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# Generalized transition waves and their properties

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## Abstract

In this paper, we generalize the usual notions of waves, fronts and propagation speeds in a very general setting. These new notions, which cover all classical situations, involve uniform limits, with respect to the geodesic distance, to a family of hypersurfaces which are parametrized by time. We prove the existence of new such waves for some time-dependent reaction-diffusion equations, as well as general intrinsic properties, some monotonicity properties and some uniqueness results for almost planar fronts. The classification results, which are obtained under some appropriate assumptions, show the robustness of our general definitions.

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# 1 Introduction and main results

We first introduce the general notions of transition waves and fronts. We then give some standard and new examples of such waves. Lastly, we state some of their qualitative properties.

## 1.1 Definitions of generalized transition waves, global mean speed and further specifications

Travelling fronts describing the transition between two different states are a special important class of time-global solutions of evolution partial differential equations. One of the simplest examples is concerned with the homogeneous scalar semilinear parabolic equation

$$u_t = \Delta u + f(u) \text{ in } \mathbb{R}^N, \tag{1.1}$$

where  $u = u(t, x)$  and  $\Delta$  is the Laplace operator with respect to the spatial variables in  $\mathbb{R}^N$ . In this case, assuming  $f(0) = f(1) = 0$ , a planar traveling front connecting the uniform steady states 0 and 1 is a solution of the type

$$u(t, x) = \phi(x \cdot e - ct)$$

such that  $\phi : \mathbb{R} \rightarrow [0, 1]$  satisfies  $\phi(-\infty) = 1$  and  $\phi(+\infty) = 0$ . Such a solution propagates in a given unit direction  $e$  with the speed  $c$ . Existence and possible uniqueness of such fronts, formulæ for the speed(s) of propagation are well-known [1, 12, 25] and depend upon the profile of the function  $f$  on  $[0, 1]$ .

In this paper, we generalize the standard notion of traveling fronts. That will allow us to consider new situations, that is new geometries or more complex equations. We provide explicit examples of new types of waves and we prove some qualitative properties. Although the definitions given below hold for general evolution equations (see Section 1.4), we mainly

focus on parabolic problems, that is we consider reaction-diffusion-advection equations, or systems of equations, of the type

$$\begin{cases} u_t = \nabla_x \cdot (A(t, x) \nabla_x u) + q(t, x) \cdot \nabla_x u + f(t, x, u) \text{ in } \Omega, \\ g[t, x, u] = 0 \text{ on } \partial\Omega, \end{cases} \quad (1.2)$$

where the unknown function  $u$ , defined in  $\mathbb{R} \times \overline{\Omega}$ , is in general a vector field

$$u = (u_1, \dots, u_m) \in \mathbb{R}^m$$

and  $\Omega$  is a globally smooth non-empty open connected subset of  $\mathbb{R}^N$  with outward unit normal vector field  $\nu$ . By globally smooth, we mean that there exists  $\beta > 0$  such that  $\Omega$  is globally of class  $C^{2,\beta}$ , that is there exist  $r_0 > 0$  and  $M > 0$  such that, for all  $y \in \partial\Omega$ , there is a rotation  $R_y$  of  $\mathbb{R}^N$  and there is a  $C^{2,\beta}$  map  $\phi_y : \overline{B}_{2r_0}^{N-1} \rightarrow \mathbb{R}$  such that  $\phi_y(0) = 0$ ,  $\|\phi_y\|_{C^{2,\beta}(\overline{B}_{2r_0}^{N-1})} \leq M$  and

$$\Omega \cap B(y, r_0) = \left[ y + R_y \left( \{x \in \mathbb{R}^N; (x_1, \dots, x_{N-1}) \in \overline{B}_{2r_0}^{N-1}, \phi_y(x_1, \dots, x_{N-1}) < x_N\} \right) \right] \cap B(y, r_0),$$

where  $B(y, r_0) = \{x \in \mathbb{R}^N; |x - y| < r_0\}$ ,  $|\cdot|$  denotes the Euclidean norm in  $\mathbb{R}^N$  and, for any  $s > 0$ ,  $\overline{B}_s^{N-1}$  is the closed Euclidean ball of  $\mathbb{R}^{N-1}$  with center 0 and radius  $s$  (notice in particular that  $\mathbb{R}^N$  is globally smooth).

Let us now list the general assumptions on the coefficients of (1.2). The diffusion matrix field

$$(t, x) \mapsto A(t, x) = (a_{ij}(t, x))_{1 \leq i, j \leq N}$$

is assumed to be of class  $C^{1,\beta}(\mathbb{R} \times \overline{\Omega})$  and there exist  $0 < \alpha_1 \leq \alpha_2$  such that

$$\alpha_1 |\xi|^2 \leq a_{ij}(t, x) \xi_i \xi_j \leq \alpha_2 |\xi|^2 \text{ for all } (t, x) \in \mathbb{R} \times \overline{\Omega} \text{ and } \xi \in \mathbb{R}^N,$$

under the usual summation convention of repeated indices. The vector field

$$(t, x) \mapsto q(t, x)$$

ranges in  $\mathbb{R}^N$  and is of class  $C^{0,\beta}(\mathbb{R} \times \overline{\Omega})$ . The function  $f : \mathbb{R} \times \overline{\Omega} \times \mathbb{R}^m \rightarrow \mathbb{R}^m$

$$(t, x, u) \mapsto f(t, x, u)$$

is assumed to be of class  $C^{0,\beta}$  in  $(t, x)$  locally in  $u \in \mathbb{R}^m$ , and locally Lipschitz-continuous in  $u$ , uniformly with respect to  $(t, x) \in \mathbb{R} \times \overline{\Omega}$ . Lastly, the boundary conditions

$$g[t, x, u] = 0 \text{ on } \partial\Omega$$

may for instance be of the Dirichlet, Neumann, Robin or tangential types, or may be non-linear or heterogeneous as well. The notation  $g[t, x, u] = 0$  means that this condition may involve not only  $u(t, x)$  itself but also other quantities depending on  $u$ , like its derivatives for instance.

Throughout the paper,  $d_\Omega$  denotes the geodesic distance in  $\overline{\Omega}$ , that is, for every pair  $(x, y) \in \overline{\Omega} \times \overline{\Omega}$ ,  $d_\Omega(x, y)$  is the infimum of the arc lengths of all  $C^1$  curves joining  $x$  to  $y$  in  $\overline{\Omega}$ . We assume that  $\Omega$  has an infinite diameter with respect to the geodesic distance  $d_\Omega$ , that is  $\text{diam}_\Omega(\Omega) = +\infty$ , where

$$\text{diam}_\Omega(E) = \sup \{d_\Omega(x, y); (x, y) \in E \times E\}$$

for any  $E \subset \Omega$ . For any two subsets  $A$  and  $B$  of  $\overline{\Omega}$ , we set

$$d_\Omega(A, B) = \inf \{d_\Omega(x, y); (x, y) \in A \times B\}.$$

For  $x \in \overline{\Omega}$  and  $r > 0$ , we set

$$B_\Omega(x, r) = \{y \in \overline{\Omega}; d_\Omega(x, y) < r\} \quad \text{and} \quad S_\Omega(x, r) = \{y \in \overline{\Omega}; d_\Omega(x, y) = r\}.$$

The following definition of a generalized transition wave involves two families  $(\Omega_t^-)_{t \in \mathbb{R}}$  and  $(\Omega_t^+)_{t \in \mathbb{R}}$  of open nonempty and unbounded subsets of  $\Omega$  such that

$$\left\{ \begin{array}{l} \Omega_t^- \cap \Omega_t^+ = \emptyset, \\ \partial\Omega_t^- \cap \Omega = \partial\Omega_t^+ \cap \Omega =: \Gamma_t, \\ \Omega_t^- \cup \Gamma_t \cup \Omega_t^+ = \Omega, \\ \sup \{d_\Omega(x, \Gamma_t); x \in \Omega_t^+\} = +\infty, \\ \sup \{d_\Omega(x, \Gamma_t); x \in \Omega_t^-\} = +\infty, \end{array} \right. \quad (1.3)$$

for all  $t \in \mathbb{R}$ . In other words,  $\Gamma_t$  splits  $\Omega$  into two parts, namely  $\Omega_t^-$  and  $\Omega_t^+$  (see Figure 1.1 below). The unboundedness of the sets  $\Omega_t^\pm$  means that these sets have infinite diameters with respect to geodesic distance  $d_\Omega$ . Moreover, for each  $t \in \mathbb{R}$ , these sets are assumed to contain points which are as far as wanted from the interface  $\Gamma_t$ . We further impose that, in dimension  $N = 1$ ,  $\Gamma_t$  is a singleton  $\Gamma_t = \{x_t\}$  for each  $t \in \mathbb{R}$ , and that, in dimensions  $N \geq 2$ , the interfaces  $\Gamma_t$  are made of a finite number of graphs. Namely, when  $N \geq 2$ , we require that there is an integer  $n \geq 1$  such that, for each  $t \in \mathbb{R}$ , there are  $n$  open subsets  $\omega_{i,t} \subset \mathbb{R}^{N-1}$ ,  $n$  continuous maps  $\psi_{i,t} : \omega_{i,t} \rightarrow \mathbb{R}$  and  $n$  rotations  $R_{i,t}$  of  $\mathbb{R}^N$  (for all  $1 \leq i \leq n$ ), such that

$$\Gamma_t \subset \bigcup_{1 \leq i \leq n} R_{i,t}(\{x \in \mathbb{R}^N; (x_1, \dots, x_{N-1}) \in \omega_{i,t}, x_N = \psi_{i,t}(x_1, \dots, x_{N-1})\}). \quad (1.4)$$

**Definition 1.1** (Generalized transition wave) *Let  $p^\pm : \mathbb{R} \times \overline{\Omega} \rightarrow \mathbb{R}^m$  be two classical solutions of (1.2). A (generalized) transition wave connecting  $p^-$  and  $p^+$  is a time-global classical<sup>1</sup> solution  $u$  of (1.2) such that  $u \not\equiv p^\pm$  and there exist some sets  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$  satisfying (1.3) and (1.4) with*

$$u(t, x) - p^\pm(t, x) \rightarrow 0 \text{ uniformly in } t \in \mathbb{R} \text{ as } d_\Omega(x, \Gamma_t) \rightarrow +\infty \text{ and } x \in \overline{\Omega_t^\pm},$$

that is, for all  $\varepsilon > 0$ , there exists  $M$  such that

$$\forall t \in \mathbb{R}, \forall x \in \overline{\Omega_t^\pm}, \quad (d_\Omega(x, \Gamma_t) \geq M) \implies (|u(t, x) - p^\pm(t, x)| \leq \varepsilon).$$

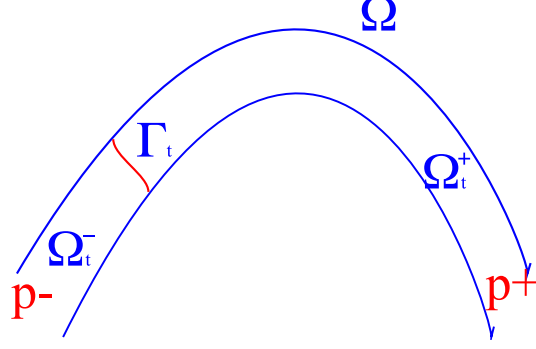


Figure 1: A schematic picture of the sets  $\Omega_t^\pm$  and  $\Gamma_t$

In Definition 1.1, a central role is played by the uniformity of the limits

$$u(t, x) - p^\pm(t, x) \rightarrow 0.$$

These limits hold far away from the hypersurfaces  $\Gamma_t$  inside  $\Omega$ . To make the definition meaningful, the distance which is used is the distance geodesic  $d_\Omega$ . It is the right notion to fit with the geometry of the underlying domain. Furthermore, it is necessary to describe the propagation of transition waves in domains such as curved cylinders (like in Figure 1.1), spiral-shaped domains, exterior domains, etc.

Notice that the sets  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$  are not uniquely determined, given a generalized transition wave. Nevertheless, in the scalar case, under some assumptions on  $p^\pm$  and  $\Omega_t^\pm$  and oblique Neumann boundary conditions on  $\partial\Omega$ , the sets  $\Gamma_t$  somehow reflect the location of the level sets of  $u$ . Namely, one has:

**Theorem 1.2** *Assume that  $m = 1$  (scalar case), that  $p^\pm$  are constant solutions of (1.2) such that  $p^- < p^+$  and let  $u$  be a time-global classical solution of (1.2) such that*

$$\{u(t, x); (t, x) \in \mathbb{R} \times \bar{\Omega}\} = (p^-, p^+)$$

and

$$g[t, x, u] = \mu(t, x) \cdot \nabla_x u(t, x) = 0 \quad \text{on } \mathbb{R} \times \partial\Omega,$$

for some unit vector field  $\mu \in C^{0,\beta}(\mathbb{R} \times \partial\Omega)$  such that

$$\inf \{ \mu(t, x) \cdot \nu(x); (t, x) \in \mathbb{R} \times \partial\Omega \} > 0.^2$$

1. Assume that  $u$  is a generalized transition wave connecting  $p^-$  and  $p^+$ , or  $p^+$  and  $p^-$ , that there exists  $\tau > 0$  such that

$$\sup \{ d_\Omega(x, \Gamma_{t-\tau}); t \in \mathbb{R}, x \in \Gamma_t \} < +\infty, \quad (1.5)$$

<sup>1</sup>Actually, from standard parabolic interior estimates, any classical solution of (1.2) is such that  $u, u_t, u_{x_i}$  and  $u_{x_i x_j}$ , for all  $1 \leq i, j \leq N$ , are locally Hölder continuous in  $\mathbb{R} \times \Omega$ .

<sup>2</sup>Therefore,  $u$  and its derivatives  $u_t, u_{x_i}$  and  $u_{x_i x_j}$ , for all  $1 \leq i, j \leq N$ , are bounded and globally Hölder continuous in  $\mathbb{R} \times \bar{\Omega}$ .

and that

$$\sup \{d_\Omega(y, \Gamma_t); y \in \overline{\Omega_t^\pm} \cap S_\Omega(x, r)\} \rightarrow +\infty \text{ as } r \rightarrow +\infty \text{ uniformly in } t \in \mathbb{R}, x \in \Gamma_t. \quad (1.6)$$

Then

(i) for all  $\lambda \in (p^-, p^+)$ ,

$$\sup \{d_\Omega(x, \Gamma_t); u(t, x) = \lambda\} < +\infty \quad (1.7)$$

and

(ii) for all  $C \geq 0$ ,

$$p^- < \inf \{u(t, x); d_\Omega(x, \Gamma_t) \leq C\} \leq \sup \{u(t, x); d_\Omega(x, \Gamma_t) \leq C\} < p^+. \quad (1.8)$$

2. Conversely, if (i) and (ii) hold for some choices of sets  $(\Omega_t^\pm, \Gamma_t)_{t \in \mathbb{R}}$  satisfying (1.3) and (1.4), and if there is  $d_0 > 0$  such that the sets

$$\{(t, x) \in \mathbb{R} \times \overline{\Omega}; x \in \overline{\Omega_t^+}, d_\Omega(x, \Gamma_t) \geq d\}$$

and

$$\{(t, x) \in \mathbb{R} \times \overline{\Omega}; x \in \overline{\Omega_t^-}, d_\Omega(x, \Gamma_t) \geq d\}$$

are connected for all  $d \geq d_0$ , then  $u$  is a generalized transition wave connecting  $p^-$  and  $p^+$ , or  $p^+$  and  $p^-$ .

The assumption (1.5) means that the interfaces  $\Gamma_t$  and  $\Gamma_{t-\tau}$  are in some sense not too far from each other. For instance, if all  $\Gamma_t$  are parallel hyperplanes in  $\Omega = \mathbb{R}^N$ , then the assumption (1.5) means that the distance between  $\Gamma_t$  and  $\Gamma_{t-\tau}$  is bounded independently of  $t$ , for some  $\tau > 0$ . The exact meaning of property (1.6) is that

$$\begin{cases} \inf \{ \sup \{d_\Omega(y, \Gamma_t); y \in \overline{\Omega_t^+} \cap S_\Omega(x, r)\}; t \in \mathbb{R}, x \in \Gamma_t \} \rightarrow +\infty \\ \inf \{ \sup \{d_\Omega(y, \Gamma_t); y \in \overline{\Omega_t^-} \cap S_\Omega(x, r)\}; t \in \mathbb{R}, x \in \Gamma_t \} \rightarrow +\infty \end{cases} \text{ as } r \rightarrow +\infty$$

and it reflects the fact that the interfaces  $\Gamma_t$  are somehow not too curved, uniformly with respect to  $t \in \mathbb{R}$ . As far as the connectedness assumptions made in part 2 of Theorem 1.2 are concerned, there are a technical tool in the proof, to guarantee the uniform convergence of  $u$  to  $p^\pm$  or  $p^\mp$  far away from  $\Gamma_t$  in  $\Omega_t^\pm$ .

One can now define more specific notions of fronts, pulses, invasions (or traveling waves) and almost planar waves. These notions are related to some properties of the limiting states  $p^\pm$  or of the sets  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$ , and are listed in the following definitions, where  $u$  denotes a transition wave connecting  $p^-$  and  $p^+$ , associated to two families  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$ , in the sense of Definition 1.1.

**Definition 1.3** (Fronts and spatially extended pulses) *Let  $p^\pm = (p_1^\pm, \dots, p_m^\pm)$ . We say that the transition wave  $u$  is a front if, for each  $1 \leq k \leq m$ , either*

$$\inf \{p_k^+(t, x) - p_k^-(t, x); x \in \overline{\Omega}\} > 0 \text{ for all } t \in \mathbb{R}$$

or

$$\inf \{p_k^-(t, x) - p_k^+(t, x); x \in \bar{\Omega}\} > 0 \text{ for all } t \in \mathbb{R}.$$

The transition wave  $u$  is a spatially extended pulse if  $p^\pm$  depend only on  $t$  and  $p^-(t) = p^+(t)$  for all  $t \in \mathbb{R}$ .

In the scalar case ( $m = 1$ ), our definition of a front corresponds to the natural extension of the usual notion of a front connecting two different constants. In the pure vector case ( $m \geq 2$ ), if a bounded  $C^{0,\beta}(\mathbb{R} \times \bar{\Omega})$  transition wave  $u = (u_1, \dots, u_m)$  is a front for problem

$$u_t = \nabla_x \cdot (A(t, x) \nabla_x u) + q(t, x) \cdot \nabla_x u + f(t, x, u)$$

in the sense of Definitions 1.1 and 1.3, if  $u_k \not\equiv p_k^\pm$  for some  $1 \leq k \leq m$ , then the function  $u_k$  is a front connecting  $p_k^-$  and  $p_k^+$  for the problem

$$(u_k)_t = \nabla_x \cdot (A(t, x) \nabla_x u_k) + q(t, x) \cdot \nabla_x u_k + \tilde{f}_k(t, x, u_k)$$

associated with the same sets  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$  as  $u$ , where

$$\tilde{f}_k(t, x, s) = f(t, x, u_1(t, x), \dots, u_{k-1}(t, x), s, u_{k+1}(t, x), \dots, u_m(t, x))$$

and  $f = (f_1, \dots, f_m)$ . The same observation is valid for spatially extended pulses as well.

**Definition 1.4** (Invasions) *We say that  $p^+$  invades  $p^-$ , or that  $u$  is an invasion of  $p^-$  by  $p^+$  (resp.  $p^-$  invades  $p^+$ , or  $u$  is an invasion of  $p^+$  by  $p^-$ ) if*

$$\Omega_t^+ \supset \Omega_s^+ \text{ (resp. } \Omega_t^- \supset \Omega_s^-) \text{ for all } t \geq s$$

and

$$d_\Omega(\Gamma_t, \Gamma_s) \rightarrow +\infty \text{ as } |t - s| \rightarrow +\infty.$$

Therefore, if  $p^+$  invades  $p^-$  (resp.  $p^-$  invades  $p^+$ ), then  $u(t, x) - p^\pm(t, x) \rightarrow 0$  as  $t \rightarrow \pm\infty$  (resp. as  $t \rightarrow \mp\infty$ ) locally uniformly in  $\bar{\Omega}$  with respect to the distance  $d_\Omega$ . One can then say that, roughly speaking, invasions correspond to the usual idea of traveling waves. Notice that a generalized transition wave can always be viewed as a spatial connection between  $p^-$  and  $p^+$ , while an invasion wave can also be viewed as a temporal connection between the limiting states  $p^-$  and  $p^+$ .

**Definition 1.5** (Almost planar waves in the direction  $e$ ) *We say that the generalized transition wave  $u$  is almost planar (in the direction  $e \in \mathbb{S}^{N-1}$ ) if, for all  $t \in \mathbb{R}$ , the sets  $\Omega_t^\pm$  can be chosen so that*

$$\Gamma_t = \{x \in \Omega; x \cdot e = \xi_t\}$$

for some  $\xi_t \in \mathbb{R}$ .



By extension, we say that the generalized transition wave  $u$  is *almost planar* in a moving direction  $e(t) \in \mathbb{S}^{N-1}$  if, for all  $t \in \mathbb{R}$ ,  $\Omega_t^\pm$  can be chosen so that

$$\Gamma_t = \{x \in \Omega; x \cdot e(t) = \xi_t\}$$

for some  $\xi_t \in \mathbb{R}$ .

As in the usual cases (see Section 1.2), an important notion which is attached to a generalized transition wave is that of its global mean speed of propagation, if any.

**Definition 1.6** (Global mean speed of propagation) *We say that a generalized transition wave  $u$  associated to the families  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$  has global mean speed  $c$  ( $\geq 0$ ) if*

$$\frac{d_\Omega(\Gamma_t, \Gamma_s)}{|t - s|} \rightarrow c \quad \text{as } |t - s| \rightarrow +\infty.$$

*We say that the transition wave  $u$  is almost-stationary if it has global mean speed  $c = 0$ . We say that  $u$  is quasi-stationary if*

$$\sup \{d_\Omega(\Gamma_t, \Gamma_s); (t, s) \in \mathbb{R}^2\} < +\infty,$$

*and we say that  $u$  is stationary if it does not depend on  $t$ .*

The global mean speed  $c$ , if it exists, is unique. Moreover, under some reasonable assumptions, the global mean speed is an intrinsic notion, in the sense that it does not depend on the families  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$ . This is indeed seen in the following result:

**Theorem 1.7** *In the general vectorial case  $m \geq 1$ , let  $p^\pm$  be two solutions of (1.2) satisfying*

$$\inf \{|p^-(t, x) - p^+(t, x)|; (t, x) \in \mathbb{R} \times \bar{\Omega}\} > 0.$$

*Let  $u$  be a transition wave connecting  $p^-$  and  $p^+$  with a choice of sets  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$  satisfying (1.3), (1.4) and (1.6). If  $u$  has global mean speed  $c$ , then, for any other choice of sets  $(\tilde{\Omega}_t^\pm)_{t \in \mathbb{R}}$  and  $(\tilde{\Gamma}_t)_{t \in \mathbb{R}}$  satisfying (1.3), (1.4) and (1.6),  $u$  has a global mean speed and this global mean speed is equal to  $c$ .*

## 1.2 Classical cases and new examples

In this subsection, we list some basic examples of transition waves, which correspond to the classical notions in the standard situations. We also state the existence of new examples of transition fronts in a time-dependent medium.

For the homogeneous equation (1.1) in  $\mathbb{R}^N$ , a solution

$$u(t, x) = \phi(x \cdot e - ct),$$

with  $\phi(-\infty) = 1$  and  $\phi(+\infty) = 0$  (assuming  $f(0) = f(1) = 0$ ) is an (almost) planar invasion front connecting  $p^- = 1$  and  $p^+ = 0$ , with (global mean) speed  $|c|$ . The uniform stationary

state  $p^- = 1$  (resp.  $p^+ = 0$ ) invades the uniform stationary  $p^+ = 0$  (resp.  $p^- = 1$ ) if  $c > 0$  (resp.  $c < 0$ ). The sets  $\Omega_t^\pm$  can for instance be defined as

$$\Omega_t^\pm = \{x \in \mathbb{R}^N; \pm(x \cdot e - ct) > 0\}.$$

The general definitions that we just gave also generalize the classical notions of pulsating traveling fronts in spatially periodic media (see [2, 5, 6, 8, 16, 19, 23, 39, 43, 44]), with possible periodicity or almost-periodicity in time (see [14, 30, 32, 34, 35, 36, 37]) or in spatially almost-periodic media (see [27]).

In the particular one-dimensional case, when equation (1.2) is scalar and when the limiting states  $p^\pm$  are ordered, say  $p^+ > p^-$ , Definition 1.1 corresponds to that of “wave-like” solutions given in [38]. However, Definition 1.1 also includes more general situations involving complex heterogeneous geometries or media. Existence, uniqueness and stability results of generalized almost planar transition fronts in one-dimensional media or straight higher-dimensional cylinders with combustion-type nonlinearities and arbitrary spatial dependence have just been proved in [28, 29, 33, 45]. In general higher-dimensional domains, generalized transition waves which are not almost planar can also be covered by Definition 1.1: such transition waves are known to exist for the homogeneous equation (1.1) in  $\mathbb{R}^N$  for usual types of nonlinearities  $f$  (combustion, bistable, Kolmogorov-Petrovsky-Piskunov type), see [3, 10, 17, 18, 21, 22, 31, 40, 41] for details. Further on, other situations can also be investigated, such as the case when some coefficients of (1.2) are locally perturbed and more complex geometries, like exterior domains (the existence of almost planar fronts in exterior domains with bistable nonlinearity  $f$  has just been proved in [4]), curved cylinders, spirals, etc can be considered.

It is worth mentioning that, even in dimension 1, Definition 1.1 also includes a very interesting class of transition wave solutions which are known to exist and which do not fall within the usual notions, that is invasion fronts which have no specified global mean speed. For instance, for (1.1) in dimension  $N = 1$ , if  $f = f(u)$  satisfies

$$f \text{ is } C^2 \text{ concave in } [0, 1], \text{ positive in } (0, 1) \text{ and } f(0) = f(1) = 0, \quad (1.9)$$

then there are invasion fronts connecting 0 and 1 for which  $\Omega_t^- = (x_t, +\infty)$ ,  $\Omega_t^+ = (-\infty, x_t)$  and

$$\frac{x_t}{t} \rightarrow c_1 \text{ as } t \rightarrow -\infty \text{ and } \frac{x_t}{t} \rightarrow c_2 \text{ as } t \rightarrow +\infty$$

with  $2\sqrt{f'(0)} \leq c_1 < c_2$  (see [18]). There are also some fronts for which  $x_t/t \rightarrow c_1 \geq 2\sqrt{f'(0)}$  as  $t \rightarrow -\infty$  and  $x_t/t \rightarrow +\infty$  as  $t \rightarrow +\infty$ . For further details, we refer to [3, 18].

In this paper, in order to illustrate the interest of the above definitions, we also analyse a specific example and we prove the existence of new generalized transition waves, which in general do not have any global mean speed, for a time-dependent equation. Namely, we consider one-dimensional reaction-diffusion equations of the type

$$u_t = u_{xx} + f(t, u) \quad (1.10)$$

where the function  $f : \mathbb{R} \times [0, 1] \rightarrow \mathbb{R}$  is of class  $C^1$  and satisfies:

$$\left\{ \begin{array}{l} \forall t \in \mathbb{R}, \quad f(t, 0) = f(t, 1) = 0, \\ \forall (t, s) \in \mathbb{R} \times [0, 1], \quad f(t, s) \geq 0, \\ \exists t_1 < t_2 \in \mathbb{R}, \exists f_1, f_2 \in C^1([0, 1]; \mathbb{R}), \\ \quad \forall (t, s) \in (-\infty, t_1] \times [0, 1], \quad f(t, s) = f_1(s), \\ \quad \forall (t, s) \in [t_2, +\infty) \times [0, 1], \quad f(t, s) = f_2(s), \\ \quad f_1'(0) > 0, \quad f_2'(0) > 0, \\ \quad \forall s \in (0, 1), \quad f_1(s) > 0, \quad f_2(s) > 0. \end{array} \right. \quad (1.11)$$

In other words, the function  $f$  is time-independent and non-degenerate at 0 for times less than  $t_1$  and larger than  $t_2$ , and for the times  $t \in (t_1, t_2)$ , the functions  $f(t, \cdot)$  are just assumed to be nonnegative, but they may a priori vanish. If  $f_1$  and  $f_2$  are equal, then the nonlinearity  $f(t, s)$  can be viewed as a time-local perturbation of a time-independent equation. But, it is worth noticing that the functions  $f_1$  and  $f_2$  are not assumed to be equal nor even compared in general. When  $t \leq t_1$ , classical traveling fronts

$$(t, x) \in \mathbb{R}^2 \mapsto \varphi_{1,c}(x - ct) \in [0, 1]$$

such that  $\varphi_{1,c}(-\infty) = 1$  and  $\varphi_{1,c}(+\infty) = 0$  are known to exist, for all and only all speeds  $c \geq c_1^*$ , where  $c_1^* \geq 2\sqrt{f_1'(0)} > 0$  only depends on  $f_1$  (see e.g. [1]). The open questions are to know how these traveling fronts behave during the time interval  $[t_1, t_2]$  and whether they can subsist and at which speed, if any, they travel after the time  $t_2$ . Indeed, it is also known that, when  $t \geq t_2$ , there exist classical traveling fronts

$$(t, x) \in \mathbb{R}^2 \mapsto \varphi_{2,c}(x - ct) \in [0, 1]$$

such that  $\varphi_{2,c}(-\infty) = 1$  and  $\varphi_{2,c}(+\infty) = 0$  for all and only all speeds  $c \geq c_2^*$ , where  $c_2^* \geq 2\sqrt{f_2'(0)} > 0$  only depends on  $f_2$ . The following result provides an answer to these questions and shows the existence of generalized transition waves connecting 0 and 1 for equation (1.10), which fall within our general definitions and do not have any global mean speed in general. To state the result, we need a few notations. For each  $c \geq c_1^*$ , we set

$$\lambda_{1,c} = \begin{cases} \frac{c_1 - \sqrt{c_1^2 - 4f_1'(0)}}{2} & \text{if } c > c_1^*, \\ \frac{c_1^* + \sqrt{c_1^{*2} - 4f_1'(0)}}{2} & \text{if } c = c_1^*. \end{cases} \quad (1.12)$$

We also denote

$$\lambda_2^{*,-} = \frac{c_2^* - \sqrt{c_2^{*2} - 4f_2'(0)}}{2}.$$

**Theorem 1.8** *For equation (1.10) under the assumption (1.11), there exist transition invasion fronts connecting  $p^- = 0$  and  $p^+ = 1$ , for which  $\Omega_t^\pm = \{x \in \mathbb{R}, \pm(x - x_t) < 0\}$ ,  $\Gamma_t = \{x_t\}$  for all  $t \in \mathbb{R}$ ,*

$$x_t = c_1 t \text{ for } t \leq t_1 \text{ and } \frac{x_t}{t} \rightarrow c_2 \text{ as } t \rightarrow +\infty,$$

where  $c_1$  is any given speed in  $[c_1^*, +\infty)$  and

$$c_2 = \begin{cases} \lambda_{1,c_1} + \frac{f_2'(0)}{\lambda_{1,c_1}} & \text{if } \lambda_{1,c_1} < \lambda_2^{*,-}, \\ c_2^* & \text{if } \lambda_{1,c_1} \geq \lambda_2^{*,-}. \end{cases} \quad (1.13)$$

When  $f_1 = f_2$ , then  $c_1^* = c_2^*$  and the transition fronts constructed in Theorem 1.8 are such that  $c_1 = c_2$ , whence they have a global mean speed  $c = c_1 = c_2$  in the sense of Definition 1.6. When  $f_1 \leq f_2$  (resp.  $f_1 \geq f_2$ ), then  $c_1^* \leq c_2^*$  (resp.  $c_1^* \geq c_2^*$ ), the inequalities  $c_1 \leq c_2$  (resp.  $c_1 \geq c_2$ ) always hold and, for  $c_1$  large enough so that  $\lambda_{1,c_1} < \lambda_2^{*,-}$ , the inequalities  $c_1 < c_2$  (resp.  $c_1 > c_2$ ) are strict if  $f_1'(0) \neq f_2'(0)$  (hence, these transition fronts do not have any global mean speed).

In the general case, acceleration and slow down may occur simultaneously, for the same equation (1.10) with the same function  $f$ , according to the starting speed  $c_1$ : for instance, there are examples of functions  $f_1$  and  $f_2$  for which

$$c_2 > c_1 \text{ for all } c_1 > c_1^*, \text{ and } c_2 < c_1 \text{ for } c_1 = c_1^*.$$

To do so, it is sufficient to choose  $f_2$  of the Kolmogorov-Petrovsky-Piskunov type, that is  $f_2(s) \leq f_2'(0)s$  in  $(0, 1)$  whence  $c_2^* = 2\sqrt{f_2'(0)} = 2\lambda_2^{*,-}$ , and to choose  $f_1$  in such a way that  $\frac{f_1'(0)}{\sqrt{2M}} < f_2'(0)$  and  $c_1^* > c_2^*$  (for instance, if  $f_2$  is chosen as above, if  $M > 0$  is such that  $\sqrt{2M} > c_2^*$  and if

$$f_1(s) \geq \frac{M}{\varepsilon} \times (1 - |x - 1 + \varepsilon|) \text{ on } [1 - 2\varepsilon, 1]$$

for  $\varepsilon > 0$  small enough, then  $c_1^* > c_2^*$  for  $\varepsilon$  small enough, see [9]).

Lastly, it is worth noticing that, in Theorem 1.8, the speed  $c_2$  of the position  $x_t$  at large time is determined only from  $c_1$ ,  $f_1$  and  $f_2$ , whatever the profile of  $f$  between times  $t_1$  and  $t_2$  may be.

**Remark 1.9** The solutions  $u$  constructed in Theorem 1.8 are by definition *spatial* transition fronts connecting 1 and 0. Furthermore, it follows from the proof given in Section 3 that these transition fronts can also be viewed as *temporal* connections between a classical traveling front with speed  $c_1$  for the nonlinearity  $f_1$  and another classical traveling front, with speed  $c_2$ , for the nonlinearity  $f_2$ .

### 1.3 Qualitative properties

We now proceed to some further qualitative properties of generalized transition waves. *Throughout this subsection,  $m = 1$ , i.e. we work in the scalar case, and  $u$  denotes transition wave connecting  $p^-$  and  $p^+$ , for equation (1.2), associated with families  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$  satisfying properties (1.3), (1.4), (1.5) and (1.6).* We also assume that  $u$  and  $p^\pm$  are globally bounded in  $\mathbb{R} \times \bar{\Omega}$  and that

$$\mu(x) \cdot \nabla_x u(t, x) = \mu(x) \cdot \nabla_x p^\pm(t, x) = 0 \text{ on } \mathbb{R} \times \partial\Omega, \quad (1.14)$$

where  $\mu$  is a  $C^{0,\beta}(\partial\Omega)$  unit vector field such that

$$\inf \{ \mu(x) \cdot \nu(x); x \in \partial\Omega \} > 0.$$

First, we establish a general property of monotonicity with respect to time.

**Theorem 1.10** *Assume that  $A$  and  $q$  do not depend on  $t$ , that  $f$  and  $p^\pm$  are nondecreasing in  $t$  and that there is  $\delta > 0$  such that*

$$s \mapsto f(t, x, s) \text{ is nonincreasing in } (-\infty, p^-(t, x) + \delta] \text{ and } [p^+(t, x) - \delta, +\infty) \quad (1.15)$$

for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ . If  $u$  is an invasion of  $p^-$  by  $p^+$  with

$$\kappa := \inf \{ p^+(t, x) - p^-(t, x); (t, x) \in \mathbb{R} \times \bar{\Omega} \} > 0, \quad (1.16)$$

then  $u$  satisfies

$$\forall (t, x) \in \mathbb{R} \times \bar{\Omega}, \quad p^-(t, x) < u(t, x) < p^+(t, x). \quad (1.17)$$

and  $u$  is increasing in time  $t$ .

Notice that if (1.17) holds a priori and if  $f$  is assumed to be nonincreasing in  $s$  for  $s$  in  $[p^-(t, x), p^-(t, x) + \delta]$  and  $[p^+(t, x) - \delta, p^+(t, x)]$  only, instead of  $(-\infty, p^-(t, x) + \delta]$  and  $[p^+(t, x) - \delta, +\infty)$ , then the conclusion of Theorem 1.10 (strict monotonicity of  $u$  in  $t$ ) holds. The simplest case is when  $f = f(u)$  only depends on  $u$  and  $p^\pm$  are constants and both stable, that is  $f'(p^\pm) < 0$ .

The monotonicity result stated in Theorem 1.10 plays an important role in the following uniqueness and comparison properties for almost planar fronts:

**Theorem 1.11** *Under the same conditions as in Theorem 1.10, assume furthermore that  $f$  and  $p^\pm$  are independent of  $t$ , that  $u$  is almost planar in some direction  $e \in \mathbb{S}^{N-1}$  and has global mean speed  $c \geq 0$ , with the stronger property that*

$$\sup \{ |d_\Omega(\Gamma_t, \Gamma_s) - c|t - s||; (t, s) \in \mathbb{R}^2 \} < +\infty, \quad (1.18)$$

where

$$\Gamma_t = \{x \in \Omega; x \cdot e - \xi_t = 0\} \text{ and } \Omega_t^\pm = \{x \in \Omega; \pm(x \cdot e - \xi_t) < 0\}.$$

Let  $\tilde{u}$  be another globally bounded invasion front of  $p^-$  by  $p^+$  for equation (1.2) and (1.14), associated with

$$\tilde{\Gamma}_t = \{x \in \Omega; x \cdot e - \tilde{\xi}_t = 0\} \text{ and } \tilde{\Omega}_t^\pm = \{x \in \Omega; \pm(x \cdot e - \tilde{\xi}_t) < 0\}$$

and having global mean speed  $\tilde{c} \geq 0$  such that

$$\sup \{ |d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s) - \tilde{c}|t - s||; (t, s) \in \mathbb{R}^2 \} < +\infty.$$

Then  $c = \tilde{c}$  and there is (the smallest)  $T \in \mathbb{R}$  such that

$$\tilde{u}(t + T, x) \geq u(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega}.$$

Furthermore, there exists a sequence  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \bar{\Omega}$  such that

$$(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}} \text{ is bounded and } \tilde{u}(t_n + T, x_n) - u(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

Lastly, either  $\tilde{u}(t + T, x) > u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$  or  $\tilde{u}(t + T, x) = u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ .

This result shows the uniqueness of the global mean speed among a certain class of almost planar invasion fronts. It also says that any two such fronts can be compared up to shifts. In particular cases listed below, uniqueness holds up to shifts. However, this uniqueness property may not hold in general.

**Remark 1.12** Notice that property (1.18) and the fact that  $u$  is an invasion imply that the speed  $c$  is necessarily (strictly) positive.

As a corollary of Theorem 1.11, we now state a result which is important in that it shows that, at least under appropriate conditions on  $f$ , our definition does not introduce new objects in some classical situations: it reduces to pulsating traveling fronts in periodic media and to usual traveling fronts when there is translation invariance in the direction of propagation.

**Theorem 1.13** *Under the conditions of Theorem 1.11, assume that  $\Omega$ ,  $A$ ,  $q$ ,  $f$ ,  $\mu$  and  $p^\pm$  are periodic in  $x$ , in that there are positive real numbers  $L_1, \dots, L_N > 0$  such that, for every vector  $k = (k_1, \dots, k_N) \in L_1\mathbb{Z} \times \dots \times L_N\mathbb{Z}$ ,*

$$\left\{ \begin{array}{l} \Omega + k = \Omega, \\ A(x + k) = A(x), q(x + k) = q(x), f(x + k, \cdot) = f(x, \cdot), p^\pm(x + k) = p^\pm(x) \text{ for all } x \in \overline{\Omega}, \\ \mu(x + k) = \mu(x) \text{ for all } x \in \partial\Omega. \end{array} \right.$$

(i) *Then  $u$  is a pulsating front, namely*

$$u\left(t + \frac{\gamma k \cdot e}{c}, x\right) = u(t, x - k) \text{ for all } (t, x) \in \mathbb{R} \times \overline{\Omega} \text{ and } k \in L_1\mathbb{Z} \times \dots \times L_N\mathbb{Z}, \quad (1.19)$$

where  $\gamma = \gamma(e) \geq 1$  is given by

$$\gamma(e) = \lim_{\substack{(x,y) \in \overline{\Omega} \times \overline{\Omega}, \\ (x-y) \parallel e, |x-y| \rightarrow +\infty}} \frac{d_\Omega(x, y)}{|x - y|}. \quad (1.20)$$

Furthermore,  $u$  is unique up to shifts in  $t$ .

(ii) *Under the additional assumptions that  $e$  is one of the axes of the frame, that  $\Omega$  is invariant in the direction  $e$  and that  $A$ ,  $q$ ,  $f$ ,  $\mu$  and  $p^\pm$  are independent of  $x \cdot e$ , then  $u$  actually is a classical traveling front, that is:*

$$u(t, x) = \phi(x \cdot e - ct, x')$$

for some function  $\phi$ , where  $x'$  denotes the variables of  $\mathbb{R}^N$  which are orthogonal to  $e$ . Moreover,  $\phi$  is decreasing in its first variable.

(iii) *If  $\Omega = \mathbb{R}^N$  and  $A$ ,  $q$ ,  $f(\cdot, s)$  (for each  $s \in \mathbb{R}$ ),  $p^\pm$  are constant, then  $u$  is a planar (i.e. one-dimensional) traveling front, in the sense that*

$$u(t, x) = \phi(x \cdot e - ct),$$

where  $\phi : \mathbb{R} \rightarrow (p^-, p^+)$  is decreasing and  $\phi(\mp\infty) = p^\pm$ .

Notice that properties (1.5) and (1.6) are automatically satisfied here –and property (1.5) is actually satisfied for all  $\tau > 0$ – due to the periodicity of  $\Omega$ , the definition of  $\Omega_t^\pm$  and assumption (1.18).

The constant  $\gamma(e)$  in (1.20) is by definition larger than or equal to 1. It measures the asymptotic ratio of the geodesic and Euclidean distances along the direction  $e$ . If the domain  $\Omega$  is invariant in the direction  $e$ , that is  $\Omega = \Omega + se$  for all  $s \in \mathbb{R}$ , then  $\gamma(e) = 1$ . For a pulsating traveling front satisfying (1.19), the “Euclidean speed”  $c/\gamma(e)$  in the direction of propagation  $e$  is then less than or equal to the global mean speed  $c$  (the latter being indeed defined through the geodesic distance in  $\Omega$ ).

Part (ii) of Theorem 1.13 still holds if  $e$  is any direction of  $\mathbb{R}^N$  and if  $\Omega$ ,  $A$ ,  $q$ ,  $f$ ,  $\mu$  and  $p^\pm$  are invariant in the direction  $e$  and periodic in the variables  $x'$ . This result can actually be extended to the case when the medium may not be periodic and  $u$  may not be an invasion front:

**Theorem 1.14** *Assume that  $\Omega$  is invariant in a direction  $e \in \mathbb{S}^{N-1}$ , that  $A$ ,  $q$ ,  $\mu$  and  $p^\pm$  depend only on the variables  $x'$  which are orthogonal to  $e$ , that  $f = f(x', u)$  and that (1.15) and (1.16) hold.*

*If  $u$  is almost planar in the direction  $e$ , i.e. the sets  $\Omega_t^\pm$  can be chosen as*

$$\Omega_t^\pm = \{x \in \Omega; \pm(x \cdot e - \xi_t) < 0\},$$

*and if  $u$  has global mean speed  $c \geq 0$  with the stronger property that*

$$\sup \{ ||\xi_t - \xi_s| - c|t - s||; (t, s) \in \mathbb{R}^2 \} < +\infty,$$

*then there exists  $\varepsilon \in \{-1, 1\}$  such that*

$$u(t, x) = \phi(x \cdot e - \varepsilon ct, x')$$

*for some function  $\phi$ . Moreover,  $\phi$  is decreasing in its first variable.*

*If one further assumes that  $c = 0$ , then the conclusion holds even if  $f$  and  $p^\pm$  also depend on  $x \cdot e$ , provided that they are nonincreasing in  $x \cdot e$ . In particular, if  $u$  is quasi-stationary in the sense of Definition 1.6, then  $u$  is stationary.*

In Theorems 1.13 and 1.14, we gave some conditions under which the fronts reduce to usual pulsating or traveling fronts. The fronts were assumed to have a global mean speed. Now, the following result generalizes part (iii) of Theorem 1.13 to the case of almost planar fronts which may not have any global mean speed and which may not be invasion fronts. It gives some conditions under which almost planar fronts actually reduce to one-dimensional fronts.

**Theorem 1.15** *Assume that  $\Omega = \mathbb{R}^N$ , that  $A$  and  $q$  depend only on  $t$ , that the functions  $p^\pm$  depend only on  $t$  and  $x \cdot e$  and are nonincreasing in  $x \cdot e$  for some direction  $e \in \mathbb{S}^{N-1}$ , that  $f = f(t, x \cdot e, u)$  is nonincreasing in  $x \cdot e$ , and that (1.15) and (1.16) hold. If  $u$  is almost planar in the direction  $e$  with*

$$\Omega_t^\pm = \{x \in \mathbb{R}^N; \pm(x \cdot e - \xi_t) < 0\}$$

such that

$$\exists \sigma > 0, \sup \{ |\xi_t - \xi_s|; (t, s) \in \mathbb{R}^2, |t - s| \leq \sigma \} < +\infty, \quad (1.21)$$

then  $u$  is planar, i.e.  $u$  only depends on  $t$  and  $x \cdot e$  :

$$u(t, x) = \phi(t, x \cdot e)$$

for some function  $\phi : \mathbb{R}^2 \rightarrow \mathbb{R}$ . Furthermore,

$$\forall (t, x) \in \mathbb{R} \times \mathbb{R}^N, \quad p^-(t, x \cdot e) < u(t, x) < p^+(t, x \cdot e) \quad (1.22)$$

and  $u$  is decreasing with respect to  $x \cdot e$ .

Notice that the assumption  $\sup \{ |\xi_{t+\sigma} - \xi_t|; t \in \mathbb{R} \} < +\infty$  for every  $\sigma \in \mathbb{R}$  is clearly stronger than property (1.5). But one does not need  $\xi_t$  to be monotone or  $|\xi_t - \xi_s| \rightarrow +\infty$  as  $|t - s| \rightarrow +\infty$ , namely  $u$  may not be an invasion front.

As for Theorem 1.10, if the inequalities (1.22) are assumed to hold a priori and if  $f$  is assumed to be nonincreasing in  $s$  for  $s$  in  $[p^-(t, x \cdot e), p^-(t, x \cdot e) + \delta]$  and  $[p^+(t, x \cdot e) - \delta, p^+(t, x \cdot e)]$  only, instead of  $(-\infty, p^-(t, x \cdot e) + \delta]$  and  $[p^+(t, x \cdot e) - \delta, +\infty)$ , then the strict monotonicity of  $u$  in  $x \cdot e$  still holds.

As a particular case of the result stated in Theorem 1.14 (with  $c = 0$ ), the following property holds, which states that, under some assumptions, any quasi-stationary front is actually stationary.

**Corollary 1.16** *Under the conditions of Theorem 1.15, if one further assumes that the function  $t \mapsto \xi_t$  is bounded and that  $A, q, f$  and  $p^\pm$  do not depend on  $t$ , then  $u$  depends on  $x \cdot e$  only, that is  $u$  is a stationary one-dimensional front.*

## 1.4 Further extensions

In the previous sections, the waves were defined as spatial transitions connecting *two* limiting states  $p^-$  and  $p^+$ . Multiple transition waves can be defined similarly.

**Definition 1.17** (Waves with multiple transitions) *Let  $k \geq 1$  be an integer and let  $p^1, \dots, p^k$  be  $k$  time-global solutions of (1.2). A generalized transition wave connecting  $p^1, \dots, p^k$  is a time-global classical solution  $u$  of (1.2) such that  $u \not\equiv p^j$  for all  $1 \leq j \leq k$ , and there exist  $k$  families  $(\Omega_t^j)_{t \in \mathbb{R}}$  ( $1 \leq j \leq k$ ) of open nonempty unbounded subsets of  $\Omega$ , a family  $(\Gamma_t)_{t \in \mathbb{R}}$  of nonempty subsets of  $\Omega$  and an integer  $n \geq 1$  such that*

$$\left\{ \begin{array}{l} \forall t \in \mathbb{R}, \forall j \neq j' \in \{1, \dots, k\}, \Omega_t^j \cap \Omega_t^{j'} = \emptyset, \\ \forall t \in \mathbb{R}, \bigcup_{1 \leq j \leq k} (\partial \Omega_t^j \cap \Omega) = \Gamma_t, \quad \Gamma_t \cup \bigcup_{1 \leq j \leq k} \Omega_t^j = \Omega, \\ \forall t \in \mathbb{R}, \forall j \in \{1, \dots, k\}, \sup \{ d_\Omega(x, \Gamma_t); x \in \Omega_t^j \} = +\infty, \\ \text{if } N = 1 \text{ then } \Gamma_t \text{ is made of at most } n \text{ points,} \\ \text{if } N \geq 2 \text{ then (1.4) is satisfied,} \end{array} \right.$$



and

$$u(t, x) - p^j(t, x) \rightarrow 0 \quad \text{uniformly in } t \in \mathbb{R} \text{ as } d_\Omega(x, \Gamma_t) \rightarrow +\infty \text{ and } x \in \overline{\Omega_t^j}$$

for all  $1 \leq j \leq k$ .

Triple or more general multiple transition waves are indeed known to exist in some reaction-diffusion problems (see e.g. [11, 13]). The above definition also covers the case of multiple wave trains.

On the other hand, the spatially extended pulses, as defined in Definition 1.3 with  $p^-(t) = p^+(t)$ , correspond to the special case  $k = 1$ ,  $p^1 = p^\pm(t)$  and  $\Omega_t^1 = \Omega_t^- \cup \Omega_t^+$  in the above definition. We say that they are extended since, for each time  $t$ , the set  $\Gamma_t$  is unbounded in general. The usual notion of localized pulses can be viewed as a particular case of Definition 1.17.

**Definition 1.18** (Localized pulses) *In Definition 1.17, if  $k = 1$  and if*

$$\sup \{ \text{diam}_\Omega(\Gamma_t); t \in \mathbb{R} \} < +\infty,$$

*then we say that  $u$  is a localized pulse.*

In all definitions of this paper, the time interval  $\mathbb{R}$  can be replaced with any interval  $I \subset \mathbb{R}$ . However, when  $I \neq \mathbb{R}$ , the sets  $\Omega_t^\pm$  or  $\Omega_t^j$  are not required to be unbounded, but one only requires that

$$\lim_{t \rightarrow +\infty} \left( \sup \{ d_\Omega(x, \Gamma_t); x \in \Omega_t^\pm \} \right) = +\infty \quad \text{or} \quad \lim_{t \rightarrow +\infty} \left( \sup \{ d_\Omega(x, \Gamma_t); x \in \Omega_t^j \} \right) = +\infty,$$

in the case of double or multiple transitions, if  $I \supset [a, +\infty)$  (resp.

$$\lim_{t \rightarrow -\infty} \left( \sup \{ d_\Omega(x, \Gamma_t); x \in \Omega_t^\pm \} \right) = +\infty \quad \text{or} \quad \lim_{t \rightarrow -\infty} \left( \sup \{ d_\Omega(x, \Gamma_t); x \in \Omega_t^j \} \right) = +\infty$$

if  $I \supset (-\infty, a]$ ). The particular case  $I = [0, T)$  with  $0 < T \leq +\infty$  is used to describe the formation of waves and fronts for the solutions of Cauchy problems.

For instance, consider equation (1.1) for  $t \geq 0$ , with a function  $f \in C^1([0, 1])$  such that  $f(0) = f(1) = 0$ ,  $f > 0$  in  $(0, 1)$  and  $f'(0) > 0$ . If  $u_0$  is in  $C_c(\mathbb{R}^N)$  and satisfies  $0 \leq u_0 \leq 1$  with  $u_0 \not\equiv 0$  and if  $u(t, x)$  denotes the solution of (1.1) with initial condition  $u(0, \cdot) = u_0$ , then  $0 \leq u(t, x) \leq 1$  for all  $t \geq 0$  and  $x \in \mathbb{R}^N$  and it follows easily from [1, 24] that there exists a continuous increasing function  $[0, +\infty) \ni t \mapsto r(t) > 0$  such that  $r(t)/t \rightarrow c^* > 0$  as  $t \rightarrow +\infty$  and

$$\begin{cases} \lim_{A \rightarrow +\infty} \left( \inf \{ u(t, x); t \geq 0, r(t) \geq A, 0 \leq |x| \leq r(t) - A \} \right) = 1, \\ \lim_{A \rightarrow +\infty} \left( \sup \{ u(t, x); t \geq 0, |x| \geq r(t) + A \} \right) = 0, \end{cases}$$

where  $c^* > 0$  is the minimal speed of planar fronts  $\varphi(x - ct)$  ranging in  $[0, 1]$  and connecting 0 and 1 for this equation (in other words, the minimal speed  $c^*$  of planar fronts is also the spreading speed of the solutions  $u$  in all directions). If we define

$$\Omega_t^- = \{x \in \mathbb{R}^N; |x| < r(t)\}, \quad \Omega_t^+ = \{x \in \mathbb{R}^N; |x| > r(t)\} \quad \text{and} \quad \Gamma_t = \{x \in \mathbb{R}^N; |x| = r(t)\}$$

for all  $t \geq 0$ , then the function  $u(t, x)$  can be viewed as a transition invasion wave connecting  $p^- = 1$  and  $p^+ = 0$  in the time interval  $[0, +\infty)$ . We also refer to [7] for further definitions and properties of the spreading speeds of the solutions of the Cauchy problem  $u_t = \Delta u + f(u)$  with compactly supported initial conditions, in arbitrary domains  $\Omega$  and no-flux boundary conditions.

It is worth pointing out that, for the one-dimensional equation  $u_t = u_{xx} + f(u)$  in  $\mathbb{R}$  with  $C^1([0, 1], \mathbb{R})$  functions  $f$  such that  $f(0) = f(1) = 0$ ,  $f(s) > 0$  and  $f'(s) \leq f(s)/s$  on  $(0, 1)$ , there are solutions  $u : [0, +\infty) \times \mathbb{R} \rightarrow [0, 1]$ ,  $(t, x) \mapsto u(t, x)$  such that

$$u(t, -\infty) = 1, \quad u(t, +\infty) = 0 \text{ for all } t \geq 0, \text{ and } \lim_{t \rightarrow +\infty} \|u_x(t, \cdot)\|_{L^\infty(\mathbb{R})} = 0,$$

see [20]. At each time  $t$ ,  $u(t, \cdot)$  connects 1 to 0, but since the solutions become uniformly flatter and flatter as time runs, they are examples of solutions which are not generalized fronts connecting 1 and 0.

**Time-dependent domains and other equations.** We point out that all these general definitions can be adapted to the case when the domain

$$\Omega = \Omega_t$$

depends on time  $t$ .

Lastly, the general definitions of transition waves which are given in this paper also hold for other types of evolution equations

$$F[t, x, u, Du, D^2u, \dots] = 0$$

which may not be of the parabolic type and which may be non local. Here  $Du$  stands for the gradient of  $u$  with respect to all variables  $t$  and  $x$ .

**Outline of the paper.** The following sections are devoted to proving all the results we have stated here. Section 2 is concerned with level set properties and the intrinsic character of the global mean speed. In Section 3, we prove Theorem 1.8 on the existence of generalized transition waves for the time-dependent equation (1.10). Section 4 deals with the proof of the general time-monotonicity result (Theorem 1.10). Section 5 is concerned with the proofs of Theorems 1.11 and 1.13 on comparison of almost planar invasion fronts and reduction to pulsating fronts in periodic media. Lastly, in Section 6, we prove the remaining Theorems 1.14 and 1.15 concerned with almost planar fronts in media which are invariant or monotone in the direction of propagation.

## 2 Intrinsic character of the interface localization and the global mean speed

Given a generalized transition wave  $u$ , we can view the set  $\Gamma_t$  as the continuous interface of  $u$  at time  $t$ . Of course this set is not uniquely defined, however, as we shall prove here,

its localization in terms of (1.7) and (1.8) is intrinsic. Thus, this gives a meaning to the “interface” in this continuous problem (even though it is not a free boundary). This section is divided into two parts, the first one dealing with the properties of the level sets and the second one with the intrinsic character of the global mean speed.

## 2.1 Localization of the level sets: proof of Theorem 1.2

Heuristically, the fact that  $u$  converges to two distinct constant states  $p^\pm$  in  $\Omega_t^\pm$  uniformly as  $d_\Omega(x, \Gamma_t) \rightarrow +\infty$  will force any level set to stay at a finite distance from the “interfaces”  $\Gamma_t$ , and the solution  $u$  to stay away from  $p^\pm$  in tubular neighbourhoods of  $\Gamma_t$ .

More precisely, let us first prove part 1 of Theorem 1.2. Assertion (i) is almost immediate. Indeed, assume it does not hold for some  $\lambda \in (p^-, p^+)$ . Then there exists a sequence  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \bar{\Omega}$  such that

$$u(t_n, x_n) = \lambda \text{ for all } n \in \mathbb{N} \text{ and } d_\Omega(x_n, \Gamma_{t_n}) \rightarrow +\infty \text{ as } n \rightarrow +\infty.$$

Up to extraction of some subsequence, two cases may occur: either  $x_n \in \overline{\Omega_{t_n}^-}$  and then  $u(t_n, x_n) \rightarrow p^-$  as  $n \rightarrow +\infty$ , or  $x_n \in \overline{\Omega_{t_n}^+}$  and then  $u(t_n, x_n) \rightarrow p^+$  as  $n \rightarrow +\infty$ . In both cases, one gets a contradiction with the fact that  $u(t_n, x_n) = \lambda \in (p^-, p^+)$ .

Assume now that assertion (ii) does not hold for some  $C \geq 0$ . One may then assume that there exists a sequence  $(t_n, x_n)_{n \in \mathbb{N}}$  of points in  $\mathbb{R} \times \bar{\Omega}$  such that

$$d_\Omega(x_n, \Gamma_{t_n}) \leq C \text{ for all } n \in \mathbb{N} \text{ and } u(t_n, x_n) \rightarrow p^- \text{ as } n \rightarrow +\infty \quad (2.1)$$

(the case where  $u(t_n, x_n) \rightarrow p^+$  could be treated similarly). Since  $d_\Omega(x_n, \Gamma_{t_n}) \leq C$  for all  $n$ , it follows from (1.5) that there exists a sequence  $(\tilde{x}_n)_{n \in \mathbb{N}}$  such that

$$\tilde{x}_n \in \Gamma_{t_n - \tau} \text{ for all } n \in \mathbb{N} \text{ and } \sup \{d_\Omega(x_n, \tilde{x}_n); n \in \mathbb{N}\} < +\infty.$$

On the other hand, from Definition 1.1, there exists  $d > 0$  such that

$$\forall t \in \mathbb{R}, \forall y \in \overline{\Omega_t^+}, d_\Omega(y, \Gamma_t) \geq d \implies u(t, y) \geq \frac{p^- + p^+}{2}.$$

From (1.6), there exists  $r > 0$  such that, for each  $n \in \mathbb{N}$ , there exists a point  $y_n \in \overline{\Omega_{t_n - \tau}^+}$  satisfying

$$d_\Omega(\tilde{x}_n, y_n) = r \text{ and } d_\Omega(y_n, \Gamma_{t_n - \tau}) \geq d.$$

Therefore,

$$\forall n \in \mathbb{N}, u(t_n - \tau, y_n) \geq \frac{p^- + p^+}{2}. \quad (2.2)$$

But the sequence  $(d_\Omega(x_n, y_n))_{n \in \mathbb{N}}$  is bounded and the function  $v = u - p^-$  is nonnegative and is a classical global solution of an equation of the type

$$v_t = \nabla_x \cdot (A(t, x) \nabla_x v) + q(t, x) \cdot \nabla_x v + b(t, x)v \text{ in } \mathbb{R} \times \bar{\Omega}$$

for some bounded function  $b$ , with  $\mu(t, x) \cdot \nabla_x v(t, x) = 0$  on  $\partial\Omega$ . Furthermore, the function  $v$  has bounded derivatives, from standard parabolic estimates. Since  $v(t_n, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$

from (2.1), one concludes from the linear estimates that  $v(t_n - \tau, y_n) \rightarrow 0$  as  $n \rightarrow +\infty$ .<sup>3</sup> But  $v(t_n - \tau, y_n) \geq (p^+ - p^-)/2 > 0$  from (2.2). One has then reached a contradiction. This gives assertion (ii).

To prove part 2, assume now that (i) and (ii) hold and that there is  $d_0 > 0$  such that the sets

$$\{(t, x) \in \mathbb{R} \times \overline{\Omega}; x \in \overline{\Omega}_t^+, d_\Omega(x, \Gamma_t) \geq d\}$$

and

$$\{(t, x) \in \mathbb{R} \times \overline{\Omega}; x \in \overline{\Omega}_t^-, d_\Omega(x, \Gamma_t) \geq d\}$$

are connected for all  $d \geq d_0$ . Denote

$$m^- = \underline{\lim}_{x \in \overline{\Omega}_t^-, d_\Omega(x, \Gamma_t) \rightarrow +\infty} u(t, x) \quad \text{and} \quad M^- = \overline{\lim}_{x \in \overline{\Omega}_t^-, d_\Omega(x, \Gamma_t) \rightarrow +\infty} u(t, x).$$

One has  $p^- \leq m^- \leq M^- \leq p^+$ .

Call  $\lambda = (m^- + M^-)/2$ . Assume now that  $m^- < M^-$ . Then  $\lambda \in (p^-, p^+)$  and, from (i), there exists  $C_0 \geq 0$  such that

$$d_\Omega(x, \Gamma_t) < C_0 \quad \text{for all } (t, x) \in \mathbb{R} \times \overline{\Omega} \text{ such that } u(t, x) = \lambda.$$

Furthermore, there exist some times  $t_1, t_2 \in \mathbb{R}$  and some points  $x_1, x_2$  with  $x_i \in \overline{\Omega}_{t_i}^-$  such that  $u(t_1, x_1) < \lambda < u(t_2, x_2)$  and  $d_\Omega(x_i, \Gamma_{t_i}) \geq \max(C_0, d_0)$  for  $i = 1, 2$ . Since the set

$$\{(t, x) \in \mathbb{R} \times \overline{\Omega}; x \in \overline{\Omega}_t^-, d_\Omega(x, \Gamma_t) \geq \max(C_0, d_0)\}$$

is connected and the function  $u$  is continuous in  $\mathbb{R} \times \overline{\Omega}$ , there would then exist  $t \in \mathbb{R}$  and  $x \in \overline{\Omega}_t^-$  such that  $d_\Omega(x, \Gamma_t) \geq \max(C_0, d_0)$  and  $u(t, x) = \lambda$ . But this is in contradiction with the choice of  $C_0$ .

Therefore,  $p^- \leq m^- = M^- \leq p^+$  and

$$u(t, x) \rightarrow m^- \quad \text{uniformly as } d_\Omega(x, \Gamma_t) \rightarrow +\infty \text{ and } x \in \overline{\Omega}_t^-.$$

---

<sup>3</sup>We use here the fact that, since the domain  $\Omega$  is assumed to be globally smooth, as well as all coefficients  $A$ ,  $q$  and  $\mu$  of (1.2) and (1.14), in the sense given in Section 1, then, for every positive real numbers  $\delta, \rho, \sigma, M, B$  and  $\eta > 0$ , there exists a positive real number  $\varepsilon = \varepsilon(\delta, \rho, \sigma, M, B, \eta) > 0$  such that, for any  $t_0 \in \mathbb{R}$ , for any  $C^1$  path  $P : [0, 1] \rightarrow \overline{\Omega}$  whose length is less than  $\delta$ , for any nonnegative classical supersolution  $\bar{u}$  of

$$\bar{u}_t \geq \nabla_x \cdot (A(t, x) \nabla_x \bar{u}) + q(t, x) \cdot \nabla_x \bar{u} + b(t, x) \bar{u}$$

in the set

$$E = E_{t_0, P, \rho, \sigma} = [t_0, t_0 + \rho] \times \{x \in \overline{\Omega}; d_\Omega(x, P([0, 1])) \leq \rho\} \cup [t_0, t_0 + \sigma] \times \overline{B_\Omega(P(0), \rho)},$$

satisfying (1.14) on  $\partial E \cap (\mathbb{R} \times \partial\Omega)$ ,  $\|\nabla_x \bar{u}\|_{L^\infty(E)} \leq M$ ,  $\|b\|_{L^\infty(E)} \leq B$  and

$$\max\{\bar{u}(t_0, P(s)); s \in [0, 1]\} \geq \eta,$$

then  $\bar{u}(t_0 + \sigma, P(0)) \geq \varepsilon$ .

Similarly,

$$u(t, x) \rightarrow m^+ \in [p^-, p^+] \text{ uniformly as } d_\Omega(x, \Gamma_t) \rightarrow +\infty \text{ and } x \in \overline{\Omega_t^+}.$$

If  $\max(m^-, m^+) < p^+$ , then there is  $\varepsilon > 0$  and  $C \geq 0$  such that  $u(t, x) \leq p^+ - \varepsilon$  for all  $(t, x)$  with  $d_\Omega(x, \Gamma_t) \geq C$ . But

$$\sup \{u(t, x); d_\Omega(x, \Gamma_t) \leq C\} < p^+$$

because of (ii). Therefore,  $\sup \{u(t, x); (t, x) \in \mathbb{R} \times \overline{\Omega}\} < p^+$ , which contradicts the fact the range of  $u$  is the whole interval  $(p^-, p^+)$ . As a consequence,

$$\max(m^-, m^+) = p^+.$$

Similarly, one can prove that  $\min(m^-, m^+) = p^-$ .

Eventually, either  $m^- = p^-$  and  $m^+ = p^+$ , or  $m^- = p^+$  and  $m^+ = p^-$ , which means that  $u$  is a transition wave connecting  $p^-$  and  $p^+$  (or  $p^+$  and  $p^-$ ). That completes the proof of Theorem 1.2.  $\square$

## 2.2 Uniqueness of the global mean speed for a given transition wave

This section is devoted to the proof of the intrinsic character of the global mean speed, when it exists, of a generalized transition wave in the general vectorial case  $m \geq 1$ , when  $p^+$  and  $p^-$  are separated from each other.

**Proof of Theorem 1.7.** We make here all the assumptions of Theorem 1.7 and we call

$$\tilde{\Gamma}_t = \partial\tilde{\Omega}_t^- \cap \Omega = \partial\tilde{\Omega}_t^+ \cap \Omega$$

for all  $t \in \mathbb{R}$ . We first claim that there exists  $C \geq 0$  such that

$$d_\Omega(x, \tilde{\Gamma}_t) \leq C \text{ for all } t \in \mathbb{R} \text{ and } x \in \Gamma_t.$$

Assume not. Then there is a sequence  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \overline{\Omega}$  such that

$$x_n \in \Gamma_{t_n} \text{ for all } n \in \mathbb{N} \text{ and } d_\Omega(x_n, \tilde{\Gamma}_{t_n}) \rightarrow +\infty \text{ as } n \rightarrow +\infty.$$

Up to extraction of some subsequence, one can assume that  $x_n \in \overline{\tilde{\Omega}_{t_n}^-}$  (the case where  $x_n \in \overline{\tilde{\Omega}_{t_n}^+}$  could be handled similarly). Call

$$\varepsilon = \inf \{|p^-(t, x) - p^+(t, x)|; (t, x) \in \mathbb{R} \times \overline{\Omega}\} > 0$$

and let  $A \geq 0$  be such that

$$|u(t, z) - p^+(t, z)| \leq \frac{\varepsilon}{2} \text{ for all } (t, z) \in \mathbb{R} \times \overline{\Omega} \text{ with } d_\Omega(z, \Gamma_t) \geq A \text{ and } z \in \overline{\Omega_t^+}.$$

Under the assumption (1.6), there exist  $r > 0$  and a sequence  $(y_n)_{n \in \mathbb{N}}$  such that

$$y_n \in \overline{\Omega_{t_n}^+}, \quad d_\Omega(x_n, y_n) = r \text{ and } d_\Omega(y_n, \Gamma_{t_n}) \geq A$$

for all  $n \in \mathbb{N}$ . Therefore,

$$d_\Omega(y_n, \tilde{\Gamma}_{t_n}) \rightarrow +\infty \text{ as } n \rightarrow +\infty$$

and  $y_n \in \overline{\tilde{\Omega}_{t_n}^-}$  for  $n$  large enough. As a consequence,

$$u(t_n, y_n) - p^-(t_n, y_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

On the other hand,  $d_\Omega(y_n, \Gamma_{t_n}) \geq A$  and  $y_n \in \overline{\Omega_{t_n}^+}$ , whence

$$|u(t_n, y_n) - p^+(t_n, y_n)| \leq \frac{\varepsilon}{2}$$

for all  $n \in \mathbb{N}$ . It follows that

$$\limsup_{n \rightarrow +\infty} |p^-(t_n, y_n) - p^+(t_n, y_n)| \leq \frac{\varepsilon}{2}.$$

This contradicts the definition of  $\varepsilon$ .

Therefore, there exists  $C \geq 0$  such that

$$\forall t \in \mathbb{R}, \forall x \in \Gamma_t, d_\Omega(x, \tilde{\Gamma}_t) \leq C. \quad (2.3)$$

Let now  $(t, s) \in \mathbb{R}^2$  be any couple of real numbers and let  $\eta > 0$  be any positive number. There exists  $(x, y) \in \Gamma_t \times \Gamma_s$  such that  $d_\Omega(x, y) \leq d_\Omega(\Gamma_t, \Gamma_s) + \eta$ . From (2.3), there exists  $(\tilde{x}, \tilde{y}) \in \tilde{\Gamma}_t \times \tilde{\Gamma}_s$  such that

$$d_\Omega(x, \tilde{x}) \leq C + \eta \text{ and } d_\Omega(y, \tilde{y}) \leq C + \eta.$$

Thus,  $d_\Omega(\tilde{x}, \tilde{y}) \leq d_\Omega(\Gamma_t, \Gamma_s) + 2C + 3\eta$  and

$$d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s) \leq d_\Omega(\Gamma_t, \Gamma_s) + 2C + 3\eta.$$

Since  $\eta > 0$  was arbitrary, one gets that  $d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s) \leq d_\Omega(\Gamma_t, \Gamma_s) + 2C$  for all  $(t, s) \in \mathbb{R}^2$ . Hence,

$$\limsup_{|t-s| \rightarrow +\infty} \frac{d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s)}{|t-s|} \leq \limsup_{|t-s| \rightarrow +\infty} \frac{d_\Omega(\Gamma_t, \Gamma_s)}{|t-s|} = c.$$

With similar arguments, by permuting the roles of the sets  $\Omega_t^\pm$  and  $\tilde{\Omega}_t^\pm$ , one can prove that

$$d_\Omega(\Gamma_t, \Gamma_s) \leq d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s) + 2\tilde{C}$$

for all  $(t, s) \in \mathbb{R}^2$  and for some constant  $\tilde{C} \geq 0$ . Thus,

$$c = \liminf_{|t-s| \rightarrow +\infty} \frac{d_\Omega(\Gamma_t, \Gamma_s)}{|t-s|} \leq \liminf_{|t-s| \rightarrow +\infty} \frac{d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s)}{|t-s|}.$$

As a conclusion, the ratio  $d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s)/|t-s|$  converges as  $|t-s| \rightarrow +\infty$ , and its limit is equal to  $c$ . The proof of Theorem 1.7 is thereby complete.  $\square$

### 3 Generalized transition waves for a time-dependent equation

In this section, we construct explicit examples of generalized invasion transition fronts connecting 0 and 1 for the one-dimensional equation (1.10) under the assumption (1.11). Namely, we do the

**Proof of Theorem 1.8.** The strategy consists in starting from a classical traveling front with speed  $c_1$  for the nonlinearity  $f_1$ , that is for times  $t \in (-\infty, t_1]$ , and then in letting it evolve and in proving that the solution eventually moves with speed  $c_2$  at large times. The key point is to control the exponential decay of the solution when it approaches the state 0, between times  $t_1$  and  $t_2$ .

For the nonlinearity  $f_1$ , there exists a family of traveling fronts  $\varphi_{1,c}(x-ct)$  of the equation

$$u_t = u_{xx} + f_1(u),$$

where  $\varphi_{1,c} : \mathbb{R} \rightarrow (0, 1)$  satisfies  $\varphi_{1,c}(-\infty) = 1$  and  $\varphi_{1,c}(+\infty) = 0$ , for each speed  $c \in [c_1^*, +\infty)$ . The minimal speed  $c_1^*$  satisfies  $c_1^* \geq 2\sqrt{f_1'(0)}$ , see [1, 15]. Each  $\varphi_{1,c}$  is decreasing and unique up to shifts (one can normalize  $\varphi_{1,c}$  in such a way that  $\varphi_{1,c}(0) = 1/2$ ). Furthermore, if  $c > c_1^*$ , then

$$\varphi_{1,c}(s) \sim A_{1,c} e^{-\lambda_{1,c}s} \quad \text{as } s \rightarrow +\infty,$$

where  $A_{1,c}$  is a positive constant and  $\lambda_{1,c} > 0$  has been defined in (1.12). If  $c = c_1^*$  and  $c_1^* > 2\sqrt{f_1'(0)}$ , then the same property holds. If  $c = c_1^*$  and  $c_1^* = 2\sqrt{f_1'(0)}$ , then

$$\varphi_{1,c}(s) \sim (A_{1,c}s + B_{1,c}) e^{-\lambda_{1,c}s} \quad \text{as } s \rightarrow +\infty,$$

where  $A_{1,c} \geq 0$ , and  $B_{1,c} > 0$  if  $A_{1,c} = 0$ , see [1].

Let any speed  $c_1 \in [c_1^*, +\infty)$  be given, let  $\xi$  be any real number (which is just a shift parameter) and let  $u$  be the solution of (1.10) such that

$$u(t, x) = \varphi_{1,c_1}(x - c_1 t + \xi) \quad \text{for all } t \leq t_1 \text{ and } x \in \mathbb{R}.$$

Define

$$x_t = c_1 t \quad \text{for all } t \leq t_1. \tag{3.1}$$

The function  $u$  satisfies

$$\begin{cases} u(t, x) \rightarrow 1 & \text{as } x - x_t \rightarrow -\infty, \\ u(t, x) \rightarrow 0 & \text{as } x - x_t \rightarrow +\infty, \end{cases} \quad \text{uniformly w.r.t. } t \leq t_1. \tag{3.2}$$

Let us now study the behavior of  $u$  on the time interval  $[t_1, t_2]$  and next on the interval  $[t_2, +\infty)$ . From the strong parabolic maximum principle, there holds  $0 < u(t, x) < 1$  for all  $(t, x) \in \mathbb{R}^2$ . For each  $t \geq t_1$ , the function  $u(t, \cdot)$  remains decreasing in  $\mathbb{R}$  since  $f$  does not depend on  $x$ . Furthermore, from standard parabolic estimates, the function  $u$  satisfies the limiting conditions

$$u(t, -\infty) = 1 \quad \text{and} \quad u(t, +\infty) = 0 \quad \text{locally in } t \in \mathbb{R}, \tag{3.3}$$

since  $f(t, 0) = f(t, 1) = 0$ . Therefore, setting

$$x_t = x_{t_1} = c_1 t_1 \quad \text{for all } t \in (t_1, t_2], \quad (3.4)$$

one gets that

$$\begin{cases} u(t, x) \rightarrow 1 & \text{as } x - x_t \rightarrow -\infty, \\ u(t, x) \rightarrow 0 & \text{as } x - x_t \rightarrow +\infty, \end{cases} \quad \text{uniformly w.r.t. } t \in (t_1, t_2]. \quad (3.5)$$

Let  $\varepsilon$  be any positive real number in  $(0, \lambda_{1, c_1})$ . From the definition of  $u$  and the above results, it follows that there exists a constant  $C_\varepsilon > 0$ , which also depends on  $\xi$ ,  $A_{1, c_1}$  and  $B_{1, c_1}$ , such that

$$u(t_1, x) \leq \min(C_\varepsilon e^{-(\lambda_{1, c_1} - \varepsilon)x}, 1) \quad \text{for all } x \in \mathbb{R}.$$

Let  $M$  be the nonnegative real number defined by

$$M = \sup_{(t, s) \in [t_1, t_2] \times (0, 1]} \frac{f(t, s)}{s}.$$

This quantity is finite since  $f$  is of class  $C^1$  and  $f(t, 0) = 0$  for all  $t$ . Denote

$$\alpha = \lambda_{1, c_1} - \varepsilon + \frac{M}{\lambda_{1, c_1} - \varepsilon} > 0$$

and

$$\bar{u}(t, x) = \min(C_\varepsilon e^{-(\lambda_{1, c_1} - \varepsilon)(x - \alpha(t - t_1))}, 1) \quad \text{for all } (t, x) \in [t_1, t_2] \times \mathbb{R}.$$

The function  $\bar{u}$  is positive and it satisfies  $u(t_1, \cdot) \leq \bar{u}(t_1, \cdot)$  in  $\mathbb{R}$ . Furthermore, for all  $(t, x) \in [t_1, t_2] \times \mathbb{R}$ , if  $\bar{u}(t, x) < 1$ , then

$$\begin{aligned} \bar{u}_t(t, x) - \bar{u}_{xx}(t, x) - f(t, \bar{u}(t, x)) &\geq \bar{u}_t(t, x) - \bar{u}_{xx}(t, x) - M\bar{u}(t, x) \\ &= C_\varepsilon [\alpha(\lambda_{1, c_1} - \varepsilon) - (\lambda_{1, c_1} - \varepsilon)^2 - M] e^{-(\lambda_{1, c_1} - \varepsilon)(x - \alpha(t - t_1))} \\ &= 0 \end{aligned}$$

from the definitions of  $M$  and  $\alpha$ . Thus,  $\bar{u}$  is a supersolution of (1.10) on the time interval  $[t_1, t_2]$  and it is above  $u$  at time  $t_1$ . Therefore,

$$u(t, x) \leq \bar{u}(t, x) \leq C_\varepsilon e^{-(\lambda_{1, c_1} - \varepsilon)(x - \alpha(t - t_1))} \quad \text{for all } (t, x) \in [t_1, t_2] \times \mathbb{R} \quad (3.6)$$

from the maximum principle.

On the other hand, from the behavior of  $\varphi_{1, c_1}$  at  $+\infty$ , there exists a constant  $C'_\varepsilon > 0$  such that

$$u(t_1, x) \geq \min\left(C'_\varepsilon e^{-(\lambda_{1, c_1} + \varepsilon)x}, \frac{1}{2}\right) \quad \text{for all } x \in \mathbb{R}.$$

Let  $\underline{u}$  the solution of the heat equation  $\underline{u}_t = \underline{u}_{xx}$  for all  $t \geq t_1$  and  $x \in \mathbb{R}$ , with value

$$\underline{u}(t_1, x) = \min\left(C'_\varepsilon e^{-(\lambda_{1, c_1} + \varepsilon)x}, \frac{1}{2}\right) \quad \text{for all } x \in \mathbb{R}$$



at time  $t_1$ . Since  $f \geq 0$ , it follows from the maximum principle that

$$u(t, x) \geq \underline{u}(t, x) \quad \text{for all } (t, x) \in [t_1, +\infty) \times \mathbb{R}. \quad (3.7)$$

But, for all  $x \in \mathbb{R}$ ,

$$\underline{u}(t_2, x) = \int_{-\infty}^{+\infty} p(t_2 - t_1, x - y) \underline{u}(t_1, y) dy \geq C'_\varepsilon \int_{x_\varepsilon}^{+\infty} p(t_2 - t_1, x - y) e^{-(\lambda_{1,c_1} + \varepsilon)y} dy,$$

where  $x_\varepsilon$  is the unique real number such that  $C'_\varepsilon e^{-(\lambda_{1,c_1} + \varepsilon)x_\varepsilon} = 1/2$  and  $p(\tau, z) = (4\pi\tau)^{-1/2} e^{-z^2/(4\tau)}$  is the heat kernel. Thus, for all  $x \geq x_\varepsilon + \sqrt{4(t_2 - t_1)}$ , there holds

$$\begin{aligned} \underline{u}(t_2, x) &\geq \frac{C'_\varepsilon}{\sqrt{4\pi(t_2 - t_1)}} \int_{x - \sqrt{4(t_2 - t_1)}}^{x + \sqrt{4(t_2 - t_1)}} e^{-\frac{(x-y)^2}{4(t_2 - t_1)} - (\lambda_{1,c_1} + \varepsilon)y} dy \\ &\geq \frac{2C'_\varepsilon e^{-1 - (\lambda_{1,c_1} + \varepsilon)\sqrt{4(t_2 - t_1)}}}{\sqrt{\pi}} \times e^{-(\lambda_{1,c_1} + \varepsilon)x}. \end{aligned} \quad (3.8)$$

It follows from (3.6), (3.7) and (3.8) that, for all  $\varepsilon \in (0, \lambda_{1,c_1})$ , there exist two positive constants  $C_\varepsilon^\pm$  and a real number  $X_\varepsilon$  such that

$$C_\varepsilon^+ e^{-(\lambda_{1,c_1} + \varepsilon)x} \leq u(t_2, x) \leq C_\varepsilon^- e^{-(\lambda_{1,c_1} - \varepsilon)x} \quad \text{for all } x \in [X_\varepsilon, +\infty).$$

Remember also that  $0 < u(t_2, x) < 1$  for all  $x \in \mathbb{R}$ , and that  $u(t_2, -\infty) = 1$ . Since  $f(t, s) = f_2(s)$  for all  $t \geq t_2$  and  $s \in [0, 1]$ , the classical front stability results (see e.g. [26, 42]) imply that

$$\sup_{x \in \mathbb{R}} |u(t, x) - \varphi_{2,c_2}(x - c_2 t + m(t))| \rightarrow 0 \quad \text{as } t \rightarrow +\infty, \quad (3.9)$$

where  $m'(t) \rightarrow 0$  as  $t \rightarrow +\infty$ , and  $c_2 > 0$  is given by (1.13). Here,  $\varphi_{2,c_2}$  denotes the profile of the front traveling with speed  $c_2$  for the equation  $u_t = u_{xx} + f_2(u)$ , such that  $\varphi_{2,c_2}(-\infty) = 1$  and  $\varphi_{2,c_2}(+\infty) = 0$ . Therefore, there exists  $t_3 > t_2$  such that the map  $t \mapsto c_2 t - m(t)$  is increasing in  $[t_3, +\infty)$ , and  $c_2 t_3 - m(t_3) \geq c_1 t_1$ . Define

$$x_t = \begin{cases} c_1 t_1 & \text{if } t \in (t_2, t_3), \\ c_2 t - m(t) & \text{if } t \in [t_3, +\infty). \end{cases} \quad (3.10)$$

It follows from (3.3) and (3.9) that

$$\begin{cases} u(t, x) \rightarrow 1 & \text{as } x - x_t \rightarrow -\infty, \\ u(t, x) \rightarrow 0 & \text{as } x - x_t \rightarrow +\infty, \end{cases} \quad \text{uniformly w.r.t. } t \in (t_2, +\infty). \quad (3.11)$$

Eventually, setting

$$\Omega_t^\pm = \{x \in \mathbb{R}; \pm(x - x_t) < 0\}$$

and  $\Gamma_t = \{x_t\}$  for each  $t \in \mathbb{R}$ , where the real numbers  $x_t$ 's are defined in (3.1), (3.4) and (3.10), one concludes from (3.2), (3.5) and (3.11) that the function  $u$  is a generalized transition front connecting  $p^- = 0$  and  $p^+ = 1$ . Furthermore, since the map  $t \mapsto x_t$  is nondecreasing and  $x_t - x_s \rightarrow +\infty$  as  $t - s \rightarrow +\infty$ , this transition front  $u$  is an invasion of 0 by 1. The proof of Theorem 1.8 is thereby complete.  $\square$

## 4 Monotonicity properties

This section is devoted to the proof of the time-monotonicity properties, that is Theorem 1.10. This result has its own interest and it is also one of the key points in the subsequent uniqueness and classification results. The proof uses several comparison lemmata and some versions of the sliding method with respect to the time variable. Let us first show the following

**Proposition 4.1** *Under the assumptions of Theorem 1.10, one has*

$$\forall (t, x) \in \mathbb{R} \times \overline{\Omega}, \quad p^-(t, x) < u(t, x) < p^+(t, x).$$

**Proof.** We only prove the inequality  $p^-(t, x) < u(t, x)$ , the proof of the second inequality is similar. Remember that  $u$  and  $p^-$  are globally bounded. Assume now that

$$m := \inf \{u(t, x) - p^-(t, x); (t, x) \in \mathbb{R} \times \overline{\Omega}\} < 0.$$

Let  $(t_n, x_n)_{n \in \mathbb{N}}$  be a sequence in  $\mathbb{R} \times \overline{\Omega}$  such that

$$u(t_n, x_n) - p^-(t_n, x_n) \rightarrow m < 0 \text{ as } n \rightarrow +\infty.$$

Since  $p^+(t, x) - p^-(t, x) \geq \kappa > 0$  for all  $(t, x) \in \mathbb{R} \times \overline{\Omega}$ , it follows from Definition 1.1 that the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded. From assumption (1.5), there exists a sequence of points  $(\tilde{x}_n)_{n \in \mathbb{N}}$  such that the sequence  $(d_\Omega(x_n, \tilde{x}_n))_{n \in \mathbb{N}}$  is bounded and  $\tilde{x}_n \in \Gamma_{t_n - \tau}$  for every  $n \in \mathbb{N}$ . From Definition 1.1, there exists  $d \geq 0$  such that

$$\forall t \in \mathbb{R}, \forall z \in \overline{\Omega}_t^+, \quad (d_\Omega(z, \Gamma_t) \geq d) \implies (u(t, z) \geq p^+(t, z) - \kappa).$$

From property (1.6), there exist  $r > 0$  and a sequence  $(y_n)_{n \in \mathbb{N}}$  of points in  $\overline{\Omega}$  such that

$$y_n \in \overline{\Omega_{t_n - \tau}^+}, \quad d_\Omega(y_n, \tilde{x}_n) = r \text{ and } d_\Omega(y_n, \Gamma_{t_n - \tau}) \geq d \text{ for all } n \in \mathbb{N}.$$

One then gets that

$$u(t_n - \tau_n, y_n) \geq p^+(t_n - \tau, y_n) - \kappa \tag{4.1}$$

for all  $n \in \mathbb{N}$ .

Call

$$v(t, x) = p^-(t, x) + m$$

and

$$w(t, x) = u(t, x) - v(t, x) = u(t, x) - p^-(t, x) - m \geq 0$$

for every  $(t, x) \in \mathbb{R} \times \overline{\Omega}$ . Since  $p^-$  solves (1.2), since  $f(t, x, \cdot)$  is nonincreasing in  $(-\infty, p^-(t, x) + \delta]$  for each  $(t, x) \in \mathbb{R} \times \overline{\Omega}$ ,<sup>4</sup> and since  $m < 0$ , the function  $v$  solves

$$v_t \leq \nabla_x \cdot (A(x) \nabla_x v) + q(x) \cdot \nabla_x v + f(t, x, v) \text{ in } \mathbb{R} \times \overline{\Omega}$$

---

<sup>4</sup>Here, we actually just use the fact that  $f(t, x, \cdot)$  is nonincreasing in  $(-\infty, p^-(t, x)]$  for each  $(t, x) \in \mathbb{R} \times \overline{\Omega}$ .

(remember that  $A$  and  $f$  do not depend on  $t$ , but this property is actually not used here). In other words,  $v$  is a subsolution for (1.2). But  $u$  solves (1.2) and  $f(t, x, s)$  is locally Lipschitz-continuous in  $s$  uniformly in  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ . There exists then a bounded function  $b$  such that

$$w_t \geq \nabla_x \cdot (A(x) \nabla_x v) + q(x) \cdot \nabla_x v + b(t, x)w \text{ in } \mathbb{R} \times \bar{\Omega}.$$

Lastly,  $w$  satisfies  $\mu \cdot \nabla_x w = 0$  on  $\mathbb{R} \times \partial\Omega$ . Since the sequences  $(d_\Omega(x_n, \tilde{x}_n))_{n \in \mathbb{N}}$  and  $(d_\Omega(y_n, \tilde{x}_n))_{n \in \mathbb{N}}$  are bounded, the sequence  $(d_\Omega(x_n, y_n))_{n \in \mathbb{N}}$  is bounded as well. Thus, since  $w \geq 0$  in  $\mathbb{R} \times \bar{\Omega}$  and  $w(t_n, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$ , one gets, as in the proof of part 1 of Theorem 1.2, that  $w(t_n - \tau, y_n) \rightarrow 0$  as  $n \rightarrow +\infty$ . But  $w(t_n - \tau, y_n)$  satisfies

$$\begin{aligned} w(t_n - \tau, y_n) &= u(t_n - \tau, y_n) - p^-(t_n - \tau, y_n) - m \\ &\geq p^+(t_n - \tau, y_n) - \kappa - p^-(t_n - \tau, y_n) - m \geq -m > 0 \end{aligned}$$

for all  $n \in \mathbb{N}$  because of (4.1). One has then reached a contradiction.

As a conclusion,  $m \geq 0$ , whence

$$u(t, x) \geq p^-(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega}.$$

If  $u(t_0, x_0) = p^-(t_0, x_0)$  for some  $(t_0, x_0) \in \mathbb{R} \times \bar{\Omega}$ , then the strong parabolic maximum principle and Hopf lemma imply that  $u(t, x) = p^-(t, x)$  for all  $x \in \bar{\Omega}$  and  $t \leq t_0$ , and then for all  $t \in \mathbb{R}$  by uniqueness of the Cauchy problem for (1.2). But this is impossible since  $p^+ - p^- \geq \kappa > 0$  in  $\mathbb{R} \times \bar{\Omega}$  and  $u(t, x) - p^+(t, x) \rightarrow 0$  uniformly as  $x \in \bar{\Omega}_t^+$  and  $d_\Omega(x, \Gamma_t) \rightarrow +\infty$  (notice actually that for each  $t \in \mathbb{R}$ , there are some points  $z_n \in \bar{\Omega}_t^+$  such that  $d_\Omega(z_n, \Gamma_t) \rightarrow +\infty$  as  $n \rightarrow +\infty$ , from (1.3)).

As already underlined, the proof of the inequality  $u < p^+$  is similar.  $\square$

Let us now turn to the

**Proof of Theorem 1.10.** In the hypotheses (1.15) and (1.16), one can assume without loss of generality that  $0 < 2\delta \leq \kappa$ , even if it means decreasing  $\delta$ . In what follows, for any  $s \in \mathbb{R}$ , we define  $u^s$  in  $\mathbb{R} \times \bar{\Omega}$  by

$$\forall (t, x) \in \mathbb{R} \times \bar{\Omega}, \quad u^s(t, x) = u(t + s, x).$$

The general strategy is to prove that  $u^s \geq u$  in  $\mathbb{R} \times \bar{\Omega}$  for all  $s > 0$  large enough, and then for all  $s \geq 0$  by sliding  $u$  with respect to the time variable.

First, from Definition 1.1, there exists  $A > 0$  such that

$$\forall (t, x) \in \mathbb{R} \times \bar{\Omega}, \quad \begin{cases} (x \in \bar{\Omega}_t^- \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies (u(t, x) \leq p^-(t, x) + \delta), \\ (x \in \bar{\Omega}_t^+ \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies \left(u(t, x) \geq p^+(t, x) - \frac{\delta}{2}\right). \end{cases} \quad (4.2)$$

Since  $p^+$  invades  $p^-$ , there exists  $s_0 > 0$  such that

$$\forall t \in \mathbb{R}, \forall s \geq s_0, \quad \Omega_{t+s}^+ \supset \Omega_t^+ \text{ and } d_\Omega(\Gamma_{t+s}, \Gamma_t) \geq 2A.$$

Fix any  $t \in \mathbb{R}$ ,  $s \geq s_0$  and  $x \in \overline{\Omega}$ . If  $x \in \overline{\Omega_t^+}$ , then  $x \in \overline{\Omega_{t+s}^+}$  and  $d_\Omega(x, \Gamma_{t+s}) \geq 2A$  since any continuous path from  $x$  to  $\overline{\Gamma_{t+s}}$  in  $\overline{\Omega}$  meets  $\overline{\Gamma_t}$ . On the other hand, if  $x \in \overline{\Omega_t^-}$  and  $d_\Omega(x, \Gamma_t) \leq A$ , then  $d_\Omega(x, \Gamma_{t+s}) \geq A$  and  $x \in \overline{\Omega_{t+s}^+}$ . In both cases, one then has that

$$u^s(t, x) = u(t + s, x) \geq p^+(t + s, x) - \frac{\delta}{2} \geq p^+(t, x) - \delta$$

since  $p^+$  is nondecreasing in time. To sum up,

$$\begin{aligned} \forall s \geq s_0, \quad \forall (t, x) \in \mathbb{R} \times \overline{\Omega}, \quad (x \in \overline{\Omega_t^+}) \text{ or } (x \in \overline{\Omega_t^-} \text{ and } d_\Omega(x, \Gamma_t) \leq A) \\ \implies (u^s(t, x) = u(t + s, x) \geq p^+(t, x) - \delta). \end{aligned} \quad (4.3)$$

**Lemma 4.2** *Call*

$$\omega_A^- = \{(t, x) \in \mathbb{R} \times \overline{\Omega}; x \in \overline{\Omega_t^-} \text{ and } d_\Omega(x, \Gamma_t) \geq A\}.$$

For all  $s \geq s_0$ , one has

$$u^s \geq u \text{ in } \omega_A^-.$$

**Proof.** Fix  $s \geq s_0$  and define

$$\varepsilon^* = \inf \{\varepsilon > 0; u^s \geq u - \varepsilon \text{ in } \omega_A^-\}.$$

Since  $u$  is bounded,  $\varepsilon^*$  is a well-defined nonnegative real number and one has

$$u^s \geq u - \varepsilon^* \text{ in } \omega_A^-. \quad (4.4)$$

One only has to prove that  $\varepsilon^* = 0$ .

Assume by contradiction that  $\varepsilon^* > 0$ . There exist then a sequence  $(\varepsilon_n)_{n \in \mathbb{N}}$  of positive real numbers and a sequence of points  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\omega_A^-$  such that

$$\varepsilon_n \rightarrow \varepsilon^* \text{ as } n \rightarrow +\infty \text{ and } u^s(t_n, x_n) < u(t_n, x_n) - \varepsilon_n \text{ for all } n \in \mathbb{N}. \quad (4.5)$$

We first note that, when  $x \in \overline{\Omega_t^-}$  and  $d_\Omega(x, \Gamma_t) = A$ , then  $u(t, x) \leq p^-(t, x) + \delta$  from (4.2), while  $u^s(t, x) \geq p^+(t, x) - \delta$  from (4.3). Hence

$$\begin{aligned} u^s(t, x) - u(t, x) &\geq p^+(t, x) - p^-(t, x) - 2\delta \\ &\geq \kappa - 2\delta \geq 0 \text{ when } x \in \overline{\Omega_t^-} \text{ and } d_\Omega(x, \Gamma_t) = A. \end{aligned} \quad (4.6)$$

Since  $\nabla_x u$  is globally bounded in  $\mathbb{R} \times \overline{\Omega}$ , it follows from (4.5) and the positivity of  $\varepsilon^*$  that there exists  $\rho > 0$  such that

$$\liminf_{n \rightarrow +\infty} d_\Omega(x_n, \Gamma_{t_n}) \geq A + 2\rho.$$

Even if it means decreasing  $\rho$ , one can also assume without loss of generality that

$$0 < \rho < \tau,$$

where  $\tau$  is given in (1.5), and that

$$\rho \times (\|(u - p^+)_t\|_{L^\infty(\mathbb{R} \times \bar{\Omega})} + \|\nabla_x(u - p^+)\|_{L^\infty(\mathbb{R} \times \bar{\Omega})}) \leq \frac{\varepsilon^*}{2} \quad (4.7)$$

since  $u$  and  $p^+$  have bounded derivatives.

Next, we claim that the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded. Otherwise, up to extraction of some subsequence, one has

$$d_\Omega(x_n, \Gamma_{t_n}) \rightarrow +\infty \text{ and then } u(t_n, x_n) - p^-(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

But, from Proposition 4.1 and the fact that  $p^-$  is nondecreasing in time, one has

$$\begin{aligned} u(t_n, x_n) - p^-(t_n, x_n) &> \varepsilon_n + u(t_n + s, x_n) - p^-(t_n, x_n) \\ &\geq \varepsilon_n + p^-(t_n + s, x_n) - p^-(t_n, x_n) \\ &\geq \varepsilon_n \rightarrow \varepsilon^* > 0 \text{ as } n \rightarrow +\infty, \end{aligned}$$

which gives a contradiction. Therefore, the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded.

Since  $x_n \in \overline{\Omega_{t_n}^-}$  and  $d_\Omega(x_n, \Gamma_{t_n}) \geq A + \rho$  for  $n$  large enough (say, for  $n \geq n_0$ ), and since  $p^+$  invades  $p^-$ , it follows that

$$x_n \in \overline{\Omega_t^-} \text{ and } d_\Omega(x_n, \Gamma_t) \geq A + \rho \text{ for all } n \geq n_0 \text{ and } t \leq t_n$$

and even that

$$x \in \overline{\Omega_t^-} \text{ and } d_\Omega(x, \Gamma_t) \geq A \text{ for all } n \geq n_0, x \in \overline{B_\Omega(x_n, \rho)} \text{ and } t \leq t_n. \quad (4.8)$$

As a consequence, since  $\rho < \tau$ , there exists a sequence of points  $(y_n)_{n \in \mathbb{N}, n \geq n_0}$  in  $\bar{\Omega}$  such that

$$y_n \in \overline{\Omega_{t_n - \tau + \rho}^-} \text{ and } A + \rho = d_\Omega(y_n, \Gamma_{t_n - \tau + \rho}) = d_\Omega(x_n, \Gamma_{t_n - \tau + \rho}) - d_\Omega(x_n, y_n) \quad (4.9)$$

for all  $n \geq n_0$ . Thus, for each  $n \in \mathbb{N}$  with  $n \geq n_0$ , there exists a  $C^1$  path  $P_n : [0, 1] \rightarrow \overline{\Omega_{t_n - \tau + \rho}^-}$  such that  $P_n(0) = x_n$ ,  $P_n(1) = y_n$ , the length of  $P_n$  is equal to  $d_\Omega(x_n, y_n)$  and

$$d_\Omega(P_n(\sigma), \Gamma_{t_n - \tau + \rho}) \geq A + \rho \text{ for all } \sigma \in [0, 1].$$

Once again, since  $p^+$  invades  $p^-$ , it follows that

$$\forall n \geq n_0, \forall \sigma \in [0, 1], \forall x \in \overline{B_\Omega(P_n(\sigma), \rho)}, \forall t \leq t_n - \tau + \rho, x \in \overline{\Omega_t^-} \text{ and } d_\Omega(x, \Gamma_t) \geq A. \quad (4.10)$$

Together with (4.8), one gets that, for each  $n \geq n_0$ , the set

$$E_n = [t_n - \tau, t_n] \times \overline{B_\Omega(x_n, \rho)} \cup [t_n - \tau, t_n - \tau + \rho] \times \{x \in \bar{\Omega}; d_\Omega(x, P_n([0, 1])) \leq \rho\}$$

is included in  $\omega_A^-$ .

As a consequence, for all  $n \geq n_0$ ,

$$v := u^s - (u - \varepsilon^*) \geq 0 \text{ in } E_n$$

from (4.4), and

$$u(t, x) - \varepsilon^* < u(t, x) \leq p^-(t, x) + \delta \quad \text{for all } (t, x) \in E_n$$

from (4.2). Thus,

$$\begin{aligned} (u - \varepsilon^*)_t &= \nabla_x \cdot (A(x)\nabla_x(u - \varepsilon^*)) + q(x) \cdot \nabla_x(u - \varepsilon^*) + f(t, x, u) \\ &\leq \nabla_x \cdot (A(x)\nabla_x(u - \varepsilon^*)) + q(x) \cdot \nabla_x(u - \varepsilon^*) + f(t, x, u - \varepsilon^*) \end{aligned}$$

in  $E_n$  for all  $n \geq n_0$ , because  $f(t, x, \cdot)$  is nonincreasing in  $(-\infty, p^-(t, x) + \delta]$ . In other words, the function  $u - \varepsilon^*$  is a subsolution of (1.2) in  $E_n$  for all  $n \geq n_0$ . As far as the function  $u^s(t, x) = u(t + s, x)$  is concerned, it satisfies

$$\begin{aligned} u_t^s &= \nabla_x \cdot (A(x)\nabla_x u^s) + q(x) \cdot \nabla_x u^s + f(t + s, x, u^s) \\ &\geq \nabla_x \cdot (A(x)\nabla_x u^s) + q(x) \cdot \nabla_x u^s + f(t, x, u^s) \end{aligned}$$

for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$  because  $f(\cdot, x, \xi)$  is nondecreasing for all  $(x, \xi) \in \bar{\Omega} \times \mathbb{R}$ . Notice that we here use the fact that  $A$  and  $q$  are independent from the variable  $t$ . Furthermore,  $u^s$  still satisfies

$$\mu(x) \cdot \nabla_x u^s(t, x) = 0 \quad \text{on } \mathbb{R} \times \partial\Omega$$

because  $\mu$  is independent of  $t$ . In other words,  $u^s$  is a supersolution of (1.2). Consequently, since the functions  $f(t, x, \cdot)$  are locally Lipschitz-continuous uniformly with respect to  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ , the function  $v$  satisfies inequations of the type

$$v_t \geq \nabla_x \cdot (A(x)\nabla_x v) + q(x) \cdot \nabla_x v + b(t, x)v \quad \text{in } E_n$$

for all  $n \geq n_0$ , where the sequence  $(\|b\|_{L^\infty(E_n)})_{n \in \mathbb{N}, n \geq n_0}$  is bounded.

On the other hand, since the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded, it follows from assumption (1.5) that there exists then a sequence of points  $(\tilde{x}_n)_{n \in \mathbb{N}}$  in  $\bar{\Omega}$  such that

$$\tilde{x}_n \in \Gamma_{t_n - \tau} \quad \text{for all } n \in \mathbb{N}, \quad \text{and } \sup \{d_\Omega(x_n, \tilde{x}_n); n \in \mathbb{N}\} < +\infty.$$

Thus, for all  $n \geq n_0$ ,

$$d_\Omega(x_n, y_n) = d_\Omega(x_n, \Gamma_{t_n - \tau + \rho}) - (A + \rho) \leq d_\Omega(x_n, \Gamma_{t_n - \tau}) - (A + \rho) \leq d_\Omega(x_n, \tilde{x}_n) - (A + \rho)$$

since  $x_n \in \overline{\Omega_{t_n}^-}$  and the sets  $\Omega_t^-$  are non-increasing with respect to  $t$  in the sense of the inclusion (because  $p^+$  invades  $p^-$ ). The sequence  $(d_\Omega(x_n, y_n))_{n \in \mathbb{N}, n \geq n_0}$  is then bounded. Lastly, remember that the function  $\nabla_x v$  is bounded in  $\mathbb{R} \times \bar{\Omega}$ . As a conclusion, since  $v(t_n, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$  (because of (4.5) and  $v(t_n, x_n) \geq 0$ ), it follows from the linear parabolic estimates that

$$v(t_n - \tau, y_n) \rightarrow 0 \quad \text{as } n \rightarrow +\infty. \quad (4.11)$$

But, because of (4.9), there exists a sequence  $(z_n)_{n \in \mathbb{N}, n \geq n_0}$  such that

$$z_n \in \overline{\Omega_{t_n - \tau + \rho}^-}, \quad d_\Omega(y_n, z_n) = \rho \quad \text{and } d_\Omega(z_n, \Gamma_{t_n - \tau + \rho}) = A$$

for all  $n \geq n_0$ . Thus, for all  $n \geq n_0$ ,

$$u^s(t_n - \tau, y_n) - p^+(t_n - \tau, y_n) \geq u^s(t_n - \tau + \rho, z_n) - p^+(t_n - \tau + \rho, z_n) - \frac{\varepsilon^*}{2} \geq -\delta - \frac{\varepsilon^*}{2}$$

from (4.3) and (4.7). Moreover,

$$u(t_n - \tau, y_n) \leq p^-(t_n - \tau, y_n) + \delta \text{ for all } n \geq n_0$$

from (4.2) and (4.10). Eventually, for all  $n \geq n_0$ , there holds

$$\begin{aligned} v(t_n - \tau, y_n) &= u^s(t_n - \tau, y_n) - u(t_n - \tau, y_n) + \varepsilon^* \\ &= u^s(t_n - \tau, y_n) - p^+(t_n - \tau, y_n) + p^+(t_n - \tau, y_n) - u(t_n - \tau, y_n) + \varepsilon^* \\ &\geq -\delta - \frac{\varepsilon^*}{2} + p^+(t_n - \tau, y_n) - p^-(t_n - \tau, y_n) - \delta + \varepsilon^* \\ &\geq \kappa - 2\delta + \frac{\varepsilon^*}{2} \geq \frac{\varepsilon^*}{2} > 0 \end{aligned}$$

from (1.16) and the inequality  $2\delta \leq \kappa$ .

One has then reached a contradiction with (4.11). Hence  $\varepsilon^* = 0$  and the proof of Lemma 4.2 is thereby complete.  $\square$

Similarly, using now that  $f(t, x, \cdot)$  is nonincreasing in  $[p^+(t, x) - \delta, +\infty)$  and that  $u^s(t, x) \geq p^+(t, x) - \delta/2 \geq p^+(t, x) - \delta$  provided that  $(t, x) \notin \omega_A^-$  and  $s \geq s_0$ , we shall prove the following:

**Lemma 4.3** *For all  $s \geq s_0$ , one has*

$$u^s \geq u \text{ in } \omega_A^+ := \mathbb{R} \times \overline{\Omega} \setminus \omega_A^-.$$

**Proof.** The proof uses some of the tools of that of Lemma 4.2, but it is not just identical, because the time-sections of  $\omega_A^+$ , namely the sets  $\overline{\Omega}_t^+ \cup \{x \in \overline{\Omega}_t^-; d_\Omega(x, \Gamma_t) < A\}$ , are now nondecreasing with respect to time  $t$  in the sense of the inclusion.

Fix  $s \geq s_0$  and define

$$\varepsilon_* = \inf \{ \varepsilon > 0; u^s + \varepsilon \geq u \text{ in } \omega_A^+ \}.$$

This nonnegative real number is well-defined since  $u$  is globally bounded, and one has

$$w := u^s + \varepsilon_* - u \geq 0 \text{ in } \omega_A^+.$$

Furthermore, Lemma 4.2 implies that

$$w \geq \varepsilon_* \text{ in } \omega_A^-. \tag{4.12}$$

In particular,  $w$  is nonnegative in  $\mathbb{R} \times \overline{\Omega}$ .

To get the conclusion of Lemma 4.3, it is sufficient to prove that  $\varepsilon_* = 0$ . Assume by contradiction that  $\varepsilon_* > 0$ . There exists then a sequence  $(\varepsilon_n)_{n \in \mathbb{N}}$  of positive real numbers and a sequence of points  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\omega_A^+$  such that

$$\varepsilon_n \rightarrow \varepsilon_* \text{ as } n \rightarrow +\infty, \text{ and } u^s(t_n, x_n) + \varepsilon_n < u(t_n, x_n) \text{ for all } n \in \mathbb{N}.$$

If the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  were not bounded, then, up to extraction of a subsequence, it would converge to  $+\infty$ , whence

$$x_n \in \overline{\Omega_{t_n}^+} \subset \overline{\Omega_{t_n+s}^+} \text{ and } d_\Omega(x_n, \Gamma_{t_n+s}) \geq d_\Omega(x_n, \Gamma_{t_n}) \text{ for large } n.$$

Therefore,  $d_\Omega(x_n, \Gamma_{t_n+s}) \rightarrow +\infty$  and  $u^s(t_n, x_n) - p^+(t_n + s, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$ . But

$$\begin{aligned} u^s(t_n, x_n) - p^+(t_n + s, x_n) &< u(t_n, x_n) - \varepsilon_n - p^+(t_n + s, x_n) \\ &\leq p^+(t_n, x_n) - p^+(t_n + s, x_n) - \varepsilon_n \\ &\leq -\varepsilon_n \rightarrow -\varepsilon_* < 0 \text{ as } n \rightarrow +\infty \end{aligned}$$

from Proposition 4.1 and since  $p^+$  is nondecreasing in time. This gives a contradiction.

Thus, the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded. From (1.5), there exists then a sequence  $(\tilde{x}_n)_{n \in \mathbb{N}}$  in  $\overline{\Omega}$  such that

$$\tilde{x}_n \in \Gamma_{t_n-\tau} \text{ for all } n \in \mathbb{N}, \text{ and } \sup \{d_\Omega(x_n, \tilde{x}_n); n \in \mathbb{N}\} < +\infty.$$

Because of (1.6), there exist  $r > 0$  and a sequence  $(y_n)_{n \in \mathbb{N}}$  in  $\overline{\Omega}$  such that

$$y_n \in \overline{\Omega_{t_n-\tau}^-}, d_\Omega(\tilde{x}_n, y_n) = r \text{ and } d_\Omega(y_n, \Gamma_{t_n-\tau}) \geq A \text{ for all } n \in \mathbb{N}.$$

There exists then a sequence  $(z_n)_{n \in \mathbb{N}}$  in  $\overline{\Omega}$  such that

$$z_n \in \overline{\Omega_{t_n-\tau}^-} \text{ and } A = d_\Omega(z_n, \Gamma_{t_n-\tau}) = d_\Omega(y_n, \Gamma_{t_n-\tau}) - d_\Omega(y_n, z_n) \text{ for all } n \in \mathbb{N}. \quad (4.13)$$

Since  $d_\Omega(y_n, z_n) \leq d_\Omega(y_n, \Gamma_{t_n-\tau}) \leq d_\Omega(y_n, \tilde{x}_n) = r$  and since the sequence  $(d_\Omega(x_n, \tilde{x}_n))_{n \in \mathbb{N}}$  is bounded, one gets finally that the sequence  $(d_\Omega(x_n, z_n))_{n \in \mathbb{N}}$  is bounded.

Choose now  $\rho > 0$  so that

$$\rho \|(u^s - u)_t\|_{L^\infty(\mathbb{R} \times \overline{\Omega})} + 2\rho \|\nabla_x(u^s - u)\|_{L^\infty(\mathbb{R} \times \overline{\Omega})} < \varepsilon_* \quad (4.14)$$

and  $K \in \mathbb{N} \setminus \{0\}$  so that

$$K\rho \geq \max\left(\tau, \sup \{d_\Omega(x_n, z_n); n \in \mathbb{N}\}\right). \quad (4.15)$$

For each  $n \in \mathbb{N}$ , there exists then a sequence of points  $(X_{n,0}, X_{n,1}, \dots, X_{n,K})$  in  $\overline{\Omega}$  such that

$$X_{n,0} = x_n, X_{n,K} = z_n \text{ and } d_\Omega(X_{n,i}, X_{n,i+1}) \leq \rho \text{ for each } 0 \leq i \leq K-1.$$

For each  $n \in \mathbb{N}$  and  $0 \leq i \leq K-1$ , set

$$E_{n,i} = \left[ t_n - \frac{i+1}{K}\tau, t_n - \frac{i}{K}\tau \right] \times \overline{B_\Omega(X_{n,i}, 2\rho)}.$$

Since  $w(t_n, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$ , it follows from (4.14) and (4.15) that  $w < \varepsilon_*$  in  $E_{n,0}$  for large  $n$ , whence  $E_{n,0} \subset \omega_A^+$  from (4.12). Consequently,

$$u^s(t, x) + \varepsilon_* > u^s(t, x) \geq p^+(t, x) - \delta \text{ in } E_{n,0} \text{ for large } n$$



from (4.3). Since  $f(t, x, \cdot)$  is nonincreasing in  $[p^+(t, x) - \delta, +\infty)$  for all  $(t, x) \in \mathbb{R} \times \overline{\Omega}$  and since  $u^s$  is a supersolution of (1.2), it follows then as in the proof of Lemma 4.2 that the nonnegative function  $w$  satisfies inequations of the type

$$w_t \geq \nabla_x(A(x)\nabla_x w) + q(x) \cdot \nabla_x w + b(t, x)w \quad \text{in } E_{n,0}$$

for  $n$  large enough, where the sequence  $(\|b\|_{L^\infty(E_{n,0})})_{n \in \mathbb{N}}$  is bounded. Remember also that  $\mu(x) \cdot \nabla_x w(t, x) = 0$  for all  $(t, x) \in \mathbb{R} \times \partial\Omega$ , and that  $\nabla_x w$  is bounded in  $\mathbb{R} \times \overline{\Omega}$ . Since  $w(t_n, X_{n,0}) = w(t_n, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$ , one concludes from the linear parabolic estimates that

$$w\left(t_n - \frac{\tau}{K}, X_{n,1}\right) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

An immediate induction yields  $w(t_n - i\tau/K, X_{n,i}) \rightarrow 0$  as  $n \rightarrow +\infty$  for each  $i = 1, \dots, K$ . In particular, for  $i = K$ ,

$$w(t_n - \tau, z_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

But  $z_n \in \overline{\Omega_{t_n - \tau}^-}$  and  $d_\Omega(z_n, \Gamma_{t_n - \tau}) = A$  for all  $n \in \mathbb{N}$ . As a consequence, for all  $n \in \mathbb{N}$ ,  $(t_n - \tau, z_n) \in \omega_A^-$  and  $w(t_n - \tau, z_n) \geq \varepsilon_*$  from (4.12).

One has then reached a contradiction, which means that  $\varepsilon_* = 0$ . That completes the proof of Lemma 4.3.  $\square$

**End of the proof of Theorem 1.10.** It follows from Lemmata 4.2 and 4.3 that

$$u^s \geq u \text{ in } \mathbb{R} \times \overline{\Omega} \text{ for all } s \geq s_0.$$

Now call

$$s^* = \inf \{s > 0; u^\sigma \geq u \text{ in } \mathbb{R} \times \overline{\Omega} \text{ for all } \sigma \geq s\}.$$

One has  $0 \leq s^* \leq s_0$  and one shall prove that  $s^* = 0$ . Assume that  $s^* > 0$ . Since  $u^{s^*} \geq u$  in  $\mathbb{R} \times \overline{\Omega}$ , two cases may occur: either  $\inf \{u^{s^*}(t, x) - u(t, x); d_\Omega(x, \Gamma_t) \leq A\} > 0$  or  $\inf \{u^{s^*}(t, x) - u(t, x); d_\Omega(x, \Gamma_t) \leq A\} = 0$ .

*Case 1:* assume that

$$\inf \{u^{s^*}(t, x) - u(t, x); d_\Omega(x, \Gamma_t) \leq A\} > 0.$$

Since  $u_t$  is globally bounded, there exists  $\eta_0 \in (0, s^*)$  such that

$$\forall \eta \in [0, \eta_0], \forall (t, x) \in \mathbb{R} \times \overline{\Omega}, \quad (d(x, \Gamma_t) \leq A) \implies (u^{s^* - \eta}(t, x) \geq u(t, x)). \quad (4.16)$$

For each  $\eta \in [0, \eta_0]$ , one then has  $u^{s^* - \eta}(t, x) \geq u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \overline{\Omega}$  such that  $x \in \overline{\Omega_t^-}$  and  $d_\Omega(x, \Gamma_t) = A$ , while  $u(t, x) \leq p^-(t, x) + \delta$  if  $x \in \overline{\Omega_t^-}$  and  $d_\Omega(x, \Gamma_t) \geq A$  (i.e.  $(t, x) \in \omega_A^-$ ) from (4.2). Therefore, the same arguments as in Lemma 4.2 imply that

$$\forall \eta \in [0, \eta_0], \quad u^{s^* - \eta} \geq u \text{ in } \omega_A^-. \quad (4.17)$$

On the other hand,

$$(x \in \overline{\Omega_t^+} \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies \left(u^{s^*}(t, x) \geq u(t, x) \geq p^+(t, x) - \frac{\delta}{2}\right)$$

from (4.2). Hence, even if it means decreasing  $\eta_0 > 0$ , one can assume without loss of generality that

$$\forall \eta \in [0, \eta_0], \quad (x \in \overline{\Omega_t^+} \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies (u^{s^*-\eta}(t, x) \geq p^+(t, x) - \delta).$$

Notice that this is the place where we use the choice of  $\delta/2 (< \delta)$  in the second property of (4.2). Furthermore, remember from (4.16) and (4.17) that, for all  $\eta \in [0, \eta_0]$ ,  $u^{s^*-\eta}(t, x) \geq u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \overline{\Omega}$  such that  $x \in \overline{\Omega_t^-}$ , or  $x \in \overline{\Omega_t^+}$  and  $d_\Omega(x, \Gamma_t) \leq A$ . As in Lemma 4.3, one then gets that

$$\forall \eta \in [0, \eta_0], \quad (x \in \overline{\Omega_t^+} \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies (u^{s^*-\eta}(t, x) \geq u(t, x)).$$

One concludes that  $u^{s^*-\eta} \geq u$  in  $\mathbb{R} \times \overline{\Omega}$  for all  $\eta \in [0, \eta_0]$ . That contradicts the minimality of  $s^*$  and case 1 is then ruled out.

*Case 2:* assume that

$$\inf \{u^{s^*}(t, x) - u(t, x); d_\Omega(x, \Gamma_t) \leq A\} = 0.$$

There exists then a sequence  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \overline{\Omega}$  such that

$$d_\Omega(x_n, \Gamma_{t_n}) \leq A \text{ and } u^{s^*}(t_n, x_n) - u(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

Since  $u^{s^*}$  is a supersolution of (1.2) in  $\mathbb{R} \times \overline{\Omega}$  (as already noticed in the proof of Lemma 4.2) and since  $u^{s^*} \geq u$  in  $\mathbb{R} \times \overline{\Omega}$ , it follows from the linear parabolic estimates that

$$u(t_n, x_n) - u(t_n - s^*, x_n) = u^{s^*}(t_n - s^*, x_n) - u(t_n - s^*, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

By immediate induction, one has that

$$u(t_n, x_n) - u(t_n - ks^*, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty \tag{4.18}$$

for each  $k \in \mathbb{N}$ .

Fix any  $\varepsilon > 0$ . Let  $B_\varepsilon > 0$  be such that

$$\forall (t, x) \in \mathbb{R} \times \overline{\Omega}, \quad (x \in \overline{\Omega_t^-} \text{ and } d_\Omega(x, \Gamma_t) \geq B_\varepsilon) \implies (u(t, x) \leq p^-(t, x) + \varepsilon).$$

On the other hand, since  $p^+$  invades  $p^-$  and since the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded, there exists  $m \in \mathbb{N}$  such that

$$x_n \in \overline{\Omega_{t_n - ms^*}^-} \text{ and } d_\Omega(x_n, \Gamma_{t_n - ms^*}) \geq B_\varepsilon \text{ for all } n \in \mathbb{N}.$$

Hence,

$$u(t_n - ms^*, x_n) \leq p^-(t_n - ms^*, x_n) + \varepsilon \leq p^-(t_n, x_n) + \varepsilon \text{ for all } n \in \mathbb{N}$$

since  $p^-$  is nondecreasing in time. Together with (4.18) applied to  $k = m$ , one concludes that

$$\limsup_{n \rightarrow +\infty} (u(t_n, x_n) - p^-(t_n, x_n)) \leq \varepsilon.$$

But  $u \geq p^-$  from Proposition 4.1, and  $\varepsilon > 0$  was arbitrary. One obtains that

$$u(t_n, x_n) - p^-(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty. \quad (4.19)$$

Let now  $B > 0$  be such that

$$\forall (t, x) \in \mathbb{R} \times \bar{\Omega}, \quad (x \in \overline{\Omega_t^+} \text{ and } d_\Omega(x, \Gamma_t) \geq B) \implies \left( u(t, x) \geq p^+(t, x) - \frac{\kappa}{2} \right),$$

where  $\kappa > 0$  has been defined in (1.16). From assumption (1.5), and since the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded, there exists a sequence  $(\tilde{x}_n)_{n \in \mathbb{N}}$  in  $\bar{\Omega}$  such that

$$\tilde{x}_n \in \Gamma_{t_n - \tau} \text{ for all } n \in \mathbb{N}, \text{ and } \sup \{ d_\Omega(x_n, \tilde{x}_n); n \in \mathbb{N} \} < +\infty.$$

From (1.6), there exist  $r > 0$  and a sequence  $(y_n)_{n \in \mathbb{N}}$  in  $\bar{\Omega}$  such that

$$y_n \in \overline{\Omega_{t_n - \tau}^+}, \quad d_\Omega(y_n, \tilde{x}_n) = r \text{ and } d_\Omega(y_n, \Gamma_{t_n - \tau}) \geq B \text{ for all } n \in \mathbb{N}.$$

Thus,

$$u(t_n - \tau, y_n) \geq p^+(t_n - \tau, y_n) - \frac{\kappa}{2} \text{ for all } n \in \mathbb{N}.$$

Remember now that both  $u \geq p^-$  are two bounded solutions of (1.2) and that  $f(t, x, \xi)$  is locally Lipschitz-continuous in  $\xi$ , uniformly with respect to  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ . Notice also that the sequence  $(d_\Omega(x_n, y_n))_{n \in \mathbb{N}}$  is bounded. Since  $u(t_n, x_n) - p^-(t_n, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$  because of (4.19), one concludes that

$$u(t_n - \tau, y_n) - p^-(t_n - \tau, y_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

But

$$u(t_n - \tau, y_n) - p^-(t_n - \tau, y_n) \geq p^+(t_n - \tau, y_n) - \frac{\kappa}{2} - p^-(t_n - \tau, y_n) \geq \frac{\kappa}{2} > 0$$

owing to the definition of  $\kappa$ . One has then reached a contradiction and case 2 is then ruled out too.

As a consequence,  $s^* = 0$  and

$$u^s \geq u \text{ in } \mathbb{R} \times \bar{\Omega} \text{ for all } s \geq 0.$$

Let us now prove that the inequality is strict if  $s > 0$ . Choose any  $s > 0$  and assume that

$$u^s(t_0, x_0) = u(t_0, x_0) \text{ for some } (t_0, x_0) \in \mathbb{R} \times \bar{\Omega}.$$

Since  $u^s (\geq u)$  is a supersolution of (1.2), one gets that

$$u^s(t, x) = u(t, x) \text{ for all } t \leq t_0 \text{ and } x \in \bar{\Omega}$$

from the strong parabolic maximum principle and Hopf lemma. Fix any  $t \leq t_0$  and  $x \in \bar{\Omega}$ . For all  $k \in \mathbb{N}$ , one then has

$$0 \leq u(t, x) - p^-(t, x) = u(t - ks) - p^-(t, x) \leq u(t - ks, x) - p^-(t - ks, x)$$

because  $p^-$  is nondecreasing in time. But the right-hand side converges to 0 as  $k \rightarrow +\infty$ , because  $s > 0$  and because of Definition 1.4 (here,  $p^+$  invades  $p^-$ ). It follows that  $u(t, x) = p^-(t, x)$  for all  $t \leq t_0$  and  $x \in \bar{\Omega}$ , which is impossible because of Proposition 4.1.

As a conclusion,  $u^s(t, x) > u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$  and  $s > 0$ . That completes the proof of Theorem 1.10.  $\square$

## 5 Uniqueness of the mean speed, comparison of almost planar fronts and reduction to pulsating fronts

In this section, we prove, under some appropriate assumptions, the uniqueness of the speed among all almost-planar invasion fronts, and that the transition fronts reduce in some standard situations to the usual planar or pulsating fronts. Let us first proceed with the

**Proof of Theorem 1.11.** Notice first that  $c$  and  $\tilde{c}$  are (strictly) positive. Indeed,

$$d_\Omega(\Gamma_t, \Gamma_s), \quad d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s) \rightarrow +\infty \quad \text{as } |t - s| \rightarrow +\infty,$$

and the quantities  $d_\Omega(\Gamma_t, \Gamma_s) - c|t - s|$  and  $d_\Omega(\tilde{\Gamma}_t, \tilde{\Gamma}_s) - \tilde{c}|t - s|$  are assumed to be bounded uniformly with respect to  $(t, s) \in \mathbb{R}^2$ .

One shall prove that  $c = \tilde{c}$  and that  $\tilde{u}$  is above  $u$  up to shift in time. Assume that  $\tilde{c} < c$  (the other case can be treated similarly by permuting the roles of  $u$  and  $\tilde{u}$ ). Define

$$v(t, x) = \tilde{u}\left(\frac{c}{\tilde{c}}t, x\right)$$

and notice that

$$v_t(t, x) = \frac{c}{\tilde{c}} \tilde{u}_t\left(\frac{c}{\tilde{c}}t, x\right) \geq \tilde{u}_t\left(\frac{c}{\tilde{c}}t, x\right) = \nabla_x \cdot (A(x)\nabla_x v(t, x)) + q(x) \cdot \nabla_x v(t, x) + f(x, v(t, x))$$

because  $c/\tilde{c} \geq 1$  and  $\tilde{u}_t \geq 0$  from Theorem 1.10. We also use the fact that both  $A$ ,  $q$  and  $f$  are independent of  $t$ . Furthermore,  $\mu(x) \cdot \nabla_x v(t, x) = 0$  on  $\mathbb{R} \times \partial\Omega$ . Therefore, the function  $v$ , as well as all its time-shifts, is a supersolution for (1.2). It also follows from Definition 1.1 that

$$v(t, x) - p^\pm(x) \rightarrow 0 \quad \text{uniformly as } x \in \overline{\tilde{\Omega}_{ct/\tilde{c}}^\pm} \quad \text{and } d_\Omega(x, \Gamma_{ct/\tilde{c}}) \rightarrow +\infty, \quad (5.1)$$

where

$$\tilde{\Omega}_{ct/\tilde{c}}^\pm = \{x \in \Omega, \pm(x \cdot e - \tilde{\xi}_{ct/\tilde{c}}) < 0\} \quad \text{and } \tilde{\Gamma}_{ct/\tilde{c}}^\pm = \{x \in \Omega, x \cdot e = \tilde{\xi}_{ct/\tilde{c}}\}.$$

Remember that the quantities

$$d_\Omega(\tilde{\Gamma}_{ct/\tilde{c}}, \tilde{\Gamma}_{cs/\tilde{c}}) - \tilde{c} \left| \frac{c}{\tilde{c}}t - \frac{c}{\tilde{c}}s \right| = d_\Omega(\tilde{\Gamma}_{ct/\tilde{c}}, \tilde{\Gamma}_{cs/\tilde{c}}) - c|t - s|$$

are bounded independently of  $(t, s) \in \mathbb{R}^2$ . As a consequence, the map

$$t \mapsto d_\Omega(\Gamma_t, \Gamma_0) - d_\Omega(\tilde{\Gamma}_{ct/\tilde{c}}, \tilde{\Gamma}_0)$$

is bounded in  $\mathbb{R}$ . Furthermore, both  $u$  and  $\tilde{u}$  are almost planar invasion fronts ( $p^+$  invades  $p^-$ ) in the same direction  $e$ , whence the maps  $t \mapsto \xi_t$  and  $t \mapsto \tilde{\xi}_t$  are nondecreasing. Eventually, one gets that

$$\sup \{d_\Omega(\tilde{\Gamma}_{ct/\tilde{c}}, \Gamma_t); t \in \mathbb{R}\} < +\infty \quad \text{and} \quad \sup \{|\tilde{\xi}_{ct/\tilde{c}} - \xi_t|; t \in \mathbb{R}\} < +\infty. \quad (5.2)$$

On the other hand, Definition 1.1 applied to  $u$  implies that there exists  $A > 0$  such that

$$\forall (t, x) \in \mathbb{R} \times \bar{\Omega}, \quad \begin{cases} (x \in \bar{\Omega}_t^- \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies (u(t, x) \leq p^-(x) + \delta) \\ (x \in \bar{\Omega}_t^+ \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies (u(t, x) \geq p^+(x) - \frac{\delta}{2}). \end{cases} \quad (5.3)$$

Since  $u$  and  $\tilde{u}$  are almost planar in the same direction  $e$  and since  $\tilde{u}$  is an invasion of  $p^-$  by  $p^+$ , properties (5.1) and (5.2) yield the existence of  $s_0 > 0$  such that, for all  $s \geq s_0$  and for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ ,

$$(x \in \bar{\Omega}_t^+) \text{ or } (x \in \bar{\Omega}_t^- \text{ and } d_\Omega(x, \Gamma_t) \leq A) \implies (v^s(t, x) = v(t + s, x) \geq p^+(x) - \delta).$$

Choose any  $s \geq s_0$ . Since  $p^- \leq u$ ,  $v \leq p^+$  (from Proposition 4.1) and

$$0 < 2\delta \leq \kappa := \inf \{p^+(t, x) - p^-(t, x); (t, x) \in \mathbb{R} \times \bar{\Omega}\}$$

(even if it means decreasing  $\delta$  without loss of generality), the arguments used in Lemma 4.2 imply that

$$u(t, x) \leq v^s(t, x) \text{ in } \omega_A^-, \text{ i.e. for all } x \in \bar{\Omega}_t^- \text{ such that } d_\Omega(x, \Gamma_t) \geq A.$$

Therefore, the arguments used in the proof of Lemma 4.3 similarly imply that

$$u(t, x) \leq v^s(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega} \setminus \omega_A^-.$$

Thus,

$$u \leq v^s \text{ in } \mathbb{R} \times \bar{\Omega} \text{ for all } s \geq s_0.$$

Call now

$$s^* = \inf \{s \in \mathbb{R}; u \leq v^s \text{ in } \mathbb{R} \times \bar{\Omega}\}.$$

One has  $s^* \leq s_0$  and  $s^* > -\infty$  because  $p^-(x) < u(t, x) < p^+(x)$  for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$  (from Theorem 1.10) and

$$v^s(0, x_0) = \tilde{u}\left(\frac{c}{\tilde{c}}s, x_0\right) \rightarrow p^-(x_0) \text{ as } s \rightarrow -\infty$$

for all  $x_0 \in \bar{\Omega}$  (see Definition 1.4). There holds

$$u \leq v^{s^*} \text{ in } \mathbb{R} \times \bar{\Omega}.$$

In particular,

$$(x \in \bar{\Omega}_t^+ \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies (v^{s^*}(t, x) \geq u(t, x) \geq p^+(x) - \frac{\delta}{2}). \quad (5.4)$$

Assume now that

$$\inf \{v^{s^*}(t, x) - u(t, x); d_\Omega(x, \Gamma_t) \leq A\} > 0. \quad (5.5)$$

The same property then holds when  $s^*$  is replaced with  $s^* - \eta$  for any  $\eta \in [0, \eta_0]$  and  $\eta_0 > 0$  small enough, since  $v_t$  (like  $\tilde{u}_t$ ) is globally bounded. From (5.4), one can assume that  $\eta_0 > 0$  is small enough so that

$$(x \in \overline{\Omega_t^+} \text{ and } d_\Omega(x, \Gamma_t) \geq A) \implies (v^{s^* - \eta}(t, x) \geq p^+(x) - \delta)$$

for all  $\eta \in [0, \eta_0]$ . The first property of (5.3) implies, as in Lemma 4.2, that

$$v^{s^* - \eta}(t, x) \geq u(t, x) \text{ for all } \eta \in [0, \eta_0] \text{ and } (t, x) \in \mathbb{R} \times \overline{\Omega} \text{ with } x \in \overline{\Omega_t^-} \text{ and } d_\Omega(x, \Gamma_t) \geq A.$$

The above inequality then holds for all  $(t, x) \in \mathbb{R} \times \overline{\Omega}$  such that  $x \in \overline{\Omega_t^-}$ , or  $x \in \overline{\Omega_t^+}$  and  $d_\Omega(x, \Gamma_t) \leq A$ . As in Lemma 4.3, one then gets that

$$v^{s^* - \eta}(t, x) \geq u(t, x) \text{ for all } \eta \in [0, \eta_0] \text{ and } (t, x) \in \mathbb{R} \times \overline{\Omega} \text{ with } x \in \overline{\Omega_t^+} \text{ and } d_\Omega(x, \Gamma_t) \geq A.$$

Eventually,

$$v^{s^* - \eta} \geq u \text{ in } \mathbb{R} \times \overline{\Omega}$$

for all  $\eta \in [0, \eta_0]$ . That contradicts the minimality of  $s^*$  and assumption (5.5) is false.

Therefore,

$$\inf \{v^{s^*}(t, x) - u(t, x); d_\Omega(x, \Gamma_t) \leq A\} = 0.$$

Then, there exists a sequence  $(t_n, x_n) \in \mathbb{R} \times \overline{\Omega}$  such that  $d_\Omega(x_n, \Gamma_{t_n}) \leq A$  for all  $n \in \mathbb{N}$  and

$$v^{s^*}(t_n, x_n) - u(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

Because of (1.5), there exists a sequence  $(\tilde{x}_n)_{n \in \mathbb{N}}$  in  $\overline{\Omega}$  such that

$$\tilde{x}_n \in \Gamma_{t_n - \tau} \text{ for all } n \in \mathbb{N}, \text{ and } \sup \{d_\Omega(x_n, \tilde{x}_n); n \in \mathbb{N}\} < +\infty.$$

Since  $v^{s^*}$  is a supersolution of (1.2) and  $v^{s^*} \geq u$  in  $\mathbb{R} \times \overline{\Omega}$ , it follows from the linear parabolic estimates that

$$\max \{v^{s^*}(t, x) - u(t, x); t_n - \tau - 1 \leq t \leq t_n - \tau, d_\Omega(x, \tilde{x}_n) \leq 1\} \rightarrow 0 \text{ as } n \rightarrow +\infty$$

and, since the functions  $v_t^{s^*}$ ,  $v_{x_i}^{s^*}$ ,  $v_{x_i x_j}^{s^*}$ ,  $u_t$ ,  $u_{x_i}$  and  $u_{x_i x_j}$  are globally Hölder continuous in  $\mathbb{R} \times \overline{\Omega}$  for all  $1 \leq i, j \leq N$ , one gets that

$$\begin{aligned} & |v_t^{s^*}(t_n - \tau, \tilde{x}_n) - u_t(t_n - \tau, \tilde{x}_n)| + |v_{x_i}^{s^*}(t_n - \tau, \tilde{x}_n) - u_{x_i}(t_n - \tau, \tilde{x}_n)| \\ & \quad + |v_{x_i x_j}^{s^*}(t_n - \tau, \tilde{x}_n) - u_{x_i x_j}(t_n - \tau, \tilde{x}_n)| \rightarrow 0 \text{ as } n \rightarrow +\infty \end{aligned}$$

for all  $1 \leq i, j \leq N$ . But

$$\begin{cases} \frac{\tilde{c}}{c} v_t^{s^*} &= \nabla_x \cdot (A(x) \nabla_x v^{s^*}) + q(x) \cdot \nabla_x v^{s^*} + f(x, v^{s^*}), \\ u_t &= \nabla_x \cdot (A(x) \nabla_x u) + q(x) \cdot \nabla_x u + f(x, u). \end{cases}$$

Therefore,  $(\tilde{c}/c - 1) u_t(t_n - \tau, \tilde{x}_n) \rightarrow 0$  as  $n \rightarrow +\infty$ , whence

$$u_t(t_n - \tau, \tilde{x}_n) \rightarrow 0 \text{ as } n \rightarrow +\infty,$$

because  $0 < \tilde{c} < c$ .

On the other hand, there exists  $A' > 0$  such that

$$(x \in \overline{\Omega}_t^+ \text{ and } d_\Omega(x, \Gamma_t) \geq A') \implies \left( u(t, x) \geq p^+(x) - \frac{\kappa}{3} \right),$$

where  $\kappa$  was defined in (1.16). From (1.5), there exists a sequence  $(y_n)_{n \in \mathbb{N}}$  in  $\overline{\Omega}$  such that

$$y_n \in \Gamma_{t_n - 2\tau} \text{ for all } n \in \mathbb{N}, \text{ and } \sup \{d_\Omega(\tilde{x}_n, y_n); n \in \mathbb{N}\} < +\infty.$$

From (1.6), there exist  $r > 0$  and a sequence  $(z_n)_{n \in \mathbb{N}}$  in  $\overline{\Omega}$  such that

$$z_n \in \overline{\Omega_{t_n - 2\tau}^+}, \quad d_\Omega(z_n, y_n) = r \text{ and } d_\Omega(z_n, \Gamma_{t_n - 2\tau}) \geq A'$$

for all  $n \in \mathbb{N}$ . Thus,

$$u(t_n - 2\tau, z_n) \geq p^+(z_n) - \frac{\kappa}{3} \text{ for all } n \in \mathbb{N}. \quad (5.6)$$

Since the sequence  $(d_\Omega(z_n, \tilde{x}_n))_{n \in \mathbb{N}}$  is bounded, since  $u_t(t_n - \tau, \tilde{x}_n) \rightarrow 0$  as  $n \rightarrow +\infty$  and since the globally  $C^1(\mathbb{R} \times \overline{\Omega})$  nonnegative function  $u_t$  satisfies

$$(u_t)_t = \nabla_x \cdot (A(x) \nabla_x u_t) + q(x) \cdot \nabla_x u_t + f_t(t, x, u) u_t \text{ in } \mathbb{R} \times \overline{\Omega}$$

with  $\|f_t(\cdot, \cdot, u(\cdot, \cdot))\|_{L^\infty(\mathbb{R} \times \overline{\Omega})} < +\infty$  and  $\mu(x) \cdot \nabla_x u_t = 0$  on  $\mathbb{R} \times \partial\Omega$ , the linear parabolic estimates imply that

$$u_t(t_n - 2\tau, z_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

Let now  $\varepsilon$  be any positive real number. Since the function  $u_t$  is globally  $C^1(\mathbb{R} \times \overline{\Omega})$ , there exist  $\sigma > 0$  and  $n_0 \in \mathbb{N}$  such that

$$0 \leq \max \{u_t(t, z_n); t \in [t_n - 2\tau - \sigma, t_n - 2\tau]\} \leq \varepsilon \text{ for all } n \geq n_0.$$

Remember that  $y_n \in \Gamma_{t_n - 2\tau}$  and  $d_\Omega(z_n, \Gamma_{t_n - 2\tau}) \leq d_\Omega(z_n, y_n) = r$ . Since  $u$  is an invasion front of  $p^-$  by  $p^+$ , there exists  $\sigma' > 0$  ( $\sigma'$  is independent of  $n$  and  $\varepsilon$ ) such that

$$u(t_n - 2\tau - \sigma', z_n) \leq p^-(z_n) + \frac{\kappa}{3} \text{ for all } n \in \mathbb{N}. \quad (5.7)$$

Since  $u_t(t_n - 2\tau, z_n) \rightarrow 0$  as  $n \rightarrow +\infty$  and  $u_t \geq 0$  in  $\mathbb{R} \times \overline{\Omega}$ , it follows that, if  $\sigma' \geq \sigma$ , then

$$0 \leq \max \{u_t(t, z_n); t \in [t_n - 2\tau - \sigma', t_n - 2\tau - \sigma]\} \rightarrow 0 \text{ as } n \rightarrow +\infty,$$

and then is less than  $\varepsilon$  for  $n \geq n_1$  (for some  $n_1 \in \mathbb{N}$ ). Therefore, in both cases  $\sigma' \geq \sigma$  or  $\sigma' \leq \sigma$ , one has

$$0 \leq \max \{u_t(t, z_n); t \in [t_n - 2\tau - \sigma', t_n - 2\tau]\} \leq \varepsilon \text{ for all } n \geq \max(n_0, n_1).$$

Hence

$$u(t_n - 2\tau - \sigma', z_n) \leq u(t_n - 2\tau, z_n) \leq u(t_n - 2\tau - \sigma', z_n) + \sigma'\varepsilon$$

for  $n$  large enough, and then

$$u(t_n - 2\tau, z_n) - u(t_n - 2\tau - \sigma', z_n) \rightarrow 0 \text{ as } n \rightarrow +\infty$$

because  $\varepsilon > 0$  was arbitrary and  $\sigma'$  was independent of  $\varepsilon$ . But

$$u(t_n - 2\tau, z_n) - u(t_n - 2\tau - \sigma', z_n) \geq p^+(z_n) - \frac{\kappa}{3} - p^-(z_n) - \frac{\kappa}{3} \geq \frac{\kappa}{3} > 0 \text{ for all } n \in \mathbb{N}$$

because of (5.6), (5.7) and of the definition of  $\kappa$  in (1.16). One has then reached a contradiction.

As a consequence,

$$\tilde{c} \geq c.$$

The other inequality follows by reversing the roles of  $u$  and  $\tilde{u}$ . Thus,  $c = \tilde{c}$ .

The above arguments also imply that, for  $u$  and  $\tilde{u}$  as in Theorem 1.11, there exists (the smallest)  $T \in \mathbb{R}$  such that  $\tilde{u}(t + T, x) \geq u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ . The strong parabolic maximum principle and Hopf lemma imply that either the inequality is strict everywhere, or the two functions  $u$  and  $\tilde{u}^T$  are identically equal. That completes the proof of Theorem 1.11.  $\square$

Let us now turn to the proof of the reduction of almost planar invasion fronts to pulsating fronts in periodic media.

**Proof of Theorem 1.13.** To prove part (i), fix  $k \in L_1\mathbb{Z} \times \cdots \times L_N\mathbb{Z}$ . By periodicity, the function

$$\tilde{u}(t, x) = u(t, x + k)$$

is a solution of (1.2). Furthermore,  $\tilde{u}$ , like  $u$ , satisfies all assumptions of Theorem 1.11. Thus, there exists (the smallest)  $T \in \mathbb{R}$  such that

$$\tilde{u}(t + T, x) = u(t + T, x + k) \geq u(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega} \quad (5.8)$$

and there exists a sequence of points  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \bar{\Omega}$  such that

$$(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}} \text{ is bounded and } u(t_n + T, x_n + k) - u(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty. \quad (5.9)$$

It shall then follow that

$$\liminf_{n \rightarrow +\infty} |u(t_n, x_n) - p^\pm(x_n)| > 0. \quad (5.10)$$

Indeed, assume for instance that, up to extraction of some subsequence,  $u(t_n, x_n) - p^-(x_n) \rightarrow 0$  as  $n \rightarrow +\infty$  (the case  $u(t_n, x_n) - p^+(x_n) \rightarrow 0$  as  $n \rightarrow +\infty$  could be handled similarly). Then

$$\max \{u(t_n - \tau, y) - p^-(y); d_\Omega(y, x_n) \leq C\} \rightarrow 0 \text{ as } n \rightarrow +\infty$$

for any  $C \geq 0$ , from the linear parabolic estimates applied to the nonnegative function  $u - p^-$  (remember that  $\tau > 0$  is given in (1.5)). But there is a sequence  $(y_n)_{n \in \mathbb{N}}$  in  $\bar{\Omega}$  such that

$$(d_\Omega(y_n, x_n))_{n \in \mathbb{N}} \text{ is bounded, } y_n \in \overline{\Omega_{t_n - \tau}^+} \text{ and } u(t_n - \tau, y_n) \geq p^+(y_n) - \frac{\kappa}{2} \text{ for all } n \in \mathbb{N}$$



(one uses the facts that the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded and that (1.6) is automatically satisfied by periodicity of  $\Omega$ ). One then gets a contradiction as  $n \rightarrow +\infty$ . Thus, (5.10) holds.

Write

$$x_n = x'_n + x''_n$$

for all  $n \in \mathbb{N}$ , where  $x'_n \in L_1\mathbb{Z} \times \cdots \times L_N\mathbb{Z}$  and  $x''_n \in [0, L_1] \times \cdots \times [0, L_N] \cap \overline{\Omega}$ . Set

$$u_n(t, x) = u(t + t_n, x + x'_n)$$

for all  $n \in \mathbb{N}$  and  $(t, x) \in \mathbb{R} \times \overline{\Omega}$ . The functions  $u_n$  satisfy the same equation (1.2) with the same boundary conditions (1.14) as  $u$ , since the domain  $\Omega$  is periodic and the coefficients  $A$ ,  $q$ ,  $f$  and  $\mu$  are periodic and independent of  $t$ . Up to extraction of a subsequence one can assume that  $x''_n \rightarrow x_\infty \in \overline{\Omega}$  as  $n \rightarrow +\infty$  and that, from standard parabolic estimates,  $u_n(t, x) \rightarrow u_\infty(t, x)$  locally uniformly in  $\mathbb{R} \times \overline{\Omega}$ , where  $u_\infty$  solves (1.2) and (1.14). Furthermore,

$$u_\infty(t + T, x + k) \geq u_\infty(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \overline{\Omega}$$

from (5.8), and

$$u_\infty(T, x_\infty + k) = u_\infty(0, x_\infty)$$

from (5.9). It follows then from the strong maximum principle, Hopf lemma and the uniqueness of the solution of the Cauchy problem for (1.2) and (1.14), that

$$u_\infty(t + T, x + k) = u_\infty(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \overline{\Omega}. \quad (5.11)$$

Furthermore,

$$u_\infty(0, x_\infty) \neq p^\pm(x_\infty) \quad (5.12)$$

from (5.10).

On the other hand, as already noticed in the proof of Theorem 1.11, the global mean speed  $c$  is positive. Since the quantities  $d_\Omega(\Gamma_t, \Gamma_s) - c|t - s|$  are bounded independently of  $(t, s) \in \mathbb{R}^2$ , since

$$\Omega_t^\pm = \{x \in \Omega, \pm(x \cdot e - \xi_t) < 0\}$$

and since  $t \mapsto \xi_t$  is nondecreasing (because  $p^+$  invades  $p^-$ ), it follows from the definition of  $\gamma = \gamma(e)$  in (1.20) that there exists  $M \geq 0$  such that

$$|\xi_t - c\gamma^{-1}t| \leq M \text{ for all } t \in \mathbb{R}. \quad (5.13)$$

But, from Definition 1.1, since the geodesic distance is not smaller than the Euclidean distance, one has that

$$u_n(t, x) - p^\pm(x) = u(t + t_n, x + x'_n) - p^\pm(x + x'_n) \rightarrow 0 \text{ as } (x + x'_n) \cdot e - \xi_{t+t_n} \rightarrow \mp\infty,$$

uniformly with respect to  $n$  and  $(t, x)$ . Write

$$(x + x'_n) \cdot e - \xi_{t+t_n} = x \cdot e - \xi_t + x_n \cdot e - \xi_{t_n} - x''_n \cdot e + \xi_t + \xi_{t_n} - \xi_{t+t_n}.$$

The sequence  $(x_n \cdot e - \xi_{t_n})_{n \in \mathbb{N}}$  is bounded because  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is bounded. The quantities  $\xi_t + \xi_{t_n} - \xi_{t+t_n}$  are bounded independently of  $t$  and  $n$  because of (5.13). Lastly, the sequence  $(x_n'' \cdot e)_{n \in \mathbb{N}}$  is also bounded. Finally, one gets that

$$u_\infty(t, x) - p^\pm(x) \rightarrow 0 \quad \text{as } x \cdot e - \xi_t \rightarrow \mp\infty$$

uniformly with respect to  $(t, x)$ .

Assume now, by contradiction, that  $T > \gamma(k \cdot e)/c$  (one shall actually prove that  $T = \gamma(k \cdot e)/c$ ). Since

$$(x_\infty + mk) \cdot e - \xi_{mT} \rightarrow \mp\infty \quad \text{as } m \in \mathbb{Z} \text{ and } m \rightarrow \pm\infty$$

because of our assumption and because of (5.13), it follows that

$$u_\infty(mT, x_\infty + mk) - p^\pm(x_\infty + mk) \rightarrow 0 \quad \text{as } m \in \mathbb{Z} \text{ and } m \rightarrow \pm\infty.$$

But  $p^\pm(x_\infty + mk) = p^\pm(x_\infty)$  for all  $m \in \mathbb{Z}$  by periodicity of  $p^\pm$ , and  $u_\infty(mT, x_\infty + mk) = u_\infty(0, x_\infty)$  for all  $m \in \mathbb{Z}$  because of (5.11). One finally gets a contradiction with (5.12).

Therefore, the inequality  $T > \gamma(k \cdot e)/c$  was impossible, whence  $T \leq \gamma(k \cdot e)/c$  and

$$u\left(t + \frac{\gamma k \cdot e}{c}, x + k\right) \geq u(t, x) \quad \text{for all } (t, x) \in \mathbb{R} \times \bar{\Omega}.$$

Similarly, by fixing the function  $u(t, x + k)$  and sliding  $u(t, x)$  with respect to  $t$ , one can prove that

$$u\left(t - \frac{\gamma k \cdot e}{c}, x\right) \geq u(t, x + k) \quad \text{for all } (t, x) \in \mathbb{R} \times \bar{\Omega}.$$

As a consequence,

$$u\left(t + \frac{\gamma k \cdot e}{c}, x + k\right) = u(t, x) \quad \text{for all } (t, x) \in \mathbb{R} \times \bar{\Omega}, \quad (5.14)$$

namely  $u$  is a pulsating traveling front in the sense of (1.19). Its global mean speed is equal to  $c\gamma^{-1}$  in the sense of (1.19), but it is equal to  $c$  in the more intrinsic sense of Definition 1.6.

Let now  $u$  and  $v$  be two fronts satisfying all assumptions of part (i) of Theorem 1.13. One shall prove that  $u$  and  $v$  are equal up to shift in time. From Theorem 1.11, there exists (the smallest)  $T \in \mathbb{R}$  such that

$$v(t + T, x) \geq u(t, x) \quad \text{for all } (t, x) \in \mathbb{R} \times \bar{\Omega}$$

and there exists a sequence  $(t_n, x_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \bar{\Omega}$  such that

$$(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}} \text{ is bounded, and } v(t_n + T, x_n) - u(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

Since both  $u$  and  $v$  satisfy (5.14) for all  $k \in L_1\mathbb{Z} \times \cdots \times L_N\mathbb{Z}$ , one can assume without loss of generality that the sequence  $(x_n)_{n \in \mathbb{N}}$  is bounded. But since the sequence  $(d_\Omega(x_n, \Gamma_{t_n}))_{n \in \mathbb{N}}$  is itself bounded and since  $u$  is an invasion front, the sequence  $(t_n)_{n \in \mathbb{N}}$  is then bounded as well.

Up to extraction of some subsequence, one can then assume that  $(t_n, x_n) \rightarrow (\bar{t}, \bar{x}) \in \mathbb{R} \times \bar{\Omega}$ , whence

$$v(\bar{t} + T, \bar{x}) = u(\bar{t}, \bar{x}).$$

The strong parabolic maximum principle and Hopf lemma then yield

$$v(t + T, x) = u(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega},$$

which completes the proof of part (i) of Theorem 1.13.

To prove part (ii), assume, without loss of generality, that  $e = e_1 = (1, 0, \dots, 0)$ . Fix any  $\sigma \in \mathbb{R} \setminus \{0\}$ . The data  $\Omega$ ,  $A$ ,  $q$ ,  $f$ ,  $\mu$  and  $p^\pm$  are then periodic with respect to the positive vector  $(|\sigma|, L_2, \dots, L_N)$ . Part (i) applied to  $k = (\sigma, 0, \dots, 0)$  then implies that

$$u\left(t + \frac{\gamma\sigma}{c}, x\right) = u(t, x_1 - \sigma, x_2, \dots, x_N)$$

for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$ , where  $\gamma = \gamma(e) = 1$  since  $\Omega$  is invariant in the direction  $e$ . Since this property holds for any  $\sigma \in \mathbb{R} \setminus \{0\}$  (and also for  $\sigma = 0$  obviously), it follows that

$$u(t, x) = \phi(x_1 - ct, x') \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega},$$

where  $x' = (x_2, \dots, x_N)$  and the function  $\phi : \bar{\Omega} \rightarrow \mathbb{R}$  is defined by

$$\phi(\zeta, x') = u\left(-\frac{\zeta}{c}, 0, x'\right) \text{ for all } (\zeta, x') \in \bar{\Omega}.$$

The function  $\phi$  is then decreasing in  $\zeta$  since  $u$  is increasing in  $t$  and  $c > 0$ .

Lastly, part (iii) is a consequence of part (ii) and of Theorem 1.15. Namely, part (ii) implies that  $u$  depends only on  $x \cdot e - ct$  and on the variables  $x'$  which are orthogonal to  $e$ , and Theorem 1.15 (its proof will be done in Section 6) implies that  $u$  does not depend on  $x'$ .<sup>5</sup> Therefore,

$$u(t, x) = \phi(x \cdot e - ct) \text{ for all } (t, x) \in \mathbb{R} \times \mathbb{R}^N,$$

where the function  $\phi : \mathbb{R} \rightarrow \mathbb{R}$  is defined by  $\phi(\zeta) = u(-\zeta/c, 0, \dots, 0)$  for all  $\zeta \in \mathbb{R}$ , is decreasing in  $\mathbb{R}$  and satisfies  $\phi(\mp\infty) = p^\pm$ . The proof of Theorem 1.13 is now complete.  $\square$

## 6 The case of media which are invariant or monotone in the direction of propagation

In this section, we assume that the domain is invariant in a direction  $e$  and we prove that, under appropriate conditions on the coefficients of (1.2), the almost planar fronts, which may not be invasions, do not depend on the transverse variables or have a constant profile in the

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<sup>5</sup>Notice that, in this part (iii), one can assume without loss of generality that  $\xi_t = ct$  for all  $t \in \mathbb{R}$ , because of Definition 1.1.

direction  $e$ . We start with the

**Proof of Theorem 1.15.** Up to rotation of the frame, one can assume without loss of generality that  $e = e_1 = (1, 0, \dots, 0)$ . We shall then prove that  $u$  is decreasing in  $x_1$  and that it does not depend on the variable  $x' = (x_2, \dots, x_N)$ .

First, notice that the same arguments as in Proposition 4.1 yield the inequalities (1.22). The proof is even simpler here due to the facts that  $\Gamma_t = \{x_1 = \xi_t\}$  and that assumption (1.21) is made.

Actually, because of (1.21) and Definition 1.1, one can assume without loss of generality in the sequel that the map  $t \mapsto \xi_t$  is uniformly continuous in  $\mathbb{R}$ .

Fix any vector  $\theta \in \mathbb{R}^{N-1}$  and call

$$v(t, x) = u(t, x_1, x' + \theta).$$

Since the coefficients of (1.2) are assumed to be independent of  $x'$ , the function  $v$  is a solution of the same equation (1.2) as  $u$ , with the same choice of sets  $(\Omega_t^\pm)_{t \in \mathbb{R}}$  and  $(\Gamma_t)_{t \in \mathbb{R}}$ . Let  $A \geq 0$  be such that

$$\forall (t, x) \in \mathbb{R} \times \mathbb{R}^N, \quad \begin{cases} (x_1 - \xi_t \geq A) & \implies (u(t, x) \leq p^-(t, x_1) + \delta) \\ (x_1 - \xi_t \leq -A) & \implies (u(t, x) \geq p^+(t, x_1) - \frac{\delta}{2}). \end{cases} \quad (6.1)$$

For all  $\xi \geq 2A$  and  $x_1 - \xi_t \leq A$ , one has

$$v^\xi(t, x) := v(t, x_1 - \xi, x') \geq p^+(t, x_1 - \xi) - \frac{\delta}{2} \geq p^+(t, x_1) - \delta \geq p^-(t, x_1) + \delta \quad (6.2)$$

because  $p^+$  is nonincreasing in  $x_1$  and one can assume, without loss of generality, that  $0 < 2\delta \leq \kappa$ , under the notation used in (1.15) and (1.16).

**Lemma 6.1** *For all  $\xi \geq 2A$ , there holds*

$$v^\xi(t, x) \geq u(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \mathbb{R}^N \text{ such that } x_1 - \xi_t \geq A \quad (6.3)$$

and

$$v^\xi(t, x) \geq u(t, x) \text{ for all } (t, x) \in \mathbb{R} \times \mathbb{R}^N \text{ such that } x_1 - \xi_t \leq A. \quad (6.4)$$

**Proof.** Fix any  $\xi \geq 2A$ . We will just prove property (6.3), the proof of the second one being similar. Since  $u$  is bounded, the nonnegative real number

$$\varepsilon^* = \inf \{ \varepsilon > 0; v^\xi(t, x) \geq u(t, x) - \varepsilon \text{ for all } (t, x) \in \mathbb{R} \times \mathbb{R}^N \text{ with } x_1 - \xi_t \geq A \}$$

is well-defined. Observe that

$$v^\xi(t, x) \geq u(t, x) - \varepsilon^* \text{ for all } (t, x) \in \mathbb{R} \times \mathbb{R}^N \text{ with } x_1 - \xi_t \geq A. \quad (6.5)$$

Assume by contradiction that  $\varepsilon^* > 0$ . Then there exist a sequence  $(\varepsilon_n)_{n \in \mathbb{N}}$  of positive real numbers and a sequence  $(t_n, x_n)_{n \in \mathbb{N}} = (t_n, x_{1,n}, x'_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \mathbb{R}^N$  such that

$$\varepsilon_n \rightarrow \varepsilon^* \text{ as } n \rightarrow +\infty, \text{ and } x_{1,n} - \xi_{t_n} \geq A, v^\xi(t_n, x_n) < u(t_n, x_n) - \varepsilon_n \text{ for all } n \in \mathbb{N}. \quad (6.6)$$

Since  $v^\xi(t, x) \geq u(t, x)$  when  $x_1 - \xi_t = A$  from (6.1) and (6.2), and since  $u$  is globally  $C^1(\mathbb{R} \times \mathbb{R}^N)$ , there exists  $\kappa > 0$  such that

$$v^\xi(t, x) \geq u(t, x) - \frac{\varepsilon^*}{2} \text{ for all } (t, x) \in \mathbb{R} \times \mathbb{R}^N \text{ such that } |x_1 - \xi_t - A| < \kappa. \quad (6.7)$$

In particular, there holds

$$x_{1,n} - \xi_{t_n} \geq A + \kappa \text{ for large } n.$$

Furthermore, we claim that the sequence  $(x_{1,n} - \xi_{t_n})_{n \in \mathbb{N}}$  is bounded. Otherwise, up to extraction of a subsequence, it would converge to  $+\infty$ . Thus,

$$v^\xi(t_n, x_n) - p^-(t_n, x_{1,n} - \xi) = u(t_n, x_{1,n} - \xi, x'_n + \theta) - p^-(t_n, x_{1,n} - \xi) \rightarrow 0 \text{ as } n \rightarrow +\infty$$

and

$$u(t_n, x_n) - p^-(t_n, x_{1,n}) \rightarrow 0 \text{ as } n \rightarrow +\infty.$$

Since  $\xi \geq 0$  and  $p^-(t, x_1)$  is nonincreasing with respect to  $x_1$ , it would then follow that

$$\liminf_{n \rightarrow +\infty} v^\xi(t_n, x_n) - u(t_n, x_n) \geq 0,$$

which contradicts (6.6). Thus, the sequence  $(x_{1,n} - \xi_{t_n})_{n \in \mathbb{N}}$  is bounded.

Remember now that, because of (1.21) and Definition 1.1, the function  $t \mapsto \xi_t$  can be assumed to be uniformly continuous. In particular, the sequence  $(\xi_{t_n} - \xi_{t_{n-1}})_{n \in \mathbb{N}}$  is bounded, whence the sequence  $(x_{1,n} - \xi_{t_{n-1}})_{n \in \mathbb{N}}$  is bounded as well. Moreover, there exists a real number  $\rho$  such that

$$0 < \rho \leq \frac{\kappa}{4} \text{ and } |\xi_s - \xi_{s'}| \leq \frac{\kappa}{2} \text{ for all } (s, s') \in \mathbb{R}^2 \text{ such that } |s - s'| \leq \rho. \quad (6.8)$$

Choose now  $K \in \mathbb{N} \setminus \{0\}$  such that

$$K \rho \geq \max \left( 1, \sup \{ |x_{1,n} - \xi_{t_{n-1}} - A|; n \in \mathbb{N} \} \right). \quad (6.9)$$

For each  $n \in \mathbb{N}$  and  $i = 0, \dots, K$ , set

$$\tilde{x}_{n,i} = x_{1,n} + \frac{i}{K} (\xi_{t_{n-1}} + A - x_{1,n})$$

and

$$E_{n,i} = \left[ t_n - \frac{i+1}{K}, t_n - \frac{i}{K} \right] \times [\tilde{x}_{n,i} - 2\rho, \tilde{x}_{n,i} + 2\rho] \times \{x' \in \mathbb{R}^{N-1}; |x' - x'_n| \leq 1\}.$$

Observe that  $|\tilde{x}_{n,i+1} - \tilde{x}_{n,i}| \leq \rho$  for all  $0 \leq i \leq K-1$ , from (6.9). Furthermore, since  $x_{1,n} - \xi_{t_n} > A + \kappa$  for large  $n$ , say for  $n \geq n_0$ , it follows from (6.8) and (6.9) that

$$\tilde{x}_{n,0} - 2\rho = x_{1,n} - 2\rho \geq \xi_t + A \text{ for all } t_n - \frac{1}{K} \leq t \leq t_n$$

and for all  $n \geq n_0$ . Consequently,

$$E_{n,0} \subset \{(t, x) \in \mathbb{R} \times \mathbb{R}^N; x_1 - \xi_t \geq A\} \text{ for all } n \geq n_0. \quad (6.10)$$

Thus,

$$w := v^\xi - (u - \varepsilon^*) \geq 0 \text{ in } E_{n,0}$$

and

$$u(t, x) - \varepsilon^* < u(t, x) \leq p^-(t, x_1) + \delta \text{ in } E_{n,0}$$

for all  $n \geq n_0$  from (6.1) and (6.5). Since  $f(t, x_1, \cdot)$  is nonincreasing in  $(-\infty, p^-(t, x_1) + \delta]$ , it follows that  $u - \varepsilon^*$  is a subsolution of (1.2) in  $E_{n,0}$  for all  $n \geq n_0$ , while  $v^\xi$  is a supersolution of (1.2) in  $\mathbb{R} \times \mathbb{R}^N$ , because  $A$  and  $q$  only depend on  $t$ , and  $f(t, x_1, s)$  is nonincreasing in  $x_1$ .

Finally, for all  $n \geq n_0$ , the globally  $C^1(\mathbb{R} \times \mathbb{R}^N)$  function  $w$  is nonnegative in  $E_{n,0}$ , it satisfies inequations of the type

$$w_t \geq \nabla_x \cdot (A(t)\nabla_x w) + q(t) \cdot \nabla_x w + b(t, x)w \text{ in } E_{n,0}$$

where the sequence  $(\|b\|_{L^\infty(E_{n,0})})_{n \in \mathbb{N}}$  is bounded. Since  $w(t_n, \tilde{x}_{n,0}, x'_n) = w(t_n, x_n) \rightarrow 0$  as  $n \rightarrow +\infty$ , one finally concludes from the linear parabolic estimates that

$$w\left(t_n - \frac{1}{K}, \tilde{x}_{n,1}, x'_n\right) \rightarrow 0 \text{ as } n \rightarrow +\infty. \quad (6.11)$$

But since

$$\tilde{x}_{n,1} - \xi_{t_n - 1/K} \geq \tilde{x}_{n,0} - \rho - \xi_{t_n - 1/K} \geq A$$

from (6.10) for all  $n \geq n_0$ , it follows from (6.7) and (6.11) that  $\tilde{x}_{n,1} - \xi_{t_n - 1/K} \geq A + \kappa$  for  $n$  large enough. By repeating the arguments inductively, one concludes that

$$\tilde{x}_{n,i} - \xi_{t_n - i/K} \geq A + \kappa \text{ for all } i = 1, \dots, K \text{ and for } n \text{ large enough.}$$

One gets a contradiction at  $i = K$ , since  $\tilde{x}_{n,K} = \xi_{t_n - 1} + A$ .

As a conclusion, the assumption  $\varepsilon^* > 0$  was false. Hence, the claim (6.3) is proved, and, as already emphasized, the proof of (6.4) follows the same scheme.  $\square$

**End of the proof of Theorem 1.15.** Lemma 6.1 yields

$$v^\xi \geq u \text{ in } \mathbb{R} \times \mathbb{R}^N \text{ for all } \xi \geq 2A.$$

Now define

$$\xi^* = \inf \{ \xi > 0, v^{\xi'} \geq u \text{ in } \mathbb{R} \times \mathbb{R}^N \text{ for all } \xi' \geq \xi \}.$$

One has  $0 \leq \xi^* \leq 2A$ , and  $v^{\xi^*}(t, x) \geq u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \mathbb{R}^N$ . Assume now that  $\xi^* > 0$ . Two cases may occur:

*Case 1:* assume here that

$$\inf \{ v^{\xi^*}(t, x) - u(t, x); |x_1 - \xi_t| \leq A \} > 0.$$

From the boundedness of  $u_{x_1}$ , there exists then  $\eta_0 \in (0, \xi^*)$  such that

$$v^{\xi^* - \eta}(t, x) \geq u(t, x) \text{ for all } \eta \in [0, \eta_0] \text{ and } |x_1 - \xi_t| \leq A. \quad (6.12)$$

Since

$$v^{\xi^*}(t, x) \geq u(t, x) \geq p^+(t, x_1) - \frac{\delta}{2} \text{ for all } x_1 - \xi_t \leq -A,$$

one can assume that  $\eta_0 > 0$  is small enough so that

$$v^{\xi^* - \eta}(t, x) \geq p^+(t, x_1) - \delta \text{ for all } x_1 - \xi_t \leq -A.$$

Applying again the arguments used in Lemma 6.1, one then concludes that, for all  $\eta \in [0, \eta_0]$ , there holds  $v^{\xi^* - \eta}(t, x) \geq u(t, x)$  for all  $(t, x) \in \mathbb{R} \times \mathbb{R}^N$  such that  $x_1 - \xi_t \leq -A$  or  $x_1 - \xi_t \geq A$ . Eventually, together with (6.12),

$$v^{\xi^* - \eta} \geq u \text{ in } \mathbb{R} \times \mathbb{R}^N$$

for all  $\eta \in [0, \eta_0]$ , which contradicts the minimality of  $\xi^*$ . Thus, case 1 is ruled out.

*Case 2:* one then has

$$\inf \{v^{\xi^*}(t, x) - u(t, x); |x_1 - \xi_t| \leq A\} = 0.$$

There exists then a sequence  $(t_n, x_n)_{n \in \mathbb{N}} = (t_n, x_{1,n}, x'_n)_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \mathbb{R}^N$  such that

$$\begin{cases} |x_{1,n} - \xi_{t_n}| \leq A \text{ for all } n \in \mathbb{N}, \\ u(t_n, x_{1,n} - \xi^*, x'_n + \theta) - u(t_n, x_n) = v^{\xi^*}(t_n, x_n) - u(t_n, x_n) \rightarrow 0 \text{ as } n \rightarrow +\infty. \end{cases}$$

Fix now any  $\sigma > 0$  and  $m \in \mathbb{N} \setminus \{0\}$ . Since  $v^{\xi^*} \geq u$  and  $v^{\xi^*}$  is a supersolution of (1.2) in  $\mathbb{R} \times \mathbb{R}^N$ , the linear parabolic estimates then imply that

$$\begin{aligned} & u\left(t_n - \frac{\sigma}{m}, x_{1,n} - 2\xi^*, x'_n + 2\theta\right) - u\left(t_n - \frac{\sigma}{m}, x_{1,n} - \xi^*, x'_n + \theta\right) \\ &= v^{\xi^*}\left(t_n - \frac{\sigma}{m}, x_{1,n} - \xi^*, x'_n + \theta\right) - u\left(t_n - \frac{\sigma}{m}, x_{1,n} - \xi^*, x'_n + \theta\right) \longrightarrow 0 \text{ as } n \rightarrow +\infty. \end{aligned}$$

By immediate induction, one gets that

$$u\left(t_n - k\frac{\sigma}{m}, x_{1,n} - (k+1)\xi^*, x'_n + (k+1)\theta\right) - u\left(t_n - k\frac{\sigma}{m}, x_{1,n} - k\xi^*, x'_n + k\theta\right) \xrightarrow{n \rightarrow +\infty} 0,$$

for each  $k = 1, \dots, m$ . Therefore,

$$\limsup_{n \rightarrow +\infty} |u(t_n - \sigma, x_{1,n} - (m+1)\xi^*, x'_n + (m+1)\theta) - u(t_n, x_n)| \leq \sigma \|u_t\|_{L^\infty(\mathbb{R} \times \mathbb{R}^N)}.$$

Similarly, by considering the points  $(t_n - k\sigma/m, x_{1,n} + k\xi^*, x'_n + k\theta)$ , one gets that

$$\limsup_{n \rightarrow +\infty} |u(t_n - \sigma, x_{1,n} + (m-1)\xi^*, x'_n + (m-1)\theta) - u(t_n, x_n)| \leq \sigma \|u_t\|_{L^\infty(\mathbb{R} \times \mathbb{R}^N)}.$$

Hence,

$$\limsup_{n \rightarrow +\infty} \left| u(t_n - \sigma, x_{1,n} - (m+1)\xi^*, x'_n + (m+1)\theta) - u(t_n - \sigma, x_{1,n} + (m-1)\xi^*, x'_n + (m-1)\theta) \right| \leq 2\sigma \|u_t\|_{L^\infty(\mathbb{R} \times \mathbb{R}^N)}. \quad (6.13)$$

Choose now  $\sigma > 0$  such that

$$2\sigma \|u_t\|_{L^\infty(\mathbb{R} \times \mathbb{R}^N)} \leq \frac{\kappa}{4}. \quad (6.14)$$

But  $|x_{1,n} - \xi_{t_n}| \leq A$  for all  $n \in \mathbb{N}$  and the sequence  $(\xi_{t_n} - \xi_{t_n - \sigma})_{n \in \mathbb{N}}$  is bounded from the assumption made in Theorem 1.15. Therefore, the sequence  $(x_{1,n} - \xi_{t_n - \sigma})_{n \in \mathbb{N}}$  is bounded. Let  $C \geq 0$  be such that

$$\begin{cases} (x_1 \geq \xi_t + C) & \implies \left( u(t, x) \leq p^-(t, x_1) + \frac{\kappa}{4} \right) \\ (x_1 \leq \xi_t - C) & \implies \left( u(t, x) \geq p^+(t, x_1) - \frac{\kappa}{4} \right). \end{cases}$$

Since  $\xi^*$  is assumed to be positive, there exists  $m \in \mathbb{N} \setminus \{0\}$  such that

$$x_{1,n} + (m-1)\xi^* \geq \xi_{t_n - \sigma} + C \text{ and } x_{1,n} - (m+1)\xi^* \leq \xi_{t_n - \sigma} - C \text{ for all } n \in \mathbb{N}.$$

Thus,

$$\begin{aligned} u(t_n - \sigma, x_{1,n} + (m-1)\xi^*, x'_n + (m-1)\theta) &\leq p^-(t_n - \sigma, x_{1,n} + (m-1)\xi^*) + \frac{\kappa}{4} \\ &\leq p^-(t_n - \sigma, x_{1,n}) + \frac{\kappa}{4} \end{aligned}$$

and

$$\begin{aligned} u(t_n - \sigma, x_{1,n} - (m+1)\xi^*, x'_n + (m+1)\theta) &\geq p^+(t_n - \sigma, x_{1,n} - (m+1)\xi^*) - \frac{\kappa}{4} \\ &\geq p^+(t_n - \sigma, x_{1,n}) - \frac{\kappa}{4} \end{aligned}$$

for all  $n \in \mathbb{N}$ , because  $p^\pm$  are nonincreasing in  $x_1$ . Hence,

$$\begin{aligned} &u(t_n - \sigma, x_{1,n} - (m+1)\xi^*, x'_n + (m+1)\theta) - u(t_n - \sigma, x_{1,n} + (m-1)\xi^*, x'_n + (m-1)\theta) \\ &\geq p^+(t_n - \sigma, x_{1,n}) - p^-(t_n - \sigma, x_{1,n}) - \frac{\kappa}{2} \geq \frac{\kappa}{2} \text{ for all } n \in \mathbb{N}, \end{aligned}$$

by definition of  $\kappa$ . Therefore,

$$\begin{aligned} \limsup_{n \rightarrow +\infty} &\left| u(t_n - \sigma, x_{1,n} - (m+1)\xi^*, x'_n + (m+1)\theta) \right. \\ &\left. - u(t_n - \sigma, x_{1,n} + (m-1)\xi^*, x'_n + (m-1)\theta) \right| \geq \frac{\kappa}{2}, \end{aligned}$$

while it is less than or equal to  $\kappa/4$  from (6.13) and (6.14).



One has then reached a contradiction, which means that  $\xi^* = 0$ . Then,

$$v(t, x_1 - \xi, x' + \theta) \geq u(t, x_1, x') \text{ for all } (t, x_1, x') \in \mathbb{R} \times \mathbb{R}^N, \xi \geq 0 \text{ and } \theta \in \mathbb{R}^{N-1}.$$

As a consequence,  $u$  is nonincreasing in  $x_1$  and it does not depend on  $x'$ . Furthermore, the strong parabolic maximum principle, together with the same arguments as above, implies that  $u$  is actually decreasing in  $x_1$ . That completes the proof of Theorem 1.15.  $\square$

**Proof of Theorem 1.14.** Assume that all assumptions made in Theorem 1.14 are satisfied. Up to rotation of the frame, one can assume without loss of generality that  $e = e_1 = (1, 0, \dots, 0)$ . Consider first the case where  $c > 0$ . There exists  $\varepsilon \in \{-1, 1\}$  such that

$$\frac{\xi_t - \xi_s}{t - s} \rightarrow \varepsilon c \text{ as } t - s \rightarrow \pm\infty \text{ and } \sup \{|\xi_t - \varepsilon c t|; t \in \mathbb{R}\} < +\infty.$$

The function

$$v(t, x) = u(t, x + \varepsilon c t e) = u(t, x_1 + \varepsilon c t, x')$$

is well-defined for all  $(t, x) \in \mathbb{R} \times \bar{\Omega}$  (because  $\Omega$  is invariant in the direction  $e$ ) and it satisfies

$$\begin{cases} v_t = \nabla_x \cdot (A(x') \nabla_x v) + q(x') \cdot \nabla_x v + \varepsilon c v_{x_1} + f(x', v) \text{ in } \mathbb{R} \times \Omega, \\ \mu(x') \cdot \nabla_x v = 0 \text{ on } \mathbb{R} \times \partial\Omega, \end{cases}$$

because  $A$ ,  $q$ ,  $\mu$  and  $f$  are independent of  $x_1$  (and of  $t$ ). Furthermore, since  $p^\pm$  only depend on  $x'$ ,  $v$  is a transition front connecting  $p^-$  and  $p^+$ , with the sets

$$\tilde{\Omega}_t^\pm = \{x \in \Omega, \pm x_1 < 0\} \text{ and } \tilde{\Gamma}_t = \{x \in \Omega, x_1 = 0\}.$$

With the same type of arguments as in the proof of Theorem 1.15 above, one can then fix any  $\zeta \in \mathbb{R}$  and slide  $v(t + \zeta, x)$  with respect to  $v$  in the  $x_1$ -direction. It follows then that

$$v(t + \zeta, x_1 - \xi, x') \geq v(t, x_1, x') \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega}, \xi \geq 0 \text{ and } \zeta \in \mathbb{R}.$$

Therefore,  $v$  is independent of  $t$  and it is nonincreasing in  $x_1$ . As above,  $v$  is then decreasing in  $x_1$ . That gives the required conclusion in the case where  $c > 0$ .

In the case where  $c = 0$ , the function  $t \mapsto \xi_t$  is then bounded. Because of Definition 1.1, one can then assume, without loss of generality, that  $\xi_t = 0$  for all  $t \in \mathbb{R}$ . The functions  $p^\pm$  and  $f$  may depend on  $x_1$ , but are assumed to be nonincreasing in  $x_1$ . For any  $\zeta \in \mathbb{R}$  and  $\xi \geq 0$ , the function  $u(t + \zeta, x_1 - \xi, x')$  is then a supersolution of the equation (1.2) which is satisfied by  $u$ . One can then slide  $u(t + \zeta, x_1, x')$  with respect to  $u$  in the (positive)  $x_1$ -direction, and it follows as in the proof of Theorem 1.15 that

$$u(t + \zeta, x_1 - \xi, x') \geq u(t, x_1, x') \text{ for all } (t, x) \in \mathbb{R} \times \bar{\Omega}, \xi \geq 0 \text{ and } \zeta \in \mathbb{R}.$$

As usual, one concludes that  $u$  does not depend on  $t$  and is decreasing in  $x_1$ .  $\square$

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