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Asymptotic analysis of a Schrödinger-Poisson system with quantum wells and macroscopic nonlinearities in dimension 1

A. Faraj*

Abstract

We consider the stationary one dimensional Schrödinger-Poisson system on a bounded interval with a background potential describing a quantum well. Using a partition function which forces the particles to remain in the quantum well, the limit $\hbar \rightarrow 0$ in the nonlinear system leads to a uniquely solved nonlinear problem with concentrated particle density. It allows to conclude about the convergence of the solution.

Keywords: Schrödinger-Poisson system; Asymptotic analysis; Semi-classical analysis; Spectral theory.

Subject classifications: 35Q02, 35Q05, 35Q10, 35B40.

1 Introduction

The quantum state of a gas of charged particles is described, in the mean field approximation, by a nonlinear one-particle Schrödinger equation where the electrostatic repulsion is modeled by a nonlinear potential term depending on the charge density through a Poisson equation. This class of models is usually referred to as Schrödinger-Poisson systems. In this work we consider a stationary Schrödinger-Poisson system in a bounded region of \mathbb{R} , for which a background potential models a quantum well, while the nonlinear potential extends on a wider scale. After introducing a rescaling for which the small parameter $\hbar > 0$ represents an inverse length scale, the support of the potential well squeezes asymptotically to a single point in the limit $\hbar \rightarrow 0$. An equilibrium state of a gas of charged particles confined in the quantum well will be considered, while the nonlinear electrostatic potential created by such a concentrated charge tends to a potential which is piecewise linear and almost constant in the well.

Such a Schrödinger-Poisson problem has recently been considered in [3], [4] and [14] in a more complex setting involving far from equilibrium steady states. This one-dimensional analysis leads to a reduced model which happens to be very efficient in the numerical simulation of the electronic transport through semiconductor heterostructures, like resonant tunneling diodes, see [2] and [1]. Nevertheless, in the present work the Schrödinger-Poisson system has a unique solution and the analysis shows that the unicity is asymptotically preserved. It is not the case in [3], [4] and [14] where hysteresis phenomena are predicted (e.g. in [11] and [16]).

For the sake of simplicity we shall use a low energy-filter in the definition of the partition function f (see equation (1.5) below), that is the quantum states with an energy larger than the threshold ε_S are not occupied. With such an assumption only the quantum states confined in the well have an effect on the nonlinearity. This is an important point to get asymptotically the macroscopic quantities.

The semi-classical analysis of such a model was performed in [6] in dimension $d = 2$ and 3 and it appears that in the limit, the potential vanishes almost everywhere and produces a non null spectral perturbation. This apparent contradiction is solved through a rescaling with parameter

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h and the potential behaviour depends on the Green function of the Laplace operator. For the 1D problem, the nonlinear effect remains visible at the macroscopic scale in the limit $h \rightarrow 0$, this provides a non trivial approximation of the solution of the Schrödinger-Poisson system.

Like in [6], [3], [4] and [14] the analysis will be a mixture of nonlinear apriori estimates combined with accurate semiclassical and spectral techniques (we refer to: [17], [8], [9] and [5]) adapted for potentials with limited regularity. The outline of this analysis is the following. We end this Section by introducing the model and by stating our results. In Section 2, we give some asymptotics for the spectrum of the linear Hamiltonian. In Section 3, we present preliminary results for the Schrödinger-Poisson system. The limit of the Schrödinger-Poisson system is done in Section 4. The semi-classical analysis tools, necessary for the asymptotics, are given in Appendix A and B.

1.1 The model

Let $\Omega = (0, L)$ be an open bounded interval and U a non positive function in $C_0^\infty(\mathbb{R})$ supported in the ball of radius one centered in the origin.

For $x_0 \in \Omega$, we define the potential with center x_0 and radius of order $h > 0$

$$U^h(x) = U\left(\frac{x - x_0}{h}\right), \quad x \in \Omega.$$

Being our analysis concerned with the limit $h \rightarrow 0$, we can choose, without loss of generality, h small enough so that the support of U^h is included in Ω . In particular, defining with ω^h the support of U^h , we assume that $\omega^h \subset \Omega$ for all values of h below a suitable positive constant: $h \leq h_0$.

Next we assign the function $f \in C^\infty(\mathbb{R})$, with a threshold at $\varepsilon_S < 0$ and fulfilling the conditions

$$f(x) > 0, \quad \forall x < \varepsilon_S, \quad (1.1)$$

$$f(x) = 0, \quad \forall x \geq \varepsilon_S, \quad (1.2)$$

$$f'(x) \leq 0, \quad \forall x \in \mathbb{R}, \quad (1.3)$$

and address, for $h \in (0, h_0]$, the following problem: find V^h solving the nonlinear Poisson equation

$$\begin{cases} -\frac{d^2}{dx^2}V^h = n[V^h] & \text{in } \Omega \\ V^h|_{\partial\Omega} = 0 \end{cases} \quad (1.4)$$

where the source term is

$$n[V^h] = \sum_{i \geq 1} f(\varepsilon_i^h) |\Psi_i^h|^2, \quad (1.5)$$

with $\{\varepsilon_i^h\}_{i \geq 1}$ given by the eigenvalues of the nonlinear Hamiltonian

$$H^h = -h^2 \frac{d^2}{dx^2} + U^h + V^h \quad (1.6)$$

numerated from $\inf \sigma(H^h)$ counting multiplicities, while $\{\Psi_i^h\}_{i \geq 1}$ are the corresponding eigenvectors

$$\begin{cases} H^h \Psi_i^h = \varepsilon_i^h \Psi_i^h, & \text{in } \Omega, \\ \Psi_i^h|_{\partial\Omega} = 0. \end{cases} \quad (1.7)$$

The equations (1.4), (1.5) and (1.7) define the stationary Schrödinger-Poisson system associated with the potential well U^h and the function f . In practical applications, where these equations are used for the description of the charge distribution in electronic devices, $n[V^h]$ describes the density of the charge careers of the system, while f is a response function which depends on the characteristics of the device and has to be considered as a data item of the problem.

The stationary states form a set of real normalized functions

$$\operatorname{Im} \Psi_i^h = 0; \quad \|\Psi_i^h\|_{L^2(\Omega)} = 1, \quad (1.8)$$

The analysis of our Schrödinger-Poisson system, will involve the operator

$$H_0 = -\frac{d^2}{dx^2} + U; \quad D(H_0) = H^2(\mathbb{R}), \quad (1.9)$$

whose point spectrum, $\sigma_p(H_0)$, contains a finite number of points embedded in $[-\|U\|_{L^\infty}, 0)$. In particular, we make the following assumption

$$e_1 := \inf \sigma(H_0) < \varepsilon_S. \quad (1.10)$$

The hypothesis (1.10) – which prevents the solution to (1.4) - (1.7) to be trivial – will be extensively used in this work.

1.2 Results

In our one dimensional case (see [12]) and in the general case of the dimension $d \leq 3$ (see [13]), it has been proved that, for $h > 0$, the problem (1.4) - (1.7) admits a unique solution, V^h in our notation, in $H_0^1(\Omega)$. However, the uniqueness of V^h is by far not obvious if the limit $h \rightarrow 0$ is considered. The aim of our analysis is to understand the asymptotic behaviour of the system (1.4) - (1.7) as $h \rightarrow 0$. This in order to provide a simplified modelling for the nonlinearities produced by charged particles confined in quantum layers. Such a program has been carried out in [6] in dimension $d = 2$ and 3.

In our one dimensional framework, the functional spaces for the solution verify better Sobolev injections than in the 2D and 3D case, which gives, up to extraction, strong convergence results for the potential (see [3] and [4]). We have then enough regularity to obtain the convergence of the negative spectrum. This allows to determine the limit of the Schrödinger-Poisson system (1.4) - (1.7), up to extraction, and the convergence of all the sequence follows from the uniqueness, and the explicit computation, of the solution of the limit problem.

Our main results below, whose proofs are given in Section 4, gather the asymptotic informations for the 1D case.

Theorem 1.1. *The nonlinearity $(V^h)_{h \in (0, h_0]}$ is bounded in $W^{1, \infty}(0, L)$ and tends, strongly in $C^{0, \alpha}(0, L)$, $\forall \alpha \in (0, 1)$, to the potential V_0 given by*

$$V_0(x) = \begin{cases} \left(\sum_{i \geq 1} f(e_i + \theta) \right) \left(1 - \frac{x_0}{L}\right)x, & 0 < x \leq x_0 \\ \left(\sum_{i \geq 1} f(e_i + \theta) \right) \frac{x_0}{L}(L - x), & x_0 < x < L \end{cases} \quad (1.11)$$

where $\{e_i\}_{1 \leq i \leq N}$ is the discrete spectrum of H_0 , $e_{i \geq N+1} = 0$ and $\theta \in (0, \varepsilon_S - e_1)$ is the unique non negative solution of the nonlinear equation $\theta = x_0 \left(1 - \frac{x_0}{L}\right) \sum_{i \geq 1} f(e_i + \theta)$.

The density $(n[V^h])_{h \in (0, h_0]}$ tends to the measure

$$\mu = \sum_{i \geq 1} f(e_i + \theta) \delta_{x_0}. \quad (1.12)$$

for the weak* topology on the space $\mathcal{M}_b(0, L)$ of bounded measures on $(0, L)$.

Hence for the 1D problem, the nonlinear effect produced at the quantum scale remains visible at the macroscopic scale in the limit $h \rightarrow 0$.

2 Some asymptotics for the linear operator

Consider the operator H_0 defined in (1.9). The multiplication operator U is a relatively compact perturbation of $-\frac{d^2}{dx^2}$. Then, the Kato-Reillich and Weyl Theorems imply that H_0 is self-adjoint and $\sigma_{ess}(H_0) = [0, +\infty)$.

The reader may refer to Proposition 7.4 in [18] to see that $\sigma_p(H_0) \neq \emptyset$ is always true in the one dimensional case when $U \leq 0$ and not identically zero, and the discret spectrum of H_0 is a countable set of negative eigenvalues with multiplicity one and zero as possible accumulation point. In addition, the Proposition 7.5 in [18] gives the following estimation on the number N of negative eigenvalues of H_0 :

$$N \leq 1 + \int_{\mathbb{R}} |x| |U(x)| dx,$$

bound which is finite in our case. It follows that

$$\sigma(H_0) = \{e_1, \dots, e_N\} \cup [0, +\infty) \quad (2.1)$$

where the e_i are the points of $\sigma_d(H_0)$. We will work with the convention

$$e_i = 0, \quad \text{for } i \geq N + 1 \quad (2.2)$$

The present Section is devoted to the description, when $h \rightarrow 0$, of the spectrum of the operator

$$H_0^h = -h^2 \frac{d^2}{dx^2} + U^h, \quad D(H_0^h) = H^2 \cap H_0^1(\Omega) \quad (2.3)$$

using a comparison with the spectrum of H_0 . The operator H_0^h is the linear part of the Hamiltonian H^h involved in the Schrödinger-Poisson system (1.4) - (1.7) and, therefore, it will play an important role in the analysis: the spectral asymptotics of the linear operator will give information about the nonlinear one.

We will denote by $(-h^2 \frac{d^2}{dx^2})_{\Omega}$ the realisation of $-h^2 \frac{d^2}{dx^2}$ on Ω with domain $H_0^1 \cap H^2(\Omega)$. The spectrum of the operator $(-h^2 \frac{d^2}{dx^2})_{\Omega}$ is the sequence of the $\lambda_i^h = \frac{h^2 i^2 \pi^2}{L^2}$ and the corresponding normalized eigenvectors are the $\varphi_i(x) = \sqrt{\frac{2}{L}} \sin \frac{i\pi x}{L}$ for $i \geq 1$.

By setting

$$\Lambda := \|U\|_{L^\infty} > 0.$$

we have:

$$\sigma((-h^2 \frac{d^2}{dx^2} - \Lambda)_{\Omega}) = \{\lambda_i^h - \Lambda, i \geq 1\}$$

Let ε be a constant such that $\varepsilon > -\Lambda$, we have:

$$\# \left(\sigma((-h^2 \frac{d^2}{dx^2} - \Lambda)_{\Omega}) \cap (-\infty, \varepsilon] \right) = \#\{i \geq 1; \frac{h^2 i^2 \pi^2}{L^2} - \Lambda \leq \varepsilon\} \leq \sqrt{\varepsilon + \Lambda} \frac{L}{\pi h}$$

Coming back to the operator H_0^h , we note that:

$$H_0^h \geq (-h^2 \frac{d^2}{dx^2} - \Lambda)_{\Omega}$$

and therefore $\forall \varepsilon > -\Lambda$, the integer

$$N^h := \# (\sigma(H_0^h) \cap (-\infty, \varepsilon]) \quad (2.4)$$

verifies

$$N^h \leq \# \left(\sigma((-h^2 \frac{d^2}{dx^2} - \Lambda)_{\Omega}) \cap (-\infty, \varepsilon] \right) = \mathcal{O}(h^{-1}). \quad (2.5)$$

The previous asymptotic order is an important point in the proof of the following Lemma, which is the main result of this Section.

Lemma 2.1. Consider $\varepsilon \in (e_N, 0)$ and N^h given by (2.4). If we define e_i^h to be the eigenvalues of H_0^h , then, for h_0 small enough, we have:

- $N^h = N, \forall h \in (0, h_0]$
- For $i = 1, \dots, N$:

$$\lim_{h \rightarrow 0} e_i^h = e_i$$

Remark 2.2. A consequence of this Lemma is:

$$\liminf_{h \rightarrow 0} e_i^h \geq 0$$

for $i \geq N + 1$. In other words, the eigenvalues of H_0^h , with number $i \geq N + 1$, are asymptotically embedded in the continuous spectrum of H_0 .

The proof of this lemma is based on the exponential decay of eigenfunctions given in Appendix B. The estimates are written with weight functions involving Agmon distances which proprieties are recalled in Appendix A.

Proof. The states corresponding to energies below ε are exponentially decaying outside the support ω^h of U^h , therefore the behaviour of the eigenvalues of H_0^h is well described by the comparison with the operator considered on the whole space, \tilde{H}_0^h , defined as follows:

$$\tilde{H}_0^h = -h^2 \frac{d^2}{dx^2} + U^h; \quad D(\tilde{H}_0^h) = H^2(\mathbb{R}) \quad (2.6)$$

The operator \tilde{H}_0^h is unitary equivalent to the operator H_0 through the unitary map on $L^2(\mathbb{R})$:

$$\tilde{\phi}(x) = h^{\frac{1}{2}} \phi(hx + x_0)$$

and (2.1) implies that:

$$\sigma(\tilde{H}_0^h) = \{e_1, \dots, e_N\} \cup [0, +\infty)$$

We will denote by ϕ_i^h and $\tilde{\phi}_i^h$ the normalized eigenvectors of H_0^h and \tilde{H}_0^h respectively.

- Introduce the family: $u_i^h \in D(H_0^h)$ defined by

$$u_i^h = \chi \tilde{\phi}_i^h, \quad \text{for } i = 1, \dots, N \quad (2.7)$$

where $\chi \in C_0^\infty(\mathbb{R})$ is such that: $\chi = 1$ on $B(x_0, \frac{R}{2})$ and $\chi = 0$ on $\mathbb{R} \setminus B(x_0, R)$ for a radius $R > 0$ verifying $B(x_0, R) \subset \Omega$.

We have the following classical inequality for self-adjoint operators, which can be found in [8]:

$$\|u_i^h\|_{L^2(\Omega)} d(e_i, \sigma(H_0^h)) \leq \|(H_0^h - e_i)u_i^h\|_{L^2(\Omega)}.$$

We refer to [5] to see that estimation (B.1) can be extended to the whole space where the weight function is given by the Agmon distance on \mathbb{R} related to the potential $(U^h - \varepsilon)$. Then inequality (A.2), for $\Omega = \mathbb{R}$, gives

$$h^2 \int_{\mathbb{R}} \left| e^{c_0 \frac{|x-x_0|}{h}} \nabla \tilde{\phi}_i^h \right|^2 dx + \int_{\mathbb{R}} \left| e^{c_0 \frac{|x-x_0|}{h}} \tilde{\phi}_i^h \right|^2 dx \leq C \quad (2.8)$$

and it follows that $\|u_i^h\|_{L^2(\Omega)}$ is bounded from below. Indeed, we have:

$$\begin{aligned} \|u_i^h\|_{L^2(\Omega)}^2 &= \int_{B(x_0, R/2)} |\tilde{\phi}_i^h|^2 dx + \int_{\mathbb{R} \setminus B(x_0, R/2)} |\chi|^2 |\tilde{\phi}_i^h|^2 dx \\ &= \int_{\mathbb{R}} |\tilde{\phi}_i^h|^2 dx + \int_{\mathbb{R} \setminus B(x_0, R/2)} (|\chi|^2 - 1) |\tilde{\phi}_i^h|^2 dx = 1 + \int_{\mathbb{R} \setminus B(x_0, R/2)} (|\chi|^2 - 1) |\tilde{\phi}_i^h|^2 dx \end{aligned}$$

And, the decay estimate (2.8) implies that

$$\begin{aligned} \left| \int_{\mathbb{R} \setminus B(x_0, R/2)} (|\chi|^2 - 1) |\tilde{\phi}_i^h|^2 dx \right| &\leq (\|\chi\|_{L^\infty}^2 + 1) \int_{\mathbb{R} \setminus B(x_0, R/2)} e^{-2c_0|x-x_0|/h} |e^{c_0|x-x_0|/h} \tilde{\phi}_i^h|^2 dx \\ &\leq C \int_{\mathbb{R}} |e^{c_0|x-x_0|/h} \tilde{\phi}_i^h|^2 dx e^{-c_0R/h} \leq C' e^{-c_0R/h} \end{aligned}$$

which leads to

$$\|u_i^h\|_{L^2(\Omega)} \geq \frac{1}{2}, \quad \forall h \in (0, h_0]$$

for h_0 small enough. Then, a direct computation gives:

$$(H_0^h - e_i)u_i^h = -h^2 \chi'' \tilde{\phi}_i^h - 2h^2 \chi' (\tilde{\phi}_i^h)'$$

The r.h.s. in the previous equality being supported in a region where $\tilde{\phi}_i^h$ is exponentially decaying, the estimate (2.8) gives:

$$\|(H_0^h - e_i)u_i^h\|_{L^2(\Omega)} \leq C e^{-\frac{\gamma}{h}} \quad (2.9)$$

where $C, \gamma > 0$ doesn't depend on i and h .

We deduce that for $i = 1, \dots, N$

$$d(e_i, \sigma(H_0^h)) \xrightarrow{h \rightarrow 0} 0$$

It implies $N^h \geq N$ for all $h \in (0, h_0]$ and h_0 small. Then, it's enough to show that $N^h \leq N$ to conclude.

• For $i = 1, \dots, N^h$, if we set $v_i^h = \chi \phi_i^h \in D(\tilde{H}_0^h)$ using (B.4), we can reproduce the calculation of the first point to obtain

$$\|(\tilde{H}_0^h - e_i^h)v_i^h\|_{L^2(\mathbb{R})} \leq C e^{-\frac{\gamma}{h}} \quad (2.10)$$

Now, let $I = [-\|U\|_{L^\infty}, \varepsilon]$ and $a > 0$ be small enough to have

$$\sigma(\tilde{H}_0^h) \cap ((I + B(0, 2a)) \setminus I) = \emptyset.$$

We consider the vector space E spanned by $v_1^h, \dots, v_{N^h}^h$ and the spectral subspace F corresponding to $\sigma(\tilde{H}_0^h) \cap I$. Estimation (B.4) implies that the matrix $M = ((v_i^h, v_j^h)_{L^2(\mathbb{R})})_{1 \leq i, j \leq N^h}$ verifies

$$M = I + \mathcal{O}(e^{-\frac{\gamma}{h}}) \quad (2.11)$$

when $h \rightarrow 0$. As it will be clarified in Remark 2.3, equation (2.11) implies that the v_i^h are linearly independant. If we consider in addition equation (2.10), the conditions for the application of Theorem 2.4 in [9] are gathered and the distance $d(E, F)$ (definition given in [9]) is estimated by

$$d(E, F) \leq \left(\frac{N^h}{\lambda_{\min}} \right)^{\frac{1}{2}} \frac{C e^{-\frac{\gamma}{h}}}{a}$$

where λ_{\min} is the smallest eigenvalue of M . We deduce from (2.11) that $\lambda_{\min} = 1 + o(1)$, and using (2.5), we get:

$$d(E, F) \leq C(N^h)^{\frac{1}{2}} e^{-\frac{\gamma}{h}} \leq C h^{-\frac{1}{2}} e^{-\frac{\gamma}{h}} \leq \frac{1}{2}$$

for all $h \in (0, h_0]$ and h_0 small enough. This last condition allows us to state that the projection $\Pi_F|_E : E \rightarrow F$ is injective (e.g. in Lemma 1.3 in [9]), from which the condition $N^h \leq N$ follows. ■

Remark 2.3. The matrix $M := ((v_i^h, v_j^h))$ verifies $M = I + \mathcal{O}(e^{-\frac{\gamma}{h}})$ for a constant $\gamma > 0$ where the asymptotics \mathcal{O} is considered with respect to the norm

$$\|A\|_{\Delta} = \max_{i,j} |a_{ij}|$$

This implies $M \in GL_{N^h}(\mathbb{C})$ and the linear independence of the v_i^h . Indeed, remarking that (see in [7])

$$\forall A \in \mathbb{C}^{N^h \times N^h}, \quad \|A\|_2 \leq N^h \|A\|_\Delta$$

where

$$\|A\|_2 = \sup_{x \neq 0} \frac{\|Ax\|_2}{\|x\|_2}, \quad \|x\|_2 = \left(\sum_i x_i^2 \right)^{\frac{1}{2}}$$

we obtain $\|M-I\|_2 \leq Ch^{-1}e^{-\frac{\gamma}{h}} < 1, \forall h \in (0, h_0]$ for h_0 small. The inequality $\|AB\|_2 \leq \|A\|_2 \|B\|_2$ implies that the sequence $S := \sum_{n \geq 0} (-1)^n (M-I)^n$ converges and verifies:

$$SM = MS = I$$

and the matrix M is invertible. Now, suppose there exists $\lambda = (\lambda_i)_i$ such that $\sum_i \lambda_i v_i^h = 0$, then $\lambda^T M = 0$ and $\lambda = 0$.

The asymptotics $\lambda_{min} = 1 + o(1)$, where λ_{min} is the smallest eigenvalue of M , is given by the perturbation estimate for eigenvalues

$$|\lambda_n(M) - 1| \leq \|M - I\|_2, \quad \sigma(M) = \{\lambda_n(M), 1 \leq n \leq N^h\}$$

which can be found in [7]. As $\|M - I\|_2 \leq N^h \|M - I\|_\Delta \leq Ce^{-\frac{\gamma}{2h}}, \forall h \in (0, h_0]$, we get:

$$\lambda_{min} = 1 + \mathcal{O}(e^{-\frac{\gamma}{2h}})$$

3 Preliminary results for the Schrödinger-Poisson system

We give some apriori estimates for our Schrödinger-Poisson system (1.4) - (1.7). The results presented below are also true in dimension $d \leq 3$ as it appears in [6].

From the lower bound

$$-\frac{d^2}{dx^2} V^h \geq 0 \quad \text{in } \Omega$$

with homogeneous Dirichlet boundary conditions, the maximum principle implies

$$V^h \geq 0 \quad \text{in } \Omega \tag{3.1}$$

Thus, V^h defines a positive perturbation of the Hamiltonian H_0^h given by (2.3). The spectra of H_0^h is bounded from below by the norm $\|U\|_{L^\infty(\mathbb{R})}$ and we can state

$$\inf \{\varepsilon_i^h\}_{i \geq 1} \geq -\|U\|_{L^\infty} . \tag{3.2}$$

Due to the definition of the source term (1.5), V^h is generated by those energy levels ε_i^h placed below the cut off ε_S of the characteristic function f . In order to study the semiclassical behaviour of our system, we are interested into the spectral properties of the Hamiltonian

$$H^h = -h^2 \frac{d^2}{dx^2} + U^h + V^h, \quad D(H^h) = H^2 \cap H_0^1(\Omega) \tag{3.3}$$

in the spectral interval $[-\|U\|_{L^\infty}, \varepsilon_S)$, as $h \rightarrow 0$. In particular, a uniform bound for the number of eigenvalues $\varepsilon_i^h \in [-\|U\|_{L^\infty}, \varepsilon_S)$ as $h \rightarrow 0$ is required. As noticed above, the operator H^h is obtained as a positive perturbation of H_0^h through the Poisson potential V^h . Then, the minimax principle implies that

$$e_i^h \leq \varepsilon_i^h, \quad \forall i \geq 1 \tag{3.4}$$

and the Lemma 2.1 leads to the following result.

Lemma 3.1. *Let N be the integer given by (2.1), then $\forall h \in (0, h_0]$*

$$\# (\sigma(H_0^h) \cap [-\|U\|_{L^\infty}, \varepsilon_S]) \leq N \quad (3.5)$$

$$\# (\sigma(H^h) \cap [-\|U\|_{L^\infty}, \varepsilon_S]) \leq N \quad (3.6)$$

where $\sigma(H)$ denotes the spectrum of H .

We recall the variational formulation, given in [13] in dimension $d \leq 3$, of the Schrödinger-Poisson problem (1.4) - (1.7). Rephrasing the results of this work for our system, we can state that the solution to the equation (1.4) - (1.7) is equivalent to the minimization problem

$$\inf_{V \in H_0^1(\Omega)} J(V); \quad J(V) = \frac{1}{2} \int_{\Omega} |V'(x)|^2 dx + Tr [F(H^h(V))], \quad (3.7)$$

where F is the positive function

$$F(x) = \int_x^{+\infty} f(s) ds,$$

while the Hamiltonian $H^h(V)$ is given by

$$H^h(V) = -h^2 \frac{d^2}{dx^2} + U^h + V, \quad D(H^h(V)) = H^2 \cap H_0^1(\Omega).$$

Moreover, the function $J(V)$ is Fréchet- C^∞ w.r.t. V , strictly convex and coercive on $H_0^1(\Omega)$ and (3.7) admits a unique solution in this space. The following Proposition is a direct consequence of this result.

Proposition 3.2. *The solution to the Schrödinger-Poisson problem (1.4) - (1.7) is bounded in $H_0^1(\Omega)$ uniformly with respect to h .*

Proof. From the variational formulation recalled above, the solution V^h is the minimum of the convex map $J(V)$, therefore we have

$$\frac{1}{2} \int_{\Omega} |(V^h)'(x)|^2 dx + Tr [F(H^h(V^h))] \leq J(0) = Tr [F(H^h(0))],$$

where $H^h(V^h)$ simply coincides with the Hamiltonian H^h , while $H^h(0)$ can be identified with H_0^h defined in (2.3). The relation

$$Tr [F(H^h(V^h))] = \sum_{i=1}^N F(\varepsilon_i^h) \geq 0,$$

with N given in Lemma 3.1, implies

$$\|V^h\|_{H_0^1(\Omega)}^2 \leq 2Tr [F(H_0^h)]. \quad (3.8)$$

The explicit expression of the r.h.s. here is

$$Tr [F(H_0^h)] = \sum_{i=1}^N F(e_i^h).$$

The result easily follows by combining (3.8) with the inequality

$$\sum_{i=1}^N F(e_i^h) \leq N \sup_{x \in [-\|U\|_{L^\infty}, \varepsilon_S]} F < \infty.$$

■

Next we use the assumption (1.10) and Lemma 2.1 to get uniform upper bound for the first spectral point of H^h as $h \rightarrow 0$.

Lemma 3.3. For h_0 small enough, the condition

$$\varepsilon_1^h < \varepsilon_S \quad (3.9)$$

holds for all $h \in (0, h_0]$.

Proof. We use a reductio ad absurdum argument. Let $\bar{h} \in (0, h_0]$ be such that $\varepsilon_1^{\bar{h}} \geq \varepsilon_S$. It follows from (1.2) and from the definition (1.5) that the corresponding charge density, $n[V^{\bar{h}}]$, and, then, the Poisson potential $V^{\bar{h}}$ are null in Ω . In these conditions the Hamiltonians $H^{\bar{h}}$ and $H_0^{\bar{h}}$ coincide and we have

$$\varepsilon_1^{\bar{h}} = e_1^{\bar{h}} \geq \varepsilon_S. \quad (3.10)$$

On the other hand, as it follows from Lemma 2.1, we have $e_1^h \rightarrow \inf \sigma(H_0)$ when $h \rightarrow 0$. Then from the assumption (1.10), the condition

$$e_1^{\bar{h}} < \varepsilon_S \quad (3.11)$$

definitely holds for $\bar{h} \rightarrow 0$, which is in contradiction with (3.10). ■

4 The semi-classical limit

In this section, the asymptotic behaviour of the Schrödinger-Poisson system (1.4) - (1.7) is described. Contrary to the other sections, the results presented here can not be extended to higher dimensions. Therefore, we will use the notation $(0, L)$ introduced in Section 1 to design the open domain Ω .

Due to (1.2), only a finite number of eigenvectors contributes to the density and therefore $n[V^h] = \sum_{i \geq 1} f(\varepsilon_i^h) |\Psi_i^h|^2$ belongs to $L^1(0, L)$ as a finite sum of L^1 functions. Then, taking into account the embedding $H^1(0, L) \subset C(0, L)$ in dimension 1, the accurate function space for the potential is:

$$BV_0^2(0, L) := \{V \in C(0, L); V'' \in \mathcal{M}_b(0, L), V(0) = V(L) = 0\}$$

The space $BV_0^2(0, L)$ with the norm:

$$\|V\|_{BV} := \|V\|_{C(0, L)} + \|V''\|_m$$

is a Banach space. Here $\|\mu\|_m = |\mu|(0, L)$ is the strong norm of the bounded measure μ which is equal to the L^1 norm when $\mu \in L^1(0, L)$.

We have the following continuous injections which will be useful in this work (e.g. in [3], [4]): $\forall \alpha \in (0, 1)$

$$BV_0^2(0, L) \hookrightarrow W^{1, \infty}(0, L), \quad BV_0^2(0, L) \subset\subset C^{0, \alpha}(0, L) \quad (4.1)$$

where the second injection is compact. The continuous injection $BV_0^2(0, L) \hookrightarrow W^{1, \infty}(0, L)$ doesn't present real difficulties, the distribution function of a bounded measure being regular. The second injection is a consequence of the compact embedding $W^{1, \infty}(0, L) \subset\subset C^{0, \alpha}(0, L)$.

We can already give some apriori estimates for the potential and the density.

Proposition 4.1. *The density $(n[V^h])_{h \in (0, h_0]}$ is bounded in $\mathcal{M}_b(0, L)$. The potential $(V^h)_{h \in (0, h_0]}$ is bounded in $W^{1, \infty}(0, L)$ and relatively compact in $C^{0, \alpha}(0, L)$ for any $\alpha \in (0, 1)$.*

Proof. We start looking for an estimate on the density. Using the normalization condition (1.8), we have:

$$\begin{aligned} \|n[V^h]\|_m &= \int_0^L n[V^h] dx = \sum_{i \geq 1} f(\varepsilon_i^h) \|\Psi_i^h\|_{L^2(0, L)}^2 \\ &\leq N^h \sup_{x \in [-\|U\|_{\infty}, \varepsilon_S]} f(x) \end{aligned}$$

where N^h denotes the number of eigenvalues of H^h below ε_S . According to Lemma 3.1, we have $N^h = \mathcal{O}(1)$ when h tends to 0 and the density is bounded in $\mathcal{M}_b(0, L)$. For what concerns the potential V^h , the H_0^1 bound provided by Proposition 3.2 and the continuous embedding $H_0^1(0, L) \hookrightarrow C(0, L)$ implies

$$\|V^h\|_{C(0, L)} \leq C, \quad \forall h \in (0, h_0]$$

Then, it follows from the Poisson equation $-(V^h)'' = n[V^h]$ and the bound on the density that

$$\|(V^h)''\|_m = \|n[V^h]\|_m \leq C, \quad \forall h \in (0, h_0]$$

We obtain that $(V^h)_{h \in (0, h_0]}$ is bounded in $BV_0^2(0, L)$ and (4.1) allows to conclude. ■

The density $(n[V^h])_{h \in (0, h_0]}$ being bounded in $\mathcal{M}_b(0, L)$, it is relatively compact in the weak* topology. Therefore, we deduce from Proposition 4.1 that for any $0 < \alpha < 1$, it is possible to extract from any infinite set $S \subset (0, h_0]$, which has 0 as an accumulation point, a countable subset D such that $0 \in \overline{D}$ and:

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} (n[V^h] - \mu, \varphi) = 0, \quad \forall \varphi \in C([0, L]) \quad (4.2)$$

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} \|V^h - V_0\|_{0, \alpha} = 0, \quad (4.3)$$

for some $\mu \in \mathcal{M}_b(0, L)$ and $V_0 \in C^{0, \alpha}(0, L)$. Here, $\|\cdot\|_{0, \alpha}$ is the usual norm on the Hölder space $C^{0, \alpha}(0, L)$. Then we have the following result on the convergence of the spectrum of H^h :

Lemma 4.2. *Consider $0 < \alpha < 1$, $D \subset (0, h_0]$ s.t. $0 \in \overline{D}$, and $V_0 \in C^{0, \alpha}(0, L)$ verifying (4.3). If $\theta = V_0(x_0)$ and N_1 is the greatest integer such that $e_{N_1} + \theta < 0$, then:*

- $\theta \geq 0$ and $N_1 \geq 1$

- For $i = 1, \dots, N_1$

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} \varepsilon_i^h = e_i + \theta$$

- For $i \geq N_1 + 1$

$$\liminf_{\substack{h \rightarrow 0 \\ h \in D}} \varepsilon_i^h \geq 0$$

The proof of this lemma is similar to the proof of Lemma 2.1 where the nonlinearity have to be considered as it is done in [15] and [6] in the two dimensional case.

Proof. The asymptotics of the ε_i^h is given by the comparison with the Hamiltonian:

$$\tilde{H}_\theta^h = -h^2 \frac{d^2}{dx^2} + U^h + \theta, \quad D(\tilde{H}_\theta^h) = H^2(\mathbb{R})$$

The operator \tilde{H}_θ^h is unitary equivalent to H_θ defined by:

$$H_\theta = -\frac{d^2}{dx^2} + U + \theta, \quad D(H_\theta) = H^2(\mathbb{R})$$

and therefore:

$$\sigma(\tilde{H}_\theta^h) = \{e_1^\theta, \dots, e_{N_1}^\theta\} \cup [\theta, +\infty[$$

where $e_i^\theta := e_i + \theta$. We note that the normalized eigenvectors of \tilde{H}_θ^h are the $\tilde{\phi}_i^h$ introduced in the proof of Lemma 2.1.

The $W^{1, \infty}$ bound obtained in Proposition 4.1 implies that there exists a constant $C > 0$ such that $\forall h \in (0, h_0]$:

$$|V^h(x) - V^h(y)| \leq C|x - y|, \quad \forall x, y \in \Omega \quad (4.4)$$

and the condition (4.3) gives:

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} |V^h(x_0) - \theta| = 0 \quad (4.5)$$

The previous equations are important in our nonlinear framework. Indeed, they asymptotically enable to consider V^h as a constant on a domain outside which the modes of interest are exponentially decaying.

Note that $\theta \geq 0$ is a direct consequence of (3.1) and (4.5).

- Set $\varepsilon \in (-\|U\|_{L^\infty}, 0)$ and define:

$$N^h = \#(\sigma(H^h) \cap (-\infty, \varepsilon]) \quad (4.6)$$

We introduce the family: $v_i^h = \chi \Psi_i^h \in D(\tilde{H}_\theta^h)$, for $i = 1, \dots, N^h$, where $\chi \in C_0^\infty(\mathbb{R})$ is s.t. $\chi = 1$ on $B(x_0, \frac{R}{2})$ and $\chi = 0$ on $\mathbb{R} \setminus B(x_0, R)$ for a radius $R > 0$ verifying $B(x_0, R) \subset \Omega$.

As seen in the proof of Lemma 2.1, the exponential decay (B.4) for Ψ_i^h implies that it is enough to estimate $(\tilde{H}_\theta^h - \varepsilon_i^h)v_i^h$ to get

$$d(\varepsilon_i^h, \sigma(\tilde{H}_\theta^h)) \mathbf{1}_{i \leq N^h} \xrightarrow{h \rightarrow 0, h \in D} 0 \quad (4.7)$$

Now we have

$$(\tilde{H}_\theta^h - \varepsilon_i^h)v_i^h = -h^2 \chi'' \Psi_i^h - 2h^2 \chi' (\Psi_i^h)' - \chi(V^h - \theta) \Psi_i^h$$

The function χ being regular with derivatives supported where the eigenfunction is exponentially decaying, we have:

$$\|h^2 \chi'' \Psi_i^h + 2h^2 \chi' (\Psi_i^h)'\|_{L^2(\mathbb{R})} \leq C e^{-\frac{\gamma}{h}}$$

for $C, \gamma > 0$. Next, we define $R^h = R h \ln \frac{1}{h}$ and we suppose that h_0 is small enough so that $B(x_0, R^h) \subset \Omega$. Proposition 4.1 implies that the potential V^h is bounded in $L^\infty(\Omega)$, therefore, the application of the estimate (B.4) leads to:

$$\begin{aligned} \|\chi(V^h - \theta) \Psi_i^h\|_{L^2(\mathbb{R})}^2 &\leq \|\chi\|_{L^\infty(\mathbb{R})}^2 \left(\int_{B(x_0, R^h)} |(V^h - \theta) \Psi_i^h|^2 dx + \int_{\Omega \setminus B(x_0, R^h)} |(V^h - \theta) \Psi_i^h|^2 dx \right) \\ &\leq C \left(\|V^h - \theta\|_{L^\infty(B(x_0, R^h))}^2 + \int_{\Omega} |e^{\frac{c_0|x-x_0|}{h}} \Psi_i^h|^2 dx e^{-2c_0 \frac{R^h}{h}} \right) \\ &\leq C \|V^h - \theta\|_{L^\infty(B(x_0, R^h))}^2 + \mathcal{O}(e^{-2c_0 \ln \frac{1}{h}}) \end{aligned}$$

On the other hand, we deduce from equations (4.4) and (4.5) that $\forall x \in B(x_0, R^h)$

$$|V^h(x) - \theta| \leq |V^h(x) - V^h(x_0)| + |V^h(x_0) - \theta| \leq Ch \ln \frac{1}{h} + o(1)$$

when $h \rightarrow 0$, $h \in D$. Here, the asymptotics $o(1)$ doesn't depend on x and therefore

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} \|\chi(V^h - \theta) \Psi_i^h\|_{L^2(\mathbb{R})} \mathbf{1}_{i \leq N^h} = 0.$$

We remark then that a function $\alpha(h)$ independant of i can be found such that $\alpha(h) \rightarrow 0$ when $h \rightarrow 0$ and

$$\|(\tilde{H}_\theta^h - \varepsilon_i^h)v_i^h\|_{L^2(\mathbb{R})} \mathbf{1}_{i \leq N^h} \leq \alpha(h), \quad \forall h \in D. \quad (4.8)$$

and (4.7) follows.

According to Lemma 3.3, we have $\varepsilon_1^h < \varepsilon_S$, therefore the application of (4.7) with $\varepsilon = \varepsilon_S$ implies $\varepsilon_1^\theta < 0$ and $N_1 \geq 1$. This provides the first point of the Lemma.

- Consider the family $u_i^h \in D(H^h)$ given by (2.7). Then, for $i = 1, \dots, N_1$, we have:

$$(H^h - e_i^\theta)u_i^h = -h^2\chi''\tilde{\phi}_i^h - 2h^2\chi'(\tilde{\phi}_i^h)' + \chi(V^h - \theta)\tilde{\phi}_i^h$$

Using the exponential decay estimate (2.8) for $\tilde{\phi}_i^h$, the same computations as in the previous point can be performed to obtain

$$d(e_i^\theta, \sigma(H^h)) \xrightarrow{h \rightarrow 0, h \in D} 0 \quad (4.9)$$

In what follows, we set $\varepsilon \in (e_{N_1}^\theta, 0)$ and N^h the corresponding integer given by relation (4.6). From Lemma 2.1, we have $N^h = \mathcal{O}(1)$. Then, taking into account the results (4.7) and (4.9) above, we can already deduce that the following statement holds: for all $\delta > 0$ small, $\exists h_0$ s.t. $\forall h \in D \cap (0, h_0]$ we have, even if the numerotation of the eigenvalues has to be changed,

$$\varepsilon_1^h, \dots, \varepsilon_{N_k}^h \in [e_k^\theta - \delta, e_k^\theta + \delta] \quad \text{for } k = 1, \dots, N_1 \quad (4.10)$$

where $N_k \geq 1$ is an integer depending on h such that $\sum_{k=1}^{N_1} N_k = N^h$. This implies $N^h \geq N_1$ and if we obtain $N^h \leq N_1$, $\forall h \in D \cap (0, h_0]$, the proof will be completed.

- Consider $I = [-\|U\|_{L^\infty}, \varepsilon]$ and $a > 0$ small enough so that

$$\sigma(\tilde{H}_\theta^h) \cap ((I + B(0, 2a)) \setminus I) = \emptyset.$$

Let E be the vector space spanned by $v_1^h, \dots, v_{N^h}^h$ and F the spectral subspace associated to $\sigma(\tilde{H}_\theta^h) \cap I$. From the estimate (B.4), the matrix $M = ((v_i^h, v_j^h)_{L^2(\mathbb{R})})_{1 \leq i, j \leq N^h}$ verifies

$$M = I + \mathcal{O}(e^{-\frac{\gamma}{h}})$$

when $h \rightarrow 0$ and the v_i^h are linearly independant. Then, equation (4.8) and Theorem 2.4 in [9] give

$$d(E, F) \leq \left(\frac{N^h}{\lambda_{min}} \right)^{\frac{1}{2}} \frac{\alpha(h)}{a}, \quad \forall h \in D$$

where λ_{min} is the smallest eigenvalue of M . As we already noticed, $N^h = \mathcal{O}(1)$ and therefore:

$$d(E, F) \leq C\alpha(h) \leq \frac{1}{2}$$

for all $h \in D \cap (0, h_0]$ with h_0 small enough. Then, Lemma 1.3 in [9] gives $N^h \leq N_1$. ■

In what follows, we give, for a set D verifying (4.2) and (4.3), the limit problem when $h \rightarrow 0$. Then the unicity of the limit allows to determine the asymptotics of the solution of (1.4) - (1.7) when $h \rightarrow 0$.

Proposition 4.3. *Consider $0 < \alpha < 1$, $D \subset (0, h_0]$ s.t. $0 \in \overline{D}$, $\mu \in \mathcal{M}_b(0, L)$ and $V_0 \in C^{0,\alpha}(0, L)$ verifying (4.2) and (4.3). Then, we have*

$$\mu = \sum_{i \geq 1} f(e_i + V_0(x_0))\delta_{x_0} \quad (4.11)$$

and the potential V_0 is solution of the problem

$$\begin{cases} -\frac{d^2}{dx^2}V_0 = \mu, & (0, L) \\ V_0(0) = V_0(L) = 0 \end{cases} \quad (4.12)$$

Proof. Set $\theta = V_0(x_0)$ and take $\varepsilon \in (e_{N_1} + \theta, 0)$ where N_1 is the integer defined in Lemma 4.2. Then, from Lemma 4.2, we have for h_0 small enough:

$$\#(\sigma(H^h) \cap (-\infty, \varepsilon]) = N_1, \quad \forall h \in D \cap (0, h_0]$$

and the density can be written as follows:

$$\sum_{i=1}^{N_1} f(\varepsilon_i^h) |\Psi_i^h|^2$$

where $\varepsilon_i^h \leq \varepsilon$. Using the normalization (1.8) and the exponential decay estimates, we get that $|\Psi_i^h|^2 dx$ is of mass 1 and concentrates around x_0 . It implies that for $i = 1, \dots, N_1$

$$|\Psi_i^h|^2 dx \xrightarrow{h \rightarrow 0, h \in D} \delta_{x_0} \quad (4.13)$$

for the weak* topology on the space of bounded measures on $(0, L)$. Indeed, for $i = 1, \dots, N_1$ we can apply the estimate (B.4) to write

$$\begin{aligned} \left| \int_0^L |\Psi_i^h|^2 \varphi dx \right| &\leq \int_{\text{supp } \varphi} |\varphi| e^{-2c_0|x-x_0|/h} \left| e^{c_0|x-x_0|/h} \Psi_i^h \right|^2 dx \\ &\leq \|\varphi\|_{L^\infty} \left\| e^{c_0|x-x_0|/h} \Psi_i^h \right\|_{L^2(0,L)}^2 \sup_{x \in \text{supp } \varphi} e^{-2c_0|x-x_0|/h} \leq C \|\varphi\|_{L^\infty} \sup_{x \in \text{supp } \varphi} e^{-2c_0|x-x_0|/h} \end{aligned}$$

for any $\varphi \in L^\infty(0, L)$ and $\forall h \in D \cap (0, h_0]$. It implies that, when $\text{supp } \varphi$ is a compact set in $(0, L) \setminus \{x_0\}$, there exists $c_\varphi > 0$ such that

$$\left| \int_0^L |\Psi_i^h|^2 \varphi dx \right| \leq C \|\varphi\|_{L^\infty} e^{-c_\varphi/h}, \quad \forall h \in D \cap (0, h_0]$$

By taking the limit as $h \rightarrow 0$, we get

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} \left| \int_0^L |\Psi_i^h|^2 \varphi dx \right| = 0$$

for all continuous function $\varphi \in C([0, L])$ with $\text{supp } \varphi \subset (0, L) \setminus \{x_0\}$.

We recall that Lemma 4.2 also gives for $i = 1, \dots, N_1$:

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} \varepsilon_i^h = e_i + \theta$$

Therefore, from the unicity of the limit (4.2), we get the result (4.11) using the convergence (4.13), the continuity of f and the convention (2.2).

On the other hand, the convergence (4.2), (4.3) is valid in $\mathcal{D}'(0, L)$:

$$\lim_{\substack{h \rightarrow 0 \\ h \in D}} (n[V^h] - \mu, \varphi) = 0, \quad \lim_{\substack{h \rightarrow 0 \\ h \in D}} (V^h - V_0, \varphi) = 0, \quad \forall \varphi \in C_0^\infty(0, L)$$

From the Poisson equation $-\frac{d^2}{dx^2} V^h = n[V^h]$, $\forall h \in (0, h_0]$ and the continuity of the derivative on $\mathcal{D}'(0, L)$, we get

$$-\frac{d^2}{dx^2} V_0 = \mu, \quad \mathcal{D}'(0, L)$$

As a consequence of (4.3), the potential V^h tends to V_0 strongly in $C^{0,\alpha}(0, L)$ and the boundary conditions appearing in the problem (4.12) follow from

$$V_0(x) = \lim_{\substack{h \rightarrow 0 \\ h \in D}} V^h(x)$$

for $x = 0$ and $x = L$. ■

We conclude this Section giving the proof of Theorem 1.1.

Proof of Theorem 1.1. First, we recall that the $W^{1,\infty}(0, L)$ bound for the potential was obtained in Proposition 4.1.

Next, let α be a constant in $(0, 1)$ and consider $D \subset (0, h_0]$ s.t. $0 \in \overline{D}$, $\mu \in \mathcal{M}_b(0, L)$ and $V_0 \in C^{0,\alpha}(0, L)$ verifying (4.2) and (4.3). As a consequence of Proposition 4.3, the potential V_0 verifies the problem (4.11)(4.12), and it can be computed explicitly as a function of $\theta := V_0(x_0)$ by solving the following transmission problem:

$$\begin{cases} -(V_0)'' = 0, & (0, x_0) \cup (x_0, L) \\ V_0(0) = V_0(L) = 0 \end{cases}$$

with the jump conditions:

$$\begin{cases} V_0(x_0^-) = V_0(x_0^+) \\ V_0'(x_0^+) - V_0'(x_0^-) = -(\sum_{i \geq 1} f(e_i + \theta)) \end{cases}$$

The formula (1.11) for V_0 follows. Then, equation (1.11) considered at $x = x_0$ implies that the value θ of the potential V_0 at x_0 , is solution of:

$$\theta - x_0(1 - \frac{x_0}{L}) \sum_{i \geq 1} f(e_i + \theta) = 0 \quad (4.14)$$

According to the first point in Lemma 4.2, we have $V_0(x_0) \geq 0$ and we are interested in non negative solutions of (4.14). If we call $G(\theta)$ the l.h.s. of this equation, we remark that G is a continuous, strictly increasing function on $[0, +\infty)$ and such that $\lim_{\theta \rightarrow +\infty} G(\theta) = +\infty$. Therefore, it defines a bijection from $[0, +\infty)$ to $[G(0), +\infty)$. We have $G(0) = -x_0(1 - \frac{x_0}{L}) \sum_{i \geq 1} f(e_i) < 0$ and $G(\varepsilon_S - e_1) = \varepsilon_S - e_1 > 0$ by the assumption (1.10). We deduce that there exists a unique value $\theta \geq 0$ solving (4.14), moreover, it verifies $\theta \in (0, \varepsilon_S - e_1)$.

It follows that the function V_0 (resp. μ) verifying (4.3) (resp. (4.2)) is given in an unique way by (1.11) (resp. (1.12)) where θ is the positive solution of (4.14). This gives the convergence results announced in our theorem.

Indeed, suppose that the convergence doesn't occur. Then, there exists a function $\varphi_0 \in C([0, L])$, a constant $\varepsilon > 0$ and a set $S \subset (0, h_0]$ s.t. $0 \in \overline{S}$ verifying:

$$\|V^h - V_0\|_{0,\alpha} + |(n[V^h] - \mu, \varphi_0)| \geq \varepsilon, \quad \forall h \in S \quad (4.15)$$

By applying Proposition 4.1, we can extract a set $D \subset S$ s.t. $0 \in \overline{D}$ and (4.2), (4.3) are verified for some functions $\tilde{\mu} \in \mathcal{M}_b(0, L)$ and $\tilde{V}_0 \in C^{0,\alpha}(0, L)$. Then, according to the previous uniqueness result $\tilde{\mu} = \mu$ and $\tilde{V}_0 = V_0$ and we get a contradiction comparing (4.2), (4.3) with (4.15). ■

Remark 4.4. In the proof of Theorem 1.1 above, we have shown that the solution θ of (4.14) is in the interval $(0, \varepsilon_S - e_1)$. The condition $\theta \geq 0$ implies that the sum $\sum_{i \geq 1} f(e_i + \theta)$ is finite. Although the bound $\theta < \varepsilon_S - e_1$ has no impact on the proof, it gives that $\sum_{i \geq 1} f(e_i + \theta) > 0$, and therefore, that the limit potential V_0 and density μ are not trivial. We deduce that Theorem 1.1 provides a non trivial approximation of the solution V^h of the problem (1.4) - (1.7) in the semi-classical limit $h \rightarrow 0$. As noted in the introduction, it is not the case anymore in dimension $d = 2$ and 3 as it appears in [6].

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A Agmon distance

We will mainly follow [10] and present an additional result in the framework of our problem. Let f be a real-valued function, continuous on a bounded connected set $\Omega \subset \mathbb{R}$.

For given $x, y \in \Omega$, the Agmon distance related to f is:

$$d(x, y) = \int_0^1 (f(\gamma(s)))_+^{\frac{1}{2}} |\gamma'(s)| ds$$

where γ is the segment linking x and y .

We remark that this distance is degenerated: it may happen that $d(x, y) = 0$ with $x \neq y$. However the Agmon distance verifies the following properties: $\forall x, y, z \in \Omega$

$$d(x, y) = d(y, x), \quad d(x, z) \leq d(x, y) + d(y, z)$$

For $y \in \Omega$ fixed, the fonction $x \mapsto d(x, y)$ is Lipschitzian continuous. Then, it is differentiable almost everywhere by Rademacher's Theorem and everywhere $x \mapsto d(x, y)$ is differentiable, we have:

$$|\nabla_x d(x, y)| \leq (f(x))_+^{\frac{1}{2}}$$

For a set $\omega \subset \Omega$, we define:

$$d(x, \omega) = \inf_{y \in \omega} d(x, y)$$

Then, $d(x, \omega)$ has the same regularity as $x \mapsto d(x, y)$ and

$$|\nabla d(x, \omega)| \leq (f(x))_+^{\frac{1}{2}} \quad a.e. \ \Omega \tag{A.1}$$

In our case, we will use the Agmon distance associated to the potential $U^h - \varepsilon$ for a constant $\varepsilon < 0$ which corresponds to taking $f = U^h - \varepsilon$.

In the exponential decay estimates, it will be useful to replace the Agmon distance with the Euclidian distance. To do this, the main point is to remark that for $f = 1$ the Agmon distance, $d(x, y)$, corresponds to the Euclidian one, $|x - y|$.

Lemma A.1. *Let ω^h be the support of the well U^h , then $\exists c_0, c_1 > 0$ such that:*

$$d(x, \omega^h) \geq c_0 |x - x_0| - c_1 h, \quad \forall x \in \Omega \tag{A.2}$$

Proof. Let $x \in \Omega \setminus \omega^h$, $y \in \omega^h$ and consider the segment $\gamma : [0, 1] \rightarrow \Omega$ linking x and y . Then, there exists a unique value $s_0 \in (0, 1]$ s.t. $\gamma(s_0) \in \partial\omega^h$. Defining $y_0 = \gamma(s_0)$, we have:

$$\begin{aligned} \int_0^1 (U^h(\gamma(s)) - \varepsilon)_+^{\frac{1}{2}} |\gamma'(s)| ds &\geq \int_0^{s_0} (U^h(\gamma(s)) - \varepsilon)_+^{\frac{1}{2}} |\gamma'(s)| ds \\ &= |\varepsilon|^{\frac{1}{2}} \int_0^{s_0} |\gamma'(s)| ds = |\varepsilon|^{\frac{1}{2}} |y_0 - x| \end{aligned}$$

Recalling that $\omega^h \subset B(x_0, h)$, it follows:

$$d(x, y) \geq |\varepsilon|^{\frac{1}{2}} (|x - x_0| - h)$$

■

B Exponential decay of eigenfunctions

The exponential decay estimates, also called Agmon estimates, form a standard technical tool in evaluating the rate of decay of eigenfunctions far from the interaction support. In what follows, we apply this technique to the case of the Schrödinger Poisson system with a squeezing quantum well; in particular we give some useful decay estimates for those stationary states related to the energies below some negative energy.

Lemma B.1 (Agmon estimates). *Let ε^h be a spectral point of the Hamiltonian*

$$H^h = -h^2\Delta + U^h + V^h$$

placed below some negative energy: $\varepsilon^h \leq \varepsilon$ where $\varepsilon \in (-\|U\|_{L^\infty}, 0)$. The related normalized eigenvector Ψ^h admits the estimate

$$\left\| h \nabla \left(e^{\phi/h} \Psi^h \right) \right\|_{L^2(\Omega)} + \left\| e^{\phi/h} \Psi^h \right\|_{L^2(\Omega)} \leq C, \quad (\text{B.1})$$

where C is a suitable positive constant, ϕ is the weight function

$$\phi(x) = (1 - \delta) d(x, \omega^h), \quad x \in \Omega$$

δ is a positive parameter smaller than 1, $d(x, y)$ is the Agmon distance introduced in Appendix A, while ω^h is the support of U^h .

Proof. We use the relation (see for instance Theorem 1.1 in [9])

$$h^2 \int_{\Omega} |\nabla(e^{\frac{\phi}{h}} u)|^2 dx + \int_{\Omega} (V - |\nabla\phi|^2) e^{2\frac{\phi}{h}} u^2 dx = \int_{\Omega} e^{2\frac{\phi}{h}} (-h^2\Delta + V) u \cdot u dx$$

Setting $u = \Psi^h$, $\phi = (1 - \delta) d(x, \omega^h)$ and $V = U^h + V^h - \varepsilon^h$, we get

$$h^2 \int_{\Omega} |\nabla(e^{\frac{\phi}{h}} \Psi^h)|^2 dx + \int_{\Omega} (U^h + V^h - \varepsilon^h - |\nabla\phi|^2) e^{2\frac{\phi}{h}} (\Psi^h)^2 dx = 0$$

Next we follow the same line as in Proposition 3.3.1 of [8] and introduce the set

$$\Omega_{\delta}^+ = \{x \in \Omega \mid U^h - \varepsilon \geq \delta\},$$

$$\Omega_{\delta}^- = \{x \in \Omega \mid U^h - \varepsilon < \delta\},$$

where δ is a positive parameter such that $\delta < \min(1, |\varepsilon|)$. As it appears in (3.1), we have $V^h \geq 0$ and therefore

$$\begin{aligned} h^2 \int_{\Omega} |\nabla(e^{\frac{\phi}{h}} \Psi^h)|^2 dx + \int_{\Omega_{\delta}^+} (U^h + V^h - \varepsilon^h - |\nabla\phi|^2) e^{2\frac{\phi}{h}} (\Psi^h)^2 dx &= \int_{\Omega_{\delta}^-} (|\nabla\phi|^2 - (U^h + V^h - \varepsilon^h)) e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \\ &\leq \int_{\Omega_{\delta}^-} (|\nabla\phi|^2 - (U^h - \varepsilon)) e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \leq \int_{\Omega_{\delta}^-} ((U^h - \varepsilon)_+ - (U^h - \varepsilon)) e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \\ &= \int_{\Omega_{\delta}^-} (U^h - \varepsilon)_- e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \leq \|U\|_{L^\infty} \int_{\Omega_{\delta}^-} e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \end{aligned} \quad (\text{B.2})$$

where we used $\varepsilon^h \leq \varepsilon$ and the inequality

$$|\nabla\phi|^2 \leq (1 - \delta)^2 (U^h - \varepsilon)_+, \quad p.p.\Omega \quad (\text{B.3})$$

which follows from (A.1).

On Ω_{δ}^+ , we have $(U^h - \varepsilon)_+ = U^h - \varepsilon$. Using (B.3) again, we obtain that $\forall x \in \Omega_{\delta}^+$

$$\begin{aligned} U^h + V^h - \varepsilon^h - |\nabla\phi|^2 &\geq U^h - \varepsilon - |\nabla\phi|^2 \geq U^h - \varepsilon - (1 - \delta)^2 (U^h - \varepsilon) \\ &= \delta(2 - \delta)(U^h - \varepsilon) \geq \delta^2 \end{aligned}$$

Injecting the result above in (B.2), we get:

$$\|h \nabla(e^{\frac{\phi}{h}} \Psi^h)\|_{L^2(\Omega)}^2 + \delta^2 \int_{\Omega_{\delta}^+} e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \leq \|U\|_{L^\infty} \int_{\Omega_{\delta}^-} e^{2\frac{\phi}{h}} (\Psi^h)^2 dx$$

The domain Ω_δ^- is inside the support of U^h ; therefore, in this region, we have $\phi = 0$ and taking into account the normalization condition $\|\Psi^h\|_{L^2(\Omega)} = 1$, it follows:

$$\|h\nabla(e^{\frac{\phi}{h}}\Psi^h)\|_{L^2(\Omega)}^2 + \delta^2 \int_{\Omega} e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \leq (\|U\|_{L^\infty} + \delta^2) \int_{\Omega_\delta^-} e^{2\frac{\phi}{h}} (\Psi^h)^2 dx \leq \|U\|_{L^\infty} + \delta^2$$

This gives the estimate (B.1). ■

Corollary B.2. *Under the assumptions of Lemma (B.1), the following estimate holds*

$$\left\| h e^{c_0 \frac{|x-x_0|}{h}} \nabla \Psi^h \right\|_{L^2(\Omega)} + \left\| e^{c_0 \frac{|x-x_0|}{h}} \Psi^h \right\|_{L^2(\Omega)} \leq C, \quad (\text{B.4})$$

for suitable positive constants c_0 and C .

Proof. As a direct consequence of the estimate (B.1) and the inequality (A.2), it follows that the L^2 -norm of the function $e^{c_0 \frac{|x-x_0|}{h}} \Psi^h$ is uniformly bounded w.r.t. h .

For what concern the second contribution in (B.4), we notice that

$$e^{c_0 \frac{|x-x_0|}{h} - c_1} |\nabla \Psi^h| \leq \left| e^{\frac{\phi}{h}} \nabla \Psi^h \right| = \left| \nabla \left(e^{\frac{\phi}{h}} \Psi^h \right) - \left(\nabla e^{\frac{\phi}{h}} \right) \Psi^h \right|.$$

The term $\nabla e^{\frac{\phi}{h}}$ at the r.h.s. is pointwise bounded by

$$\left| \nabla e^{\frac{\phi}{h}} \right| \leq \frac{1}{h} (U^h - \varepsilon)_+^{\frac{1}{2}} e^{\frac{\phi}{h}},$$

as it comes from (A.1). Then, using once more the relation (B.1), we obtain

$$e^{-c_1} \left\| h e^{c_0 \frac{|x-x_0|}{h}} \nabla \Psi^h \right\|_{L^2(\Omega)} \leq \left\| h \nabla \left(e^{\frac{\phi}{h}} \Psi^h \right) \right\|_{L^2(\Omega)} + \sup_{x \in \Omega} (U^h - \varepsilon)_+^{\frac{1}{2}} \left\| e^{\frac{\phi}{h}} \Psi^h \right\|_{L^2(\Omega)} \leq C.$$

■

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