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Jean-Michel Billiot, Franck Corset, Eric Fontenas

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Continuum Percolation in the Relative Neighborhood Graph

Billiot, J.-M. Corset, F.
Fontenas, E.

LJK, SAGAG Team, BSHM, Université Pierre Mendès France
1251 avenue centrale, B.P. 47, 38040 Grenoble cedex 9, France
jean-michel.billiot@upmf-grenoble.fr

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Abstract

In the present study, we establish the existence of nontrivial site percolation threshold in the Relative Neighborhood Graph (RNG) for Poisson stationary point process with unit intensity in the plane.

1 Introduction

Percolation theory is very useful to describe various physical phenomena. In particular, there are important connections with phase transition problems [15, 14].

The interest for percolation problems has grown rapidly during the last decades: see Lyons and Peres [19] for percolation on trees and networks and Meester and Roy [20] for continuum percolation and the references therein. In 1996, Häggström and Meester [17] proposed results for continuum percolation problems for the k -nearest neighbor graph under Poisson process. In a recent paper, Balister and Bollobás [3] give bounds on k for the k -nearest neighbor graph for percolation with several possible definitions.

Site and bond percolation as well as phase transition for several statistical mechanics models have also been studied by Häggström [16] for general graphs and in particular for the Delaunay triangulation for the Poisson point process. It also shows for graphs with a bounded degree if the bond percolation threshold is not trivial then it implies a phase transition for the Ising model on this graph. For general results on Delaunay graphs and Voronoi tessellations see Moller [21]. For recent results on percolation on these graphs see Balister et al. [4, 2].

Benjamini and Schramm [6] proposed a comprehensive study on general graphs, with special focus on Cayley graphs, quasi-transitive graphs and planar graphs. Recently, Procacci and Scoppola [22] proposed sufficient conditions on infinite graphs to deduce a non trivial bond percolation threshold. Among these assumptions, they

assume that the dual graph is bounded degree. It is interesting to relax this condition in order to deal with proximity random graphs (which in general have a dual not bounded degree) like the skeletons on point Poisson processes of the plane. These random graphs includes the Gabriel graph and the Relative Neighborhood Graph (RNG) which are important for many applications.

Remark that continuous models defined on nearest neighbors graphs are interesting for small temperature as alternative of standard models on regular networks, because it allows vibrations and deformations of the network and may be find an application in physics of the solid state. In particular one example is the study of the order-disorder transition of binary alloys or ionic crystals. It is well-known that Delaunay graph or Voronoi regions (rather called Wigner-Seitz grid and Brillouin zone in physics framework) take a fundamental place for the understanding of the electrical current, waves propagation and phase transitions observed by Bragg diffraction of X rays.

Another domain of application should be found in cancerology for the study of the growth of tumour when the cancer cells suddenly begin to invade healthy tissue. The Delaunay graph is well adapted for such study as explained in [10] but the RNG should give some more information. More precisely, in histology we have some slides with marked cells (cancer cells and normal cells in first approximation) and probably, a connection between percolation and the grade of a given cancer will be helpful to give an aid for the diagnostic of a pathologist.

The existence and unicity at small activity of nearest neighbors stationary Gibbs states can be found in Bertin et al. [7, 8, 9]. In Bertin et al. [12], the phase transition in the Delaunay continuum Potts model is established. It is a generalization of the Lebowitz-Lieb model as described in Georgii and Häggström [13] where the soft repulsion between several species of particules acts on the Delaunay graph. What is the good Delaunay subgraph on which the repulsion is strong enough to maintain a phase transition? In terms of percolation, it means: is bond percolation maintained in this subgraph? Bertin et al. [11] gave an answer for the Gabriel graph. Another well-known subgraph of Delaunay graph is the Minimum Spanning Tree (MST). The structure of spanning forest generalized minimum spanning tree for infinite graphs. Constructed with the greedy algorithm, this graph exactly is described in the book of Meester and Roy [20]. The link between branching number and percolation on trees is proved by Lyons [18]. Connection between minimum spanning forest and occupied and vacant percolation is strong (for more details on simultaneous uniqueness see Alexander [1]). Thus, the minimum spanning forest is a tree with one infinite path a.s. in two dimensions when the points are distributed under a stationary Poisson process. It comes that a.s. the site or bond critical threshold are equal to 1.

The present study gives an answer for the RNG, well known in computational geometry, when the points are distributed under a stationary Poisson point process with unit intensity in the plane. We adapted a powerful method of the rolling ball proposed by Balister and Bollobás [3] relying on 1-independent bond percolation on \mathbb{Z}^2 . Then if we control the probability of having less than a fixed number of points in a given region and considering the event that all the sites are open in this region, we can proceed similarly as in Häggström [16].

The paper is organized as follows. The first section is devoted to some definitions

and notations. Next, the main result on site and bond percolation on the RNG is given. Then, we give the proof of the main result, by using a result of bond percolation in the 1-independent case in \mathbb{Z}^2 and the rolling ball method. We conclude on possible extensions of this work.

2 Notations and definitions

Let $|A|$ denotes the Lebesgue measure if the set A is a bounded Borel set of \mathbb{R}^2 , and the counting measure if A is a discrete set.

Given a finite box $\Lambda \subset \mathbb{R}^2$, we denote by Π_Λ the Poisson point process on the locally finite set of points in Λ denoted by Ω_Λ with intensity 1 i.e.

$$\int_{\Omega_\Lambda} f d\Pi_\Lambda = \exp(-|\Lambda|) \sum_{n=0}^{\infty} \frac{1}{n!} \int_{\Lambda^n} f(\{x_1, \dots, x_n\}) dx_1, \dots, dx_n$$

for any bounded measurable function f on Ω_Λ .

Let Ω the set of locally finite subsets of \mathbb{R}^2 . We have to consider only the configurations $\varphi \in \Omega$ which are in general position (four points on the same circle do not occur and no three points are colinear) in order to ensure the existence and unicity of the Delaunay graph. One can notice that, for any stationary point processes, the probability of the set of tessellations in general position is equal to one [21]. Let us recall the definitions of Delaunay, Gabriel and relative neighborhood graphs.

Definition 1 *The Delaunay graph $Del_2(\varphi)$ of a configuration φ in Ω is the set of edges of the unique triangulation $Del_3(\varphi)$ in which the interior of the circle circumscribed by every triangle of $Del_3(\varphi)$ does not contain any point of φ .*

Definition 2 *The Gabriel graph $Gab(\varphi)$ of a configuration φ in Ω is defined as the set of edges $\{u, v\} \subset \varphi$ such that the open circle with $\{u, v\}$ as diameter does not contain any point of the configuration φ .*

Definition 3 *The Relative Neighborhood graph $Rn(\varphi)$ of a configuration φ in Ω is defined as the set of edges $\{u, v\} \subset \varphi$ such that the intersection of the disks with center u and v with radius uv does not contain any point of the configuration φ .*

These graphs are planar in \mathbb{R}^2 . Furthermore, the RNG is a subgraph of the Gabriel graph which is a subgraph of the Delaunay Graph (see figure 1).

3 Main result

We first deal with the site percolation on the RNG for the Poisson point process Φ . We introduce the Bernoulli process $\Theta(\Phi)$ providing the type picking mechanism (1 for open and 0 for closed) of the points (or sites) in Φ . Obviously, $\mathbf{\Phi} = (\Phi, \Theta(\Phi))$ can be seen as a marked Poisson process. The probability measure of $\mathbf{\Phi}$ is given by :

$$\mathbb{P}(d\varphi, d\theta) = \int \Pi(d\varphi) \mu_\varphi^p(d\theta)$$

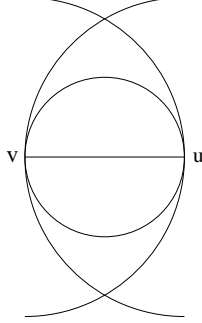


Figure 1: Vacuity regions for Gabriel and Relative neighborhood graphs for an edge uv

where μ_φ^p is the probability measure on $\{0, 1\}^\varphi$ of the Bernoulli process $\Theta(\varphi)$ given the configuration $\varphi \in \Omega$. Similarly $\mu_{Rn(\varphi)}^p$ is the probability measure on $\{0, 1\}^{Rn(\varphi)}$ of the Bernoulli process $\Theta(Rn(\varphi))$ given the graph $Rn(\varphi)$ where $\varphi \in \Omega$. We define $p_c^{site}(\varphi, Rn(\varphi))$ and $p_c^{bond}(\varphi, Rn(\varphi))$ such that:

$$\begin{aligned} & \mu_\varphi^p(\exists \text{ at least one infinite open cluster in } Rn(\varphi)) \\ &= \begin{cases} 1 & \text{if } p > p_c^{site}(\varphi, Rn(\varphi)) \\ 0 & \text{if } p < p_c^{site}(\varphi, Rn(\varphi)) \end{cases} \\ & \mu_{Rn(\varphi)}^p(\exists \text{ at least one infinite open cluster in } Rn(\varphi)) \\ &= \begin{cases} 1 & \text{if } p > p_c^{bond}(\varphi, Rn(\varphi)) \\ 0 & \text{if } p < p_c^{bond}(\varphi, Rn(\varphi)) \end{cases} \end{aligned}$$

Let us recall [15] the following well known relation between p_c^{site} and p_c^{bond} on a given graph with bounded degree: as the graph $(\varphi, Rn(\varphi))$ have a degree at most 6,

$$\forall \varphi \in \Omega, \quad 1/5 \leq p_c^{bond}(\varphi, Rn(\varphi)) \leq p_c^{site}(\varphi, Rn(\varphi)) \leq 1 - [1 - p_c^{bond}(\varphi, Rn(\varphi))]^6.$$

We now introduce $p_c^{site}(Rn, \Pi)$ defined as the lowest p for which the probability of the event that there exists an infinite open cluster in the RNG relative to the marked point Poisson process Φ is equal to 1. By ergodicity of Π , this previous event, invariant by translation, is a trivial event. The marked point Poisson process Φ may exhibit some percolation phenomenon with critical value $p_c^{site}(Rn, \Pi)$. We want to prove in the following theorem that this is a non trivial critical value, i.e.,

Theorem 1 $p_c^{site}(Rn, \Pi) < 1$ and $p_c^{bond}(Rn, \Pi) < 1$.

The following section is devoted to the proof of this theorem.

4 Proof

First, we point out that the method proposed by Häggström [16] and used for k nearest neighbor graph and Delaunay graph, as well as the adaptation by Bertin et al. [11] to the Gabriel graph does not apply to the RNG. However, it is not sufficient to control the probability of having at least one point and less than a fixed number of points in each small box K (see figure 2).

Indeed, we may choose some configuration of points such that the length and number of points of a Rn - path between two points are arbitrary large, see figure 2. w is an edge of the RNG but uv is not because for example the point q belongs to the vacuity region of this edge.

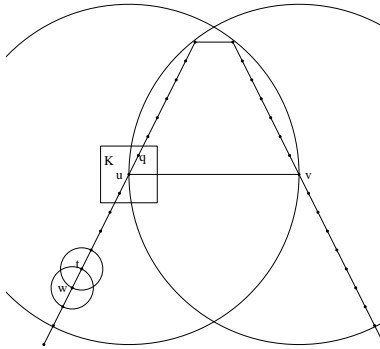


Figure 2: Example of construction of configuration with arbitrary large Rn - path.

Moreover, such methods are based on comparison with independent bond percolation on the grid \mathbb{Z}^2 . As, in Balister and Bollobás [3] in the case of k nearest neighbor graph, we adapt the method of rolling ball (see figure 3) to the RNG. We proceed in two steps:

1. Controlling the probability of some suitable configurations of points under Poisson point process.
2. Consider the Bernoulli site percolation in such configurations.

To prove that continuous percolation occurs, we shall compare the process to various bond percolation models on \mathbb{Z}^2 . In these models, the states of the edges will not be independent. However they will satisfy the following definition:

Definition 4 A bond percolation model is 1-independent if whenever E_1 and E_2 are sets of edges at graph distance at least 1 from each other (i.e., if no edge of E_1 is incident to an edge of E_2) then the state of the edges in E_1 is independent of the state of the edges in E_2 .

We shall use the following result in Balister et al. [5].

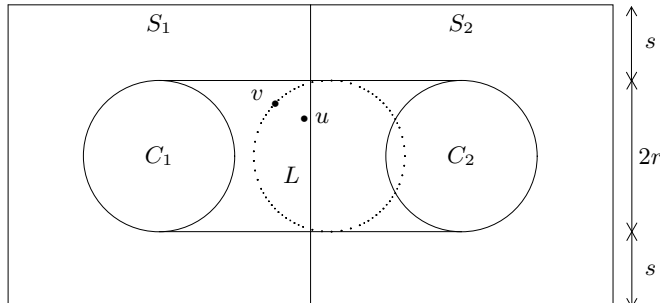


Figure 3: The Rolling Ball Method

Theorem 2 *If every edge in a 1-independent bond percolation model on \mathbb{Z}^2 is open with probability at least 0.8639, then almost surely there is an infinite open component. Moreover, for any bounded region, there is almost surely a cycle of open edges surrounding this region.*

Let us first consider the case of percolation in the RNG. Write $u \sim v$ if uv is an edge of the underlying graph $Rn(\varphi)$. For percolation we need to find an infinite path, i.e., a sequence $u_1, u_2 \dots$ with $u_i \sim u_{i+1}$ for all i . Consider the rectangular region consisting of two adjacent squares S_1, S_2 shown in figure 3. Both S_1 and S_2 have side length $2r + 2s$, where r and s are to be chosen later. We define the basic good event \mathcal{E}_{S_1, S_2} to be the event that every vertex u_1 in the central disk C_1 of S_1 is joined to at least one vertex v in the central disk C_2 of S_2 by a Rn - path, regardless of the state of the Poisson process outside of S_1 and S_2 .

Now consider the following percolation model on \mathbb{Z}^2 . Each vertex $(i, j) \in \mathbb{Z}^2$ corresponds to a square $[Ri, R(i + 1)] \times [Rj, R(j + 1)] \in \mathbb{R}^2$, where $R = 2r + 2s$, and an edge is open between adjacent vertices (corresponding to squares S_1 and S_2) if both the corresponding basic good events \mathcal{E}_{S_1, S_2} and \mathcal{E}_{S_2, S_1} hold. Note that this is indeed a 1-independent model on \mathbb{Z}^2 since the event \mathcal{E}_{S_1, S_2} depends only on the Poisson process within the region S_1 and S_2 , and thus sets of edges at distance at least one apart in \mathbb{Z}^2 depend on the Poisson process in disjoint regions of \mathbb{R}^2 . Any open path in \mathbb{Z}^2 corresponds to a sequence of basic good events $\mathcal{E}_{S_1, S_2}, \mathcal{E}_{S_2, S_3} \dots$ that occur, where S_i is the square associated with a site in \mathbb{Z}^2 . Every vertex u_1 of the original Poisson process that lies in the central disk C_1 of S_1 now has an infinite path leading away from it, since one can find points u_i in the central disk of S_i and paths from u_{i-1} to u_i inductively for every $i > 1$. In particular, each such u_1 lies in an infinite component. Moreover, such vertices exist in C_1 , so there is an infinite component. One can choose r and s so that the probability that the intersection of a basic good event is large and then we will apply the theorem 2.

In order to bound the probability that this intersection of a basic good event fails, we shall use the following rolling ball method. Let C_1 , C_2 , and L be as in Figure 3. (L is the region between the two disks C_1 and C_2 .) We need to define \mathbf{E}_{S_1, S_2} the event that for every point $v \in C_1 \cup L$, there is a u such that:

- a) $v \sim u$;
- b) $d(u, v) \leq s$; and
- c) $u \in D_v$, where D_v is the disk of radius r inside $C_1 \cup L \cup C_2$ with v on its C_1 -side boundary (the dotted disk in Figure 3). Note in particular that (b) implies that the condition $u \sim v$ in (a) is independent of the Poisson process outside of $S_1 \cup S_2$. This is because both u and v are at distance at least s from the exterior of $S_1 \cup S_2$, so the event that (u, v) is an edge of the RNG only depends on the points within $S_1 \cup S_2$. We denote $\bar{\mathbf{E}}_{S_1, S_2}$ the complementary of \mathbf{E}_{S_1, S_2} . The probability of $\bar{\mathbf{E}}_{S_1, S_2}$ is bounded by the expected number of points u for which above conditions (a)-(c) fail. Thus, we have

$$\Pi(\bar{\mathbf{E}}_{S_1, S_2}) \leq 2r(2r + 2s)p_{Rn, r, s} \quad (1)$$

where $p_{Rn, r, s}$ is the probability that (a)-(c) fail for some fixed v . Notice that this probability is independent of the location of v in $C_1 \cup L$.

Lemma 1 *We can choose r and s such that $\Pi(\bar{\mathbf{E}}_{S_1, S_2})$ is arbitrary small.*

Proof:

Let $D(x, \alpha)$ be the disk of radius α and of center x .

Then,

$$p_{Rn, r, s} \leq e^{-|D_v \cap D(v, s)|} + \int_{D_v \cap D(v, s)} p_{r, s}(u) du$$

where $p_{r, s}(u) = e^{-|D_v \cap D(v, d(u, v))|} (1 - e^{-|D(v, d(u, v)) \cap D(u, d(u, v)) \setminus D_v|})$ is the probability that u is the closest point to v inside D_v , but that (u, v) is not an edge of the RNG. To calculate this upper bound, note that

$$|D_v \cap D(v, s)| = -rs\sqrt{1 - \frac{s^2}{4r^2}} + (2r^2 - s^2) \arcsin\left(\frac{s}{2r}\right) + s^2\pi/2.$$

By choosing polar coordinates (α, θ) of u , it comes:

$$\int_{D_v \cap D(v, s)} p_{r, s}(u) du = 2 \int_0^s \alpha e^{-|D_v \cap D(v, \alpha)|} \int_0^{\arccos(\alpha/(2r))} J(\alpha, \theta) d\theta d\alpha$$

where

$$J(\alpha, \theta) = 1 - e^{-|D(v, \alpha) \cap D((\alpha, \theta), \alpha) \setminus D_v|}.$$

To calculate this last integration, we have :

$$\left\{ \begin{array}{l} \int_0^{\arccos(\frac{\alpha}{2r}) - \pi/3} J(\alpha, \theta) d\theta = \arccos\left(\frac{\alpha}{2r}\right) - \pi/3 - \int_0^{\arccos(\frac{\alpha}{2r}) - \pi/3} e^{-|L(\alpha, r, \theta)|} d\theta \\ \int_{\arccos(\frac{\alpha}{2r}) - \pi/3}^{\arccos(\frac{\alpha}{2r})} J(\alpha, \theta) d\theta = \pi/3 - 2 \frac{e^{-|L(\alpha, r, \arccos(\frac{\alpha}{2r}) - \pi/3)|}}{\alpha^2} [1 - e^{-\alpha^2 \pi/6}] \end{array} \right.$$

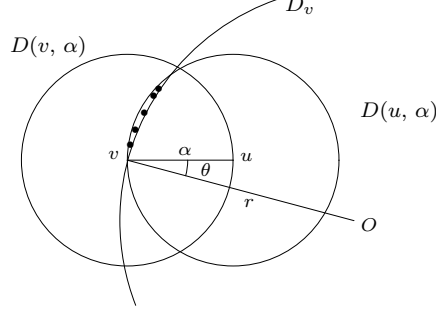


Figure 4: The Lune $L(\alpha, r, \theta) = D(u, \alpha) \setminus D(v, \alpha)$ is the dotted area

with

$$\begin{cases} |L(\alpha, r, \theta)| = \alpha^2 \theta + (\alpha^2 - r^2) \arcsin \left(\frac{\alpha \sin \theta}{\sqrt{r^2 + \alpha^2 - 2\alpha r \cos \theta}} \right) + \alpha r \sin \theta \\ |L(\alpha, r, \arccos(\frac{\alpha}{2r}) - \pi/3)| = \frac{\alpha^2}{2} \left(\frac{\pi}{3} - \frac{\sqrt{3}}{2} \right) - r^2 \arcsin \left(\frac{\alpha}{2r} \right) + \frac{r\alpha}{2} \sqrt{1 - \frac{\alpha^2}{4r^2}}. \end{cases}$$

Thus,

$$\int_{D_v \cap D(v,s)} p_{r,s}(u) du = 2 \int_0^s \alpha e^{-|D_v \cap D(v,\alpha)|} \times \left\{ \arccos \left(\frac{\alpha}{2r} \right) - \int_0^{\arccos(\frac{\alpha}{2r}) - \pi/3} e^{-|L(\alpha, r, \theta)|} d\theta - \frac{2e^{-|L(\alpha, r, \arccos(\frac{\alpha}{2r}) - \pi/3)|}}{\alpha^2} \left[1 - e^{-\alpha^2 \pi/6} \right] \right\} d\alpha.$$

Take

$$\begin{aligned} \int_{D_v \cap D(v,s)} p_{r,s}(u) du &= 2 \int_0^s \alpha \arccos \left(\frac{\alpha}{2r} \right) e^{-|D_v \cap D(v,\alpha)|} d\alpha \\ &\quad - 2 \int_0^s \alpha e^{-|D_v \cap D(v,\alpha)|} \int_0^{\arccos(\frac{\alpha}{2r}) - \pi/3} e^{-|L(\alpha, r, \theta)|} d\theta d\alpha \\ &\quad - 4 \int_0^s \frac{1}{\alpha} e^{-|D_v \cap D(v,\alpha)| - |L(\alpha, r, \arccos(\frac{\alpha}{2r}) - \pi/3)|} \left[1 - e^{-\alpha^2 \pi/6} \right] d\alpha \end{aligned}$$

we conclude that

$$\begin{aligned} p_{Rn, r, s} &\leq e^{-|D_v \cap D(v,s)|} + \int_{D_v \cap D(v,s)} p_{r,s}(u) du \\ &= 1 - 2 \int_0^s \alpha e^{-|D_v \cap D(v,\alpha)|} \int_0^{\arccos(\frac{\alpha}{2r}) - \pi/3} e^{-|L(\alpha, r, \theta)|} d\theta d\alpha \\ &\quad - 4 \int_0^s \frac{1}{\alpha} e^{-|D_v \cap D(v,\alpha)| - |L(\alpha, r, \arccos(\frac{\alpha}{2r}) - \pi/3)|} \left[1 - e^{-\alpha^2 \pi/6} \right] d\alpha \end{aligned}$$

which can be bounded by

$$p_{Rn, r, s} \leq 1 - 2 \int_0^s \alpha e^{-|D_v \cap D(v, \alpha)| - |L(\alpha, r, \arccos(\frac{\alpha}{2r}) - \pi/3)|} \\ \times \left[\arccos\left(\frac{\alpha}{2r}\right) - \pi/3 + \frac{2(1 - e^{-\alpha^2 \pi/6})}{\alpha^2} \right] d\alpha.$$

□

For instance, the bound involved in inequality 1 gives around 10^{-40} with $r = s = 8000$.

For our purpose, we also need to control the probability for the Poisson point process of having at least one point in C_1 and less than m points in $C_2 \cup C_1 \cup L$.

We denote $F_{C_1} = \{\Phi(C_1) \geq 1\}$ and $A_m = \{\Phi(C_2 \cup C_1 \cup L) \leq m\}$. Notice that as $\mathbf{E}_{S_1, S_2} \cap F_{C_1} \cap A_m \subset \mathcal{E}_{S_1, S_2}$ then

$$\mathbf{E}_{S_1, S_2} \cap \mathbf{E}_{S_2, S_1} \cap F_{C_1} \cap F_{C_2} \cap A_m \subset \mathcal{E}_{S_1, S_2} \cap \mathcal{E}_{S_2, S_1}.$$

We obtain that

$$\Pi(\mathcal{E}_{S_1, S_2} \cap \mathcal{E}_{S_2, S_1}) \geq 1 - [\Pi(\bar{\mathbf{E}}_{S_1, S_2}) + \Pi(\bar{\mathbf{E}}_{S_2, S_1}) + \Pi(\bar{F}_{C_1}) + \Pi(\bar{F}_{C_2}) + \Pi(\bar{A}_m)]$$

where $\Pi(\bar{F}_{C_1}) = \Pi(\bar{F}_{C_2}) = e^{-\pi r^2}$ and

$$\Pi(\bar{A}_m) = \sum_{k>m} \frac{(2r(2r+2s) + \pi r^2)^k}{k!} e^{-2r(2r+2s) - \pi r^2}.$$

Choosing $r = s$, we have the following bound

$$\Pi(\bar{A}_m) \leq \frac{((8 + \pi)r^2)^{m+1}}{(m+1)!}.$$

This bound becomes negligible whenever $r \gg 1$ and $m > e(8 + \pi)r^2$ using Stirling formula.

Set $\epsilon = 0.1361$. Similarly as in Häggström [16] but adapted in the 1-independent case, let $B_{r,s}$ be the event that all the sites are open in $C_2 \cup C_1 \cup L$ with probability $p = 1 - \frac{\epsilon}{2m}$ and also define $C_{r,s} = \mathcal{E}_{S_1, S_2} \cap \mathcal{E}_{S_2, S_1} \cap B_{r,s}$. Then, we have:

$$\mathbb{P}(C_{r,s}) = \int_{\mathcal{E}_{S_1, S_2} \cap \mathcal{E}_{S_2, S_1}} \Pi(d\varphi) \mu_\varphi^p(B_{r,s}) \\ \geq \int_{\mathbf{E}_{S_1, S_2} \cap \mathbf{E}_{S_2, S_1} \cap F_{C_1} \cap F_{C_2} \cap A_m} \Pi(d\varphi) \mu_\varphi^p(B_{r,s}) \\ \geq (1 - \epsilon/2)p^m > 1 - \epsilon = 0.8639$$

because we can choose r, s, m (as preceding) such that

$$\Pi(\mathbf{E}_{S_1, S_2} \cap \mathbf{E}_{S_2, S_1} \cap F_{C_1} \cap F_{C_2} \cap A_m) \geq 1 - \epsilon/2$$

We conclude with theorem 2 that $p_c^{\text{site}}(Rn, \Pi) \leq 1 - \frac{\epsilon}{2m} < 1$.

5 Concluding remarks

This kind of proof also apply in the case of bond or site percolation of the k nearest neighbor graph: it is direct consequence of [3]. It is sufficient to use what they called p_U, p_B, p_I or p_O for several possible definitions of percolation.

As suggested in [3] with a high confidence, $k = 3$ is the critical out-degrees for percolation on the k nearest neighbor graph. We notice that in the RNG the number of neighbors is bounded by 6. So a point have neighbors in several directions. It may be interesting to study a family of Delaunay subgraphs defined on sequence of vacuity regions such that the number of neighbors of each point is the lowest as possible but keep good connectivity properties for percolation purposes. Otherwise a challenge would be to extend the method of the rolling ball when points are distributed under a Gibbs point process for instance a hard-core point process.

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