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## To cite this version:

Jean-Guillaume Dumas, Dominique Duval, Laurent Fousse, Jean-Claude Reynaud. States and exceptions are dual effects. 2010. hal-00445873v1

## HAL Id: hal-00445873 https://hal.science/hal-00445873v1

Preprint submitted on 11 Jan 2010 (v1), last revised 19 May 2011 (v4)

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# States and exceptions are dual effects 

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January 11., 2010


#### Abstract

Global states and exceptions form two basic computational effects. In this paper it is proved that they can be seen as dual to each other: the lookup and update operations for global states are dual to the raise and handle operations for exceptions, respectively. In order to get this result we use a monad for exceptions and a comonad for global states.


## 1 Introduction

The denotational semantics of languages with computational effects can be expressed in categorical terms, thanks to monads [7]: for instance, global states correspond to the monad on Set with endofuntor $T(X)=$ $(X \times S t)^{S t}$ where $S t$ is the set of states, while exceptions correspond to the monad on Set with endofuntor $T(X)=X+E x c$ where Exc is the set of exceptions. Each computational effect comes with its associated operations: for instance, the operations lookup and update for the states, the operations raise and handle for the exceptions. Another approach is based on Lawvere theories; this point of view is related to monads by an adjunction [6]. However, there are still several issues for designing a categorical semantics for computational effects. One of these issues is that some of the operations associated with the effects are not algebraic, as defined in [9]: for instance, lookup, update and raise are algebraic operations, while handle is not algebraic因回.
A computational effect relies on a kind of translation from a situation where the effect is partially hidden to a situation where it becomes explicit: for instance, there is no explicit type $S$ for states in an imperative language, however the set of states $S t$ does appear explicitly in the semantics for global states. In this paper, we focus on two computational effects: global states and exceptions. First, in section 2, these two effects are treated in an explicit way. We prove that they can be seen as mutually dual, in the sense that their semantics is defined by the models of two dual algebraic specifications. More precisely, we use sketches as algebraic specifications, since this provides a clean treatement of sums as dual to products. The idea is that $X \times S t$ for a fixed $S t$ is dual to $X+E x c$ for a fixed Exc, and that this duality can be extended to the operations: lookup is dual to raise, and update is dual to handle. Then, in section 3, we come back to the proper effects, with hidden features. For this purpose, as in the classical approach we use the monad $T(X)=X+E x c$ for the exceptions, but we use the dual comonad $T(X)=X \times S t$ for the global states. We prove that the duality can be easily expressed from this point of view.
To our knowledge, the fact that global states and exceptions are dual computational effects is a new result. It has been necessary to use both monads and comonads for getting this result in its right setting, i.e., when the effects are hidden. We would like to suggest that, while monads are indeed one major tool for expliciting effects, additional tools like comonads or other categorical features may also be helpful.

## 2 Duality

In this section the effects are not seen as such, since they are explicit in the specifications. We use sketches [1] as specifications: a sketch with finite products $\mathbf{S}$ for global states and dually a sketch with finite sums $\mathbf{E}$ for exceptions. The category of (set-valued) models of a sketch $\mathbf{S p}$ is denoted $\operatorname{Mod}(\mathbf{S p})$. When $\mathbf{S p}_{0}$ is a subsketch of $\mathbf{S p}$ and $M_{0}$ a model of $\mathbf{S p}_{0}$, we denote $\left.\operatorname{Mod}(\mathbf{S p})\right|_{M_{0}}$ the subcategory of $\operatorname{Mod}(\mathbf{S p})$ made of the models $M$ of $\mathbf{S p}$ which coincide with $M_{0}$ on $\mathbf{S} \mathbf{p}_{0}$ and of the morphisms which extend the identity of $M_{0}$. We often write $M \ldots$ for $M(\ldots)$ when $M$ is a model of a sketch. In order to focus on the usual semantics of effects in their simplest setting, we look only at set-valued models. However they could easily be replaced by the models in a category $\mathbf{C}$ with the required products (for global sates) and sums (for exceptions).

### 2.1 Global states

Let $L o c=\{i\}$ be a set, called the set of locations.
Definition 2.1. Let $\mathbf{S}_{0}$ denote the specification simply made of:

- a point $V_{i}$ for each $i \in L o c$, called the type of values of $i$.

The specification for global states $\mathbf{S}$ is made of $\mathbf{S}_{0}$ and:

- a point $S$, called the type of states,
- an arrow $l_{i}: S \rightarrow V_{i}$ for each $i \in L o c$, called the lookup at $i$,
- an arrow $u_{i}: V_{i} \times S \rightarrow S$ for each $i \in L o c$, called the update at $i$,
- an equation $l_{i} \circ u_{i}=p r_{V_{i}}$ for each $i \in L o c$,
- an equation $l_{j} \circ u_{i}=l_{j} \circ p r_{S}$ for each pair $(i, j) \in L o c^{2}$ with $i \neq j$,
where $p r_{V_{i}}: V_{i} \times S \rightarrow V_{i}$ and $p r_{S}: V_{i} \times S \rightarrow S$ are the projections.
Remark 2.2. A model $M_{0}$ of $\mathbf{S}_{0}$ is simply made of a set $V a l_{i}=M_{0} V_{i}$ for each $i \in L o c$, while a model of $\mathbf{S}$ is made of a set $V a l_{i}=M V_{i}$ for each $i \in L o c$, a set $S t=M S$, and for each $i \in L o c$ two functions $M l_{i}: S t \rightarrow \operatorname{Val}_{i}$ and $M u_{i}: \operatorname{Val}_{i} \times S t \rightarrow S t$ such that:
$-M l_{i}\left(M u_{i}\left(x_{i}, s\right)\right)=x_{i}$ for each $x_{i} \in \operatorname{Val}_{i}$ and $s \in S t$
- $M l_{j}\left(M u_{i}\left(x_{j}, s\right)\right)=M l_{j}(s)$ for each $j \in \operatorname{Loc}$ such that $j \neq i$, each $x_{j} \in \operatorname{Val}_{j}$ and $s \in S t$.

Proposition 2.3. Let $M_{0}$ be a model of $\mathbf{S}_{0}$, made of a set $V a l_{i}=M_{0} V_{i}$ for each $i \in L o c$. The category $\left.\operatorname{Mod}(\mathbf{S})\right|_{M_{0}}$ has a terminal object denoted $[[]]$, such that:
$-[[S]]=\prod_{j}$ Val $_{j}$,
$-\left[\left[l_{i}\right]\right]: \prod_{j} \operatorname{Val}_{j} \rightarrow \operatorname{Val}_{i}$ is the projection, for each $i \in \operatorname{Loc}$,
$-\left[\left[u_{i}\right]\right]: \operatorname{Val}_{i} \times \prod_{j} \operatorname{Val}_{j} \rightarrow \prod_{j} \operatorname{Val}_{j}$ maps $\left(x_{i},\left(x_{j}\right)_{j}\right)$ to $\left(z_{j}\right)_{j}$ where $z_{i}=x_{i}$ and $z_{j}=y_{j}$ for every $j \neq i$, for each $i \in L o c$.

It follows from remark 2.2 and proposition 2.3 that the next definition corresponds to the usual semantics for global states in algebraic specifications.

Definition 2.4. Let $M_{0}$ be a model of $\mathbf{S}_{0}$. The category of loose semantics for global states above $M_{0}$ is the category $\left.\operatorname{Mod}(\mathbf{S})\right|_{M_{0}}$ of models of $\mathbf{S}$ above $M_{0}$. The terminal semantics for global states above $M_{0}$ is the terminal model of $\mathbf{S}$ above $M_{0}$.

### 2.2 Exceptions

Now the specification for exceptions is defined as the dual of the specification for global states. For readability, the names of the points and arrows are changed.
Let Etype $=\{i\}$ be a set, called the set of exception types.
Definition 2.5. Let $\mathbf{E}_{0}$ denote the specification simply made of:

- a point $P_{i}$ for each $i \in$ Etype, called the type of parameters for exceptions of type $i$.

The specification for exceptions $\mathbf{E}$ is made of $\mathbf{E}_{0}$ and:

- a point $E$, called the type of exceptions,
- an arrow $r_{i}: P_{i} \rightarrow E$ for each $i \in$ Etype, called the raising of exceptions of type $i$,
- an arrow $h_{i}: E \rightarrow P_{i}+E$ for each $i \in$ Etype, called the handling of exceptions of type $i$,
- an equation $h_{i} \circ r_{i}=i n_{P_{i}}$ for each $i \in$ Etype,
- an equation $h_{i} \circ r_{j}=i n_{E} \circ r_{j}$ for each pair $(i, j) \in$ Etype $^{2}$ with $i \neq j$,
where $i n_{P_{i}}: P_{i} \rightarrow P_{i}+E$ and $i n_{E}: E \rightarrow P_{i}+E$ are the coprojections.
Remark 2.6. A model $M_{0}$ of $\mathbf{E}_{0}$ is simply made of a set Par $_{i}=M_{0} P_{i}$ for each $i \in E t y p e$, while a model of $\mathbf{E}$ is made of a set Par $_{i}=M P_{i}$ for each $i \in$ Etype, a set Exc $=M E$, and for each $i \in$ Etype two functions $M r_{i}:$ Par $_{i} \rightarrow E x c$ and $M h_{i}: E x c \rightarrow$ Par $_{i}+$ Exc such that (writing explicitly the inclusions as $i n_{P a r}:$ Par $_{i} \rightarrow$ Par $_{i}+E x c$ and $i n_{E x c}: E x c \rightarrow$ Par $_{i}+$ Exc in order to avoid ambiguity):
$-M h_{i}\left(M r_{i}\left(x_{i}\right)\right)=\operatorname{in}_{\text {Par }_{i}}\left(x_{i}\right)$ for each $x_{i} \in \operatorname{Par}_{i}$
- $M h_{i}\left(M r_{j}\left(x_{j}\right)\right)=i n_{E x c}\left(M r_{j}\left(x_{j}\right)\right)$ for each $j \in$ Etype such that $j \neq i$ and each $x_{j} \in P_{j}$.

This means that the handling function $M h_{i}$ runs as follows. For each $e \in E x c$, the result of $M h_{i}(e)$ identifies whether $e$ is an exception of type $i$, if so then $M h_{i}(e)$ returns the parameter $x_{i} \in P a r_{i}$ such that $e=M r_{i}\left(x_{i}\right)$, otherwise $M h_{i}(e)$ returns $e \in E x c$ without analyzing it any further.

Proposition 2.7. Let $M_{0}$ be a model of $\mathbf{E}_{0}$, made of a set Par ${ }_{i}=M_{0} P_{i}$ for each $i \in E t y p e$. The category $\left.\operatorname{Mod}(\mathbf{E})\right|_{M_{0}}$ has an initial object denoted [[]], such that:
$-[[E]]=\sum_{j}$ Par $_{j}$,
$-\left[\left[r_{i}\right]\right]:$ Par $_{i} \rightarrow \sum_{j}$ Par $_{j}$ is the coprojection, for each $i \in$ Etype,
$-\left[\left[h_{i}\right]\right]: \sum_{j}$ Par $_{j} \rightarrow$ Par $_{i}+\sum_{j}$ Par $_{j}$ maps $x_{i} \in$ Par $_{i}$ to $x_{i} \in$ Par $_{i}$ (in the first summand) and $x_{j} \in \sum_{j}$ Par $_{j}$ to $x_{i} j \in \sum_{j}$ Par $_{j}$ (in the second summand) when $j \neq i$, for each $i \in$ Etype.

We claim that the next definition corresponds to the usual semantics of exceptions in programming languages. This claim is supported by proposition 2.9.

Definition 2.8. Let $M_{0}$ be a model of $\mathbf{E}_{0}$. The category of loose semantics for exceptions above $M_{0}$ is the category $\left.\operatorname{Mod}(\mathbf{E})\right|_{M_{0}}$ of models of $\mathbf{E}$ above $M_{0}$. The initial semantics for exceptions above $M_{0}$ is the initial model of $\mathbf{E}$ above $M_{0}$.

For a while, let us forget about the previous sections and come back to the usual meaning of exceptions, in an explicit set-valued context. Let Exc be a set, called the set of exceptions, with a function $r_{i}:$ Par $_{i} \rightarrow$ Exc for raising an exception of type $i$. Let $f: X \rightarrow Y+E x c$ be some function; for each $x \in X$, if $f(x)=e \in E x c$ then we say that $f(x)$ raises the exception $e$. Let $i \in$ Etype, and let $g: X \times \operatorname{Par}_{i} \rightarrow Y+E x c$, then $g$ may be used to handle an exception raised by $f$ if this exception is of type $i$; it should be noted that $g$ itself may raise an exception. The fact of using $g$ for handling an exception of type $i$ raised by $f$ means that instead of $f: X \rightarrow Y+E$ we call a function, which will be denoted $f$ handle $[i \Rightarrow g]: X \rightarrow Y+E$, defined as follows. For each $x \in X$ :

- if $f(x)$ does not raise any exception then $(f$ handle $[i \Rightarrow g])(x)=f(x) \in Y$,
- otherwise if $f(x)$ raises an exception of the form $r_{i}\left(x_{i}\right)$ for some $x_{i} \in \operatorname{Par} r_{i}$, then $(f$ handle $[i \Rightarrow g])(x)=$ $g\left(x, x_{i}\right) \in Y+E x c$,
- otherwise (i.e., if $f(x)$ raises an exception $e$ which is not of type $i$ ), then $(f$ handle $[i \Rightarrow g])(x)=f(x) \in E x c$. The handling of several types of exceptions is easily obtained by iterating the construction $f$ handle $[i \Rightarrow g]$.

Proposition 2.9. Let us consider a model $M$ of the specification $\mathbf{E}$, and let Par $_{i}=M P_{i}$ for each $i$ and $E x c=M E$. Let us consider two sets $X, Y$ and two functions $f: X \rightarrow Y+$ Exc and $g: X \times P a r_{i} \rightarrow Y+E x c$. Then $f$ handle $[i \Rightarrow g]$, as define above, can be built from $M$, $f$ and $g$, using only the fact that Set has sums of the form $\ldots+$ Exc and that these sums are well-behaved.

The sums $\ldots+$ Exc in $\mathbf{C}$ are well-behaved, in the sense of extensive categories [2], if for each commutative diagram:

where the right column is the sum, the two squares are pullbacks if and only if the left column is a sum.
Proof. First, the set $X$ is decomposed as $X=X_{1}+X_{i}+X_{\bar{\imath}}$ thanks to the well-behaved property of sums,
applied twice. Note that $M h_{i}$ is used in the second diagram.


Then, a function $H: X \rightarrow Y+E x c$ is defined as $H=\left[H_{1}\left|H_{i}\right| H_{\bar{\imath}}\right]: X_{1}+X_{i}+X_{\bar{\imath}} \rightarrow Y+E x c$ :
$-H_{1}: X_{1} \rightarrow Y+E x c$ is $H_{1}=f \circ i n_{1}=i n_{Y} \circ f_{1}$, which means that $H(x)=f(x) \in i n_{Y}(Y)$ when $f$ does not raise any exception,

- $H_{i}: X_{i} \rightarrow Y+E x c$ is $H_{i}=g \circ\left(i n_{0} \circ i n_{i}, f_{i}\right)$, which means that $H(x)=g\left(x, x_{i}\right) \in Y+$ Exc when $f(x)$ raises an exception $r_{i}\left(x_{i}\right)$ of type $i$,
$-H_{\bar{\imath}}: X_{\bar{\imath}} \rightarrow Y+E x c$ is $H_{\bar{\imath}}=i n_{E x c} \circ f_{\bar{\imath}}$, which means that $H(x)=f(x) \in i n_{E x c}(E x c)$ when $f(x)$ raises an exception which is not of type $i$,.


### 2.3 Duality

Theorem 2.10. The semantics of global states and the semantics of exceptions are dual.
Proof. Definition 2.4 provides the semantics of global states, definition 2.8 is its dual, and proposition 2.9 shows that it does provide the semantics of exceptions. This yields the result for the loose semantics, then the result follows for the specific semantics since terminal is dual to initial.

## 3 Effects

In this section we define global states and exceptions as effects, with hidden types $S$ and $E$, respectively. We show that their semantics, as defined in section 2 , can also be defined directly from this point of view, so that the duality theorem 2.10 actually is a theorem about effects. It can be assumed that the base category $\mathbf{C}$ is Set.

### 3.1 Decorated categories

Given a monad $T$ on a category $\mathbf{C}$, the canonical functor from $\mathbf{C}$ to the Kleisli category $\mathbf{C}_{T}$ of $T$ is the identity on objects. Following (7], the morphisms of $\mathbf{C}_{T}$ may be called the computations and the morphisms in the image of $\mathbf{C}$ the values (so that each value is a computation). The values are sometimes also called the pure morphisms. This classification of morphisms is now generalized (for a more subtle use of the notion of decoration, see (3).

Definition 3.1. In this paper, a decorated category $\mathbf{C}^{d e c}$ is made of three nested categories with the same objects $\mathbf{C}^{p} \subseteq \mathbf{C}^{q} \subseteq \mathbf{C}^{r}$ (we use " $\subseteq$ " for an inclusion which is the identity on objects). A morphism in $\mathbf{C}^{d}$, for every $d \in\{p, q, r\}$, is denoted $f^{d}$, and the symbol $d$ is called a decoration of $f^{d}$. Clearly every $f^{p}$ is also an $f^{q}$ and every $f^{q}$ is also an $f^{r}$, and the identities are in $\mathbf{C}^{p}$. A decorated specification $\mathbf{S p}{ }^{\text {dec }}$ is a sketch where each arrow has at least one decoration $d \in\{p, q, r\}$.

Let $\mathbf{C}$ be a category and $(T, \eta, \mu)$ a monad on $\mathbf{C}$. Then in $\mathbf{C}$, every morphism $f_{p}: X \rightarrow Y$ gives rise to $\eta_{Y} \circ f_{p}: X \rightarrow T Y$, every morphism $f_{q}: X \rightarrow T Y$ gives rise to $\mu_{Y} \circ T f_{q}: T X \rightarrow T Y$, and when $f_{q}=\eta_{Y} \circ f_{p}$ then $\mu_{Y} \circ T f_{q}=\mu_{Y} \circ T \eta_{Y} \circ T f_{p}=T f_{p}$. This yields a decorated category $D_{T}(\mathbf{C})$, as defined below, where essentially $\mathbf{C}^{p}$ is $\mathbf{C}$ and $\mathbf{C}^{q}$ is the Kleisli category of $T$.

Definition 3.2. Let $\mathbf{C}$ be a category and $(T, \eta, \mu)$ (simply denoted $T$ ) a monad on $\mathbf{C}$. Then $D_{T}(\mathbf{C})=$ $\mathbf{C}_{T}^{p} \subseteq \mathbf{C}_{T}^{q} \subseteq \mathbf{C}_{T}^{r}$ is the decorated category with the same objects as $\mathbf{C}$ such that:

- there is a morphism $f^{r}: X \rightarrow Y$ in $\mathbf{C}_{T}^{r}$ for each $f_{r}: T X \rightarrow T Y$ in $\mathbf{C}$,
- such a morphism $f^{r}: X \rightarrow Y$ is in $\mathbf{C}_{T}^{q}$ if and only if $f_{r}=\mu_{Y} \circ T f_{q}$ for some $f_{q}: X \rightarrow T Y$ in $\mathbf{C}$,
- and such a morphism $f^{q}: X \rightarrow Y$ is in $\mathbf{C}_{T}^{p}$ if and only if $f_{q}=\eta_{Y} \circ f_{p}$ for some $f_{p}: X \rightarrow Y$ in $\mathbf{C}$.

The composition on $\mathbf{C}_{T}^{r}$ is the composition in $\mathbf{C}$, so that in $\mathbf{C}_{T}^{p}$ the composition is also as in $\mathbf{C}$, and in $\mathbf{C}_{T}^{q}$ it is the Keisli composition. In addition, the composition of a morphism in $\mathbf{C}_{T}^{q}$ with a morphism in $\mathbf{C}_{T}^{r}$ is in $\mathbf{C}_{T}^{q}: g^{r} \circ f^{q}=(g \circ f)^{q}$.

If there are enough sums in $\mathbf{C}$, then every family of morphisms $f_{i}^{q}: X_{i} \rightarrow Y$ gives rise to $\left[f_{i}\right]_{i}^{q}: \sum_{i} X_{i} \rightarrow Y$ .. characterized (up to isomorphism) by $\left[f_{i}\right]_{i}^{q} \circ i n_{i}^{p}=f_{i}^{q}$ for each $i$, where $i n_{i}^{p}: X_{i} \rightarrow \sum_{i} X_{i}$ is the injection. Dually, each comonad $(T, \varepsilon, \delta)$ (or simply $T$ ) on a category $\mathbf{C}$ gives rise to a decorated category, still denoted $D_{T}(\mathbf{C})$.

### 3.2 Global states

Let $L o c=\{i\}$ be a set, called the set of locations.
Let $\mathbf{C}$ be a category with a terminal object 1 , with a distinguished object $S t$ called the type of states, and a product-with-St functor $T(\ldots)=\ldots \times S t$. Then $T$ is the endofunctor of a comonad $(T, \varepsilon, \delta)$ where $\varepsilon_{X}: X \times S t \rightarrow X$ is the projection and $\delta_{X}: X \times S t \rightarrow X \times S t \times S t$ duplicates the $S t$-component. So, we get a decorated category $D_{\ldots \times S t}(\mathbf{C})$ as in section 3.1.

Definition 3.3. Let $\mathbf{S p}{ }^{d e c}$ be a decorated specification. The expansion of $\mathbf{S p}{ }^{d e c}$ for global states is the specification $E_{\ldots \times S}\left(\mathbf{S p}^{d e c}\right)$ with the same points as $\mathbf{S p}{ }^{d e c}$ and with:

- a point $S$,
- an arrow $f_{p}: X \rightarrow Y$ for each $f^{p}: X \rightarrow Y$ in $\mathbf{S p}{ }^{\text {dec }}$,
- an arrow $f_{q}: X \rightarrow Y \times S$ for each $f^{q}: X \rightarrow Y$ in $\mathbf{S p}{ }^{d e c}$,
- an arrow $f_{r}: X \times S \rightarrow Y \times S$ for each $f^{r}: X \rightarrow Y$ in $\mathbf{S p}{ }^{d e c}$,
- and similarly for the equations.

The following result is easy to check directly, it can also be obtained from an adjunction [4]. A decorated model of a decorated specification $\mathbf{S p}{ }^{d e c}$ in a decorated category $\mathbf{C}^{\text {dec }}$ is defined like a model of a specification in a category which in addition preserves the decorations. This gives rise to the category of $\operatorname{Mod}^{d e c}\left(\mathbf{S p}^{d e c}, \mathbf{C}^{d e c}\right)$.

Proposition 3.4. Let $\mathbf{S p}{ }^{\text {dec }}$ be a decorated specification and $\mathbf{C}$ a category with a terminal object 1 , a distinguished object St and a comonad $T(\ldots)=\ldots \times$ St. Then there is a bijection:

$$
\operatorname{Mod}^{d e c}\left(\mathbf{S p}^{d e c}, D_{\ldots \times S t}(\mathbf{C})\right) \cong \operatorname{Mod}_{S \mapsto S t}\left(E_{\ldots \times S}\left(\mathbf{S p}^{d e c}\right), \mathbf{C}\right)
$$

where $\operatorname{Mod}_{S \mapsto S t}\left(E_{\ldots \times S}\left(\mathbf{S p}^{\text {dec }}\right), \mathbf{C}\right)$ is the full subcategory of $\operatorname{Mod}\left(E_{\ldots \times S}\left(\mathbf{S p}^{\text {dec }}\right), \mathbf{C}\right)$ made of the models which map $S$ to $S t$.

Definition 3.5. Let $\mathbf{S}_{0}^{\text {dec }}$ denote the decorated specification simply made of:

- a point $V_{i}$ for each $i \in L o c$, called the type of values of $i$.

The decorated specification for global states $\mathbf{S}^{\text {dec }}$ is made of $\mathbf{S}_{0}^{\text {dec }}$ and:

- an arrow $l_{i}^{q}: 1 \rightarrow V_{i}$ for each $i \in L o c$, called the lookup at $i$,
- an arrow $u_{i}^{r}: V_{i} \rightarrow 1$ for each $i \in L o c$, called the update at $i$,
- an equation $l_{i}^{q} \circ u_{i}^{r}=i d_{V_{i}}^{p}$ for each $i \in L o c$,
- an equation $l_{j}^{q} \circ u_{i}^{r}=l_{j}^{q} \circ()_{V_{i}}^{p}$ for each pair $(i, j) \in \operatorname{Loc}{ }^{2}$ with $i \neq j$, where ()$_{V_{i}}^{p}: V_{i} \rightarrow 1$.

Then the expansion $E_{\ldots \times S}\left(\mathbf{S}^{d e c}\right)$ is the specification $\mathbf{S}$ from definition 2.1. So, proposition 3.4 state that $\operatorname{Mod}^{d e c}\left(\mathbf{S}^{d e c}, D_{\ldots \times S t}(\mathbf{S e t})\right) \cong \operatorname{Mod}_{S \mapsto S t}(\mathbf{S}, \mathbf{S e t})$ The next reuslt follows.

Corollary 3.6. Let $M_{0}$ be a model of $\mathbf{S}_{0}^{\text {dec }}$. The category of loose semantics for global states above $M_{0}$ is the category $\left.\operatorname{Mod}^{\text {dec }}\left(\mathbf{S}^{d e c}, D_{\ldots \times S t}(\mathbf{S e t})\right)\right|_{M_{0}}$ of decorated models of $\mathbf{S}^{\text {dec }}$ above $M_{0}$.

Remark 3.7. Usually the global state effect is formalized using the monad with endofunctor $T^{\prime}(X)=$ $(X \times S)^{S}$, assuming that there are exponentials of the form $(\ldots \times S)^{S}$ in C. Up to currifying the Kleisli category of the monad $T^{\prime}$ can be identified to the category $\mathbf{C}^{r}$. So, with the point of view of monads, we get the inclusion $\mathbf{C}^{p} \subseteq \mathbf{C}^{r}$, but the intermediate category $\mathbf{C}^{q}$ has to be added.

### 3.3 Exceptions

Following the same lines as in section 2.2, the treatment of exceptions as effects is dual to the treatment of global states as effects in section 3.2,

Remark 3.8. It is usual to formalize the exceptions thanks to the monad $\ldots+E$. Usually the raising of exceptions is defined by operations raise $i_{, X}: P_{i} \rightarrow X$ for each $i$ and for each object $X$. This point of view is easily recovered from our approach, by defining raise ${ }_{i, X}=[]_{X} \circ r_{i}: P_{i} \rightarrow X$. But the handling of exceptions does not fit into the usual treatment of effects by monads because it is not an algebraic operation in the sense of [9]. On the other hand, [5] contains a preliminary version of the treatment of exceptions as in this paper.

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## A Table

This table summarizes most notations used in the paper. When the two main columns are subdivided, the left hand-side is decorated while the right hand-side is explicit.

| Global states |  | Exceptions |  |
| :---: | :---: | :---: | :---: |
| Category |  |  |  |
| C with 1, with $S$ and $\ldots \times S$ |  | $\mathbf{C}$ with 0 , with $E$ and $\ldots+E$ |  |
| (Co)Monad |  |  |  |
| $\begin{aligned} & \text { CoMonad } T(X)=X \times S \\ & \varepsilon_{X}: X \times S \rightarrow X, \delta_{X}: X \times S \rightarrow X \times S \times S \end{aligned}$ |  | $\begin{aligned} & \text { Monad } T(X)=X+E \\ & \eta_{X}: X \rightarrow X+E, \mu_{X}: X+E+E \rightarrow X+E \end{aligned}$ |  |
| $p \Rightarrow q \Rightarrow r$ |  |  |  |
| (p) $\quad X \rightarrow Y$ <br> (q) $X \times S \rightarrow Y$ <br> (r) $X \times S \rightarrow Y \times S$ |  | (p) $\quad X \rightarrow Y$ <br> (q) $X \rightarrow Y+E$ <br> (r) $X+E \rightarrow Y+E$ |  |
| " $q$ " |  |  |  |
| lookup |  | raise |  |
| $\begin{aligned} & l_{i}^{q}: 1 \rightarrow V_{i} \\ & l^{q}=\left(l_{i}^{q}\right)_{i}: 1 \rightarrow \prod_{i} V_{i} \end{aligned}$ | $\begin{aligned} & l_{i}: S \rightarrow V_{i} \\ & l=\left(l_{i}\right)_{i}: S \rightarrow \prod_{i} V_{i} \end{aligned}$ | $\begin{aligned} & r_{i}^{q}: P_{i} \rightarrow 0 \\ & r^{q}=\left[r_{i}^{q}\right]_{i}: \sum_{i} P_{i} \rightarrow 0 \end{aligned}$ | $\begin{aligned} & r_{i}: P_{i} \rightarrow E \\ & r=\left[r_{i}\right]_{i}: \sum_{i} P_{i} \rightarrow E \end{aligned}$ |
| " $r$ " |  |  |  |
| update |  | handle |  |
|  |  | $\begin{aligned} & h_{i}^{r}: 0 \rightarrow P_{i} \\ & \psi_{i, j}^{q}: P_{j} \rightarrow P_{i} \\ & \psi_{i, i}^{q}=i d_{P_{i}}^{p} \\ & \psi_{i, j \neq i}^{q}=[]_{E}^{p} \circ r_{j}^{q} \\ & \psi_{i}^{q}=\left[\psi_{i, j}^{q}\right]_{j} \\ & \sum_{j} P_{j} \xrightarrow{\psi_{i}^{q}} \\ & i d^{p} \uparrow \quad P_{i} \\ & \sum_{j} P_{j} \xrightarrow{=} \quad e_{h_{i}^{q}} \end{aligned}$ |  |
| Remarks |  |  |  |
| if $\forall i V_{i}=V a l$  <br> then $l: S \rightarrow V^{L o c} \quad($ where Loc $=\{i\})$ if $\forall i P_{i}=$ Par <br>  then $e:$ Etype $\times$ Par $\rightarrow E \quad($ where Etype $=\{i\})$ |  |  |  |
| if $l=\left(l_{i}\right)_{i}: S \rightarrow \prod_{i} V_{i}$ is terminal then $l: S \xlongequal{\leftrightharpoons} \prod_{i} V_{i}$ and by coinduction: existence and unicity of $u$ |  | if $r=\left[r_{i}\right]_{i}: \sum_{i} P_{i} \rightarrow E$ is initial then $e: \sum_{i} P_{i} \xlongequal{\simeq} E$ and by induction: existence and unicity of $h$ |  |

