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Poly (N-Vinyl Carbazole) and Carbon Nanotubes based Composites

and their Application to Rechargeable Lithium Batteries

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Abstract

In order to the preparation of poly (N-vinyl carbazole) (PVK) and carbon nanotubes (CNs) based composites, electrochemical polymerisation of N-vinyl carbazole (NVK) was studied by cyclic voltammetry (CV) in LiClO₄/acetonitrile solutions. Cyclic voltammograms recorded on blank Pt electrode and those obtained when single or multi-walled carbon nanotubes (SWNTs or MWNTs) films were previously deposited onto the Pt electrode show a down-shift of the VK reduction peak potential in the latter case. The influence of monomer concentration and supporting electrolyte on the polymerisation conditions and electrochemical properties of these composite materials were also investigated. Morphologic aspects as well as the photoluminescence properties of PVK/CNs composite were also investigated. A covalent functionalization of carbon nanotubes with PVK is suggested on the basis of infrared (IR) spectroscopic studies. Using PVK/CNs composite as positive electrode and an electrolytic solution containing LiPF₆, higher specific discharge capacity of the rechargeable lithium cells, ~45 and 115 mA h g⁻¹, are reported for PVK functionalized SWNTs and MWNTs, respectively.

Keywords: A. Polymer-matrix composites (PMCs); A. Nanostructures; D. Transmission electron microscopy (TEM); D. Infrared (IR) spectroscopy.

1. Introduction

As a result of the first report concerning the preparation of carbon nanotubes (CNs),[1] many efforts have been made to combine CNs and polymers to produce functional composite materials with superior properties.[2,3] Apart from possible improvements in the mechanical and electrical properties of polymers, the formation of CNs/polymer composites has been, and still is, explored as a promising approach for an effective incorporation of CNs into practical devices.[4-8] The combination of the unique properties of CNs with conducting organic polymers (for example: polyaniline, polypyrrole, polythiophene, poly (3.4-ethylenedioxy thiophene), poly (p-phenylene vinylene) and poly (m-phenylene vinylene-co-2,5-dioctoxy-p-phenylene)) makes of these materials interesting multifunctional systems with great potential in many applications such as supercapacitors, sensors, advanced transistors, high-resolution printable conductor, electromagnetic absorbers, photovoltaic cells and photodiodes and, last but not least, optical limiting devices.[9] As a result of the optical limiting performance and the good photoconductivity, special attention has been give to the carbon nanotubes functionalized with poly (N-vinyl carbazole) (PVK/CNs).[10-12] For PVK/CNs composites, two synthesis methods have been reported so far: i) direct mixing of the polymer with nanotubes and ii) chemical polymerisation of monomer in the presence of carbon nanoparticles. [10,13] In the present work, using electrochemical polymerisation of NVK on CNs films, new applications of PVK/CNs composites in the energy storage field are revealed. The influence of monomer concentration and supporting electrolyte on the polymerisation conditions of NVK on films of single or multi-walled carbon nanotubes (SWNTs or MWNTs) were also studied. When preparing a composite, it is essential to determine the type of interaction between the

two constituents. To this end, TEM, IR and photoluminescence investigations are also presented.

According to the Hirsh's paper, the main possibilities to functionalize CNs reported so far are: i) generation and functionalization of defect sites at the tube ends and side walls by oxidation and subsequent conversion into derivatives; ii) covalent functionalization of side wall by addition reactions and subsequent nucleophilic substitution; iii) non-covalent exohedral functionalization with surfactant-type molecules and iv) endohedral functionalization with C_{60} .[14] We note that, in the case of conducting organic polymer/carbon nanotubes composites (COP/CNs), it has been suggested that either the polymer functionalizes the CNs or the COPs are doped with CNs, i.e. a charge transfer occurs between the two constituents.[9] Consequently, two kinds of composites can be obtained: polymer-functionalized CNs and polymer doped with CNs.

2. Experimental Section

Using cyclic voltammetry (CV), the electrochemical polymerization of VK was performed in the potential range (-0.8; 1.8) V vs. Ag/AgCl in a conventional threeelectrode one-compartment cell. To obtain PVK and PVK-functionalized CNs, the working electrode was Pt or a CNs film deposited on a 1 cm² Pt plate. The counter electrode consisted of a spiral Pt wire. The potential of the working electrode was measured by reference to Ag/Ag⁺. The polymerisation solution consisted of 6 10^{-3} M VK and 10^{-1} M LiClO₄ in acetonitrile. Acetonitrile (AN), LiClO₄, single-walled carbon nanotubes (SWNTs), multi-walled carbon nanotubes (MWNTs) and N-vinyl carbazole (NVK) were purchased from Aldrich.

The electrochemical polymerization was performed using a potentiostat/ galvanostat from Princeton Applied Research (PAR), model 273A.

TEM images of PVK/SWNTs and PVK/MWNTs composites are recorded with a JEOL 1210 electron microscope operating at 120 kV.

Photoluminescence (PL) spectra at room temperature were obtained under 265 nm light excitation coming from a 150 W high pressure xenon lamp in a right angle geometry using a computer-controlled emission spectrometer consisting from a SPEX double monochromator equipped with a cooled EMI photomultiplier and a photon counting system.

Attenuated total reflection infrared (ATR-IR) spectra, in the range 400 - 4000 cm⁻¹ with a 4 cm⁻¹ resolution, were obtained using a Perkin-Elmer model 683 instrument.

Charge/discharge tests were carried out using a multichannel charge-discharge galvanostatic analyser (Arbin Instruments, College Station, USA). To fabricate the positive electrode (cathode), we used PVK functionalised - MWNTs and SWNTs (80% wt., as activ material), poly(vinylidene fluoride) (PVDF 5% wt., as binder), super-P carbon (15% wt.), dibuthyl phthalate (DBP, 2 drops) and 1ml acetone, which were mixed and stirred for 12 h to yield a homogeneous paste. The paste was tape-cast onto a glass surface and the acetone allowed to evaporate. After peeling-off the resulting film, it was washed with diethyl ether in order to remove DBP. Lithium foil of 0.38 mm thickness and area of 2.03 cm² was used as the negative electrode (anode). The electrolyte used was a 1M solution of LiPF₆ in a 50:50 mixture of ethylene carbonate and dimethyl carbonate (v/v). The cell assembly was carried out under purified argon atmosphere in a glove box. Electrochemical testing of the cell was performed using

constant-current cycles. The current density was 10 mA g^{-1} . The cells were cycled between 1 and 3.5 V.

3. Results and Discussion

As it has been reported, electrolysis of NVK in organic solvents as acetonitrile and propylene carbonate results in the formation of a white-colored non-conducting PVK and a green-colored conducting PVK.[15] The non-conducting PVK precipitates in electrolyte solution and the conducting PVK is deposited onto the working electrode surface. Figure 1 shows cyclic voltammograms obtained on a blank Pt electrode and on a Pt electrode coated with a SWNTs film, using a solution of VK in LiClO₄/CH₃CN. An irreversible oxidation peak at ca. 1.4 V is detected on the anodic scan of Figure 1a. This corresponds to the formation of the NVK radical cation which entails, on the reverse scan, a reduction wave at ca. 0.8 V. The suggested mechanism for the anodic oxidation of NVK consists in the formation of a carbazyl radical cation, which on subsequent dimerization of the 3, 6 position gives 3, 3'-dicarbazyl, a crosslinked material with electrical-conducting behaviour. [15] In these circumstances, the non-conducting PVK produced by the electrolysis of the monomer can be oxidized to yield the conducting green polymer. The gradual increase in the intensity of waves with repeated sweeps indicates that the reaction product is gradually deposited on the surface of the Pt electrode. As can be seen in Figure 1b, a great difference is observed in shape of the cyclic voltammograms recorded on the Pt electrode coated with a SWNTs film. A down-shift of the reduction potential peak of NVK was observed when films of single or multi-walled carbon nanotubes (SWNTs or MWNTs) were previously deposited onto the Pt electrode. In both cases, i.e. using the blank Pt electrode and coated with a CNs

film, an oxidation reaction starts to develop in the potential range (0.75; 1.8) V vs. Ag/Ag^+ during the anodic scan. Regardless of the number of cycles carried out on the SWNTs film deposited on Pt electrode, no anodic peak is observed for the cathodic maximum found around 0.4 V (Figure 1b). Similar behaviour is obtained when the Pt electrode is coated with a MWNTs film. The presumption that the cathodic peak localized at 0.4 V (Figure 1b) can be attributed to the CNs/ LiClO₄ redox system is in total disagreement with Ref. 16, which used as evidence the fact that in the presence of 10^{-1} M LiClO₄/CH₃CN solution, the cyclic voltammogram of CNs shows the well-defined reduction and oxidation responses at ca. -0.6 and -0.2 V vs. Ag/Ag^+ , respectively. In this context, at a first sight, the electrochemical process which takes place at the interface the CNs film immersed in the VK/LiClO₄/CH₃CN solution is difficult to understand. Such a down-shift of the cathodic peak in comparison with the polymer synthesized without CNs has been reported also for polypyrrole /carbon nanotubes composites, SWNTs having a doping role.[19]

It is well known that carbon nanotubes are amphoteric, i.e. they can be doped with either electron donors (K, Rb) or electron acceptors (Br₂).[17,18] Taking into account that in the presence of electrons donor molecules, for example dimethylacetamide (DMA) or dimethylformamide (DMF), the anodic oxidation of NVK is delayed or just inhibited, respectively [15] the question may be asked: Is the electropolymerization of NVK inhibited when a Pt electrode covered with a carbon nanotubes film is used as working electrode?

Trying to find an answer to the above question, in the following, we show TEM micrographs of both the starting materials (SWNTs and MWNTs) and of the composite obtained after a series of 25 cyclic voltammograms in $CH_3CN + LiClO_4$ (10⁻¹ M) +

NVK (6 10⁻³ M) solution (Figure 2) as well as photoluminescence spectra of PVK and PVK functionalized CNs (Figure 3). In this paper, we use CNs lots with the following characteristics: i) SWNTs - diameter between 1.2-1.5 nm and length of 2-5 µm and ii) MWNTs - outer diameter is of 10-20 nm, inner diameter 5-10 nm and length 0.5-200 μm. A careful analysis of TEM pictures clearly discloses that a deposition of PVK on the SWNTs and MWNTs films has occurred. Under the same experimental conditions, the most uniformly deposition of PVK is observed in the case MWNTs. We believe that this fact is the result of regions with "bamboo-like" structures that lead to a high number of edge-plane defect sites along the tube surface. Convincing proof for the deposition and interaction of PVK with CNs is gathered by PL studies summarized in Figure 3. As in the PL studies carried out by S. Balaei et al.[20] the ex-situ PL spectrum of PVK film, electrochemical deposited on Pt electrode, is characterized by an emission band with the maximum at 415 nm (Figure 3, curve 4), which is assigned to the low-energy excimer. A similar PL band is observed also when cyclic voltammetry is applied on SWNTs film immersed in the solution of VK/LiClO₄/CH₃CN. A gradual shift of the PVK PL band maximum, from 442 to 415 nm, is observed as an increase of the cyclic number recorded on the SWNT film takes place. Similarly to other composites systems, (for example poly(p-phenylene vinylene)/MWNTs, [8] aniline/SWNTs [21] and aromatic hydrocarbons with isolated or condensed phenyl rings/SWNTs [22]) when the electropolymerization of VK takes place on the SWNTs film the appearance of a vibronic structure in the high energy side of the emission spectrum of PVK (at ca. 483 nm, namely 2.57 eV) is observed. According to curves 1, 2 and 3 from Figure 3, a decrease in the intensity of the vibronic structure at 2.57 eV is observed after achievement of 20, 50 and 100 cyclic voltammograms, respectively, on the SWNTs film

deposited on Pt electrode (immersed into a NVK/LiClO₄/CH₃CN solution). At first sight the appearance of the vibronic structure may be explained in two ways, firstly referring to the appearance of additional defect sites in the PVK structure where the excitation energy is trapped [23] and secondly considering that the emission has to be correlated with dopant role of CNs. Taking into account that singlet-triplet intersystem crossing is relatively inefficient in PVK, [24] we think that the vibronic structure at 2.57 eV originates in singlet states and the straightening on the high energy side of the vibronic structure represent the signature of functionalization of the SWNTs with PVK molecules that are bound covalently on the nanotube side-wall. Within this frame, with PVK molecule playing the role of luminescence site in the PVK/CNs composite, one can suppose that in the generation of PL emission there are two contributions: one that is due to intramolecular transitions (pure electronic and vibronic) which takes place in the PVK molecule and another that reflects coupling of these transitions to the intermolecular vibrations (phonons) field of the environment resulting from the interactions between nanotubes and PVK molecules. The former contribution gives rise to Lorentzian-shaped zero-phonon lines, with widths determined by the de-phasing times of the excited state while the latter lead to the changes of the phonon spectrum.

Generally, the smaller coupling of the phonon field to the electronic transition enhances the $S_1 \rightarrow S_0/0 \rightarrow 1$ transition in both absorption and fluorescence at the expense of the $S_1 \rightarrow S_0/0 \rightarrow 0$. We assign the enhancement of the vibronic structure in the blue side of PL spectrum to a strong coupling of the CNs phonons with the electronic transition of the type $S_1 \rightarrow S_0/0 \rightarrow 0$. Such a coupling is favoured by the close binding of PVK molecules to the nanotube side-wall. We use here the notation $S_1 \rightarrow S_0/n \rightarrow m$ that

denotes a transition from the first singlet excited state S_1 to the ground state S_0 and between the *n*th vibration level of S_1 to *m*th vibration level of S_0 .

Figure 4 displays the attenuated total reflection infrared (ATR-IR) spectra of PVK deposited on i) a bare Au electrode and ii) a Au electrode coated with a SWNTs film. The main absorption bands of PVK situated at ca. 722, 744, 1220, 1320 and 1450 cm⁻¹ are attributed to the following vibrations: ring deformation of substituted aromatic structure, CH₂ rocking vibration due to tail to tail addition, C-H in plane deformation of aromatic ring, C-H in-plane deformation of vinylidene group and ring vibration of VK moiety, respectively.[25]

A new band at 1258 cm⁻¹ can be seen in Figure 5, for the PVK/SWTNs composite obtained after the achievement of 50 cyclic voltammograms carry out on the SWNTs film immersed in the VK/LiClO₄/CH₃CN solution. The new band can be associated to the C-C stretching vibration in benzene ring deformation, [26] their appearance being the result of the formation of the new C-C bonds between the aromatic ring of SWNTs and vinylidene group of NVK molecules. In our opinion, this band can be considered as the signature of the interaction between SWNTs and NVK. The modification of the intensity ratio between the IR bands at ca. 723 and 744 cm⁻¹ indicates a partial change of the addition mechanism of the monomer as well as the presence of some steric hindrance effects. We think that a result of the electrochemical process which takes place at the interface SWNTs/VK+LiClO₄+CH₃CN is the formation of composites with the repeating units in both a tail-to-tail and head-to-tail addition. We note that the mechanism of the PVK electrosynthesis is well known.[15] However, in order to explain the interactions proposed above between SWNTs/VK, in

NVK in the presence of SWNTs. This involves the formation of a charge-transfer complex (CTC), that is similar to those recently reported for the case of aniline reacting with CNs, [27] originating from a VK radical cation and a SWNTs radical anion. After the second chemical stage, all reactions for the conversion of the non-conducting PVK-functionalized SWNTs composite into its conducting form take place in the same way as those reported in literature for PVK.[28] The chemical equations of the two composites are presented in Scheme 2 (where by A⁻ is noted ClO₄⁻ and SWNTs⁻).

The mechanism, proposed above supports the following experimental facts: i) the presence of a head-to-tail addition of monomer, ii) the perturbations of the benzene ring of CNs as a result of the functionalization with polymer as well as steric hindrance effects and iii) existence of the defect sites in PVK structure, the consequence of the CTC formation depicted in stage 1. Summarizing these results, in our opinion the ATR-IR and PL spectra as well as TEM pictures, are irrefutable proofs that a functionalization of the CNs films with PVK took place.

Because one the goals of this paper is to demonstrate that the PVKfunctionalized CNs can be used as electrodes in rechargeable lithium batteries, a detailed knowledge of electrochemical parameters of this composite synthesis is necessary. In what follows, a very short review of the influence of monomer and support electrolyte concentration on the electrochemical process is presented in Figure 5. Knowing that the reaction rate is proportional to monomer concentration, in Figure 5a one observe large current responses when VK concentration is increased. Other variations induced in the cyclic voltammogram shape consist of the gradual growth of the current density of the cathodic maximum from 15.4 mA cm⁻² to 25.7 and 31.8 mA cm⁻², a change that is accompanied by a progressive down-shift of the cathodic peak

potential from 0.36 V to 0.18 and 0.09 V vs. Ag/Ag^+ , when VK concentrations of 3 10^{-3} , 6 10^{-3} and 2.4 10^{-2} M, respectively are used. Maintaining constant VK concentration and using different LiClO₄ concentration, higher and higher, large current responses are obtained (Figure 5b).

These large current density can be correlated with the increase in the electrolyte conductivity. Increasing the LiClO₄ concentration induces in the cyclic voltammogram shape an up-shift of cathodic peak potential from -0.1 until to 0.58 V vs. Ag/Ag⁺. Taking into account this experimental result and returning to Figure 1b, we conclude that the down-shift of the cathodic peak potential, mentioned above, is due to the dopage of PVK – covalent functionlized SWNTs with both LiClO₄⁻ ions and SWNTs radical anions. We reach this conclusion because it is difficult to understand how, during the first 100 cyclic voltammograms, the LiClO₄ concentration can decrease of ca. 5 times.

We note that much effort has been carried out for the optimisation of the performances of polycarbazole batteries.[29,30] An example is the study reported in aqueous electrolytes using a cell of the type Zn/ZnClO₄/polycarbazole, battery which were characterized by a specific capacity of 30 A h Kg⁻¹ and an energy density of 46 W h Kg⁻¹.[29] These characteristics were reported as being superior to the features of the cell of the type Li/LiClO₄-PC/PVK.[29] Our preliminary studies shown an improvement of the specific capacity of the rechargeable lithium battery when a cathodic electrode of the type PVK-functionalized SWNTs or PVK-functionalized MWNTs is used. Different characteristics are found for the two composites, as shown by an analysis of the voltage profile and incremental capacity curves (Figure 6). For the two composites a significant decrease of capacity during the first 10 charge-discharge cycles is observed. Afterwards,

the increase in the number of charge-discharge cycles leads to a smaller decrease of the cell capacity in the case of the PVK-functionalized SWNTs active material, while for PVK-functionalized MWNTs an increase of the capacity takes place. We believe that this experimental fact can probably be related to a more pronounced degradation of the PVK-functionalized SWNTs in the comparison with composites based on PVK and MWNTs. During the 20th charge-discharge cycle the discharge capacities of ca. 45 and 115 mA h g⁻¹ were recorded for PVK-functionalized single and multi walled carbon nanotubes, respectively. This result indicates that the electrochemical performance of a PVK battery is much improved when a PVK/CNs composite is used as active material because of the apparent synergism between the host polymeric matrix and guest nanoparticles, as detected in other hybrid systems.[31] The effect of the composites on the lithium site potential is shown in Figure 6. Analyzing the incremental curves of the two composites (inserts from Figure 6) electrochemical activity associated with Li insertion in the range 2.5-3 V (during discharge) is detected in both cases. On the other hand, a striking difference between PVK/SWNTs and PVK/MWNTs composites is observed in the range 1.5-2.5 V, the latter showing a two stages intercalation process which increases in the intensities with the number of cycles. It is also interesting to note a change in the relative intensities of the two peaks for the PVK/MWNT composite. These changes are especially apparent for the two stages of discharge (at 1.52 and 1.86 V) which go from a ratio of 1.4:1 (10th cycle) to 1:1.7 (20th cycle). Understanding this particular behaviour will involve further research. However, the data presented here are more than sufficient to show that: i) these hybrid nanocomposites perform better in rechargeable Li batteries than the PVK materials alone and ii) the PVK/MWNTs

composite presents a larger (and growing) charge capacity storage as compared with the PVK functionalised SWNTs.

4. Conclusion

This paper reports new results concerning the electrochemical synthesis of PVKfunctionalized CNs composites and the improvement upon the PVK performance as active material in rechargeable lithium batteries. Correlated studies of the cyclic voltammetry, ATR-IR spectroscopy, photoluminescence and TEM confirm the functionalization of CNs with PVK. A reaction mechanism is proposed. An improvement of the specific capacity of PVK in rechargeable Li cells is reported by using carbon nanotubes at electrosynthesis of conducting organic polymer.

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Figure Caption

Fig. 1 Voltammograms recorded on blank Pt electrode (**a**, the first 20 cycles) and coated with a SWNTs film (**b**, the first 100 cylces) immersed in the CH₃CN + LiClO₄ (10^{-1} M) + NVK (6 10^{-3} M) solution; scan rate is equal with 100 mV s⁻¹.

Fig. 2 TEM micrographs of: **a**) SWNTs, **b**) MWNTs, **c**₁₋₂) PVK functionalised SWNTs and **d**₁₋₂) PVK functionalised MWNTs.

Fig. 3 Ex situ fluorescence emission spectra ($\lambda_{exc} = 265$ nm) of the PVK deposited on the SWNTs film during of the 20 (curve 1), 50 (curve 2), 100 (curve 3) and 200 cycles (curve 4) carry out in the solution of CH₃CN + LiClO₄ (10⁻¹ M) + NVK (6 10⁻³ M). A identical curve 4 is also obtained when electrochemical process is carried out on the Pt electrode alone.

Fig. 4 ATR-IR spectra of PVK and PVK/SWNTs composites prepared by the achievement of 50 and 100 cycles (synthesis number 1 and 2, respectively) on the SWNTs film, immersed in a solution of $CH_3CN + LiClO_4 (10^{-1} \text{ M}) + NVK (6 10^{-3} \text{ M})$ in the potential range (-0.8; +1.8) V vs. Ag/Ag⁺ with a scan rate of 100 mV s⁻¹.

Fig. 5 Influence of the monomer concentration on the electropolymerization reaction. Curves c_{1-VK} , c_{2-VK} and c_{3-VK} correspond to the 100th cyclic voltammogram recorded on SWNTs film deposited on Pt electrode, using a solution of 10⁻¹ M LiClO₄ in CH₃CN and 3 10⁻³, 6 10⁻³ and 2.4 10⁻² M VK, respectively (**a**). Influence of the LiClO₄ concentration used on cyclic voltammograms carry out on the Pt electrode coated with a SWNTs film in 6 10⁻³ M VK and $c_{1-LiClO4}$: 10⁻² M, $c_{2-LiClO4}$: 10⁻¹M, c_3 . LiClO4: 2 10⁻¹ M and $c_{4-LiClO4}$: 5 10⁻¹M LiClO₄ in CH₃CN at a scan rate of 100 mV s⁻¹. In all figures is shown the 100th cyclic voltammogram (**b**).

Fig. 6 Voltage profile for PVK-functionalized SWNTs and PVK-functionalized MWNTs. The insert shows incremental capacity curves for PVK-functionalized SWNTs and PVK-functionalized MWNTs (**a**). The effect of cycle life on PVK battery capacity (**b**).

Scheme 1 Mechanism of the electropolymerisation reaction of VK on the SWNTs film.

Scheme 2 Chemical equation of non-conducting PVK – functionalised SWNTs and conducting PVK – functionalised SWNTs.

and Second

Chemical Stage



Electrochemical Stage



Chemical Stage







Scheme 1











Fig.1













C₂)















Fig.3









(

Fig.5



Number of cycles

Fig.6