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► **To cite this version:**

Yves Gaillard, Emilio Jiménez-Piqué, Marc Anglada. Scale dependence of the Young modulus measured by nanoindentation in columnar YSZ EB-PVD thermal barriers coatings. *Philosophical Magazine*, 2006, 86 (33-35), pp.5441-5451. 10.1080/14786430600778674 . hal-00513711

HAL Id: hal-00513711

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Submitted on 1 Sep 2010

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Scale dependence of the Young modulus measured by nanoindentation in columnar YSZ EB-PVD thermal barriers coatings

Journal:	<i>Philosophical Magazine & Philosophical Magazine Letters</i>
Manuscript ID:	TPHM-05-Oct-0447.R1
Journal Selection:	Philosophical Magazine
Date Submitted by the Author:	06-Mar-2006
Complete List of Authors:	gaillard, yves; UPC, CMEM Jiménez-piqué, Emilio; UPC, CMEM anglada, marc; UPC, CMEM
Keywords:	AFM, thermal barrier coatings
Keywords (user supplied):	nanoindentation, EB-PVD



Scale dependence of the Young modulus measured by nanoindentation in columnar

YSZ EB-PVD thermal barriers coatings

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Abstract :

Electron beam physical vapour deposited yttria stabilised zirconia (YSZ) thermal barrier coatings have been investigated by nanoindentation. It is shown that the mechanical properties, and particularly the Young modulus, of these coatings are closely related to their columnar microstructure. The transition from intra-columnar to inter-columnar deformation is characterised by a difference between the mechanical properties of the bulk YSZ and the ones of the coatings. The use of different indenter shape showed that the contact area was the parameter characteristic of this scale dependence. The deformation mechanisms studied by AFM have shown a good correlation with the evolution of the mechanical properties during the deformation.

Keywords : Thermal barrier coatings, nanoindentation, EB-PVD, AFM.

§ 1. Introduction :

Thermal barriers coatings (TBC) are widely used in the aircraft industry as protective coatings for gas engines turbine components. These tri-layered materials are composed

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3 of an intermetallic bond coat (BC) deposited on a substrate, generally a super-alloy, and
4 a ceramic top coat deposited on the bond coat. A thermally growth oxide (TGO)
5 localised between the BC and the top coat is also formed during the deposition. The
6 bond coat is an oxidation protection for the alloy while the top coat acts as the thermal
7 insulator. Therefore, this layer should have a sufficient thickness and a very low thermal
8 conductivity to be able to reduce the surface temperature of the substrate. Indeed, the
9 order of magnitude of the coatings thickness is generally of several hundred
10 micrometers. Furthermore, they are porous in order to reduce the thermal conductivity.
11 These layers are generally deposited following two processes: by air plasma spraying
12 (APS) or by electron beam physical vapour deposition (EB-PVD). Following the
13 deposition process the coatings present different heterogeneous microstructures [1,2].
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31 This paper is devoted to the study of yttria stabilised zirconia (YSZ) TBC deposited by
32 EB-PVD and particularly to the relationship between deformation mechanisms and
33 mechanical behaviour during a nanoindentation test. There are already a number of
34 papers about TBC by nanoindentation, principally on EB-PVD coatings, generally
35 treating of the effect of aging or the condition of deposition on the measurement of
36 hardness and Young modulus [3-6]. All these studies show the difficulty of measuring
37 the mechanical properties of TBC by nanoindentation due to their heterogeneous
38 structure and their high porosity. The effect of porosity results in a large scatter of the
39 hardness or elastic modulus values which could be reduced by a statistic treatment
40 performed on a large number of experiments. On the other hand, the particular structure
41 of the coatings introduces scale dependence. It is generally observed that, following the
42 depth of indentation, the measured mechanical properties are quite different: at small
43 depth, they are similar to those of the bulk material, while for larger deformation they
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3 are the actual ones of the layer. The shape of the indenter appears also to be
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5 predominant [7], since a sharp indenter will probe the coating inside the columns while
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7 a flat or a spherical indenter with a high radius a curvature will directly measure the
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9 response of the coating.
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15 This paper attempts to establish what is exactly measured by nanoindentation in this
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17 type of very heterogeneous coatings. In fact, the analysis of the unloading curves in
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19 nanoindentation is based on elastic assumptions [8] valid for continuous solid which is
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21 not the case of the TBC. Therefore, attention is paid here to the study of the deformation
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23 mechanisms involved during nanoindentation and their correlation with the measured
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25 mechanical properties. In particular, we will focus on the scale dependence mainly
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27 reported for this type of material.
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34 § 2. Experimental details:

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38 Yttria stabilised zirconia (YSZ) TBC deposited by EB-PVD, containing 7-8 wt% of
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40 yttria have been studied. The upper layer is characterised by a columnar structure and a
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42 thickness of 200 μm . The microstructure associated with this process of deposition has
43
44 been mainly described elsewhere [9]. Figure 1 presents the microstructure of these
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46 coatings observed in a plane view by atomic force microscopy (figure 1a) and in cross
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48 section by scanning electron microscopy (figure 1b). Particularly, figure 1a shows the
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50 arbitrary shape of the columns. The single crystal columns are oriented along the
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52 direction of deposition. The porosity of this type of coating has two origins: a) inter-
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54 columnar porosity characterised by the space between two columns; b) intra-columnar
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56 nano-sized porosity (see figure 1a). The presence of dendrite localised at the column
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3 boundaries contribute also to the increase of porosity ([see figure 1b](#)). The average area
4 of the columns on the top coat is $21 \mu\text{m}^2$. Before any experiments, the samples were
5 polished because in the as-received conditions they exhibited a surface roughness of
6 several micrometers, which is not compatible with nanoindentation experiments. A final
7 polishing has been performed using colloidal silica.
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17 [‘\[Insert Figure 1 about here\]’](#)
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22 Nanoindentation experiments have been performed with a NanoXP (MTS). This
23 nanoindenter is provided with a CSM module (Continuous Stiffness Measurement),
24 which allows a dynamic determination of the Young modulus and hardness during the
25 indentation [\[10,11\]](#). The values of hardness and Young modulus have been deduced
26 following the Oliver and Pharr method [\[12\]](#). Furthermore, since this equipment was
27 provided with a high precision positioning table, this nanoindenter allows to obtain
28 topographic images of the sample by scanning the surface with the indenter tip in order
29 to recognize the indentation zone. [Two Berkovich indenters, respectively](#) with a tip
30 radius of 40 nm [and 700 nm](#), and a spherical (with a radius of curvature of 25 μm)
31 indenters have been used to probe, [in the same range of](#) indentation depth, different
32 contact area of the sample. [Diamond indenters having a Young modulus of 1141 GPa](#)
33 [and a Poisson coefficient of 0.07 have been used.](#) Atomic force microscopy (AFM)
34 measurements have been carried out to characterise the deformation around the residual
35 imprint. An AFM multimode microscope from Digital Instruments (DI) has been
36 employed. Images were obtained in [both](#) contact and tapping mode.
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§ 3. Result and discussion:

3.1 Measurement of mechanical properties:

‘[Insert Figure 2 about here]’

Nanoindentations have been performed on the top coat of the EB-PVD coatings using the sharp Berkovich indenter. Figure 2 presents the evolution of the Young modulus with indentation depth. Two trends have to be distinguished: firstly, the dispersion for low penetration depth, and, secondly, the decrease of the Young modulus with deformation.

The dispersion can be attributed to the heterogeneous structure of the coatings and particularly to the presence of porosity. In fact, the size of the columns does not allow an accurate optical recognition of the indentation site. It results that a number of nanoindentations are initially performed in or near the porosity localised at the columns boundaries. This type of indentation leads to very low values representative of the very low adhesion between the columns. It is interesting to note that from an indentation depth of about 1000 nm, the mechanical properties of the coating become homogeneous, showing that there is no dependence respected to the initial site of indentation.

Concerning the decrease of the mechanical properties with the deformation, it could be attributed to the difference between the mechanical properties of the bulk YSZ inside the columns and the ones of the coating. In fact the Young modulus of the bulk YSZ is 220 GPa [13] while for the coatings it is reported to be 50-100 GPa [3,4].

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‘[Insert Figure 3 about here]’

In order to characterise this intra-inter transition, nanoindentation has been performed at the centre of a column. By scanning the surface using the tip of the indenter, the topography of the sample has been captured. A single column has been chosen and indented. The topographic image of the column is presented on figure 3, before and after indentation. The corresponding loading-unloading curve and the evolution of the Young modulus with the indentation depth are also shown on figure 3. The first observation concerns the deformation of the coating. Figure 3b shows that the formation of the residual imprint in the column is accompanied by the sinking-in of the indented column. To characterise more accurately this deformation, AFM observations of the residual imprint has been also performed. The sinking-in behaviour represent about 50 nm of the total residual deformation which is 450 nm, so about 11% of the total residual deformation. As no pop-in effect is observed on the loading-unloading curve (see figure 3c), this sinking-in does not correspond to a sudden behaviour. The indentation has been done inside the column far from the column boundaries, so the modulus measured during the first stages of deformation (180 GPa) is nearly the same as the one of the bulk YSZ. However, this value is still lower than for bulk YSZ because of the presence of intra-columnar porosity. Next, when the indentation reaches a critical value h_1 , here 220 nm (figure 3d), the modulus begins to decrease according to the previous observations. The projected contact area A_{c1} corresponding to h_1 and calculated using the O&P method is $1.2 \cdot 10^6 \text{ nm}^2$. This contact area has been schematically represented on figure 3b, assuming a perfect shape for the Berkovich indenter. It appears clearly that

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3 this contact area corresponds to an indentation depth were the indenter is still inside the
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6 column, even if two of the indenter corners are very close to the column boundary.
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10 Figure 4 shows the surface deformation after four successive indentations performed in
11 the same column respectively at 4.2, 21.6, 53.2 and 137.8 mN. As it is not possible to
12 perform an indentation exactly in the location of the previous one, correct measure of
13 the Young modulus or hardness can not be extracted from these measurements.
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15 However, figure 4 demonstrates clearly that the sinking-in of the column occurs only
16 after the third indentation. Taking into account the relatively large amount of elastic
17 recuperation occurring during the unloading in this type of material, it appears that the
18 sinking-in of the columns occurs when the indenter approaches near the column
19 boundaries, that is in the same domain that the decrease of the Young modulus is
20 observed.
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41 The same phenomenon was observed in several indentations inside single columns. For
42 each test, the Young modulus has shown a plateau and next a decrease of its value until
43 about 89 GPa if the indentation is performed to a sufficient indentation depth. Only the
44 length of the plateau, which appears to be related to the column size, was observed
45 different from one test to another. Although no direct relation can be made between the
46 sinking-in and the evolution of the Young modulus with the deformation, it appears that
47 these two phenomena happen in the same range of indentation depth. As the sinking-in
48 of the column corresponds to the activation an additional inter-columnar mechanism, it
49 could explain the decrease of the Young modulus.
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3.2 Influence of the indenter shape:

'[Insert Figure 5 about here]'

In order to clearly correlate the variation of the Young modulus with the microstructure of the coatings, columns exhibiting different size have been indented using the blunt Berkovich indenter (with radius of curvature of 700 nm). Figure 5a shows the evolution of the Young modulus as a function of the penetration depth of the indenter for four indentations performed in four columns with different area with the blunt Berkovich indenter. From this graphic it appears clearly that the size of the columns has a strong influence on the measure of the Young modulus, during the first stages of deformation. In fact, it is observed that more the columns is smaller more the Young modulus decrease rapidly. This is completely coherent with the explanation proposed before which state that the sinking-in of the columns occurs when the indenter is still inside the column and coincides with the decrease of the Young modulus. Furthermore, a special attention can be paid to figure 5b where the evolution of the Young modulus corresponding to two indentations performed respectively with the sharp and the blunt Berkovich indenter in two columns of a similar area (34 and 33 μm^2). It is observed that the Young modulus decrease more rapidly in the case of the blunt Berkovich because, as the tip radius is higher for the blunt Berkovich indenter, its lateral extension at the surface of the columns during the indentation is higher than the sharp one for the same range of penetration depth. This also shows that it is more difficult to probe the mechanical properties of the YSZ inside the columns with the blunt than with the sharp indenter.

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6 To explore the effect of the indenter shape a spherical indenter having a radius of
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8 curvature of 25 μm has also been used to characterise these coatings. This type of
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10 indenter, in comparison with the Berkovich indenter, allows to have very different
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12 contact area for equivalent penetration depth (See figure 6a). Consequently it allows
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14 extending the plastic zone to a higher number of columns (as it is observed on the
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16 optical micrograph on figure 6b). The curve presented on figure 6b shows the evolution
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18 of the Young modulus measured with the spherical indenter as a function of indenter
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20 penetration depth. One can observe that the values of the Young modulus reach a
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22 constant value of 83 GPa for penetration depth higher than 300 nm. This modulus is
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24 very similar to the value of 89 GPa obtained with the Berkovich indenter. Furthermore,
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26 this value is reached for penetration depth lower than the one involved with the
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28 Berkovich indenter (1000 nm) as the contact area is higher for the spherical than for the
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30 Berkovich indenter (1000 nm) as the contact area is higher for the spherical than for the
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32 Berkovich indenter for the same penetration depth of indentation (See figure 6a). An
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34 interesting feature is also observed before indentation depths of 300 nm. It appears that
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36 for all the indentations very low Young modulus are measured during the first stages of
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38 deformation, which indicates that the use of the spherical indenter does not allow
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40 probing the bulk YSZ inside the columns.

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53 The experiments performed with the sharp Berkovich indenter have shown that,
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55 respected to the average size of the columns and taking into account that the stressed
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57 area is several times the contact area, the mechanical properties of the bulk material
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59 inside the columns can be correctly determined for penetration depth between 0 and 250
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3 nm. For higher penetration depth the measured mechanical properties appear to depend
4 of inter-columnar deformation mechanisms (sinking-in). In the case of the spherical
5 indenter, respecting to the contact area involved during the indentation (see figure 6a),
6 this depth range becomes between 0 and 11 nm. In this depth range, taking into account
7 that the CSM amplitude is 2 nm and the roughness of the surface is 4 nm inside the column,
8 it is difficult to determine a proper value of the Young modulus. So, it can be assumed
9 that in the case of spherical indentation, since the first stages of deformation (at least for
10 penetration depth higher than 11 nm), the stresses at the columns boundaries are
11 sufficient to cause the sinking-in of the indented column. The indenter probes directly
12 the adhesion between the columns, explaining the very low values of Young modulus
13 encountered between 0 and 300 nm. This is supported by the fact that the loading-
14 unloading curves have not shown pure elastic regime as it could be expected in the case
15 of spherical indentations in single crystal ceramics [14].
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41 Figure 7 shows the AFM images obtained around an indentation performed at a
42 maximum load of 400 mN (for a maximum penetration depth of 900 nm), leading to a
43 residual penetration of 300 nm. One can observe that the surrounding deformation
44 around the residual imprint is mainly characterised by the sinking-in of all the adjacent
45 columns. The sinking-in of the columns represents about 50% of the total residual
46 deformation (150 nm of sinking-in for a residual deformation of 300 nm). The sinking-
47 in of surrounding columns has been also observed around sharp indentation for
48 indentation depth higher than 1500 nm. This regime of deformation corresponds to the
49 extension of the initial imprint where the plastic deformation is accommodated by the
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3 sinking-in of the columns. It is also independent of the indenter shape and may
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5 correspond to the extension of a pyramidal as well as that the one of a spherical imprint
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8 and this is the reason for the Young modulus encountered for Berkovich and spherical
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10 indentation were nearly the same.
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15 § 4. Conclusion:
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20 YSZ EB-PVD thermal barriers coatings have been investigated using nanoindentation
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22 and AFM. In particular, the attention has been focused on the connection between
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24 Young modulus and the columnar microstructure of the coatings. It leads to the
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26 following conclusions:
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32 1. The use of indenters with different shapes has shown that the size of the probed
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34 contact area, respected to the size of the column, is the parameter characteristic of the
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36 scale dependence of the Young modulus.
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39 2. It has been shown that the deformation of these coatings is accompanied by the
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41 sinking-in of the columns involved under the indenter.
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44 3. During the first stage of deformation, the very high localised stresses involved during
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46 a sharp indentation minimize the effect of this sinking-in and conduct to the measure of
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48 mechanical properties close to the ones of the bulk YSZ. On the other hand, the
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50 enlargement of the stressed zone during a spherical indentation (with a large radius of
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52 curvature), particularly at the columns boundaries, promotes the sinking-in of the
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54 indented column since the first stage of deformations.
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58 4. Whatever the indenter shape, the deformation stage corresponding to the extension of
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60 the initial imprint can be understood by the sinking-in of the adjacent columns,

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3 accommodating the irreversible deformation. The Young modulus measured during this
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5 stage of deformation is nearly the same with the two indenters and appear independent
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8 of the location of the initial contact.
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12 Acknowledgements:
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17 Work supported by the European Community's Human Potential Programme under
18 contract HPRN-CT-2002-00203, [SICMAC]. Y.G. acknowledges the financial support
19 provided through the European Community's Human Potential Programme under
20 contract HPRN-CT-2002-00203, [SICMAC]. The authors acknowledge Marion Bartsch
21 for providing the EB-PVD specimens.
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Figures :

Figure 1: Microstructure of the EB-PVD coatings. (a) AFM contact mode images of the plane view (b) Cross-section obtained by focused ion beam and observed by SEM. The magnifications allow to enhance the dendrites at the columns boundaries and the nano-sized porosity inside the columns.

Figure 2: Evolution of the Young modulus with the indentation depth measured with the sharp Berkovich indenter for 15 indentations.

Figure 3: Topography of the sample before (a) and after (b) an indentation performed at 85mN. The corresponding loading-unloading curve is presented in (c) and the evolution of the Young modulus during the indentation in (d).

Figure 4: Series of topographic images obtained by scanning the surface with the indenter tip after several indentations performed respectively at 4.2, 21.6, 53.2 and 137.8 mN in the same column. It is interesting to note that the sinking-in of the indented column occurs only after the third indentation.

Figure 5: (a) Evolution of the Young modulus as a function of the penetration depth of the indenter for four indentations performed in four columns exhibiting respectively

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3 area of 16, 33, 55 and 67 μm^2 . (b) Comparison between the evolutions of the Young
4 modulus of two indentations performed respectively with the sharp and the blunt
5 Berkovich indenters in two columns having a similar area, 34 and 33 μm^2 .
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10 Figure 6: (a) Comparison of the projected contact area involved during indentation with
11 the sharp Berkovich and the spherical indenters (b) Evolution of the Young modulus
12 with the indentation depth measured with the spherical indenter for 15 indentations. An
13 optical micrograph of a spherical indentation performed until a penetration depth of
14 5000 nm is also shown.
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22 Figure 7: AFM images of a spherical indentation performed at 400 mN and leading to a
23 residual penetration depth of 300 nm.
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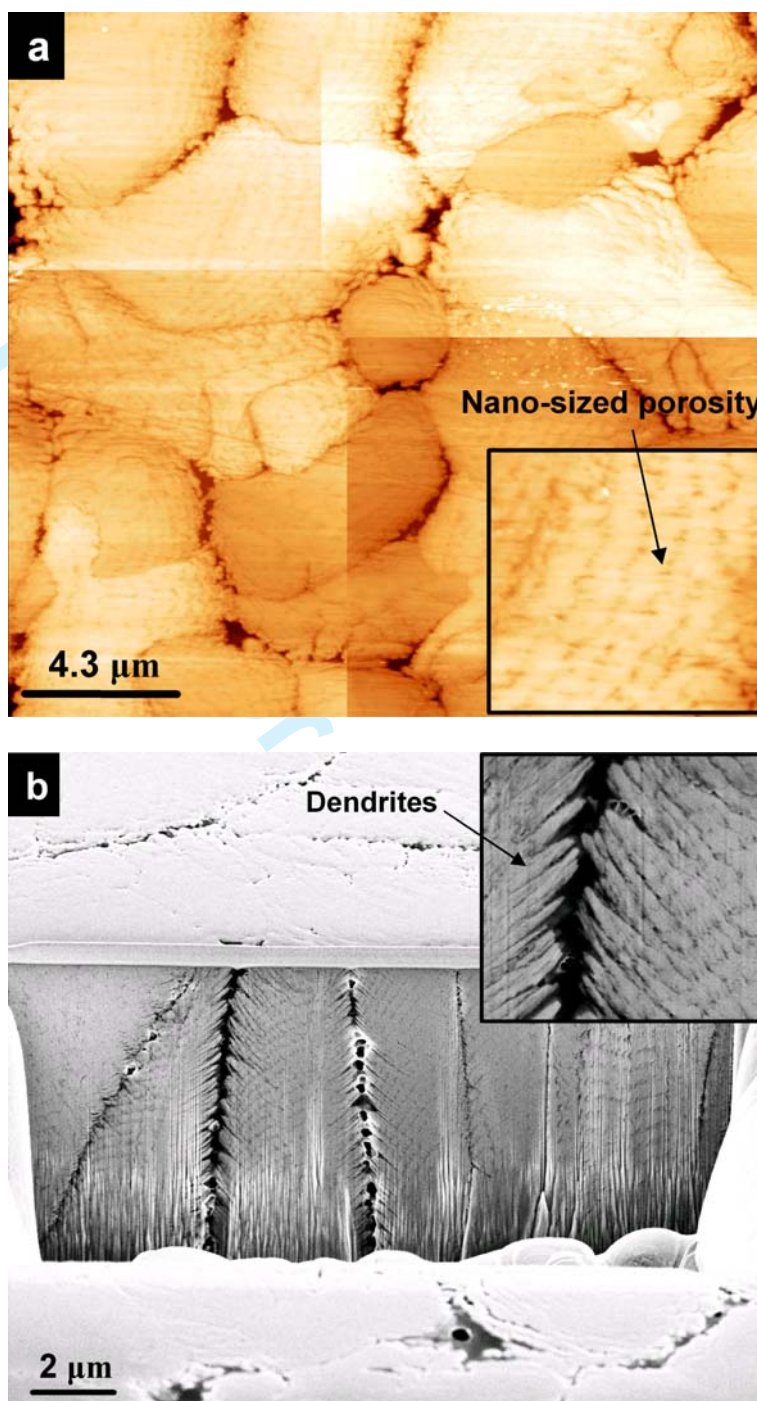


Figure 1

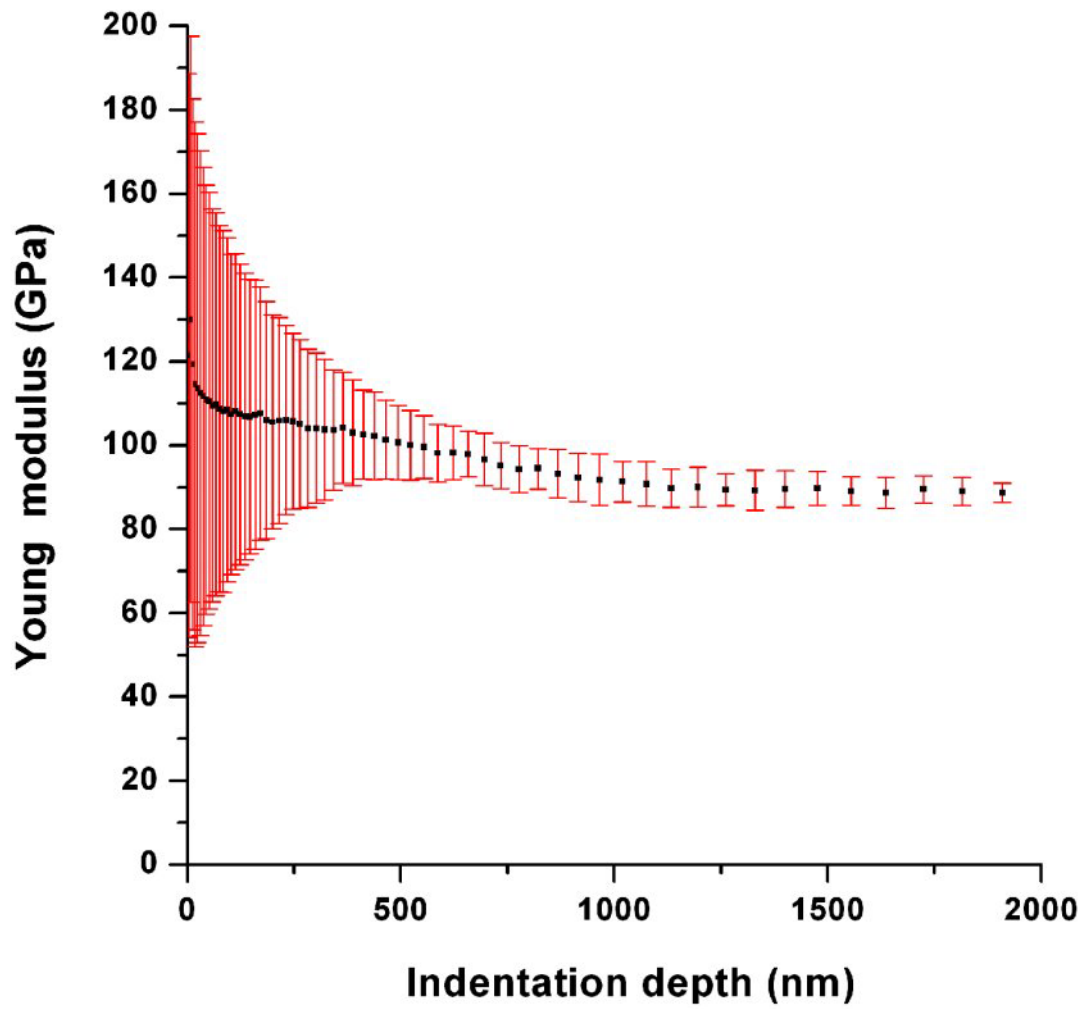


Figure2

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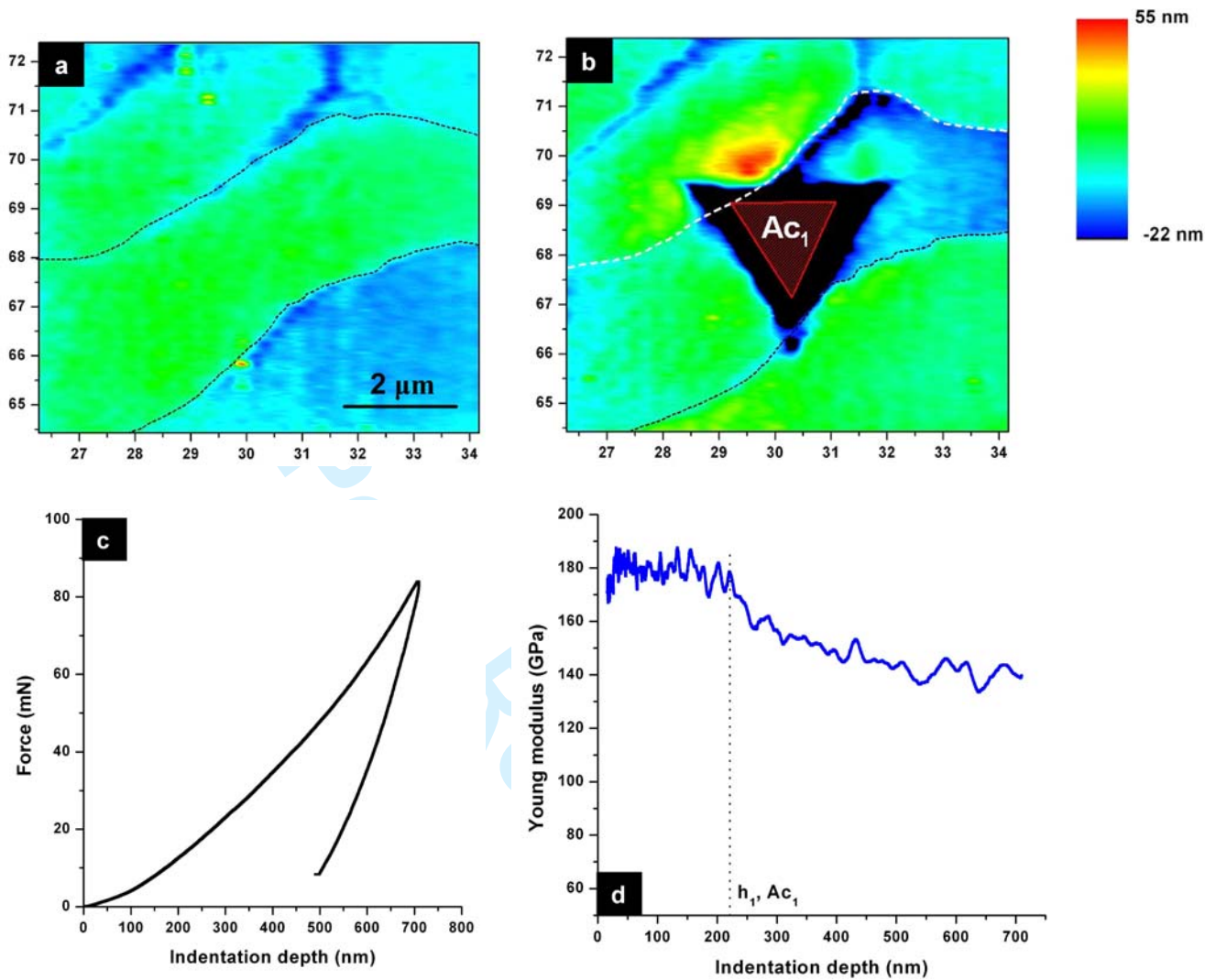


Figure3

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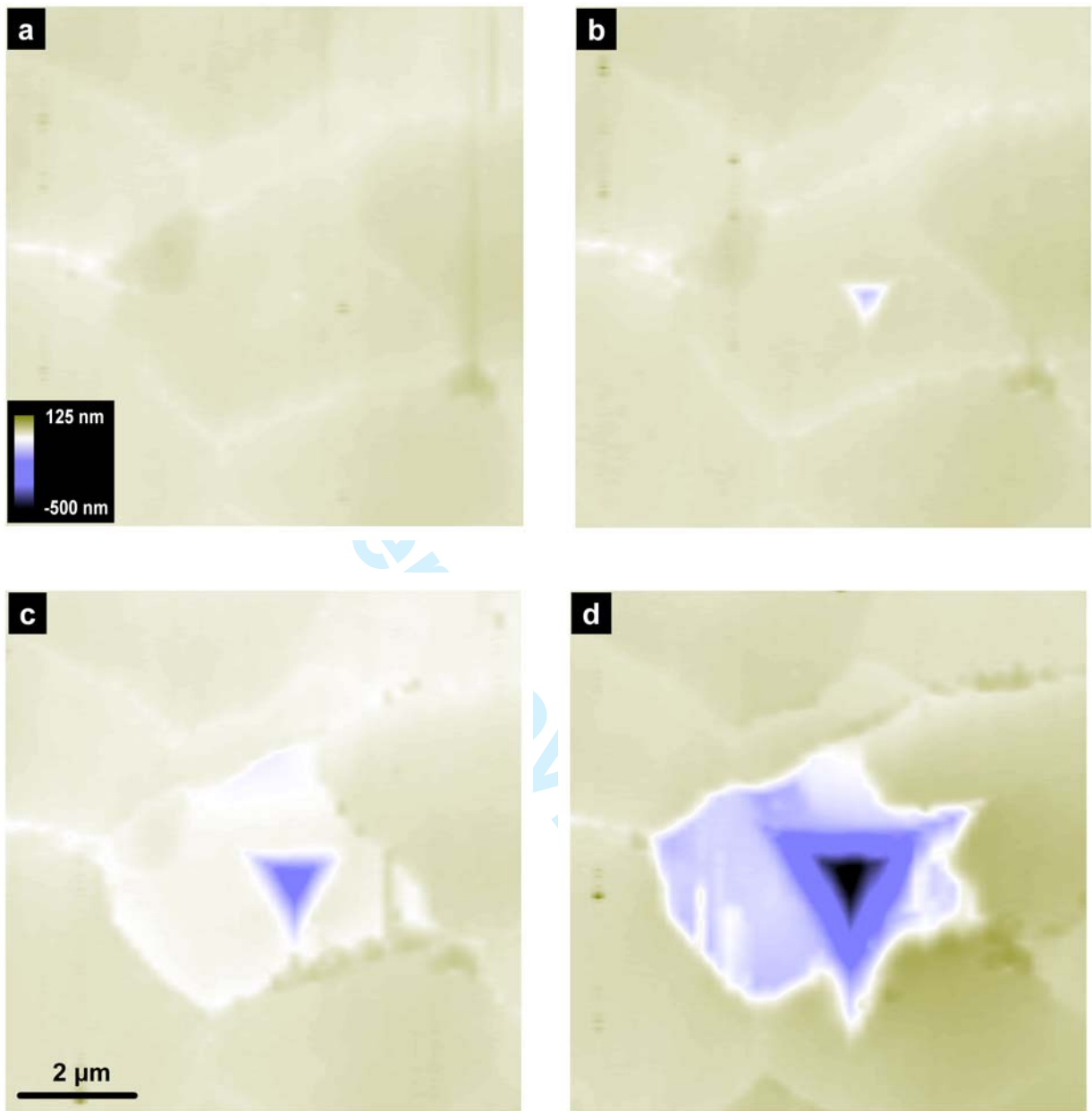


Figure 4

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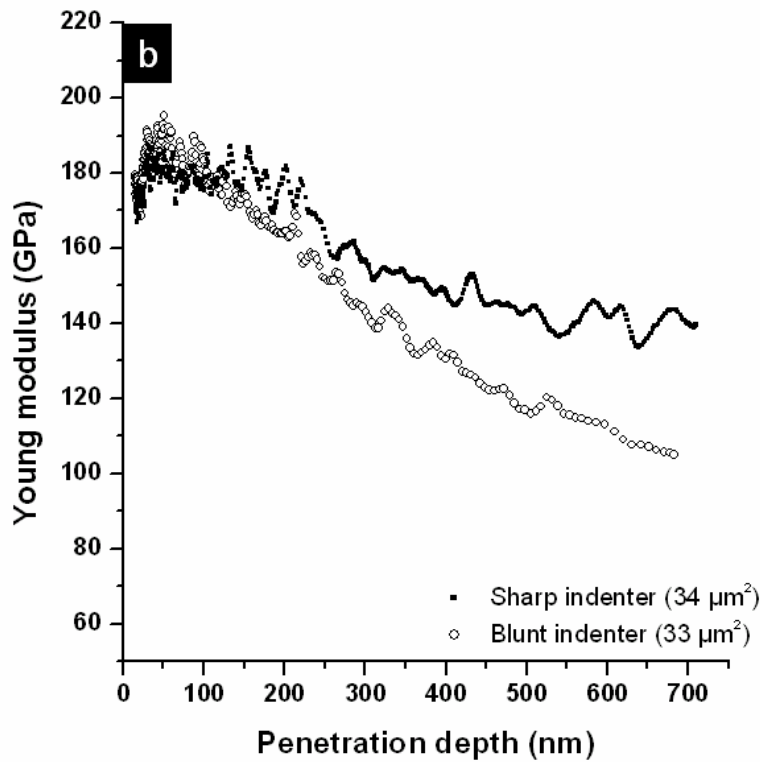
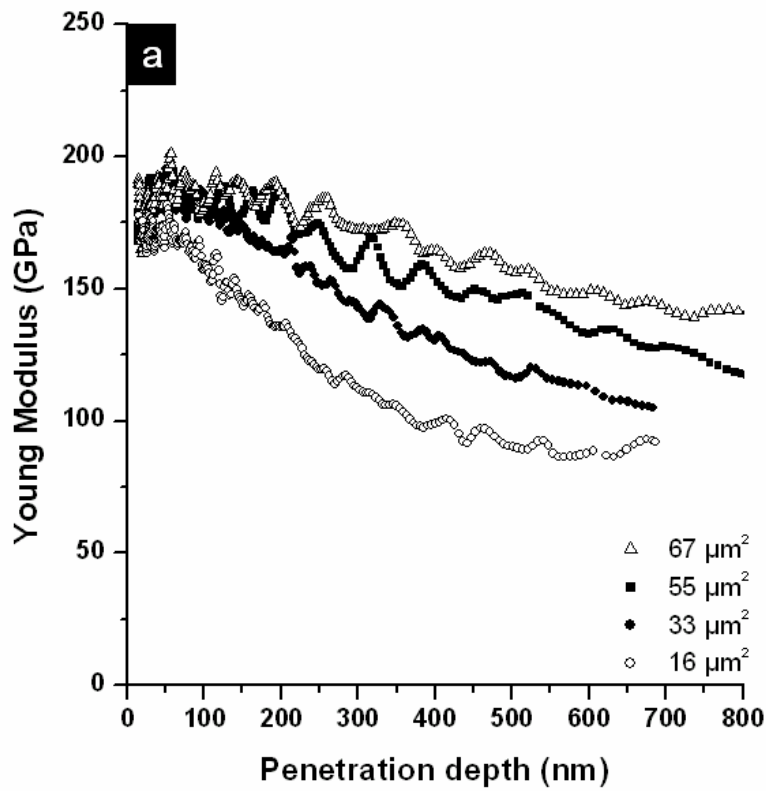


Figure 5

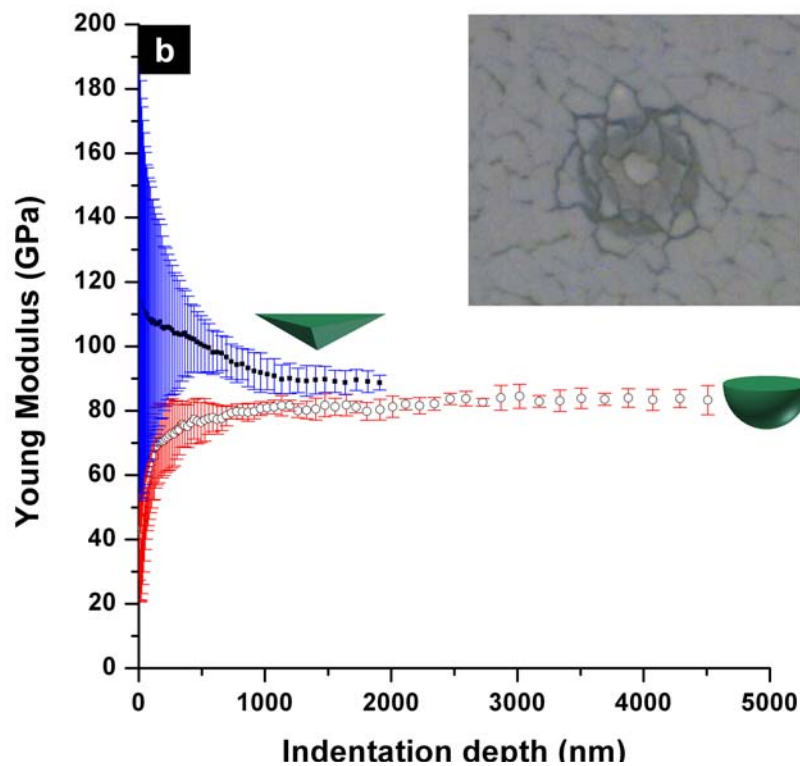
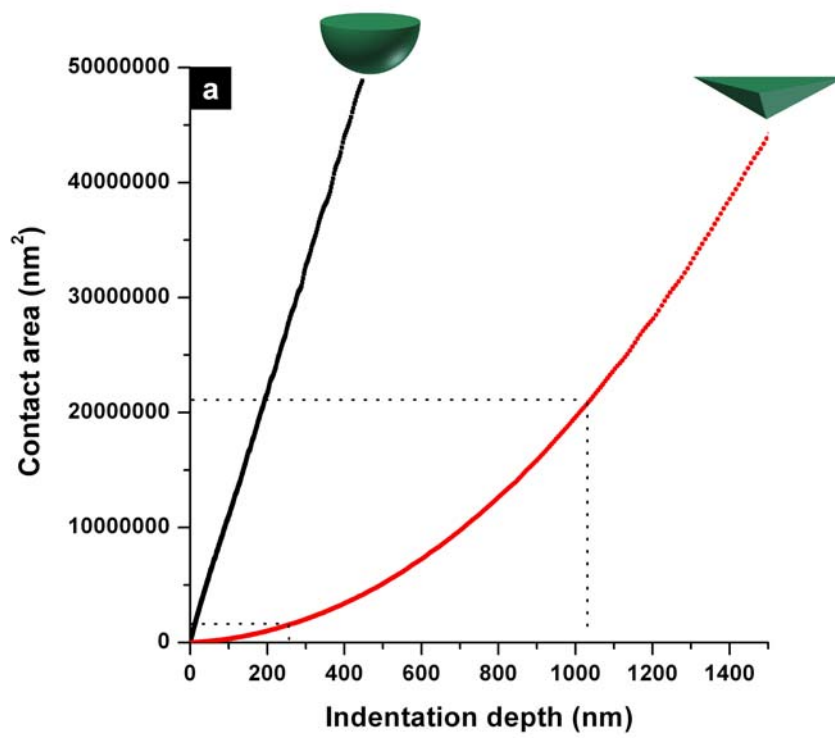


Figure 6

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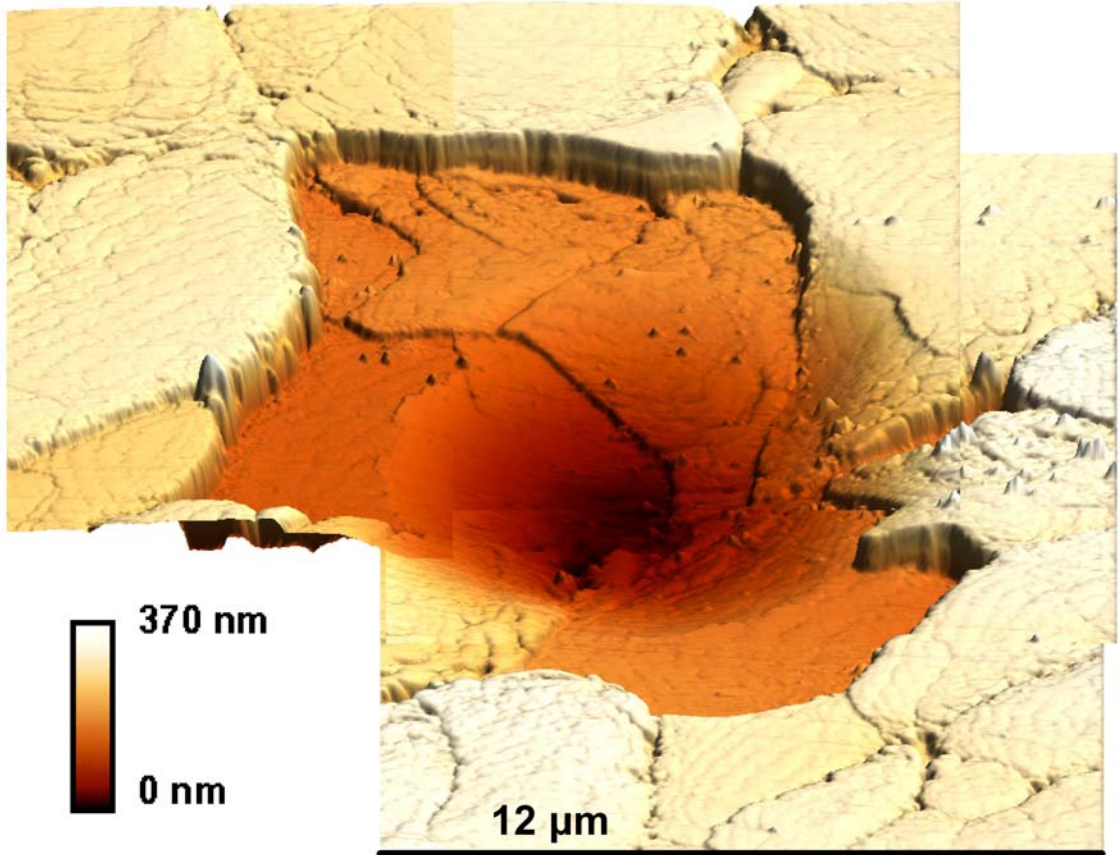


Figure 7

View Only

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3 Scale dependence of the Young modulus measured by nanoindentation in columnar

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6 YSZ EB-PVD thermal barriers coatings

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20 Abstract :

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24 Electron beam physical vapour deposited yttria stabilised zirconia (YSZ) thermal barrier
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26 coatings have been investigated by nanoindentation. It is shown that the mechanical
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28 properties, and particularly the Young modulus, of these coatings are closely related to
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30 their columnar microstructure. The transition from intra-columnar to inter-columnar
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32 deformation is characterised by a difference between the mechanical properties of the
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34 bulk YSZ and the ones of the coatings. The use of different indenter shape showed that
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36 the contact area was the parameter characteristic of this scale dependence. The
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38 deformation mechanisms studied by AFM have shown a good correlation with the
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40 evolution of the mechanical properties during the deformation.
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Keywords : Thermal barrier coatings, nanoindentation, EB-PVD, AFM.

§ 1. Introduction :

Thermal barriers coatings (TBC) are widely used in the aircraft industry as protective coatings for gas engines turbine components. These tri-layered materials are composed

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3 of an intermetallic bond coat (BC) deposited on a substrate, generally a super-alloy, and
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5 a ceramic top coat deposited on the bond coat. A thermally growth oxide (TGO)
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7 localised between the BC and the top coat is also formed during the deposition. The
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9 bond coat is an oxidation protection for the alloy while the top coat acts as the thermal
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11 insulator. Therefore, this layer should have a sufficient thickness and a very low thermal
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13 conductivity to be able to reduce the surface temperature of the substrate. Indeed, the
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15 order of magnitude of the coatings thickness is generally of several hundred
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17 micrometers. Furthermore, they are porous in order to reduce the thermal conductivity.
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19 These layers are generally deposited following two processes: by air plasma spraying
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21 (APS) or by electron beam physical vapour deposition (EB-PVD). Following the
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23 deposition process the coatings present different heterogeneous microstructures [\[1,2\]](#).
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32 This paper is devoted to the study of yttria stabilised zirconia (YSZ) TBC deposited by
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34 EB-PVD and particularly to the relationship between deformation mechanisms and
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36 mechanical behaviour during a nanoindentation test. There are already a number of
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38 papers about TBC by nanoindentation, principally on EB-PVD coatings, generally
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40 treating of the effect of aging or the condition of deposition on the measurement of
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42 hardness and Young modulus [\[3-6\]](#). All these studies show the difficulty of measuring
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44 the mechanical properties of TBC by nanoindentation due to their heterogeneous
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46 structure and their high porosity. The effect of porosity results in a large scatter of the
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48 hardness or elastic modulus values which could be reduced by a statistic treatment
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50 performed on a large number of experiments. On the other hand, the particular structure
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52 of the coatings [introduces scale dependence](#). It is generally observed that, following the
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54 depth of indentation, the measured mechanical properties are quite different: at small
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56 depth, they are similar to those of the bulk material, while for larger deformation they
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3 are the actual ones of the layer. The shape of the indenter appears also to be
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5 predominant [7], since a sharp indenter will probe the coating inside the columns while
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7 a flat or a spherical indenter with a high radius a curvature will directly measure the
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9 response of the coating.
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15 This paper attempts to establish what is exactly measured by nanoindentation in this
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17 type of very heterogeneous coatings. In fact, the analysis of the unloading curves in
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19 nanoindentation is based on elastic assumptions [8] valid for continuous solid which is
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21 not the case of the TBC. Therefore, attention is paid here to the study of the deformation
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23 mechanisms involved during nanoindentation and their correlation with the measured
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25 mechanical properties. In particular, we will focus on the scale dependence mainly
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27 reported for this type of material.
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33 § 2. Experimental details:

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38 Yttria stabilised zirconia (YSZ) TBC deposited by EB-PVD, containing 7-8 wt% of
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40 yttria have been studied. The upper layer is characterised by a columnar structure and a
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42 thickness of 200 μm . The microstructure associated with this process of deposition has
43
44 been mainly described elsewhere [9]. Figure 1 presents the microstructure of these
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46 coatings observed in a plane view by atomic force microscopy (figure 1a) and in cross
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48 section by scanning electron microscopy (figure 1b). Particularly, figure 1a shows the
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50 arbitrary shape of the columns. The single crystal columns are oriented along the
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52 direction of deposition. The porosity of this type of coating has two origins: a) inter-
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54 columnar porosity characterised by the space between two columns; b) intra-columnar
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56 nano-sized porosity (see figure 1a). The presence of dendrite localised at the column
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3 boundaries contribute also to the increase of porosity ([see figure 1b](#)). The average area
4 of the columns on the top coat is $21 \mu\text{m}^2$. Before any experiments, the samples were
5 polished because in the as-received conditions they exhibited a surface roughness of
6 several micrometers, which is not compatible with nanoindentation experiments. A final
7 polishing has been performed using colloidal silica.
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17 [‘\[Insert Figure 1 about here\]’](#)
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22 Nanoindentation experiments have been performed with a NanoXP (MTS). This
23 nanoindenter is provided with a CSM module (Continuous Stiffness Measurement),
24 which allows a dynamic determination of the Young modulus and hardness during the
25 indentation [\[10,11\]](#). The values of hardness and Young modulus have been deduced
26 following the Oliver and Pharr method [\[12\]](#). Furthermore, since this equipment was
27 provided with a high precision positioning table, this nanoindenter allows to obtain
28 topographic images of the sample by scanning the surface with the indenter tip in order
29 to recognize the indentation zone. [Two Berkovich indenters, respectively](#) with a tip
30 radius of 40 nm [and 700 nm](#), and a spherical (with a radius of curvature of 25 μm)
31 indenters have been used to probe, [in the same range of](#) indentation depth, different
32 contact area of the sample. [Diamond indenters having a Young modulus of 1141 GPa](#)
33 [and a Poisson coefficient of 0.07 have been used.](#) Atomic force microscopy (AFM)
34 measurements have been carried out to characterise the deformation around the residual
35 imprint. An AFM multimode microscope from Digital Instruments (DI) has been
36 employed. Images were obtained in [both](#) contact and tapping mode.
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§ 3. Result and discussion:

3.1 Measurement of mechanical properties:

‘[Insert Figure 2 about here]’

Nanoindentations have been performed on the top coat of the EB-PVD coatings using the sharp Berkovich indenter. Figure 2 presents the evolution of the Young modulus with indentation depth. Two trends have to be distinguished: firstly, the dispersion for low penetration depth, and, secondly, the decrease of the Young modulus with deformation.

The dispersion can be attributed to the heterogeneous structure of the coatings and particularly to the presence of porosity. In fact, the size of the columns does not allow an accurate optical recognition of the indentation site. It results that a number of nanoindentations are initially performed in or near the porosity localised at the columns boundaries. This type of indentation leads to very low values representative of the very low adhesion between the columns. It is interesting to note that from an indentation depth of about 1000 nm, the mechanical properties of the coating become homogeneous, showing that there is no dependence respected to the initial site of indentation.

Concerning the decrease of the mechanical properties with the deformation, it could be attributed to the difference between the mechanical properties of the bulk YSZ inside the columns and the ones of the coating. In fact the Young modulus of the bulk YSZ is 220 GPa [13] while for the coatings it is reported to be 50-100 GPa [3,4].

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6 | ‘[Insert Figure 3 about here]’
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11 In order to characterise this intra-inter transition, nanoindentation has been performed at
12 the centre of a column. By scanning the surface using the tip of the indenter, the
13 topography of the sample has been captured. A single column has been chosen and
14 indented. The topographic image of the column is presented on figure 3, before and
15 after indentation. The corresponding loading-unloading curve and the evolution of the
16 Young modulus with the indentation depth are also shown on figure 3. The first
17 observation concerns the deformation of the coating. Figure 3b shows that the formation
18 of the residual imprint in the column is accompanied by the sinking-in of the indented
19 column. To characterise more accurately this deformation, AFM observations of the
20 residual imprint has been also performed. The sinking-in behaviour represent about 50
21 nm of the total residual deformation which is 450 nm, so about 11% of the total residual
22 deformation. As no pop-in effect is observed on the loading-unloading curve (see figure
23 3c), this sinking-in does not correspond to a sudden behaviour. The indentation has been
24 done inside the column far from the column boundaries, so the modulus measured
25 during the first stages of deformation (180 GPa) is nearly the same as the one of the
26 bulk YSZ. However, this value is still lower than for bulk YSZ because of the presence
27 of intra-columnar porosity. Next, when the indentation reaches a critical value h_1 , here
28 220 nm (figure 3d), the modulus begins to decrease according to the previous
29 observations. The projected contact area A_{c1} corresponding to h_1 and calculated using
30 the O&P method is $1.2 \cdot 10^6 \text{ nm}^2$. This contact area has been schematically represented
31 on figure 3b, assuming a perfect shape for the Berkovich indenter. It appears clearly that
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3 this contact area corresponds to an indentation depth were the indenter is still inside the
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5 column, even if two of the indenter corners are very close to the column boundary.
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10 Figure 4 shows the surface deformation after four successive indentations performed in
11 the same column respectively at 4.2, 21.6, 53.2 and 137.8 mN. As it is not possible to
12 perform an indentation exactly in the location of the previous one, correct measure of
13 the Young modulus or hardness can not be extracted from these measurements.
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15 However, figure 4 demonstrates clearly that the sinking-in of the column occurs only
16 after the third indentation. Taking into account the relatively large amount of elastic
17 recuperation occurring during the unloading in this type of material, it appears that the
18 sinking-in of the columns occurs when the indenter approaches near the column
19 boundaries, that is in the same domain that the decrease of the Young modulus is
20 observed.
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36 '[Insert Figure 4 about here]'
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41 The same phenomenon was observed in several indentations inside single columns. For
42 each test, the Young modulus has shown a plateau and next a decrease of its value until
43 about 89 GPa if the indentation is performed to a sufficient indentation depth. Only the
44 length of the plateau, which appears to be related to the column size, was observed
45 different from one test to another. Although no direct relation can be made between the
46 sinking-in and the evolution of the Young modulus with the deformation, it appears that
47 these two phenomena happen in the same range of indentation depth. As the sinking-in
48 of the column corresponds to the activation an additional inter-columnar mechanism, it
49 could explain the decrease of the Young modulus.
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3.2 Influence of the indenter shape:

'[Insert Figure 5 about here]'

In order to clearly correlate the variation of the Young modulus with the microstructure of the coatings, columns exhibiting different size have been indented using the blunt Berkovich indenter (with radius of curvature of 700 nm). Figure 5a shows the evolution of the Young modulus as a function of the penetration depth of the indenter for four indentations performed in four columns with different area with the blunt Berkovich indenter. From this graphic it appears clearly that the size of the columns has a strong influence on the measure of the Young modulus, during the first stages of deformation. In fact, it is observed that more the columns is smaller more the Young modulus decrease rapidly. This is completely coherent with the explanation proposed before which state that the sinking-in of the columns occurs when the indenter is still inside the column and coincides with the decrease of the Young modulus. Furthermore, a special attention can be paid to figure 5b where the evolution of the Young modulus corresponding to two indentations performed respectively with the sharp and the blunt Berkovich indenter in two columns of a similar area (34 and 33 μm^2). It is observed that the Young modulus decrease more rapidly in the case of the blunt Berkovich because, as the tip radius is higher for the blunt Berkovich indenter, its lateral extension at the surface of the columns during the indentation is higher than the sharp one for the same range of penetration depth. This also shows that it is more difficult to probe the mechanical properties of the YSZ inside the columns with the blunt than with the sharp indenter.

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6 To explore the effect of the indenter shape a spherical indenter having a radius of
7 curvature of 25 μm has also been used to characterise these coatings. This type of
8 indenter, in comparison with the Berkovich indenter, allows to have very different
9 contact area for equivalent penetration depth (See figure [6a](#)). Consequently it allows
10 extending the plastic zone to a higher number of columns (as it is observed on the
11 optical micrograph on figure [6b](#)). The curve presented on figure [6b](#) shows the evolution
12 of the Young modulus measured with the spherical indenter as a function of indenter
13 penetration depth. One can observe that the values of the Young modulus reach a
14 constant value of [83](#) GPa for penetration depth higher than 300 nm. This modulus is
15 very similar to the value of 89 GPa obtained with the Berkovich indenter. Furthermore,
16 this value is reached for penetration depth lower than the one involved with the
17 Berkovich indenter (1000 nm) as the contact area is higher for the spherical than for the
18 Berkovich indenter for the same penetration depth of indentation (See figure [6a](#)). An
19 interesting feature is also observed before indentation depths of 300 nm. It appears that
20 for all the indentations very low Young modulus are measured during the first stages of
21 deformation, which indicates that the use of the spherical indenter does not allow
22 probing the bulk YSZ inside the columns.

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53 The experiments performed with the sharp Berkovich indenter have shown that,
54 respected to the average size of the columns and taking into account that the stressed
55 area is several times the contact area, the mechanical properties of the bulk material
56 inside the columns can be correctly determined for penetration depth between 0 and 250
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3 nm. For higher penetration depth the measured mechanical properties appear to depend
4 of inter-columnar deformation mechanisms (sinking-in). In the case of the spherical
5 indenter, respecting to the contact area involved during the indentation (see figure 6a),
6 this depth range becomes between 0 and 11 nm. In this depth range, taking into account
7 that the CSM amplitude is 2 nm and the roughness of the surface is 4 nm inside the column,
8 it is difficult to determine a proper value of the Young modulus. So, it can be assumed
9 that in the case of spherical indentation, since the first stages of deformation (at least for
10 penetration depth higher than 11 nm), the stresses at the columns boundaries are
11 sufficient to cause the sinking-in of the indented column. The indenter probes directly
12 the adhesion between the columns, explaining the very low values of Young modulus
13 encountered between 0 and 300 nm. This is supported by the fact that the loading-
14 unloading curves have not shown pure elastic regime as it could be expected in the case
15 of spherical indentations in single crystal ceramics [14].
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41 Figure 7 shows the AFM images obtained around an indentation performed at a
42 maximum load of 400 mN (for a maximum penetration depth of 900 nm), leading to a
43 residual penetration of 300 nm. One can observe that the surrounding deformation
44 around the residual imprint is mainly characterised by the sinking-in of all the adjacent
45 columns. The sinking-in of the columns represents about 50% of the total residual
46 deformation (150 nm of sinking-in for a residual deformation of 300 nm). The sinking-
47 in of surrounding columns has been also observed around sharp indentation for
48 indentation depth higher than 1500 nm. This regime of deformation corresponds to the
49 extension of the initial imprint where the plastic deformation is accommodated by the
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3 sinking-in of the columns. It is also independent of the indenter shape and may
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5 correspond to the extension of a pyramidal as well as that the one of a spherical imprint
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8 and this is the reason for the Young modulus encountered for Berkovich and spherical
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10 indentation were nearly the same.
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15 § 4. Conclusion:
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20 YSZ EB-PVD thermal barriers coatings have been investigated using nanoindentation
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22 and AFM. In particular, the attention has been focused on the connection between
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24 Young modulus and the columnar microstructure of the coatings. It leads to the
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26 following conclusions:
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32 1. The use of indenters with different shapes has shown that the size of the probed
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34 contact area, respected to the size of the column, is the parameter characteristic of the
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36 scale dependence of the Young modulus.
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39 2. It has been shown that the deformation of these coatings is accompanied by the
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41 sinking-in of the columns involved under the indenter.
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44 3. During the first stage of deformation, the very high localised stresses involved during
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46 a sharp indentation minimize the effect of this sinking-in and conduct to the measure of
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48 mechanical properties close to the ones of the bulk YSZ. On the other hand, the
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50 enlargement of the stressed zone during a spherical indentation (with a large radius of
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52 curvature), particularly at the columns boundaries, promotes the sinking-in of the
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54 indented column since the first stage of deformations.
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58 4. Whatever the indenter shape, the deformation stage corresponding to the extension of
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60 the initial imprint can be understood by the sinking-in of the adjacent columns,

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3 accommodating the irreversible deformation. The Young modulus measured during this
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5 stage of deformation is nearly the same with the two indenters and appear independent
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8 of the location of the initial contact.
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12 Acknowledgements:
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17 Work supported by the European Community's Human Potential Programme under
18 contract HPRN-CT-2002-00203, [SICMAC]. Y.G. acknowledges the financial support
19 provided through the European Community's Human Potential Programme under
20 contract HPRN-CT-2002-00203, [SICMAC]. The authors acknowledge Marion Bartsch
21 for providing the EB-PVD specimens.
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Figures :

Figure 1: Microstructure of the EB-PVD coatings. (a) AFM contact mode images of the plane view (b) Cross-section obtained by focused ion beam and observed by SEM. The magnifications allow to enhance the dendrites at the columns boundaries and the nano-sized porosity inside the columns.

Figure 2: Evolution of the Young modulus with the indentation depth measured with the sharp Berkovich indenter for 15 indentations.

Figure 3: Topography of the sample before (a) and after (b) an indentation performed at 85mN. The corresponding loading-unloading curve is presented in (c) and the evolution of the Young modulus during the indentation in (d).

Figure 4: Series of topographic images obtained by scanning the surface with the indenter tip after several indentations performed respectively at 4.2, 21.6, 53.2 and 137.8 mN in the same column. It is interesting to note that the sinking-in of the indented column occurs only after the third indentation.

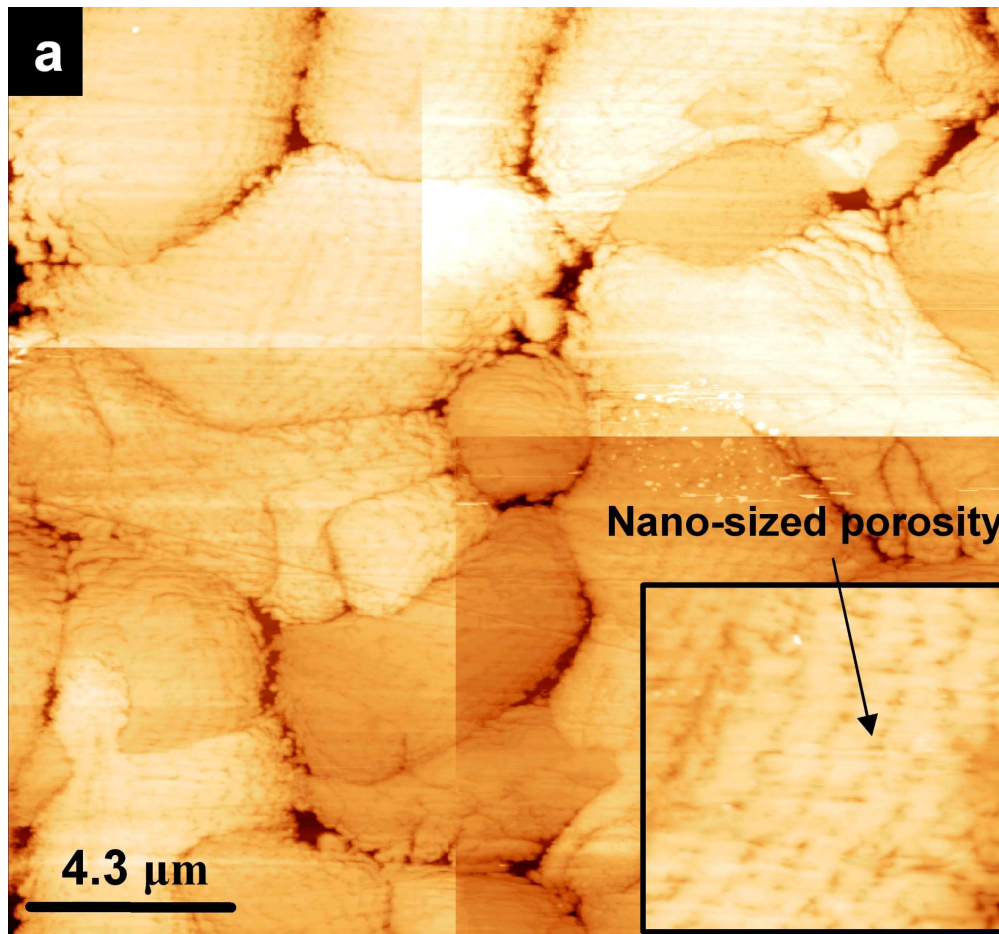
Figure 5: (a) Evolution of the Young modulus as a function of the penetration depth of the indenter for four indentations performed in four columns exhibiting respectively

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3 area of 16, 33, 55 and 67 μm^2 . (b) Comparison between the evolutions of the Young
4 modulus of two indentations performed respectively with the sharp and the blunt
5 Berkovich indenters in two columns having a similar area, 34 and 33 μm^2 .
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10 Figure 6: (a) Comparison of the projected contact area involved during indentation with
11 the sharp Berkovich and the spherical indenters (b) Evolution of the Young modulus
12 with the indentation depth measured with the spherical indenter for 15 indentations. An
13 optical micrograph of a spherical indentation performed until a penetration depth of
14 5000 nm is also shown.
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22 Figure 7: AFM images of a spherical indentation performed at 400 mN and leading to a
23 residual penetration depth of 300 nm.
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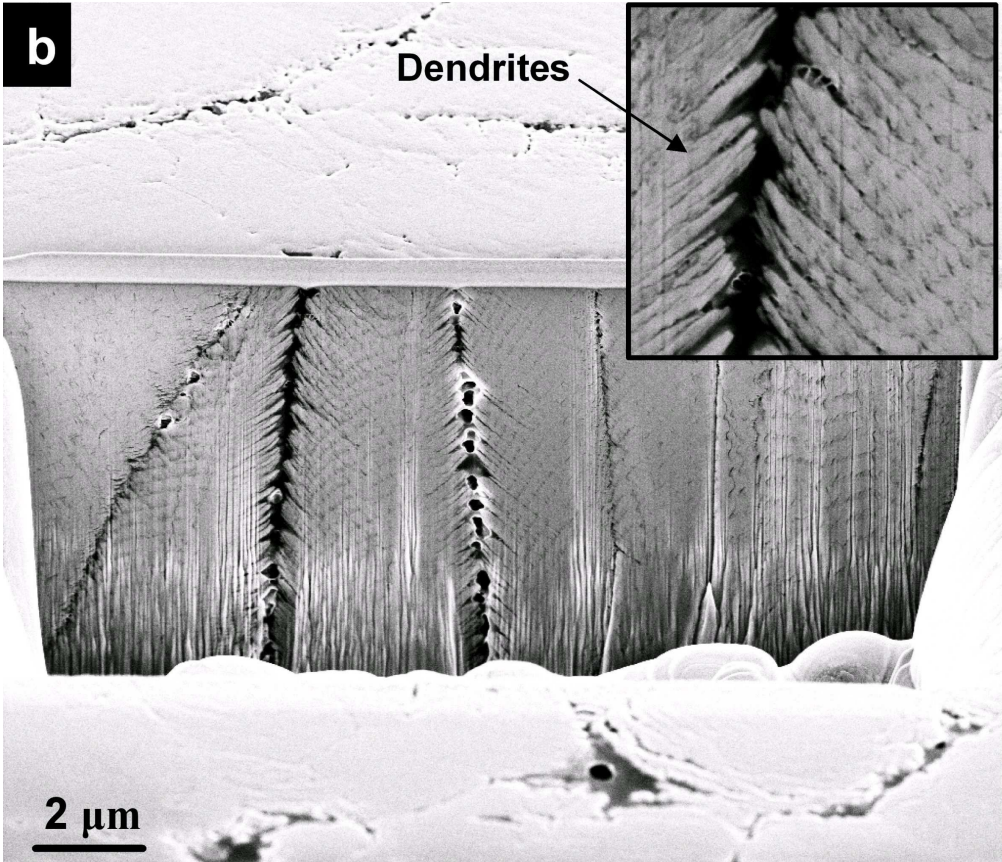
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99x93mm (600 x 600 DPI)

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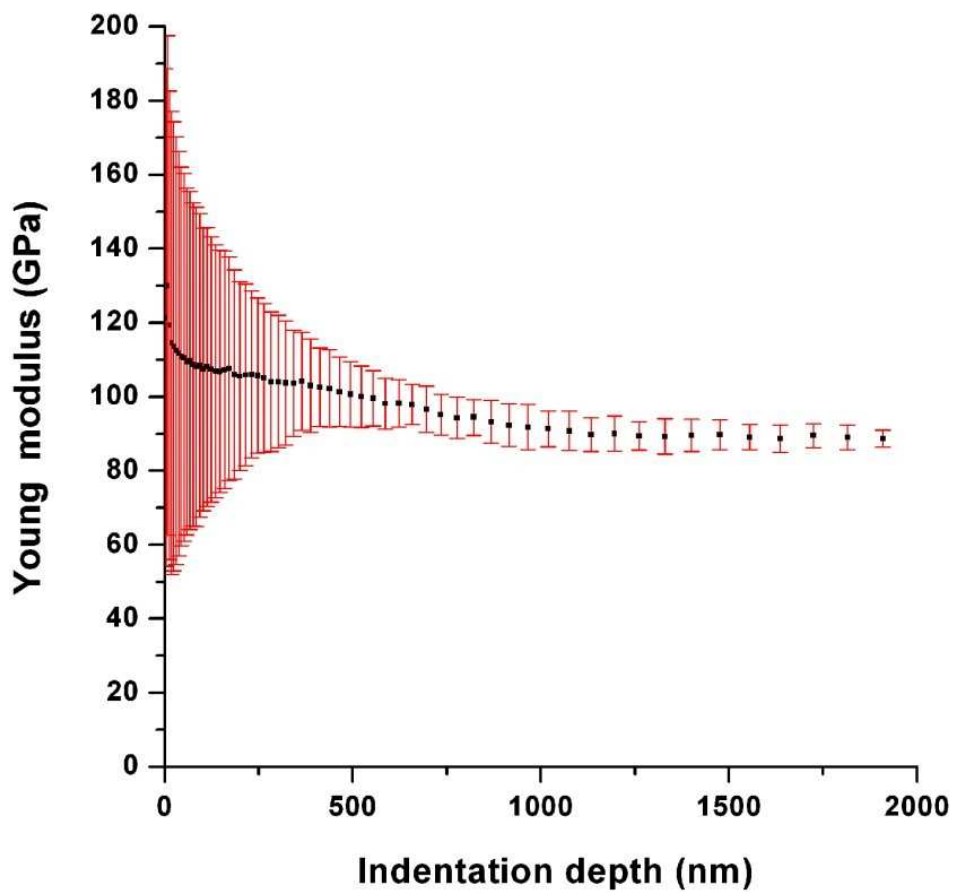
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99x86mm (600 x 600 DPI)

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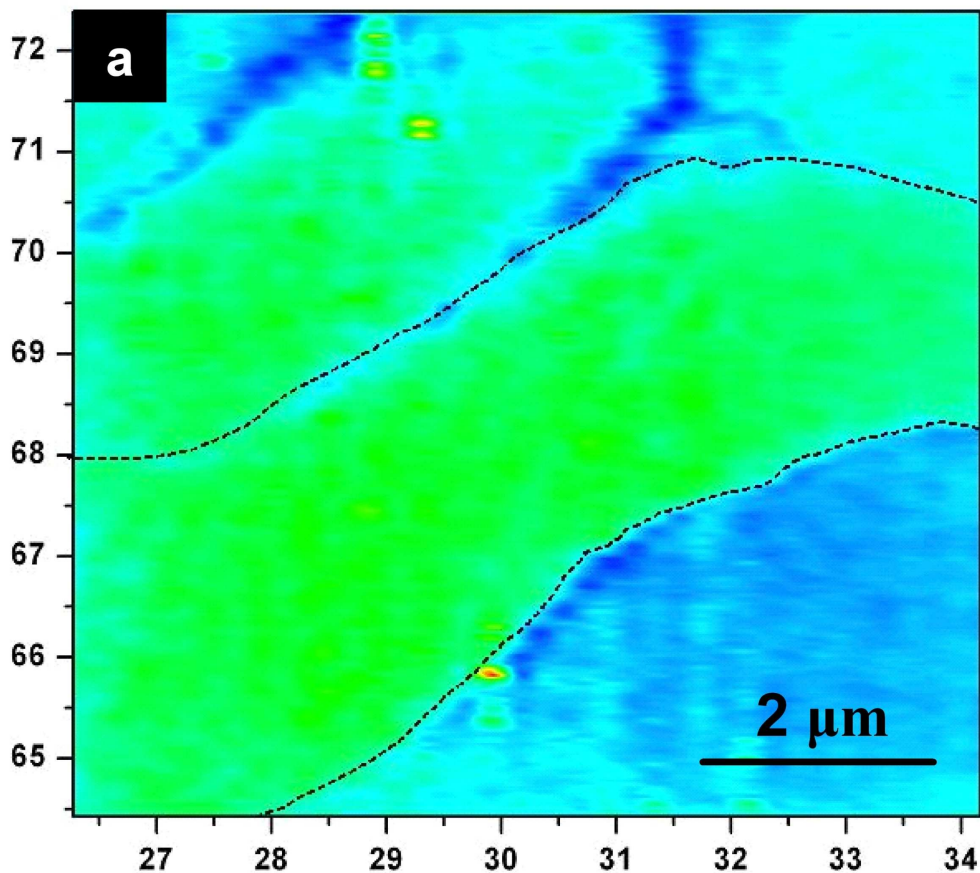
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150x137mm (150 x 150 DPI)

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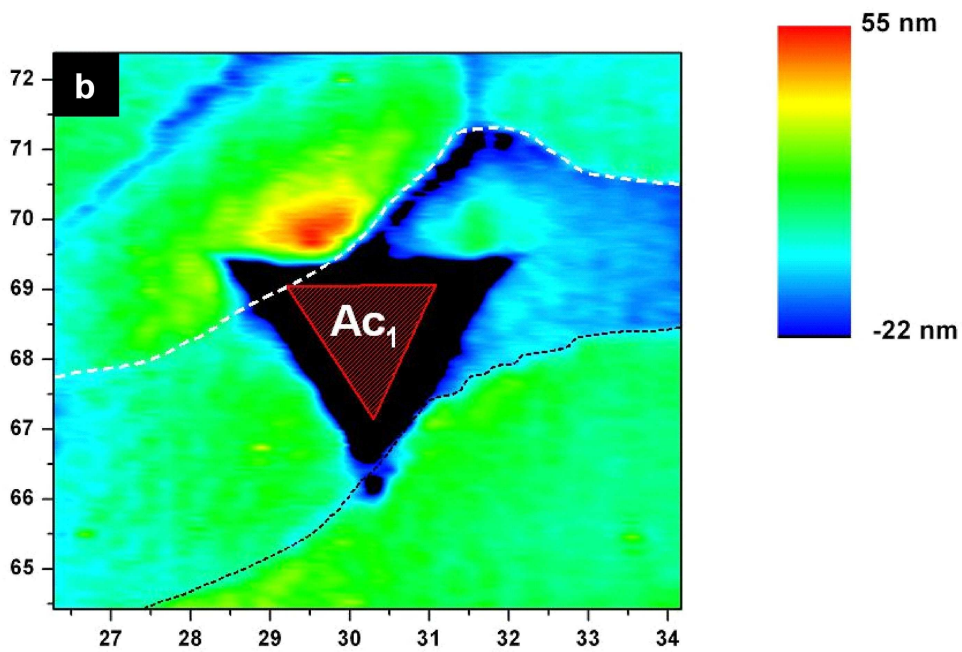
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99x89mm (600 x 600 DPI)

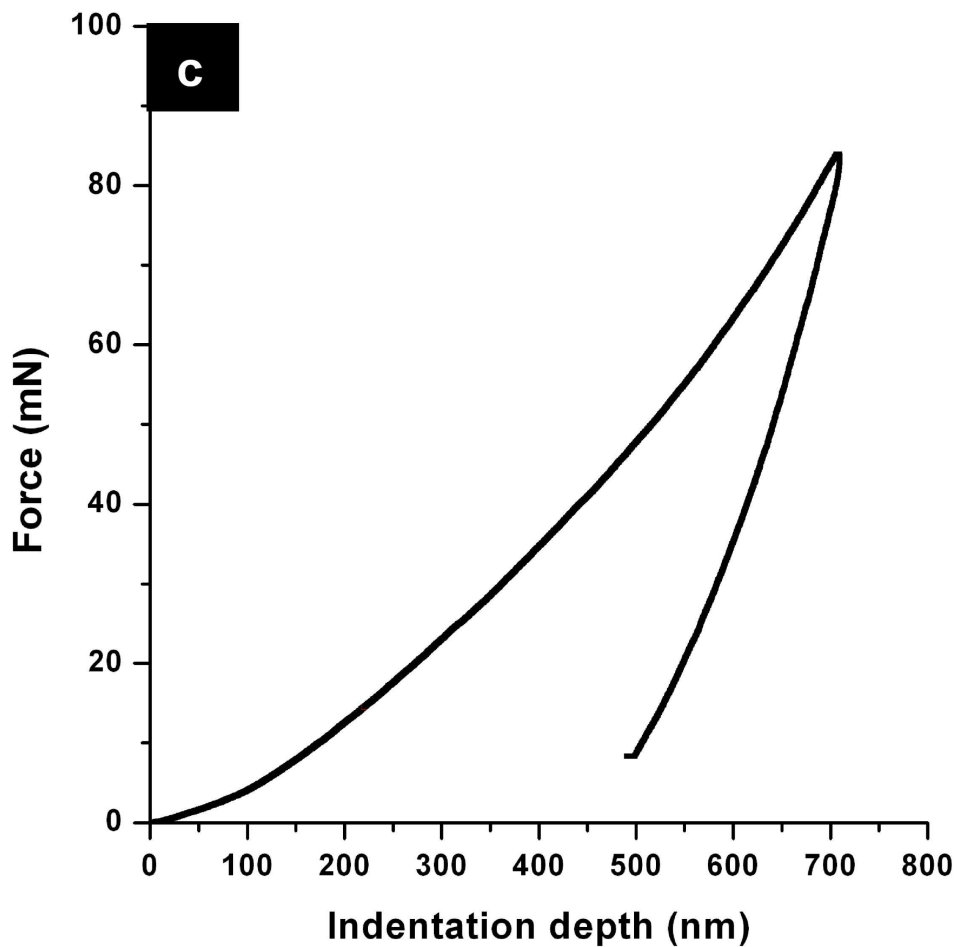
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122x80mm (600 x 600 DPI)

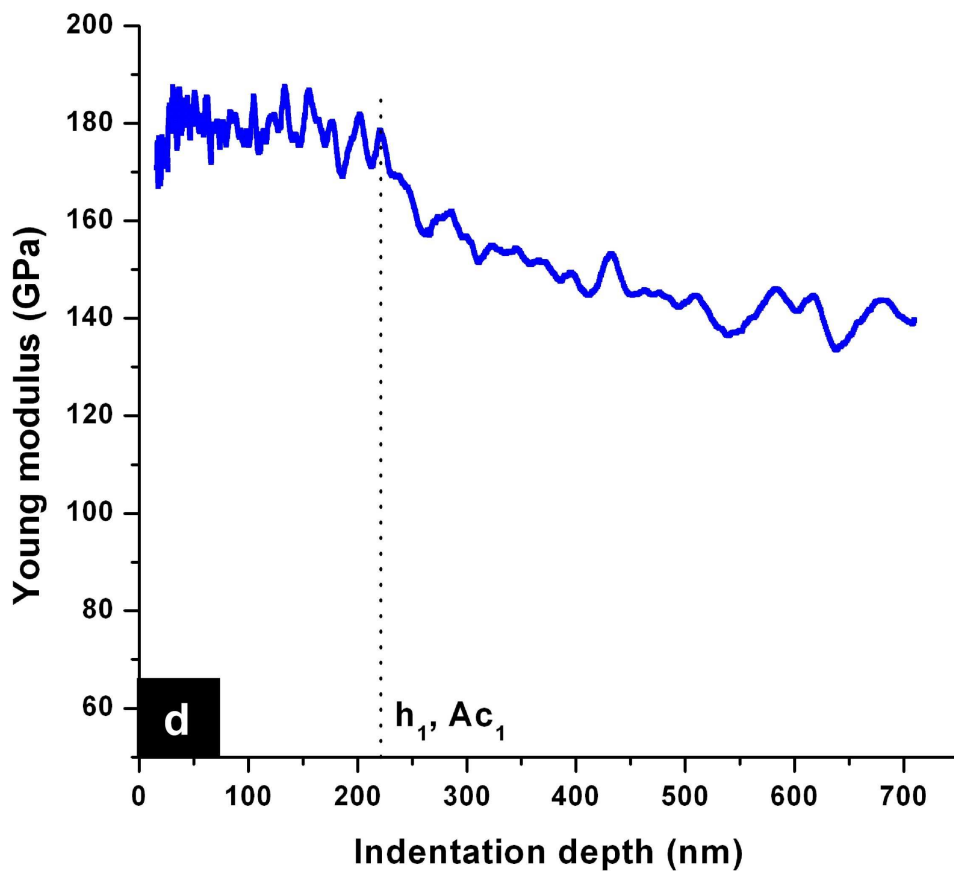
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99x97mm (600 x 600 DPI)

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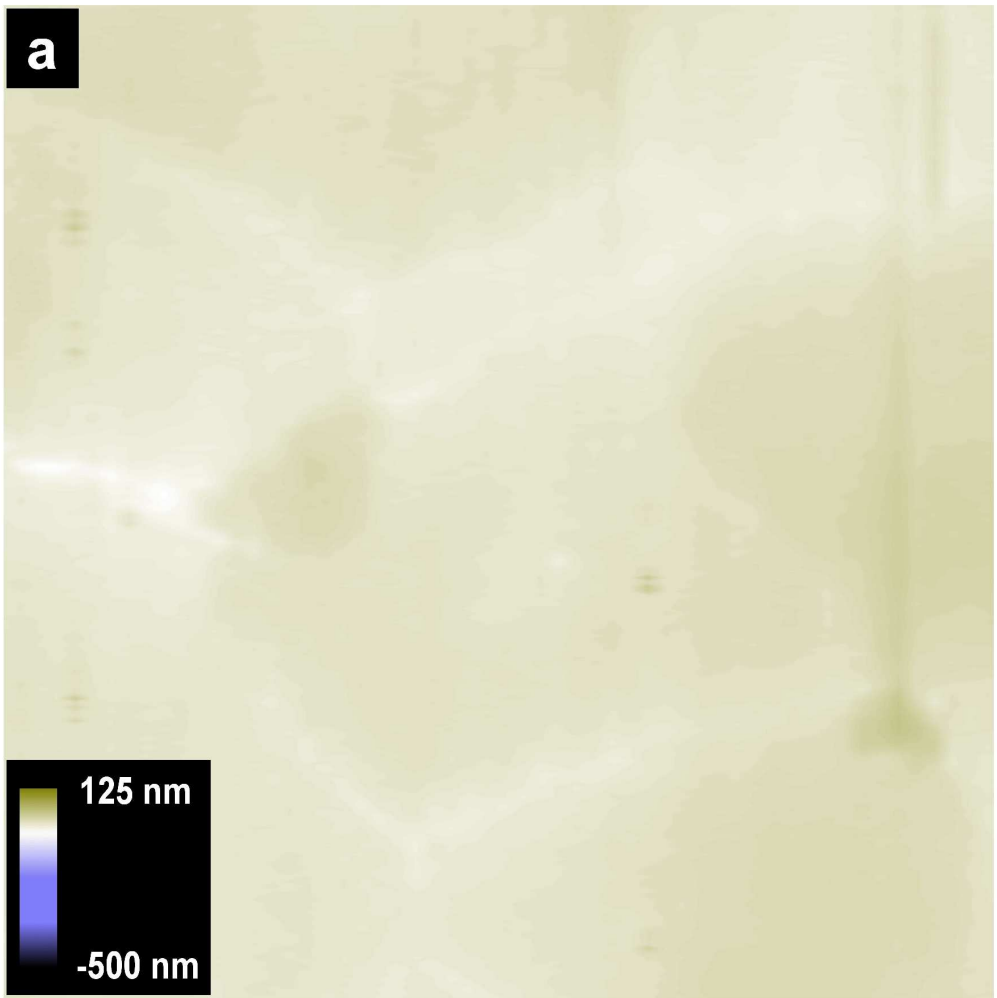
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99x88mm (600 x 600 DPI)

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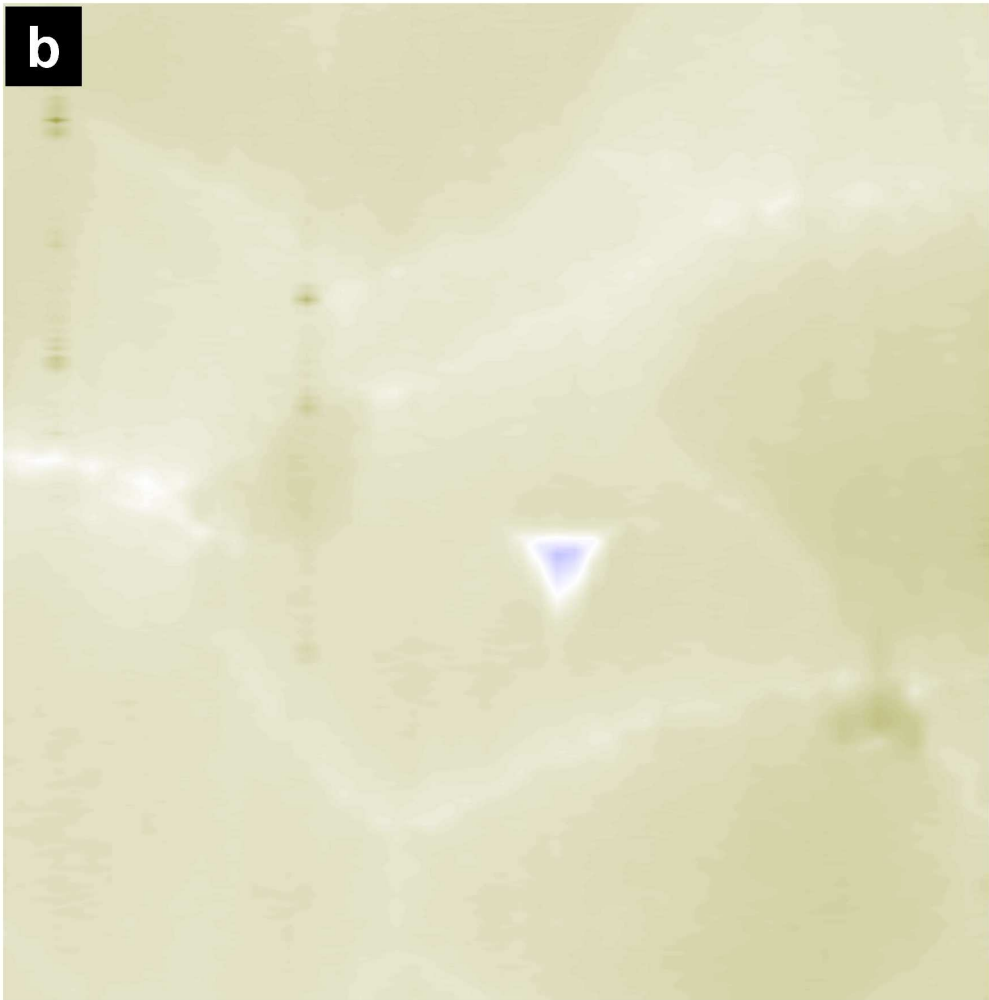
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149x150mm (600 x 600 DPI)



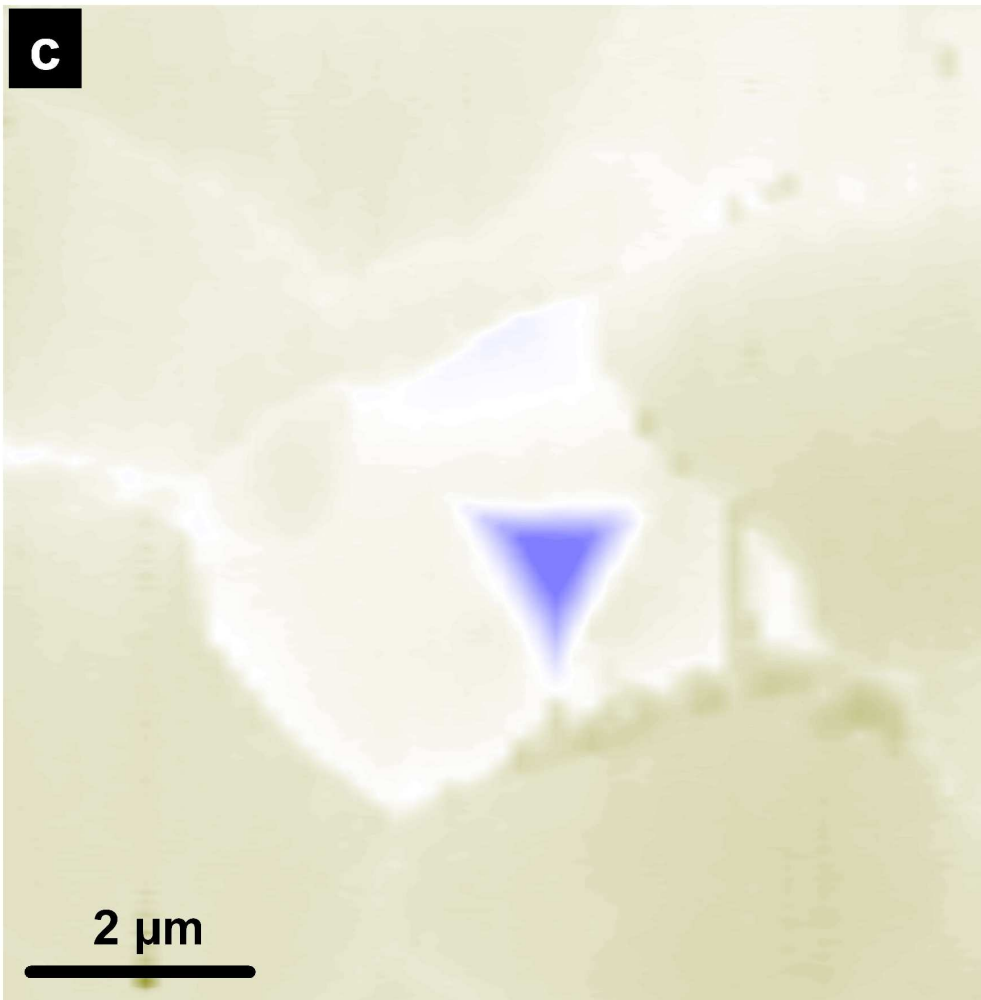
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148x150mm (600 x 600 DPI)



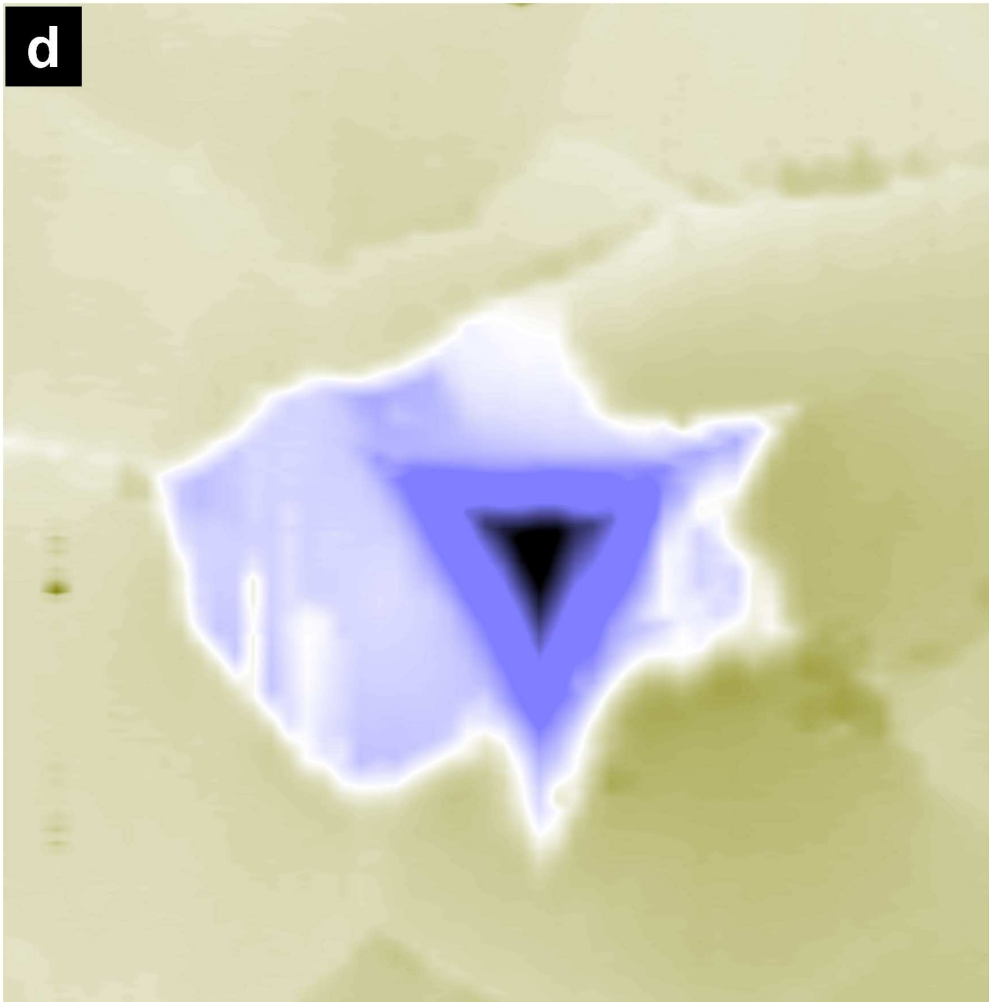
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149x150mm (600 x 600 DPI)



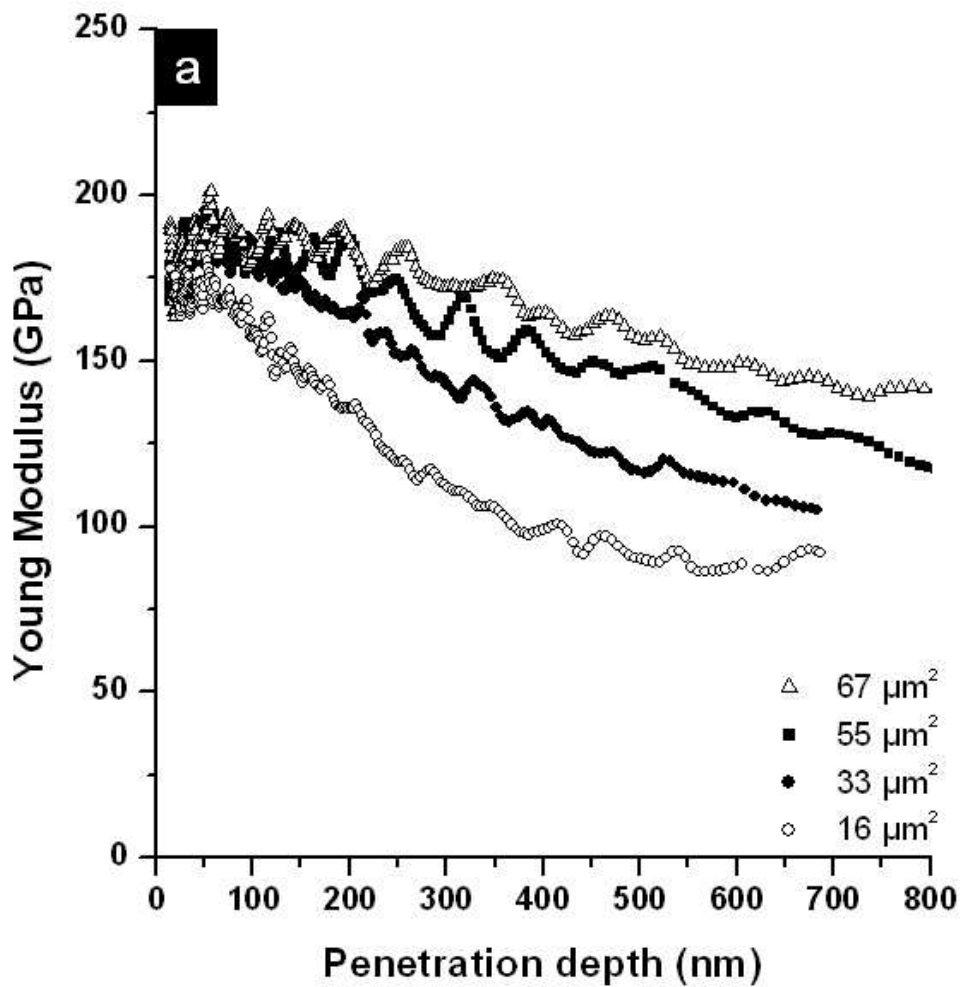
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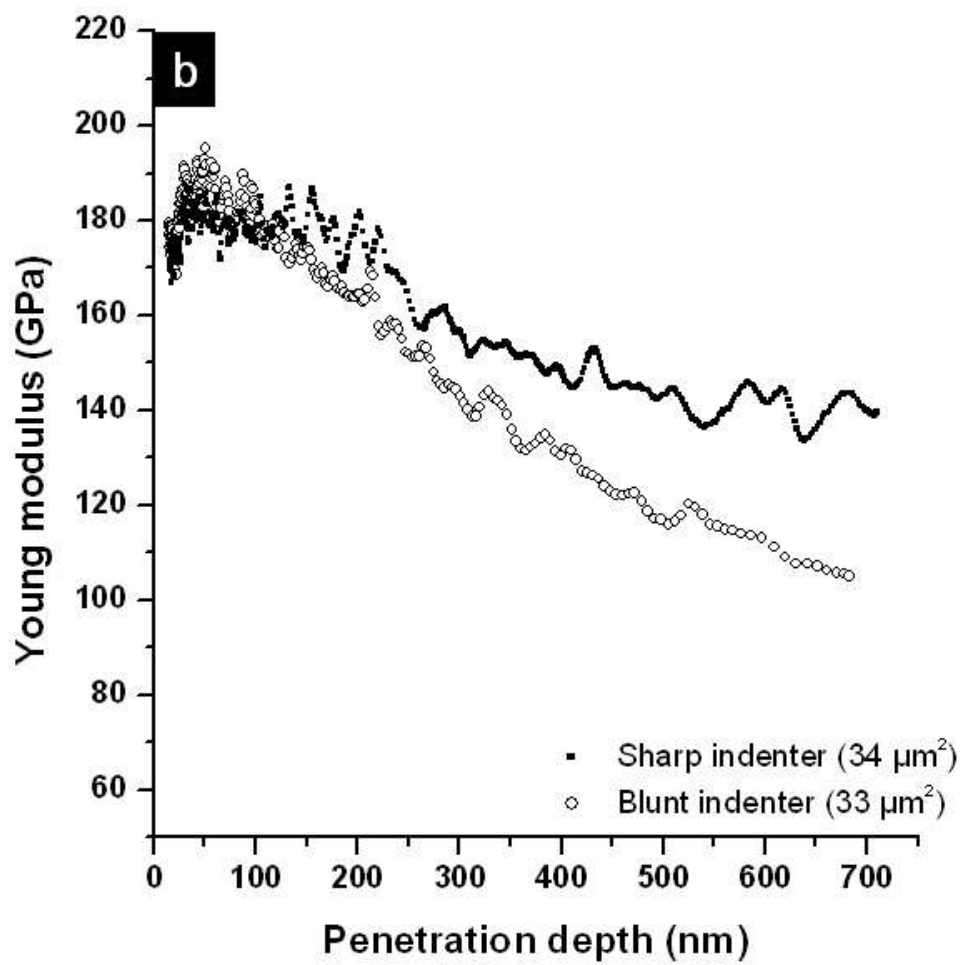
148x150mm (600 x 600 DPI)



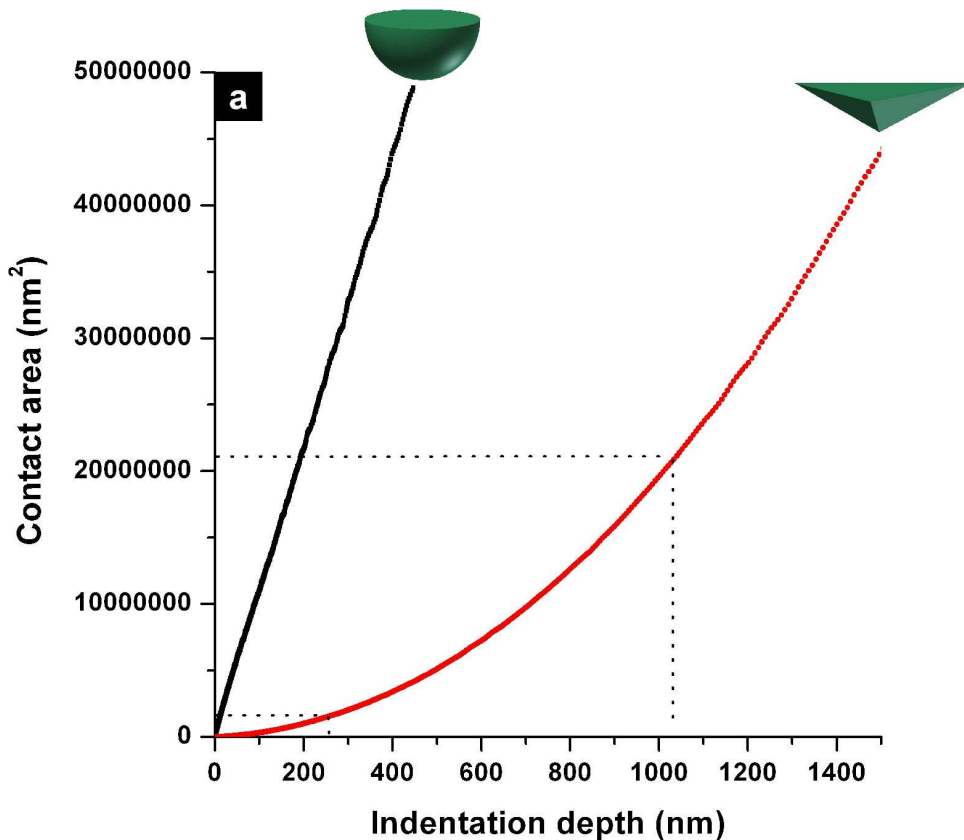
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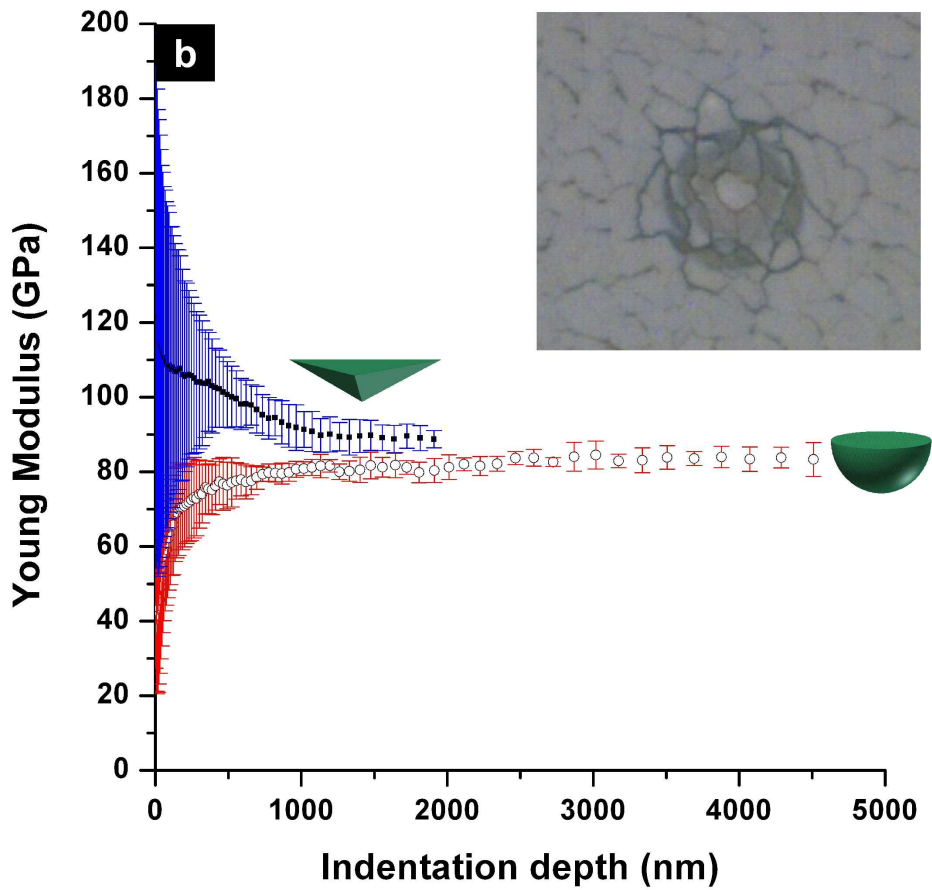


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206x180mm (600 x 600 DPI)

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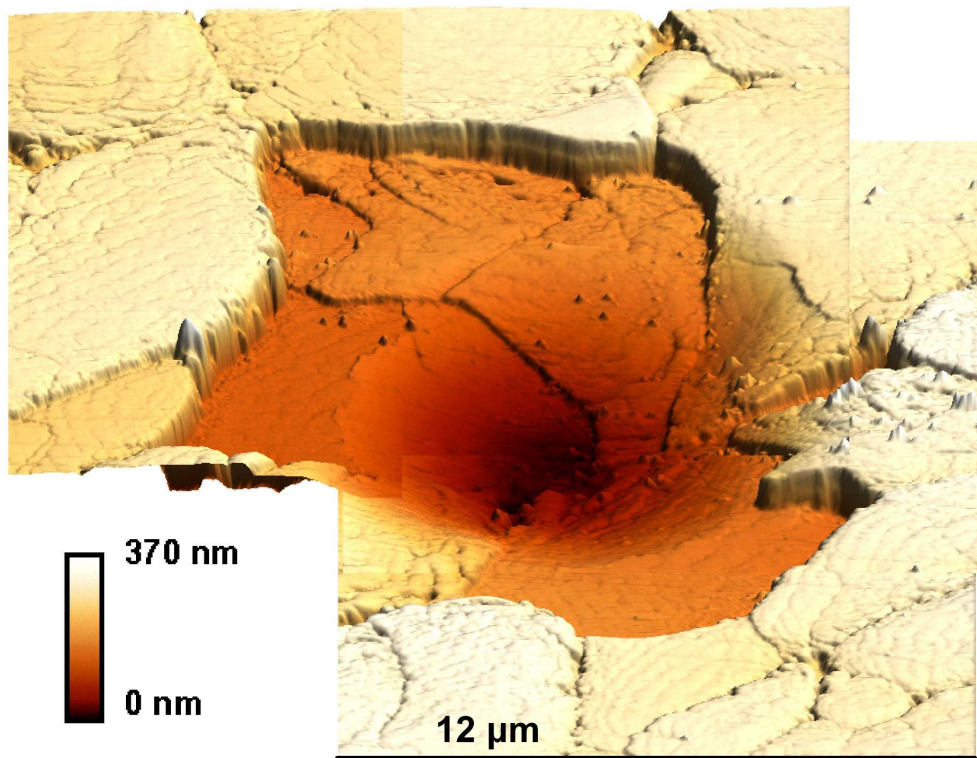


194x182mm (600 x 600 DPI)

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160x123mm (600 x 600 DPI)

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