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## Modeling crustal deformation and rupture processes related to upwelling of deep $CO_2$ -rich fluids during the 1965–1967 Matsushiro earthquake swarm in Japan

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[1] In Matsushiro, central Japan, a series of more than 700,000 earthquakes occurred over a 2-year period (1965-1967) associated with a strike-slip faulting sequence. This swarm of earthquakes resulted in ground surface deformations, cracking of the topsoil, and enhanced spring outflows with changes in chemical compositions, as well as carbon dioxide (CO<sub>2</sub>) degassing. Previous investigations of the Matsushiro earthquake swarm have suggested that migration of underground water and/or magma may have had a strong influence on the swarm activity. In this study, employing coupled multiphase flow and geomechanical modeling, we show that observed crustal deformations and seismicity could have been driven by upwelling of deep  $CO_2$ -rich fluids around the intersection of two fault zones: the regional east Nagano earthquake fault and the conjugate Matsushiro fault. We show that the observed spatial evolution of seismicity along the two faults and magnitudes surface uplift are convincingly explained by a few megapascals of pressurization from the upwelling fluid within the critically stressed crust, a crust under a strike-slip stress regime near the frictional strength limit. Our analysis indicates that the most important cause for triggering of seismicity during the Matsushiro swarm was the fluid pressurization with the associated reduction in effective stress and strength in fault segments that were initially near critically stressed for shear failure. Moreover, our analysis indicates that a 2-order-of-magnitude permeability enhancement in ruptured fault segments may be necessary to match the observed time evolution of surface uplift. We conclude that our hydromechanical modeling study of the Matsushiro earthquake swarm shows a clear connection between earthquake rupture, deformation, stress, and permeability changes, as well as large-scale fluid flow related to degassing of  $CO_2$  in the shallow seismogenic crust. Thus our study provides further evidence of the important role of deep fluid sources in earthquake fault dynamics and surface deformations.

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#### 1. Introduction

[2] Earthquakes are among the most dynamic geological events, and associated ruptures provide a dramatic manifestation of Earth's internal activity. Earthquakes involve a variety of coseismic and postseismic responses, in which rock deformations and stress changes can provide insight into crustal strength. Moreover, earthquakes may be correlated to pore pressure transients [*Byerlee*, 1990; *Rice*, 1992; *Spicak and Horalek*, 2001; *Miller*, 2002; *Miller et al.*, 2004; *Hainzl*,

2004] that may reduce crustal strength and promote a great number of earthquakes with a large range of magnitudes [Roeloffs, 2000; Manga and Wang, 2007]. The hypothesis is that an increase in fluid pressure reduces the effective normal stress, effectively weakening the fault and shear strength to a level below the prevailing shear stress. Coseismic and postseismic mechanisms can induce observable surface deformations, pore pressures and seismic signatures that can be used to constrain numerical models. Such models enable greater understanding of the underlying mechanisms, including rupture, and the permeability and strength evolution of both the Earth's crust and the earthquake faults. Because of the potentially key role of fluids in fault dynamics, it is important better describe coupled pore pressure, deformation and stress changes in faults, thereby improving our ability to forecast earthquakes.

[3] The 1965–1967 Matsushiro earthquake swarm in the Chubu district of southwest Honshu, near Nagano city, central Japan (36°32'N, 138°12'E) (Figure 1a), represents a

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**Figure 1.** (a) Tectonic features and time series of epicentral areas [*Ohtake*, 1976] and (b) earthquakes swarm migration [*Hagiwara and Iwata*, 1968].



**Figure 2.** (a) Areas of maximum uplift, horizontal displacements, and water discharge induced in September 1966 during the Matsushiro earthquake swarm [after *Japan Meteorological Agency*, 1968; *Nakamura*, 1971]. (b) Ground cracks en echelon developed on the northeastern side of Mount Minakami from the spring to the autumn 1966 [after *Nakamura*, 1971].

good opportunity to analyze these hydromechanical interactions over a long period of intense seismic activity. This was one of the most energetic swarms in the world, and many types of geophysical observations have been carried out at the site [Matsu'ura and Karakama, 2005]. A series of more than 700,000 earthquakes (i.e.,  $\sim$ 60,000 earthquakes were felt, and about 640,000 unfelt tremors were recorded) occurred over a 2-year period during a strike-slip faulting sequence, resulting in ground-surface deformations and cracking of the topsoil and enhanced spring outflows, with changes in chemical composition and carbon dioxide  $(CO_2)$  degassing (Figures 1-3) [Hagiwara and Iwata, 1968; Japan Meteorological Agency, 1968; Kisslinger, 1975]. The highest magnitude reached  $M_w = 5.4$ , and epicentral depths ranged from near surface to 12 km, with an average depth of 4-5 km. The total energy released by the earthquakes in this period was calculated to be  $1.66 \times 10^{21}$  J (Figure 3b), equivalent to a single earthquake of magnitude 6.3 [Hagiwara and Iwata, 1968]. The earthquake swarm sequence occurred on subvertical strike-slip faults, migrating from northeast (NE) to southwest (SE) along an elliptic area of about 34 km in length and 18 km in width (Figure 1a), and from near surface to about 12 km depth (Figure 1b) [Hagiwara and Iwata, 1968]. The swarm was accompanied by seismic focal area expansion and large crustal uplift [Ohtake, 1976]. The Matsushiro seismic region, as defined by the hypocenters of the earthquakes recorded during the swarm (Figure 1b), is contained entirely within the central belt of uplift, a relatively homogeneous diorite intrusion which crosses the southwestern part of Honshu Island [Ohtake, 1976]. When the swarm occurred, large amounts of groundwater were expelled in the uplifted area, accompanied by free gases, such as CO2 and CH4 as well as increased NaCl concentration [Yoshida et al., 2003]. Structural geology and geophysical observations [Nakamura and Tsuneishi, 1967; Kasahara, 1970; Tsuneishi and Nakamura, 1970; Nur, 1974; Kisslinger, 1975; Stuart and

Johnston, 1975] indicated that the earthquakes nucleated along a portion of the Matsushiro fault zone with a left-lateral strike-slip faulting, and occurring in three principal episodes (see Figures 1 and 3a-3b):

[4] 1. During the first year, earthquakes occurred near Mount Minakami, which is a Pleistocene andesite volcano. Low-level activity (microearthquakes) started in August 1965 reached a broad peak in November, and subsided gradually. During this period, earthquakes occurred at shallow depths, from the subsurface to 8 km depth.

[5] 2. Then earthquakes occurred in the southwestern and northeastern regions within the area. This growth in the source region was believed to be caused by a dextral strikeslip faulting on the conjugate east Nagano fault [*Kisslinger*, 1975]. This second most active episode began in mid-March 1966, peaked in April 1966 with some 7000 recorded events per day, and again subsided during the summer of 1966.

[6] 3. The third and last episode started in early August 1966 and peaked in late August and September. Then the activity gradually diminished to low levels by the end of 1967.

[7] In addition, *Oike and Taniguchi* [1988] demonstrated four patterns of earthquake activity at Matsushiro: (1) isolated large events, (2) large main shock suddenly followed by many smaller aftershocks, (3) swarms composed of many shocks including a few large shocks, and (4) swarms composed of many shocks but no conspicuously large shocks.

[8] Among a variety of geophysical observations made during the Matsushiro earthquake swarm, analyses of seismicity, surface leveling, spring flow, and chemistry data have shown (1) a strong local uplift of about 0.75 m on the fault trace in the original swarm region, when seismic activity was at its highest (Figure 2a); (2) a strike-slip zone (Matsushiro fault) of ~5 to 7 km in length, with an estimated offset of 1 to 2 m at the ground surface (Figures 2a and 3c); (3) a fault dilation of about 0.3 m at the ground surface (Figure 3c);



**Figure 3.** (a) Number of earthquakes during the swarm migration, (b) mean depth of focal points and seismic energy release, (c) uplift and fault motions, and (d) spring water outflow and chloride [Cl<sup>-</sup>] concentration [after *Kasahara*, 1970; *Tsuneishi and Nakamura*, 1970; *Tsukahara and Yoshida*, 2005].

(4) numerous individual en echelon ground cracks with strike-slip of up to 0.3 m (Figure 2b) [*Nakamura and Tsuneishi*, 1967]; and (5) enhanced spring outflows of deep origin brine water (i.e., NaCl) saturated with CO<sub>2</sub> (Figures 2a and 3d) [*Yoshida et al.*, 2003] (this surface expulsion corresponded to  $\sim 1 \times 10^7$  m<sup>3</sup> of mineral water discharged in 1 year from the uplifted area, preferentially through faults and newly formed cracks; see *Tsuneishi and Nakamura* [1970]).

[9] Figures 3c-3d show that the rate of surface displacements and water outflow is discontinuous with rapidly accelerated stages associated with changes in seismic activity. After the 1-year period of water expulsion, spring outflow rapidly decreased, and the surface subsided slowly after reaching this peak, leveling off with a maximum residual uplift of about 0.4 to 0.6 m by the middle of 1968. This outflow has been interpreted as resulting from the collapse of a focal region with a dilatant strain of about  $10^{-4}$  [*Nur*, 1974] as well as seismic pumping [*Sibson et al.*, 1975]. Note that analyses of the Matsushiro groundwater chemical composition notably indicated that CO<sub>2</sub>, which was the major component of the gas, originated from the mantle, and that CH<sub>4</sub>, another major component, originated from thermal decomposition of organic materials contained in sedimentary rocks [*Yoshida et al.*, 2003]. Given these data, the Matsushiro earthquake swarm was thought to be strongly related to the upwelling of overpressured water with dissolved CO<sub>2</sub> into the upper crust, inducing dilatancy in the fault-crust system [*Asano et al.*, 1969; *Nur*, 1974; *Kisslinger*, 1975]; a correlation between earthquakes and the peaks of dilatational strain was observed. Thus, these previous studies at Matsushiro suggest a strong coupling among stress, multiphase fluids, and structural dynamic responses.

[10] An overpressuring from upwelling of deep CO<sub>2</sub>-rich fluid appears to be a reasonable hypothesis for earthquake initiation [Spicak and Horalek, 2001; Brauer et al., 2003; Chiodini et al., 2004; Miller et al., 2004; Hainzl, 2004; Giammanco et al., 2008]. Such overpressuring has also been identified recently as a driving mechanism for an earthquake sequence in northern Italy [Miller et al., 2004] and Sicily [Giammanco et al., 2008]. This hypothesis is further supported by seismotectonic analyses and seismic tomography near Matsushiro in the Niigata Prefecture [Mogi, 1989; Sibson, 2007; Xia et al., 2008], and geophysical observations during fluid injection experiments conducted at Matsushiro in the mid-1970s [Ohtake, 1970]. The injection experiments were conducted at about 2 km depth by injecting water directly into the Matsushiro fault. After a first injection lasting a few days and a subsequent peak in induced seismicity, a second massive injection test indicated a substantial increase in fault permeability. During the second injection, induced seismicity spread several kilometers downward along the fault plane within a few months. The injection pressure stabilized at an overpressure of a few megapascals, despite increasing injection rates. The induced seismicity and associated permeability changes indicates that the injection resulted in shear reactivation and dilation of preexisting fractures within intensively fractured rock in the damaged rock zone adjacent to the fault plane. The relatively low overpressure needed for inducing seismicity and shear induced permeability enhancement indicates that fractures were initially near critically stressed for shear failure.

[11] Alternative causes for the observed induced seismicity at Matsushiro have also been suggested. For example, Oike and Taniguchi [1988] suggested that tidal effects influence the periodicity of earthquake activity at Matsushiro. They observed synchronized occurrences of shocks with tidal phases over several days. Triggering of earthquakes by such Earth tides was thought to occur only at the critical stress level of the host rock. Several investigators [Nur, 1974; Stuart and Johnston, 1975; Kisslinger, 1975] have also attributed the onset of the swarm with a magmatic intrusion beneath the Mount Minakami volcano. Others [Nakamura and Tsuneishi, 1966; Matsuda, 1967; Kasahara, 1970; Tsuneishi and Nakamura, 1970] proposed that the swarm accompanied the formation of a new fault zone, driven by regional compressional stresses, in an area with no evidence of a preexisting fault and little seismicity before 1965.

[12] Although the temporal characteristics of Matsushiro earthquake activities have been investigated [*Matsu'ura and Karakama*, 2005], the spatiotemporal interactions between fluid pressure, stress, deformation, rupture zones and the evolution of hydraulic and strength properties in the faultcrust system have not been investigated to date in a fully coupled numerical analysis. Several studies at other sites have shown that when fluids propagate through a fault zone the evolution of hydromechanical properties play a key role in the fault instability processes [*Parotidis et al.*, 2005; *Cappa et al.*, 2007; *Guglielmi et al.*, 2008; *Cappa*, 2009]. Fluids may also have an important role in explaining episodes of the fault-strength recovery between earthquakes [*Hickman et al.*, 1995]. Moreover, at Matsushiro, the region of water outflow, uplift, faulting and seismicity closely coincide in space, likely reflecting their link through hydromechanical interactions (Figure 2a). One remarkable feature of earthquake swarms is that they tend to expand focal areas. However, their occurrence patterns vary greatly, and their generation mechanisms have not been understood completely [*Aoyama et al.*, 2002].

[13] In this paper, we investigate the role of upwelling deep CO2-rich fluids in triggering earthquakes and crustal deformations during the Matsushiro earthquake swarm. We employ 3-D coupled multiphase fluid flow and geomechanical modeling to investigate how pressure transients of upwelling CO<sub>2</sub>-rich fluids and fault rupture with permeability enhancement may be linked to the observed evolution of earthquake hypocenters, surface deformations, and the chemical evolution of spring water. The central part of our analysis is the coupled hydromechanical interaction of two faults (the regional east Nagano fault and the conjugate Matsushiro fault) that intersect at the center of the swarm initiation in 1965. It is our hypothesis that the intersection of the two faults may have provided a conduit for upwelling deep CO2rich magmatic brine water. We use the 2-year time series observations of surface displacements and hypocenter locations to constrain the numerical model and to analyze how earthquake ruptures occurred under certain physical conditions. The spatiotemporal characteristics of the rupture initiation and propagation are investigated through the fluid diffusion process in the earthquake faults and surrounding crust. Unlike the previous work on this earthquake swarm, we give special attention to interactions between deformation and rupture zones, as well as stress and fluid transfer in the fault system for the upper 6 km of the seismogenic crust. Through our numerical investigations, we show that the rupture sequence and surface displacements are caused by pressurization from upwelling CO2-rich fluids in a critically stressed crust, under a strike-slip regime near the frictional limit. Moreover, we found a consistent pore pressure and deformation transient signal that indicates a strong hydromechanical coupling, with a 2-orders-of-magnitude increase in fault permeability, during rupture.

#### 2. Modeling Setup

#### 2.1. Numerical Analysis Method

[14] To investigate the effects of a deep CO<sub>2</sub>-fluid source on initiation and propagation of rupture along the Matsushiro and the conjugate east Nagano seismogenic faults, we employed three-dimensional, coupled multiphase brine-CO<sub>2</sub> flow and geomechanical modeling. The goal was to simulate the general hydromechanical field responses, as observed in Figures 1-3. For that, the TOUGH-FLAC code [Rutqvist] et al., 2002] was used. TOUGH-FLAC is based on the coupling of a finite difference geomechanical code, FLAC<sup>3D</sup> [Itasca Consulting Group, 2006] and a finite volume multiphase flow code, TOUGH2 [Pruess et al., 1999]. This code allows a detailed evaluation of the hydromechanical effects on rupture initiation and propagation, and was recently used to study the effects of CO<sub>2</sub> motion within faulted and tectonically active formations [Rutqvist et al., 2007, 2008]. It has also been applied to study coupled CO<sub>2</sub> fluid upwelling, surface uplift and geomechanical changes at the Phlegrean Fields, Italy [Todesco et al., 2004].



**Figure 4.** Model geometry including the Matsushiro and east Nagano earthquake faults. Stress orientation for the reference model.

[15] The code simulates the fluid flow and deformationcoupled processes of discrete faults embedded in porous media. For the flow problem, TOUGH-FLAC solves the multiphase version of diffusion equation (1). Each fluid component is accumulated from relevant contributions in each phase,  $\chi$  ( $\chi = g$  for gas and  $\chi = l$  for liquid).

$$\frac{\partial \left(\phi \rho_{\chi} S_{\chi}\right)}{\partial t} = \nabla \cdot \left[\rho_{\chi} k \left(\frac{k_{r\chi}}{\mu_{\chi}}\right) \left(\nabla P_{\chi} - \rho_{\chi} g \nabla z\right)\right] + \rho_{\chi} q_{\chi}, \chi = l, g$$
(1)

in which  $\phi$  is the porosity,  $ho_{\chi}$  is the density,  $S_{\chi}$  is the saturation, t is the time, k is the intrinsic permeability,  $k_{r\chi}$  is the relative permeability of each phase,  $\mu_{\chi}$  is the viscosity,  $P_{\chi}$ is the pressure, g is the gravity, z is the elevation, and  $q_{\chi}$  is a source term. Relative permeability of gas and liquid phases was calculated from Corey's function [Corey, 1954], while capillary pressure was governed by the van Genuchten's function [van Genuchten, 1980]. Among the possible equations-of-state modules available in the TOUGH2 multiphase flow simulator for modeling of brine-CO2 mixtures, the EWASG module was selected [Pruess et al., 1999]. This module is applicable for the wide range of temperature and pressure considered in this study (i.e., from ground surface to a depth of 6000 m) but otherwise has a less complete description of thermophysical properties of CO<sub>2</sub>-brine mixtures than alternative modules such as ECO2N [Pruess, 2005].

[16] The multiphase flow processes calculated with TOUGH2 are coupled with the geomechanical processes calculated in FLAC<sup>3D</sup> by direct pore volume coupling and indirect coupling through mechanically induced permeability changes [*Rutqvist et al.*, 2002; *Todesco et al.*, 2004]. Most importantly, a change in pore pressure in TOUGH2 is linked to FLAC<sup>3D</sup> through the effective stress law, and a change in stress or strain in FLAC<sup>3D</sup> is linked to TOUGH2 through a permeability change law. In this study, we used a fault permeability model in which fault intrinsic permeability

changes with volumetric strain (dilatancy), such that for a fully reactivated fault (maximum volumetric strain), permeability increases by 2 orders of magnitude; a reasonable value for a change in fault seismogenic permeability [*Sibson and Rowland*, 2003; *Mitchell and Faulkner*, 2008]. In this fault permeability model, the permeability changes,  $k/k_0$ , are related to the volumetric strain,  $\varepsilon_{\nu}$  according to

$$k = k_o (1 + \beta \Delta \varepsilon_v) \tag{2}$$

in which  $k_0$  is the initial (preswarm) permeability.

[17] In the numerical analyses conducted herein, the rock matrix behaves as an elastic material, whereas faults are governed by an elastoplastic constitutive law. The faults zones are envisioned to include a 100 m thick fault damage zone consistent with intensively fractured rocks on each side of the central fault plane. The choice of 100 m wide damage zone is supported by geological observations at Matsushiro [*Hagiwara and Iwata*, 1968; *Ohtake*, 1974] and also has geological support for other major fault zones in the world [*Mitchell and Faulkner*, 2009]. The failure and inelastic deformations in the intensively fractured zone are evaluated using an isotropic elastoplastic Mohr-Coulomb model. An inelastic slip state is reached when the shear stress acting on a fracture plane within the intensively fractured zone exceeds its shear strength, governed by a Coulomb criterion:

$$\tau = c + \mu_s(\sigma_n - P) \tag{3}$$

where  $\tau$  is the critical shear stress for rupture occurrence, *c* is the cohesion,  $\mu_s$  is the static friction coefficient, and  $\sigma_n$  is the normal stress.

## 2.2. Geometry, Initial Conditions, and Deep Fluid Source Function

[18] We modeled a three-dimensional representation  $(100 \times 100 \times 6 \text{ km})$  of the Matsushiro site, including the Matsushiro fault and the conjugate east Nagano fault (Figure 4). The east Nagano fault is a regional active fault

zone along which earthquakes have been recorded since the 1800s, whereas the Matsushiro fault was unknown prior to the 1960s earthquake swarm. The spatial distribution of earthquake hypocenters and the observed shear offset during the Matsushiro swarm indicated that the fault or the ruptured part of the fault is about 5 km long. At 6 km depth, the bottom of the model is located just below the extent of the earthquake hypocenters during stage 1 of the swarm (Figure 3b), which may indicate the depth of the Matsushiro fault or its ruptured part. During stages 2 to 4, earthquake activities along the east Nagano fault extend below 6 km, which means that the model captures the upper seismogenic zone of this fault. The two intersecting fault zones were discretized into solid elements of 200 m in thickness, to include intensively fractured (damaged) rock zone adjacent to the central fault plane. The numerical grid was refined near the fault zone, with grid sizes gradually increasing toward the lateral model boundaries.

[19] In situ and boundary fluid pressures were set according to the initial hydrostatic pressure gradient. The model was initially saturated with brine water in a liquid phase. We assume an initial NaCl concentration of 1.5 g/L as observed in the field before the beginning of the earthquake swarm (Figure 3d). Temperature was set according to a constant geothermal depth gradient on the basis of well logging data. Displacement normal to the lateral and bottom boundaries was fixed to zero. The ground surface was free to move.

[20] An initial in situ stress regime was imposed consistent with the dominant crustal strike-slip stress regime of central Japan and the observed strike-slip faulting induced during the main shock at Matsushiro. The maximum principal horizontal stress was set to be greater than the vertical stress, in itself greater than the least principal horizontal stress ( $\sigma_{Hmax} > \sigma_V > \sigma_{hmin}$ ). In such a case, fault reactivation occurs when the difference between  $\sigma_{Hmax}$  and  $\sigma_{hmin}$  is sufficiently large. *Jaeger and Cook* [1979] showed that the limiting ratio of maximal principal effective stress,  $\sigma'_1 = \sigma_1 - \alpha P$  ( $\alpha$  is the Biot's coefficient; see *Biot* [1941]), and the minimum principal effective stress at depth,  $\sigma'_3 = \sigma_3 - \alpha P$ , is given by

$$\frac{\sigma_1'}{\sigma_3'} = \frac{\sigma_{H\max} - \alpha P}{\sigma_{h\min} - \alpha P} \le q = \left[ \left( \mu_s^2 + 1 \right)^{1/2} + \mu_s \right]^2 \tag{4}$$

where q is the limiting stress difference (slope of the  $\sigma'_1$ versus  $\sigma'_3$  line). For our study,  $\mu_s$  was set to 0.6; thus, a q value of 3.13, a lower-limit value frequently observed in studies of the correlation between active fault zones and maximum shear stress [Byerlee, 1978; Sibson and Rowland, 2003]. Note that equation (4) is valid for the isotropic Mohr-Coulomb model corresponding to the intensively fractured rock of random oriented fractures, and in which shear takes place along a fracture with an optimum orientation for shear failure. According to the orientation of the stress field and faults in the model, the optimum orientation is parallel to the two conjugate fault zones. This is also consistent with statistical studies of focal mechanism at the site [Ichikawa, 1967]. In addition to this hypothesis, we also assumed that the horizontal stress at the ground surface is not zero, but applied a small compressive horizontal stress of 0.75 MPa added to the initial in situ stress regime.

[21] After computing the initial state, we injected brine water and CO<sub>2</sub> at 6 km depth into a point source  $(1 \text{ km}^2)$ located at the intersection of the two faults and its neighborhood. This source represents the supply of upwelling CO<sub>2</sub>rich brine water that may have originated from a magmatic source deeper than 10 km and migrated along the intersection of the two faults. The injected water contains 5 g/L of NaCl and a 5% mass fraction of CO2. The fluid temperature was 190°C. A constant flow rate of 9000 L/min was imposed at the source at 6 km depth. This source rate was estimated from observed increases in surface discharges and further calibrated to obtain a maximum overpressure of about 2 MPa at around 2 km depth, consistent with the maximum overpressure observed toward the end of the 1970s massive fault injection test [Ohtake, 1974]. The applied rate of 9000 L/min is higher than the estimated maximum discharge rate at the ground surface of 1500 to 2000 L/min (Figure 3d), which is reasonable since much of the upwelling water spread laterally along the faults.

[22] Model results were compared to the observed evolution of vertical and horizontal displacements and chemical concentrations at the ground surface, and to the seismicity pattern (epicentral areas) at depth. We did not attempt to make an exact match of simulated and observed data but rather tried to obtain a reasonable agreement to the general evolution and magnitude of data presented in Figures 2a and 3c. Consequently, we did not simulate each stage of deceleratedaccelerated uplift and displacement related to the three peaks of seismic activity shown in Figure 3.

#### 2.3. Material Properties of Reference Model

[23] The rock properties of the faults and surrounding crust have a great impact on the complex coupled hydromechanical and chemical transport evolution of the system. On the basis of geophysical investigations at the site, the crustal rock mass is divided into a low-velocity surface layer (0 to 1500 m depth) and a high-velocity base layer (below 1500 m) [*Japan Meteorological Agency*, 1968]. We first conducted a large number of test simulations and sensitivity studies with varying material properties over a reasonable range. On the basis of a model calibration constrained by observations from the various field observations and geophysical measurements, we then defined a set of reference model parameters with the property values listed in Table 1.

[24] The values of Young's modulus for the high- and lowvelocity zone listed in Table 1 are about 60% of the dynamic properties estimated from sonic velocity profiles. This is reasonable, considering the known difference between static and dynamic elastic properties. The Young's modulus of the fault zone is lower than that of the surrounding crust, also reasonable considering the increased fracturing and damaged rock around fault zones. This change in Young's modulus close to the fault is also supported by laboratory data for major fault zones, like the Caleta Coloso fault, a 5-km-offset strike-slip fault in the Atacama fault system of northern Chile [Faulkner et al., 2006]. The permeability of the crustal rock is reasonable for a sparsely fractured rock, whereas the initial permeability of the fault zones is similar to a more intensively fractured media. The initial (prerupture) fault permeability was taken from estimates derived from the 1970s fault injection experiment described in section 1. These values are reasonable for seismogenic permeability [Sibson and Rowland,

#### Table 1. Material Parameters

Parameter	Fault	Crust
Young's modulus, E (GPa)	5.74	6.7 <sup>a</sup> and 14.73 <sup>b</sup>
Poisson's ratio, $\nu$	0.1875	0.1875
Density, $\rho$ (kg/m <sup>3</sup> )	2500	2500
Static friction coefficient, $\mu_s$	0.6	-
Dilation angle, $\psi$ (deg)	20	-
Biot's coefficient, $\alpha$	1	1
Initial intrinsic permeability, $k_o$ (m <sup>2</sup> )	$1 \times 10^{-16}$	$1 \times 10^{-18}$
Initial porosity, $\phi_o$	0.05	0.01
Residual porosity, $\phi_R$	0.04	0.009
Corey irreducible gas saturation, $S_{rg}$	0.05	0.05
Corey irreducible liquid saturation, $S_{lr}$	0.3	0.3
van Genuchten [1980] capillary	19.9	3100
strength parameter, $Pc_0$ (kPa)		
van Genuchten [1980] exponent, m	0.457	0.457
Initial [Cl <sup>-</sup> ] concentration (g/L)	1.5	1.5
Initial $[CO_2]$ concentration $(g/L)$	0	0

<sup>a</sup>Value for the 1.5-km-thick surface layer.

<sup>b</sup>Value for the 4.5-km-thick base layer.

2003; *Talwani et al.*, 2007]. Moreover, at the intersection of the two faults, we applied a higher initial permeability ( $k_o = 5 \times 10^{-15} \text{ m}^2$ ), necessary for matching Cl evolution at the ground surface as well as matching the extent of the uplift bulge centered around the fault intersection. In general, an increased permeability at the fault intersection could be expected as a result of increased damage and fracturing in this area.

#### 3. Modeling Results

## 3.1. Sensitivity to Source Pressure, Permeability, and Stress

[25] In this section, we study hydromechanical responses of the system related to the evolution of fluid pressure at the source, as well as the changes in fault permeability and the  $\sigma'_1$ to  $\sigma'_3$  ratio. In this sensitivity analysis, we mainly focus on the evolution of fluid pressure and chemical concentration at the source, as well as surface uplift and spring water chlorine concentration (Figures 3c–3d).

#### 3.1.1. Effects of Pressure Change at the Source

[26] Model results show that source pore pressure increase rapidly from the very outset of the constant rate injection (Figure 5a). The rapid pressure buildup is followed by a slow increase to steady state, and after terminating the injection at 1 year, the pressure progressively reduces to values slightly above the initial hydrostatic state. Thus, at the source, the pressure increased by 3.5 MPa in 11 days and then continued to increase at a slower rate to reach a steady state maximum overpressure of 4.4 MPa. This pressure increase is directly accompanied by an increase in volumetric strain, which corresponds to an expansion (see Figure 8b). The strain magnitude at the source reached  $0.5 \times 10^{-3}$  during the rapid pressure increase and  $1.75 \times 10^{-3}$  at 1 year.

[27] Figures 5b-5d present the evolution of effective stress state (i.e., the stress path) at different depths along the intersection of the two faults. The failure criterion of equation (4) indicates that onset of shear failure would occur if  $\sigma'_1 \ge$  $3.13\sigma'_3$ . Figure 5b shows that the stress path for a point just above the source (i.e., at 5.75 km depth) reaches this limit after 23 days when pressure change was near its maximum ( $\Delta P \sim 4$  MPa). Then, rupture progresses upward along the fault and reaches 3 km depth after 81 days, and the ground surface after 114 days (Figures 5c-5d).

[28] Model results also reproduce the observed uplift magnitude and evolution (Figure 6a). The calculated uplift magnitude is equivalent to the measured one, with a peak value of 0.76 m at 1 year, and a residual value of 0.57 m at 2 years.

[29] The results also showed that Cl and  $CO_2$  concentrations increase quickly at the source (Figure 5a) and reach the ground surface in about 9 months (Figure 6). The evolution of Cl in Figure 6a is similar to the observed evolution of Cl concentration in Figure 3d. Vertical profiles presented in Figure 6b indicate that Cl concentration progressively increase from the source to the ground surface and reach a maximal value in the entire fault intersection after 21 months. This means that Cl concentration continues to increase during the pressure falloff.

#### 3.1.2. Effect of Change in Fault Permeability

[30] The influence of changes in fault permeability was examined by varying the value of the  $\beta$  parameter in equation (2). Results show that the hydromechanical response is very sensitive to changes in fault permeability (Figure 7a). A good agreement with the measured surface uplift was obtained for a change in fault permeability of 2 orders of magnitude (i.e.,  $k/k_o = 100$ ). When a smaller change in permeability was assumed, uplift magnitude was overestimated, and the curve shape was not reproduced during the pressure decrease stage. Thus the strain-induced permeability changes during fault rupture within the faults have a significant impact on the hydromechanical responses of rock mass during fault pressurization and subsequent pressure recovery.

#### 3.1.3. Effect of Stress Ratio $(\sigma'_1/\sigma'_3)$

[31] The effects of stress ratio the between  $\sigma'_1$  and  $\sigma'_3$  on the hydromechanical response of the faults were examined by comparing three models, in which the stress ratio was varied from a noncritical ( $\sigma'_1 / \sigma'_3 = 1.4$  and 2) to a critical stress regime ( $\sigma'_1/\sigma'_3 = 3.13$ ). Model results show a good fit to surface uplift (Figure 7b) was obtained only for an initial stress field near frictional limit, assuming  $\sigma'_1/\sigma'_3 = 3.13$  as a critical stress regime. In that case, a strike-slip stress regime was estimated, with  $\sigma_{\rm Hmax} = 1.339 \times \sigma_{\rm V}$  and  $\sigma_{\rm hmin} = 0.7 \times \sigma_{\rm V}$ ( $\sigma_{\rm V}$  being lithostatic), and assuming the orientation of  $\sigma_{\rm Hmax}$ is N090, so that it corresponds to an azimuth of  $48^{\circ}$  from the east Nagano fault, toward the south (see the stress ellipsoid in Figure 4). When a smaller stress ratio was assumed, no rupture occurs along the faults (Figure 7b) and values of uplift were underestimated, because in these cases the system remains elastic, with no rupture and associated plastic straining.

#### **3.2. Reference Model**

[32] A described in section 2, we calibrate the model input parameters to achieve a reasonable agreement to the observed evolution of seismicity, surface uplift and chemical concentration. The key parameters for this calibration are the injection rate at the source, the stress regime, set to a critical stress ratio of  $\sigma'_1/\sigma'_3 = 3.13$ , and the fault permeability and its changes during rupture estimated to a factor of 100. To analyze this best match (calibrated) solution in detail, we examine a series of vertical profiles of change in fluid pressure, permeability, strain and stress (Figure 8). The vertical profiles are located at the center of the model, where the



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**Figure 6.** (a) Calculated uplift and  $[Cl^-]$  and  $[CO_2]$  concentration at the ground surface (at the intersection between the Matsushiro and east Nagano faults) and (b) vertical profiles of change in  $[Cl^-]$  content over the 2-year period.

Matsushiro and east Nagano faults intersect. Profiles are presented at different times during the simulation. In addition, we also present the distribution of changes in fluid pressure and volumetric strain at 6 km depth at 1 year (Figure 9), the spatial evolution of changes in fluid pressure and shear strain along the earthquake faults (Figures 10-13) at different times, and surface displacements at 1 year (Figure 14).

#### 3.2.1. Fault Hydromechanical Behavior

[33] Figure 8a shows that pressure spreads rapidly along the faults and propagates from the source region toward the surface after 1 month. At 1 year, the pressure gradient peaks with a pressure increase of 4.4 MPa near the source. At 2 years, an overpressure of about 0 to 2 MPa is maintained along the faults.

[34] This change in pressure is accompanied by changes in volumetric strain and vertical displacement (Figures 8b–8c). After 1 month of fluid upwelling, there is an increasing (elastic) volumetric strain from the deep source region toward the surface. From 1 to 6 months, the volumetric strain and uplift increases dramatically over the entire 6 km depth of the fault. This increased volumetric strain is the result of elastic volumetric strain caused by increased fluid pressure in both

the fault and surrounding crust, as well as plastic volumetric strain during progressive failure along the fault (see Figures 5b-5d). After 6 months, the fluid pressure within the fault is more or less constant, but an additional volumetric strain and uplift occurs as a result of lateral diffusion of fluid pressure and poroelastic expansion in the surrounding crust. After termination of the deep source injection, the pressure decreases rapidly, whereas volumetric strain and vertical displacement decrease only slightly. The remaining volumetric strain after 2 years is permanent plastic strain, whereas the remaining uplift is also affected by the remaining pressure increase in the surrounding crust.

[35] Figure 8d shows the evolution of fault permeability calculated from the volumetric strain according to equation (2) with  $\beta = 3 \times 10^4$ . A 2-order-of-magnitude permeability increase occurs for the fully reactivated fault, which is consistent with values estimated in situ by *Ohtake* [1976] (k ~ 9.86 × 10<sup>-15</sup> m<sup>2</sup> to 9.86 × 10<sup>-14</sup> m<sup>2</sup>). This permeability change influences the diffusion of pore pressure, and can be related to the expansion speed of seismicity along the faults.

[36] Figures 8e and 8f indicate that pore pressure rise induces a lowering of effective stress normal to the fault plane of several megapascals, but only a slight increase in shear stress (of a few tens of kilopascals). This means that failure is mainly related to the lowering of effective stress, effectively weakening the faults. At the bottom, the effective normal stress is lowered by about 2 MPa whereas the fluid pressure increased by 4 MPa. This shows that the total stress normal to the fault plane is increased by  $\Delta \sigma_n = \Delta \sigma'_n + \Delta P =$ 2 + 4 = 2 MPa as a results of poroelastic stress.

# **3.2.2.** Three-Dimensional Spatiotemporal Change of Pore Pressure and Deformations Within the Earthquake Faults and Its Surroundings

[37] Our modeling results show that the pressure changes caused by the deep fluid source are rapidly distributed in the faults, accompanied by slow diffusive leakage from the faults to the neighboring low-permeable crust (see Figures 9a, 10, and 12). During the pressure-increase stage, pressure diffuses both upward and laterally in the faults, with the pressure gradient decreasing from the source to the surrounding areas, inducing a progressive increase in shear strain along these planes. Shear strain follows a complex pattern (Figures 11 and 13).

[38] Figure 9a shows that the pressure increase at 6 km depth affects a large zone that extends several kilometers from the pressurization source. This pressure buildup is accompanied by an increase in volumetric strain in a zone smaller than that of the pressure change. Near the source, this expansion is strongly guided by the faults, along which strain is higher than in the crust (Figure 9b).

[39] Inside the faults, pore pressure progressively increases to 4.4 MPa at 1 year near the fluid source (Figures 10 and 12). Along the Matsushiro fault, pressure increase affects the entire fault ( $30 \text{ km}^2$ ) after 6 months, whereas along the east Nagano fault, pressure increase affects a greater area ( $132 \text{ km}^2$ ), laterally limited to about 10 km on both sides of the source region.

[40] The fluid pressure rise is accompanied by shear strain, with maximum shear strain mainly localized where the faults intersect and particularly near the surface where shearing is less restricted (Figures 11 and 13). Along the Matsushiro fault, shear strain increases near the source during the first



**Figure 7.** Calculated surface uplift at the fault intersection for different (a) permeability changes, (b) stress ratio ( $\sigma'_1/\sigma'_3$ ), and (c) effective stress paths near the source for different stress ratios.

1 month of fluid upwelling, and then intense shearing propagates toward the surface with the highest magnitude reaching  $2.1 \times 10^{-3}$  at 1 year. Laterally, shear strain is limited on both sides of the source at about 1.4 km. Along the east Nagano fault, shear strain followed the same path, but the lateral extent is much greater.

[41] During the first 3 months, the fluid pressure migration acts (1) to induce earthquakes along its path, and (2) to induce poroelastic strain and displacement toward the surface. After this period,  $CO_2$ -rich fluid penetrates the entire upward portions of the faults with pore pressure high enough to dilate rocks and to extrude fluids to the ground surface. When pressure decreases, shear strain along the fault and crustal dilatation decreases. The time for diffusion of pressurized fluids controls the time to expand the swarm area.

#### 3.2.3. Surface Displacements

[42] Consistent with leveling surveys at the site (Figure 2a), a maximum uplift of about 0.75 m was calculated at the intersection between the two faults after 1 year of fluid upwelling, when the pressure is the highest (Figure 14a). Results also show that the observed extent of the uplift area is reproduced by the model. Indeed, the simulated uplift area extends to about 10 km in the strike direction of the east Nagano fault and about 7 km in the strike direction of the Matsushiro fault.

[43] Distribution of the uplift follows a square pattern at the faults intersection showing strong influence of fault deformation. When pressure decreases, the surface subsided, leveling off with a residual uplift of about 0.57 m at the fault intersection.

[44] Maximum horizontal surface displacements calculated along the faults are presented in Figure 14b. Results show an asymmetric displacement field around the fault traces, with zones of inverse motion appearing on both sides of the Matsushiro and east Nagano faults. These displacements indicate a strike-slip motion consistent with geophysical observations. Near the fault intersection, the calculated maximum shear displacement is about 0.95 m along both faults (Figure 14b). This is consistent with distribution shear strain calculated on planes (Figures 11 and 13). The observed hypocenter locations also correlate well with areas of the largest displacements and shear strain, particularly in the area of the two faults.

[45] In summary, both vertical and horizontal surface displacements are localized within a dilatant zone of several kilometers around where the faults intersect. Dilatancy is



**Figure 8.** Vertical profiles of changes in (a) fluid pressure, (b) volumetric strain, (c) vertical displacement, (d) permeability (bold black line corresponds to the initial permeability at the fault intersection,  $k_0 = 5 \times 10^{-15} \text{ m}^2$ ), (e) effective normal stress, and (f) shear stress. Profiles are taken at the intersection between the Matsushiro and east Nagano faults.



Figure 9. Change in (a) fluid pressure and (b) volumetric strain at 6 km depth.

mainly related to fault shear motion and poroelastic strain in the country rock surrounding the faults. In that zone, the dilatancy process can induce cracking of the topsoil such as those observed in the field during the swarm.

# 3.3. Rupture Propagation Model During the Matsushiro Earthquake Swarm

[46] Figure 15 shows the spatiotemporal evolution of rupture zones along the faults during the 2-year simulation. We may compare this simulated evolution of the rupture zone with the observed evolution of earthquake hypocenters shown in Figure 1. Consistent with observed earthquake evolution, our analysis shows that rupture is first initiated along the Matsushiro fault, and then along the east Nagano fault. On the Matsushiro fault, rupture starts near the fluid source and then propagates from the bottom to the ground surface within 6 months. Along the east Nagano fault, rupture starts in the lower portion after 3 months and propagates both laterally and upward during the 1-year fluid pressurization. For our simulated 1-year fluid upwelling, rupture zones along the east Nagano fault stop nucleating at about 10 km on each side of the central fault intersection (Figure 15).

[47] Our analysis shows that ruptures primarily occur in zones of increased pressure, but also propagate above the pressurized zone where initial stresses are low. Localized concentration of stresses as well as pressure induced localized effective stress releases triggers shear failure, particularly in areas where the faults intersect. The shear failure results in shear dilation that may induce a significant permeability increases along the faults.

#### 4. Discussion

#### 4.1. Contribution of Pore Pressure Diffusion and Tectonic Stress State to Earthquake Triggering

[48] In this study, we suggest that observed crustal deformations and seismicity at Matsushiro could have been driven by the upwelling of deep CO<sub>2</sub>-rich fluids around the intersection of two fault zones. We showed that the rupture sequence of the two faults, as observed from the spatial evolution of seismicity as well as the observed magnitude of surface uplift could be a results of pressurization of only a few megapascals in excess of hydrostatic. A rupture for such a low-pressure change is possible within a critically stressed crust, a crust under a strike-slip stress regime near the frictional strength limit [*Townend and Zoback*, 2000]. Thus our analysis demonstrates that the most important cause of seismic activity at Matsushiro was the pore pressure transients associated with the upwelling of  $CO_2$ -rich fluids, reducing the effective stress and shear strength sufficiently to induce rupture and seismicity. The rupture and seismicity associated with shear dislocation of preexisting fractures within an intensively fractured fault rock may result in a significantly increased permeability that will accelerate the process.

[49] The effects of the fluid source gradually propagated to the surrounding areas. In the shallow parts of the faults, fault rupture may have been assisted by the initial deeper crustal movements and associated shear stress transferred toward the ground surface. Nevertheless, the swarm was initially triggered by the effects of pore pressure change around the intersection between the Matsushiro and east Nagano earthquake faults, and then expanded. The inferred magnitude overpressure of about 4 MPa at the fluid source is subject to some uncertainty, because a reasonable good match to field observations may also be obtained if applying a higher overpressure and if at the same time adjusting other parameters such as rock deformation modulus. In the study of earthquake faulting at Colfiorito, Italy, by Miller et al. [2004], a substantially higher overpressure was inferred. However, applying such a high overpressure in the Matsushiro case would be inconsistent with field observations in the 1970s massive water injection test. The 1970s injection test indicated that the fault could not sustain an overpressure of more than a few megapascals (an overpressure relative to the initial hydrostatic pressure cause by the active injection).

## 4.2. Relation Between the Evolution of Seismicity and Hydromechanical Changes

[50] Our hydromechanical analysis of the Matsushiro earthquake swarm shows a strong correlation between the spatial distributions of observed earthquake hypocenters and simulated fluid pressure-induced fault rupture. Consequently, we can hypothesize that the spatial evolution seismicity was related to the upwelling and lateral migration of large volume  $CO_2$ -rich fluids. Other factors also contributing to the evolution of seismicity may include variation of initial crustal stress as well as heterogeneity of crustal properties such as permeability, porosity, and strength. The hypocenter migration can be explained by underground fluid diffusion and associated poroelastic stress transferred upward and laterally from the source region to surrounding areas. Thus the



Figure 10. Change in fluid pressure calculated within the Matsushiro fault plane at different times.  $CO_2$  source is located at x = 0, z = 6 km.



Figure 11. Change in shear strain calculated within the Matsushiro fault plane at different times. The  $CO_2$ -rich fluid source is located at x = 0, z = 6 km.



**Figure 12.** Change in fluid pressure calculated within the east Nagano fault plane at different times. The  $CO_2$ -rich fluid source is located at x = 0, z = 6 km.

temporal pattern of seismicity is limited by the velocity of pore pressure diffusion and stress transfer. We emphasize that in complex, highly fractured media, poroelastic and elastic interactions act together in promoting seismicity and controlling its spatial and temporal pattern.

[51] In this study we focused on the diffusion of underground fluid as the dominant factor in triggering the earthquakes. Other potentially important factors such as stress and strain accumulations from historic earthquakes, stress corrosion processes, interaction among earthquakes, and passage of waves were not considered. Here, we mainly focused on the migration of multiphase fluid, assuming that the fluctuation of seismicity probably reflects the transient pore pressure variation around the source as indicated by previous studies [*Nur*, 1974; *Sibson et al.*, 1975; *Ohtake*, 1976]. For simplicity, we assumed a single fluid source at 6 km depth, although a more wide spread upwelling may have occurred from depth greater than 10 km. Moreover, the time evolution of the fluid upwelling was simplified neglecting effects from an oscillating upwelling rate. In addition to these mechanical



Figure 13. Change in shear strain calculated within the east Nagano fault plane at different times. The  $CO_2$ -rich fluid source is located at x = 0, z = 6 km.



Figure 14. Map and close-up view of (a) calculated maximum uplift and (b) horizontal displacements at the ground surface for a stress ratio  $(\sigma'_1/\sigma'_3)$  of 3.13.

effects, the mechanochemical processes could also play a significant role during the seismic cycle [*Blanpied et al.*, 1992; *Beeler and Hickman*, 2004; *Gratier and Gueydan*, 2007]. *Gratier and Gueydan* [2007] discussed the importance of these mechanochemical interactions, within characteristic time intervals, on the weakening and strengthening of faults in the upper crust. Moreover, experimental studies of permeability variations in fresh fractures at seismogenic temperatures have shown permeability can significantly recover over similar timescales of days to weeks [*Morrow et al.*, 2001], and microfractures on the order of hours to days [*Brantley et al.*, 1990]. Consequently, competing fracturing and sealing processes at different scales will control fault zone fluid flow and a variety of geological processes.

[52] Future studies will address heterogeneities related to multiple pressurization sources and fluid flow-induced oscillations, mechanochemical effects, as well as transient stress changes caused by dynamic effects (i.e., seismic waves propagation) on coupled hydromechanical processes. Detection of changes in poroelastic parameters, on the basis of simultaneous and high-frequency measurements of fluid pressures and mechanical displacements in deep boreholes (combined with seismological, geodetic, electromagnetic and geochemical measurements at ground surface), may be useful in forecasting the strength state of the upper crust and the environmental impact of shallow earthquakes.

#### 5. Conclusion

[53] In this paper, we investigated the role of upwelling deep CO<sub>2</sub>-rich fluids in the triggering of earthquakes and crustal deformations during the 1965–1967 Matsushiro earthquake swarm in Japan. We employed 3-D coupled multiphase fluid flow and geomechanical modeling to investigate how pressure transients from upwelling CO<sub>2</sub>-rich fluids and fault rupture with permeability enhancement may be linked to the observed evolution of earthquake hypocenters, surface deformations, and the chemical evolu-

tion of spring water. The central part of our analysis is the coupled hydromechanical interaction of two faults (the Matsushiro fault and the east Nagano fault) that intersect at the center of a seismic swarm initiation in 1965. It was our hypothesis that the intersection of the two faults may have provided a conduit for upwelling, CO<sub>2</sub>-rich, deep magmatic brine water. In our modeling, the upwelling CO<sub>2</sub>-rich fluid was simulated by a fluid source at the fault intersection at a depth of 6 km, which is at the bottom boundary of our model.

[54] Our results, which are in qualitative agreement with field observations, suggest that seismic swarm activity is strongly related to underground fluid migration. The spatial relation between simulated rupture zones and observed earthquake hypocenters in this region suggests that the seismicity may be triggered by fluid-pressure migration through their mechanical interactions with the tectonic stress field. Moreover, pore pressure distribution influences how the poroelastic stress transfer can be accumulated near the strained fault zones and trigger shallow seismicity near the surface.

[55] We have shown that spatiotemporal variations in the rupture zones at Matsushiro are consistent with a transient increase in fluid pressure, and a rupture primarily initiated along the Matsushiro fault, near the pressurization source, and then, laterally along the conjugate east Nagano fault. This is consistent with the hypocenter locations inferred from geophysical surveys of this earthquake swarm. Moreover, the simulated surface uplift magnitude and lateral extent are consistent with that inferred from leveling observations. The fact that the uplift bulge does not extend laterally more than about 5 km supports our hypothesis of fluid upwelling initially concentrated along the intersection of the two faults. In the present study, we did not attempt to match each stage of the time evolution of uplift (i.e., accelerating and decelerating stages), which would require the time-varying source rate to reflect episodes of CO<sub>2</sub>-rich fluids expelled from the deep source. However, our analysis provides a reasonable evaluation of available field data.



**Figure 15.** Calculated distribution of rupture zones at different times along the Matsushiro and east Nagano earthquake faults.

[56] The potential for rupture at Matsushiro strongly depended on the magnitude and orientation of the initial stress field. A strike-slip faulting stress regime, near the frictional limit and oriented N090, was estimated to reproduce the seismicity pattern (epicentral areas) and surface displacements. Because the tectonic stress field is near its frictional limit, an overpressure (of a few megapascals) of the upwelling CO<sub>2</sub>-rich fluids was sufficient to trigger the earthquake swarm. Moreover, our models indicated that another significant factor is the change in fault permeability related to fault rupture. Our analysis suggests that an increase in fault permeability of 2 orders of magnitude in ruptured areas of the fault may be necessary to explain these data. Thus the in situ stress regime, as well as fault strength and permeability, are likely the most important parameters that control the nucleation, propagation, arrest, and occurrence of the earthquake swarm during its 2-year migration through the seismogenic crust.

[57] Our hydromechanical model simulation shows the link between earthquake rupture, stress, and permeability changes and large-scale fluid flow related to degassing of CO<sub>2</sub> in the shallow seismogenic crust. Fluid upwelling and associated hydromechanical effects can play a dominant role in promoting earthquakes during a seismic sequence. At Matsushiro, fluid pressure may have triggered microearthquakes by the formation or reactivation of small fractures, which could in turn trigger larger earthquakes in subsequent stages. Consequently, hydromechanical couplings are important processes in seismology that can influence the short-term periodicities in earthquake distributions within an entire time series analysis. The evolution of permeability with deformations that depend on the effective stress conditions provide a conceptual basis for the interpretation of observed response characteristics. On the basis of these models, direct observations of the surface deformations above seismically active zones should improve our ability to forecast earthquakes. The study also indicates that surface deformation may be used for monitoring, as a precursor for surface CO2 leakage associated with large-scale underground CO<sub>2</sub> storage.

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