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# Logarithmic stability in determination of a 3D viscoelastic coefficient and numerical examples

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**Abstract.** We proved a Carleman estimate and a sharp unique continuation result for the integro-differential hyperbolic system of the 3D viscoelasticity problem. We used these results to obtain a logarithmic stability estimate for the inverse problem of recovering the spatial part of a viscoelastic coefficient of the form p(x)h(t) from a unique measurement on an arbitrary part of the boundary. The main assumptions are h'(0) = 0,  $h(0) \neq 0$ , p is known in a neighborhood of the boundary and regularity and sensitivity of the reference trajectory. We proposed a method to solve the problem numerically and illustrated the theoretical result by a numerical example.

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#### 1. Introduction and main results

This article is concerned with the inverse problem of determining an unknown coefficient in the 3D viscoelasticity system. We set  $\Omega$  an open bounded domain of  $\mathbb{R}^3$  with a Lipschitz continuous boundary  $\partial\Omega$ . The viscoelasticity system endowed with initial and boundary conditions is then the following:

$$\begin{cases} \mathcal{P}u(x,t) = f(x,t), & \forall (x,t) \in \Omega \times (0,+\infty), \\ u(x,0) = \bar{u}_0(x), & \forall x \in \Omega, \\ \partial_t u(x,0) = \bar{u}_1(x), & \forall x \in \Omega, \\ u(x,t) = 0, & \forall (x,t) \in \partial\Omega \times (0,+\infty), \end{cases}$$
(1)

where  $\mathcal{P}$  is the integro-differential hyperbolic operator defined as

$$\mathcal{P}u(x,t) = \partial_t^2 u(x,t) - \nabla \cdot \left(\mu(x)(\nabla u(x,t) + \nabla u(x,t)^T) + \lambda(x)(\nabla \cdot u)(x,t)I\right) \\ + \int_0^t \nabla \cdot \left(\tilde{\mu}(x,s)(\nabla u(x,t-s) + \nabla u(x,t-s)^T) + \tilde{\lambda}(x,s)(\nabla \cdot u)(x,t-s)I\right) ds.$$
<sup>(2)</sup>

This system models the dynamics of a 3D viscoelastic material subjected to a load f, u being the displacement vector,  $\bar{u}_0$  and  $\bar{u}_1$  the initial displacement and velocity,

 $(\lambda, \mu)$  the Lamé coefficients and  $(\lambda, \tilde{\mu})$  the viscosity coefficients, respectively. The well-posedness nature of the direct problem (1)-(2) is guaranteed by the following proposition:

**Proposition 1 (Theorem 4.2 in [1])** Under regularity assumptions on the coefficients  $(\lambda, \mu, \tilde{\lambda}, \tilde{\mu})$ , if  $\bar{u}_0 \in H_0^1(\Omega)^3$ ,  $\bar{u}_1 \in L^2(\Omega)^3$  and  $f \in L^\infty(0, +\infty; L^2(\Omega)^3) \cap L^2(0, +\infty; L^2(\Omega)^3)$ , then there exists a unique weak solution  $u \in L^\infty(0, +\infty; H_0^1(\Omega)^3) \cap W^{1,\infty}(0, +\infty; L^2(\Omega)^3) \cap W^{2,\infty}(0, +\infty; H^{-1}(\Omega)^3)$  to the problem (1)-(2).

If we assume now that the coefficient  $\tilde{\mu}$  can be decomposed as follows:

$$\widetilde{\mu}(x,t) = p(x)h(t), \quad \forall (x,t) \in \Omega \times (0,+\infty).$$
(3)

Then, the inverse problem we are interested in is formulated accordingly:

**Definition 1 (Inverse problem)** Given  $(\lambda, \mu, \lambda, h, u_0, u_1, f)$ , recover p(x), for all  $x \in \Omega$ , from measurements of

$$u(x,t), \quad \forall (x,t) \in \Gamma \times (0,T),$$

where  $\Gamma$  is a part of  $\partial \Omega$  and T > 0.

Several authors have dealt with the problem of recovering the coefficients of the viscoelasticity system (1)-(2). Some of them, e.g. Grasselli [2], Janno et al. [3], von Wolfersdorf [4], Cavaterra *et al.* [5], recovered the time dependance h of the coefficient  $\tilde{\mu}$  by reducing the problem to a non-linear Volterra integral equation by using Fourier's method to solve the direct problem and by applying the contraction principle. Others, e.g. Lorenzi [6], Lorenzi and Romanov [7], recovered the space dependance p of the coefficient  $\tilde{\mu}$  by using the method of Bukhgeim and Klibanov [8] based on Carleman estimates [9]. Thus, in 2007, Lorenzi et al. [10] recovered the coefficient p from three measurements, relying on the assumption that all the coefficients in the operator (2)are independent of the third space variable. Here, unlike the latter, we recover p from a unique measurement, assuming that it is known in a neighborhood of the boundary. Furthermore, Theorem 1 establishes the logarithmic stability with respect to a unique measurement on an arbitrary part of the boundary for the inverse problem of recovering the coefficient p. Its proof is given in Section 2. It relies on a Carleman estimate (Theorem 2) and a sharp unique continuation result (Theorem 3) for the operator (2). We prove theses results in Section 3 and 4 respectively. In Section 5, we propose an adaptative spectral method to approximate the solution of the inverse problem numerically.

#### 1.1. Stability estimate

Inverses problems are ill-posed in the classical sense [11]. Stability estimates play thus a special role in the theory. Bukhgeim and Klibanov [8] developed a remarkable method based on Carleman estimates [9] to prove the uniqueness and stability for inverse problems associated to partial differential equations. In [12], using this method, we proved a Hölder stability result with a unique internal measurement for the recovering of p in the system (1)-(3). In this article, we extend this result using a unique continuation estimate to obtain a logarithmic stability result. Although this estimate is weaker, it is nonetheless related to a measurement on an arbitrary part of the boundary. A similar result was proved in Bellassoued *et al.* [13] but for the Lamé system. We follow their method but adapt it to take into account the additive integral term of operator (2). We firstly need to define the following condition on a scalar function q: **Condition 1** The scalar function q is said to satisfy Condition 1 if

- (i) there exists K > 0 such that  $\forall x \in \overline{\Omega}, q(x) \ge K$ ,
- (ii) there exists  $x_0 \in \mathbb{R}^3 \setminus \overline{\Omega}$  such that  $\forall x \in \overline{\Omega}, \ \frac{1}{2}q(x) + \nabla q(x) \cdot (x x_0) \ge 0.$

We can now enounce the main result:

**Theorem 1 (Logarithmic stability)** Let u (respectively  $\bar{u}$ ) be the solution of the system (1)-(3), associated to the coefficient p (respectively  $\bar{p}$ ). We assume that

- (H1)  $(\lambda,\mu) \in C^2(\overline{\Omega})^2$  and  $(\tilde{\lambda},\tilde{\mu}) \in C^2(\overline{\Omega \times (0,+\infty)})^2$  are such that the solutions u and  $\bar{u} \in W^{8,\infty}(\Omega \times (0,+\infty))^3$ ,
- (H2)  $\mu$  and  $\lambda + 2\mu$  satisfy Condition 1 with a same  $x_0$ ,
- (H3)  $p = \bar{p}$  is known in a neighborhood  $\omega$  of  $\partial \Omega$ ,
- $(H_4) h(0) \neq 0, h'(0) = 0,$

(H5) there exists M > 0 such that,  $\forall x \in \overline{\Omega \setminus \omega}$ ,  $|(\nabla \bar{u}_0 + \nabla \bar{u}_0^T)(x) \cdot (x - x_0)| \ge M$ .

Then, for  $\Gamma \subset \partial \Omega$  arbitrarily small, there exist  $\kappa \in (0,1)$  and  $T_0 > 0$  such that, for all  $T > T_0$  the following estimate holds:

$$\|p - \bar{p}\|_{H^2(\Omega)} \le C \left[ \log \left( 2 + \frac{C}{\sum_{1 \le |\alpha| \le 2} \|\partial_x^{\alpha}(u - \bar{u})\|_{L^2(\Gamma \times (0,T))}^2} \right) \right]^{-r}$$

where C > 0 depends on the  $C^2(\overline{\Omega})$ -norm of p and  $\overline{p}$  and on the  $W^{8,\infty}(\Omega \times (0,T))^3$ -norm of u and  $\overline{u}$ .

An example of an initial datum  $\bar{u}_0$  verifying (H5) corresponds to the choice  $\bar{u}_0 \in H^1_0(\Omega)^3$  such that  $\bar{u}_0(x) = x, \forall x \in \overline{\Omega \setminus \omega}$ . Thus,

$$|(\nabla \bar{u}_0 + \nabla \bar{u}_0^T)(x) \cdot (x - x_0)| = |x - x_0| \ge d_0 > 0, \quad \forall x \in \overline{\Omega \setminus \omega}.$$

From Theorem 1, we immediatly deduce the Corollary 1.

Corollary 1 (Uniqueness) Under all the hypothesis of Theorem 1, we have then

$$\begin{split} \partial_x^\alpha u(x,t) &= \partial_x^\alpha \bar{u}(x,t), \qquad \forall (x,t) \in \Gamma \times (0,T), \quad |\alpha| = 1,2 \\ \Longrightarrow \quad p(x) &= \bar{p}(x), \qquad \forall x \in \Omega. \end{split}$$

#### 1.2. A Carleman estimate

In a pioneering work [9], Carleman has introduced what is now commonly known as a Carleman estimate, in the context of proving the uniqueness in the Cauchy elliptic problem. Since then, the theory of Carleman estimates has been extensively studied. As for a general treatment of Carleman estimates, see Hörmander [14], Isakov [15], Tataru [16], Imanuvilov and Yamamoto [17]. In 2006, Cavaterra *et al.* [18] modified the pointwise Carleman inequality of Klibanov and Timonov [19] for a hyperbolic scalar equation and integrated, thanks to a change of variable, the integral term of (2). In 2008, Imanuvilov and Yamamoto [20] proved a Carleman estimate for the 3D Lamé system - operator (2) without the integral term. Their approach consisted in decoupling the system by writing the equations satisfied by  $u, \nabla \wedge u$  and  $\nabla \cdot u$  in view of applying well known results for hyperbolic scalar equations []. In this article, we prove a Carleman estimate for the complete integro-differential operator (2) by combination of these two techniques. Let us introduce now some notations used in the sequel: • Distances

For  $x_0 \in \mathbb{R}^3 \setminus \overline{\Omega}$ , we note

$$\begin{split} d_0 &= \inf_{x \in \Omega} |x - x_0|, \\ d &= \sqrt{\sup_{x \in \Omega} |x - x_0|^2 - \inf_{x \in \Omega} |x - x_0|^2}, \end{split}$$

• Domaines

For T > 0,  $\varepsilon > 0$  and  $\delta > 0$ , we note  $Q = \Omega \times (0, T)$ ,

$$\begin{aligned} \Omega(\varepsilon) &= \{ x \in \Omega, \operatorname{dist}(x, \partial \Omega) > \varepsilon \}, \\ Q(\varepsilon, \delta) &= \Omega(\varepsilon) \times (0, T - \delta). \end{aligned}$$

• Norms

For  $Q \subset \mathbb{R}^3 \times \mathbb{R}$ ,  $\sigma > 0$  and  $k \in \mathbb{N}$ , we introduce the following norms:

$$\begin{aligned} \| \star \|_{H^{1,\sigma}(Q)}^2 &= \| \nabla_{x,t} \star \|_{L^2(Q)}^2 + \sigma^2 \| \star \|_{L^2(Q)}^2 \\ \| \star \|_{H^{k,\sigma}_x(Q)}^2 &= \sum_{|\alpha| \le k} \sigma^{2(k-|\alpha|)} \| \partial_x^{\alpha} \star \|_{L^2(Q)}^2. \end{aligned}$$

- Constants
  - C and  $C_j$ ,  $1 \le j \le 6$  are generic positive constants.

We start by introducing the following Carleman weight function:

**Definition 2 (a Carleman weight function)** For  $x_0 \in \mathbb{R}^3 \setminus \overline{\Omega}$  and  $\beta > 0$ , we introduce a function  $\varphi$  in the following way:

$$\varphi(x,t) = |x - x_0|^2 - \beta t^2, \qquad \forall (x,t) \in \Omega \times (0,+\infty).$$
(4)

Equipped with this definition, we can now show the following result:

**Theorem 2 (Carleman estimate)** Let  $\mathcal{P}$  be the operator defined by (1). We assume that

(H1)  $(\lambda, \mu) \in C^2(\overline{\Omega})^2$  and  $(\tilde{\lambda}, \tilde{\mu}) \in C^2(\overline{\Omega \times (0, +\infty)})^2$ ,

(H2)  $\mu$  and  $\lambda + 2\mu$  satisfy Condition 1 with a same  $x_0$ .

Then, there exists  $\beta > 0$  such that, if we suppose

$$(H6) T > T_0 = \frac{d}{\sqrt{\beta}},$$

then, for all l > 0, there exist  $\delta > 0$  and  $\sigma_0 > 0$  such that, for all  $\sigma \ge \sigma_0$ ,  $\varepsilon > 0$ and for all  $u \in H^2(\Omega)^3$  satisfying u(x,0) = 0 or  $\partial_t u(x,0) = 0$ ,  $\forall x \in \Omega$ , the following estimate holds:

$$\begin{split} \frac{C}{\sigma} \|ue^{\sigma\varphi}\|^2_{H^{2,\sigma}_x(Q(\varepsilon,\delta))} &\leq \|(\mathcal{P}u)e^{\sigma\varphi}\|^2_{L^2(Q)} + \|\nabla(\mathcal{P}u)e^{\sigma\varphi}\|^2_{L^2(Q)} \\ &+ e^{C\sigma}\|u\|^2_{H^2(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))} + \sigma^3 e^{2\sigma(d_0^2-l)}\|u\|^2_{H^2(Q)} \end{split}$$

where  $\varphi$  is defined by (4) and C > 0 depends on the  $C^2(\overline{Q})$ -norm of the coefficient  $\tilde{\mu}$  but is independent of  $\sigma$ .

The last term of this estimate is rather uncommon since it is global but it will disappear thanks to a clever choice of  $\sigma$ .

#### 1.3. A unique continuation result

In [21], Bellassoued proved a sharp unique continuation result for the Lamé system. He applied a method initially developed by Robianno [22] which uses the Fourier-Bros-Iagolnitzer (FBI) transform [23] to change the problem near the boundary into a problem for which elliptic estimates can be applied. In this article, we show a similar result for the system (1)-(2) by adapting these techniques. In particular, we propose a new transform inspired from the FBI transform but which is able to deal with the additive convolution term of the operator (2). The result we prove is the following:

Theorem 3 (Unique continuation) Let u be the vector solution of

$$\begin{cases} \mathcal{P}u(x,t) = R(x,t), & \forall (x,t) \in \Omega \times (0,+\infty), \\ u(x,0) = 0, & \forall x \in \Omega, \\ \partial_t u(x,0) = 0, & \forall x \in \Omega, \\ u(x,t) = 0, & \forall (x,t) \in \partial\Omega \times (0,+\infty). \end{cases}$$

We assume that

(H1)  $(\lambda,\mu) \in C^2(\overline{\Omega})^2$  and  $(\tilde{\lambda},\tilde{\mu}) \in C^2(\overline{\Omega \times (0,+\infty)})^2$  are such that the solution  $u \in W^{4,\infty}(\Omega \times (0,+\infty))^3$ ,

(H7)  $R(x,t) = 0, \forall (x,t) \in \omega \times (0,+\infty)$  where  $\omega$  is a neighborhood of  $\partial \Omega$ .

Then, for  $\Gamma \subset \partial \Omega$  arbitrarily small and for all  $\rho > 0$ , there exists a  $T_0 > 0$  such that, for all  $T \geq T_0$ , the following estimate holds true:

$$\|u\|_{H^{2}(\omega \times (0, \frac{T}{2} - \rho))}^{2} \leq C \left[ \log \left( 2 + \frac{C}{\sum_{1 \leq |\alpha| \leq 2} \|\partial_{x}^{\alpha} u\|_{L^{2}(\Gamma \times (0, 3T))}^{2}} \right) \right]^{-1}$$

where C > 0 depends on the  $C^2(\overline{Q})$ -norm of the coefficient  $\tilde{\mu}$  and on the norm  $W^{4,\infty}(\Omega \times (0,T))^3$  of u.

#### 1.4. Numerical results

Section 5 presents a numerical example to illustrate one of our theoretical result [12]. Here, we propose a nonquadratic functional to solve the inverse problem. Moreover, as regularization method [24], we use a spectral basis, adapted in space and in frecuency to the solution. This idea, combined with mesh adaptation, allows to improve the accuracy of the method by minimizing the numerical error. The unknown parameter is successfully recovered at each vertex of the discretized domain.

#### 2. Proof of Theorem 1

This section presents the proof of the stability result (Theorem 1). The idea of the proof is the following. Firstly, we bring the unknown parameter p to the source by writing the equation satisfied by  $\hat{v} = \partial_t (u - \bar{u})$ . Then, we use the method of Bukhgeim and Klibanov, *i.e.* we differentiate the previous equation to bring the parameter in the initial condition and we apply the Carleman estimate of Theorem 2 to the new variable  $\partial_t \hat{v}$  in order to bound the initial energy. Thanks to a Carleman estimate for a first order operator (Lemma 2), we come back to the coefficient in the estimate. Thus, we

obtain a first Hölder stability result with an internal measurement on a neighborhood of the boundary. Finally, we combine this result with the unique continuation relation of Theorem 3 to conclude.

Let u (respectively  $\bar{u}$ ) be the solution of the system (1)-(3), associated with the coefficient p (respectively  $\bar{p}$ ). We suppose that hypothesis (H1)-(H5) hold and we fixe  $\Gamma \subset \partial \Omega$  arbitrarily small. By linearity and without loss of generality, we can suppose that  $\bar{u}_1 = 0$ .

#### 2.1. Bring the unknown parameter p to the source

We suppose  $(\bar{p}, \bar{u})$  known and we introduce  $\hat{p} = p - \bar{p}$  and  $\hat{u} = u - \bar{u}$  which satisfies the following equation:

$$\begin{split} \mathcal{P}\hat{u}(x,t) &= \partial_t^2 \hat{u}(x,t) - \nabla \cdot \left( \mu(x)(\nabla \hat{u}(x,t) + \nabla \hat{u}(x,t)^T) + \lambda(x)(\nabla \cdot \hat{u})(x,t)I \right) \\ &+ \int_0^t \nabla \cdot \left( h(s)p(x)(\nabla \hat{u}(x,t-s) + \nabla \hat{u}(x,t-s)^T) + \tilde{\lambda}(x,s)(\nabla \cdot \hat{u})(x,t-s)I \right) ds \\ &= -\int_0^t h(s)\nabla \cdot \left( \hat{p}(x)(\nabla \bar{u}(x,t-s) + \nabla \bar{u}(x,t-s)^T) \right) ds, \quad \forall (x,t) \in \Omega \times (0,+\infty), \end{split}$$

with null initial and boundary conditions. We notice that the coefficient  $\hat{p}$  we wish to recover appears in the source term. The problem is that this source term vanishes at the initial time t = 0, so it does not satisfy the hypothesis of the method of Bukhgeim et Klibanov [8]. To overcome this problem, we derive the equation in time and set

$$\hat{v}(x,t) = \partial_t \hat{u}(x,t), \qquad \forall (x,t) \in \Omega \times (0,+\infty).$$

Then,  $\hat{v}$  satisfies the equation

$$\mathcal{P}\hat{v}(x,t) = -\int_0^t h(s)\nabla \cdot \left(\hat{p}(x)(\nabla \bar{v}(x,t-s) + \nabla \bar{v}(x,t-s)^T)\right) ds$$

$$-h(t)\nabla \cdot \left(\hat{p}(x)(\nabla \bar{u}_0(x) + \nabla \bar{u}_0(x)^T)\right), \quad \forall (x,t) \in \Omega \times (0,+\infty),$$
(5)

with null initial and boundary conditions. As a consequence, the unknown coefficient is still in the source term and it is not vanishing at the initial time anymore.

#### 2.2. Use the method of Bukhgeim and Klibanov

We derive (5) and set

$$\hat{w}(x,t) = \partial_t \hat{v}(x,t) = \partial_t^2 \hat{u}(x,t), \qquad \forall (x,t) \in \Omega \times (0,+\infty),$$

which satisfies then

$$\mathcal{P}\hat{w}(x,t) = -\int_0^t h(s)\nabla \cdot \left(\hat{p}(x)(\nabla \bar{w}(x,t-s) + \nabla \bar{w}(x,t-s)^T)\right) ds - h'(t)\nabla \cdot \left(\hat{p}(x)(\nabla \bar{u}_0(x) + \nabla \bar{u}_0(x)^T)\right), \quad \forall (x,t) \in \Omega \times (0,+\infty),$$
(6)

with the following initial and boundary conditions:

$$\begin{cases} \hat{w}(x,0) = 0, \quad \forall x \in \Omega, \\ \partial_t \hat{w}(x,0) = -h(0)\nabla \cdot \left(\hat{p}(x)(\nabla \bar{u}_0(x) + \nabla \bar{u}_0(x)^T)\right), \\ \hat{w}(x,t) = 0, \quad \forall (x,t) \in \partial\Omega \times (0,+\infty). \end{cases}$$
(7)

Therefore, the coefficient  $\hat{p}$  that we want to recover appears now in the initial conditions. We are going to write an inequality by bounding the initial energy of

the system by the source and the observations. We introduce  $\varepsilon > 0, \delta > 0$  and a time T > 0, unspecified for the moment. We have to ensure that the function we consider has compact support in [0,T). So we define the cut-off function  $\chi_2 \in C^{\infty}(\mathbb{R})$ by  $0 \le \chi_2 \le 1$  and

$$\chi_2(t) = \begin{cases} 1, & \text{if } t < T - 2\delta, \\ 0, & \text{if } t > T - \delta, \end{cases}$$

and we set

$$w^*(x,t) = \chi_2(t)\hat{w}(x,t), \qquad \forall (x,t) \in Q.$$

Since  $w^*$  is null for  $t > T - \delta$ , we can write:

$$\begin{split} &\int_{\Omega(\varepsilon)} |\partial_t w^*(x,0)|^2 e^{2\sigma\varphi(x,0)} dx = -\int_0^T \partial_t \left( \int_{\Omega(\varepsilon)} |\partial_t w^*(x,t)|^2 e^{2\sigma\varphi(x,t)} dx \right) dt \\ &= \int_{Q(\varepsilon,\delta)} \left( 4\beta t\sigma |\partial_t w^*(x,t)|^2 e^{2\sigma\varphi(x,t)} - 2\partial_t w^*(x,t) \cdot \partial_t^2 w^*(x,t) e^{2\sigma\varphi(x,t)} \right) dx \, dt \\ &\text{In addition, we have} \end{split}$$

In addition, we have

$$\begin{aligned} \partial_t w^*(x,t) &= \chi_2(t) \, \partial_t \hat{w}(x,t) + \chi_2'(t) \, \hat{w}(x,t), \qquad \forall (x,t) \in Q, \\ \partial_t^2 w^*(x,t) &= \chi_2(t) \, \partial_t^2 \hat{w}(x,t) + 2\chi_2'(t) \, \partial_t \hat{w}(x,t) + \chi_2''(t) \, \hat{w}(x,t). \end{aligned}$$

Therefore, we obtain

$$\begin{split} \int_{\Omega(\varepsilon)} |\partial_t w^*(x,0)|^2 e^{2\sigma\varphi(x,0)} dx \\ &\leq C \left( \int_{Q(\varepsilon,\delta)} \left( \sigma |\hat{w}(x,t)|^2 + \sigma |\partial_t \hat{w}(x,t)|^2 + |\partial_t^2 \hat{w}(x,t)|^2 \right) e^{2\sigma\varphi(x,t)} dx \, dt \right) \end{split}$$

We do the same work for the derivatives in x for  $|\alpha| \leq 2$  and thus we obtain:

$$\begin{split} &\sum_{|\alpha| \le 2} \sigma^{2(1-|\alpha|)} \int_{\Omega(\varepsilon)} |\partial_x^{\alpha}(\partial_t \hat{w})(x,0)|^2 e^{2\sigma\varphi(x,0)} dx \\ &\le \frac{C}{\sigma} \left( \|\hat{w}e^{\sigma\varphi}\|_{H^{2,\sigma}_x(Q(\varepsilon,\delta))}^2 + \|(\partial_t \hat{w})e^{\sigma\varphi}\|_{H^{2,\sigma}_x(Q(\varepsilon,\delta))}^2 + \|(\partial_t^2 \hat{w})e^{\sigma\varphi}\|_{H^{2,\sigma}_x(Q(\varepsilon,\delta))}^2 \right) . \end{split}$$

We see that we have to apply the Carleman estimate of Theorem 2 to  $\hat{w}$ , for which we already wrote the equation in (6).

#### 2.3. Apply the Carleman estimate

With  $\varphi$  defined by equation (4) and l > 0, we obtain, for  $\sigma$  and T sufficiently large and for  $\delta$  sufficiently small, the following estimate:

$$\frac{1}{\sigma} \|\hat{w}e^{\sigma\varphi}\|_{H^{2,\sigma}_{x}(Q(\varepsilon,\delta))}^{2} \leq C \left( e^{C\sigma} \|\hat{w}\|_{H^{2}(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^{2} + \sigma^{3}e^{2\sigma(d_{0}^{2}-l)} \|\hat{w}\|_{H^{2}(Q)}^{2} + \int_{Q} \left| \int_{0}^{t} h(s)\nabla \cdot \left( \hat{p}(x)(\nabla \bar{w}(x,t-s) + \nabla \bar{w}(x,t-s)^{T}) \right) ds \right|^{2} e^{2\sigma\varphi(x,t)} dx \, dt + \int_{Q} \left| \int_{0}^{t} h(s)\nabla \left( \nabla \cdot \left( \hat{p}(x)(\nabla \bar{w}(x,t-s) + \nabla \bar{w}(x,t-s)^{T}) \right) \right) ds \right|^{2} e^{2\sigma\varphi(x,t)} dx \, dt + \|h'\nabla \cdot \left( \hat{p}(\nabla \bar{u}_{0} + \nabla \bar{u}_{0}^{T}) \right) e^{\sigma\varphi} \|_{L^{2}(Q)}^{2} + \|h'\nabla \left( \nabla \cdot \left( \hat{p}(\nabla \bar{u}_{0} + \nabla \bar{u}_{0}^{T}) \right) \right) e^{\sigma\varphi} \|_{L^{2}(Q)}^{2} \right).$$
(8)

We now need a result to bound the integral terms. This is given by the following lemma:

**Lemma 1 (Lemma 3.1.1 in [19])** Let  $\varphi$  be defined by (4). There exists C > 0 such that, for all  $\sigma > 0$  and  $u \in L^2(Q)$ ,

$$\int_{Q} \left( \int_{0}^{t} |u(x,s)| ds \right)^{2} e^{2\sigma\varphi(x,t)} dx dt \leq \frac{C}{\sigma} \int_{Q} |u(x,t)|^{2} e^{2\sigma\varphi(x,t)} dx dt.$$

We use the result of Lemma 1 and the fact that h is bounded in  $\mathbb{R}$  (H1) to write:

$$\frac{C}{\sigma} \|\hat{w}e^{\sigma\varphi}\|^2_{H^{2,\sigma}_x(Q(\varepsilon,\delta))} \leq e^{C\sigma} \|\hat{w}\|^2_{H^2(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))} + \sigma^3 e^{2\sigma(d_0^2-l)} \|\hat{w}\|^2_{H^2(Q)} 
+ \|\nabla \cdot \left(\hat{p}(\nabla \bar{u}_0 + \nabla \bar{u}_0^T)\right) e^{\sigma\varphi}\|^2_{L^2(Q)} + \|\nabla \left(\nabla \cdot \left(\hat{p}(\nabla \bar{u}_0 + \nabla \bar{u}_0^T)\right)\right) e^{\sigma\varphi}\|^2_{L^2(Q)}.$$

Thus, taking into account the fact that  $\bar{u}_0 \in W^{8,\infty}(Q)$  (H1), we obtain

$$\begin{aligned} \frac{C}{\sigma} \| (\partial_t^2 \hat{u}) e^{\sigma\varphi} \|_{H^{2,\sigma}_x(Q(\varepsilon,\delta))}^2 &\leq \sum_{|\alpha| \leq 2} \| (\partial_x^{\alpha} \hat{p}) e^{\sigma\varphi} \|_{L^2(Q)}^2 \\ &+ e^{C\sigma} \| \partial_t^2 \hat{u} \|_{H^2(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,\delta))}^2 + \sigma^3 e^{2\sigma(d_0^2 - l)} \| \partial_t^2 \hat{u} \|_{H^2(Q)}^2. \end{aligned}$$

Similarly, we obtain, for i = 3, 4,

$$\frac{C}{\sigma} \|(\partial_t^i \hat{u}) e^{\sigma\varphi}\|_{H^{2,\sigma}_x(Q(\varepsilon,\delta))}^2 \leq \sum_{|\alpha| \leq 2} \|(\partial_x^{\alpha} \hat{p}) e^{\sigma\varphi}\|_{L^2(Q)}^2 
+ e^{C\sigma} \|\partial_t^i \hat{u}\|_{H^2(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,\delta))}^2 + \sigma^3 e^{2\sigma(d_0^2 - l)} \|\partial_t^i \hat{u}\|_{H^2(Q)}^2.$$

We verify that the functions  $\partial_t^3 \hat{u}$  and  $\partial_t^4 \hat{u}$  have one of their initial conditions null. This requirement is true since we supposed h'(0) = 0 in (H4). Finally, we write:

$$\sum_{|\alpha|\leq 2} \sigma^{2(1-|\alpha|)} \int_{\Omega(\varepsilon)} |\partial_x^{\alpha}(\partial_t w^*)(x,0)|^2 e^{2\sigma\varphi(x,0)} dx$$
  
$$\leq C \left( \sum_{|\alpha|\leq 2} \|(\partial_x^{\alpha}\hat{p})e^{\sigma\varphi}\|_{L^2(Q)}^2 + e^{C\sigma} \|\hat{u}\|_{H^6(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2 + \sigma^3 e^{2\sigma(d_0^2-l)} \|\hat{u}\|_{H^6(Q)}^2 \right).$$

We have to go back to the coefficient in the left hand side.

#### 2.4. Return to the coefficient in the estimate

To this end, we need a Carleman estimate for a first order operator. It is given by the following lemma:

Lemma 2 (Lemma 3.2 in [17]) We consider the following first order partial differential operator:

$$\mathcal{R}(x)\star = a(x)\cdot \nabla \star + a_0(x)\star, \qquad \forall x \in \Omega,$$

with

$$a_0 \in C^0(\overline{\Omega}), \quad \|a_0\|_{C^2(\overline{\Omega})} \leq M_1 \quad and \quad a \in C^1(\overline{\Omega})^n, \quad \|a\|_{C^2(\overline{\Omega})} \leq M_2,$$
  
$$\exists x_0, \quad |a(x) \cdot (x - x_0)| \geq M_3 > 0, \qquad \varphi_0(x) = |x - x_0|^2, \quad \forall x \in \overline{\Omega}.$$

Then, there exist  $\sigma_0 > 0$  and C > 0 such that, for all  $\sigma \ge \sigma_0$  and for all  $q \in C^2(\Omega)$ ,

$$\sigma^2 \sum_{|\alpha| \le 2} \int_{\Omega} |\partial_x^{\alpha} q(x)|^2 e^{2\sigma\varphi_0(x)} dx \le C \sum_{|\alpha| \le 2} \int_{\Omega} |\partial_x^{\alpha}(\mathcal{R}(x)q(x))|^2 e^{2\sigma\varphi_0(x)} dx.$$

Since the coefficient  $\hat{p}$  satisfies the following first order system:

$$h(0)\nabla \cdot \left(\hat{p}(x)(\nabla \bar{u}_0(x) + \nabla \bar{u}_0(x)^T)\right) = -\partial_t w^*(x,0),$$

we can apply Lemma 2 to each equation of the system with

$$\begin{aligned} q(x) &= \hat{p}(x), & \mathcal{R}(x)q(x) = -\partial_t w^*(x,0), & \varphi_0(x) = \varphi(x,0), \\ a_0(x) &= h(0)\nabla \cdot (\nabla \bar{u}_0(x) + \nabla \bar{u}_0(x)^T), & a(x) = h(0)(\nabla \bar{u}_0(x) + \nabla \bar{u}_0(x)^T), \end{aligned}$$

which satisfy (H5) and we obtain then

$$\sum_{|\alpha|\leq 2} \int_{\Omega(\varepsilon)} |\partial_x^{\alpha} \hat{p}(x)|^2 e^{2\sigma\varphi(x,0)} dx \leq C \sum_{|\alpha|\leq 2} \sigma^{2(1-|\alpha|)} \int_{\Omega(\varepsilon)} |\partial_x^{\alpha} (\partial_t w^*)(x,0)|^2 e^{2\sigma\varphi(x,0)} dx.$$

We supposed in (H3) that we know p in a neighborhood  $\omega$  of the boundary  $\partial\Omega$ , *i.e.*  $\hat{p} = 0$  in  $\omega$  and so we can assume  $\varepsilon$  to be sufficiently small such that  $\Omega \setminus \Omega(\varepsilon) \subset \omega$ . This allows to integrate on  $\Omega$  in the left hand side. Then, we have

$$\sum_{|\alpha| \le 2} \int_{\Omega} |\partial_x^{\alpha} \hat{p}(x)|^2 e^{2\sigma\varphi(x,0)} dx$$

$$\le C \left( \sum_{|\alpha| \le 2} \|(\partial_x^{\alpha} \hat{p}) e^{\sigma\varphi}\|_{L^2(Q)}^2 + e^{C\sigma} \|\hat{u}\|_{H^6(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,\delta))}^2 + \sigma^3 e^{2\sigma(d_0^2 - l)} \|\hat{u}\|_{H^6(Q)}^2 \right).$$
<sup>(9)</sup>

We can absorb the first term of the right hand side of (9) in the left hand side, thanks to the Carleman weights. Indeed,

$$\sum_{|\alpha|\leq 2} \|(\partial_x^{\alpha}\hat{p})e^{\sigma\varphi}\|_{L^2(Q)}^2 = \sum_{|\alpha|\leq 2} \int_{\Omega} |\partial_x^{\alpha}\hat{p}(x)|^2 e^{2\sigma\varphi(x,0)} \left(\int_0^T e^{2\sigma(\varphi(x,t)-\varphi(x,0))} dt\right) dx,$$

with,  $\forall x \in \Omega$ :

$$\int_0^T e^{2\sigma(\varphi(x,t)-\varphi(x,0))} dt \le \int_0^{+\infty} e^{-2\sigma\beta t^2} dt = \frac{1}{\sqrt{2\sigma\beta}} \int_0^{+\infty} e^{-z^2} dz = \frac{C}{\sqrt{\sigma}}$$
Then,

Then,

$$\sum_{|\alpha| \le 2} \|(\partial_x^{\alpha} \hat{p}) e^{\sigma\varphi}\|_{L^2(Q)}^2 \le \frac{C}{\sqrt{\sigma}} \sum_{|\alpha| \le 2} \int_{\Omega} |\partial_x^{\alpha} \hat{p}(x)|^2 e^{2\sigma\varphi(x,0)} dx.$$

Finally, we obtain

$$\begin{split} e^{2\sigma d_0^2} \|\hat{p}\|_{H^2(\Omega)}^2 &\leq \sum_{|\alpha| \leq 2} \int_{\Omega} |\partial_x^{\alpha} \hat{p}(x)|^2 e^{2\sigma \varphi(x,0)} dx \\ &\leq C \left( e^{C\sigma} \|\hat{u}\|_{H^6(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,\delta))}^2 + \sigma^3 e^{2\sigma(d_0^2 - l)} \|\hat{u}\|_{H^6(Q)}^2 \right), \end{split}$$

and thus, we conclude that

$$\|\hat{p}\|_{H^2(\Omega)}^2 \le C\left(e^{C\sigma}\|\hat{u}\|_{H^6(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2 + e^{-\sigma l}\right),$$

since  $\sup(\sigma^3 e^{-\sigma l})<+\infty$  and since we assumed that  $\hat u$  is in  $W^{8,\infty}(Q)$  in (H1) . In order to have

$$e^{C\sigma} \|\hat{u}\|_{H^6(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2 = e^{-\sigma l},$$

we propose to set

$$\sigma = -\frac{1}{l+C} \log\left( \|\hat{u}\|_{H^6(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2 \right).$$
(10)

We have  $\sigma > 0$  if we suppose that  $\|\hat{u}\|_{H^6(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2 < 1$ . Thus, the right hand side of the equation can be written as follows:

$$e^{C\sigma} \|\hat{u}\|_{H^6(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2 + e^{-\sigma l} = 2\left(\|\hat{u}\|_{H^6(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2\right)^{\frac{l}{l+C}}$$

We use now the following interpolation result:

**Lemma 3 (Proposition 4 in [25])** For all  $m \in \mathbb{N}^*$ , there exists a constant C > 0 such that, for all r satisfying  $0 \le r \le m$  and for all  $u \in H^m(Q)$ , we have

$$\|u\|_{H^{r}(Q)} \le C \|u\|_{L^{2}(Q)}^{1-\frac{r}{m}} \|u\|_{H^{m}(Q)}^{\frac{r}{m}}$$

We apply Lemma 3 to the second derivative of  $\hat{u} \in H^8(Q)$  with m = 6 and r = 2 in order to write:

$$\|\hat{u}\|_{H^{6}(Q)} \leq C \|\hat{u}\|_{H^{2}(Q)}^{1/3} \|\hat{u}\|_{H^{8}(Q)}^{2/3}.$$

Hence,

$$\|\hat{p}\|_{H^2(\Omega)} \le C \left( \|\hat{u}\|_{H^2(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^{1/\delta} \right)^{\frac{t}{l+C}}.$$
(11)

So we already have a stability result with observation in  $Q(\varepsilon, \delta) \setminus Q(2\varepsilon, \delta)$ .

#### 2.5. Use the unique continuation result

We can now apply Theorem 3 to  $\hat{u}$ . We verify that hypothesis (H6) holds, i.e. that

$$R(x,t) = -\int_0^t h(s)\nabla \cdot \left(\hat{p}(x)(\nabla \bar{u}(x,t-s) + \nabla \bar{u}(x,t-s)^T)\right) ds$$

vanishes in  $\Omega \setminus \Omega(2\varepsilon) \subset \omega$  and choose  $\rho < \delta$ . We deduce that, if T is large enough, we have

$$\|\hat{u}\|_{H^2(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,\delta))}^2 \le C \left[ \log\left(2 + \frac{C}{\sum_{|\alpha|=1}^2 \|\partial_x^{\alpha}\hat{u}\|_{L^2(\Gamma\times(0,6T))}^2}\right) \right]^{-1}.$$

Therefore,

$$\|\hat{p}\|_{H^{2}(\Omega)} \leq C \left[ \log \left( 2 + \frac{C}{\sum_{|\alpha|=1}^{2} \|\partial_{x}^{\alpha} \hat{u}\|_{L^{2}(\Gamma \times (0, 6T))}^{2}} \right) \right]^{-\frac{t}{6(t+C)}}$$

We set  $\kappa = \frac{l}{6(l+C)} \in (0,1)$  and change 6T by T. This achieves to prove Theorem 1.

#### 3. Proof of Theorem 2

This section is devoted to the proof of the Carleman estimate (Theorem 2). The proof consists in decoupling the system of equations by writing the equations satisfied by  $u, \nabla \wedge u$  and  $\nabla \cdot u$ . Then, the idea is to introduce a change of variable to reduce the problem to a scalar hyperbolic equation for which the Carleman estimate is well known (Corollary 2). Finally, we come back to the initial variable by a serie of inequalities assuming the regularity of the coefficients and the fundamental Lemma 1. Let u be the solution of the system

$$\begin{cases} \mathcal{P}u(x,t) = F(x,t), & \forall (x,t) \in \Omega \times (0,+\infty), \\ u(x,0) = 0 \quad \text{or} \quad \partial_t u(x,0) = 0, & \forall x \in \Omega, \\ u(x,t) = 0, & \forall (x,t) \in \partial\Omega \times (0,+\infty), \end{cases}$$
(12)

with

$$\begin{aligned} \mathcal{P}u(x,t) &= \partial_t^2 u(x,t) - \nabla \cdot \left( \mu(x) (\nabla u(x,t) + \nabla u(x,t)^T) + \lambda(x) (\nabla \cdot u)(x,t)I \right) \\ &+ \int_0^t \nabla \cdot \left( \tilde{\mu}(x,t-s) (\nabla u(x,s) + \nabla u(x,s)^T) + \tilde{\lambda}(x,t-s) (\nabla \cdot u)(x,s)I \right) ds. \end{aligned}$$

Notice the change of variable in the convolution of the integral term. Let assume that hypothesis (H1) and (H2) are satisfied. We have first to decouple the equations as in [20].

#### 3.1. Decouple the system of equations

To this end, we take the curl and the divergence of the system (12). We introduce the vectors  $u_1 = u$ ,  $u_3 = \nabla \wedge u$  and the scalar  $u_2 = \nabla \cdot u$  which satisfy then the following system of seven equations:

$$\partial_t^2 u_i(x,t) - q_i(x)\Delta u_i(x,t) + \int_0^t \tilde{q}_i(x,t-s)\Delta u_i(x,s)ds$$

$$= F_i(x,t) + A_i(u_1,u_2,u_3)(x,t), \quad \forall i \in \{1,2,3\}, \forall (x,t) \in Q,$$
(13)

where we set  $q_1 = q_2 = \mu$ ,  $q_3 = \lambda + 2\mu$ ,  $\tilde{q}_1 = \tilde{q}_2 = \tilde{\mu}$ ,  $\tilde{q}_3 = \tilde{\lambda} + 2\tilde{\mu}$ ,  $F_1 = F$ ,  $F_2 = \nabla \wedge F$ ,  $F_3 = \nabla \cdot F$ . Here, the coupling terms  $A_i$  are first order integro-differential operators in x and t, with coefficients bounded in Q, according to hypothesis (H1). These equations are coupled only at order 1, therefore we can apply the results known for the scalar equations. However, the problem is now that the trace of the functions  $\nabla \cdot u$ and  $\nabla \wedge u$  on  $\partial\Omega$  are not defined anymore.

#### 3.2. Use a change of variable

We need to change the integro-differential hyperbolic equation (13) into a hyperbolic equation in order to apply the classical results. As in [18], we introduce the following change of variable:

$$\tilde{u}_i(x,t) = q_i(x)u_i(x,t) - \int_0^t \tilde{q}_i(x,t-s)u_i(x,s)ds, \quad \forall i \in \{1,2,3\}, \quad \forall (x,t) \in Q.$$
(14)

Then, we have, for all  $i \in \{1, 2, 3\}$  and for all  $(x, t) \in Q$ ,

$$\begin{aligned} \partial_t^2 \tilde{u}_i(x,t) &= q_i(x) \partial_t^2 u_i(x,t) - \int_0^t \partial_t^2 \tilde{q}_i(x,t-s) u_i(x,s) ds \\ &+ \partial_t \tilde{q}_i(x,0) u_i(x,t) + \tilde{q}_i(x,0) \partial_t u_i(x,t), \end{aligned}$$

Logarithmic stability in determination of a 3D viscoelastic coefficient

and

$$\Delta \tilde{u}_i(x,t) = q_i(x)\Delta u_i(x,t) - \int_0^t \tilde{q}_i(x,t-s)\Delta u_i(x,s)ds + 2\nabla q_i(x)\cdot\nabla u_i(x,t) + \Delta q_i(x)u_i(x,t) - 2\int_0^t \nabla \tilde{q}_i(x,t-s)\cdot\nabla u_i(x,s)ds - \int_0^t \Delta \tilde{q}_i(x,t-s)u_i(x,s)ds.$$

Therefore,  $\forall i \in \{1, 2, 3\}$  and  $\forall (x, t) \in Q$ , the  $\tilde{u}_i$  satisfy an hyperbolic system of the type:

$$\partial_t^2 \tilde{u}_i(x,t) - q_i(x) \Delta \tilde{u}_i(x,t) = q_i(x) \left( F_i(x,t) + A_i(u_1, u_2, u_3)(x,t) \right) + L_i(u_i)(x,t),$$

where the  $L_i$  are first order integro-differential operators, the coefficients of which are bounded in Q. We introduce then the cut-off function  $\chi$  which satisfies  $0 \le \chi \le 1$  and such that

$$\chi(x,t) = \chi_1(x)\chi_2(t), \qquad \forall (x,t) \in Q,$$

where  $\chi_1 \in C_0^{\infty}(\mathbb{R}^3)$  and  $\chi_2 \in C^{\infty}(\mathbb{R})$  verify

$$\chi_1(x) = \begin{cases} 1, & \text{if } x \in \Omega(2\varepsilon) \\ 0, & \text{if } x \in \Omega \setminus \overline{\Omega(\varepsilon)} \end{cases} \quad \text{and} \quad \chi_2(t) = \begin{cases} 1, & \text{if } t < T - 2\delta \\ 0, & \text{if } t > T - \delta \end{cases}$$
(15)

Then, we set

$$u_i^*(x,t) = \chi(x,t) \tilde{u}_i(x,t), \qquad \forall i \in \{1,2,3\}, \qquad \forall (x,t) \in Q$$

Thus,  $u_i^*$  satisfies the equation

$$\begin{aligned} \partial_t^2 u_i^*(x,t) &- q_i(x) \Delta u_i^*(x,t) \\ &= \chi(x,t) \left( \partial_t^2 \tilde{u}_i(x,t) - \mu(x) \Delta \tilde{u}_i(x,t) \right) + 2 \partial_t \chi(x,t) \partial_t \tilde{u}_i(x,t) \\ &+ \tilde{u}_i(x,t) \left( \partial_t^2 \chi(x,t) - \mu(x) \Delta \chi(x,t) \right) - 2 \mu(x) \nabla \chi(x,t) \cdot \nabla \tilde{u}_i(x,t) \\ &= \chi(x,t) \left( q_i(x) \left( F_i(x,t) + A_i(u_1,u_2,u_3)(x,t) \right) + L_i(u_i)(x,t) \right) + \tilde{L}_i(\tilde{u}_i)(x,t), \end{aligned}$$

where  $\tilde{L}_i$  is a first order integro-differential operator with coefficients bounded in Q. We now need a Carleman estimate for a scalar hyperbolic equation.

#### 3.3. Use a Carleman estimate for an hyperbolic scalar equation

The equations we consider are valid in  $(0, +\infty)$  and because of the presence of the integral term, we can not extend the solution to  $(-\infty, 0)$ . That is why we can not use a classical global Carleman estimate found ion the literature. Therefore, we will start with the following pointwise Carleman estimate:

**Lemma 4 (Theorem 2.2.4 in [19])** Let  $q \in C^2(\overline{\Omega})$  satisfy Condition 1 and  $\varphi$  be defined by (4), with  $\beta > 0$  sufficiently small. Then, there exist  $\sigma_0 > 0$  and C > 0 such that, for all  $\sigma \geq \sigma_0$  and for all  $u \in H^2(Q)$ , we have

$$(\sigma |\nabla_{x,t} u(x,t)|^2 + \sigma^3 |u(x,t)|^2) e^{2\sigma\varphi(x,t)} + \nabla \cdot U(x,t) + \partial_t V(x,t)$$
  
$$\leq C |\partial_t^2 u(x,t) - q(x)\Delta u(x,t)|^2 e^{2\sigma\varphi(x,t)}, \quad \forall (x,t) \in Q.$$
(16)

Here, (U, V) is a vector-valued function and satisfies

$$\begin{split} |U(x,t)| + |V(x,t)| &\leq C(\sigma |\nabla_{x,t} u(x,t)|^2 + \sigma^3 |u(x,t)|^2) e^{2\sigma \varphi(x,t)}, \qquad \forall (x,t) \in Q. \\ Moreover, \ V(x,0) &= 0, \ \forall x \in \Omega \ if \ u(x,0) = 0 \ or \ \partial_t u(x,0) = 0, \ \forall x \in \Omega. \end{split}$$

From this Lemma, we deduce the following Carleman estimate:

**Corollary 2** Let  $q \in C^2(\overline{\Omega})$  satisfy Condition 1 and  $\varphi$  be defined by (4), with  $\beta > 0$ sufficiently small. Then, there exist  $\sigma_0 > 0$  and C > 0 such that, for all  $\sigma \geq \sigma_0$  and for all  $u \in H^2(Q)$  satisfying u(x,0) = 0 or  $\partial_t u(x,0) = 0$ ,  $\forall x \in \Omega$ , we have

$$\sigma \|ue^{\sigma\varphi}\|_{H^{1,\sigma}(Q)}^2 \le C\left(\|(\partial_t^2 u - q\Delta u)e^{\sigma\varphi}\|_{L^2(Q)}^2 + \sigma \|ue^{\sigma\varphi}\|_{H^{1,\sigma}(\partial Q \setminus (\Omega \times \{0\}))}^2\right).$$
(17)

**Proof** We integrate (16) over Q:

$$\int_{Q} (\sigma |\nabla_{x,t} u(x,t)|^{2} + \sigma^{3} |u(x,t)|^{2}) e^{2\sigma\varphi(x,t)} dx dt$$

$$\leq -\int_{Q} \left( \nabla \cdot U(x,t) - \partial_{t} V(x,t) + C |\partial_{t}^{2} u(x,t) - \mu(x) \delta u(x,t)|^{2} e^{2\sigma\varphi(x,t)} \right) dx dt$$

and we notice that

$$\int_{Q} \nabla \cdot U(x,t) dx \, dt = \int_{0}^{T} \int_{\partial \Omega} U(x,t) \cdot n \, dx \, dt = \int_{\partial \Omega \times (0,T)} U(x,t) \cdot n \, dx \, dt$$
  
and

$$\int_{Q} \partial_t V(x,t) dx \, dt = \int_{\Omega} \int_0^T \partial_t V(x,t) dt \, dx = \int_{\Omega} \left( V(x,T) - V(x,0) \right) dx.$$
  
Thus,

r nus,

$$\begin{split} -\int_{Q} \nabla \cdot U(x,t) dx \, dt &- \int_{Q} \partial_{t} V(x,t) dx \, dt \\ &\leq \int_{\partial Q \setminus (\Omega \times \{0\})} (|U(x,t)| + |V(x,t)|) e^{2\sigma \varphi(x,t)} dx \, dt \\ &\leq C \int_{\partial Q \setminus (\Omega \times \{0\})} (\sigma |\nabla_{x,t} u(x,t)|^{2} + \sigma^{3} |u(x,t)|^{2}) e^{2\sigma \varphi(x,t)} dx \, dt. \end{split}$$

This achieves to prove the expected result.

Since we supposed  $u_i^*(x,0) = 0$  or  $\partial_t u_i^*(x,0) = 0$ ,  $\forall x \in \Omega$ , and that, according to (H2), the coefficient  $q_i$  satisfies Condition 1, we can apply the Corollary 2 to  $u_i^*$ , *i.e.* there exists  $\beta > 0$  sufficiently small such that, for  $\sigma > 0$  sufficiently large, we have

$$\sigma \|u_i^* e^{\sigma\varphi}\|_{H^{1,\sigma}(Q)}^2 \le C \|(\partial_t^2 u_i^* - q_i \Delta u_i^*) e^{\sigma\varphi}\|_{L^2(Q)}^2,$$

without boundary term because  $u_i^*$  and  $\nabla_{x,t} u_i^*$  are null on  $\partial Q \setminus (\Omega \times \{0\})$ .

#### 3.4. Return to the initial variable u

3.4.1. Upper bound for the right hand side We take into account the fact that

- $\chi$  is null in  $Q \setminus Q(\varepsilon, \delta)$ ,
- all the coefficients  $\lambda$ ,  $\mu$ ,  $\tilde{\lambda}$ ,  $\tilde{\mu}$  and  $\chi$  are bounded in Q according to (H1),
- all the operators  $A_i$ ,  $L_i$  and  $\tilde{L}_i$  are of order inferior or equal to 1,
- Lemma 1 holds,

to calculate:

$$\begin{aligned} \|(\partial_t^2 u_i^* - q_i \Delta u_i^*) e^{\sigma\varphi}\|_{L^2(Q)}^2 \\ &= \|\left(\chi\left(\mu\left(F_i + A_i(u_1, u_2, u_3)\right) + L_i(u_i)\right) + \tilde{L}_i(\tilde{u}_i)\right) e^{\sigma\varphi}\|_{L^2(Q)}^2. \end{aligned}$$
Then

1 nen,

$$\sigma \|u_i^* e^{\sigma\varphi}\|_{H^{1,\sigma}(Q)}^2 \le C \|F_i e^{\sigma\varphi}\|_{L^2(Q)}^2 + C \sum_{1 \le j \le 3} \|u_j e^{\sigma\varphi}\|_{H^{1,\sigma}(Q(\varepsilon,\delta))}^2.$$
(18)

3.4.2. Lower bound for the left hand side We use again the change of variable (14):

$$u_i(x,t) = \frac{1}{q_i(x)}\tilde{u}_i(x,t) + \int_0^t \frac{\tilde{q}_i(x,t-s)}{q_i(x)}u_i(x,s)ds, \quad \forall i \in \{1,2,3\}, \quad \forall (x,t) \in Q,$$

Then, we can write, taking into account (H2) and thanks to Lemma 1,  $\forall i \in \{1, 2, 3\}$ :

$$\begin{split} \int_{Q(\varepsilon,\delta)} |u_i(x,t)|^2 e^{2\sigma\varphi(x,t)} dx \, dt &\leq C \int_{Q(\varepsilon,\delta)} |\tilde{u}_i(x,t)|^2 e^{2\sigma\varphi(x,t)} dx \, dt \\ &+ \frac{C}{\sigma} \int_{Q(\varepsilon,\delta)} |u_i(x,t)|^2 e^{2\sigma\varphi(x,t)} dx \, dt. \end{split}$$

For  $\sigma$  sufficiently large, we obtain

$$\int_{Q(\varepsilon,\delta)} |u_i(x,t)|^2 e^{2\sigma\varphi(x,t)} dx \, dt \le C \int_{Q(\varepsilon,\delta)} |\tilde{u}_i(x,t)|^2 e^{2\sigma\varphi(x,t)} dx \, dt.$$

In the same way,

$$\begin{split} \int_{Q(\varepsilon,\delta)} |\nabla_{x,t} u_i(x,t)|^2 e^{2\sigma\varphi(x,t)} dx \, dt \\ &\leq C \int_{Q(\varepsilon,\delta)} (|\tilde{u}_i(x,t)|^2 + |\nabla_{x,t} \tilde{u}_i(x,t)|^2) e^{2\sigma\varphi(x,t)} dx \, dt \end{split}$$

which allows to write,  $\forall i \in \{1, 2, 3\}$ :

$$\|u_i e^{\sigma\varphi}\|^2_{H^{1,\sigma}(Q(\varepsilon,\delta))} \le C \|\tilde{u}_i e^{\sigma\varphi}\|^2_{H^{1,\sigma}(Q(\varepsilon,\delta))}.$$
(19)

In addition,  $\chi = 1 \text{ sur } Q(2\varepsilon, 2\delta)$ , so we have  $\tilde{u}_i = u_i^*$ . Hence  $\forall i \in \{1, 2, 3\}$ ,  $\|\tilde{u}_i e^{\sigma\varphi}\|_{H^{1,\sigma}(Q(\varepsilon,\delta))}^2 = \|u_i^* e^{\sigma\varphi}\|_{H^{1,\sigma}(Q(2\varepsilon, 2\delta))}^2 + \|\tilde{u}_i e^{\sigma\varphi}\|_{H^{1,\sigma}(Q(\varepsilon,\delta)\setminus Q(2\varepsilon, 2\delta))}^2$ . (20) Finally, using (18), (19) and (20) and again Lemma 1, we obtain

$$\sum_{1 \le i \le 3} \sigma \|u_i e^{\sigma\varphi}\|_{H^{1,\sigma}(Q(\varepsilon,\delta))}^2$$
  
$$\le C \sum_{1 \le i \le 3} \left( \|F_i e^{\sigma\varphi}\|_{L^2(Q)}^2 + \|u_i e^{\sigma\varphi}\|_{H^{1,\sigma}(Q(\varepsilon,\delta))}^2 + \sigma \|u_i e^{\sigma\varphi}\|_{H^{1,\sigma}(Q(\varepsilon,\delta)\setminus Q(2\varepsilon,2\delta))}^2 \right),$$

and thanks to the Carleman weights, we absorb the second term of the right hand side in the left hand side. We have

$$\varphi(x,T) < d_0^2 \le \varphi(x,0), \qquad \forall x \in \Omega,$$

Thus, for l > 0 fixed, using (H2), we can fix  $\delta$  sufficiently small such that

$$\varphi(x,t) \le d_0^2 - l, \qquad \forall (x,t) \in \Omega \times (T - 2\delta, T).$$

Then, we have

$$\begin{split} \sum_{1 \le i \le 3} \sigma \| u_i e^{\sigma \varphi} \|_{H^{1,\sigma}(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,2\delta))}^2 \\ &= \sum_{1 \le i \le 3} \left( \sigma \| u_i e^{\sigma \varphi} \|_{H^{1,\sigma}(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,\delta))}^2 + \sigma \| u_i e^{\sigma \varphi} \|_{H^{1,\sigma}(Q(\varepsilon,\delta) \setminus Q(\varepsilon,2\delta))}^2 \right) \\ &\le e^{C\sigma} \| u \|_{H^2(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,\delta))}^2 + \sigma^3 e^{2\sigma(d_0^2 - l)} \| u \|_{H^2(Q)}^2. \end{split}$$

Finally, we need the following lemma:

**Lemma 5 (Lemma 2.2 in [13])** Let  $\varphi$  defined by (4). There exist C > 0 and  $\sigma_0 > 0$  such that, for all  $\sigma \geq \sigma_0$  and for all  $u \in H^2(Q)$  satisfying u(x,t) = 0,  $\forall (x,t) \in \partial\Omega \times (0,T)$ , we have

$$\frac{1}{\sigma} \| u e^{\sigma \varphi} \|_{H^{2,\sigma}_x(Q)}^2 \\
\leq C \left( \sigma \| u e^{\sigma \varphi} \|_{H^{1,\sigma}_x(Q)}^2 + \| \nabla (\nabla \cdot u) e^{\sigma \varphi} \|_{L^2(Q)}^2 + \| \nabla (\nabla \wedge u) e^{\sigma \varphi} \|_{L^2(Q)}^2 \right)$$

Using this lemma, we can finally write:

$$\begin{split} \frac{1}{\sigma} \| u e^{\sigma \varphi} \|_{H^{2,\sigma}_x(Q(\varepsilon,\delta))}^2 \\ & \leq C \left( \sigma \| u_1 e^{\sigma \varphi} \|_{H^{1,\sigma}_x(Q(\varepsilon,\delta))}^2 + \| \nabla u_2 e^{\sigma \varphi} \|_{L^2(Q(\varepsilon,\delta))}^2 + \| \nabla u_3 e^{\sigma \varphi} \|_{L^2(Q(\varepsilon,\delta))}^2 \right) \\ & \leq C \sum_{1 \leq i \leq 3} \sigma \| u_i e^{\sigma \varphi} \|_{H^{1,\sigma}(Q(\varepsilon,\delta))}^2 \\ & \leq C (\| F e^{\sigma \varphi} \|_{L^2(Q)}^2 + \| (\nabla F) e^{\sigma \varphi} \|_{L^2(Q)}^2 \\ & \quad + e^{C\sigma} \| u \|_{H^2(Q(\varepsilon,\delta) \setminus Q(2\varepsilon,\delta))}^2 + \sigma^3 e^{2\sigma(d_0^2 - l)} \| u \|_{H^2(Q)}^2 ). \end{split}$$

and this concludes the proof of Theorem 2.

#### 4. Proof of Theorem 3

In this section, we prove the unique continuation result of Theorem 3. It consists in transforming the integro-differential hyperbolic system (1)-(2) into an elliptic one thanks to a FBI type transform. Then, we show a Carleman estimate (Theorem 4) for the resulting integro-differential elliptic operator, using the same techniques as in the proof of Theorem 2. And we use this Carleman estimate to obtain interpolation inequalities which link the value of the solution in an interior domain near the boundary to the one on the boundary. Finally, we come back to the solution of the initial problem by a series of inequalities.

Let u be the solution of

$$\mathcal{P}u(x,t) = R(x,t), \qquad \forall (x,t) \in \Omega \times (0,+\infty), \tag{21}$$

with null initial and boundary conditions and let assume that (H1) and (H6) are satisfied.

#### 4.1. Transform the hyperbolic system into an elliptic one

Let us choose  $\varepsilon > 0$  such that  $\Omega \setminus \Omega(3\varepsilon) \subset \omega$ . We introduce the cut-off function  $\chi_3 \in C_0^{\infty}(\mathbb{R}^3)$  which satisfies  $0 \leq \chi_3 \leq 1$  and is such that

$$\chi_3(x) = \begin{cases} 0, & \text{if } x \in \Omega(4\varepsilon), \\ 1, & \text{if } x \in \Omega \setminus \overline{\Omega(3\varepsilon)}, \end{cases}$$

and we set

$$u^*(x,t) = \chi_3(x) u(x,t), \qquad \forall (x,t) \in \Omega \times (0,+\infty).$$

Thus, the new variable  $u^*$  satisfies the following equation:

$$\mathcal{P}u^*(x,t) = \chi_3(x) \mathcal{P}u(x,t) + [\mathcal{P},\chi_3]u(x,t)$$
$$\implies \partial_t^2 u^*(x,t) - \mathcal{L}(x)u^*(x,t) + \int_0^t \tilde{\mathcal{L}}(x,s)u^*(x,t-s)ds = [\mathcal{P}(x,t),\chi_3]u(x,t),$$
(22)

since, according to (H6), R(x, t) is equal to zéro in  $\omega$ . We have introduced the following operators:

$$\begin{split} \mathcal{L}(x) \star &= \nabla \cdot \left( \mu(x) (\nabla \star + \nabla \star^T) + \lambda(x) (\nabla \cdot \star) I \right), \\ \tilde{\mathcal{L}}(x,t) \star &= \nabla \cdot \left( p(x) h(t) (\nabla \star + \nabla \star^T) + \tilde{\lambda}(x,t) (\nabla \cdot \star) I \right). \end{split}$$

We define a special transformation which is inspired from the classical Fourier-Bros-Iagolnitzer transform [23]:

**Definition 3** Let T > 1 and  $0 < \eta < \frac{1}{6}$ . We introduce  $\widetilde{Q} = \Omega \times (-\frac{T}{2}, \frac{5T}{2}) \times (-3\eta, 3\eta)$ . Then,  $\forall (x, t, r) \in \widetilde{Q}$ , we define

$$(\mathcal{F}_{\gamma}u^*)(x,t,r) = \sqrt{\frac{\gamma}{2\pi}} \int_0^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^2} \theta(t-y) u^*(x,y) dy$$

where  $\theta \in C^{\infty}(\mathbb{R}), \ 0 \leq \theta \leq 1$ ,

$$\theta(z) = \begin{cases} 1, & \text{if } z > -\frac{T}{2} + 3\eta, \\ \\ 0, & \text{if } z < -\frac{T}{2} + \frac{3\eta}{2}. \end{cases}$$

Thanks to this transform, we will convert locally the hyperbolic system (22) into an elliptic system. Firstly, we notice that,  $\forall (x, t, r) \in \widetilde{Q}$ ,

$$\partial_r(\mathcal{F}_{\gamma}u^*)(x,t,r) = \sqrt{\frac{\gamma}{2\pi}} \int_0^{+\infty} -i\gamma(t+ir-y)e^{-\frac{\gamma}{2}(t+ir-y)^2}\theta(t-y)u^*(x,y)dy$$
$$= \sqrt{\frac{\gamma}{2\pi}} \int_0^{+\infty} -i\partial_y(e^{-\frac{\gamma}{2}(t+ir-y)^2})\theta(t-y)u^*(x,y)dy$$
$$= \sqrt{\frac{\gamma}{2\pi}} \int_0^{+\infty} ie^{-\frac{\gamma}{2}(t+ir-y)^2}\partial_y(\theta(t-y)u^*(x,y))dy.$$

The boundary terms of the integration by parts vanish because  $u^*(x,0) = 0$  and  $e^{-\frac{\gamma}{2}(t+ir-y)^2} \longrightarrow 0$  if  $y \longrightarrow \infty$ . Moreover  $\theta(t-y) = 0$  if  $y \ge T$ . Then,

$$\begin{aligned} \partial_r^2(\mathcal{F}_{\gamma}u^*)(x,t,r) &= -\sqrt{\frac{\gamma}{2\pi}} \int_0^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^2} \partial_y^2 \left(\theta(t-y)u^*(x,y)\right) dy \\ &= -(\mathcal{F}_{\gamma}(\partial_y^2 u^*))(x,t,r) - F_{\gamma}(x,t,r), \qquad \forall (x,t,r) \in \widetilde{Q}, \end{aligned}$$

where

$$F_{\gamma}(x,t,r) = \sqrt{\frac{\gamma}{2\pi}} \int_{0}^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^{2}} \left(\theta''(t-y)u^{*}(x,y) - 2\theta'(t-y)\partial_{y}u^{*}(x,y)\right) dy$$

Similarly, we can write:

$$\partial_t^2(\mathcal{F}_{\gamma}u^*)(x,t,r) = (\mathcal{F}_{\gamma}(\partial_y^2u^*))(x,t,r), \qquad \forall (x,t,r) \in \widetilde{Q},$$

and it is easy to see that

$$\mathcal{L}(x)(\mathcal{F}_{\gamma}u^*)(x,t,r) = (\mathcal{F}_{\gamma}(\mathcal{L}(x)u^*))(x,t,r), \qquad \forall (x,t,r) \in \widetilde{Q}.$$

We have now to look at the integral term

$$\begin{aligned} \mathcal{F}_{\gamma}\left(\int_{0}^{y}\tilde{\mathcal{L}}(x,s)u^{*}(x,y-s)ds\right)(x,t,r) \\ &=\sqrt{\frac{\gamma}{2\pi}}\int_{0}^{+\infty}e^{-\frac{\gamma}{2}(t+ir-y)^{2}}\theta(t-y)\int_{0}^{y}\tilde{\mathcal{L}}(x,s)u^{*}(x,y-s)ds\,dy \\ &=\sqrt{\frac{\gamma}{2\pi}}\int_{0}^{+\infty}\tilde{\mathcal{L}}(x,s)\int_{s}^{+\infty}e^{-\frac{\gamma}{2}(t+ir-y)^{2}}\theta(t-y)u^{*}(x,y-s)dy\,ds \\ &=\int_{0}^{+\infty}\tilde{\mathcal{L}}(x,s)\sqrt{\frac{\gamma}{2\pi}}\int_{0}^{+\infty}e^{-\frac{\gamma}{2}(t-s+ir-z)^{2}}\theta(t-s-z)u^{*}(x,z)dz\,ds \\ &=\int_{0}^{+\infty}\tilde{\mathcal{L}}(x,s)(\mathcal{F}_{\gamma}u^{*})(x,t-s,r)ds=\int_{-T/2}^{t}\tilde{\mathcal{L}}(x,t-s)(\mathcal{F}_{\gamma}u^{*})(x,s,r)ds. \end{aligned}$$

The main advantage of this transformation with respect to the FBI transform is that it transforms the convolution product of two functions into the convolution of the first function by the transform of the second function. The function  $u_{\gamma} = \mathcal{F}_{\gamma} u^*$ , function of three variables (x, t, r), satisfies then a system of elliptic integro-differential equations,  $\forall (x, t, r) \in \tilde{Q}$ :

$$\mathcal{Q}u_{\gamma}(x,t,r) = -2\partial_r^2 u_{\gamma}(x,t,r) - \partial_t^2 u_{\gamma}(x,t,r) - \mathcal{L}(x)u_{\gamma}(x,t,r) + \int_{-T/2}^t \tilde{\mathcal{L}}(x,t-s)u_{\gamma}(x,s,r)ds = 2F_{\gamma}(x,t,s) + G_{\gamma}(x,t,s),$$
(23)

where

$$G_{\gamma}(x,t,r) = \sqrt{\frac{\gamma}{2\pi}} \int_0^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^2} \theta(t-y) [\mathcal{P}(x,t),\chi_3] \hat{u}(x,y) dy,$$

with the boundary conditions:

$$\begin{cases} u_{\gamma}(x,t,r) = 0, \quad \forall (x,t,r) \in \partial\Omega \times (-\frac{T}{2},\frac{5T}{2}) \times (-3\eta,3\eta), \\ u_{\gamma}(x,t,r) = 0, \quad \forall (x,t,r) \in \Omega \times \{-\frac{T}{2}\} \times (-3\eta,3\eta). \end{cases}$$

We notice then that

$$G_{\gamma}(x,t,r) = 0, \qquad \forall x \in \Omega \setminus \overline{\Omega(3\varepsilon)},$$
(24)

because  $[\mathcal{P}(x,t),\chi_3]$  only involves the derivatives of  $\chi_3$  and its support is in  $\overline{\Omega(3\varepsilon)} \setminus \Omega(4\varepsilon)$ . We also have

$$\|F_{\gamma}\|_{H^{1}(\tilde{Q})} \le Ce^{-m\gamma T} \|u^{*}\|_{H^{2}(\Omega \times (0,3T))}$$
(25)

as well as

$$\|u_{\gamma}\|_{H^{2}(\tilde{Q})} \leq Ce^{C\gamma} \|u^{*}\|_{H^{2}(\Omega \times (0,3T))},$$
(26)

where C depends of  $\eta, T, \Omega$  but not of  $\gamma$  and where m is independent of T. Indeed,

$$\|F_{\gamma}\|_{L^{2}(\widetilde{Q})}^{2} = \frac{\gamma}{2\pi} \int_{\widetilde{Q}} \left| \int_{0}^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^{2}} \left(\theta''(t-y)u^{*}(x,y) - 2\theta'(t-y)\partial_{y}u^{*}(x,y)\right) dy \right|^{2} dr \, dt \, dx.$$

with

$$\begin{split} \int_{0}^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^{2}} \left(\theta''(t-y)u^{*}(x,y) - 2\theta'(t-y)\partial_{y}u^{*}(x,y)\right) dy \Big|^{2} \\ &\leq \left(\int_{y\geq 0, \ -T/2+3\eta/2\leq t-y\leq -T/2+3\eta} |e^{-\frac{\gamma}{2}(t+ir-y)^{2}}|^{2} dy\right) \\ &\qquad \times \left(\int_{0}^{t+T/2} |\theta''(t-y)u^{*}(x,y) - 2\theta'(t-y)\partial_{y}u^{*}(x,y)|^{2} dy\right) \\ &\leq \left(\int_{y\geq 0, \ -T/2+3\eta/2\leq t-y\leq -T/2+3\eta} e^{-\gamma((t-y)^{2}-r^{2})} dy\right) C \|u^{*}(x,\cdot)\|_{H^{1}(0,3T)}^{2} \\ &\leq C e^{-m\gamma T} \|u^{*}(x,\cdot)\|_{H^{1}(0,3T)}^{2}, \qquad \forall (x,t,r) \in \widetilde{Q}. \end{split}$$

Indeed,  $(t-y)^2 \ge \left(-\frac{T}{2}+3\eta\right)^2$  and  $r^2 \le 9\eta^2$  therefore  $(t-y)^2 - r^2 \ge \frac{T}{4}(T-6\eta)$ . Thus, if we suppose T > 1, since  $\eta < 1/6$ , then there exists m > 0 independent of T such that  $(t-y)^2 - r^2 \ge mT$ . We can do the same work for the derivatives of  $F_{\gamma}$ . Likewise, we have

$$\|u_{\gamma}\|_{L^{2}(\widetilde{Q})}^{2} = \int_{\Omega} \int_{-T/2}^{5T/2} \int_{-3\eta}^{3\eta} \left| \sqrt{\frac{\gamma}{2\pi}} \int_{0}^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^{2}} \theta(t-y) u^{*}(x,y) dy \right|^{2} dr \, dt \, dx$$

with,  $\forall (x, t, r) \in Q$ ,

$$\begin{split} \left| \int_{0}^{+\infty} e^{-\frac{\gamma}{2}(t+ir-y)^{2}} \theta(t-y) u^{*}(x,y) dy \right|^{2} \\ &\leq \left( \int_{0}^{t+T/2} |e^{-\frac{\gamma}{2}(t+ir-y)^{2}}|^{2} dy \right) \left( \int_{0}^{t+T/2} |\theta(t-y) u^{*}(x,y)|^{2} dy \right) \\ &\leq \left( \int_{0}^{3T} e^{-\gamma((t-y)^{2}-r^{2})} dy \right) C \|u^{*}(x,\cdot)\|_{L^{2}(0,3T)}^{2} \leq C e^{C\gamma} \|u^{*}(x,\cdot)\|_{L^{2}(0,3T)}^{2}. \end{split}$$

And we use the same procedure for the derivatives of  $u_{\gamma}$  to obtain the desired result.

#### 4.2. Prove a Carleman estimate

We write a Carleman estimate for the system of elliptic integro-differential equations (23), applying the same methods we used in the hyperbolic case. That is, we combine the decoupling of the equations proposed by [13] with the change of variables of [10], and we use a classical Carleman estimate for a scalar elliptic equation (Lemma 2). The difference is that here we have 3 variables (x, t, r), so the weight function has to be modified. Let us introduce first some notations:

#### • Domains

For T > 0 and  $\eta > 0$ , we note

$$\begin{split} \widetilde{Q} &= \Omega \times (-\frac{T}{2}, \frac{5T}{2}) \times (-3\eta, 3\eta), \\ \widetilde{\Sigma} &= \partial \Omega \times (-\frac{T}{2}, \frac{5T}{2}) \times (-3\eta, 3\eta). \end{split}$$

Logarithmic stability in determination of a 3D viscoelastic coefficient

• Norms

$$\begin{split} \|\star\|^2_{H^{k,\sigma}(\widetilde{Q})} &= \sum_{|\alpha| \leq k} \sigma^{2(k-|\alpha|)} \|\partial^{\alpha} \star\|^2_{L^2(\widetilde{Q})}, \\ \|\star\|^2_{H^{k,\sigma}(\widetilde{\Sigma})} &= \sum_{|\alpha| \leq k} \sigma^{2(k-|\alpha|)} \|\partial^{\alpha} \star\|^2_{L^2(\widetilde{\Sigma})}. \end{split}$$

**Definition 4 (a Carleman weight function)** Let  $x_0 \in \mathbb{R}^3 \setminus \overline{\Omega}$  and  $\xi > 0$ . We introduce a function  $\psi$  in the following way:

$$\psi(x,t,r) = |x-x_0|^2 + (t+\frac{T}{2})^2 + r^2, \qquad \forall (x,t,r) \in \widetilde{Q},$$

and we set

$$\varphi(x,t,r) = e^{-\xi\psi(x,t,r)}, \qquad \forall (x,t,r) \in \widetilde{Q}.$$
(27)

Because we introduced this new weight function, we must now check that we still have a result, similar to to one of Lemma 1, to bound the integral terms in the proof of the Carleman estimate. It is given by the following lemma:

**Lemma 6** Let  $\varphi$  be defined by (27),  $\sigma > 0$  and  $u \in L^2(\widetilde{Q})$ . Then, there exists a constant C > 0, independent of  $\sigma$ , such that

$$\int_{\widetilde{Q}} \left( \int_{-T/2}^t |u(x,s,r)| ds \right)^2 e^{2\sigma\varphi(x,t,r)} dx \, dt \, dr \leq \frac{C}{\sigma} \int_{\widetilde{Q}} |u(x,t,r)|^2 e^{2\sigma\varphi(x,t)} dx \, dt \, dr.$$

**Proof** Using the Cauchy-Schwarz inequality, we can write:

$$\begin{split} \int_{\widetilde{Q}} \left( \int_{-T/2}^{t} |u(x,s,r)| ds \right)^2 e^{2\sigma\varphi(x,t,r)} dx \, dt \, dr \\ &\leq \int_{\widetilde{Q}} \left( \int_{-T/2}^{t} |u(x,s,r)|^2 ds \right) (t+\frac{T}{2}) e^{2\sigma\varphi(x,t,r)} dx \, dt \, dr. \end{split}$$

We notice then that,  $\forall (x, t, r) \in \widetilde{Q}$ ,

$$(t+\frac{T}{2})e^{2\sigma\varphi(x,t,r)} \le -\frac{e^{\xi\psi(x,t,r)}}{4\xi\sigma}\partial_t \left(e^{2\sigma\varphi(x,t,r)}\right) \le -\frac{C}{\sigma}\partial_t \left(e^{2\sigma\varphi(x,t,r)}\right).$$

Therefore,

$$\begin{split} &\int_{\widetilde{Q}} \left( \int_{-T/2}^{t} |u(x,s,r)| ds \right)^2 e^{2\sigma\varphi(x,t,r)} dx \, dt \, dr \\ &\leq \int_{\Omega} \int_{-3\eta}^{3\eta} \int_{-T/2}^{5T/2} - \frac{C}{\sigma} \left( \int_{-T/2}^{t} |u(x,s,r)|^2 ds \right) \partial_t (e^{2\sigma\varphi(x,t,r)}) dt \, dx \, dr \\ &\leq \frac{C}{\sigma} \int_{\Omega} \int_{-3\eta}^{3\eta} \left[ \int_{-T/2}^{5T/2} |u(x,t,r)|^2 e^{2\sigma\varphi(x,t,r)} dt \, dx \, dr \\ &\quad - e^{2\sigma\varphi(x,5T/2,r)} \left( \int_{-T/2}^{5T/2} |u(x,s,r)|^2 ds \right) dx \, dr \right]. \end{split}$$

Thus, we deduce the result.

We are now ready to state the Carleman estimate for the operator Q:

**Theorem 4 (Carleman estimate)** Let Q be the operator defined by (23). Let K be a compact set in  $\overline{\Omega} \times (-\frac{T}{2}, \frac{5T}{2}) \times (-3\eta, 3\eta)$ . We assume that

 $(H1) \ (\lambda,\mu) \in C^2(\overline{\Omega})^2 \ and \ (\tilde{\lambda},\tilde{\mu}) \in C^2(\overline{\Omega \times (0,+\infty)})^2.$ 

Then, there exists  $\xi_0 > 0$  such that, for all  $\xi \ge \xi_0$ , there exists  $\sigma_0 > 0$  such that, for all  $\sigma \ge \sigma_0$  and for all  $u \in C_0^{\infty}(K)^3$ , we have the following estimate:

$$\frac{1}{\sigma} \|ue^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{Q})}^2 \le C\left(\|(\mathcal{Q}u)e^{\sigma\varphi}\|_{H^1(\widetilde{Q})}^2 + \|ue^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{\Sigma})}^2\right),$$

where  $\varphi$  is defined by (27), and C > 0 depends on the  $C^2(\overline{Q})$ -norm of the coefficient  $\tilde{\mu}$  but is independent of  $\sigma$ .

**Proof** Let K be a compact set in  $\overline{\Omega} \times (-\frac{T}{2}, \frac{5T}{2}) \times (-3\eta, 3\eta)$ , let  $u \in C_0^{\infty}(K)^3$  be the solution of:

$$\mathcal{Q}u(x,t,r) = S(x,t,r), \qquad \forall (x,t,r) \in \widetilde{Q}, \tag{28}$$

and let assume (H1). We must first decouple the equations. To this end, we take the divergence of (28) and we introduce the scalar  $v = \nabla \cdot u$  and the vector  $w = \nabla \wedge u$ . We obtain the following system of seven scalar equations only coupled at the first order:

$$-2\partial_r^2 u(x,t,r) - \partial_t^2 u(x,t,r) - \mu(x)\Delta u(x,t,r) + \int_{-T/2}^t \tilde{\mu}(x,t-s)\Delta u(x,s,r)ds$$

$$= S(x,t,r) + A_1(u,v)(x,t,r), \quad \forall (x,t,r) \in \widetilde{Q},$$

$$-2\partial_r^2 v(x,t,r) - \partial_t^2 v(x,t,r) - (\lambda + 2\mu)(x)\Delta v(x,t,r)$$

$$+ \int_{-T/2}^t (\tilde{\lambda} + 2\tilde{\mu})(x,t-s)\Delta v(x,s,r)ds \qquad (29)$$

$$= (\nabla \cdot S)(x,t,r) + A_2(u,v,w)(x,t,r), \quad \forall (x,t,r) \in \widetilde{Q},$$

$$-2\partial_r^2 w(x,t,r) - \partial_t^2 w(x,t,r) - \mu(x)\Delta w(x,t,r) + \int_{-T/2}^t \tilde{\mu}(x,t-s)\Delta w(x,s,r)ds$$

$$= (\nabla \wedge S)(x,t,r) + A_3(u,v,w)(x,t,r), \quad \forall (x,t,r) \in \widetilde{Q},$$

where  $A_1$ ,  $A_2$  et  $A_3$  are first order integrodifferential operators. We only treat the first equation of (29), the others equations can be treated accordingly. We introduce the following change of variable:

$$\tilde{u}(x,t,r) = \mu(x)u(x,t,r) - \int_{-T/2}^{t} \tilde{\mu}(x,t-s,r)u(x,s,r)ds, \qquad \forall (x,t,r) \in \widetilde{Q}.$$

Thus,  $\tilde{u}$  satisfies the classical elliptic equation

$$-2\partial_r^2 \tilde{u}(x,t,r) - \partial_t^2 \tilde{u}(x,t,r) - \mu(x)\Delta \tilde{u}(x,t,r) = \mu(x) \left( S(x,t,r) + A_1(u,v)(x,t,r) \right) + L_1(u)(x,t,r),$$

where  $L_1$  is a first order integro-differential operator with bounded coefficients. We now need a Carleman estimate for a scalar elliptic equation. It is given by the following lemma:

**Lemma 7 ([26] and [27])** Let Q be an open domain in  $\mathbb{R}^n$ , K be a compact set in  $\overline{Q}$  and  $\psi$  be a  $C^{\infty}(Q)$  function satisfying  $\nabla \psi(x) \neq 0, \forall x \in K$ . Let

$$\varphi(x) = e^{-\xi\psi(x)}, \quad \forall x \in Q$$

where  $\xi > 0$  is sufficiently large. We consider the scalar second-order elliptic operator

$$\mathcal{R}(x)\star = a(x): \nabla^2 \star + b(x) \cdot \nabla \star + c \star, \qquad \forall x \in Q,$$

where all the coefficients are  $C^2(\overline{Q})$ . Then, there exist  $\sigma_0 > 0$  and C > 0 such that, for all  $\sigma \geq \sigma_0$  and for all  $u \in C_0^{\infty}(K)$ , the following Carleman estimates hold true:

$$\frac{C}{\sigma} \|ue^{\sigma\varphi}\|^2_{H^{2,\sigma}(Q)} \leq \|(\mathcal{R}u)e^{\sigma\varphi}\|^2_{L^2(Q)} + \|ue^{\sigma\varphi}\|^2_{H^{2,\sigma}(\partial Q)},$$

$$C\sigma\|ue^{\sigma\varphi}\|^2_{H^{1,\sigma}(Q)} \leq \|(\mathcal{R}u)e^{\sigma\varphi}\|^2_{L^2(Q)} + \sigma\|ue^{\sigma\varphi}\|^2_{H^{1,\sigma}(\partial Q)}.$$

As the weight function  $\varphi$  defined in (27) satisfies the hypothesis of Lemma 7, we can apply the first inequality to  $\tilde{u}$ :

$$\frac{1}{\sigma} \|\tilde{u}e^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{Q})}^2 \le C\left(\|(-2\partial_r^2\tilde{u} - \partial_t^2\tilde{u} - \mu\Delta\tilde{u})e^{\sigma\varphi}\|_{L^2(\widetilde{Q})}^2 + \|\tilde{u}e^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{\Sigma})}^2\right).$$

We take into account the fact that the coefficients  $\lambda$ ,  $\lambda$ ,  $\mu$ ,  $\tilde{\mu}$  are bounded in Q, that the Lemma 6 holds and that all the terms of the operators  $A_1$  and  $L_1$  are of order less or equal than 1. Then, we obtain

$$\begin{aligned} \frac{1}{\sigma} \| \tilde{u}e^{\sigma\varphi} \|_{H^{2,\sigma}(\tilde{Q})}^2 \\ & \leq C \left( \| Se^{\sigma\varphi} \|_{L^2(\tilde{Q})}^2 + \| ue^{\sigma\varphi} \|_{H^{1,\sigma}(\tilde{Q})}^2 + \| ve^{\sigma\varphi} \|_{H^{1,\sigma}(\tilde{Q})}^2 + \| ue^{\sigma\varphi} \|_{H^{2,\sigma}(\tilde{\Sigma})}^2 \right) \end{aligned}$$

We use again the change of variable

 $\alpha$ 

$$u(x,t,r) = \frac{1}{\mu(x)}\tilde{u}(x,t,r) + \int_{-T/2}^{t} \frac{\tilde{\mu}(x,t-s)}{\mu(x)}u(x,s,r)ds, \qquad \forall (x,t,r) \in \widetilde{Q}$$

Hence,

$$\begin{split} \int_{\widetilde{Q}} |u(x,t,r)|^2 e^{2\sigma\varphi(x,t,r)} dx dt dr \\ &\leq C \int_{\widetilde{Q}} |\tilde{u}(x,t,r)|^2 e^{2\sigma\varphi(x,t,r)} dx dt dr + \frac{C}{\sigma} \int_{\widetilde{Q}} |u(x,t,r)|^2 e^{2\sigma\varphi(x,t,r)} dx dt dr \end{split}$$

For  $\sigma$  sufficiently large, the second term is absorbed. We do the same for the derivatives of u, which leads to

$$\frac{1}{\sigma} \|ue^{\sigma\varphi}\|^2_{H^{2,\sigma}(\widetilde{Q})} \leq C \frac{1}{\sigma} \|\tilde{u}e^{\sigma\varphi}\|^2_{H^{2,\sigma}(\widetilde{Q})}.$$

Finally,

$$\begin{split} &\frac{1}{\sigma} \| u e^{\sigma \varphi} \|_{H^{2,\sigma}(\widetilde{Q})}^2 \\ & \qquad \leq C \left( \| S e^{\sigma \varphi} \|_{L^2(\widetilde{Q})}^2 + \| u e^{\sigma \varphi} \|_{H^{1,\sigma}(\widetilde{Q})}^2 + \| v e^{\sigma \varphi} \|_{H^{1,\sigma}(\widetilde{Q})}^2 + \| u e^{\sigma \varphi} \|_{H^{2,\sigma}(\widetilde{\Sigma})}^2 \right). \end{split}$$

Thanks to the Carleman weights, we absorb the second term of the right hand side in the left hand side. We make the same work for v and w but using the second Carleman inequality of the Lemma 7 in order to obtain

$$\begin{split} &\sigma \|v e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{Q})}^2 \\ &\leq C \left( \|(\nabla \cdot S) e^{\sigma\varphi}\|_{L^2(\widetilde{Q})}^2 + \|u e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{Q})}^2 + \|w e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{Q})}^2 + \sigma \|v e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{\Sigma})}^2 \right) \\ &\sigma \|w e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{Q})}^2 \\ &\leq C \left( \|(\nabla \wedge S) e^{\sigma\varphi}\|_{L^2(\widetilde{Q})}^2 + \|u e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{Q})}^2 + \|v e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{Q})}^2 + \sigma \|w e^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{\Sigma})}^2 \right). \end{split}$$

Hence,

$$\begin{aligned} &\sigma \|v e^{\sigma\varphi}\|^{2}_{H^{1,\sigma}(\widetilde{Q})} \\ &\leq C \left( \|(\nabla S) e^{\sigma\varphi}\|^{2}_{L^{2}(\widetilde{Q})} + \|u e^{\sigma\varphi}\|^{2}_{H^{1,\sigma}(\widetilde{Q})} + \|w e^{\sigma\varphi}\|^{2}_{H^{1,\sigma}(\widetilde{\Sigma})} + \sigma \|v e^{\sigma\varphi}\|^{2}_{H^{1,\sigma}(\widetilde{\Sigma})} \right) \\ &\text{Finally,} \\ &1 + \sigma \varepsilon^{2} \|^{2} \end{aligned}$$

$$\begin{split} & \overline{\sigma}^{\|ue^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{Q})}^2} \\ & \leq C\left(\|Que^{\sigma\varphi}\|_{L^2(\widetilde{Q})}^2 + \frac{1}{\sigma}\|(\nabla Qu)e^{\sigma\varphi}\|_{L^2(\widetilde{Q})}^2 + \frac{1}{\sigma}\|ue^{\sigma\varphi}\|_{H^{1,\sigma}(\widetilde{Q})}^2 + \|ue^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{\Sigma})}^2\right) \end{split}$$

And thanks to the Carleman weights, we absorb the third term of the right hand side in the left hand side to obtain the result:

$$\frac{1}{\sigma} \|ue^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{Q})}^2 \le C\left(\|Que^{\sigma\varphi}\|_{H^1(\widetilde{Q})}^2 + \|ue^{\sigma\varphi}\|_{H^{2,\sigma}(\widetilde{\Sigma})}^2\right)$$

and this concludes the proof of Theorem 4.

#### 4.3. Obtain local estimations

We are now going to apply the Carleman estimate we proved in Theorem 4 to the function  $u_{\gamma}$ . To ensure that its support is in a compact set of  $\overline{\Omega} \times \left(-\frac{T}{2}, \frac{5T}{2}\right) \times \left(-3\eta, 3\eta\right)$ , we multiply it by a cut-off function. A good choice of this cut-off function leads to two local estimations. Summing these estimations, we obtain the desired interpolation result. This work is inspired from [21] which uses some results of [22] but noticing that we have here an additional variable.

#### 4.4. First estimation

Let  $\Gamma \subset \partial \Omega$  be arbitrarily small. We begin by estimating  $u_{\gamma}$  in a ball, which is close to  $\Gamma$ . Let us choose  $0 < \eta < \varepsilon$  and  $x^{(0)} \in \mathbb{R}^3 \setminus \overline{\Omega}$  such that

$$\overline{B(x^{(0)},\eta)} \cap \overline{\Omega} = \emptyset, \qquad B(x^{(0)},2\eta) \cap \Omega \neq \emptyset, \qquad B(x^{(0)},4\eta) \cap \partial\Omega \subset \Gamma.$$

We define then

$$\psi^{(0)}(x,t,r) = |x - x^{(0)}|^2 + \frac{\eta^2}{T^2}(t + \frac{T}{2})^2 + r^2, \quad \forall (x,t,r) \in \widetilde{Q},$$

and we set

$$\varphi^{(0)}(x,t,r) = e^{-\frac{\xi}{\eta^2}\psi^{(0)}(x,t,r)}, \qquad \forall (x,t,r) \in \widetilde{Q}.$$

We introduce the cut-off function  $\chi_4 \in C_0^{\infty}(\mathbb{R})$  such that

$$\chi_4(z) = \begin{cases} 0, & \text{if } z < \frac{1}{2}, z > 8, \\ 1, & \text{if } \frac{3}{4} < z < 7, \end{cases}$$

and we set

$$u_{\gamma}^{*}(x,t,r) = \chi_{4}\left(\frac{\psi^{(0)}}{\eta^{2}}\right)u_{\gamma}(x,t,r), \qquad \forall (x,t,r) \in \widetilde{Q}.$$

Here,  $u_{\gamma}^*$  has a compact support in  $\overline{\Omega} \times (-\frac{T}{2}, \frac{5T}{2}) \times (-3\eta, 3\eta)$  since

$$\psi^{(0)}(x,t,\pm 3\eta)>10\eta^2 \quad \Longrightarrow \quad u^*_\gamma(x,t,r)=0 \quad \text{if} \quad |r|\geq 3\eta,$$

Logarithmic stability in determination of a 3D viscoelastic coefficient

$$\psi^{(0)}(x,\frac{5T}{2},r) > 10\eta^2 \quad \Longrightarrow \quad u^*_\gamma(x,t,r) = 0 \quad \text{if} \quad t \ge \frac{5T}{2}$$

and

$$u_{\gamma}(x,t,r) = 0$$
 if  $t < -\frac{T}{2} + \frac{3\eta}{2}$ .

Therefore, we can apply the Carleman estimate we showed in Theorem 4 to this function:

$$\frac{1}{\sigma} \| u_{\gamma}^* e^{\sigma \varphi^{(0)}} \|_{H^{2,\sigma}(\widetilde{Q})}^2 \le C \left( \| (\mathcal{Q} u_{\gamma}^*) e^{\sigma \varphi^{(0)}} \|_{H^1(\widetilde{Q})}^2 + \| u_{\gamma}^* e^{\sigma \varphi^{(0)}} \|_{H^{2,\sigma}(\widetilde{\Sigma})}^2 \right).$$

The last norm is actually in  $\widetilde{\Gamma} = \Gamma \times (-\frac{T}{2}, \frac{5T}{2}) \times (-3\eta, 3\eta) \subset \widetilde{\Sigma}$ . Indeed, we supposed  $B(x^{(0)}, 4\eta) \cap \partial\Omega \subset \Gamma$ , therefore, if  $x \in \partial\Omega \setminus \Gamma$ , then  $u_{\gamma}^*(x, t, r) = 0$ . In addition,  $\forall (x, t, r) \in \widetilde{Q}$ ,

$$\begin{aligned} \mathcal{Q}u_{\gamma}^{*}(x,t,r) \\ &= \chi_{4}\left(\frac{\psi^{(0)}}{\eta^{2}}\right)\mathcal{Q}u_{\gamma}(x,t,r) + \left[\mathcal{Q},\chi_{4}\left(\frac{\psi^{(0)}}{\eta^{2}}\right)\right]u_{\gamma}(x,t,r) \\ &= \chi_{4}\left(\frac{\psi^{(0)}}{\eta^{2}}\right)\left(F_{\gamma}(x,t,r) + G_{\gamma}(x,t,r)\right) + \left[\mathcal{Q},\chi_{4}\left(\frac{\psi^{(0)}}{\eta^{2}}\right)\right]u_{\gamma}(x,t,r) \\ &= \chi_{4}\left(\frac{\psi^{(0)}}{\eta^{2}}\right)F_{\gamma}(x,t,r) + \left[\mathcal{Q},\chi_{4}\left(\frac{\psi^{(0)}}{\eta^{2}}\right)\right]u_{\gamma}(x,t,r),\end{aligned}$$

since we saw in (24) that  $G_{\gamma}(x,t,r) = 0$ ,  $\forall x \in \Omega \setminus \overline{\Omega(3\varepsilon)}$ . And here,  $\chi_4\left(\frac{\psi^{(0)}}{\eta^2}\right)$  is different from zero if  $|x - x^{(0)}|^2 \leq 8\eta^2$ , thus, in particular, if  $|x - x^{(0)}| \leq 3\eta < 3\varepsilon$ . Then, we can write:

$$\begin{aligned} \frac{1}{\sigma} \| u_{\gamma}^{*} e^{\sigma \varphi^{(0)}} \|_{H^{2,\sigma}(\widetilde{Q})}^{2} &\leq C \left( \| (\mathcal{Q} u_{\gamma}^{*}) e^{\sigma \varphi^{(0)}} \|_{H^{1}(\widetilde{Q})}^{2} + \| u_{\gamma}^{*} e^{\sigma \varphi^{(0)}} \|_{H^{2,\sigma}(\widetilde{\Gamma})}^{2} \right) \\ &\implies \frac{1}{\sigma} e^{2\sigma e^{-6\xi}} \| u_{\gamma} \|_{H^{2,\sigma}(\{\eta^{2} \leq \psi^{(0)} \leq 6\eta^{2}\} \cap \widetilde{Q})} \\ &\leq C \left( \sigma^{2} e^{2\sigma e^{-7\xi}} \| u_{\gamma} \|_{H^{2}(\widetilde{Q})}^{2} + \sigma^{2} e^{2\sigma e^{-\frac{\xi}{2}}} \| F_{\gamma} \|_{H^{1}(\widetilde{Q})}^{2} + \sigma^{4} e^{2\sigma e^{-\frac{\xi}{2}}} \| u_{\gamma} \|_{H^{2}(\widetilde{\Gamma})}^{2} \right). \end{aligned}$$

We choose then  $\rho$  and  $x^{(1)}$  such that

dist
$$(x^{(1)}, \partial \Omega) \ge 4\rho$$
,  $\widetilde{B}_1 = B(x^{(1)}, \rho) \times (-\frac{T}{2}, \frac{T}{2}) \times (-\rho, \rho) \subset \{\eta^2 \le \psi^{(0)} \le 6\eta^2\}.$ 

This choice is valid since, in  $\widetilde{B}_1$ , we have

$$\psi^{(0)}(x,t,r) \le |x-x^{(1)}|^2 + |x^{(1)} - x^{(0)}|^2 + \frac{\eta^2}{T^2}(t+\frac{T}{2})^2 + r^2 \le \rho^2 + |x^{(1)} - x^{(0)}|^2 + \eta^2 + \rho^2$$

and since  $B(x^{(0)}, 2\eta) \cap \Omega \neq \emptyset$ , we can choose  $x^{(1)}$  such that  $|x^{(1)} - x^{(0)}|^2 < 4\eta^2$  and  $4\rho \leq \eta$  so that  $\psi^{(0)}(x, t, r) \leq 6\eta^2$ . Then, if  $\sigma$  is sufficiently large, we have

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{1})}^{2} \leq Ce^{-C_{1}\sigma}\|u_{\gamma}\|_{H^{2}(\widetilde{Q})}^{2} + Ce^{C_{2}\sigma}\left(\|F_{\gamma}\|_{H^{1}(\widetilde{Q})}^{2} + \|u_{\gamma}\|_{H^{2}(\widetilde{\Gamma})}^{2}\right).$$

We minimize with respect to  $\sigma$  (cf. [22]) to obtain, with  $\nu_0 = \frac{C_2}{C_1 + C_2}$ :

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{1})} \leq C\left(\|u_{\gamma}\|_{H^{2}(\widetilde{Q})}\right)^{1-\nu_{0}} \left(\|F_{\gamma}\|_{H^{1}(\widetilde{Q})} + \|u_{\gamma}\|_{H^{2}(\widetilde{\Gamma})}\right)^{\nu_{0}}.$$
 (30)

#### 4.5. Second estimation

Now, we extend the estimation in  $B(x^{(1)}, \rho)$  into  $\Omega(\varepsilon) \setminus \Omega(2\varepsilon)$ . Let  $B(x^{(j)}, \rho)$ ,  $2 \leq j \leq N$  be a cover of  $\Omega(\varepsilon) \setminus \Omega(2\varepsilon)$ . We suppose that  $x^{(j)}$  is such that for  $2 \leq j \leq N$ ,  $\operatorname{dist}(x^{(j)}, \partial\Omega) \geq 4\rho$ , and  $B(x^{(j+1)}, \rho) \subset B(x^{(j)}, 2\rho)$ .

We introduce

$$\widetilde{B}_j = B(x^{(j)}, \rho) \times \left(-\frac{T}{2}, \frac{T}{2}\right) \times \left(-\rho, \rho\right).$$

We define

$$\psi^{(j)}(x,t,r) = |x - x^{(j)}|^2 + \frac{\rho^2}{T^2}(t + \frac{T}{2})^2 + r^2, \quad \forall (x,t,r) \in \widetilde{Q},$$

and

$$\varphi^{(j)}(x,t,r) = e^{-\frac{\xi}{\rho^2}\psi^{(j)}(x,t,r)}, \qquad \forall (x,t,r) \in \widetilde{Q}.$$

We set

$$u_{\gamma}^{*}(x,t,r) = \chi_{4}\left(\frac{\psi^{(j)}}{\rho^{2}}\right)u_{\gamma}(x,t,r), \qquad \forall (x,t,r) \in \widetilde{Q},$$

to which we apply Theorem 4, without the boundary term, since the support of  $u_{\gamma}^{*}$  is an interior domain:

$$\frac{1}{\sigma} \|u_{\gamma}^* e^{\sigma\varphi^{(j)}}\|_{H^{2,\sigma}(\widetilde{Q})}^2 \le C \|(\mathcal{Q}u_{\gamma}^*) e^{\sigma\varphi^{(j)}}\|_{H^1(\widetilde{Q})}^2.$$

In addition,  $\forall (x, t, r) \in \widetilde{Q}$ ,

$$\mathcal{Q}u_{\gamma}^{*}(x,t,r) = \chi_{4}\left(\frac{\psi^{(j)}}{\rho^{2}}\right)F_{\gamma}(x,t,r) + \left[\mathcal{Q},\chi_{4}\left(\frac{\psi^{(j)}}{\rho^{2}}\right)\right]u_{\gamma}(x,t,r).$$
Thus, we have

Thus, we have

$$\frac{C}{\sigma} e^{2\sigma e^{-6\xi}} \|u_{\gamma}\|^{2}_{H^{2,\sigma}(\{\rho^{2} \le \psi^{(j)} \le 6\rho^{2}\} \cap \widetilde{Q})} \\
\le \sigma^{2} e^{2\sigma e^{-\frac{\xi}{2}}} \|u_{\gamma}\|^{2}_{H^{2}(\psi^{(j)} \le \rho^{2})} + \sigma^{2} e^{2\sigma e^{-7\xi}} \|u_{\gamma}\|^{2}_{H^{2}(\psi^{(j)} \le 8\rho^{2})} + \sigma^{2} e^{2\sigma e^{-\frac{\xi}{2}}} \|F_{\gamma}\|^{2}_{H^{1}(\widetilde{Q})},$$
and, if we choose  $\sigma$  hence, we can write:

and, if we choose  $\sigma$  large, we can write:

$$e^{2\sigma e^{-6\xi}} \|u_{\gamma}\|_{H^{2,\sigma}(\{\psi^{(j)} \le 6\rho^{2}\} \cap \widetilde{Q})}^{2} \le Ce^{2\sigma e^{-\frac{\xi}{3}}} \|u_{\gamma}\|_{H^{2}(\psi^{(j)} \le \rho^{2})}^{2} + Ce^{2\sigma e^{-\frac{13\xi}{2}}} \|u_{\gamma}\|_{H^{2}(\psi^{(j)} \le 8\rho^{2})}^{2} + Ce^{2\sigma e^{-\frac{\xi}{3}}} \|F_{\gamma}\|_{H^{1}(\widetilde{Q})}^{2}.$$

This allows to conclude that

$$\begin{split} \|u_{\gamma}\|_{H^{2}(\widetilde{B}_{j+1})}^{2} &\leq Ce^{C_{3}\sigma} \left(\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{j})}^{2} + \|F_{\gamma}\|_{H^{1}(\widetilde{Q})}^{2}\right) + Ce^{-C_{4}\sigma}\|u_{\gamma}\|_{H^{2}(\widetilde{Q})}^{2},\\ \text{since }\widetilde{B}_{j+1} \subset \{\psi^{(j)} \leq 6\rho^{2}\} \text{ and } \{\psi^{(j)} \leq \rho^{2}\} \subset \widetilde{B}_{j}. \text{ We minimize with respect to } \sigma \text{ to obtain, with } \nu_{1} = \frac{C_{4}}{C_{3} + C_{4}}: \end{split}$$

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{j+1})} \leq C\left(\|u_{\gamma}\|_{H^{2}(\widetilde{Q})}\right)^{1-\nu_{1}} \left(\|F_{\gamma}\|_{H^{1}(\widetilde{Q})} + \|u_{\gamma}\|_{H^{2}(\widetilde{B}_{j})}\right)^{\nu_{1}}$$

We use then the recurrence result of the following lemma:

Lemma 8 (Lemma 4 in [27]) Let  $\alpha_j > 0$  satisfying, for all  $j \ge 0$ ,

$$\alpha_j \le B^{1-\nu}(\alpha_{j-1}+A)^{\nu}$$
 and  $\alpha_j \le B$ 

where A > 0, B > 0 and  $\nu \in ]0,1[$ . Then, for all  $\mu \in ]0, \nu^{N}[$ , we have:  $\alpha_{N} \leq 2^{1/(1-\nu)}B^{1-\mu}(\alpha_{0} + A)^{\mu}.$ 

Here,

$$\alpha_j = \|u_\gamma\|_{H^2(\widetilde{B}_{j+1})}, \quad A = \|F_\gamma\|_{H^1(\widetilde{Q})}, \quad B = \|u_\gamma\|_{H^2(\widetilde{Q})}^2.$$

Therefore, we obtain

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{n})} \leq C \left( \|u_{\gamma}\|_{H^{2}(\widetilde{Q})} \right)^{1-\nu} \left( \|F_{\gamma}\|_{H^{1}(\widetilde{Q})} + \|u_{\gamma}\|_{H^{2}(\widetilde{B}_{1})} \right)^{\nu}$$

We appply the Young inequality and we obtain

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{n})} \leq \epsilon^{q} \|u_{\gamma}\|_{H^{2}(\widetilde{Q})} + \epsilon^{-q'} \left(\|F_{\gamma}\|_{H^{1}(\widetilde{Q})} + \|u_{\gamma}\|_{H^{2}(\widetilde{B}_{1})}\right)$$

where  $q = \frac{1}{1-\nu}$  and  $q' = \frac{1}{\nu}$ . We use the estimations (25) and (26) on  $F_{\gamma}$  and  $u_{\gamma}$  to write:

$$\|u_{\gamma}\|_{H^2(\widetilde{B}_n)}$$

$$\leq \epsilon^{q} e^{M\gamma} \| u^{*} \|_{H^{2}(\Omega \times (0,3T))} + \epsilon^{-q'} \left( e^{-m\gamma T} \| u^{*} \|_{H^{2}(\Omega \times (0,3T))} + \| u_{\gamma} \|_{H^{2}(\widetilde{B}_{1})} \right).$$

We choose then  $\epsilon = e^{-2M\gamma/q}$ , so that

$$\begin{aligned} \|u_{\gamma}\|_{H^{2}(\widetilde{B}_{n})} &\leq e^{-M\gamma} \|u^{*}\|_{H^{2}(\Omega \times (0,3T))} \\ &+ e^{-(mT - 2M\frac{q'}{q})\gamma} \|u^{*}\|_{H^{2}(\Omega \times (0,3T))} + e^{2M\gamma\frac{q'}{q}} \|u_{\gamma}\|_{H^{2}(\widetilde{B}_{1})} \end{aligned}$$

If we fix  $T > T_n$  with

$$mT_n - 2M\frac{q'}{q} = M_i$$

we obtain, with  $\kappa = 2M\gamma \frac{q'}{q}$ ,

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{n})} \leq e^{-M\gamma} \|u^{*}\|_{H^{2}(\Omega \times (0,3T))} + e^{\kappa\gamma} \|u_{\gamma}\|_{H^{2}(\widetilde{B}_{1})}$$

We can do the same work on the first estimation (30), the one in  $\tilde{B}_1$ . We apply the Young inequality and the estimations (25) and (26) to obtain

$$\|u_{\gamma}\|_{H^2(\widetilde{B}_1)}$$

$$\leq \epsilon^{q_0} e^{M\gamma} \|u^*\|_{H^2(\Omega \times (0,3T))} + \epsilon^{-q'_0} \left( e^{-m\gamma T} \|u^*\|_{H^2(\Omega \times (0,3T))} + \|u_\gamma\|_{H^2(\widetilde{\Gamma})} \right)$$

where  $q_0 = \frac{1}{1 - \nu_0}$  and  $q'_0 = \frac{1}{\nu_0}$ . We choose then  $\epsilon = e^{-(2M + \kappa)\gamma/q_0}$ , so that

 $||u_{\gamma}||_{H^{2}(\widetilde{B}_{1})} \leq e^{-(M+\kappa)\gamma} ||u^{*}||_{H^{2}(\Omega \times (0,3T))}$ 

$$+e^{-(mT-(2M+\kappa)\frac{q_0'}{q_0})\gamma}\|u^*\|_{H^2(\Omega\times(0,3T))}+e^{(2M+\kappa)\gamma\frac{q_0'}{q_0}}\|u_\gamma\|_{H^2(\widetilde{\Gamma})}$$

If we fix  $T > T_0$  with

$$mT_0 - (2M + \kappa)\frac{q'_0}{q_0} = M + \kappa,$$

we obtain

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{1})} \leq e^{-(M+\kappa)\gamma} \|u^{*}\|_{H^{2}(\Omega \times (0,3T))} + e^{C\gamma} \|u_{\gamma}\|_{H^{2}(\widetilde{\Gamma})}.$$

And we regroup the two estimations in

$$\|u_{\gamma}\|_{H^{2}(\widetilde{B}_{n})} \leq e^{-M\gamma} \|u^{*}\|_{H^{2}(\Omega \times (0,3T))} + e^{C\gamma} \|u^{*}\|_{H^{2}(\Gamma \times (0,3T))}^{2}.$$

Finally, we take  $T > \max_n T_n$  and we sum the inequalities to obtain

$$\begin{split} \|u_{\gamma}\|_{H^{2}(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(-\frac{T}{2},\frac{T}{2})\times(-\rho,\rho))}^{2} &\leq e^{-C\gamma}\|u^{*}\|_{H^{2}(\Omega\times(0,3T))}^{2} + e^{C\gamma}\|u^{*}\|_{H^{2}(\Gamma\times(0,3T))}^{2}. \end{split}$$
 We can now go back to the variable u in the estimations.

#### 4.6. Return to the variable u

We introduce the new variable

$$w_{\gamma}(x,t) = u_{\gamma}(x,t,r=0) = \sqrt{\frac{\gamma}{2\pi}} \int_{0}^{\infty} e^{-\frac{\gamma}{2}(t-y)^{2}} \theta(t-y) u^{*}(x,y) dy$$
$$= (K_{\gamma} * u^{*})(x,t), \qquad \forall (x,t) \in \Omega \times \mathbb{R},$$

with

$$K_{\gamma}(t) = \sqrt{\frac{\gamma}{2\pi}} e^{-\frac{\gamma}{2}t^2} \theta(t), \qquad \forall t \in \mathbb{R}.$$

We see that  $w_{\gamma}$  converges to  $u^*$  when  $\gamma$  tends to infinity. We have

 $\begin{aligned} \|w_{\gamma}\|_{H^{2}(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(0,\frac{T}{2}-\rho))}^{2} &\leq C \|u_{\gamma}\|_{H^{2}(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(-\frac{T}{2},\frac{T}{2})\times(-\rho,\rho))}^{2} \\ &\leq e^{-C\gamma} \|u^{*}\|_{H^{2}(\Omega\times(0,3T))}^{2} + e^{C\gamma} \|u^{*}\|_{H^{2}(\Gamma\times(0,3T))}^{2}. \end{aligned}$ 

Indeed, the Cauchy formula says that, for  $0 < d < \rho$ , we have

$$w_{\gamma}(x,a) = \frac{1}{2i\pi} \int_{|w-a|=d} \frac{w_{\gamma}(x,w)}{w-a} dw$$

which, using polar coordinates, implies that

$$|w_{\gamma}(x,a)|^{2} \leq C \int_{0}^{2\pi} |w_{\gamma}(x,a+de^{i\theta})|^{2} d\theta.$$

We integrate then, for  $0 < d < \rho$ , into

$$|w_{\gamma}(x,a)|^{2} \leq \frac{C}{\rho} \int_{0}^{\rho} \int_{0}^{2\pi} |w_{\gamma}(x,a+de^{i\theta})|^{2} d\theta \, d\rho,$$

that we can write:

$$|w_{\gamma}(x,a)|^{2} \leq C \int_{-\rho}^{\rho} \int_{|t-a| \leq \rho} |w_{\gamma}(x,t+ir)|^{2} dt dr$$
$$= C \int_{-\rho}^{\rho} \int_{|t-a| \leq \rho} |u_{\gamma}(x,t,r)|^{2} dt dr,$$

according to the definition of  $w_{\gamma}$ . Then, we integrate for  $x \in \Omega(\varepsilon) \setminus \Omega(2\varepsilon)$ :

$$\begin{aligned} \|w_{\gamma}(.,a)\|_{L^{2}(\Omega(\varepsilon)\setminus\Omega(2\varepsilon))}^{2} &\leq C \int_{-\rho}^{\rho} \int_{|t-a|\leq\rho} \|u_{\gamma}(.,t,r)\|_{L^{2}(\Omega(\varepsilon,2\varepsilon))}^{2} dt \, dr \\ &\leq C \|u_{\gamma}\|_{L^{2}(\Omega(\varepsilon,2\varepsilon)\times(-\frac{T}{2},\frac{T}{2})\times(-\rho,\rho))}^{2}. \end{aligned}$$

We integrate for  $a \in (0, \frac{T}{2} - \rho)$ :

 $\|w_{\gamma}\|_{L^{2}(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(0,\frac{T}{2}-\rho))}^{2} \leq C\|u_{\gamma}\|_{L^{2}(\Omega(\varepsilon,2\varepsilon)\times(-\frac{T}{2},\frac{T}{2})\times(-\rho,\rho))}^{2}$ 

and we do the same for the derivatives of  $w_{\gamma}$ . We can now come back to  $u^*$  (and u) using the classical Fourier transform (noted with a big hat symbol) because we notice that

$$w_{\gamma}(x,t) = (K_{\gamma} * u^*)(x,t) \Longrightarrow \widehat{w_{\gamma}}(x,\tau) = \widehat{K_{\gamma}}(\tau)\widehat{u^*}(x,\tau),$$

where

$$\begin{split} \widehat{K_{\gamma}}(\tau) &= \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{+\infty} e^{-i\tau t} e^{-\frac{\gamma}{2}t^2} \theta(t) dt \\ &= \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{+\infty} e^{-i\tau t} e^{-\frac{\gamma}{2}t^2} dt + \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{+\infty} e^{-i\tau t} e^{-\frac{\gamma}{2}t^2} \left(\theta(t) - 1\right) dt \end{split}$$
  
We have

V

$$\begin{split} \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{+\infty} e^{-i\tau t} e^{-\frac{\gamma}{2}t^2} dt &= \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{+\infty} e^{-\left(\sqrt{\frac{\gamma}{2}}t + \sqrt{\frac{1}{2\gamma}}i\tau\right)^2} e^{-\frac{\tau^2}{2\gamma}} dt \\ &= \frac{1}{\sqrt{\pi}} e^{-\frac{\tau^2}{2\gamma}} \int_{-\infty}^{+\infty} e^{-z^2} dz = e^{-\frac{\tau^2}{2\gamma}}. \end{split}$$

Hence,

$$\widehat{K_{\gamma}}(\tau) = e^{-\frac{\tau^2}{2\gamma}} + \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{-\frac{T}{2}+3\eta} e^{-i\tau t} e^{-\frac{\gamma}{2}t^2} \left(\theta(t) - 1\right) dt.$$

Therefore, we have

$$\begin{split} |(1-\widehat{K_{\gamma}})(\tau)| &\leq \left|1-e^{-\frac{\tau^2}{2\gamma}}\right| + \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{-\frac{T}{2}+3\eta} \left|e^{-i\tau t}e^{-\frac{\gamma}{2}t^2}\right| |\theta(t)-1| \, dt \\ &\leq \frac{\tau^2}{2\gamma} + \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{-\frac{T}{2}+3\eta} e^{-\frac{\gamma}{2}t^2} dt, \end{split}$$

where we used for the first term the fact that the function  $\frac{1-e^{-t^2}}{t^2}$  is bounded in  $\mathbb{R}$ . We win one order of convergence since we have  $\frac{1}{\gamma}$  and no  $\frac{1}{\sqrt{\gamma}}$  as in [13]. Then, we can introduce in the second term the change of variable  $z = e^{-\frac{\gamma}{2}t^2}$ , that is  $t = \sqrt{-\frac{2}{\gamma}ln(z)}$ , and  $dz = -\gamma t e^{-\frac{\gamma}{2}t^2} dt$ , and so we have:

$$\begin{split} \sqrt{\frac{\gamma}{2\pi}} \int_{-\infty}^{-\frac{T}{2}+3\eta} e^{-\frac{\gamma}{2}t^2} dt &\leq \frac{1}{2\sqrt{\pi}} \int_{0}^{e^{-\frac{\gamma}{2}\left(-\frac{T}{2}+3\eta\right)^2}} \frac{dz}{\sqrt{-ln(z)}} \\ &\leq \frac{1}{2\sqrt{\pi}} \frac{e^{-\frac{\gamma}{2}\left(-\frac{T}{2}+3\eta\right)^2}}{\sqrt{\frac{\gamma}{2}\left(-\frac{T}{2}+3\eta\right)^2}} \leq \frac{C}{\gamma}. \end{split}$$

Then,

$$\begin{aligned} \|u^* - w_{\gamma}\|_{L^2(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(0,\frac{T}{2}-\rho))}^2 &\leq \|u^* - w_{\gamma}\|_{L^2(\Omega\times\mathbb{R})}^2 = \|\widehat{u^* - w_{\gamma}}\|_{L^2(\Omega\times\mathbb{R})}^2 \\ &\leq \int_{\Omega} \int_{-\infty}^{+\infty} \left|\frac{\tau^2 + C}{\gamma}\right|^2 |\widehat{u^*}(x,\tau)|^2 d\tau \\ &\leq \frac{C}{\gamma^2} \int_{\Omega} \int_{-\infty}^{+\infty} (|\widehat{u^*}(x,\tau)|^2 + |\widehat{\partial_t^2 u^*}(x,\tau)|^2) d\tau \leq \frac{C}{\gamma^2} \|\widehat{u^*}\|_{H^2(\Omega\times\mathbb{R})}^2 \end{aligned}$$

Finally, if we suppose that  $u^*$  is prolongated by zero outside (0, 3T), we have

$$\|u^* - w_{\gamma}\|_{L^2(\Omega(\varepsilon) \setminus \Omega(2\varepsilon) \times (0, \frac{T}{2} - \rho))} \leq \frac{C}{\gamma} \|u^*\|_{H^2(\Omega \times (0, 3T))}.$$

Thus,

 $\|u^*\|_{H^2(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(0,\frac{T}{2}-\rho))}$ 

$$\leq C \left( \|u^* - w_{\gamma}\|_{H^2(\Omega(\varepsilon) \setminus \Omega(2\varepsilon) \times (0, \frac{T}{2} - \rho))} + \|w_{\gamma}\|_{H^2(\Omega(\varepsilon) \setminus \Omega(2\varepsilon) \times (0, \frac{T}{2} - \rho))} \right)$$
  
$$\leq \frac{C}{\gamma} \|u^*\|_{H^4(\Omega \times (0, 3T))} + C \|w_{\gamma}\|_{H^2(\Omega(\varepsilon) \setminus \Omega(2\varepsilon) \times (0, \frac{T}{2} - \rho))}.$$

Coming back to u and taking into account that  $u^*(x,t) = \chi_3(x)u(x,t)$ , so that  $u = u^*$ in  $\Omega(\varepsilon) \setminus \Omega(2\varepsilon)$ , we have

$$\|u\|_{H^2(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(0,\frac{T}{2}-\rho))} \leq \frac{C}{\gamma} \|u\|_{H^4(\Omega\times(0,3T))} + e^{C\gamma} \|u\|_{H^2(\Gamma\times(0,3T))}.$$

We use the fact that  $||u||_{H^4(\Omega \times (0.3T))}$  is bounded and that

$$||u||_{H^2(\Gamma \times (0,3T))}^2 = \sum_{|\alpha|=1}^2 ||\partial_x^{\alpha} u||_{L^2(\Gamma \times (0,3T))}^2,$$

since  $\hat{u}$  and its time derivatives vanish on  $\Gamma$ , to write:

$$\|u\|_{H^2(\Omega(\varepsilon)\setminus\Omega(2\varepsilon)\times(0,\frac{T}{2}-\rho))}^2 \leq \frac{C_5}{\gamma^2} + e^{C_6\gamma} \sum_{|\alpha|=1}^2 \|\partial_x^{\alpha} u\|_{L^2(\Gamma\times(0,3T))}^2.$$

Then, we choose  $\gamma$  such that the first term dominate the second. We can for example choose

$$\gamma = \frac{1}{2C_6} \log \left( 2 + \frac{C_5}{\sum_{|\alpha|=1}^2 \|\partial_x^{\alpha} u\|_{L^2(\Gamma \times (0,3T))}^2} \right).$$

We achieve the proof of Theorem 3.

#### 5. Numerical results

To illustrate the theoretical result (11), we present here one numerical example in 2D even if the result is also valid in 3D. More examples and a complete numerical analysis of the method will be present in another paper (in preparation). Here, the numerical resolution leads to recover the values of the unknown parameter at each vertex of the discretized domain. In particular, we can retrieve the localization of a brain tumor. Indeed, the linear viscoelastic system (1) is a simplified model for the mechanical behavior of the brain structures [28].

#### 5.1. Direct problem

We consider now the system (1)-(3), in two dimensions, with the following coefficients:

- $\mu(x) = \lambda(x) = 1200$ ,  $\tilde{\lambda}(x,t) = 400 h(t)$  and  $h(t) = e^{-t/\tau}$  with  $\tau = 1$ ,
- $\bar{p}(x) = \begin{cases} 400, & \text{in the healthy tissue,} \\ > 400, & \text{in the tumor (cf. Figure 1),} \end{cases}$

Logarithmic stability in determination of a 3D viscoelastic coefficient

• f(x,t) = 0,  $\bar{u}_1(x) = 0$  and  $\bar{u}_0$  is the solution of the stationary problem associated to (1):

$$\begin{cases} -\nabla \cdot \left(\mu(x)(\nabla \bar{u}_0(x) + \nabla \bar{u}_0(x)^T) + \lambda(x)(\nabla \cdot \bar{u}_0)(x)I\right) = 1, & \forall x \in \Omega, \\ u_0(x) = 0, & \forall x \in \partial\Omega. \end{cases}$$

When dealing with a real experiment, it is not possible to ensure that the initial data  $\bar{u}_0$  satisfies hypothesis (H5). In practice, the only condition that one can apply to the brain without opening the skull is a constant body force. Moreover, we assume that  $\bar{p}$  is known in the boundary of  $\Omega$ . This hypothesis, less restrictive than hypothesis (H3), is physically acceptable because experimental measurements of the coefficient on the boundary are possible.

We can solve numerically the direct problem (1)-(3) by discretizing the equations



**Figure 1.** Unknown coefficient  $\bar{p}$  (on the left), computational mesh and initial data  $\bar{u}_0$  (in the center), observation zone  $\omega$  (in red, on the right).

- in space using  $\mathbb{P}^1$  Lagrange Finite Elements in the mesh shown on Figure 1,
- in time using a  $\theta$ -scheme with  $\theta = 0.5$  (implicit centered scheme) and  $\delta t = 1$ ,
- by using the trapezium formula for the integral term.

The time of observation is taken equal to T = 50 whereas the observation zone  $\omega$  is the one shown on Figure 1. A uniform relative error of  $\delta = 2\%$  corresponding to the experimental error is added to the solution.

#### 5.2. Inverse problem

Let  $u_{obs}$  be the observed displacement measured experimentally. We are looking for the minimizer of the non quadratic functional

$$J(p) = \frac{1}{2} \int_0^T \int_\omega \left( |u - u_{obs}|^2 + |\nabla(u - u_{obs})|^2 \right) dx \, dt,$$

with  $u = \mathcal{M}(p)$ ,  $\mathcal{M}$  being the nonlinear operator from P to U associated to system (1)-(3). In general,  $u_{obs} \notin \mathcal{M}(P)$ . Notice that adding a Tikhonov regularizing term to J does not numerically help in this case since we will filter high frequencies when chosing the parameter space for p (see section 5.4). We solve the minimization problem by a Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm [29]. Thus, we calculate

$$\nabla J(p, \delta p) = \lim_{\varepsilon \to 0} \frac{1}{\varepsilon} \left( J(p + \varepsilon \delta p) - J(p) \right)$$
$$= \int_0^T \int_\omega M_p \delta p \cdot \left( (u - u_{obs}) - \Delta(u - u_{obs}) \right) dx dt$$

with  $M_p$  the linearized operator of  $\mathcal{M}$  around p, i.e.  $M_p \delta p = \delta u$  satisfies

$$\mathcal{P}\delta u(x,t) = -\int_{0}^{t} \nabla \cdot \left(\delta p(x)h(t-s)(\nabla u(x,s) + \nabla u(x,s)^{T})\right) ds,$$
  

$$\delta u(x,0) = 0, \qquad \forall x \in \Omega,$$
  

$$\partial_{t}\delta u(x,0) = 0, \qquad \forall x \in \Omega,$$
  

$$\delta u(x,t) = 0, \qquad \forall (x,t) \in \partial \Omega \times (0,T).$$
  
(31)

We introduce the adjoint operator  $\mathcal{P}^*$  of (2)

$$\begin{aligned} \mathcal{P}^* v(x,t) &= \partial_t^2 v(x,t) - \nabla \cdot \left( \mu(x) (\nabla v(x,t) + \nabla v(x,t)^T) + \lambda(x) (\nabla \cdot v(x,t)) I \right) \\ &+ \int_t^T \nabla \cdot \left( p(x) h(s-t) (\nabla v(x,s) + \nabla v(x,s)^T) + \tilde{\lambda}(x,s-t) (\nabla \cdot v)(x,s) I \right) ds \,, \end{aligned}$$

and we define  $\delta u^*$ , the solution of

$$\begin{cases} \mathcal{P}^* \delta u^*(x,t) = \begin{cases} (u - u_{obs})(x,t)) - \Delta(u - u_{obs})(x,t)), & \forall x \in \omega, \\ 0, & \forall x \in (\Omega \setminus \omega), \end{cases} \\ \delta u^*(x,T) = 0, & \forall x \in \Omega, \\ \partial_t \delta u^*(x,T) = 0, & \forall x \in \Omega, \\ \delta u^*(x,t) = 0, & \forall (x,t) \in \partial \Omega \times (0,T). \end{cases}$$

Therefore we can write:

$$\nabla J(p,\delta p) = \int_0^T \int_\Omega \delta u(x,t) \cdot \mathcal{P}^* \delta u^*(x,t) dx dt = \int_0^T \int_\Omega \mathcal{P} \delta u(x,t) \cdot \delta u^*(x,t) dx dt$$
$$= \int_\Omega \delta p(x) \left( \int_0^T \int_0^t h(t-s) (\nabla u(x,s) + \nabla u(x,s)^T) : \nabla \delta u^*(x,t) ds dt \right) dx$$

#### 5.3. Regularization method

We denote by  $p^*$  the numerical parameter and by  $\bar{p}$  the exact parameter, and we suppose  $p^*$  close to  $\bar{p}$ . We can write:

$$\|p^* - \bar{p}\|_P = \|M_{\bar{p}}^{-1}(M_{\bar{p}}p^* - \bar{u})\|_P \le \|M_{\bar{p}}^{-1}\|\|M_{\bar{p}}p^* - \bar{u}\|_U.$$

If  $M_{\bar{p}}$ , linear from P (of infinite dimension) to U, is compact for  $\|.\|_P$  et  $\|.\|_U$ , then its inverse  $M_{\bar{p}}^{-1}$  is not bounded. So, even if we find  $p^*$  such that  $M_{\bar{p}}p^*$  is close to  $\bar{u}$ , we cannot guarantee that  $p^*$  will be close to  $\bar{p}$ . Thus, as regularization method, [24] we introduce the operator

$$R_K = \Pi_K M_{\bar{p}}^{-1},$$

where  $\Pi_K$  is the projection of P to a finite dimensional space  $P_K$ . This operator  $R_K$  is bounded in U and verifies

$$R_K \longrightarrow M_{\bar{p}}^{-1}$$
 if  $K \to +\infty$ ,

and we now look for  $p^* \in P_K$ .

#### 5.4. Mesh and basis adaptation

We choose to look for the unknown coefficient  $p^*$  in the space  $P_K$  of the K first eigenfunctions of the mesh, that is

$$P_K = \left\{ p \in P, p = \bar{p}|_{\partial\Omega} + \sum_{i=1}^K p_i \varphi_i \right\},\,$$

where  $\bar{p}|_{\partial\Omega}$  is a raising of the trace of the exact value of p (which is known as we assumed  $\bar{p}$  known in  $\omega$ ) and

$$\begin{cases} -\Delta \varphi_i(x) = \sigma_i \varphi_i(x), & \forall x \in \Omega, \\ \varphi_i(x) = 0, & \forall x \in \partial \Omega. \end{cases}$$



Figure 2. Initial mesh (left), initial basis functions  $n^{o}1$ ,  $n^{o}2$  and  $n^{o}5$  (right).

We propose an adaptive method to solve the problem accurately. After computing a first solution  $p_0^*$  on the initial mesh, we use it to refine the mesh and to adapt the spectral basis. Indeed, we consider next as basis functions the solutions of the following problem:

$$\begin{cases} -\nabla \cdot (a(x)\nabla \varphi_i(x)) = \sigma_i \varphi_i(x), & \forall x \in \Omega, \\ \varphi_i(x) = 0, & \forall x \in \partial \Omega, \end{cases}$$

with

$$a(x) = \frac{1}{\nabla p_0^*(x)}.$$

The initial and adapted meshes and basis functions are shown on Figure 2 and Figure 3



Figure 3. Adapted mesh (left), adapted basis functions n°1, n°2 and n°5 (right).

#### 5.5. The results

We solve the inverse problem by the method we introduced. On Figure 5, we plot the relative error between  $p^*$  and  $\bar{p}$  in  $L^2$ -norm with respect to the number K of eigenfunctions in the basis and for different steps of the iterative process.



Figure 4. Relative error for the coefficient p in  $L^2$ -norm with respect to K.

On Figure 4, we show the exact coefficient and the numerical result we obtain at different steps.



**Figure 5.** Exact coefficient  $\bar{p}$  (on the left), recovered coefficient  $p^*$  at step 0 with  $K_0 = 50$  and  $K_0 = 100$  (in the center), recovered coefficient  $p^*$  at step 2 with  $K_0 = K_1 = K_2 = 50$  (on the right).

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