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# The construction of a reliable potential for GeO<sub>2</sub> from first-principles

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## Abstract

The construction of a reliable potential for GeO<sub>2</sub>, from first-principles, is described. The obtained potential, which includes dipole polarization effects, is able to reproduce all the studied properties (structural, dynamical and vibrational) to a high degree of precision with a single set of parameters. In particular, the infrared spectrum was obtained with the expression proposed for the dielectric function of polarizable ionic solutions by Weis *et al.* [J.M. Caillol, D. Levesque and J.J. Weis, *J. Chem. Phys.*, **91**, 5544 (1989)]. The agreement with the experimental spectrum is very good, with three main bands that are associated to tetrahedral modes of the GeO<sub>2</sub> network. Finally, we give a comparison with a simpler pair-additive potential.

## I. INTRODUCTION

1  
2 In the vitreous and liquid states, at ambient pressure, germania ( $\text{GeO}_2$ ) (a close struc-  
3 tural analog of silica ( $\text{SiO}_2$ )) forms a tetrahedrally coordinated three-dimensional network<sup>1</sup>.  
4 Because of its lower abundance, its usage in practical applications is much less widespread  
5 than for the  $\text{SiO}_2$ . Still,  $\text{GeO}_2$  is used in several fields, mainly related to optical technologies.  
6 For example, a mixture of  $\text{SiO}_2$  and  $\text{GeO}_2$  allows precise control of refractive index in optical  
7 fibres and waveguides. It is of interest to develop simulation methods to allow a detailed  
8 examination of the local structure in such mixtures and to predict the infrared spectrum,  
9 since this determines the long wavelength limit for their use as optical fibres.  
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12 The essential similarity between the structures of glassy  $\text{GeO}_2$ ,  $\text{SiO}_2$  and  $\text{BeF}_2$  was made  
13 clear by the study of vibrational properties by Galeener and co-workers<sup>2</sup> who showed that  
14 the inelastic neutron, infrared and Raman spectra of the different materials were closely  
15 related. More recent infrared studies of  $\text{GeO}_2$  have also been reported<sup>3,4</sup>. Recently a full set  
16 of partial structure factors were determined in this system by using the method of isotopic  
17 substitution in neutron diffraction experiments<sup>5,6</sup>. The results show that the tetrahedral  
18 network structure is based on corner sharing  $\text{Ge}(\text{O}_{1/2})_4$  tetrahedra with a Ge-O average  
19 distance of 1.73 Å and with a mean inter-tetrahedral Ge-O-Ge angle of 132°. They also  
20 show that the topological and chemical ordering in the network display two characteristic  
21 length scales at distances greater than the nearest neighbour.  
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24 Several molecular dynamics (MD) simulations have been undertaken to study the struc-  
25 tural and vibrational properties of the disordered phases of  $\text{GeO}_2$ . Classical MD simulations  
26 were performed on glassy and liquid  $\text{GeO}_2$ <sup>7,8</sup>, using pairwise additive potentials with par-  
27 tial charges developed by Oeffner and Elliott (OE) for modeling the  $\alpha$ -quartz and rutilelike  
28 phases of  $\text{GeO}_2$ <sup>9</sup>. More recently, first-principles molecular dynamics (FPMD) of glassy  $\text{GeO}_2$   
29 have also been reported<sup>10,11</sup>. In principle, the amount of empirical information needed to  
30 set up a first-principles calculation is minimal and it would normally be the method of  
31 choice to study the physico-chemical properties of condensed phase systems. However, it is  
32 computationally very expensive compared to classical molecular dynamics, which is a major  
33 drawback when dealing with glassy systems. Classical and FPMD simulations were also  
34 compared one with each other in a combined study<sup>12</sup> for temperatures above 2530 K. In this  
35 study *apparent* inconsistencies between the properties predicted by the classical simulations  
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of different authors are noted. In reality, two *different* parameter sets were proposed by OE: an original one, which was fitted from an *ab initio* energy surface, and a so-called rescaled one, which was developed from the previous one in order to give a better reproduction of the vibrational properties. For example, the partial charge of the germanium ion was shifted from  $1.5 e$  in the original potential to  $0.94174 e$  in the rescaled potential. The apparent inconsistencies arise because different classical potentials were being used. Our objective is a single potential which will give a good description of structure and dynamics and allow long simulations on systems of many atoms.

The structure of the simulated system can directly be compared with the neutron / X-ray diffraction experiments. Both classical and FPMD simulation provide structure factors which are in rather good agreement with experiments. For the classical molecular dynamics, the most noticeable difference is a shift to high  $q$ -values of the first sharp diffraction peak (FSDP), which was observed with both versions of the OE potential<sup>7,8</sup>. As this peak is associated to medium range distances<sup>5</sup>, this means that the Ge-O-Ge bond angle is too large and the topology of the tetrahedral network is not correctly described. The FPMD simulations provide a diffraction pattern with improved agreement<sup>11</sup>, but the small size / timescales explored lead to large statistical uncertainties, mainly in the region of this FSDP. The vibrational properties can also be straightforwardly calculated. The vibrational density of states (VDOS) was estimated from FPMD and classical MD using the rescaled OE potential, with an overall reasonable agreement with the available experimental data extracted from inelastic neutron scattering experiments. The infrared absorption spectrum, which originates from the polarization fluctuations associated with motion of the atomic charges, has only been reported from the FPMD simulation. The agreement with experiment<sup>2</sup> was overall good, despite a small shift of the peaks towards lower frequencies.

The dynamical properties which involve structural rearrangements are out of reach of the FPMD. The only studies on GeO<sub>2</sub> employ classical MD, and were aimed at determining the diffusion coefficients in the liquid state<sup>7,12</sup>. Hawlitzky *et al.*<sup>12</sup> observed important differences between their values and those obtained by Micoulaut *et al.*<sup>7</sup>; their hypothesis for rationalizing this discrepancy was inadequate equilibration in the latter. In fact, as noted above, it is due to the use of the two different OE potentials in these studies. Indeed, in simulations performed with the rescaled OE potential the fluidity of the system is enhanced by more than one order of magnitude compared to the results obtained with the original

OE potential.

1 In this work we describe the parameterization of a new classical MD interaction potential  
2 for GeO<sub>2</sub>, by using some techniques we have developed for halides and oxides<sup>13,14</sup>, which  
3 is entirely based on first-principles electronic structure calculations. In order to provide  
4 an accurate, transferable description of the interactions, these potentials include dipole  
5 polarization effects and the ions carry full valence charges. The various structural, dynamical  
6 and vibrational properties are then compared with the corresponding experimental results.  
7 In future work we will use such potentials to study mixtures and the effect of pressure on  
8 glassy GeO<sub>2</sub>

## 17 II. INTERACTION POTENTIAL DEVELOPMENT

19 The interaction model used in this work is related to that used in previous work<sup>15,16</sup>,  
20 with a couple of minor differences. The model (known as DIPPIM) includes a pair potential,  
21 together with an account of the polarization effects which result from the induction of dipoles  
22 on the O<sup>2-</sup> ions. The parameters for these potentials were obtained by force-matching them  
23 to first-principles reference data<sup>13</sup>. Such an approach was successfully applied in the case  
24 of other oxide materials<sup>14</sup>. Tangney and Scandolo<sup>17</sup> also used a similar interaction model  
25 to study silica, in which all the parameters including partial charges on the ions were fitted  
26 against first-principles data. Here we use formal ionic charges (Ge<sup>4+</sup>, O<sup>2-</sup>) which should  
27 ensure a better transferability. In the next paragraphs we will give a brief description of the  
28 model used, the first-principles reference calculations and the force-matching procedure.

### 39 A. The DIPole-Polarizable Ion Model (DIPPIM)

41 The interatomic potential is constructed from four components: charge-charge, disper-  
42 sion, overlap repulsion and polarization. The first three components are purely pairwise  
43 additive:

$$44 V^{\text{qq}} = \sum_{i \leq j} \frac{q_i q_j}{r_{ij}} \quad (1)$$

where  $q_i$  is the *formal* charge on ion  $i$ . The dispersion interactions include dipole-dipole and dipole-quadrupole terms

$$V^{\text{disp}} = - \sum_{i \leq j} \left[ \frac{f_6^{ij}(r_{ij}) C_6^{ij}}{r_{ij}^6} + \frac{f_8^{ij}(r_{ij}) C_8^{ij}}{r_{ij}^8} \right]. \quad (2)$$

Here  $C_6^{ij}$  and  $C_8^{ij}$  are the dipole-dipole and dipole-quadrupole dispersion coefficients, respectively, and the  $f_n^{ij}$  are the Tang-Tonnes dispersion damping function, which describe short-range corrections to the asymptotic dispersion term. The short range repulsive terms are approximately exponential in the region of physical interionic separations. The full expression used here for the short range repulsion is:

$$V^{\text{rep}} = \sum_{i \leq j} \frac{A^{ij} e^{-a^{ij} r_{ij}}}{r_{ij}} + \sum_{i \leq j} B^{ij} e^{-b^{ij} r_{ij}^2}, \quad (3)$$

where the second term is a Gaussian which acts as a steep repulsive wall and accounts for the anion hard core; these extra terms are used in cases where the ions are strongly polarized to avoid instability problems at very small anion-cation separations<sup>15</sup>. The polarization part of the potential incorporates dipolar effects only. This reads:

$$\begin{aligned} V^{\text{pol}} = & \sum_{i,j} - (q_i \mu_{j,\alpha} f_4^{ij}(r_{ij}) - q_j \mu_{i,\alpha} f_4^{ji}(r_{ij})) T_\alpha^{(1)}(\mathbf{r}_{ij}) \\ & - \sum_{i,j} \mu_{i,\alpha} \mu_{j,\beta} T_{\alpha\beta}^{(2)}(\mathbf{r}_{ij}) + \sum_i \frac{1}{2\alpha_i} |\boldsymbol{\mu}_i|^2. \end{aligned} \quad (4)$$

Here  $\alpha_i$  is the polarizability of ion  $i$ ,  $\boldsymbol{\mu}_i$  are the dipoles and  $\mathbf{T}^{(1)}$ ,  $\mathbf{T}^{(2)}$  are the charge-dipole and dipole-dipole interaction tensors:

$$T_\alpha^{(1)}(\mathbf{r}) = -r_\alpha / r^3 \quad T_{\alpha\beta}^{(2)}(\mathbf{r}) = (3r_\alpha r_\beta - r^2 \delta_{\alpha\beta}) / r^5. \quad (5)$$

The instantaneous values of these moments are obtained by minimization of this expression with respect to the dipoles of all ions at each MD timestep. This ensures that we regain the condition that the dipole induced by an electrical field  $\mathbf{E}$  is  $\alpha\mathbf{E}$  and that the dipole values are mutually consistent. The short-range induction effects on the dipoles are taken into account by the Tang-Toennies damping functions:

$$f_n^{ij}(r_{ij}) = 1 - c^{ij} e^{-d^{ij} r_{ij}} \sum_{k=0}^n \frac{(d^{ij} r_{ij})^k}{k!}. \quad (6)$$

1 The parameters  $d^{ij}$  determine the range at which the overlap of the charge densities affects  
2 the induced dipoles, the parameters  $c^{ij}$  determine the strength of the ion response to this  
3 effect. It is important to notice that anion-anion damping terms have been taken into  
4 account, contrary to what was done in<sup>15</sup>. The addition of anion-anion damping terms was  
5 found to greatly improve the ability to match the first-principles data.  
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## 10 B. The DFT reference calculations

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12 The parameters in the interaction potential are determined by matching the dipoles  
13 and forces on the ions calculated from first-principles on condensed phase ionic  
14 configurations<sup>13,14</sup>. Starting from the empirical pair potential in<sup>7</sup>, we obtained atomic config-  
15 urations for GeO<sub>2</sub> by running short MD simulations on small cells (150 ions); a total of two  
16 liquid configurations were obtained for GeO<sub>2</sub>; for each of these, the Hellman-Feynman forces  
17 acting on individual ions of the simulation cell were calculated using planewave-DFT code  
18 CPMD<sup>18</sup>. In all the calculations we used norm-conserving pseudopotentials and planewave  
19 energy cut-offs of 1360 eV; all calculations were performed using the generalized gradient  
20 approximation (GGA) according to the Perdew, Burke and Ernzerhof (PBE) scheme. For  
21 the calculation of first-principles dipoles, the Kohn-Sham orbitals are localized via a Wan-  
22 nier transformation to construct maximally localized Wannier functions (MLWF) and the  
23 dipoles determined from the positions of the centres of the Wannier functions associated  
24 with each ion<sup>19</sup>.  
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## 38 C. Fitting procedure

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40 The potential parameters are optimized by fitting the forces and dipoles, obtained with  
41 the DIPPIM potentials for the reference configurations, to the respective results from the  
42 DFT calculations; the 2 configurations provide a total of about 1800 data points to fit, com-  
43 prising three Cartesian force components of each individual ion and three components for the  
44 dipoles. While most of the potential parameters are left as free parameters in the fits, there  
45 are some exceptions. The O<sup>2-</sup> polarizability, for instance, was fixed to  $\alpha_{O^{2-}} = 11 a.u.$ , i.e.  
46 the value Salmon *et al* obtained experimentally in<sup>5</sup>. This value for the oxide polarizability  
47 is also compatible with the range of values obtained for this quantity in several magmatic  
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melts from first-principles calculations<sup>20</sup>. One problem in DFT calculations is the uncontrolled representation of the dispersion interaction. Although dispersion only contributes a tiny fraction to the total energy, it has a considerable influence on phase transition pressures and on the material density and stress tensor. For this reason, we decided not to include the dispersion parameters in the fits as discussed in<sup>13</sup> and to add them afterwards. We used the parameters in<sup>21</sup> for the oxygen terms and rescaled these by the Ge polarizability for the anion-cation terms. The Gaussian parameters too were added after the fit and then the comparison with the first-principles data was run again to check that its quality remained unchanged.

In Figure 1 we report the agreement between the DFT calculated forces and dipoles and those predicted by the fitted potential, for a set of representative ions; the abscissa shows the  $x$  component of the forces and dipoles, while the ordinate is simply the ion number. The quality of the representation is quite good and comparable with the one obtained in<sup>22</sup>; this can be regarded as a very good result, considering the simplicity of the model (both ion shape deformation effects and quadrupoles are not taken into account in the present model<sup>13,14</sup>). The parameters obtained for the DIPPIM potential are summarized in Table I.

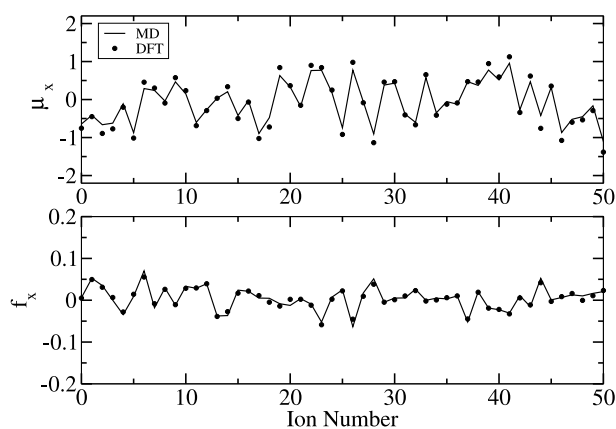


FIG. 1: Agreement between the DFT calculated dipoles (top panel) and forces (bottom panel) and those predicted by a fitted DIPPIM potential model for a set of 50 representative ions. In each panel the ordinate gives the ion number and the abscissa gives the DFT values of the  $x$  component of the forces and dipoles as points and the fitted values as the continuous line.

TABLE I: Parameters in the repulsive, polarization and dispersive parts of the DIPPIM potential.

All values are in atomic units. The oxide ion polarizability was set to 11 au.

	O-O	O-Ge	Ge-Ge
$A^{ij}$	17.78	40.89	2958
$a^{ij}$	3.893	1.186	5.96
$B^{ij}$	50000	50000	0
$b^{ij}$	1.1	1.75	/
$C_6^{ij}$	44	4	0
$C_8^{ij}$	853	50	0
$c_6^{ij}$	1.0	1.0	/
$c_8^{ij}$	1.0	1.0	/
$d_6^{ij}$	1.0	1.5	/
$d_8^{ij}$	1.0	1.5	/
$d_{\text{pol}}^{ij}$	2.208	1.977	/
$c_{\text{pol}}^{ij}$	1.770	1.709	/

### III. SIMULATION DETAILS

We performed MD simulations in the  $NVT$  ensemble through the use of the Nosé-Hoover chain thermostat method<sup>23,24</sup>. The simulation cell contained 288 oxide and 144 germanium ions, and its volume was set to 6856 Å<sup>3</sup> in order to match the experimental density at 300 K, which is 3.66 g.cm<sup>-3</sup>. We used a time step of 1 fs to integrate the equations of motion and the minimization of the polarization energy was carried out with a conjugate gradient method. The system was studied in both the liquid and amorphous phases.

For the amorphous phase, the system was first equilibrated for 2 ns at the temperature of 4500 K. We have then cooled down the system with a cooling rate of  $3.33 \times 10^{11}$  K.s<sup>-1</sup>, by rescaling the velocities and decreasing the target temperature of the thermostat in order to reduce the temperature by 50 K every 150000 MD steps. Finally, a 5 ns long simulation was undertaken at 300 K, from which we computed all the data presented in this article.

This procedure was performed with two different potentials, the DIPPIM, and the original OE, which has extensively been used in the literature<sup>9</sup>. A simulation at 300 K was also performed with the rescaled OE potential (with one of the configurations obtained from simulations with the original OE potential as a starting configuration) for the study of the vibrational properties.

For the liquid, a series of long simulations with the DIPPIM potential at the temperatures of 3600 K, 3800 K, 4000 K, 4200 K, 4400 K, 4500 K, 4600 K, 4800 K and 5000 K was undertaken. In all the cases, an equilibration run was performed so that the slower species, Ge, moved on average, at least a distance of 5.5Å; a subsequent run of the same length was made to accumulate enough statistics for the mean-squared displacements curves. At these temperatures, the runs were between 100,000 and 1,000,000 steps. Both the original and rescaled OE potentials were also used within the same simulation conditions at a temperature of 3600 K.

#### IV. STATIC STRUCTURE

Neutron diffraction experiments with the isotope substitution technique allowed Salmon to determine very precisely the full set of partial radial distribution functions (RDF)<sup>5</sup>. These provide a good check of the validity of the potential.

The experimental RDFs are given in figure 2, together with the ones obtained from our simulations at 300 K involving the DIPPIM and the original OE potential; it can be readily observed that our DIPPIM potential gives a closer match with the experimental data than the OE potential, for which all the characteristic first-neighbour distances are slightly overestimated. Concerning the shape of the function, the peaks obtained for the Ge-Ge and Ge-O partials are too sharp but it has to be remembered that other factors, such as system size and cooling rate, also play a role in this aspect of the comparison. The O-O partial RDF is almost in perfect agreement with the experimental one, this is very important since the O<sup>2-</sup> anions constitute 2/3 of the atoms of the system and their packing arrangement determines the arrangement of the tetrahedral network. The OE potential gives a reasonable description of the static structure (though significantly poorer than the DIPPIM one); one might therefore be tempted to use this potential, due to its simpler form and faster computational time; we will see in the next sections that a reasonable reproduction

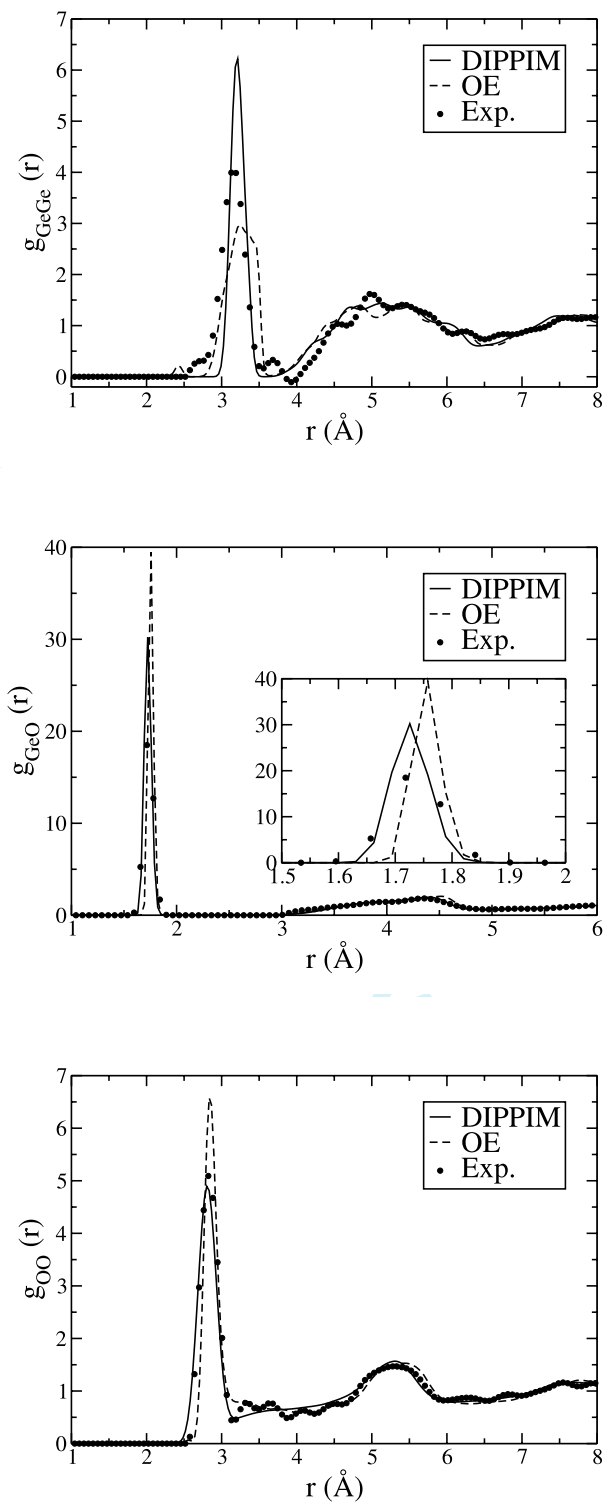


FIG. 2: Radial distribution functions obtained from the 300 K simulations with the DIPPIM and original OE interaction potentials. These are compared to the experimental ones obtained from neutron diffraction experiments<sup>5</sup>.

of the structure might not be sufficient for it to be capable of predicting the dynamical and vibrational properties.

## V. DYNAMICS

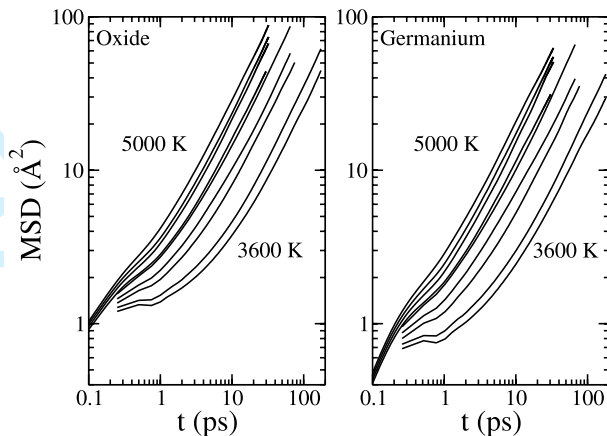


FIG. 3: Time dependence of the mean squared displacement curves for both the oxygen and germanium ions. The temperatures ranges between 3600 K and 5000 K.

In this section we will calculate diffusion coefficients for the liquid and compare them both with those obtained with the OE potentials and with the experimental values. The diffusion coefficient of species  $\alpha$  can be obtained from the slope of the mean squared displacement at long times, i.e.

$$D_{\alpha} = \lim_{t \rightarrow \infty} \frac{1}{6t} \langle r_{\alpha}^2(t) \rangle, \quad (7)$$

where

$$\langle r_{\alpha}^2(t) \rangle = \frac{1}{N_{\alpha}} \sum_{i=1}^{N_{\alpha}} \langle |r_i(t) - r_i(0)|^2 \rangle. \quad (8)$$

The mean squared displacement curves were evaluated from a series of long simulations at temperatures between 3600 K and 5000 K; these are shown on a log-log scale on figure 3. No plateau is observed even at the lowest temperature, which shows that the system remains liquid in the range of the study.

The diffusion coefficients were extracted using equation 7; these are reported in figure 4 and compared with those obtained with the OE and rescaled OE potentials. Figure 4 shows

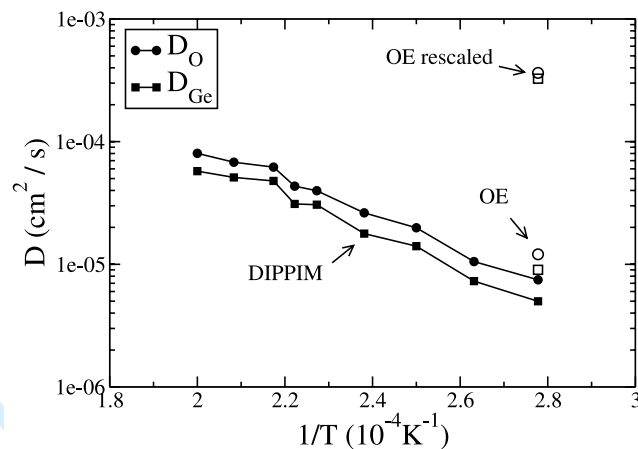


FIG. 4: Diffusion coefficients obtained with a DIPPIM potential at different temperatures; data points obtained with both the original and rescaled OE potentials at 3600 K are also presented.

many interesting features: first of all, our DIPPIM potential gives approximately the same value for the diffusion coefficients as the original OE potential. Hawlitzky *et al.*<sup>12</sup> used this potential to calculate the diffusion coefficients and showed that the obtained values are in good agreement with the experimental ones obtained by converting viscosity data; we can therefore conclude that our DIPPIM potential gives a good agreement with the experimental data as well. A direct comparison with experimental data might be done by using the only published datum ( $D_{\text{O}} = 7 \times 10^{-10} \text{ cm}^2\text{s}^{-1}$ ) on the oxygen diffusion constant<sup>25</sup>, at  $T=1440 \text{ K}$ . However, such a low diffusion coefficient would involve an impossibly long simulation run. It is also interesting to see that the rescaled OE potential yields too fluid a melt if compared with the original OE and DIPPIM potentials and with experimental data. This potential was used by Micoulaut *et al.*<sup>7</sup> and they indeed obtained diffusion coefficients which were more than one order of magnitude larger than the values obtained by Hawlitzky *et al.*<sup>12</sup> (who incorrectly explained this discrepancy in terms of lack of equilibration of the simulations, it is obvious here that the real reason was the difference between the two potentials). The so-called rescaled potential was obtained by taking the normal OE potential and rescaling the ionic charges, the van der Waals coefficient and the repulsive parameters in order to get a better agreement with the experimental vibrational spectrum for the crystal. To do this the parameters which are responsible for the strength of the the O-O and O-Ge short range

repulsion were lowered by a factor of approximately 2.5; as a consequence, these ions can diffuse much more easily in a softer environment.

## VI. INFRARED SPECTRUM

The infrared spectra of ionic melts with polyvalent cations exhibit discrete bands attributable to the vibrational motion of the local coordination complexes around the cations. They originate from the polarization fluctuations associated with motion of the ionic charges. The inclusion of polarization effects for the oxide ions in our model may influence the predicted spectrum in two ways<sup>26</sup>. First, the interactions of the oxide ion dipoles may alter the local structure of the network and the strength of the bonds, which may introduce a shift of the vibrational frequencies. Second, the induced dipoles will themselves be responsible for absorption, as they too contribute to the total polarization fluctuations.

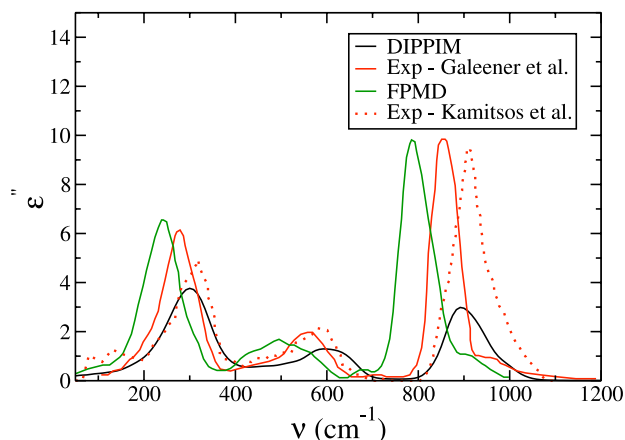


FIG. 5: Imaginary part of the dielectric function, calculated for simulations carried out with the DIPPIM potential, compared to FPMD simulation<sup>10</sup> and experimental results<sup>2,3</sup>.

The absorption coefficient in the presence of these extra moments is calculated from the imaginary part of the total dielectric function [ $n(\nu)\alpha(\nu) = 2\pi\nu\Im(\epsilon(\nu))$ ], which can be determined following Caillol, Levesque and Weis<sup>27,28</sup> as

$$\epsilon(\nu) - \epsilon_\infty = \frac{\beta}{3\epsilon_0 V} \left( \langle \mathbf{M}(0)^2 \rangle + 2\pi\nu \langle \mathbf{M} \cdot \mathbf{M} \rangle_\nu + 2\langle \mathbf{M} \cdot \mathbf{J} \rangle_\nu + \frac{\nu}{2\pi\nu} \langle \mathbf{J} \cdot \mathbf{J} \rangle_\nu \right) \quad (9)$$

TABLE II: Characteristic frequencies of the imaginary part of the dielectric function as obtained from the DIPPIM model, compared to previous FPMD simulations<sup>10,11</sup> and to experimental results<sup>2-4</sup>. All the frequencies are given in  $\text{cm}^{-1}$ .

DIPPIM	300 601 894
FPMD <sup>10</sup>	244 498 787
Exp. 1 <sup>2</sup>	280 567 858
Exp. 2 <sup>3</sup>	315 585 915
Exp. 3 <sup>4</sup>	560 870

where

$$\langle \mathbf{J} \cdot \mathbf{J} \rangle_{\nu} = \int_0^{\infty} e^{2\pi\nu t} \langle \mathbf{J}(t) \cdot \mathbf{J}(0) \rangle dt, \quad (10)$$

$\mathbf{J}(t)$  is the charge current  $\mathbf{J}(t) = \sum_{i=1}^N q_i \mathbf{v}_i(t)$  and  $\mathbf{M}(t)$  is the total system induced dipole moment,  $\mathbf{M}(t) = \sum_{i=1}^N \boldsymbol{\mu}_i(t)$ .

Figure 5 shows the imaginary part of the total dielectric function calculated for simulations carried out with the DIPPIM potential, compared to the one obtained from FPMD simulations<sup>10,11</sup> and from several experiments<sup>2,3</sup> (the spectrum proposed by Kamitsos *et al.* shows the absorption coefficient, here we transformed it into the dielectric function to facilitate comparisons). Three main bands are observed on the spectra, in good agreement with the other studies. The corresponding characteristic peak frequencies for the absorption spectra are summarized in table II. The agreement is very satisfactory; the frequencies obtained match very closely to the various experimental results (even though these show a significant scatter), while the FPMD simulation seems to systematically underestimate them. The relative intensities of the bands is also in good agreement with experiment, though the highest frequency band at around  $894 \text{ cm}^{-1}$  is not intense enough in our spectrum.

The importance of polarization effects in determining the relative intensities of the bands can be demonstrated by separating the various contributions to the absorption spectrum. In the case of glassy silica<sup>26</sup> and beryllium fluoride<sup>29</sup>, it was observed that the interference between the induced dipoles and permanent charge contributions to the total polarization, contained in the  $\langle \mathbf{M} \cdot \mathbf{J} \rangle_{\nu}$  cross term, is responsible for the changes of the relative intensities. Figure 6 shows the decomposition of the absorption spectrum in the case of glassy  $\text{GeO}_2$ . The two main contributions are the charge fluctuation and the cross term; both show bands



at the same intensities. Note that the cross-term strongly reduces the intensity of the two low frequency bands relative to that which would be obtained from the charge fluctuations alone, whereas for the high-frequency band the cancellation is much weaker. For the high frequency band the relationship of the charge-charge and cross-terms is different to that found previously for  $\text{SiO}_2$  and  $\text{BeF}_2$ <sup>26,29</sup>. In the latter cases, the charge-charge and cross-terms had the same sign, so that the net band intensity was slightly larger than that predicted by the charge-charge term alone. This difference in the behaviour of the calculated spectra might arise from the inclusion of an anion-anion damping term in the polarization part of the interaction potential here, which was not the case for the previous studies.

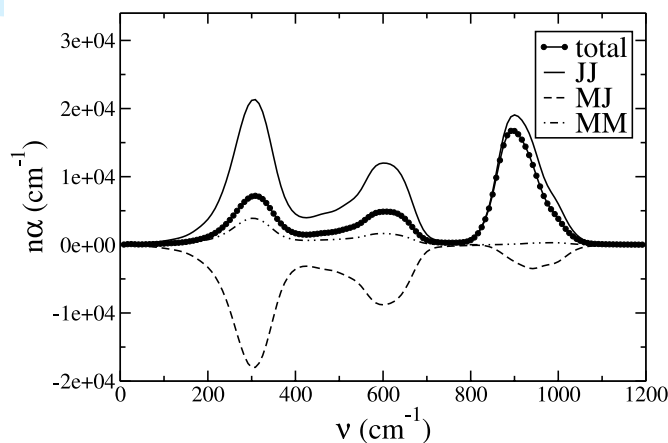


FIG. 6: Decomposition of the total IR absorption spectrum in its three component parts.

We can now attempt to associate these IR frequencies with the vibrational modes of the system. The upper part of figure 7 shows the vibrational density of states (VDOS) of each ion. Some of these features can be associated to the vibrations of the  $\text{GeO}_4$  tetrahedra by comparing them with the tetrahedral-VDOS as obtained from the correlation functions of the velocities of various local tetrahedral symmetry coordinates. The latter would correspond to the velocities of  $\text{GeO}_4$  normal modes, if the tetrahedra were isolated (*i.e.* not mechanically linked in a network). For a tetrahedral molecule, vibrational normal coordinates  $\nu_1$  ( $A_1$ , symmetric stretch),  $\nu_2$  ( $E$ , bend),  $\nu_3$  ( $F_2$ , asymmetric stretch) and  $\nu_4$  ( $F_2$ , bend) are expected<sup>30</sup>. We may obtain velocities associated with the symmetry coordinates

of each  $\text{GeO}_4$  unit. For example, for the symmetric stretching motion

$$v_i^A = \sum_{i\alpha=1-4} v_{i\alpha}^{\parallel} \quad (11)$$

where  $i$  labels the Ge of a tetrahedral complex, and  $i\alpha$  the four oxide anions at the vertices.  $v_{i\alpha}^{\parallel}$  is the projection of the relative velocity of  $i\alpha$  along the  $i \rightarrow i\alpha$  bond, *i.e.*

$$v_{i\alpha}^{\parallel} = (\mathbf{v}_{i\alpha} - \mathbf{v}_i) \cdot (\mathbf{r}_{i\alpha} - \mathbf{r}_i). \quad (12)$$

Similar expressions may be written down for the velocities of the other symmetry coordinates<sup>30</sup>. The corresponding DOS is then obtained by a Fourier transform of the corresponding velocity autocorrelation function:

$$\text{DOS}_A(\nu) = \Re \int_0^{\infty} e^{2\pi\nu t} \langle v_i^A(t) \cdot v_i^A(0) \rangle dt. \quad (13)$$

Were the network to vibrate as a collection of isolated tetrahedra, the spectra of each tetrahedral-VDOS would exhibit a single peak corresponding to the characteristic frequency of the corresponding normal mode of vibration. The four tetrahedral-VDOS are shown on the lower part of figure 7, it is clear that it is not the case here. Each spectra consists in the superposition of several bands, which shows the existence of some important coupling between the symmetry coordinates. It is therefore difficult to assign the IR features to the different modes. In particular, all of the three bands observed in the IR spectrum appear in the tetrahedral-VDOS of the bending  $E$  and  $F_2$  modes. The other  $F_2$  modes, which is associated to some kind of stretching of the tetrahedra, only exhibits the medium and high frequency modes. Finally, the  $A_1$  stretching spectrum exhibits two bands at frequencies centered on the values of 510 and 970  $\text{cm}^{-1}$ , which do not appear on the IR spectrum. Such a mode of this symmetry is indeed not expected to be IR active for an isolated tetrahedral molecule, since it corresponds to the symmetric breathing mode. Coming back to the total VDOS of each ion, the low frequency vibrations are not associated to any of the tetrahedral modes. In fact these are due to some slow collective bending of two tetrahedra linked by a bridging oxide anion<sup>31</sup>, so that they would not appear in the DOS of a single tetrahedron.

The vibrational properties of the system as obtained with the original and rescaled OE interaction potentials have also been computed. As these potentials do not include any polarization effect, it is only possible to compare the charge-charge current contributions to the IR absorption spectrum with the one obtained with the DIPPIM potential. The

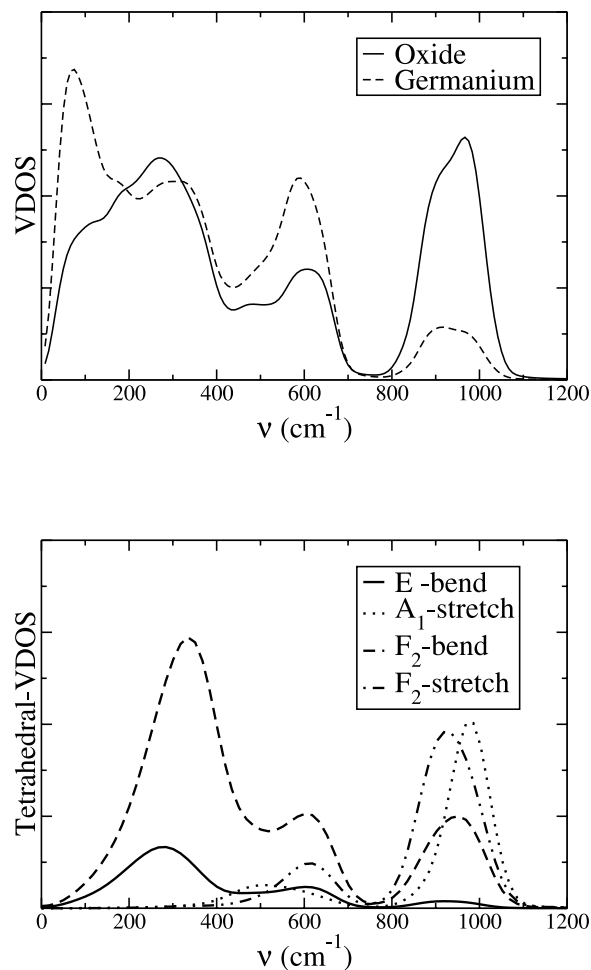


FIG. 7: The vibrational densities of states, for the DIPPIM, of both type of ions (top panel), and their projections in terms of the  $A$  (symmetric stretch),  $F_2$  (asymmetric stretch),  $E$  (bend), and  $F_2$  (bend) modes of the  $\text{GeO}_4$  tetrahedra (bottom panel).

obtained spectra are given on figure 8. Unsurprisingly, the original OE potential seem to fail completely in describing the vibrational properties of  $\text{GeO}_2$ ; this is the reason why the rescaled OE potential had to be developed. For that potential, the agreement is better, in particular for both the small and high frequency bands. Concerning the medium frequency band, observed at around  $604 \text{ cm}^{-1}$  for the DIPPIM potential, it does not appear for the rescaled OE potential. Instead there appears a shoulder to the small frequency band, for frequencies ranging from  $400$  to  $500 \text{ cm}^{-1}$ . As these bands were associated to the  $F_2$  stretching

and bending modes on the tetrahedral-VDOS, this means that these modes are not correctly depicted by the rescaled OE potential.

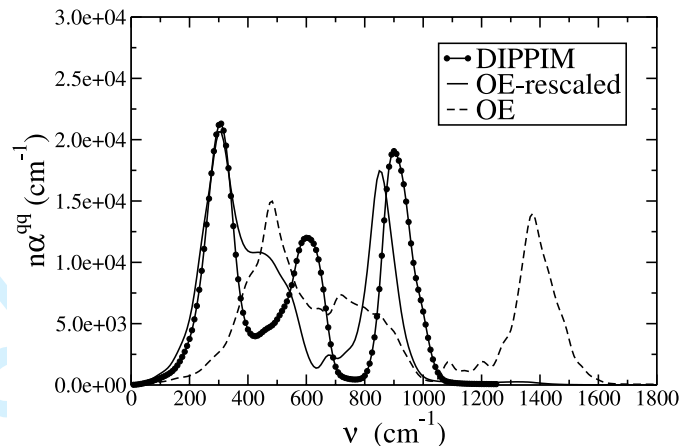


FIG. 8: Comparison of the charge-charge current contributions to the infrared spectrum obtained with the three different potentials (the two OE curves were rescaled with a factor  $q_O^2/4$ , where  $q_O$  is the partial charge carried by the oxide ion in the corresponding potential).

## VII. CONCLUSION

In conclusion, the obtained potential seem to reproduce all the studied properties (structural, dynamical and vibrational) to a high degree of precision. Since there was no reference at all to any experimental data in the parameterization of this potential, this represents a strong test of the model reliability. The study of pressurized germania is among our top priorities for future work. The potential is of a similar form to that introduced to describe silicates<sup>32</sup>; since these potentials have proved to be transferable, we will be able, in the future, to focus on  $\text{GeO}_2\text{-SiO}_2$  mixtures as well. These mixtures, as already mentioned in the introduction, attract great interest as they are widely used in optical fibers and waveguides.

### Acknowledgements

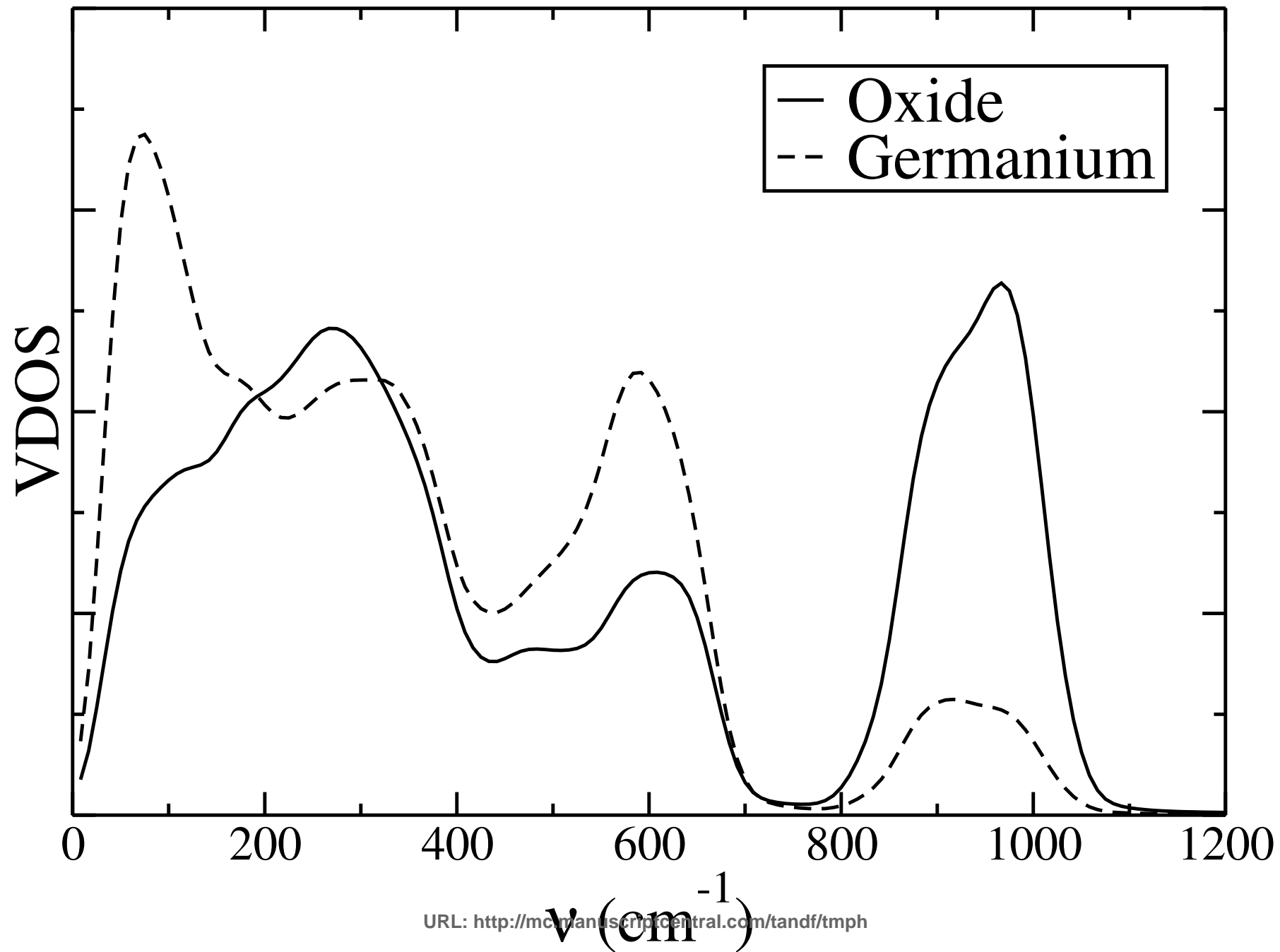
We thank Philip Salmon for providing his diffraction data. Calculations were carried out at the EaStCHEM computing facility (<http://www.eastchem.ac.uk/rcf>). DM thanks

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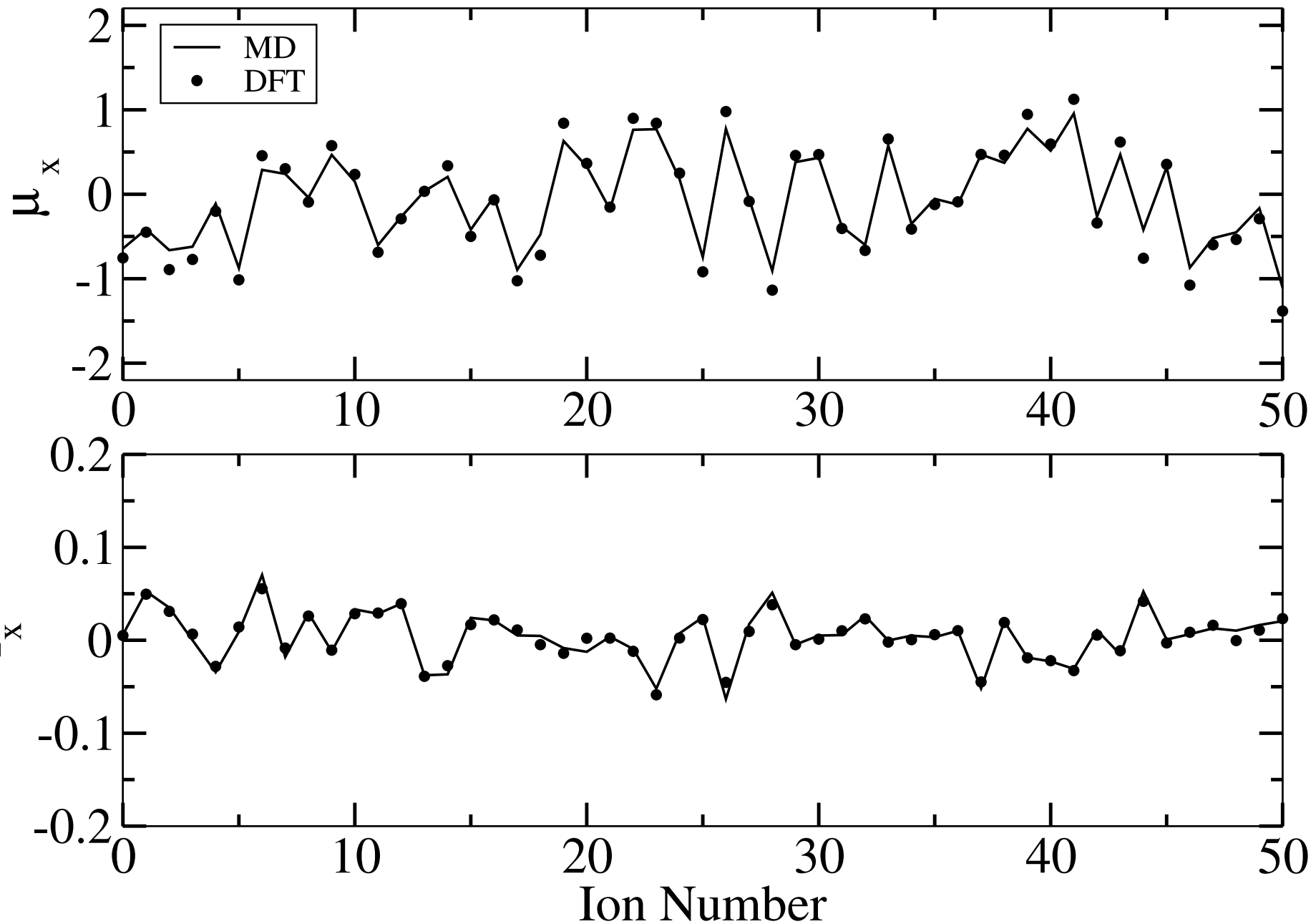
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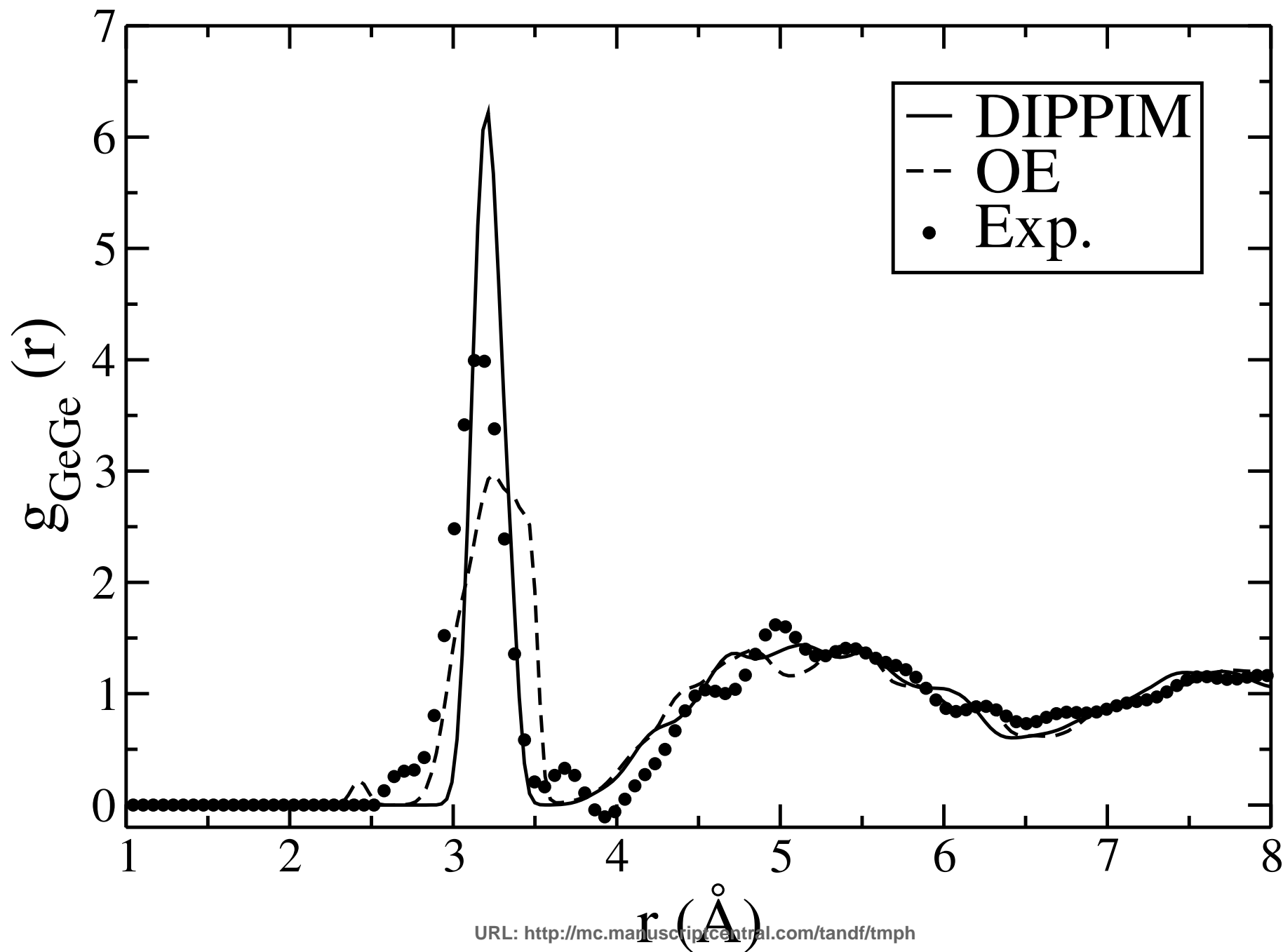
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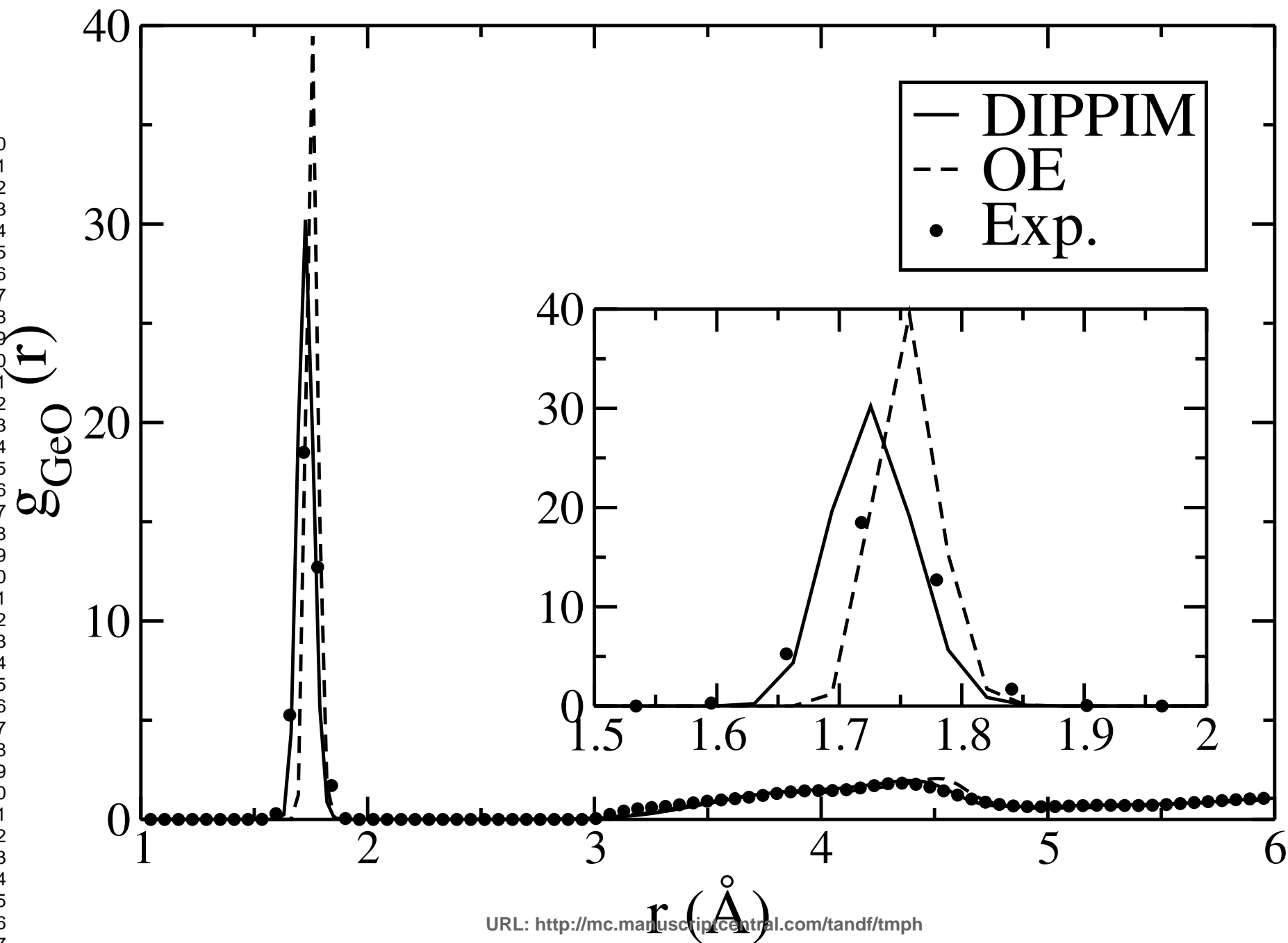
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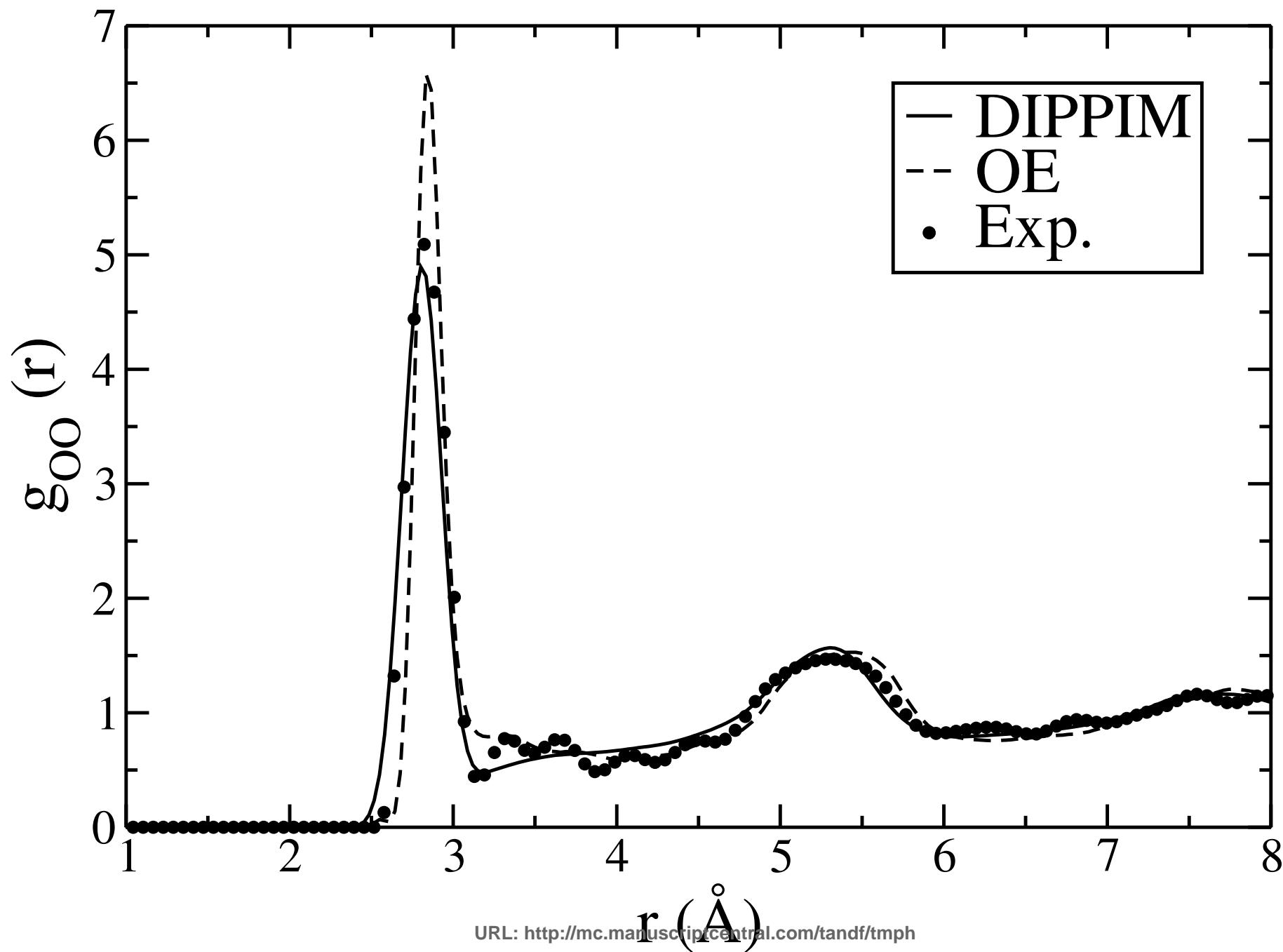




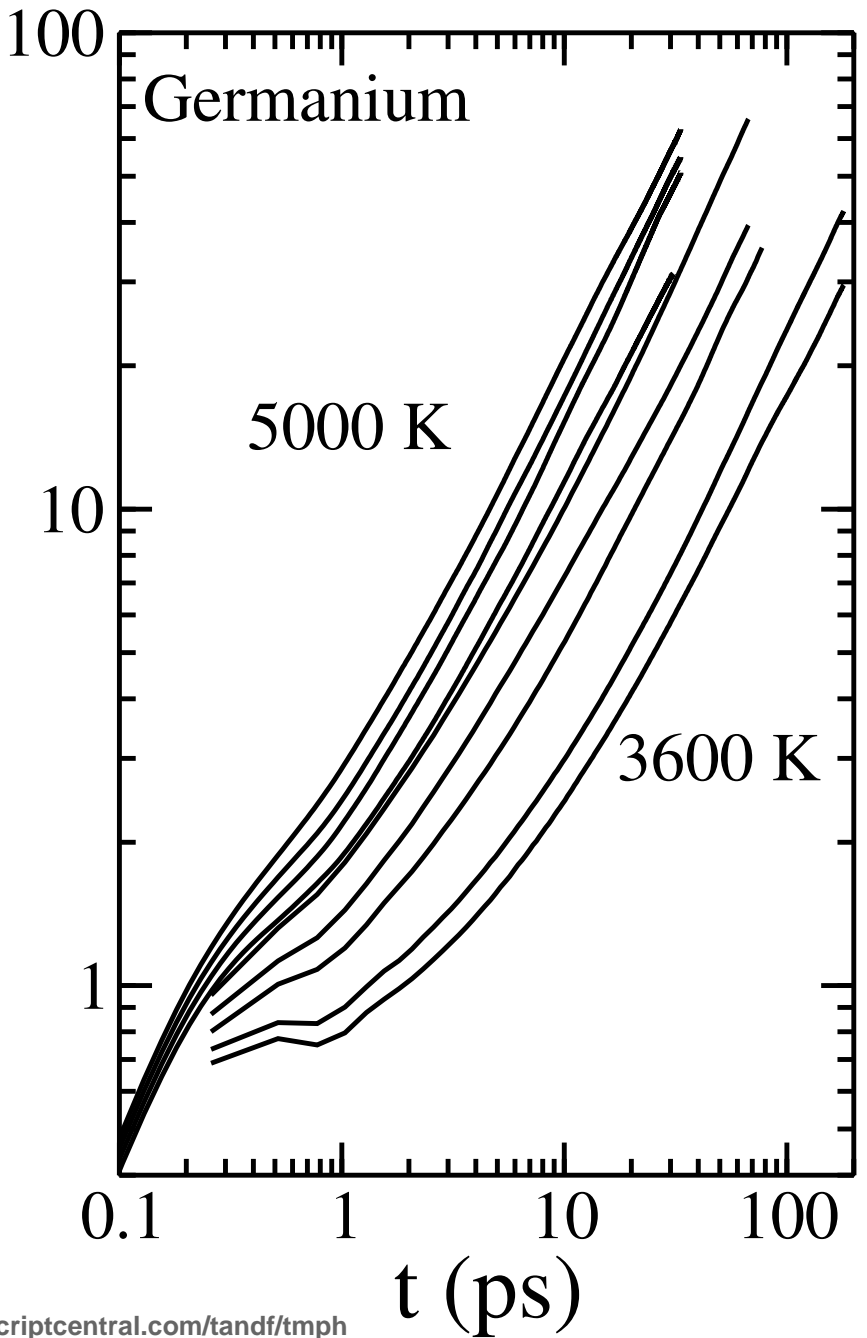
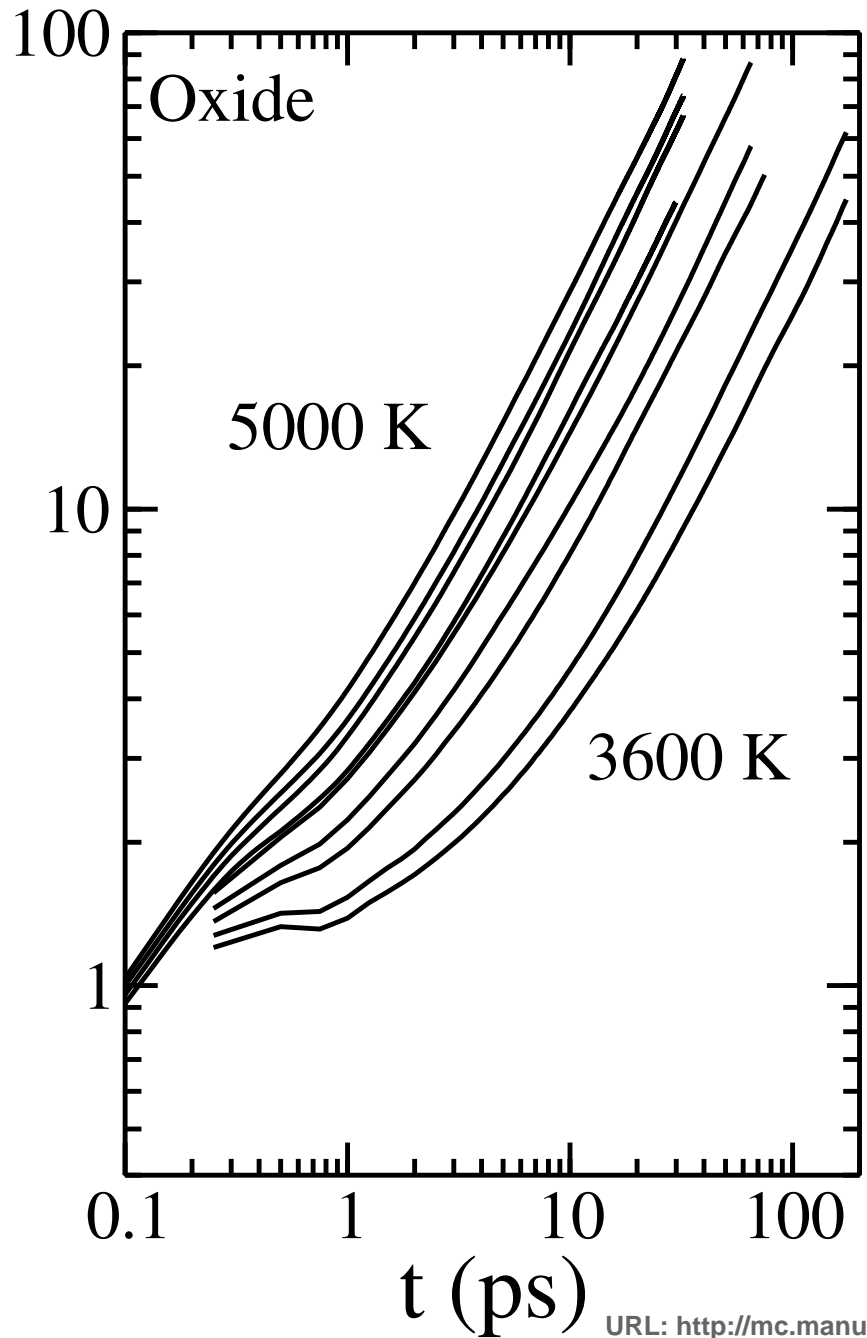


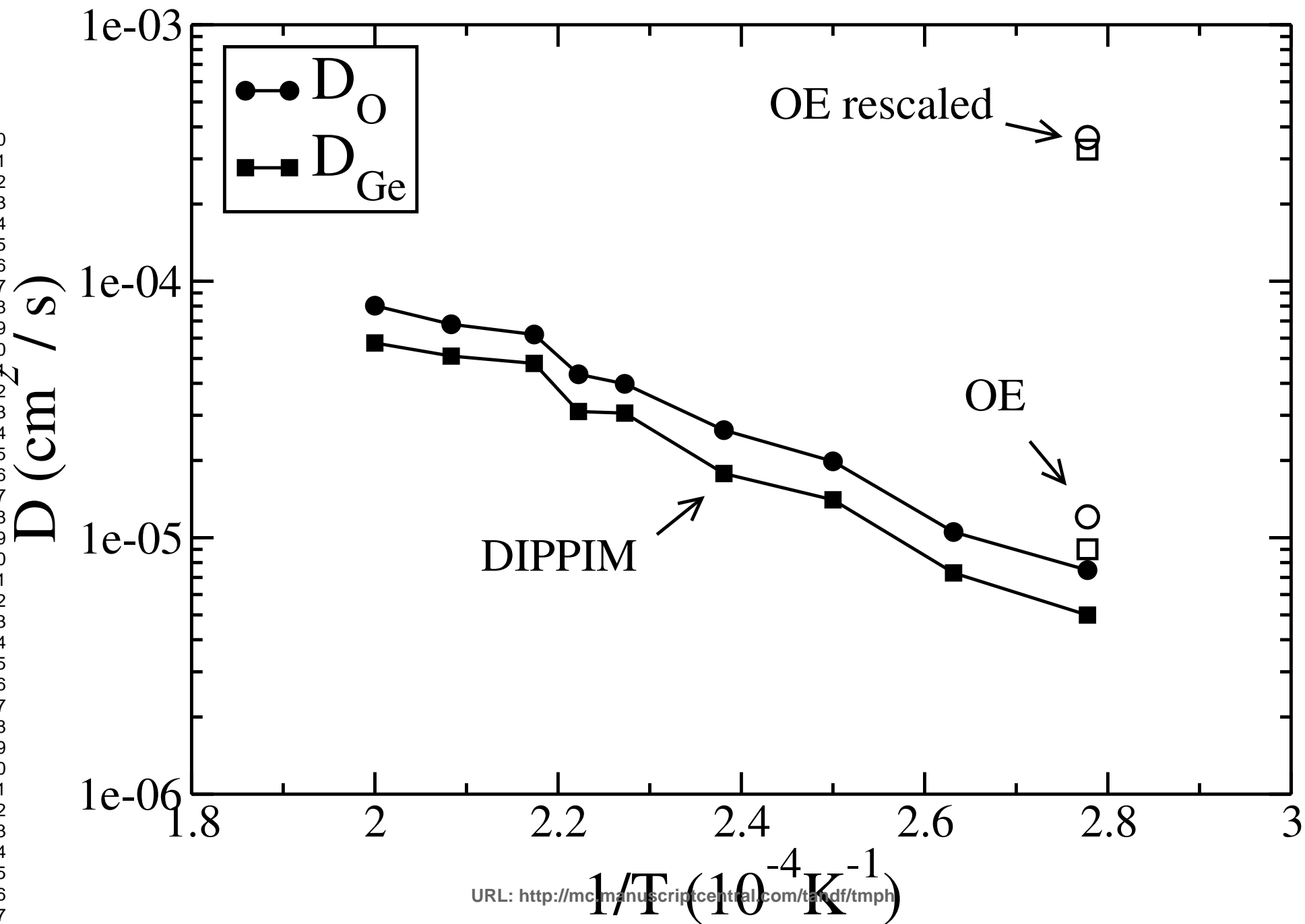


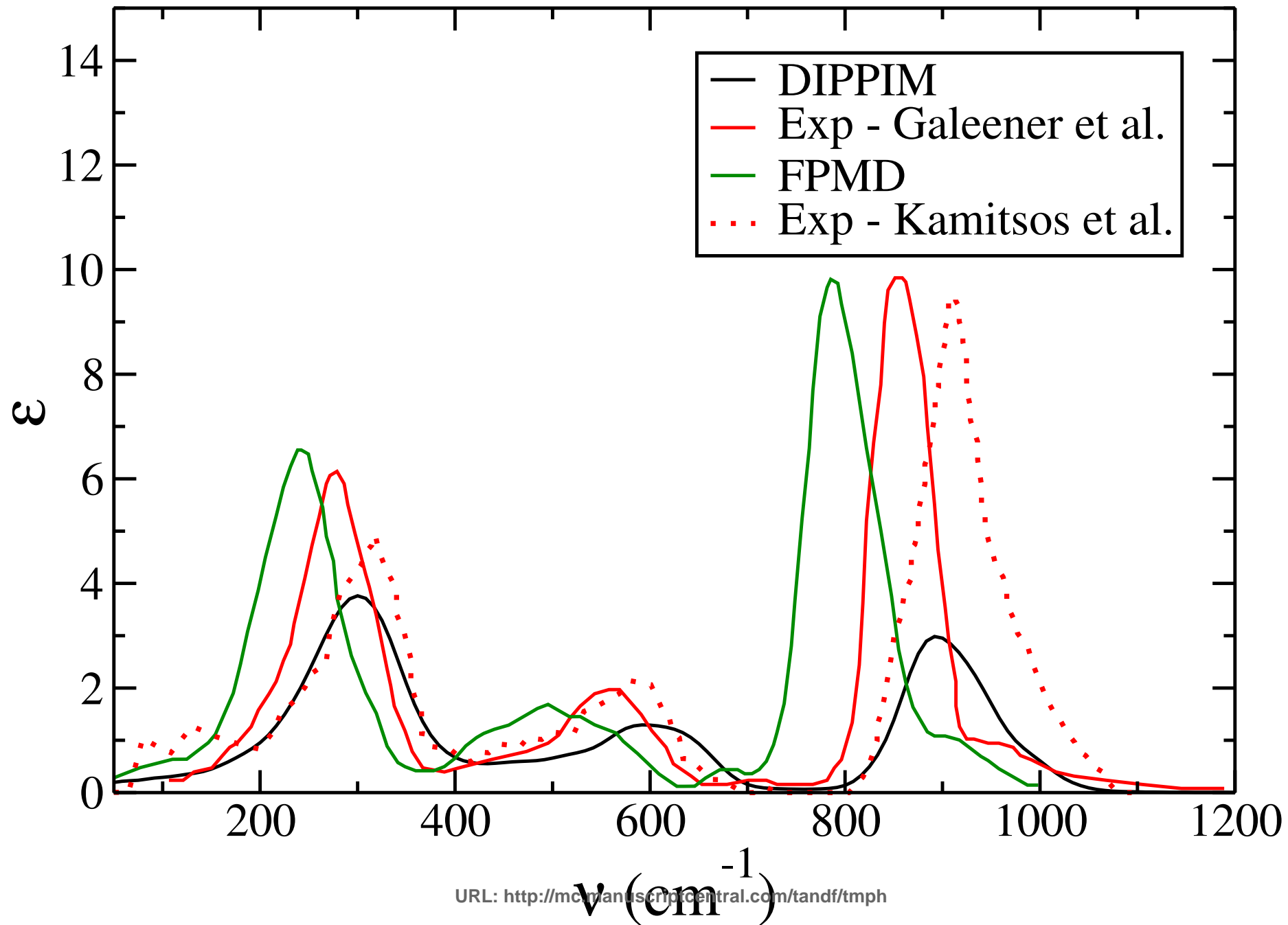
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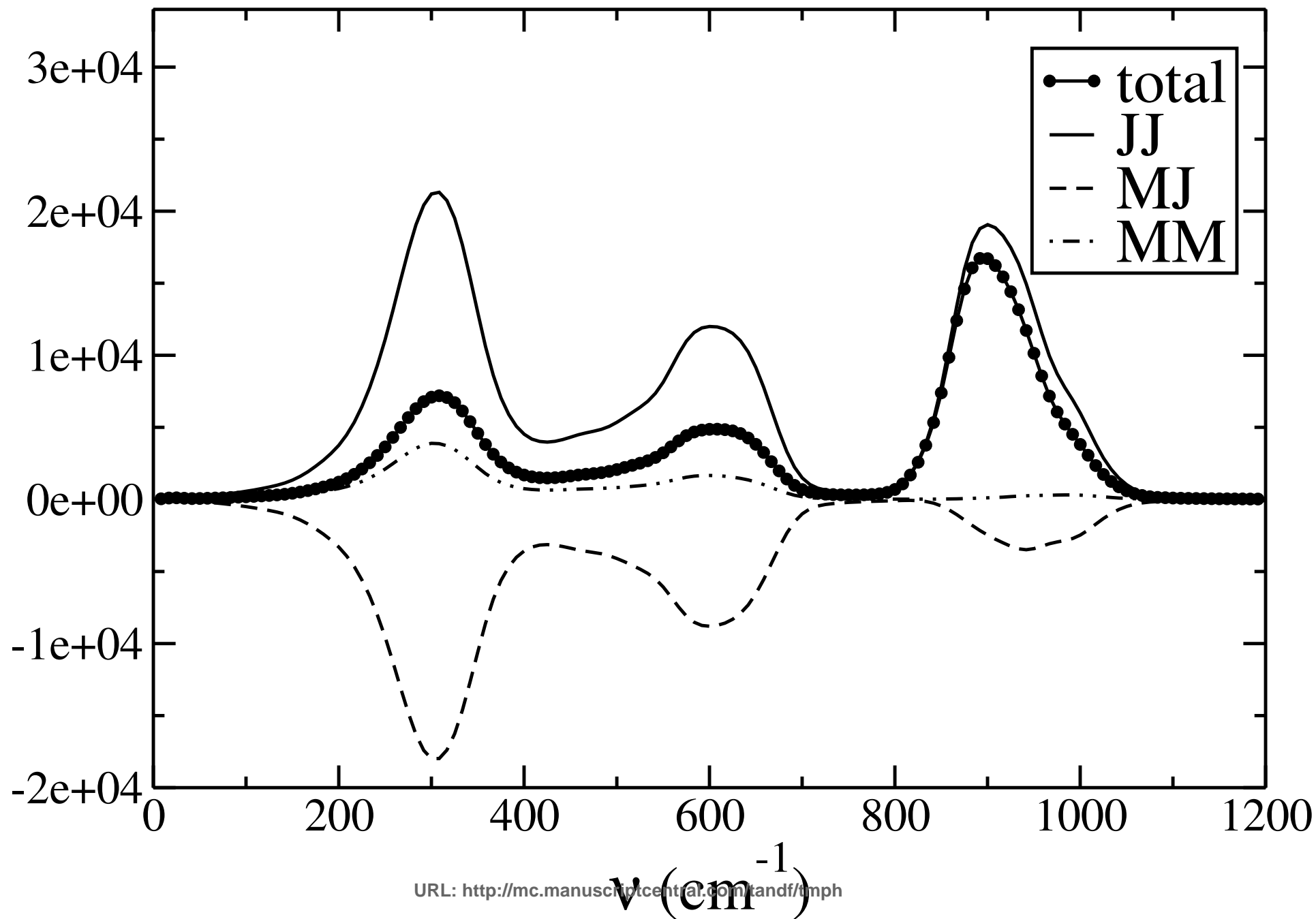


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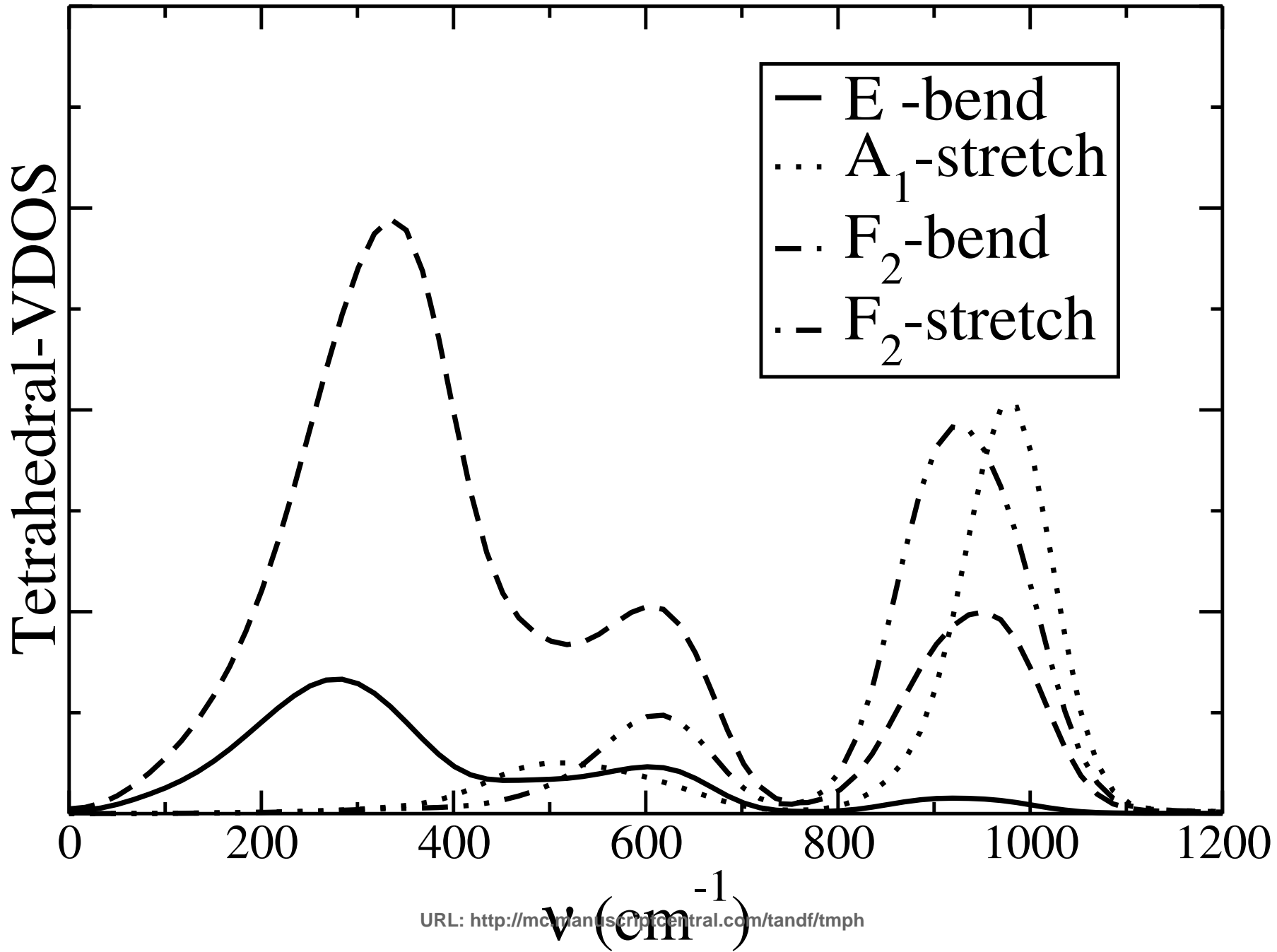


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