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# Couplings between dipole and quadrupole vibrations in tin isotopes

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# Abstract

We study the couplings between collective vibrations such as the isovector giant dipole and isoscalar giant quadrupole resonances in tin isotopes in the framework of the time dependent Hartree-Fock theory with a Skyrme energy density functional. These couplings are a source of anharmonicity in the multiphonon spectrum. In particular, the residual interaction is known to couple the isovector giant dipole resonance with the isoscalar giant quadrupole resonance built on top of it, inducing a non-linear evolution of the quadrupole moment after a dipole boost. This coupling also affects the dipole motion in a nucleus with a static or dynamical deformation induced by a quadrupole constraint or boost respectively. Three methods associated to these different manifestations of the coupling are proposed to extract the corresponding matrix elements of the residual interaction. Numerical applications of the different methods to  $^{120}$ Sn are in good agreement with each other. Finally, several tin isotopes are considered to investigate the role of isospin and mass number on this coupling. A simple 1/A dependence of the residual matrix elements is found with no noticeable contribution from the isospin. This result is interpreted within the Goldhaber-Teller model.

#### I. INTRODUCTION

A particular interest in strongly interacting systems is their ability to present disorder or chaos, and, in the same excitation energy range, well organized motion. Atomic nuclei are known to show both behaviors [1]. In particular, they exhibit a large variety of collective vibrations, also called giant resonances (GR), with excitation energy usually above the particle emission threshold [2]. The GR are associated to anomalously large cross sections in some nuclear reactions.

Baldwin and Klaiber observed the isovector giant dipole resonance (GDR) in photo-fission of uranium nuclei [3], interpreted as a vibration of neutrons against protons [4]. This GDR has been investigated with several probes [2] and has also been observed on top of highly excited states, e.g., in hot nuclei [5]. The survival of ordered motion in hot nuclei, i.e., in a chaotic environment, is one of the most striking phenomena in nuclear physics. Other kinds of GR have been discovered, such as the isoscalar giant quadrupole resonance (GQR) associated to an oscillation of the shape between a prolate and an oblate deformation [6], and the isoscalar giant monopole resonance (GMR) corresponding to a breathing mode [7, 8, 9].

The GR are usually associated to the first phonon of a small amplitude harmonic motion. However, the proof of their vibrational nature came with the observation of their two- and three-phonon states [10, 11, 12]. Multiphonon studies also provided a good test to the harmonic picture. In particular, anharmonicity was found in an abnormally large excitation probability of these states, indicating that different phonon states couple due to the residual interaction [13, 14]. Microscopic investigations, such as the random phase approximation (RPA) together with boson mapping techniques [15] and the non-linear response to an external field in the time-dependent Hartree-Fock (TDHF) theory [16, 17] showed, indeed, that strong couplings between GMR, GQR and GDR occur. In particular, a GMR or a GQR (resp. a GMR) can be excited on top of a GDR (resp. a GQR), leading to couplings between one- and two-phonon states. As a consequence, GR cannot be described in a purely harmonic picture. Anharmonicities have also been found to affect pygmy dipole resonances, though depending on the choice of the nuclear functional [18].

The goal of the present work is to get a deeper insight on the couplings between various GR which represent a first step toward understanding complexity and disorder in nuclei at high excitation energies. As an example, we focus on the coupling between isovector dipole

and isoscalar quadrupole vibrations. A clear link between the linear dipole motion on a deformed state and the quadratic response of the quadrupole moment to an external dipole excitation (investigated in Ref. [16]) is made. The TDHF theory is used to compute the residual interaction coupling the one-phonon state of the GDR to the two-phonon state with a GQR built on top of the GDR. Applications to spherical tin isotopes are performed to investigate the role of the isospin degree of freedom and of the total number of nucleons on the coupling.

We present a schematic model describing couplings between GR and their effect on one-body observables in Sec. II. The TDHF formalism and its application to nuclear vibrations are discussed in Sec. III. Numerical details on the 3-dimensional TDHF code are also given. A detailed investigation of the couplings in <sup>120</sup>Sn is presented in Sec. IV, together with a more systematic analysis in tin isotopes. Finally, we conclude in Sec. V.

# II. A SCHEMATIC MODEL FOR GR COUPLING

Let us illustrate the effect of couplings between vibrational modes within a simple schematic model introduced in Ref. [16]. We consider the Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{V} \tag{1}$$

where  $\hat{H}_0$  corresponds to the harmonic (HF+RPA) part and the residual interaction  $\hat{V}$  couples collective modes. Eigenstates of  $\hat{H}_0$  are one- and two-phonon states  $|\nu\rangle$  and  $|\nu\mu\rangle$  with eigenenergies  $E_{\nu}=E_0+\hbar\omega_{\nu}$  and  $E_{\nu\mu}=E_0+\hbar\omega_{\nu}+\hbar\omega_{\mu}$  respectively, where  $\omega_{\mu,\nu}$  denote the collective frequencies and  $E_0$  is the ground state energy. In the following,  $\hbar$  is omitted in the notation. Only the coupling between the two states  $|\nu\rangle$  and  $|\nu\mu\rangle$  is considered here. The associated matrix element of the residual interaction is noted  $v_{\mu}=\langle\nu|\hat{V}|\nu\mu\rangle$ . Such couplings between one- and two-phonon states have been proven to be the most important one in nuclei [15]. Using first order perturbation theory, the eigenvalues of  $\hat{H}$  are those of  $\hat{H}_0$  with eigenstates

$$|\overline{\nu}\rangle \approx |\nu\rangle - \varepsilon_{\mu}|\nu\mu\rangle$$
 (2)

and

$$|\overline{\nu\mu}\rangle \approx |\nu\mu\rangle + \varepsilon_{\mu}|\nu\rangle$$
 (3)

where  $\varepsilon_{\mu} = \frac{v_{\mu}}{\omega_{\mu}}$ .

The couplings are expected to affect the evolutions of expectation values of one-body observables such as the multipole moments  $Q_{\nu}(t) \equiv \langle \hat{Q}_{\nu} \rangle(t)$ . We investigate below three different manifestations of the couplings on these evolutions. They will be used in the next section to compute  $v_{\mu}$  from TDHF calculations in the case of coupling between giant dipole and quadrupole resonances.

#### A. quadratic response

The effect of couplings in the quadratic response has been introduced in Ref. [16]. Highlights on the main steps are given here. At initial time, the ground state  $|0\rangle$  of the system is excited by a boost with the one-body operator  $\hat{Q}_{\nu}$ 

$$|\Psi(0)\rangle = \exp(-ik_{\nu}\hat{Q}_{\nu})|0\rangle. \tag{4}$$

Developing the exponential up to second order in the boost intensity  $k_{\nu}$  and considering an evolution under the Hamiltonian defined in Eq. (1), the state at time t reads at first order in  $\varepsilon_{\mu}$ 

$$|\Psi(t)\rangle \approx \exp(-iE_0t) \left[ \left( 1 - \frac{k_\nu^2 q_\nu^2}{2} \right) |0\rangle - ik_\nu q_\nu e^{-i\omega_\nu t} \left( |\overline{\nu}\rangle - \varepsilon_\mu e^{-i\omega_\mu t} |\overline{\nu}\overline{\mu}\rangle \right) \right],$$
 (5)

where  $q_{\nu} = \langle \nu | \hat{Q}_{\nu} | 0 \rangle$  is the transition amplitude which we assume to be real.

The expectation value of the one-body observable used in the boost exhibits oscillations. Indeed, in case of no static deformation in the ground state, we have

$$Q_{\nu}(t) = -2k_{\nu}q_{\nu}^{2}\sin(\omega_{\nu}t) + O(k_{\nu}^{3}). \tag{6}$$

In particular, its amplitude increases linearly with the boost intensity in the small amplitude regime. In addition to this linear response, the coupling induces an oscillation of the other collective mode  $Q_{\mu}$ :

$$Q_{\mu}(t) \approx 2k_{\nu}^{2}q_{\nu}^{2}q_{\mu}\frac{v_{\mu}}{\omega_{\mu}}\left[\cos(\omega_{\mu}t) - 1\right]$$
(7)

where we have assumed  $q_{\mu} = \langle \mu | \hat{Q}_{\mu} | 0 \rangle = \langle \mu \nu | \hat{Q}_{\mu} | \nu \rangle$ . This oscillation is then quadratic in  $k_{\nu}$  and provides a first method to compute the residual interaction  $v_{\mu}$ , assuming the fact that a non-linear theory, such as TDHF, is used to follow the expectation values of the one-body observables. We finally note that  $Q_{\nu}(t)$  and  $Q_{\mu}(t)$  have different frequencies and start in phase quadrature.

# B. linear response in an external static field

It is interesting to note that the coupling may also manifest itself in the linear response to the boost (4) if an external static field is added to the Hamiltonian (1)

$$\hat{H}(\lambda) = \hat{H}(0) + \lambda \hat{Q}_{\mu}. \tag{8}$$

We choose  $\lambda$  small enough to induce a linear static deformation defined as

$$Q_{\mu}^{0}(\lambda) = \langle 0(\lambda) | \hat{Q}_{\mu} | 0(\lambda) \rangle \approx \lambda \left( \frac{\partial Q_{\mu}^{0}}{\partial \lambda} \right)_{\lambda=0}$$
(9)

where the ground state  $|0(\lambda)\rangle$  of  $\hat{H}(\lambda)$  contains a contribution of the one-phonon state  $|\mu\rangle$ :

$$|0(\lambda)\rangle \approx |0\rangle + \frac{\lambda}{2q_{\mu}} \left(\frac{\partial Q_{\mu}^{0}}{\partial \lambda}\right)_{\lambda=0} |\mu\rangle.$$
 (10)

The external potential modifies linearly the eigenenergies of the Hamiltonian and the frequency of the linear response to a boost (4) on  $|0(\lambda)\rangle$  follows

$$\left(\frac{\partial \omega_{\nu}}{\partial \lambda}\right)_{\lambda=0} = \frac{v_{\mu}}{q_{\mu}} \left(\frac{\partial Q_{\mu}^{0}}{\partial \lambda}\right)_{\lambda=0},$$
(11)

providing another direct way to extract the matrix element  $v_{\mu}$  of the residual interaction. We emphasize the fact that, here, the non-linear response is not invoked and a RPA code allowing static deformation in the ground state would be sufficient to compute such couplings.

# C. response to two simultaneous excitations

We showed two manifestations of the coupling (i) in the quadratic response and (ii) in the linear response under a static constraint. Let us now introduce a third one where the response  $Q_{\nu}(t)$  is studied after a simultaneous double boost of  $\hat{Q}_{\mu}$  and  $\hat{Q}_{\nu}$ :

$$|\Psi(0)\rangle = e^{-ik_{\mu}\hat{Q}_{\mu}}e^{-ik_{\nu}\hat{Q}_{\nu}}|0\rangle. \tag{12}$$

The  $\hat{Q}_{\mu}$  term modifies the response of Eq. (6) with an additional term

$$\Delta Q_{\nu}(t) = \langle \hat{Q}_{\nu} \rangle(t) - \langle \hat{Q}_{\nu} \rangle_{k_{\mu}=0}(t)$$

$$= 4k_{\nu}k_{\mu}q_{\nu}^{2}q_{\mu}\frac{v_{\mu}}{\omega_{\mu}}\left[1 - \cos(\omega_{\mu}t)\right]\cos(\omega_{\nu}t). \tag{13}$$

It is convenient to write this evolution with the form

$$x(t) = \frac{Q_{\nu}(t)}{\overline{Q}_{\nu}}$$

$$= \sin \omega_{\nu} t - \beta \cos \omega_{\nu} t + \frac{\beta}{2} \cos(\omega_{\mu} + \omega_{\nu})t + \frac{\beta}{2} \cos(\omega_{\mu} - \omega_{\nu})t$$
(14)

where  $\overline{Q}_{\nu} = -2k_{\nu}q_{\nu}^2$  and  $\beta = 2k_{\mu}q_{\mu}v_{\mu}/\omega_{\mu}$ . In fact, we can show that x(t) is a solution of the differential equation

$$\frac{\ddot{x}}{\omega_{\nu}^{2}} + \left[1 - 2\beta \frac{\omega_{\mu}}{\omega_{\nu}} \sin \omega_{\mu} t\right] x + \beta \frac{\omega_{\mu}^{2}}{\omega_{\nu}^{3}} \dot{x} \cos \omega_{\mu} t = 0 \tag{15}$$

if one keeps only the first order terms in  $\beta$ . The two first terms of the left hand side are equivalent to a Mathieu's equation. It is not surprising as the latter has been shown to qualitatively reproduce the preequilibrium dipole motion coupled to collective shape vibrations of the system in N/Z asymmetric fusions [19, 20].

We see in Eq. (14) that the effect of the coupling produces vibrations at frequencies  $|\omega_{\nu}\pm\omega_{\mu}|$ . By analogy to the standard response function related to the strength distribution [21] we introduce the coupling response function

$$R_{\nu}^{c}(\omega) = \frac{-1}{\pi k_{\nu} k_{\mu}} \int_{0}^{\infty} dt \cos(\omega t) \Delta Q_{\nu}(t)$$
 (16)

defined for  $\omega \geq 0$ . The latter can be used to investigate the coupling as it is linearly proportional to  $v_{\mu}$  in the small amplitude limit:

$$R_{\nu}^{c}(\omega) \approx \frac{q_{\nu}^{2} q_{\mu} v_{\mu}}{\omega_{\mu}} \left[ -2\delta(\omega_{\nu} - \omega) + \delta(\omega_{\nu} + \omega_{\mu} - \omega) + \delta(|\omega_{\nu} - \omega_{\mu}| - \omega) \right]. \tag{17}$$

Equation (17) provides then a third way to extract  $v_{\mu}$ . Note that the contributions to the coupling response function at  $\omega_{\nu}$  and those at  $|\omega_{\nu} \pm \omega_{\mu}|$  have opposite signs and that the total area of the coupling response function is zero.

# III. THE TIME DEPENDENT HARTREE-FOCK APPROACH

#### A. Applications to nuclear vibrations

Coherent motion of fermions such as collective vibrations in nuclei can be modeled by time dependent mean field approaches like the time dependent Hartree-Fock theory (TDHF) proposed by Dirac [22]. Indeed, in its linearized version, TDHF is equivalent to the Random

Phase Approximation (RPA) which is the basic tool to understand the collective vibrations in terms of independent phonons.

As we saw in the previous section, giant resonances properties can be investigated by studying the response of the system to an external (collective) one-body field. In particular, time evolution of one-body (collective) observables, which can be computed using mean-field approximations, contain the necessary information to investigate the couplings between collective modes. Indeed, TDHF takes into account the effects of the residual interaction if the considered phenomenon can be observed in the time evolution of a one-body observable. In particular, the non-linear response in TDHF contains the couplings between one- and two-phonon states coming from the 3-particle 1-hole and 1-particle 3-hole residual interaction [16]. In that sense, it goes beyond the RPA which is a harmonic picture and contains only 1-particle 1-hole residual interaction.

In its unrestricted form (i.e., with no constraint on spatial symmetry) TDHF authorizes all possible spatial forms of the nucleon wave functions which is crucial both because of shell effects and of the wave dynamics. In addition, Landau spreading and evaporation damping are well accounted for [23]. However, it does not incorporate the dissipation due to two-body mechanisms [24, 25, 26]. Inclusion of pairing correlations are possible within the time dependent Hartree-Fock-Bogolyubov theory [27], but realistic applications in three dimensions are not yet achieved. Extension to theories going beyond the one-body limit such as extended TDHF [26], second RPA [28, 29], time dependent density matrix theory [30, 31, 32] or stochastic one-body transport theory [33] should be considered for realistic description of giant resonance properties [34].

Application of TDHF to nuclear dynamics has been possible thanks to the Skyrme-type effective interaction [35, 36]. Early realistic TDHF codes have been applied to study collective vibrations in nuclei with simplified Skyrme interactions [37]. Recent increase of computational power allowed realistic TDHF description of giant resonances in 3 dimensions with full Skyrme energy density functional (EDF) [16, 38, 39, 40, 41]. In particular, TDHF has been used to investigate non-linear effects in nuclear vibrations [16, 42].

# B. Formalism

The TDHF equation can be written as a Liouville-Von Neumann equation

$$i\frac{\partial}{\partial t}\rho = [h[\rho], \rho],$$
 (18)

where  $\rho$  is the one-body density matrix of an independent particles state with elements

$$\rho(\mathbf{r}sq, \mathbf{r}'s'q') = \sum_{i=1}^{A} \varphi_i(\mathbf{r}sq)\varphi_i^*(\mathbf{r}'s'q'), \tag{19}$$

where A is the number of nucleons. The sum runs over all occupied single particle wave functions  $\varphi_i$  and  $\mathbf{r}$ , s and q denote the nucleon position, spin and isospin respectively. The Hartree-Fock single particle Hamiltonian  $h[\rho]$  is related to the EDF, denoted by  $E[\rho]$ , through

$$h[\rho](\mathbf{r}sq, \mathbf{r}'s'q') = \frac{\delta E[\rho]}{\delta \rho(\mathbf{r}'s'q', \mathbf{r}sq)}.$$
(20)

# C. Numerical details

In this work, the TDHF equation (18) is solved iteratively in time on a spatial grid with a plane of symmetry using the TDHF3D code built by P. Bonche and coworkers [43] with the SLy4 parameterization of the Skyrme EDF [44]. Good convergences of the quadrupole and dipole moments evolution is ensured with a lattice spacing  $\Delta r = 0.6$  fm and a time step  $\Delta t = 5 \times 10^{-25}$  s. The size of the half box where the single particle wave functions are evolved is  $80 \times 80 \times 40$  in mesh size unit  $\Delta r$ , unless otherwise specified.

#### IV. RESULTS

Let us now investigate the coupling between isovector dipole and isoscalar quadrupole vibrations in tin isotopes in the framework of the theoretical model presented in Sec. II where  $|\nu\rangle \equiv |D\rangle$  and  $|\mu\rangle \equiv |Q\rangle$  denote a GDR and a GQR phonon respectively. The isovector dipole moment is defined as

$$\hat{Q}_D = \frac{NZ}{A}(\hat{Z}_n - \hat{Z}_p) \tag{21}$$

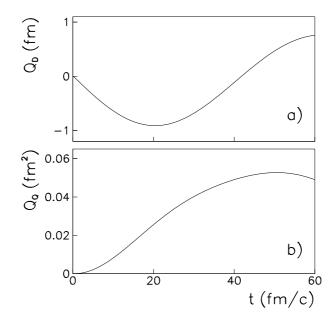


FIG. 1: Time evolution of the dipole (a) and quadrupole (b) moments in  $^{120}$ Sn after a dipole boost with an intensity  $k_D = 0.01 \text{ fm}^{-1}$ .

where  $\hat{Z}_n$  (resp.  $\hat{Z}_p$ ) measures the neutron (resp. proton) average position on the z-axis. The isoscalar quadrupole moment reads

$$\hat{Q}_Q = \sqrt{\frac{5}{16\pi}} \sum_{i=1}^{A} (2\hat{z}_i^2 - \hat{x}_i^2 - \hat{y}_i^2). \tag{22}$$

Their expectation values evolutions are computed using the TDHF3D code after different initial conditions as described below.

# A. Non-linear quadrupole motion induced by a dipole boost

We first investigate the quadratic response presented in Sec. II A in the <sup>120</sup>Sn nucleus. Figure 1(a) shows the early time evolution of the dipole moment after a dipole boost according to Eq. (4) in the small amplitude regime. The dipole moment follows a – sin function as indicated by Eq. (6). Extracting the frequency from the first minimum of D(t) leads to a GDR energy of  $\omega_D \approx 15.3$  MeV. This value is in good agreement with experimental data where a peak energy of  $E_{GDR}^{exp.} = 15.4$  MeV has been obtained [45]. Note that such a comparison is possible because almost all the strength is located around the GDR energy in <sup>120</sup>Sn. To be more precise, the extraction method of  $\omega_{\nu}$  from the first extremum of  $Q_{\nu}(t)$  is,

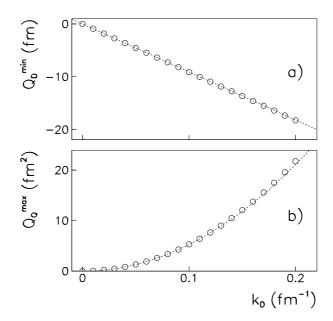


FIG. 2: Circles: First minimum and maximum of the dipole (a) and quadrupole (b) moments evolution respectively in  $^{120}$ Sn as function of the dipole boost intensity  $k_D$ . Dashed lines: linear and quadratic extrapolations at  $k_D \to 0$  of the dipole (a) and quadrupole (b) amplitudes respectively.

in first approximation, comparable to the ratio of the second over the first energy weighted moments of the strength function  $m_2/m_1$  [16].

We see in figure 1(b) that an oscillation of the quadrupole moment is induced by the dipole boost. According to the theoretical model presented in Sec. II, this is a manifestation of the residual interaction of Eq. (1) coupling the dipole and quadrupole vibrations. In particular  $Q_Q(t)$  starts in phase quadrature with  $Q_D(t)$  and oscillates with a smaller frequency. These observations are in qualitative agreement with the quadratic response in Eq. (7).

To get a deeper insight into this coupling, we have computed the TDHF response for several dipole boost velocities  $k_D$ . The first extrema of the dipole and quadrupole moments are reported in figure 2(a) and (b) respectively. Whereas the dipole amplitude is indeed linear in  $k_D$  as expected from equation (6), indicating that these calculations are performed in the small amplitude regime, the induced quadrupole motion is quadratic in  $k_D$ , in agreement with Eq. (7).

To obtain a quantitative estimate of the coupling, we first extract the transition amplitude from a linear extrapolation of  $Q_D^{min}$  at  $k_D \to 0$  in Fig. 2(a). According to Eq. (6), we get  $q_D \approx 6.73$  fm. The same analysis with a quadrupole boost in the linear regime gives a

transition amplitude  $q_Q \approx 56.5 \text{ fm}^2$  and an energy of the GQR  $\omega_Q \approx 13.3 \text{ MeV}$ , in excellent agreement with the experimental value  $E_{GQR}^{exp.} = 13.24 \pm 0.13 \text{ MeV}$  [46]. These quantities, together with a quadratic extrapolation of the quadrupole maximum at  $k_D \to 0$  in Fig. 2(b), give, according to Eq. (7), a matrix element of the residual interaction  $v_Q^{(1)} \approx -0.68 \text{ MeV}$ .

# B. Dipole motion in a nucleus with a static quadrupole constraint

The formalism developed in Sec. IIB, where the linear response is investigated in an external potential, cannot be directly applied to study the coupling between the dipole and quadrupole modes. The reason is that the external potential  $-\lambda \hat{Q}_Q$  with the definition of Eq. (22) is not bound from below and its use in constrained HF calculations would lead to unphysical results. It is then necessary to consider another external potential such as

$$\lambda(\hat{Q}_Q + \kappa_\lambda \hat{Q}_M) \tag{23}$$

where

$$\hat{Q}_M = \frac{1}{\sqrt{4\pi}} \sum_{i=1}^{A} \hat{\mathbf{r}}_i^2 \tag{24}$$

is the monopole moment and  $\kappa_{\lambda} = \sqrt{5}/2$  if  $\lambda \geq 0$  and  $-\sqrt{5}$  if  $\lambda < 0$ . The expression (23) then reads

$$3\sqrt{\frac{5}{16\pi}}\lambda \sum_{i=1}^{A} \begin{cases} \hat{z}_i^2 & \text{if } \lambda \ge 0, \\ -\hat{x}_i^2 - \hat{y}_i^2 & \text{if } \lambda < 0. \end{cases}$$
 (25)

Such an external field allows to explore all quadrupole deformations from oblate  $(\lambda > 0)$  to prolate  $(\lambda < 0)$  shapes as shown in figure 3(a) where the ground state quadrupole deformation  $Q_Q^0$  of the constrained HF solution is plotted as function of the Lagrange parameter  $\lambda$ . The quadrupole deformation is clearly linear in this perturbative regime and its slope at the origin is  $\frac{\partial Q_Q^0}{\partial \lambda}\Big|_{\lambda=0} \approx -655.2 \text{ fm}^4.\text{MeV}^{-1}$ .

As discussed in Sec. IIB, such a static deformation is expected to change the dipole frequency as compared to the one of the GDR excited on the spherical ground state. In fact, the frequency of a dipole oscillation along the main quadrupole axis decreases (resp. increases) with a prolate (resp. oblate) deformation. This is indeed what we observe in figure 3(b) where the energy of the GDR is plotted as function of  $\lambda$ . Note that, according to Eq. (11), this is consistent with the negative sign of the ratio  $v_Q/q_Q$  obtained in Sec. IV A.

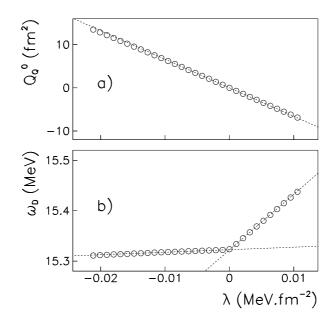


FIG. 3: (a) Static quadrupole moment from HF calculation (circles) under a quadrupole+monopole constraint (see text) as function of the Lagrange parameter  $\lambda$  in <sup>120</sup>Sn. (b) TDHF energy of the GDR (circles) from the first minimum of the dipole moment after a dipole boost along the deformation axis with an intensity  $k_D = 0.01 \text{ fm}^{-1}$ . Dashed lines: linear extrapolations at  $\lambda \to 0^{\pm}$  of the quadrupole moment (a) and GDR energy (b).

We also observe in Fig. 3(b) that the evolution of this energy is linear both for  $\lambda > 0$  and  $\lambda < 0$ , but the slopes are different in these two regimes. This is attributed to the presence of the monopole moment in the constraint (23). Indeed, the monopole vibration is also coupled to the dipole mode by a matrix element  $v_M$  of the residual interaction [15, 16]. According to Eq. (11), the dipole energy is expected to be modified as

$$\omega_D(\lambda) \approx \omega_D(0) + \lambda \frac{v_Q}{q_Q} \left(\frac{\partial Q_Q^0}{\partial \lambda}\right)_{\lambda=0} + \lambda \kappa_\lambda \frac{v_M}{q_M} \left(\frac{\partial Q_M^0}{\partial \lambda}\right)_{\lambda=0}.$$
 (26)

A compression of the nucleus increases the dipole frequency, which implies that  $v_M/q_M < 0$ . As  $\lambda \kappa_{\lambda} \geq 0$  for all  $\lambda$ , the monopole and quadrupole moments have an opposite effect on  $\omega_D$  for  $\lambda < 0$  and act in the same direction for  $\lambda > 0$ . This is indeed what we observe in Fig. 3(b) where the effect of the constraint almost cancels on the prolate side while it increases strongly the GDR energy in the oblate one.

Finally, starting from Eq. (26), it is possible to isolate the coupling matrix element

between the dipole and quadrupole modes

$$v_Q = \left(\frac{\partial Q_Q^0}{\partial \lambda}\right)_{\lambda=0}^{-1} \frac{q_Q}{3} \left\{ \left(\frac{\partial \omega_D}{\partial \lambda}\right)_{\lambda \to 0^-} + 2\left(\frac{\partial \omega_D}{\partial \lambda}\right)_{\lambda \to 0^+} \right\}. \tag{27}$$

Using the data extracted from Fig. 3 and the value of  $q_Q$  obtained in Sec. IV A, we get  $v_Q^{(2)} \approx -0.65$  MeV. This result is in good agreement with the one obtained with the quadratic response.

# C. Response to a dipole+quadrupole boost

A third manifestation of the coupling between dipole and quadrupole motions occurs when both a dipole and a quadrupole boost are performed at initial time. We showed in Sec. II C that, in such a case, the dipole motion is affected by the quadrupole vibration. Such effect is not present in the linear response theory as the modifications are proportional to  $k_D k_Q$ . As can be seen in Eq. (6), there is no other quadratic term as the next order terms affecting the dipole motion are in  $k_D^3$  and  $k_Q^3$ .

The basic tool to study the effect of the coupling on the dipole motion is the coupling response function defined in Eq. (16). In principle, its calculation implies to follow the dipole moment over an infinite time. However, we use a filtering procedure to avoid numerical artefacts coming from the interaction of the nucleus with reflected nucleon wave functions due to the hard box boundary conditions [47]. We perform the calculations over 1000 iterations in time (150 fm/c). The dipole moment is multiplied by a filtering function exp  $\left|-\frac{1}{2}\left(\frac{t}{\tau}\right)^2\right|$ with  $\tau = 170$  fm/c [39]. This procedure induces an additional width of  $\tau^{-1} \approx 1.2$  MeV. According to Eq. (17), this additional width is sufficiently small for the present discussion as the modes in the coupling response function are located at  $\omega_D - \omega_Q \approx 2.0$ ,  $\omega_D \approx 15.3$ , and  $\omega_D + \omega_Q \approx 28.6$  MeV. However, the low energy part of the spectrum, i.e., in the region of the  $\omega_D - \omega_Q$  peak, is dependent on the choice of the filtering function within these numerical conditions. We checked with other filtering functions, e.g., a cosine instead of a gaussian function, that the higher part of the spectrum (above  $\approx 10 \text{ MeV}$ ) is not affected. In addition, the filtering function does not change the fact that the total area of the coupling response function vanishes (see Sec. IIC). The latter has been found to be a solid numerical property of this function. Finally, we checked the convergence of the results presented in this section by comparing with calculations performed in a bigger box of  $120 \times 120 \times 60$  in

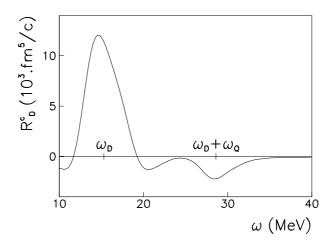


FIG. 4: Coupling response function of the dipole moment after a dipole+quadrupole boost with intensities  $k_D = 0.01 \text{ fm}^{-1}$  and  $k_Q = 0.001 \text{ fm}^{-2}$  respectively.

mesh size unit  $\Delta r$ .

Figure 4 shows the coupling response function for the dipole motion following a quadrupole+dipole boost. We checked that, in the small amplitude limit, the coupling response function is indeed independent of  $k_Q$  and  $k_D$ . As expected from Eq. (17), two peaks are present in this energy range at  $\omega_D$  and  $\omega_D + \omega_Q$  with opposite signs. Moreover, the integral of the positive peak at  $\omega_D$  is directly related to the coupling as

$$v_Q = -\frac{\omega_Q}{2q_D^2 q_Q} \int_{R_D^c > 0} d\omega \ R_D^c(\omega). \tag{28}$$

With the coefficients calculated in Sec. IV A, we obtain  $v^{(3)} \approx -0.68$  MeV, in good agreement with the two previous methods.

Let us finally note that, in case of more complicated vibrations, e.g., achromatic oscillations, the coupling response function can be used for a more detailed investigation of the coupling. Indeed, it allows an analysis of the coupling effect at each energy whereas the two previous methods give only access to a weighted sum of the matrix elements of the residual interaction associated to each excited mode [16].

# D. Evolution of the coupling with isospin and mass

We now repeat the study of the linear quadrupole motion induced by a dipole boost, described in Sec. IV A, to the tin isotopic chain. The choice of this method to investigate

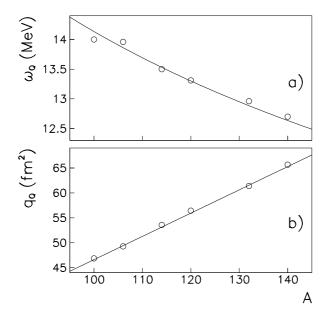


FIG. 5: Evolution of (a) the GQR energy and (b) the transition amplitude as function of the number of nucleons in tin isotopes from TDHF linear response (circles). The line in panel (a) represents a  $A^{-1/3}$  fit of the TDHF results while the line in panel (b) is obtained from considerations on the GQR energy weighted sum rule (see text).

more systematically the coupling between dipole and quadrupole vibrations is motivated by its rather low computational time as compared to the two other methods. Our goal is to understand the evolution of  $v_Q$  as function of the isospin. To avoid any ambiguity coming from possible static deformation in the ground states, we focus on some of the tin isotopes which are spherical at the HF level:  $^{100,106,114,120,132,140}$ Sn. These isotopes allow for an investigation of the coupling from the proton rich to the neutron rich side.

Let us first investigate the linear response to a quadrupole boost (Eq. (4)) in order to compute the energies  $\omega_Q$  and transition amplitudes  $q_Q$  from the first minimum of the quadrupole moment (see Eq. (6)). These quantities are plotted in Fig. 5 as function of the number of nucleons. The GQR energy is known to be proportional to  $A^{-1/3}$  [2]. This is compatible with the TDHF results which are fitted by  $\omega_Q \approx 65.5 A^{-1/3}$  MeV. The evolution of the transition amplitude with A can be obtained from the energy weighted sum rule (EWSR) for quadrupole vibrations which reads [21]

$$S_Q^1 = \sum_{\alpha} (E_{\alpha} - E_0) |\langle \alpha | \hat{Q}_Q | 0 \rangle|^2$$

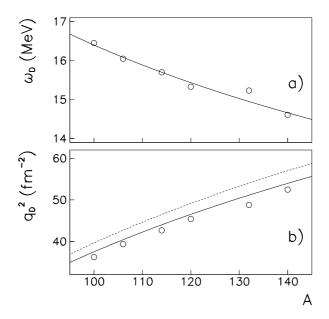


FIG. 6: Evolution of (a) the GDR energy and (b) the transition probability as function of the number of nucleons in tin isotopes from TDHF linear response (circles). The line in panel (a) represents a  $A^{-1/3}$  fit of the TDHF results. The lines in panel (b) is obtained from considerations on the GDR energy weighted sum rule (see text) with an enhancement factor of the Thomas-Reiche-Kuhn sum rule  $\kappa = 0.25$  (dashed line) and  $\kappa = 0.183$  (solid line).

$$= \frac{\hbar^2}{m} \frac{5}{4\pi} A \langle \hat{\mathbf{r}}^2 \rangle$$

$$\approx 14.3 A^{5/3} \text{ MeV.fm}^4$$
(29)

where  $\{|\alpha\rangle\}$  is an eigenbasis of  $\hat{H}$ . We used, in the last line of Eq. 29, the approximation of a constant density and a sharp surface which gives  $\langle \hat{\mathbf{r}}^L \rangle = \frac{3}{L+3} R^L$  with  $R \approx 1.2 A^{1/3}$  fm. If all the strength is located at the GQR energy, which is a rather good approximation for heavy nuclei [2], then the EWSR reduces to

$$S_Q^1 = \omega_Q q_Q^2 \approx 65.5 A^{-1/3} q_Q^2. \tag{30}$$

Eqs. (29) and (30) then lead to

$$q_Q \approx 0.466A \text{ fm}^2. \tag{31}$$

This linear dependence is plotted in Fig. 5(b) and reproduces well the TDHF results.

Let us now consider a dipole boost on these nuclei with a boost velocity  $k_D = 0.01 \text{ fm}^{-1}$ . This value is small enough to generate a linear response of the dipole moment and a quadratic response of the induced quadrupole vibration in all considered isotopes. The GDR energy  $\omega_D$  is shown as function of the number of nucleons in Fig. 6(a). It is compatible with the  $A^{-1/3}$  dependence expected in heavy nuclei [2]. A fit of the TDHF results gives

$$\omega_D \approx 76A^{-1/3} \text{ MeV}.$$
 (32)

Similarly to the quadrupole case, the dependence of the transition probability  $q_D^2$  can be obtained from the dipole EWSR

$$S_D^1 = \frac{\hbar^2}{2m} (1+\kappa) \frac{NZ}{A} \tag{33}$$

where  $\kappa$  is the enhancement factor of the Thomas-Reiche-Kuhn (TKR) sum rule. Assuming all the strength in the GDR, i.e.,  $q_D^2 = S_D^1/\omega_D$ , we get from Eqs. (32) and (33)

$$q_D^2 \approx \frac{\hbar^2}{2m} (1+\kappa) \frac{NZ}{76A^{2/3}}$$
 (34)

In nuclear matter, the enhancement factor of the TKR sum rule is  $\kappa = 0.25$  with the SLy4 parameterization [44]. This value clearly overestimate the transition probabilities (see dashed line in Fig. 6(b)), though the qualitative trend is in good agreement with the TDHF results. It is possible to compute  $\kappa$  in finite nuclei using [48]

$$\kappa = \frac{m}{4\hbar^2} \frac{A}{NZ} \left[ t_1(2+x_1) + t_2(2+x_2) \right] \int dr^3 \rho_n(\mathbf{r}) \rho_p(\mathbf{r})$$
(35)

where the  $t_i$  and  $x_i$  are the usual Skyrme parameters. In the considered tin isotopes,  $\kappa$  is almost constant within the range 0.181–0.186 with no particular isospin or mass dependence. This leads to a better agreement with the transition probabilities obtained with TDHF (see solid line in Fig. 6(b)), though a slight overestimation remains.

Finally, we investigate the coupling between the quadrupole and dipole vibrations from the quadratic response. We have shown in Sec. II A that, in the presence of a non zero matrix element  $v_Q$  of the residual interaction coupling the state  $|D\rangle$  to the state  $|DQ\rangle$ , a dipole boost is expected to generate an oscillation of the quadrupole moment. Prior to study the evolution of  $v_Q$  along the tin isotopic chain, it is mandatory to get a deeper insight into the mechanism responsible for this induced quadrupole excitation.

In a macroscopic approach, the isovector GDR is interpreted by a combination of the Steinwedel-Jensen model in which the total density is kept unchanged [49] and the Goldhaber-Teller model where proton and neutron fluids are incompressible [4]. It is obvious

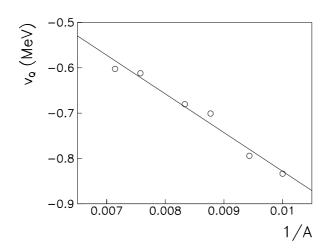


FIG. 7: Evolution of the coupling with mass number. The matrix element of the residual interaction is plotted as function of 1/A. The line shows a linear fit of the TDHF results.

that the Steinwedel-Jensen model does not affect the quadrupole moment as any modification of the density of one isospin specie is exactly compensated by the other in every point of space. In the Goldhaber-Teller model, however, a displacement of the proton and neutron spheres in opposite direction is considered. It produces a dipole moment  $Q_D = \frac{NZ}{A}X$  where X is the distance between their centers. This displacement also induces a prolate shape with a quadrupole moment quadratic in X. Indeed, assuming a displacement of a proton (resp. neutron) homogeneous sphere of density  $Z\rho_0/A$  (resp.  $N\rho_0/A$ ) by  $X_p = -XN/A$ (resp.  $X_n = XZ/A$ ) produces a quadrupole moment

$$Q_Q \propto ZX_p^2 + NX_n^2 = \frac{NZ}{A}X^2. \tag{36}$$

Using Eqs.(6) and (34), one gets  $Q_Q \propto NZ/A^{1/3}$ .

Finally, together with Eqs. (7), (31) and (34), the evolution of the coupling simply reads  $v_Q \propto 1/A$ . This is, indeed, in agreement with the TDHF results as shown in Fig. 7. It is interesting to note that, in this simple approach, the coupling does not depend on the isospin of the nuclei, but only on their total number of nucleons. In fact, the decrease of the absolute strength of the coupling with the number of nucleons is attributed to the fact that these couplings are mediated by the surface [16]. One then expect less anharmonicities in heavy nuclei.

#### V. CONCLUSIONS

We have shown that the residual interaction is responsible for anharmonicities in nuclear vibrations using three different analysis of time evolutions of multipole moments. We investigated the coupling between one and two-phonon states using a 3-dimensional TDHF code with a full Skyrme energy density functional. In particular, the excitation of a GDR couples to a GQR built on top of it, inducing a quadratic response of the quadrupole moment. The same coupling is responsible for the change of the GDR energy in static deformed states. The latter could be investigated using deformed RPA codes. As a consequence, the dipole frequency is modulated in case of dynamical deformation, e.g., induced by a quadrupole boost. This last property, associated to a Fourier analysis, might be used to investigate couplings when more than one mode is excited with the same quantum numbers. We finally investigated these couplings with the quadratic response in several spherical tin isotopes. As a result, no dependence with isospin were found while an overall decrease of the coupling is obtained with increasing mass, showing that the couplings are mediated by the surface. These observations are interpreted within the Goldhaber-Teller macroscopic model.

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