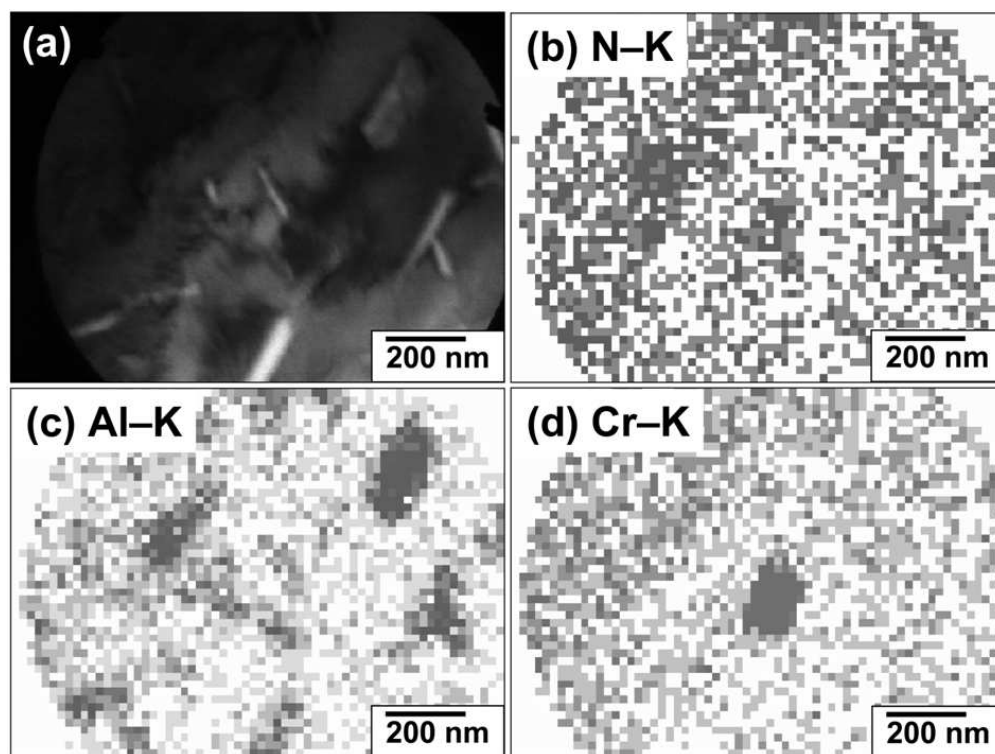


Fig. 13: (a)STEM BF image of an ion-milled sample from a nitrified and annealed specimen taken at the specimen-centre area (the formerly unnitrified core) and the results of element mapping for intensities of N-K, Al-K and Cr-K: (b), (c) and (d) respectively. The bright precipitates can clearly be interpreted as AlN, whereas the CrN precipitate is hardly visible in the BF image.

160x119mm (150 x 150 DPI)