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Modeling of an axial injection torch

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**Abstract.** This paper presents simulation results for a microwave (2.45 GHz) plasma reactor, operated by an axial injection torch (AIT). The study gives a two-dimensional description of the AIT-reactor system,

 $based \ on \ an \ electromagnetic \ model \ (that \ solves \ Maxwell's \ equations \ adopting \ a \ time-harmonic \ description,$ 

yielding the distribution of the electromagnetic fields and the average power absorbed by the plasma), and

a hydrodynamic model (that solves the Navier-Stokes equations for the flowing neutral gas, yielding the

distribution of mass density, pressure, temperature, and velocities). Comparison between model results

and experimental measurements reveal common variation trends, with changes in the reactor height, for

the power reflected by the system, and yield a qualitative agreement for the axial profile of the gas

rotational temperature. Model results, such as the power transmission coefficient and the gas temperature, are particularly dependent on the reactor dimensions, the electron density and temperature, and the

gas input flow, which indicates that simulations can be used to provide general guidelines for device

optimization.

PACS. 52.75.Hn Plasma torches - 52.50.Sw Plasma heating by microwaves; ECR, LH, collisional heating

- 52.30.-q Plasma dynamics and flow

1 Introduction

An Axial Injection Torch (AIT) [1–9] is a microwave-dri-

ven atmospheric plasma source that operates in different

pure gases (helium, argon, nitrogen) or gas mixtures (e.g.

air), over a wide range of powers (300 - 3000 W) and in-

put flows  $(10^2 - 10^4 \text{ sccm})$ . The AIT can produce very

hot flows of plasma species, hence being used in gas heat-

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ing applications, industrial material processing, chemical processing, and environmental control.

This paper presents simulation results for a microwavedriven ( $\omega/2\pi = 2.45 \text{ GHz}$ ) plasma reactor, operated by an AIT. The particular device under study couples the AIT (connected to a coaxial waveguide with inner and outer radial dimensions  $R_i = 5.3 \text{ mm}$  and  $R_o = 14.5 \text{ mm}$ , respectively) to a cylindrical reactor chamber (with R = 55 mm radius and L = 150 mm height), where it produces a helium plasma at atmospheric pressure (Spanish patents P200201328 and P200302980). Figure 1 represents a scale diagram of this device, where the portion of the nozzle inside the reactor measures  $l_n = 15 \text{ mm}$  and the length of the nozzle's tip is  $l_t = 10$  mm. The work is part of a modeling strategy, aiming the development of a modular simulation tool for the integrated description of an AIT-reactor system, from the power injection and coupling to the plasma production and transport, under a gas flow regime. Our interest goes from the mere prediction of working characteristics to device optimization (based on a deep knowledge of the processes within the plasma), for example in view of a high-performance heat transfer and/or an enhanced chemical reactivity.

The same motivation and strategy were followed by Gritsinin et al [10], which have developed a self-consistent two-dimensional (2D) model of an equilibrium microwave discharge, in a coaxial waveguide with a truncated inner electrode. To our knowledge, this work provides the most complete description available for this coaxial torch. Their model is based on the hydrodynamic equations for

the gas/plasma system, accounting for the transport of all heavy-species, neutrals and ions, and the transfer of energy from the plasma. Transport and energy transfer phenomena are self-consistently described by solving Maxwell's equations for an atmospheric-pressure neutral plasma, in a Local Thermodynamic Equilibrium (LTE) situation. However, their particular device present some important differences with respect to the one studied here, namely (i) an inner antenna that may extend over 45% of the reactor's height and whose nozzle does not present a sharp termination; (ii) a reactor chamber with a top open end; (iii) reactor sidewalls that are permeable to the gas flow. These features have considerable influence upon results, for example in defining the microwave power coupling conditions and the gas flow structure (which the authors find to be dependent on the numerical path adopted to reach the final gas flow rate). They can also be responsible, together with the LTE assumption, for predicting a very small variation in the calculated electron density along the axis, contrary to our experimental observations. In any case, the main trends characterizing the results obtained by Gritsinin et al can certainly be used in the analyses of our simulation results. Still, an update of the plasma model proposed by these authors is pertinent (allowing for a non-equilibrium description, charge separation over the very small plasma scale, and the introduction of a reactive chemistry), also to clarify the self-consistent structure of the gas flow, which justifies further investment in developing an integrated AIT-reactor simulation tool.

Here, we focus on the following 2D simulation modules: (i) electromagnetic (EM) module [11–13], yielding the distribution of the EM fields and the average power absorbed by the plasma; (ii) hydrodynamic (HD) module [14] for the neutral gas (accounting for the collisional heat transfer in the presence of plasma), yielding the distribution of its mass density, pressure, temperature, and (axial and radial) velocities. Both EM and HD models are solved given the 2D profiles of the electron density  $n_e$ (with maximum values  $n_{e,{\rm max}} = 10^{14} - 2 \times 10^{15}~{\rm cm}^{-3}$ , corresponding to incident powers ranging from 300 W to 2000 W) and temperature  $T_e$  (with a maximum value  $T_{e,\text{max}} = 2 \times 10^4 \text{ K}$ ), which are defined according to optical emission spectroscopy measurements [2,5,7,8]. We have assumed a plasma with 0.5 mm radius and 20 mm height, imposing an axial linear decrease for both  $n_e$  and  $T_e$ , from their maximum values. Figures 2(a)-(b) plot the radial profiles of the electron density and temperature, respectively. As shown, we have considered several types of radial variations for  $n_e$  (termed hollow, displaced hollow, flat, linear), to study their influence on results. These empirical profiles are used to tailor both the plasma conductivity (within the EM model, via the electron density) and the gas heating term (within the HD model, via the electron density and temperature).

# 2 Electromagnetic module

### 2.1 Maxwell's equations

The EM module [11–13] solves Maxwell's equations to calculate the 2D distribution of the EM fields inside the AIT-reactor system, and the average power absorbed by the plasma. The coaxial (TEM) excitation pattern, combined with the axis-symmetric geometry of the device, supports the assumption that the EM field distribution inside the reactor follows a TM mode, with no dependence on the azimuthal angle. With these suppositions, and by further adopting a combined dielectric and time-harmonic description at excitation frequency  $\omega$  [15], Maxwell's equations are written in cylindrical coordinates as

$$\frac{\partial E_z}{\partial r} - \frac{\partial E_r}{\partial z} = \frac{ik_0}{\sqrt{\varepsilon_0/\mu_0}} \frac{rH_{\varphi}}{r} \tag{1}$$

$$\frac{1}{r}\frac{\partial(rH_{\varphi})}{\partial r} = ik_0\varepsilon\sqrt{\frac{\varepsilon_0}{\mu_0}}E_z \tag{2}$$

$$\frac{1}{r}\frac{\partial(rH_{\varphi})}{\partial z} = -ik_0\varepsilon\sqrt{\frac{\varepsilon_0}{\mu_0}}E_r \qquad (3)$$

Here,  $E_r(r,z)$  and  $E_z(r,z)$  are the complex radial and axial electric field components, respectively;  $H_{\varphi}(r,z)$  is the complex azimuthal magnetic field;  $\varepsilon_0$  and  $\mu_0$  are the vacuum electric permittivity and magnetic permeability, respectively;  $k_0 \equiv \omega/c$  (c is the vacuum speed of light);  $\varepsilon(r,z)$  is the relative permittivity of the background medium [for the neutral gas  $\varepsilon=1$ ; for the plasma  $\varepsilon=1-i\sigma/(\omega\varepsilon_0)\simeq 1-\omega_p^2/[\omega(\omega-i\nu)]$  [16], with  $\sigma$  the electron plasma conductivity,  $\omega_p\equiv (n_ee^2/\varepsilon_0m_e)^{1/2}$  the electron plasma frequency (e and  $m_e$  are the electron charge and mass, respectively), and  $\nu=6.8\times 10^{-8}n_g~{\rm s}^{-1}$  the electron-

neutral collision frequency [17] ( $n_g$  is the gas density in cm<sup>-3</sup>)].

#### 2.2 Boundary conditions

Equations (1)-(3) are solved subject to the following boundary conditions. At the reactor axis (r=0), the symmetry condition  $H_{\varphi}=0$  is applied. Perfect-conductor conditions are imposed at the reactor metal boundaries, by setting  $E_r=0$  at horizontal walls and  $E_z=0$  at vertical walls. In practice, because we are solving a discrete equation system for the magnetic field (see Sect. 2.4), these Dirichlet conditions on  $E_r$  and  $E_z$  are better rewritten as Neumann conditions on  $rH_{\varphi}$  [see Eqns. (1)-(3)]:

$$E_{r_{\text{horiz walls}}} = 0 \rightarrow \frac{\partial (rH_{\varphi})}{\partial z}_{|\text{horiz walls}} = 0$$
 (4)

$$E_{z_{\text{vert walls}}} = 0 \to \frac{\partial (rH_{\varphi})}{\partial r}_{|\text{vert walls}} = 0$$
 . (5)

However, numerical tests show that the direct imposition of boundary conditions (4)-(5) doesn't restrict the solution, thus leading to a numerical divergence. This problem occurs for reactor radii above the critical value  $R_c \simeq 47$  mm (at 2.45 GHz frequency), when a wave-mode solution develops, similarly to what is observed in air-filled, one-end-shorted circular waveguides [11–13,18,19]. To circumvent this difficulty, we have replaced the Neumann condition (5), at r = R, with an equivalent Dirichlet-type boundary condition on  $rH_{\varphi}$ . The latter is tailored using a Newton-Raphson iterative algorithm, according to which the values of  $RH_{\varphi}(R,z)$  follow a convergence path towards the physical solution  $E_z(R,z) = 0$ . Details on the numerical algorithm adopted can be found in [13].

At the excitation plane (located at the lower open-end of the coaxial waveguide, see Fig. 1), an absorbing boundary condition (ABC) is considered in order to eliminate non-physical reflections, coming from the modeling of the waveguide as a finite system [15,20–25]. For a  $H_{\varphi}^-$  component, associated with a reflected plane-wave propagating towards the excitation plane (i.e. along direction  $-\mathbf{e}_z$ ), the first-order ABC writes (for a time-harmonic description) [21]

$$\frac{\partial H_{\varphi}^{-}}{\partial z}_{\text{lexc plane}} - ik_0 H_{\varphi}^{-}_{\text{exc plane}} = 0 \quad . \tag{6}$$

This boundary condition yields perfect absorption for a normal incidence of the reflected wave [i.e. for a  $H_{\varphi}^-$  component as similar as possible to a (reflected) TEM planewave], which is ensured by moving the excitation plane away from the cylindrical reactor. In practice,  $H_{\varphi}^-$  exc plane is generally defined as

$$H_{\varphi \text{ exc plane}}^{-} \equiv H_{\varphi \text{ exc plane}} - H_{\varphi \text{ exc plane}}^{+},$$
 (7)

where  $H_{\varphi_{\rm exc\,plane}}$  is the calculated magnetic field at the excitation plane and  $H_{\varphi_{\rm exc\,plane}}^+$  relates to the magnetic field with the coaxial waveguide, associated to a TEM wave propagating towards the reactor (i.e. along direction  $\mathbf{e}_z$ ) [18,19]

$$H_{\varphi \text{ exc plane}}^+ \equiv H_{\varphi}^{\text{TEM}} \propto \frac{\exp\left[-ik_0 z_{\text{exc plane}}\right]}{r}$$
 . (8)

### 2.3 Power balance

The quality of power coupling for the AIT-reactor system is measured by its power transmission coefficient [18]

$$\frac{P_{\text{abs}}}{P_0} \equiv \frac{\frac{1}{2} \int_V \Re[\sigma] |E|^2 dV}{\frac{1}{2} \Re \int_{\text{exc. plane}} E_r^{\text{TEM}} (H_{\varphi}^{\text{TEM}})^* dS} \quad , \tag{9}$$

where  $|E|^2 \equiv |E_r|^2 + |E_z|^2$  is the total electric field,  $P_{\rm abs}$  is the time-average power absorbed by the plasma, and  $P_0$  is the time-average incident power on the excitation plane, which is an input parameter to the model ( $\Re$  represents the real part of and  $\star$  refers to the complex conjugate).

#### 2.4 Numerics

Maxwell's equations are discretized onto a 2D, non-uniform grid, using a technique based on Yee's method [26]. Grid design follows the reactor geometry, with a pointdensity that increases near regions where either the plasma parameters or the field components are expected to present steep variations (e.g. at the nozzle's tip, in the plasma region, and near the reactor walls). The number of grid points (typically 10<sup>4</sup>) is set as to provide both numerical accuracy and a proper description of the plasma region, while ensuring acceptable run times ( $\sim 10$  min-3 hrs on a 2.5 GHz CPU, depending on the number of grid points). After discretization, Maxwell's equations are merged into a Helmholtz-type equation in the single quantity  $rH_{\varphi}$ . The discretized equation is solved using a Gauss-Seidel iterative algorithm, with a stabilization improvement that uses direct column- and row-wise solutions, and a convergence acceleration that adopts the successive overrelaxation technique [27]. The convergence test checks for a maximum relative error of  $H_{\varphi}$  smaller than  $10^{-7}$ .

### 2.5 Results and discussion

The EM model is applied to the AIT-reactor system, for various R and L dimensions, at  $n_{e,\text{max}}=10^{15}~\text{cm}^{-3}$  and

a normalized input power  $P_0 = 1$  W. Figure 3 presents contour-plots of the modulus of the EM field components (normalized to their maximum values), obtained adopting the hollow radial profile of  $n_e$  [see Fig. 2(a)], for L =150 mm and R = 35 mm [Figs. 3(a)-(c)] or R = 55 mm [Figs. 3(d)-(f)]. The results in these figures show that the AIT-reactor system exhibits features similar to those of an air-filled circular waveguide, shorted by a top metal plate, due to the size of the cylindrical reactor when compared to either the AIT or the plasma small dimensions. Hence, for  $R < R_c$  the (evanescent) fields decrease exponentially along the axis, whereas for  $R > R_c$  the fields have an oscillating behavior in axial direction. Our observations agree with those reported in [10], where the field distribution presents evanescent features (for a reactor with 10 mm radius) beyond the end of the coaxial antenna. Contrary to our device, this antenna can extend over 45% of the reactor's height, allowing for the development of a TEM standing wave pattern within the lower part of the cylindrical reactor. This explains why good coupling is ensured only for a coaxial antenna that ends in an antinode of the electric field of the standing wave, even if the reactor of Gritsinin et al runs in an evanescent mode.

Figures 4(a)-(b) plot the axial and radial profiles of the EM field components near the plasma region, calculated at R=55 mm and  $n_{e,\rm max}=10^{15}$  cm<sup>-3</sup>. Results show that, as expected,  $E_z$  is the on-axis dominant EM component [see Fig. 4(a)], exhibiting an axial profile that increases inside the plasma up to its boundary, due to the development of an electron-plasma resonance. This phenomenon is associated with the axial decrease in the electron density, which causes a decrease in  $\omega_p$  leading to  $\Re[\varepsilon_p] \simeq 0$  at resonance position [see Eqns. (1)-(3)]. Note that, outside the plasma region,  $E_z$  exhibits an evanescent behavior up to  $\sim 61$  mm, corresponding approximately to half-wavelength  $\pi c/\omega$ . Radially, the  $E_r$  component dominates outside and close to the boundary of the highly conducting plasma [see Fig. 4(b)], thus confirming the development of a surface-wave as reported in [10]. Inside and close to the radial boundary of the plasma  $E_z/E_r \simeq 9$ [see Fig. 4(b)], which is compatible with a skin depth of about  $\delta \simeq 9/k_0 \simeq 18$  cm. Thus, for the  $n_e$  profile adopted here, the  $E_z$  component is able to penetrate the plasma region (exhibiting a quasi-constant value down to the reactor axis), even for a high electron density (hence conductivity) responsible for a gas-to-plasma drop in the  $E_r$ component.

The previous results give a strong indication that the system dimensions might also influence the fractional average power absorbed by the plasma. Figures 5(a)-(b) plot the calculated power transmission coefficient  $P_{\rm abs}/P_0$  [see Eqn. (9)], as a function of R (at fixed L=150 mm) and L (at fixed R=50,55,60 mm), respectively, for different  $n_e$  radial profiles at  $n_{e,\rm max}=10^{15}$  cm<sup>-3</sup>. Figure 5(b) plots also measured values of  $1-P_{\rm ref}/P_g$  (where  $P_g$  is the power delivered by the microwave generator and  $P_{\rm ref}$  is the total reflected power), as a function of L, obtained at  $P_g=600$  W and R=50 mm. The results in these figures show that power coupling is strongly affected by variations in both R and L, due to the development of resvariations in both R and L, due to the development of res-

onant wave-cavity modes. Note that the radial profile of  $n_e$ is not without influence upon power coupling, particularly at small R's and L's for which the plasma starts to modify the overall EM field distribution. With this respect, the non-physical linear profile of  $n_e$  limits considerably the absorption of power by the plasma. Moreover, numerical tests reveal that increasing  $n_{e,\text{max}}$  leads to more intense maxima, for smaller R and L values. The measured total transmission coefficient exhibits the same variation trend with L as the calculated ones, although with less pronounced peaks, in part due to the absorption of energy by the metal waveguides. Note that  $P_{\text{ref}}$  was measured at the input of the (finite) coaxial waveguide (not at the reactor entrance), where the sole stubb of the transmission line was tuned as to ensure a maximum coupled power for a reactor with 50 mm radius and 70 mm height. These facts can explain the poor agreement between simulations and experiment. Firstly,  $1 - P_{\text{ref}}$  is only a representation of the absorbed power, as the impedance of the coaxial waveguide might have also be affected by changes in Land R. Secondly, the system was tuned to yield a maximum power coupled under different conditions than those predicted by the model. With this respect, the calculated curve of  $P_{\rm abs}/P_0$  vs L can be altered by modifying the tuning parameter R within the model. Simulations obtained for R = 50,60 mm [see 5(b)] show a strong modification (both in position and width) of the resonant absorption peaks, leading to predictions that are closer to measurements when R = 60 mm.

In any case, measurements confirm that device optimization can be achieved by adjusting its dimensions, as suggested by simulations. This conclusion coincides with the observations reported in [10], although the dimensions of the coaxial antenna with the reactor of Gritsinin et al play a key role in power coupling. In our case, the nozzle's height  $l_n$  or tip length  $l_t$  have a much smaller influence on the absorbed power than the reactor radius R or height L [11], possibly due to the small penetration of our sharp antenna into the reactor. Moreover, under evanescent conditions (as in [10]), the absorption of power has its maximum value (close to 1) at  $R \simeq R_c \sim 45$  mm [being smaller when R decreases, see Fig. 5(a)], which might explain a (optimum) power absorption of only 0.38 for the reactor of Gritsinin et al (with 10 mm radius).

# 3 Hydrodynamic module

### 3.1 Navier-Stokes' equations

The HD module [14] solves the Navier-Stokes equations [28, 29] for the flowing neutral gas (including its thermal balance equation), to calculate the 2D distribution of the (axial and radial) velocities  $\mathbf{v} \equiv \mathbf{v}_r + \mathbf{v}_z$ , mass density  $\rho \equiv n_g M_g$  (with  $M_g$  the gas molecular mass), pressure  $p = n_g k_B T_g$  (with  $k_B$  the Boltzmann's constant), and temperature  $T_g$  within the reactor. The Navier-Stokes equations write

$$\nabla \cdot (\rho \mathbf{v}) = 0 \tag{10}$$

$$\nabla \cdot (\rho \mathbf{v} \mathbf{v}) = -\nabla p - \nabla \cdot \pi + n_g \mathbf{F}$$

$$\rho C_p \left( \mathbf{v} \cdot \nabla \right) T_g = \nabla \cdot (\lambda_g \nabla T_g) - p \nabla \cdot \mathbf{v}$$
(11)

$$+\frac{3}{2}\frac{m_e}{M_g}n_e\nu k_B\left(T_e-T_g\right) \quad , \qquad (12)$$

where

$$\pi \equiv -\eta \left[ \nabla \mathbf{v} + (\nabla \mathbf{v})^T - \frac{2}{3} \nabla \cdot \mathbf{v} \mathbf{I} \right]$$
 (13)

is the viscosity tensor (with  $\eta \simeq C T_g^{1/2} \simeq 4.5 \times 10^{-5}$  Pa s the viscosity coefficient for helium at  $T_g \simeq 10^3$  K [30], C a constant, and  $\mathbf{I}$  the identity tensor);  $\mathbf{F} \equiv M_g \mathbf{g}$  is the gravity force (with  $\mathbf{g}$  the corresponding acceleration);  $C_p = (5/2)(k_B/M_g)$  is the gas heat capacity and  $\lambda_g = (15/4)\eta(k_B/M_g)$  is the gas thermal conductivity [30].

Equations (10)-(12) are solved for input gas flows  $Q=10^2-10^4$  sccm, considering that the ratio of the gas input to the gas output surfaces is about 1% (for a nozzle radius  $R_{\text{nozz}}=0.5$  mm). Under these work conditions, the maximum input gas velocity is  $v_0=(0.1/273)(M_g/k_B)$   $(Q/\rho_0)/(60\pi R_{\text{nozz}}^2)\simeq 8\times 10^4$  cm s<sup>-1</sup> (for a mass density  $\rho_0=4.87\times 10^{-5}$  g cm<sup>-3</sup> at  $T_g\simeq 10^3$  K), which yields a Mach number  $(c_s=1.9\times 10^5$  cm s<sup>-1</sup> is the speed of sound in helium at  $T_g\simeq 10^3$  K) M  $\equiv v_0/c_s\lesssim 0.4$  (hence a subsonic flow regime), and a Reynold number Re  $\equiv \rho_0 v_0 2 R_{\text{nozz}}/\eta \lesssim 840$  (hence a non-turbulent flow regime).

### 3.2 Boundary conditions

Equations (10)-(12) are solved subject to the following boundary conditions. At the reactor axis (r=0) we impose the symmetry conditions  $v_r=0$ ,  $\partial v_z/\partial r=0$ , and  $\partial T_g/\partial r=0$ . At reactor walls  $\mathbf{v}=0$  is applied, corresponding to a combination of no-slip conditions (setting to zero all velocity components parallel to walls) with flux conservation conditions (setting to zero all velocity components perpendicular to walls); we also impose the gas temperature at the reactor walls, by setting  $T_g = T_{g_w}$ . At the input opening (located at  $z = l_n$ ) we give the gas flow  $\rho v_z = \rho_0 v_0$ ; at the output opening (located at z = L) we impose the pressure closure condition  $p = p_{\rm atm}$ , along with the continuity conditions  $\partial v_z/\partial z = 0$  and  $\partial T_g/\partial z = 0$ . At the nozzle's surface and at the upper wall, additional continuity conditions for the gas temperature are also set, by imposing  $\partial T_g/\partial x_\perp = 0$ , where  $x_\perp$  is any r,z direction perpendicular to these boundaries.

### 3.3 Numerics

The Navier-Stokes' equations are discretized onto 2D staggered, cell-centered grids (similar to those adopted in the EM module), using a finite volume method based on surface integrals. Because we are in a subsonic, non-turbulent flow regime, the equations are solved by using the standard SIMPLE algorithm [28], checking for pressure corrections, mass density conservation errors, and  $T_g$  relative errors smaller than  $10^{-7}$ . For a  $10^4$  point grid, the run times are about 5 hrs on a 2.5 GHz CPU.

### 3.4 Results and discussion

The HD model is applied to the AIT-reactor system, for the radial profiles of  $n_e$  and  $T_e$  depicted in Fig. 2, at various Q,  $T_{g_w}$  and  $n_{e,\text{max}}$ . Figure 6 presents a contourplot of the modulus of the total velocity with the flowing gas (normalized to its maximum value), calculated for  $Q=10^3$  sccm and  $T_{g_w}=300$  K, adopting the hollow radial profile of the electron density at  $n_{e,\mathrm{max}}=10^{15}$  cm<sup>-3</sup>. Results reveal that the gas flow exhibits a vortex, caused by its interaction with the reactor's upper wall. Numerical tests show that this effect spreads over the entire reactor at lower input flows, for which the radial velocity component increases (yielding a gas flow less-oriented towards the output opening) and the vortex moves away from the upper wall.

For the same conditions as before, Fig. 7 shows the calculated contour-plot of the differential in pressure, with respect to atmospheric pressure  $p_{\rm atm}$ , normalized to its maximum value. As observed, the relative variations of pressure are negligible and, for this reason, the scale of the figure was narrowed down to values around  $-7\times10^{-2}$ , which also reveals that the majority of the system works under a sub-pressure situation. The maximum and minimum pressures, depicted by saturated black and white color regions in Fig. 7, correspond to  $p_{\rm max} \simeq p_{\rm atm} + 55$  (Pa) (on axis, at the nozzle's exit) and  $p_{\rm min} \simeq p_{\rm atm} - 8$  (Pa) (0.05 mm offaxis, at the nozzle's exit), respectively, demonstrating the very localized shock-effect caused by the gas input flow.

Figures 8(a)-(b) present a contour-plot of the gas temperature, calculated adopting the hollow radial profile of the electron density at  $n_{e,\text{max}} = 10^{15} \text{ cm}^{-3}$ ,  $Q = 10^3 \text{ sccm}$ , and  $T_{g_w} = 300,600 \text{ K}$ , respectively. Note that the inverse of the gas temperature profile gives an approximated representation of the gas density profile, as indicated by the results in Fig. 7. Moreover, the  $T_g$  distribution near the nozzle constitutes an indirect image of the plasma,

given by the electron energy collisional transfer, as described by the source term  $(3/2)(m_e/M_g) n_e \nu k_B (T_e - T_g)$  in Eqn. (12). As expected, results show that the gradients of  $T_g$  are strongly attenuated by increasing the gas temperature at the reactor walls.

Figures 9(a)-(b) plot axial and radial  $T_g$  profiles, respectively, calculated for  $Q = 10^3$  sccm and  $T_{g_w} = 300$  K, adopting different  $n_e$  radial profiles at  $n_{e,\text{max}} = 10^{15} \, \text{cm}^{-3}$ . Figure 9(a) plots also measured values of the gas rotational temperature, obtained at  $P_0 = 600 \text{ W}$  from (radially averaged) optical emission spectroscopy diagnostics of the N<sub>2</sub> first negative system. Good qualitative agreement is found between simulations and experiment, but the measured  $T_g$  is a factor of 1.3 above the calculated one. This difference is probably related to the maximum electron temperature adopted in calculations ( $T_{e,\text{max}} = 2 \times 10^4 \text{ K}$ ), which seems underestimated with respect to Thomson scattering measurements [4]. Numerical tests do show that the peak of  $T_g$  increases by a factor of 1.2 when considering  $T_{e,\text{max}} = 3 \times 10^4 \text{ K}$  instead. Figures 9(a)-(b) reveal that the gas temperature features a hollow spatial distribution (in both axial and radial directions) near the nozzle's tip, whose depth relates to the energy relaxation length with the plasma-gas heat transfer. The work of Gritsinin et al [10] also reports experimental gas temperatures of  $4 \times 10^3 - 5 \times 10^3$  K [31], whose calculated profiles exhibit a hollow distribution. However, their calculations yield  $T_g$ values that are considerable higher  $(8 \times 10^3 \text{ K})$ , probably as a consequence of assuming an LTE description for the plasma. Moreover, they observe an increase in the length of energy relaxation for decreasing input flows, which also disagrees with our results.

Here, we have observed that the profile of  $T_g$  is highly affected by changes in the distribution of the electron energy density  $n_eT_e$  [which accounts for smaller  $T_g$ 's when adopting a (non-physical) linear decrease for the radial profile of  $n_e$ ], or in the gas input flow (whose increase leads to longer energy relaxation lengths in axial direction, causing a drop in the peak of  $T_g$ , within plasma region, followed by an increase in its tail). These observations reveal that the gas temperature profile can be tailored by modifying the nozzle's design, via changes in either the plasma features or the flow conditions.

### 4 Final remarks

We have developed two simulation modules, for the twodimensional description of a microwave plasma reactor, operated by an axial injection torch (AIT). An electromagnetic (EM) module, which solves Maxwell's equations adopting a combined dielectric and time-harmonic description, yielding the distribution of the electromagnetic fields and the average power absorbed by the plasma; a hydrodynamic (HD) module, which solves the Navier-Stokes equations for the flowing neutral gas, yielding the distribution of mass density, pressure, temperature, and velocities.

The EM results showed that the AIT-reactor system exhibits features similar to those of an air-filled circular waveguide with a shortening metal plate at the top, supporting evanescent or oscillatory solutions for radial dimensions below or above a critical  $\sim 47$  mm radius

(at 2.45 GHz), respectively. For the work conditions considered here, the EM fields were found maximum in the plasma region near the nozzle's tip (due to the development of an electron-plasma resonance phenomenon), featuring an axial electric field component that was able to penetrate the plasma region (regardless of the high electron density, hence conductivity). Results have also shown that the fractional average power absorbed by the plasma is strongly influenced by the electron density profile and the system dimensions (the reactor's radius R and height L), thus confirming the role of the latter in defining the EM field distribution pattern. The experimental power transmission coefficient (obtained from measurements of the power reflected by the system) exhibits the same variation trend with L as the calculated one, indicating the development of resonant wave-cavity modes.

The HD results revealed that the gas flow exhibits a vortex, caused by its interaction with the reactor's upper wall, whose position depends strongly on the gas input velocity. Moreover, the calculated gas temperature presented hollow profiles (in both axial and radial directions) near the nozzle's tip, related to the gas input flow and to the spatial distribution of the electron density and temperature. A qualitative agreement is found, along the reactor axis, between model predictions for the gas temperature and measured values of the gas rotational temperature (obtained by radially averaged optical emission spectroscopy diagnostics of the N<sub>2</sub> first negative system), with experiment overestimating simulations by a factor of only 1.3.

In general, model results are particularly dependent on the reactor dimensions, the electron density and temperature, and the gas input flow. This indicates that simulations can assist the optimization of the AIT-reactor design, in terms of both reactor dimensions, as to ensure a maximum power coupling, and nozzle characteristics, as to control the flow features and provide an adequate gas temperature distribution. However, the full analysis of this problem requires a self-consistent description of the AIT-reactor system, including its electromagnetic excitation, the production of plasma, and the hydrodynamic transport of charged and neutral species. Work is in progress to upgrade the present model version, by coupling the EM and HD modules to a discharge plasma module, in view of constructing an integrated simulation tool.

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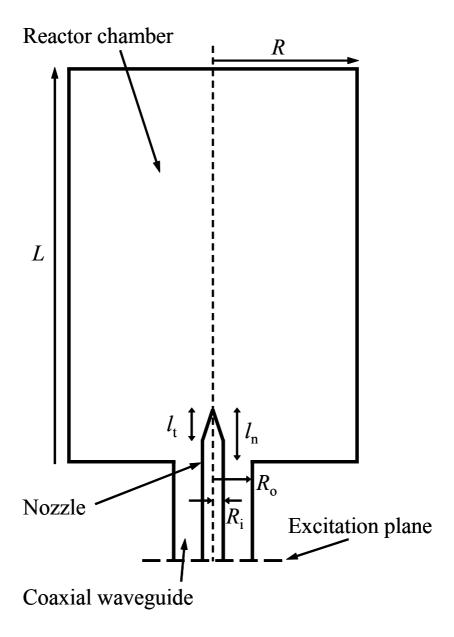


Fig. 1. Schematic scale diagram of the AIT-reactor device. Dimensions are  $R_i = 5.3$  mm,  $R_o = 14.5$  mm,  $l_n = 15$  mm,  $l_t = 10$  mm, R = 55 mm, and L = 150 mm.

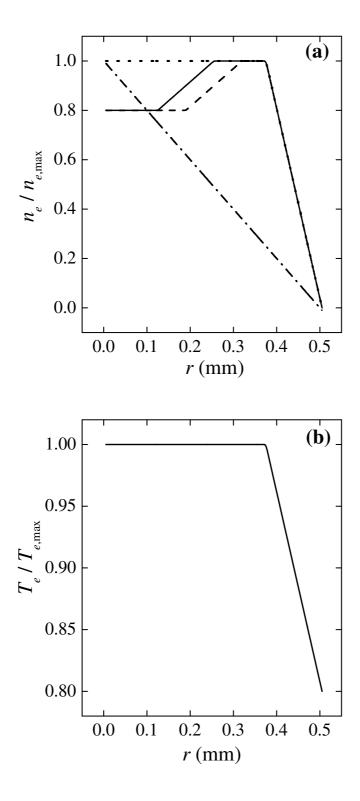


Fig. 2. Radial profiles of the plasma electron density (a) and temperature (b) adopted in simulations. For  $n_e$ , the following profiles are considered: hollow (solid curve), displaced hollow (dashed), flat (dotted), and linear (dashed-dotted).

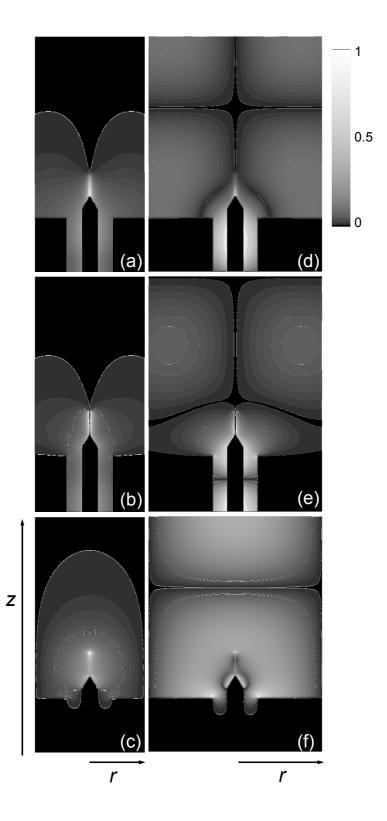


Fig. 3. Contour-plot of the modulus of the EM field components (normalized to their maximum values), numerically calculated for the AIT-reactor represented in Fig. 1, adopting the hollow radial profile of the electron density [see Fig. 2(a)] at  $n_{\rm e,max} = 10^{15} {\rm ~cm^{-3}}$ . Results are for the following radius and field components:  $R = 35 {\rm ~mm}$  and  $H_{\varphi}$  (a),  $E_r$  (b),  $E_z$  (c);  $R = 55 {\rm ~mm}$  and  $H_{\varphi}$  (d),  $E_r$  (e),  $E_z$  (f).

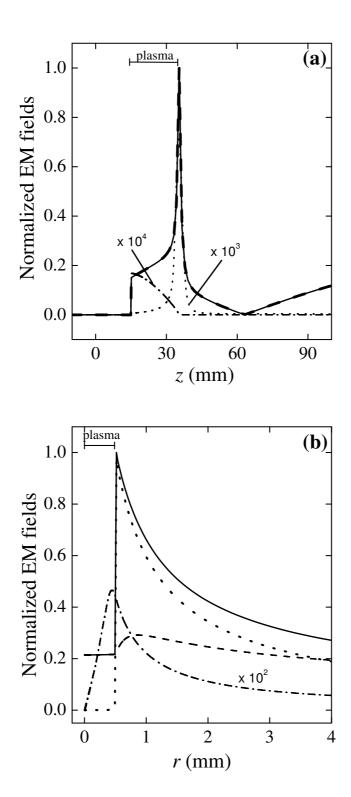


Fig. 4. Calculated axial (at  $r=0^+$ ) (a) and radial (at  $z=l_n^+$ ) (b) profiles of the modulus of the EM field components (normalized to the maximum of the total electric field E) near the plasma region, obtained for R=55 mm adopting the hollow radial profile of the electron density [see Fig. 2(a)] at  $n_{\rm e,max}=10^{15}$  cm<sup>-3</sup>. Results are for the following field components: E (solid curves),  $E_z$  (dashed),  $E_r$  (dotted),  $H_{\varphi}$  (dashed-dotted).

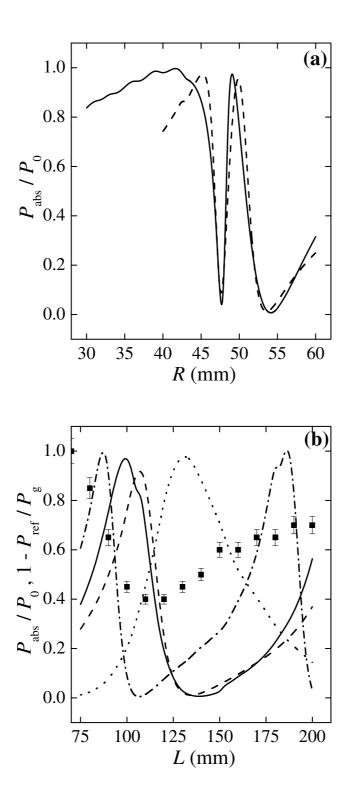


Fig. 5. Power transmission coefficient, as a function of R (a) and L (b), with all other reactor dimensions as in Fig. 1. The curves are simulation results of the fractional average power absorbed by the plasma  $P_{\rm abs}/P_0$ , obtained at L=150 mm [in (a)] or R=50 mm [in (b)], adopting the following electron density radial profiles [see Fig. 2(a)] at  $n_{e,\rm max}=10^{15}$  cm<sup>-3</sup>: hollow (solid), linear (dashed). The other curves in (b) are also simulations results obtained for the hollow  $n_e$  profile and the following values of R (in mm): 55 (dotted), 60 (dashed-dotted). The points in (b) are experimental results of the fractional transmitted power  $1-P_{\rm ref}/P_g$ , obtained at  $P_g=600$  W and R=50 mm.

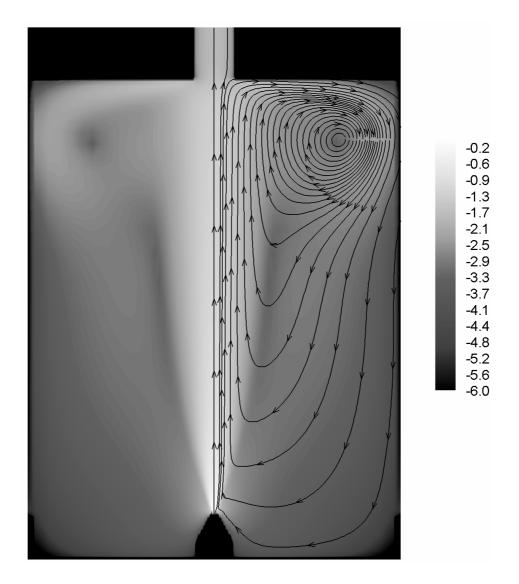


Fig. 6. Contour-plot of the logarithm of the modulus of the total velocity (normalized to its maximum value), numerically calculated for the AIT-reactor represented in Fig. 1, adopting the hollow radial profile of the electron density [see Fig. 2(a)] at  $n_{\rm e,max} = 10^{15} {\rm cm}^{-3}$ ,  $Q = 10^3 {\rm sccm}$ , and  $T_{g_w} = 300 {\rm K}$ . The gradients of grey in background are for the intensity of  $\mathbf{v}$ , whereas the streamlines are for its direction.

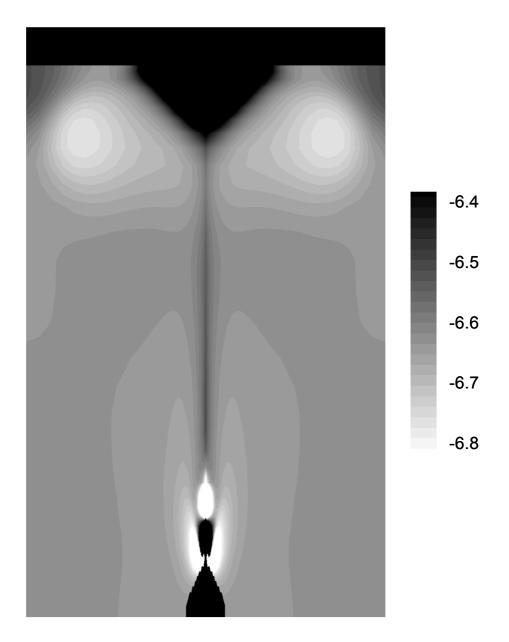


Fig. 7. Contour-plot of the nomalized pressure difference  $(p - p_{\rm atm})/(p_{\rm max} - p_{\rm atm}) \times 10^2$ , numerically calculated for the AIT-reactor represented in Fig. 1, for the same conditions of Fig. 6.

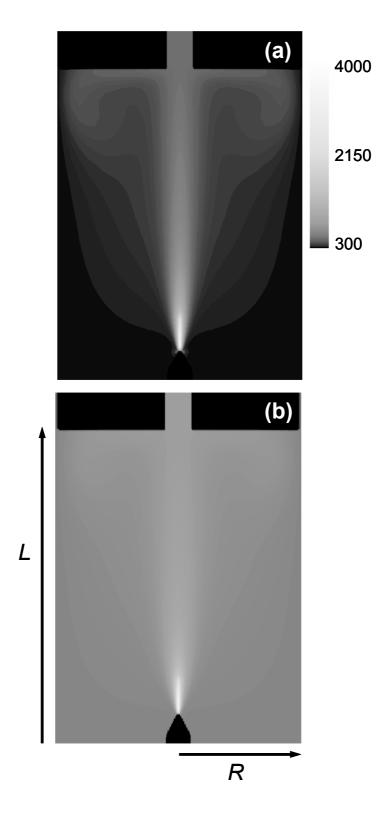


Fig. 8. Contour-plot of the gas temperature, numerically calculated for the AIT-reactor represented in Fig. 1, adopting the hollow radial profile of the electron density [see Fig. 2(a)] at  $n_{\rm e,max}=10^{15}~{\rm cm}^{-3},~Q=10^3~{\rm secm},$  and the following values of  $T_{g_w}$  (in K): 300 (a), 600 (b).

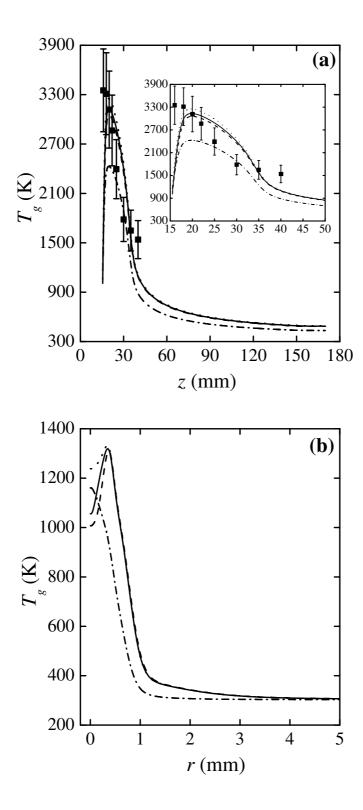


Fig. 9. Axial (at r = 0) (a) and radial (at  $z = l_n$ ) (b)  $T_g$  profiles, for  $Q = 10^3$  sccm. The curves are simulation results obtained at  $T_{g_w} = 300$  K, adopting the following electron density radial profiles [see Fig. 2(a)] at  $n_{e,\text{max}} = 10^{15}$  cm<sup>-3</sup>: hollow (solid), displaced hollow (dashed), flat (dotted), and linear (dashed-dotted). The points in (a) are experimental results (divided by a factor of 1.3 for representation purposes), obtained at  $P_0 = 600$  W. The insert in Fig. 9(a) is just a zoom of this figure over the plasma region.