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# Deleterious effects of a nonPST bioactive compound(s) from *Alexandrium tamarense* on bivalve hemocytes

Susan E. Ford; V. Monica Bricelj; Christophe Lambert; Christine Paillard

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**Abstract** The known negative effects of shellfish toxin producing dinoflagellates on feeding, burrowing and survival of some bivalve mollusks has prompted questions concerning whether they might also impair the internal defense system of affected bivalves and make them more susceptible to disease agents. The primary components of the cellular defense system are hemocytes. Many toxic dinoflagellates are too large to be ingested whole by hemocytes and would most likely be exposed to intracellular toxins only after the algae are consumed, broken down, and the water-soluble toxins, released. Therefore, we conducted a series of experiments in which hemocytes from two suspension-feeding bivalves - the Manila clam, *Ruditapes philippinarum*, and the softshell clam, *Mya arenaria* - were exposed in vitro to filtered extracts of one highly toxic paralytic shellfish toxin (PST)-producing and one nonPST-producing strain of *Alexandrium tamarense* (isolates PR18b,  $76 \pm 6$  STXeq cell<sup>-1</sup>) and CCMP115, with undetectable PST, respectively). We measured adherence and phagocytosis, two hemocyte attributes known to be inhibited by bacterial pathogens and other stressors. We found no measurable effect of a cell-free extract from a highly concentrated suspension of the PST-producing strain on hemocytes of either bivalve species. Instead, extract from the nonPST-producing strain had a consistent negative effect on both clams, resulting in significantly lower adherence and phagocytosis compared to strain PR18b and filtered seawater controls. The bioactive compound produced by strain CCMP115, which has yet to be characterized, may be similar to the PST-independent allelopathic compounds described for *Alexandrium* spp., which act on other plankters. These compounds and those produced by other harmful algae are known to cause immobilization, cellular deformation and lysis of co-occurring target organisms. Thus, nonPST producing *Alexandrium* spp., which do not cause paralysis and burrowing incapacitation of clams, may still produce a compound(s) that has negative effects not only on hemocytes, but on other molluscan cell types and their functions, as well.

## Introduction

Suspension-feeding bivalve mollusks act as primary vectors for a variety of toxins produced by algal species. The toxins, which are concentrated by the bivalves as they feed during algal blooms, pose a serious health risk to human consumers (Shumway 1990). Paralytic shellfish toxins (PSTs), produced by *Alexandrium* spp. in temperate waters, not only cause paralytic shellfish poisoning (PSP) in the human consumers of bivalves, but can also harm the mollusks themselves depending on their nerve susceptibility to these toxins. Exposure to high concentrations of PST-producing dinoflagellates can impair feeding, burrowing, and survival of affected bivalves (Shumway 1990).

The deleterious effect of PSTs and other shellfish toxins on certain bivalves has led investigators to question whether exposure to such compounds might also impair the internal defense, or immune, system of affected mollusks, making them more susceptible to disease and other environmental stressors. To investigate this possibility, an in vivo study assayed hemocytes, or blood cells, of oysters, *Crassostrea gigas* and *C. virginica*, using whole cells of PST-producing *Alexandrium* spp. of putative low to moderate toxicity; however, toxicity was not measured in parallel at the time of bivalve feeding experiments (Hégaret et al. 2007). The effects were minor or not measurable. Two other in vivo studies found some effects on hemocytes of *C. virginica* and bay scallops, *Argopecten irradians*, exposed to the non PST-producing toxic dinoflagellate *Prorocentrum minimum* for up to 7 days (Hégaret and Wikfors 2005a, b). Hemocyte numbers increased in most trials, but results measured for other parameters were highly variable. Paralytic shellfish toxins are water-soluble, endogenous compounds that are not readily released into the dissolved phase by intact *Alexandrium* spp. cells. This contrasts with toxic algae such as *Pseudonitzschia* spp., which can release high levels of domoic acid into the culture medium (Cusack et al. 2002). Paralytic shellfish toxins are comprised of about two dozen analogues that vary widely in specific toxicity, including three main groups: the *N*-sulfocarbamoyl (C and B) toxins of lowest

potency, decarbamoyl toxins, and carbamate toxins. Carbamate toxins include saxitoxin (STX), the most potent derivative, as well as neosaxitoxin (NEO) and gonyautoxins (GTX1–GTX4) (Oshima 1995). *Alexandrium* spp. at ~20 to 35  $\mu\text{m}$  in diameter, are too large to be ingested by molluscan hemocytes, which average ~12  $\mu\text{m}$  in diameter (Cheng 1981). Hence, in vivo, hemocytes would probably come into contact with PSTs only after the algae have been consumed and digested, and the toxins released. This would most likely occur in the digestive tract, where hemocytes move back and forth through the epithelium of the gut, ingesting and transporting nutrients (Owen 1966). In addition, the chemically characterized toxins themselves are not the only noxious compounds produced by algae. Allelopathic compounds, which have negative effects on planktonic competitors and grazers are also produced (Arzul and Gentien 2006; Tillmann et al. 2007), as are ichthyotoxins that are noxious to fish. Algae that produce allelopathic compounds may or may not also produce shellfish toxins, and vice versa (Sugg and VanDolah 1999; Tillmann and John 2002; Lundholm et al. 2005). The production of algal ichthyotoxins by *Alexandrium* spp. also may be independent of PSTs. Thus, ichthyotoxicity leading to death of fingerling sea bass was demonstrated for an Asian strain of *A. leei* that contained no detectable PSTs (Tang et al. 2007).

The susceptibility of bivalves to PSTs varies widely among species (reviewed by Bricelj and Shumway 1998) and even between populations of a single species (Twarog et al. 1972; Shumway and Cucci 1987; Bricelj et al. 2005). The softshell clam, *Mya arenaria*, and the Manila clam, *Ruditapes philippinarum*, are two bivalves that have been introduced into France. *Mya arenaria* became extinct in European waters during the last glaciation, and was reintroduced by man from America to Europe as early as the 14th century (Behrends et al. 2005). *Ruditapes philippinarum*, a native of the western Pacific that was accidentally brought to the western United States in shipments of oysters, was deliberately introduced from there to France for aquaculture purposes in the 1970s (Flassch and Leborgne 1992). Both species are capable of accumulating relatively high levels of PSTs, up to two orders of magnitude above the 80  $\mu\text{g}$  saxitoxin equivalents (STXeq)  $100\text{ g}^{-1}$  regulatory level for human consumption (Bricelj and Shumway 1998). Genetically based, intraspecific variability in toxin sensitivity and thus in the capacity for toxin accumulation has been demonstrated and ascribed to a natural, single point mutation in the Domain II pore region of the sodium ( $\text{Na}^+$ ) channel (Bricelj et al. 2005). Populations of *M. arenaria* that had experienced repeated exposure to blooms of the PST-producing dinoflagellate, *Alexandrium* spp. were highly resistant to the effects of *A. tamarense* compared to clams from an area with no PSP history. However, the status of the *M. arenaria* populations originating from clams introduced into France was unknown at the time of the present study, as was that of *R. philippinarum* populations.

Blooms of PST-producing *A. minutum* are known to have occurred along much of the Atlantic coast of France since the late 1980s, and tend to show higher annual prevalence along the NW coast of Brittany (Réseau National de Surveillance du Phytoplancton et des Phycotoxines (REPHY), <http://www.ifremer.fr/envlit/surveillance/rephy.htm>). Although *A. minutum* isolates tested to date show relatively low PSP toxicity, typically ca. 2.4 pg STXeq  $\text{cell}^{-1}$  (Bougrier et al. 2001), densities of up to 6,300 cells  $\text{ml}^{-1}$  have been reported in the Baie de Morlaix, northern Brittany, potentially leading to high concentrations of PSTs in the water column (<http://www.ifremer.fr/envlit/surveillance/rephy.htm>). The geographic range of PST-producing dinoflagellates has increased worldwide over past decades (Hallegraef 1993). Since the late 1990s, blooms of PST-producing *A. catenella*, have appeared in the Thau Lagoon on the Mediterranean coast of France (Lilly et al. 2002) where local isolates attain toxicities ranging from 5 to 15 pg STXeq  $\text{cell}^{-1}$  (Séchet et al. 2004). The objectives of the present work were twofold: (1) to measure the effects of in vitro, short-term exposure of hemocytes from French populations of the two clam species to cell-free extracts from PST and nonPST-producing strains of the dinoflagellate, *Alexandrium tamarense*, and (2) to assess the possibility of using a hemocyte-based in vitro assay as a rapid test for effects of harmful algal blooms on mollusks. Because of the potential for individual variation in response to PSTs, we assayed hemocytes in hemolymph from individual clams as well as pooled samples.

## Materials and methods

### Experimental design

A series of five experiments was conducted in which hemocytes from *M. arenaria* and *R. philippinarum* were incubated in vitro with extracts from a PST-producing (PR18b) and a nonPST-producing (CCMP115) strain of *A. tamarense*. Two indices of hemocyte function were measured by flow cytometry: adherence and phagocytosis. Hemocytes typically are able to adhere strongly to glass or plastic surfaces, but when exposed to toxin-producing bacteria or other stressors, they become rounded and detach. The proportion of nonadherent hemocytes provides an index of the relative pathogenicity of bacteria (Choquet et al. 2003) and also the “health” of the hemocytes (Hégaret et al. 2004). Phagocytosis of foreign bodies is probably the most important defense activity of hemocytes and their ability to ingest abiotic particles such as fluorescent beads is routinely used as a measure of phagocytic capacity (Alvarez et al. 1989; Ordas et al. 1999; Allam et al. 2002; Soudant et al. 2004).

### *Alexandrium tamarense* culture and extract preparation

Nonaxenic stock cultures were air-shipped to the Institut Universitaire Européen de la Mer (IUEM), near Brest, France from the Institute for Marine Biosciences, National Research Council (IMB/NRC), Canada. The toxic strain PR18b, isolated from the estuary of the Gulf of St Lawrence, Canada, was selected because of its high cellular toxicity as well as the fact that considerable information is available on the in vivo responses of bivalves, including *Mya arenaria*, to this strain (e.g., Bricelj and Shumway 1998, and references therein; Bricelj et al. 2005). Its mean equivalent spherical diameter (ESD) as determined with a Beckman Coulter Multisizer was 30.1  $\mu\text{m}$ . The non-PSP producing strain (CCMP115, 34.6  $\mu\text{m}$  ESD), isolated from the Tamar Estuary, Plymouth, England, was obtained from the Provasoli-Guillard National Center for Culture of Marine Phytoplankton (CCMP), Bigelow Laboratory for Ocean Sciences, West Boothbay Harbor, Maine, USA. The two *Alexandrium* spp. were batch cultured at  $14 \pm 1^\circ\text{C}$  and 35‰ salinity with a 14 h:10 h light:dark cycle, in 2-L glass flasks without aeration, using a modified L1 medium with the addition of  $\text{NH}_4\text{Cl}$  at a final concentration of  $5 \times 10^{-5}\text{M}$  (Guillard and Hargraves 1993). Seawater was 0.22- $\mu\text{m}$  filtered and sterilized by microwaving using a protocol adapted from Keller et al. (1988). The nutrients were autoclaved separately before addition to the medium under a laminar hood and the final pH checked to ensure that it remained below 8. Cultures were harvested in late exponential growth phase. Toxin was extracted from dinoflagellate cells on the same day that each trial was conducted. Culture densities were determined microscopically and cells concentrated by passing them through a 20- $\mu\text{m}$  Nitex screen. The retained dinoflagellates were resuspended in 0.22- $\mu\text{m}$  filtered seawater (FSW) in 15-mL centrifuge tubes. The cells were then centrifuged for 10 min at  $4^\circ\text{C}$  and  $2,800\times g$ , the supernatant removed, and the pellet again resuspended in  $\sim 3$  mL of filtered seawater (pH 7) to achieve a final concentration of  $5 \times 10^5$  cells  $\text{mL}^{-1}$ . The suspension was then probe-sonicated on ice to extract PSTs and the homogenates checked under the microscope to ensure complete cell breakage. The extract (containing dissolved PSTs and other metabolites released by cell breakage) was again centrifuged to remove particulate debris and the supernatant syringe-filtered through a 26-mm diameter 0.45- $\mu\text{m}$  cellulose acetate membrane filter (Minisart, Sartorius, Aubagne, France) and maintained on ice until it was added to the well plates containing hemocytes. A slightly acidic pH ( $\sim 5$ ) is typically recommended for long-term storage of PST extracts to maintain the integrity of individual toxins. Since the extracts were not prepared in an acidic solution to avoid unknown effects of exposure of hemocytes to low pH, the total time between beginning of sonication of dinoflagellate suspensions and the end of incubations was minimized and never exceeded 4 h. A PST stability test, performed using dinoflagellate extracts prepared in FSW and incubated for up to 5 h, showed that initial total toxicity (in  $\mu\text{g}$  STXeq) was reduced by only 7.1% over this period. This reduction was not statistically significant (ANOVA,  $P = 0.715$ ). To ascertain the toxicity of *A. tamarense* used in these trials, duplicate or triplicate samples of both strains were pelletized by centrifugation ( $\sim 0.8$  to  $1 \times 10^6$  cells per sample) and stored at  $-80^\circ\text{C}$  before being lyophilized and airshipped to IMB/NRC for toxin analysis. Samples were extracted in 0.03 M acetic acid using the procedure described by Bricelj et al. (1990) and analyzed by high pressure liquid chromatography–fluorescence detection following the methods of Oshima (1995). Individual toxins were calibrated with toxin standards obtained from the Certified Reference Materials Program (CRMP) at IMB/NRC. Toxicities were converted to saxitoxin equivalents (STXeq) using a conversion factor of  $0.23 \mu\text{g}$  STXeq mouse units (MU) $^{-1}$  and potency values of individual toxins (MU  $\mu\text{mole}^{-1}$ ) determined by Oshima (1995).

#### Clam collection and maintenance

*Mya arenaria*, averaging  $63 \pm 1.1$  (sem) mm in shell length (SL) were collected from Aber Benoit, northern Brittany, and *R. philippinarum* (mean SL =  $36 \pm 2.8$  mm), from the Gulf of Morbihan and the Bay of Brest, western Brittany, France, in December 2006 and January 2007. Collection sites for *R. philippinarum* were selected for their low *Alexandrium* spp. concentrations during red tides, in order to increase the probability of obtaining clams that had not been subjected to natural selection for resistance to PSTs. The Gulf of Morbihan and Bay of Brest are characterized by relatively low densities of *A. minutum* during red tides (typically  $<600$  and  $<2,100$  cells  $\text{L}^{-1}$  respectively (<http://www.ifremer.fr/envlit/surveillance/rephy.htm>). *Mya arenaria* occurs sparsely in Brittany; thus the collection site for this species was selected because it had a high density of clams. Extremely high *Alexandrium* spp. densities, up to  $13.8$  cells  $\mu\text{L}^{-1}$  in 2001, have been known to occur in the Abers of northern Brittany (<http://www.ifremer.fr/envlit/surveillance/rephy.htm>). After collection, the clams were maintained at IUEM in sand-filled trays in a flow-through system receiving water from the Bay of Brest. Water temperature was maintained at  $14 \pm 1^\circ\text{C}$ ; salinity was  $\sim 35$ ‰. The clams were fed cultured algae (*Isochrysis galbana* and *Pavlova* spp.) delivered continuously from a reservoir via a peristaltic pump.

*Ruditapes philippinarum* in the Gulf of Morbihan can be affected by Brown Ring Disease (BRD) caused by a marine bacterium, *Vibrio tapetis* (Paillard 2004). Diseased individuals are often found at the sediment surface. For this study, only those clams that were burrowed below the surface were collected to avoid potentially confounding effects of BRD. The BRD prevalence in clams used in this study was very low (13%), and most affected individuals were in recovery stages (V. M. Bricelj et al., unpublished data).

### HemocYTE collection and preparation

Hemolymph was collected from *M. arenaria* by inserting a 25-gauge needle attached to a 1-mL syringe directly between the valve margins into the posterior adductor muscle. Hemolymph was similarly collected from *R. philippinarum* except that the needle was inserted through the hinge ligament into the posterior adductor muscle (Oubella et al. 1993). In each case, 0.5 mL of hemolymph was drawn into 0.5 mL ice-cold 0.22- $\mu$ m FSW in the syringe. Immediate dilution in cold FSW inhibited hemocyte clumping. For most experiments, 0.5 mL hemolymph per individual was sufficient. When a greater volume was required, it was obtained in two separate withdrawals. A small drop of each sample was immediately examined microscopically to verify that it contained hemocytes and was free of debris. Once verified, the needle was removed from the syringe and the sample was gently expelled into a labeled tube and held on ice until assayed. Hemocyte concentrations were obtained using the flow cytometer, except for two experiments in which they were determined microscopically using a Malassez counting chamber. The two methods were correlated ( $P < 0.0001$ ;  $r^2 = 0.76$ ), but the microscope counts were about 40% higher than those obtained by the flow cytometer. Hemocyte concentrations were not standardized within or between experiments to avoid the need for centrifugation and resuspension, which enhances the formation of aggregates and makes subsequent counting difficult. The validity of this method is shown by the fact that the results were unrelated to the number of hemocytes in the wells (see Results). Pooled samples were between 0.8 and  $5 \times 10^6$  hemocytes  $\text{mL}^{-1}$ ; individual hemocyte densities ranged from  $1.9 \times 10^5$  to  $1.2 \times 10^7$  cells  $\text{mL}^{-1}$  (Table 1).

### HemocYTE assays

The adherence assay measures the proportion of hemocytes that detach from the surface of experimental chambers after incubation with potential toxins as previously described for clams by Choquet et al. (2003). The assay was conducted in 24-well plates. When pooled hemocyte samples were used, 6–12 replicate wells were established for each treatment; when individual bivalves were assayed, a single well per individual was employed for each treatment and the control. In order of application, the following were placed in each well: 100  $\mu$ L FSW, 200  $\mu$ L hemolymph (diluted 1:1 in FSW), and 200  $\mu$ L of algal extract. (Preliminary experiments determined that there was no consistent, statistically significant effect of the order of application of hemocytes and extract.) Control wells contained FSW rather than algal extract. The plates were covered and incubated at 15–16°C for 3 h. At the end of the incubation period, 500  $\mu$ L of 6% formalin was added to each well to stop activity and fix the cells. The nonadherent cells in each well were then gently pipetted off from one edge of the well after the plate had been tipped so that the supernatant was concentrated to that side. The reproducibility of the method is shown by the small variability among the wells established for the pooled samples (see Results). The nonadherent cells were placed in a flow cytometry (FCM) tube (12 x 75 mm, BD Falcon™) and the fluorescent dye SYBR green I (Molecular Probes, Eugene, Oregon, USA, 1/10 of the DMSO commercial solution in distilled water) which intercalates into double stranded DNA, was added to each tube in a ratio of 1  $\mu$ L to each 100  $\mu$ L of solution. The tubes were incubated at room temperature in the dark for approximately 1.5 h. Nonadherent cells were counted using a flow cytometer (FACSCalibur, BD Biosciences, San Jose, CA, USA) equipped with a 488 nm argon laser. Visualisation of SYBR Green stained cells on a side scatter (SSC, cell complexity) versus green fluorescence (FL1 - 530/30 band pass filter) cytogram (Fig. 1) allowed counting of the number of nonadherent cells passing in a 60-s subsample. The concentration of nonadherent cells was calculated using the count time and flow rate of the cytometer, as estimated by the method of Marie et al. (1999) and their percentage was based on the total hemocyte density in the sample (Table 1). Phagocytosis was estimated as the proportion of hemocytes that were associated with at least three 2- $\mu$ m fluorescent beads (Fluoresbrite microspheres 2.5% solids-latex yellow-green 2.0  $\mu$ m, Polysciences 18338). The threshold of three beads was established by comparing fluorescence of hemocytes incubated with and without cytochalasin B, which prevents phagocytosis by inhibiting actin polymerization, but not attachment of beads to hemocyte surfaces (Hégaret et al. 2003). There was no statistical difference in the first two fluorescence peaks, representing one and two beads, between the treated and untreated hemocytes. Thus, ingestion of beads was differentiated from simple attachment by excluding the first two peaks. Phagocytosis was assayed in FCM tubes. In order of application, the following were placed in each tube: 100  $\mu$ L FSW, 50  $\mu$ L 2- $\mu$ m fluorescent beads, 200  $\mu$ L of algal extract, and 200  $\mu$ L hemolymph (diluted 1:1 in FSW). The contents were gently mixed and incubated at 15–16°C for 2 h. At the end of the incubation period, the tubes were placed on ice to stop phagocytic activity. The samples were analyzed immediately. Hemocytes containing fluorescent beads were determined by the level of fluorescence of the FL1 detector. The number of cells containing three beads or more was evaluated (Fig. 2) and percentages computed as above.

### Characterization of individual resistance of bivalves to PSTs

Individual *Mya arenaria* used in these trials were airshipped frozen on dry ice to the University of Maine, USA, for genotype analysis, i.e., resistance to PSTs as determined from the gene sequence of Domain II of the sodium channel pore region following previously described methods (Connell et al. 2007). A molecular probe for PST



resistance is not available for *R. philippinarum* at present; however, individual sensitivity to PSTs of Manila clams from this source population (but not from the individuals used in hemocyte trials) was determined from parallel experiments based on their burrowing incapacitation after exposure to strain PR18b following the methods of Bricelj et al. (2004a). Results of these experiments indicated that ~51% of this *R. philippinarum* population was sensitive to PSTs (V. M. Bricelj et al., unpublished data). Therefore it is expected that both PST resistant and sensitive clams were represented in the test population used in the present study.

## Experiments

*Experiment 1:* To examine the effect of the PST-producing dinoflagellate strain (PR18b), an extract from this isolate was compared with that from the nonPST-producing strain (CCMP115). Extracts from both strains were tested on pooled hemocytes from 7 *M. arenaria*.

*Experiment 2:* Because the adherence assay was originally developed to estimate the toxicity of bacteria, especially strains of the Brown Ring Disease agent, *Vibrio tapetis*, on hemocytes of *R. philippinarum* (Choquet et al. 2003), *V. tapetis* was used as a positive control in an experiment assessing the effects of *A. tamarensis* on hemocytes of both *R. philippinarum* and *M. arenaria*. Bacteria were prepared according to Choquet et al. (2003) and applied at the ratio of 25 bacteria per hemocyte. Hemocytes were pooled separately from 3 *R. philippinarum* and from 7 *M. arenaria*. Note that in this experiment only, the hemolymph from *R. philippinarum* was not diluted in the syringe.

*Experiment 3:* To examine the effect of reducing the standard dosage of extract on adherence, a test comparing extracts from  $5 \times 10^5$  and  $2.5 \times 10^5$  cells  $\text{mL}^{-1}$ , was conducted on hemocytes pooled from 7 *R. philippinarum*.

*Experiments 4 and 5:* To investigate individual variability in the response to algal extracts, phagocytosis and adherence were measured in 12 individuals each of *M. arenaria* and *R. philippinarum*. Both phagocytosis and adherence assays were performed on the same clams: *R. philippinarum* on the same day and *M. arenaria* 4 days apart.

## Statistical analysis

The effect of algal treatment was analyzed by one-way ANOVA. The fractional values for phagocytosis and adherence were arcsin-transformed, and hemocyte concentrations were log<sub>10</sub>-transformed, before analysis. Pairwise differences were investigated with a Scheffé's post-hoc test. Data were backtransformed for presentation in figures. Interindividual comparisons were made after dividing treatment by control values for the same individual to provide phagocytic- and nonadherent-cell ratios (Choquet et al. 2003). Relationships between treatment results for individual clams were determined using a Kendall rank correlation. Differences were considered statistically significant at  $\alpha = 0.05$ .

## Results

### Dinoflagellate toxicity

The mean toxicity of the PST-producing *A. tamarensis* strain PR18b used in these trials ranged from 66 to 85 pg STXeq  $\text{cell}^{-1}$ , with a grand mean of  $76 \pm 6$  pg STXeq  $\text{cell}^{-1}$ . These values are consistent with the high levels of toxicity determined in previous studies using this strain (e.g., Bricelj et al., 2005). The toxin molar composition of strain PR18b was dominated by N-sulfocarbamoyl toxins C1+2 (averaging 54.4%), followed by STX (26.1%) and NEO (15.8%), with smaller amounts of the gonyautoxins GTX2+3 (2.2%) and B1 (1.3%). This toxin profile is a relatively constant feature of this particular isolate although it can vary somewhat with culture conditions. Toxin analysis also confirmed that strain CCMP115 had undetectable PST levels. Spirolides, macrocyclic imine toxins produced by *A. ostenfeldii* (Cembella et al. 1999), were not detected in liquid chromatography– mass spectrometry/mass spectrometry analysis of lipophilic extracts of either strain (M. Quilliam, Analytical Laboratory, IMB/NRC, personal communication). Based on a mean cell toxicity of 76 pg STXeq  $\text{cell}^{-1}$ , a total volume of 500  $\mu\text{L}$  per well and a dinoflagellate concentration of 500 cells  $\mu\text{L}^{-1}$  for extract preparation, it can be estimated that hemocytes in the PST treatments were exposed to a concentration equivalent to 50.8  $\mu\text{M}$  STX during assays.

### Hemocyte assays

*Experiment 1:* Comparison of PST- and nonPST-producing *A. tamarensis* on adherence (Fig. 3). The proportion of *M. arenaria* hemocytes that were nonadherent (11%) after incubation with the nonPST-producing strain (CCMP115) was 5 times greater than the proportion detached by the PST-producing strain (PR18b). There was no statistically significant difference between the PST strain (3%) and the controls (2%).

*Experiment 2:* Comparison of *A. tamarense* and *V. tapetis* on adherence (Fig. 4). The nonPST-producing strain again caused significantly greater detachment of *M. arenaria* hemocytes (21%) than did the PST-producing strain (10%). Detachment caused by *V. tapetis* (used as a positive control) was 12% for *M. arenaria*, about the same as resulted from the PST treatment, whereas nearly 60% of the *R. philippinarum* hemocytes were detached by the bacterium. Less than 2% of control hemocytes of both clam species were nonadherent.

*Experiment 3:* Dose effect of *A. tamarense* on adherence (Fig. 5). A clear dose effect was demonstrated for the nonPST-producing strain: the standard dose extracted from a concentration of 5 to 10<sup>5</sup> cells mL<sup>-1</sup> resulted in detachment of 10% of *R. philippinarum* hemocytes. Half that dose caused detachment of 5% of the hemocytes. In contrast, there was no difference in the proportion of nonadherent hemocytes incubated in equivalent dosages of the PST-producing strain (3–4%). Only 1% of control hemocytes became detached.

*Experiment 4:* Effect of *A. tamarense* on adherence and phagocytosis of individual *Mya arenaria*. The mean detachment after incubation with the nonPST-producing strain for the 12 individuals tested was 20% (Fig. 6a). Fewer than 2% of the hemocytes were nonadherent in the wells containing the PST extract and FSW. The mean percentage of phagocytic hemocytes in the PST and control groups (30 and 28%, respectively) was three times that of the nonPST group (10%) (Fig. 6b). The ratio of individual nonadherent to control values in the nonPST treatment ranged from 6 to 112 (Fig. 7a). All were much higher than the ratios for the PST-treated hemocytes, which ranged from 1 to 5. Only one individual (#11), which had the highest ratios in both PST and nonPST treatments, fell outside the mean +2 standard deviation (SD) boundary or 95% confidence interval, and did so in both treatments. The phagocytic cell ratios for the PST-treated hemocytes ranged from 0.6 to 1.9, and, for each individual, they were higher than the same values for the nonPST treatment, which ranged from 0.1 to 0.8 (Fig. 7b). No value was outside the 2 SD boundary, although the four individuals in the nonPST group with the highest ratios (#s 5, 7, 10 and 12) also had among the highest ratios in the PST treatments. Individual nonadherent cell ratios for the PST exposure were significantly and positively correlated with values for the nonPST treatment (Kendall rank correlation,  $P = 0.014$ ), but not for the phagocytic cell ratios. The percentage of nonadherent or phagocytic hemocytes in the nonPST treatments was independent of the hemocyte concentrations in individual wells as determined by a non-significant correlation between these two parameters ( $P = 0.087$ ,  $r^2 = 0.265$  and  $P = 0.465$ ,  $r^2 = 0.055$ , respectively).

*Experiment 5:* Effect of *A. tamarense* on adherence and phagocytosis of individual *Ruditapes philippinarum*. An average 16% of hemocytes was nonadherent after incubation with the nonPST-producing strain, whereas only 4% of cells in the PST and control treatments were nonadherent (Fig. 8a). The mean percentage of phagocytic hemocytes in the PST and control groups (22%) was more than four times that of the nonPST group (5%) (Fig. 8b). Individual nonadherent values for the ratio of nonPST-treated to control cells ranged from 0.6 to 9.2; the range for the PST-treated cells was 0.1–3.1 (Fig. 9a). All individual values fell within the 2 SD boundary, but the nonPST ratio was not greater than the PST ratio for all individuals. One (#11) had a higher ratio for the PST treatment, and two (#s 10 and 12) had similar ratios. Numbers 10 and 11 had the two lowest nonPST ratios, which were due to low treatment values, not high control values. Phagocytic cell ratios for the nonPST treated cells ranged from 0.1 to 1.0 and, for each individual, the nonPST value was higher than the PST value, which ranged from 0.5 to 2.3 (Fig. 9b). The phagocytic cell ratio of one individual in the nonPST treatment (#9) was considerably higher than that of all other 11 clams. This individual also had the highest value in the PST treatment and both were above the 2 SD boundary. A Kendall Rank Correlation analysis showed no significant relationship in either assay among individuals treated with the PST and the nonPST-producing strains. The percentage of nonadherent or phagocytic hemocytes in the nonPST treatments was independent of the hemocyte concentrations in individual wells as determined by a non-significant correlation between these two parameters ( $P = 0.731$ ,  $r = 0.014$  and  $P = 0.474$ ,  $r = 0.052$ , respectively).

#### *Mya arenaria* genotyping

Twenty-five *M. arenaria* were genotyped. Of these, 23 were of the genotype sensitive to PSTs. The remaining two were resistant heterozygotes, which appear to show intermediate resistance between homozygous sensitive and homozygous resistant (Connell et al. 2007). One each of the heterozygous resistant individuals was included in the pooled-hemocyte Experiments 1 and 2. Nine of the individuals tested in Experiment 4 were of the sensitive genotype (numbers 4, 7 and 11 could not be genotyped).

#### Discussion

The results of the in vitro experiments were unexpected. The extract from the PST-producing *A. tamarense* strain (PR18b) had almost no measurable effect on hemocytes of either *Mya arenaria* or *R. philippinarum*. This was despite the fact that the genotypes of *M. arenaria* included 92% of the PST-sensitive type, and the rest were intermediate between sensitive and resistant homozygote genotypes. Results of a parallel experiment that used

burrowing incapacitation following 16 h-exposure to strain PR18b as a measure of resistance to PSTs indicated that ~51% of the *R. philippinarum* from the same source population were sensitive (i.e., non-burrowers) (V.M. Bricelj et al., unpublished results); thus sensitive Manila clams are expected to be well represented in our in vitro trials. Moreover, isolate PR18b is characterized by high PST levels relative to other PST-producing strains found in Canada and the northeastern United States (Cembella et al. 1988; Anderson et al. 1994). In contrast, extract from the nonPST-producing strain CCMP115 had a consistent negative effect, resulting in significantly greater detachment of hemocytes and a significantly lower fraction of phagocytic hemocytes compared to the PST-producing strain and the controls. Previous tests of the effects of harmful algae on bivalve hemocytes have all employed intact algal cells, with toxicities lower than the PR18b strain that we used, rather than extracts. Nevertheless, our findings are consistent with an in vivo study that found no effects on phagocytosis (Hégaret et al. 2007) by hemocytes of oysters, *Crassostrea gigas* and *C. virginica*, exposed to a suspension of PST-producing *A. fundyense* (strain BF2) and *A. catanella* (strain ATTL01), respectively, for up to seven days. In an in vitro study (Hégaret et al. 2008) also found no measurable effects of intact *A. fundyense* on phagocytosis by hemocytes of the hard clam, *Mercenaria mercenaria*. Further, Hégaret et al. (2007) found few effects on other hemocyte parameters such as viability, the production of reactive oxygen species (ROS), and total and differential counts despite the fact that *C. virginica* experienced adductor paralysis and *C. gigas* accumulated measurable, but relatively low toxin levels (~150 µg STXeq 100 g<sup>-1</sup>) by feeding on strain ATTL01, which attains relatively low toxicities ranging from 0.04 (Lilly et al. 2002) to 15 pg STXeq cell<sup>-1</sup> (Séchet et al. 2004). Hégaret et al. (2007) did find a significant positive relationship between toxin accumulation and the percentage of dead hemocytes, but only at the higher experimental temperature (18 vs. 12°C), and Hégaret et al. (2008) reported lower adhesion of *M. mercenaria* hemocytes exposed in vitro to whole *A. fundyense*. Jones et al. (1995) reported transient increases in hemocyte counts and “relative phagocytic activity”, measured by chemiluminescence, in *C. gigas* exposed in vivo to the domoic acid-producing *Pseudonitzschia pungens* f. *multiseriata*. However, this study included no untreated control group; therefore, the true effect of the exposure cannot be determined. In another series of in vivo laboratory and field experiments, Hégaret and Wikfors (2005a, b) measured a suite of characteristics and functions of hemocytes from *C. virginica* and the scallop, *Argopecten irradians*, experimentally or naturally exposed to the nonPST-producing, but toxic, dinoflagellate *Prorocentrum minimum*. Most trials showed an increase in total hemocyte concentrations compared to controls. Consistent increases or decreases in other parameters, such as hemocyte viability, phagocytosis, aggregation and respiratory burst were much less evident, perhaps because of differences in the control diets in the different experiments (unfed, natural phytoplankton, and pre-*P. minimum* bloom samples). The authors interpreted their results as showing an effect of algal toxins on the immune status of the oysters and scallops; however, hemocytes function in nutrition as well as in defense (Cheng 1981; Fisher 1986). Thus hemocytes from bivalves offered different diets may be responding to the nutritional quality and quantity of those diets (Delaporte et al. 2003; Hégaret et al. 2004), not necessarily to the presence or absence of toxins. Failure to find an effect of concentrated, highly toxic PST on two bivalve hemocyte parameters, as shown in the present study, is perhaps not surprising because the mechanism of action of these compounds is to block the sodium channels of excitable nerve and muscle cells (Catterall 1992), thus causing paralysis. In fact, all of the bivalves mentioned above display whole-animal responses when exposed to PST-producing *Alexandrium* spp., ranging from paralysis and mortality in *M. arenaria* and *R. philippinarum* (Bricelj et al. 2005, unpublished data), to reduced clearance in *C. gigas* (Bardouil et al. 1993). Clearly, bivalve hemocytes are not sensitive to the sodium-channel blocking effected by PSTs (Hégaret et al. 2007), even when exposed to highly toxic dinoflagellate extracts (present study). Bivalve hemocytes were exposed in our bioassays to a concentration of ~51 µM STXeq. In comparison, PSTsensitive *M. arenaria* typically show 100% block of the nerve action potential at ≤33 µM (≤10<sup>-5</sup> g mL<sup>-1</sup>) pure STX, whereas PST-resistant homozygous individuals require concentrations >334 µM (>10<sup>-4</sup> g mL<sup>-1</sup>) STX to exhibit full blocking of the action potential (Bricelj et al. 2005; Connell et al. 2007). Thus the PST concentration used in the present study was sufficient to cause paralysis of sensitive clams, yet had no detectable effect on adherence and phagocytosis of circulating hemocytes. The significant, negative effects of the nonPST-producing *A. tamarensis* strain CCMP115 on both phagocytosis and adherence of hemocytes from the two bivalve species indicated that a bioactive compound other than PST was present in the algal extract. There was no effect on the burrowing capacity of *M. arenaria* exposed to this strain for 24 h (Bricelj et al. 2005), reinforcing the conclusion that the compound(s) that negatively affected hemocytes is distinct from the paralysis-causing toxin produced by the PST strain. Because harmful algal products are often classified according to their target organisms, considerable overlap of categories exists in the literature. For instance, several investigators have found that the known toxin-producing dinoflagellates, *Alexandrium* spp. and *Prorocentrum lima* also have allelopathic effects (Arzul et al. 1999; Sugg and VanDolah 1999; Tillmann and John 2002). Some studies, however, report clear toxin-independent allelopathic effects. Tillmann and John (2002) measured loss of motility and cell lysis in two heterotrophic dinoflagellates exposed to 16 strains of *Alexandrium* spp. (including CCMP115), only 5 of which produced detectable levels of PSTs. Although loss of motility in the target species, *Oxyrrhis marina*, was directly related to the PST content in these 5 isolates (range = ~0 to 100%), it also occurred over the same range in the 11



species that did not produce PSTs. Within the latter, strain CCMP115 was among the most noxious, causing motility loss in 80% of *O. marina*. Other investigators have similarly found allelopathic effects that are independent of brevetoxin (Kubanek et al. 2005) and okadaic acid production (Sugg and VanDolah 1999). Thus, results of our study support the findings of Tillmann and John (2002) regarding the production of a nonPST deleterious compound by this strain, but using a very different target organism. This finding is important because CCMP115 is commonly used as a control dinoflagellate in PST studies. The loss of normal shape described for some allelopathic effects is comparable to the rounding that precedes detachment of hemocytes in the adherence assay (Choquet et al. 2003). Further, the toxins produced by the dinoflagellate *Karlodinium micrum* that make cell membranes permeable to ions and small molecules, resulting in osmotic death, have been attributed a role in fish kills (Deeds et al. 2002). Accordingly, the compound(s) that affected hemocytes in our study may be part of the same general class of compounds that affect other algae and/or cause pathological changes in fish gills. The effects of the PST- and nonPST-producing extracts were clearly different in the experiments in which pooled hemocytes were used, in the means of individuals measured in Experiments 4 and 5, and in nearly all of the individual measurements; however, a few individuals did not show this “average” differentiation. Some clams showing the greatest response to the nonPST treatment also showed the greatest response to the PST treatment, suggesting that the effects of the two might be not entirely independent. Also, a few individual *R. philippinarum* did not respond with greater hemocyte detachment after nonPST treatment than after PST incubation. These observations show the usefulness of examining individual, as well as pooled or mean, data; however, they are based on a relatively small sample size, and without individual replication, and thus remain preliminary. Hemocytes would first encounter toxic algae in the bivalve pallial cavity, where the former are plentiful (Paillard et al. 1996; Allam and Paillard 1998); however, they probably would be exposed only to intact algal cells in this compartment. They would more likely be exposed to the bioactive compound only after the algae are ingested and at least partially digested in the gut (Owen 1966). Although the presence of intact *Alexandrium* spp. cells in bivalve feces is well documented (e.g., Bricelj and Shumway 1998; Labir and Gentien 1999), absorption efficiencies of 62–88% have been reported for bivalves feeding on *Alexandrium* spp. (Bricelj et al. 1990; Li et al. 2002), demonstrating effective cell breakdown and digestion during gut passage. Further, because the culture medium was removed by sieving and washing immediately prior to extract preparation in the present study, the deleterious effects of strain CCMP115 can be attributed to an intracellular bioactive compound, which may or may not be released into the surrounding medium. Hemocytes readily pass through the bivalve gut epithelium from the circulatory system into the lumen of the digestive tract (George 1952), where they can phagocytose partially digested algae or pinocytose dissolved substances (Feng 1965; Owen 1966) and transport them back across the gut epithelium and into circulation (Alvarez et al. 1992). They are also capable of intracellular digestion (Cheng and Cali 1974). Thus, circulating hemocytes would have multiple opportunities for exposure to the bioactive compound(s) produced by the nonPST-producing *A. tamarense*. The extract required to register a significant in vitro response by hemocytes in our assay was obtained from a relatively high concentration of *A. tamarense*, equivalent to 2 to  $10^5$  cells  $\text{mL}^{-1}$  in each well plate. This is two orders of magnitude higher than that used by Tillmann and John (2002) who elicited an adverse effect on a heterotrophic dinoflagellate with an *Alexandrium* spp. concentration of 3.9 to  $10^3$  cells  $\text{mL}^{-1}$  in each well plate for both whole cell and cell-free filtrate incubations. However, visceral tissues of bivalves can accumulate very high levels of water-soluble toxins and could thus potentially also accumulate high concentrations of the nonPST bioactive compound released by strain CCMP115. Additionally, we have shown that the PST concentration from strain PR18b to which hemocytes were exposed in our study was in the range that results in full nerve block of *M. arenaria* (sensitive genotype). Thus the exposure concentration of PSTs used in this study is expected to have been sufficiently high to elicit a response if hemocytes were susceptible to these toxins. Our results indicate that a rapid, hemocyte-based in vitro assay for the whole-organism effects of PSTs on bivalves, as initially proposed, would not be useful for the important PST-producer, *A. tamarense*. However our results with strain CCMP115 indicate that an in vitro assay may prove useful to screen for toxicity and to investigate the mechanism of action of other harmful algae that produce as yet unknown deleterious compounds. It would be especially useful if in vitro hemocyte effects were found to correlate with whole animal functions such as feeding and growth, which are known to be inhibited by toxic algae (Gainey and Shumway 1991; Bricelj et al. 2004b), or resistance to disease. In addition to being rapid, such an assay would have the added benefit of requiring relatively small amounts of algal biomass compared to in vivo trials and thus have potential application for bioassay guided fractionation studies required for the chemical identification of other unknown toxin compounds. Finally, it would be of interest to extend the results of this study by screening extracts from other *Alexandrium* spp. (both PST and nonPST-producing) to determine their effects on bivalve hemocyte function and to chemically characterize the non- PST bioactive compound(s) involved.

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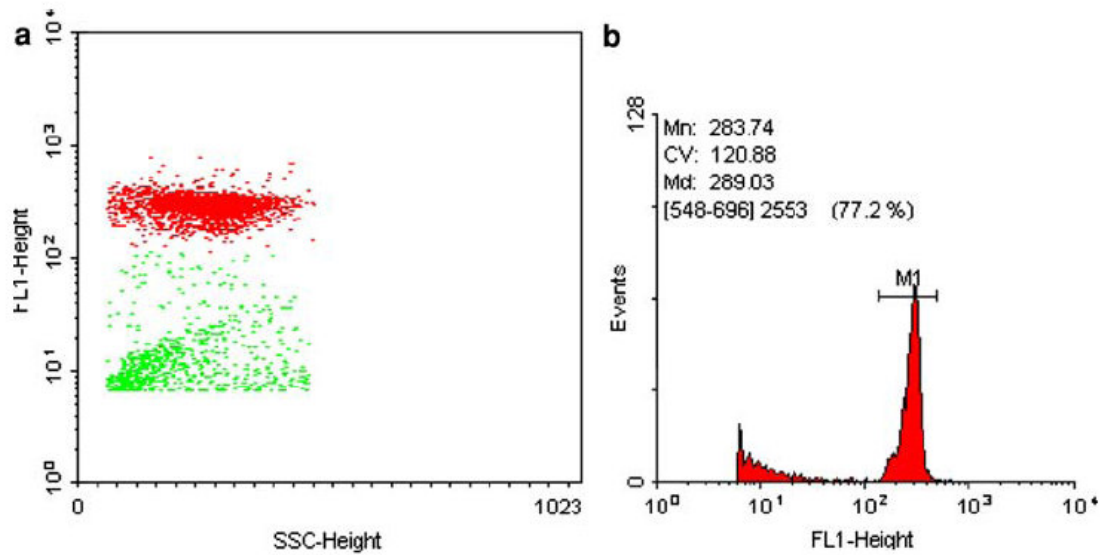
**Table 1** List of experiments showing bivalve species (*Mya arenaria* or *Ruditapes philippinarum*) and hemocyte concentrations in experimental clams.

Experiment	Clam species	Sample type	Hemocyte densities (mL <sup>-1</sup> ) <sup>a</sup>		
			Mean	Minimum	Maximum
1	<i>M. arenaria</i>	Pooled	$8.16 \times 10^5$	–	–
2	<i>M. arenaria</i>	Pooled	$1.46 \times 10^6$	–	–
2	<i>R. philippinarum</i> <sup>b</sup>	Pooled	$2.07 \times 10^6$	–	–
3	<i>R. philippinarum</i> <sup>c</sup>	Pooled	$9.20 \times 10^5$	–	–
4	<i>M. arenaria</i>	Individual	$4.96 \times 10^6$	$2.30 \times 10^6$	$1.17 \times 10^7$
5	<i>R. philippinarum</i> <sup>b</sup>	Individual	$8.76 \times 10^5$	$1.93 \times 10^5$	$2.7 \times 10^6$

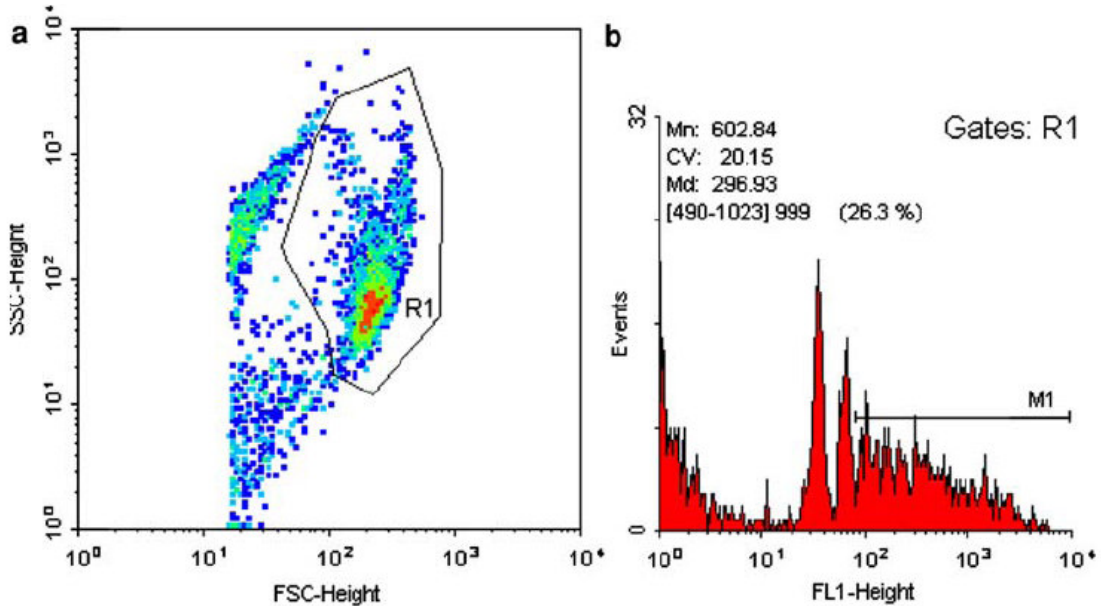
<sup>a</sup> Experiments 1 and 2 hemocyte counts by microscope; others by flow cytometer

<sup>b</sup> Gulf of Morbihan origin

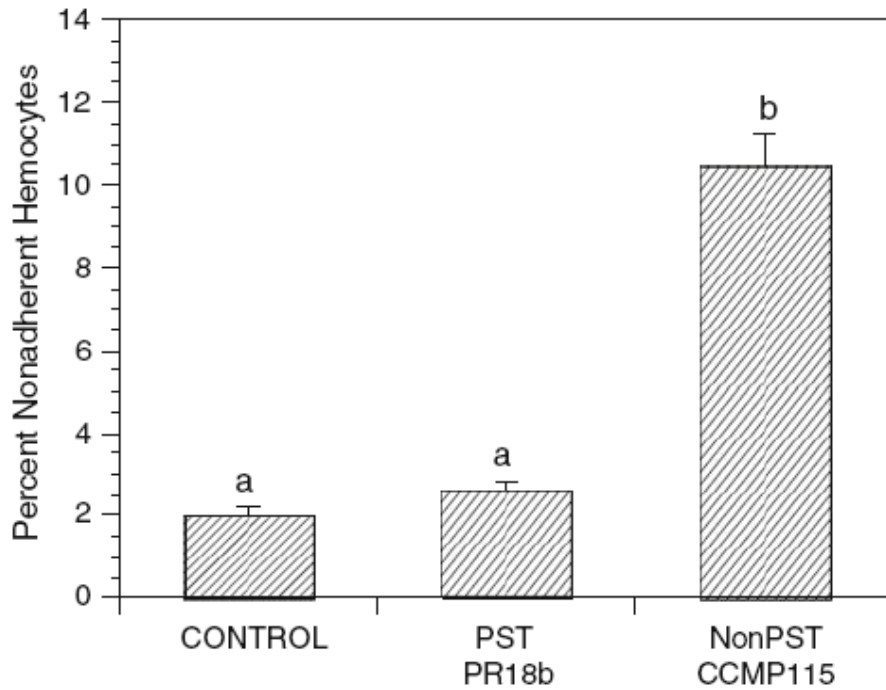
<sup>c</sup> Bay of Brest origin



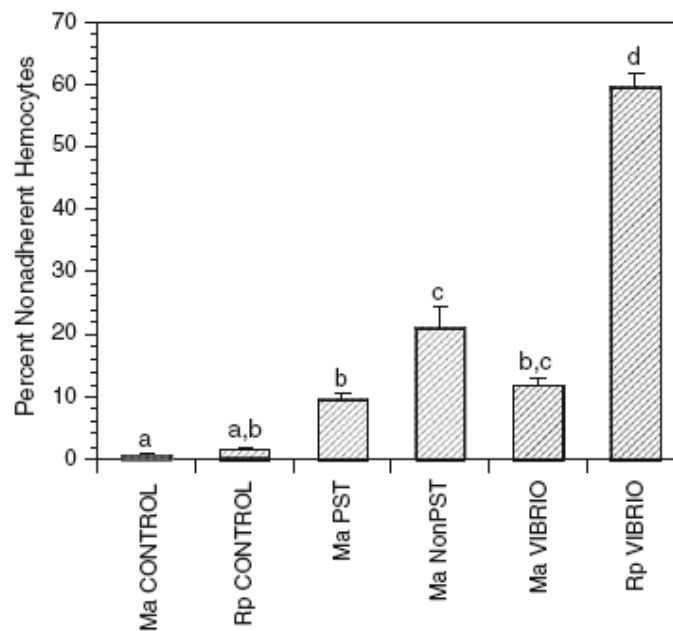
**Fig. 1** Example of flow cytometer results for the adherence assay: **a** side scatter (SSC, cell complexity) versus green fluorescence (FL1) cytogram showing SYBR green-stained nonadherent hemocytes (*red color*) and non fluorescent debris (*green color*), **b** FL1 fluorescence histogram; *M1* number of events showing FL1 fluorescence corresponding to stained hemocytes (here *M1* = 2553)



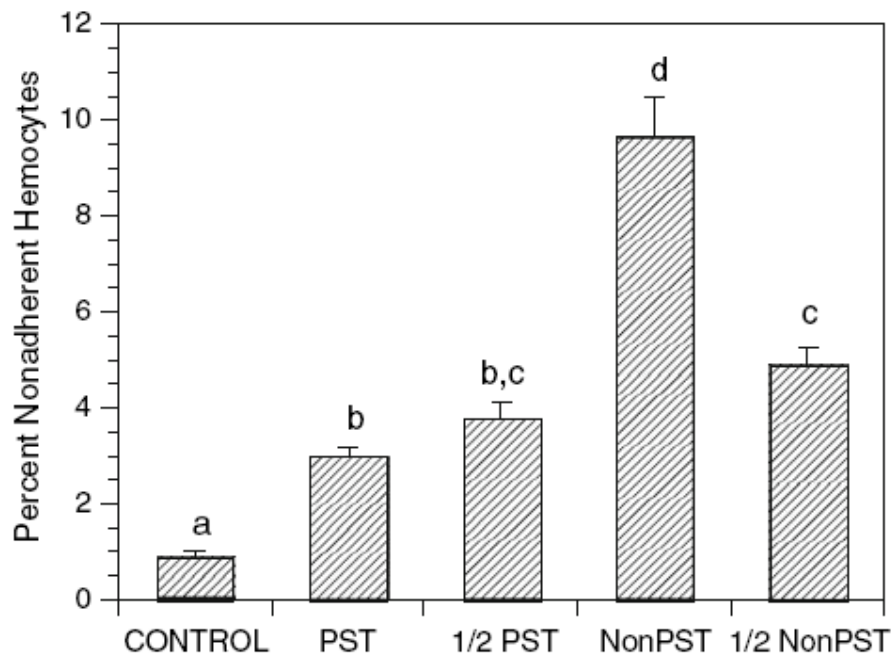
**Fig. 2** Example of Flow cytometer results for the phagocytosis assay: **a** side scatter (SSC, cell complexity) versus forward scatter (FSC, cell size) of hemocytes. Region R1 corresponds to hemocytes, **b** FL1 Fluorescence of cells belonging to the R1 region showing peaks corresponding to one, two, three, etc. beads. *M1* cells showing more than two beads. Here *M1* = 999 corresponding to 26.3% of the total number of hemocytes in this sample = % phagocytosis.



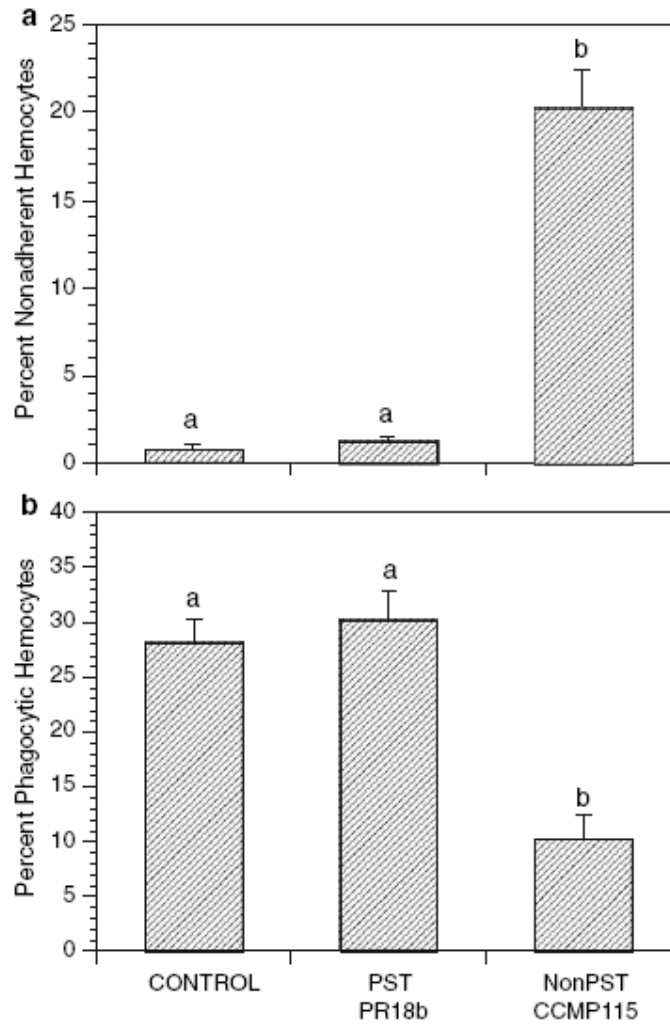
**Fig. 3** Mean  $\pm$  sem percent nonadherent hemocytes of the softshell lam, *Mya arenaria*, exposed for 3 h in vitro to extracts from PST-producing and nonPST-producing strains of the dinoflagellate, *Alexandrium tamarense* (Experiment 1).  $N = 12$  replicate wells from a pooled sample from seven clams for the PST and nonPST producing treatments, and six wells for the controls incubated in filtered seawater. Different letters above the bars indicate differences at the  $\alpha = 0.05$  level of significance



**Fig. 4** Mean  $\pm$  sem percent nonadherent hemocytes exposed in vitro to extracts from PST-producing and nonPST-producing strains of the dinoflagellate *Alexandrium tamarense* and intact bacteria, *Vibrio tapetis* (Experiment 2). Hemocytes pooled from seven softshell clams, *Mya arenaria*, were exposed to the algal extracts and the bacteria; hemocytes pooled from three Manila clams, *Ruditapes philippinarum*, were exposed to the bacteria only.  $N = 12$  replicate wells for the PST and nonPST producing treatments, six wells for the *M. arenaria* controls and the bacterial treatments, and three wells

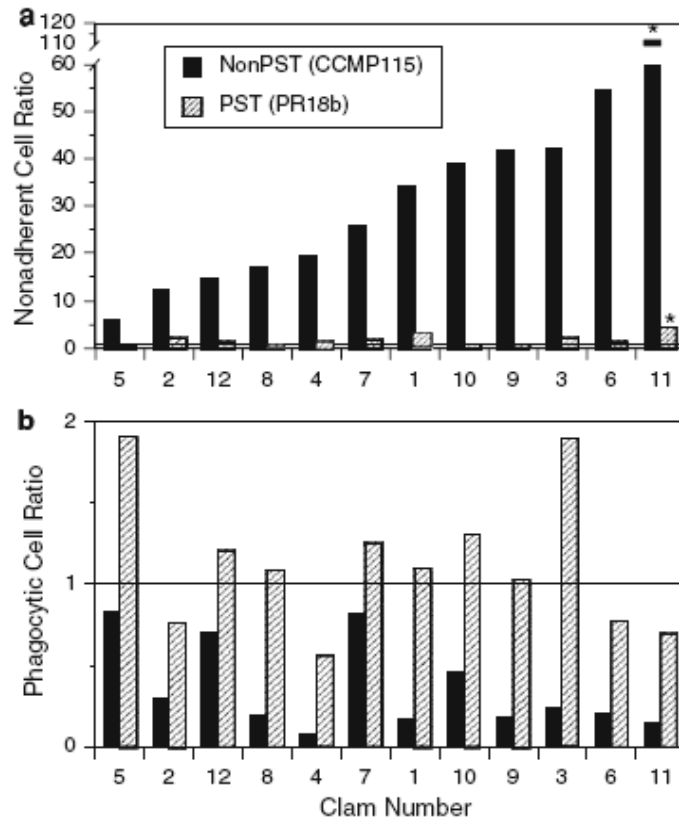


**Fig. 5** Mean  $\pm$  sem percent nonadherent hemocytes of the Manila clam, *Ruditapes philippinarum*, exposed for 3 h in vitro to extracts from PST-producing and nonPST-producing strains of the dinoflagellate, *Alexandrium tamarense* (Experiment 3).  $N = 5$  replicate wells for the 1/2 nonPST and the controls, and 6 wells for the other treatments. Letters above the bars as in Fig. 3

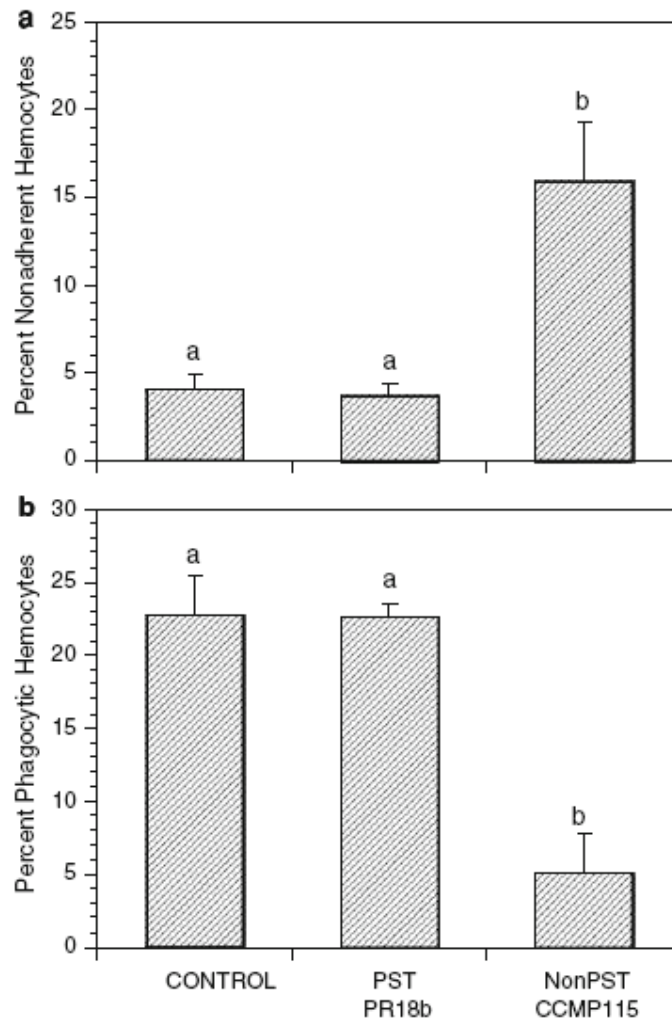


**Fig. 6** Mean  $\pm$  sem percent nonadherent (a) and phagocytic (b) hemocytes of the softshell clam, *Mya arenaria*, exposed for 3 h in vitro to extracts from PST-producing and nonPST-producing strains of the dinoflagellate, *Alexandrium tamarense* (Experiment 4).  $N = 12$  individual clams for each treatment and control. The same clams were used for both assays. Letters above the bars as in Fig. 3

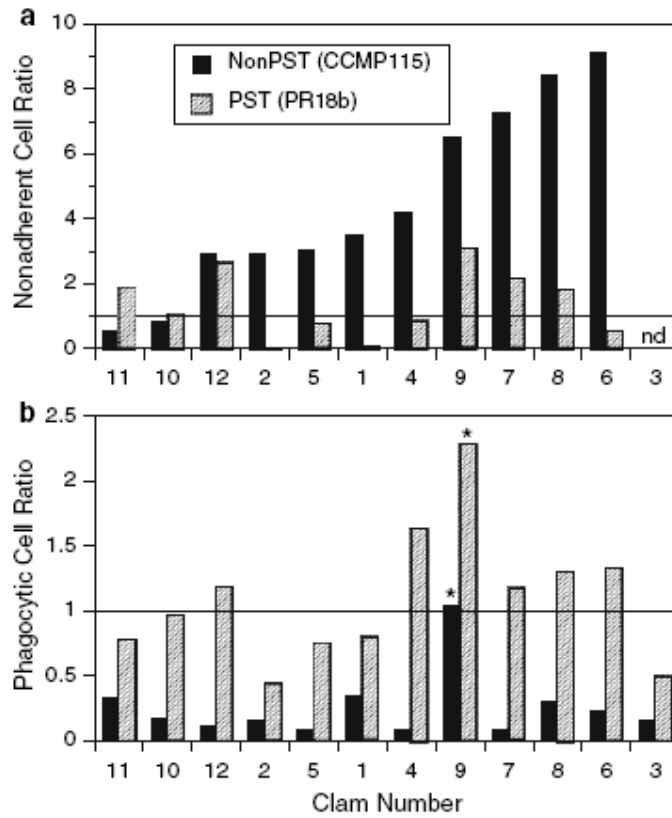




**Fig. 7** Nonadherent (a) and phagocytic (b) cell ratios for individual *Mya arenaria* exposed for 3 h in vitro to extracts from PST-producing and nonPST-producing strains of the dinoflagellate, *Alexandrium tamarense* (Experiment 4). Ratios were obtained by dividing the treatment value by the control value for the same clam. The horizontal line at 1 represents a value identical to the control for that individual. Asterisks indicate values above 2 standard deviations from the mean



**Fig. 8** Mean  $\pm$  sem percent **a** nonadherent and **b** phagocytic hemocytes of the Manila clam, *Ruditapes philippinarum*, exposed for 3 h in vitro to extracts from PST-producing and nonPST-producing strains of the dinoflagellate, *Alexandrium tamarense* (Experiment 5).  $N = 12$  individual clams for each treatment and control. The same clams were used for both assays. Letters above the bars as in Fig. 3



**Fig. 9** Nonadherent (a) and phagocytic (b) cell ratios for individual *Ruditapes philippinarum* exposed for 3 h in vitro to extracts from PST-producing and nonPST-producing strains of the dinoflagellate, *Alexandrium tamarense* (Experiment 5). Ratios were obtained by dividing the treatment value by the control value for the same clam. The horizontal line at 1 represents a value identical to the control for that individual. Asterisks indicate values above 2 standard deviations from the mean. nd no data