



**HAL**  
open science

## Algebraic values of analytic functions

Michel Waldschmidt

► **To cite this version:**

Michel Waldschmidt. Algebraic values of analytic functions. International Conference on Special Functions and their Applications (ICSF02), Sep 2002, Chennai, India. pp.323-333. hal-00411427

**HAL Id: hal-00411427**

**<https://hal.science/hal-00411427>**

Submitted on 27 Aug 2009

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Algebraic values of analytic functions

Michel Waldschmidt

*Institut de Mathématiques de Jussieu, Université P. et M. Curie (Paris VI),  
Théorie des Nombres Case 247, 175 rue du Chevaleret 75013 PARIS*

`miw@math.jussieu.fr`

`http://www.institut.math.jussieu.fr/~miw/`

---

## Abstract

Given an analytic function of one complex variable  $f$ , we investigate the arithmetic nature of the values of  $f$  at algebraic points. A typical question is whether  $f(\alpha)$  is a transcendental number for each algebraic number  $\alpha$ . Since there exist transcendental entire functions  $f$  such that  $f^{(t)}(\alpha) \in \mathbb{Q}[\alpha]$  for any  $t \geq 0$  and any algebraic number  $\alpha$ , one needs to restrict the situation by adding hypotheses, either on the functions, or on the points, or else on the set of values.

Among the topics we discuss are recent results due to Andrea Surroca on the number of algebraic points where a transcendental analytic function takes algebraic values, new transcendence criteria by Daniel Delbos concerning entire functions of one or several complex variables, and Diophantine properties of special values of polylogarithms.

*Key words:* Arithmetic functions, algebraic values, transcendence criterion, diophantine analysis, transcendental functions,

*PACS:*

---

## 1 Introduction

At the end of XIXth century, after the proof by Hermite and Lindemann of the transcendence of  $e^\alpha$  for non zero algebraic  $\alpha$ , the question arose:

(\*) *Does a transcendental analytic function usually takes transcendental values at algebraic points?*

---

<sup>1</sup> Journal of Computational and Applied Mathematics, to appear.  
`http://www.institut.math.jussieu.fr/~miw/articles/pdf/avaf.pdf`

In the example of the exponential function  $e^z$ , the word “usually” stands for avoiding the exception  $\alpha = 0$ . Recall also that a *transcendental* function is a function  $f$  (here a complex valued function in a single complex variable) such that, for any nonzero polynomial  $P \in \mathbb{C}[X, Y]$ , the function  $P(z, f(z))$  is not the zero function. If  $f$  is meromorphic in all of  $\mathbb{C}$ , this just means that  $f$  is not a rational function. If  $f$  is an *entire* function, namely a function which is analytic in  $\mathbb{C}$ , to say that  $f$  is a transcendental function amounts to say that it is not a polynomial.

However in 1886 Weierstrass found that a positive answer to the initial question (\*) can hold only for restricted classes of functions: he gave an example of a transcendental entire function which takes rational values at all rational points. He also suggested that there exist transcendental entire functions which take algebraic values at any algebraic point. After the early works of Strauss and Stäckel at the end of XIXth century, one knows now that for each countable subset  $\Sigma \subset \mathbb{C}$  and each dense subset  $T \subset \mathbb{C}$  there is a transcendental entire function  $f$  such that  $f(\Sigma) \subset T$ . According to [4], in case the countable set  $\Sigma$  is contained in  $\mathbb{R}$ , then the same conclusion holds also for a dense subset  $T \subset \mathbb{R}$ .

Denote by  $\overline{\mathbb{Q}}$  the field of complex algebraic number ( $\overline{\mathbb{Q}}$  is the algebraic closure of  $\mathbb{Q}$  into  $\mathbb{C}$ ). Another construction due to Stäckel produces an entire function  $f$  whose derivatives  $f^{(t)}$ , for  $t = 0, 1, \dots$ , all map  $\overline{\mathbb{Q}}$  into  $\overline{\mathbb{Q}}$ . Furthermore, Faber refined the result in  $f^{(t)}(\overline{\mathbb{Q}}) \subset \mathbb{Q}(i)$  for any  $t \geq 0$ . Later (1968), A.J. van der Poorten constructed a transcendental entire function  $f$  such that  $f^{(t)}(\alpha) \in \mathbb{Q}(\alpha)$  for any  $t \geq 0$  and any  $\alpha \in \overline{\mathbb{Q}}$ . A. Surroca [6] recently revisited this construction of van der Poorten by providing, for such a function  $f$ , a sharp lower bound for the number of  $\alpha \in \overline{\mathbb{Q}}$  of bounded degree and height such that  $f(\alpha)$  has also a bounded height (see section 2).

One may notice that all these constructions may be worked out so that the growth of the constructed function  $f$  is as small as possible: given any transcendental entire function  $\varphi$ , one may require

$$|f|_R \leq |\varphi|_R$$

for all sufficiently large  $R$ , where

$$|f|_R := \sup_{|z|=R} |f(z)|.$$

On the other hand N. Elkies and A. Surroca give, for a transcendental analytic function  $f$ , upper bounds for the number of  $\alpha \in \overline{\mathbb{Q}}$  of bounded degree and height such that  $f(\alpha) \in \overline{\mathbb{Q}}$  has also bounded degree and height. We discuss this topic in section 2.

In view of such results, one needs to restrict the initial question (\*). Most often the restriction is on the class of analytic functions: for instance one requires that the considered function satisfies some differential equation. The case of entire functions satisfying a linear differential equation provides the strongest results, related with Siegel's  $E$  functions [1], Chap. 5. Another class of functions, which are analytic only in a neighborhood of the origin, have also been introduced by C.L. Siegel under the name of  $G$ -functions [1], Chap. 5, § 7. More recently, generalizations of transcendence and independence results related to modular functions to more general classes of functions have been introduced by P. Philippon with his new class of  $K$ -functions [2]: typical such functions are Ramanujan  $P$ ,  $Q$  and  $R$  functions.

Another type of differential equation is related with the solution, by A.O. Gel'fond, of Hilbert's seventh problem, and gives rise to the Schneider-Lang criterion. Once again this criterion started from a transcendence result, it provides a general statement on the values of analytic functions, and then it yields new transcendence results (here in connection with algebraic groups). A number of variations have been produced. In section 3 we quote a new result by D. Delbos which is a variant of a result by E. Bombieri [12] dealing with functions of several variables satisfying algebraic differential equations.

A fashionable topic nowadays is the study of the arithmetic nature of special values of polylogarithms. Despite the fact that very few information is available so far on the values of Riemann zeta function, one may expect that extending the investigations to multiple zeta values will prove to be a fruitful direction. We consider this topic in section 4 where we notice that the open problem of algebraic independence of logarithms of algebraic numbers reduces to a linear independence question on the values of multiple polylogarithms at algebraic points: the point is that for  $n \geq 1$ ,  $(\log(1 - z))^n$  is a multiple polylogarithm.

## 2 Algebraic Values of Analytic Functions, Following A. Surroca

In the course of his Diophantine investigations, N. Elkies [5] devoted attention to the number of rational points of bounded height lying on a transcendental curve  $\mathcal{C}$ . Assume  $\mathcal{C}$  is a planar curve, in  $\mathbb{C}^2$ , and denote by  $\mathcal{C}(\mathbb{Q})$  the intersection  $\mathcal{C} \cap \mathbb{Q}^2$ . One expects indeed the number of  $(\alpha, \beta) \in \mathcal{C}(\mathbb{Q})$  with  $h(\alpha) \leq N$  and  $h(\beta) \leq N$  to be quite small compared with the number of  $\alpha \in \mathbb{Q}$  with  $h(\alpha) \leq N$ . Here,  $h(p/q) = \max\{\log |p|, \log q\}$  for  $p/q \in \mathbb{Q}$  with  $\gcd(p, q) = 1$  and  $q > 0$ . In particular, given an interval  $\mathcal{J} \subset \mathbb{R}$  (the problem is local), the number of  $p/q \in \mathbb{Q} \cap \mathcal{J}$  with  $h(p/q) \leq N$  is not too far from  $e^N$ .

A special case of Elkies result reads as follows:

Let  $f$  be a transcendental real analytic function on an open subset of  $\mathbb{R}$  containing an interval  $\mathcal{J}$ . For  $N > 0$ , consider the set

$$S_N = \{x \in \mathbb{Q} \cap \mathcal{J} ; f(x) \in \mathbb{Q}, h(x) \leq N, h(f(x)) \leq N\}.$$

Then, for each  $\epsilon > 0$ , there exists  $N_0 > 0$  such that

$$|S_N| \leq e^{\epsilon N} \quad \text{for } N \geq N_0.$$

Several questions then arise: is this estimate best possible? Is it possible to improve it for infinitely many  $N$  (in place of all sufficiently large  $N$ )? Do similar results hold for *algebraic points* on a transcendental curve, in place of rational points?

These questions, and much more, are addressed by A. Surroca [6]. Denote by  $h(\alpha)$  the absolute logarithmic height of an algebraic number  $\alpha$ :

$$h(\alpha) = \frac{1}{[\mathbb{Q}(\alpha) : \mathbb{Q}]} \log M(\alpha),$$

where

$$M(\alpha) = \prod_v \max\{1, |\alpha|_v\}$$

is Mahler's measure of  $\alpha$  (and  $v$  ranges over the set of normalized absolute values of  $\mathbb{Q}(\alpha)$ ). For  $\alpha = p/q \in \mathbb{Q}$ , one recovers the previous definition of  $h(p/q)$ .

The number of algebraic numbers  $\alpha$  with  $[\mathbb{Q}(\alpha) : \mathbb{Q}] \leq D$  and  $h(\alpha) \leq N$  has been investigated by many a mathematician, including Schanuel, Evertse, Schmidt, Loher and Masser (see for instance [7,8]). Loosely speaking, a rough estimate is  $e^{D(D+1)N}$ .

In [6], A. Surroca shows that Elkies' result is not far from best possible, to a certain extent:

**Theorem 1** *Let  $\phi$  be a positive valued real function such that  $\phi(x)/x \rightarrow 0$  as  $x \rightarrow \infty$ . There exist a transcendental entire function  $f$  satisfying*

$$f^{(t)}(\alpha) \in \mathbb{Q}(\alpha) \quad \text{for all } t \geq 0 \quad \text{and } \alpha \in \overline{\mathbb{Q}}$$

*and such that, for any positive integer  $D$ , there are infinitely many  $N \geq 0$ , for which the set*

$$S_N = \{\alpha \in \overline{\mathbb{Q}} ; |\alpha| \leq 1, [\mathbb{Q}(\alpha) : \mathbb{Q}] \leq D, h(\alpha) \leq N, h(f(\alpha)) \leq N\}$$

*has cardinality*

$$|S_N| \geq e^{D(D+1)\phi(N)}.$$

In the other direction, using transcendence arguments, A. Surroca [6] proves the following result, which is exponentially sharper than Elkies' one, but is valid only for infinitely many  $N$ :

**Theorem 2** *Let  $\mathcal{U}$  be a connected open set in  $\mathbb{C}$  and  $\mathcal{K}$  a compact in  $\mathcal{U}$ . There exists a positive real number  $c > 0$  such that, for any transcendental complex analytic function  $f$  in  $\mathcal{U}$  and any positive integer  $D$ , there are infinitely many integers  $N$  for which the set*

$$S_N = \{\alpha \in \overline{\mathbb{Q}} \cap \mathcal{K} ; f(\alpha) \in \overline{\mathbb{Q}}, [\mathbb{Q}(\alpha, f(\alpha)) : \mathbb{Q}] \leq D, h(\alpha) \leq N, h(f(\alpha)) \leq N\}$$

has

$$|S_N| \leq cD^3N^2.$$

An explicit value for  $c$  follows from [6]. Further results, involving multiplicities, as well as  $S$ -integers, are given in [6].

### 3 Transcendence Criteria for Entire Functions of One or Several Complex Variables

In this section we concentrate on *entire functions* in one or several complex variables: the local question of analytic functions in an open subset is only briefly discussed at the end of § 3. For an entire function  $f$  in  $\mathbb{C}^n$ , we denote

$$|f|_R = \sup_{|z_1|=\dots=|z_n|=R} |f(z_1, \dots, z_n)|$$

the maximum modulus of  $f$  on a polydisc of radius  $R$  (any other norm would do), and we say that  $f$  has order  $\leq \varrho$  if

$$\limsup_{R \rightarrow \infty} \frac{1}{R^\varrho} \log |f|_R < \infty.$$

We start with the easier case  $n = 1$ . A simple case of Schneider-Lang Transcendence Criterion in one variable ([10] Chap. III, Th. 1) is :

**Theorem 3** *Let  $f_1, f_2$  be two algebraically independent entire functions of finite order in  $\mathbb{C}$  and let  $K$  be a number field. Assume*

$$f'_j \in K[f_1, f_2] \quad \text{for } j = 1 \text{ and } j = 2.$$

Then the set

$$S = \{w \in \mathbb{C} ; f_j(w) \in K \quad \text{for } j = 1 \text{ and } j = 2\}$$

is finite.

Upper bounds for the number of elements in  $S$  are known – but here we are just interested in the finiteness result.

One deduces Hermite-Lindemann's Theorem on the transcendence of  $e^\beta$  for algebraic  $\beta \neq 0$  by considering

$$f_1(z) = z, \quad f_2(z) = e^z, \quad S = \{m\beta; m \in \mathbb{Z}\}.$$

Notice that when  $f_1(z) = z$ , the assumption that  $f_1, f_2$  are algebraically independent just means that  $f_2$  is a transcendental function.

Another consequence of Theorem 3 is Gel'fond-Schneider's Theorem on the transcendence of  $\alpha^\beta$  for algebraic  $\alpha$  and  $\beta$  with  $\alpha \neq 0$ ,  $\beta \notin \mathbb{Q}$ ,  $\log \alpha \neq 0$  and  $\alpha^\beta = \exp\{\beta \log \alpha\}$ : just consider

$$f_1(z) = e^z, \quad f_2(z) = e^{\beta z}, \quad S = \{m \log \alpha; m \in \mathbb{Z}\}.$$

Theorem 3 is only a special case of Schneider-Lang's criterion in one variable in [10], Chap. IV: the full statement deals with meromorphic functions and more general differential equations; in particular it applies to elliptic and even to abelian functions.

An extension of Theorem 3 to several complex variables has also been considered by Schneider and Lang; it deals with Cartesian products ([10] Chap. IV, Th. 1 and [11], Chap. 4, § 4.1). Here is a simplified statement, which is sufficient for us.

**Theorem 4** *Let  $f_1, \dots, f_{n+1}$  be algebraically independent entire functions of finite order in  $\mathbb{C}^n$ ,  $K$  a number field,  $(e_1, \dots, e_n)$  a basis of  $\mathbb{C}^n$  over  $\mathbb{C}$  and  $S_1, \dots, S_n$  subsets of  $\mathbb{C}$ . Assume*

$$(\partial/\partial z_\nu) f_j' \in K[f_1, \dots, f_{n+1}] \quad \text{for } 1 \leq j \leq n+1 \text{ and } 1 \leq \nu \leq n.$$

*Assume also*

$$f_j(w_1 e_1 + \dots + w_n e_n) \in K$$

*for any  $j = 1, \dots, n+1$  and any  $(w_1, \dots, w_n) \in S_1 \times \dots \times S_n$ . Then one at least of the sets  $S_1, \dots, S_n$  is finite.*

This topic was first investigated in 1941 by Th. Schneider when he proved the transcendence of the values of the beta function  $B(a, b)$  at rational numbers  $a$  and  $b$  with  $a, b$  and  $a + b$  not in  $\mathbb{Z}$ . It was further studied by S. Lang around 1964 in connection with transcendence results on algebraic varieties.

In 1980, D. Bertrand and D.W. Masser pointed out that Baker's result on the linear independence of logarithms of algebraic numbers was in fact a corollary of Theorem 4 (see Chap. 4 of [11]).

According to [10] Chap. IV, Nagata suggested that under the assumptions of Theorem 4, the set

$$S = \{w \in \mathbb{C}^n ; f_j(w) \in K \text{ for } 1 \leq j \leq n+1\}$$

is contained in an algebraic hypersurface: this is obviously a stronger statement than Theorem 4. This suggestion turns out to be right, as shown by E. Bombieri in 1970 [12]:

**Theorem 5** *Let  $f_1, \dots, f_{n+1}$  be algebraically independent entire functions of finite order in  $\mathbb{C}^n$  and  $K$  a number field. Assume*

$$(\partial/\partial z_\nu) f_j' \in K[f_1, \dots, f_{n+1}] \text{ for } 1 \leq j \leq n+1 \text{ and } 1 \leq \nu \leq n.$$

*Then the set*

$$S = \{w \in \mathbb{C}^n ; f_j(w) \in K \text{ for } 1 \leq j \leq n+1\}$$

*is contained in an algebraic hypersurface.*

Bombieri's conclusion deals with the source set  $S \subset \mathbb{C}^n$ . Another type of result has just been produced by D. Delbos: under the same hypotheses as Theorem 5, the conclusion deals with the range set  $\underline{f}(S) \subset \mathbb{C}^{n+1}$ , where

$$\underline{f} = (f_1, \dots, f_{n+1}) : \mathbb{C}^n \rightarrow \mathbb{C}^{n+1}.$$

**Theorem 6** *Let  $f_1, \dots, f_\ell$  be entire functions of finite order in  $\mathbb{C}^n$  and  $K$  a number field. Assume*

$$(\partial/\partial z_\nu) f_j' \in K[f_1, \dots, f_{n+1}] \text{ for } 1 \leq j \leq \ell \text{ and } 1 \leq \nu \leq n.$$

*Let  $S$  be a finite subset of  $\mathbb{C}$  such that*

$$f_j(w) \in K \text{ for all } w \in S \text{ and } 1 \leq j \leq \ell.$$

*Then there exists a positive constant  $c$  and a positive integer  $M$  such that, for each integer  $N \geq M$ , there is a nonzero polynomial  $Q_N$  in  $\mathbb{C}[X_1, \dots, X_\ell]$  of degree  $\leq cN^{\ell/n}$  such that the function  $F_N = Q_N(f_1, \dots, f_\ell)$  has a zero of multiplicity  $\geq N$  at each point of  $S$ .*

Again this result contains Baker's Theorem on the linear independence of logarithms of algebraic numbers: starting with a nontrivial linear relation

$$\beta_0 + \beta_1 \log \alpha_1 + \dots + \beta_{n-1} \log \alpha_{n-1} = \log \alpha_n$$

with algebraic  $\alpha$ 's and  $\beta$ 's, one considers the functions

$$z_0, e^{z_1}, \dots, e^{z_{n-1}}, e^{\beta_0 + \beta_1 z_1 + \dots + \beta_{n-1} z_{n-1}}.$$



The proof of Baker's result along these lines is more natural than the approach by Bertrand-Masser using Theorem 4, but it requires a zero-estimate. On the other hand, in contrast with the proof by Bertrand and Masser, it is effective and yields quantitative results (measures of linear independence for logarithms of algebraic numbers.)

Other criteria are available from [13]. One may point out that such criteria also apply to real analytic functions, by means of the "elementary approach" of Gel'fond and Linnik in [14]. See [15] and [16] for the methods of Schneider and Gel'fond respectively. However, according to Delbos, the hypotheses which are necessary for the elementary method to work (involving Rolle Theorem for real functions as a substitute to Schwarz' Lemma for complex functions) usually imply that the functions are just restrictions to the real line of complex analytic functions of finite order.

Further, most results in this section extend easily to meromorphic functions of several variables (essentially, one only needs to avoid singularities). Furthermore, extensions are possible to functions which are defined only locally, say in a polydisc in  $\mathbb{C}^n$ , but then the results are usually weaker: this is the main reason for which  $p$ -adic results are sometimes weaker than their complex analogues. A witness of this difficulty is the open problem of proving a  $p$ -adic analogue of the Lindemann-Weierstrass Theorem on the algebraic independence of  $e^{\alpha_1}, \dots, e^{\alpha_n}$  for linearly independent algebraic  $\alpha$ 's.

## 4 Polylogarithms

The classical polylogarithms

$$\text{Li}_s(z) = \sum_{n \geq 1} \frac{z^n}{n^s}$$

for  $s = 1, 2, \dots$  and  $|z| \leq 1$  with  $(s, z) \neq (1, 1)$ , are ubiquitous. The study of the arithmetic nature of their special values is a fascinating subject [18]: very few is known.

Several recent investigations concern the values of these functions at  $z = 1$ : these are the values at the positive integers of Riemann zeta function

$$\zeta(s) = \text{Li}_s(1) = \sum_{n \geq 1} \frac{1}{n^s}$$

for  $s = 2, 3, \dots$

One knows that  $\zeta(3)$  is irrational (Apéry, 1978), and that infinitely many

values  $\zeta(2n + 1)$  of the zeta function at odd integers are irrational (see the lectures by T. Rivoal and W. Zudilin at this conference).

A folklore conjecture is that the numbers

$$\zeta(2), \zeta(3), \zeta(5), \dots, \zeta(2n + 1)$$

do not satisfy any non trivial algebraic relation with rational coefficients: this amounts to say that *the values at the odd integers of Riemann zeta function, namely  $\zeta(3), \zeta(5), \dots$ , are algebraically independent over the field  $\mathbb{Q}(\pi)$ .*

It is far easier to prove a statement of linear independence rather than a statement of algebraic independence. For instance, according to the Lindemann-Weierstrass' Theorem on the algebraic independence of values of the exponential function, the numbers  $e^{\alpha_1}, e^{\alpha_2}, e^{\alpha_3}, \dots$  are *algebraically independent* if the algebraic numbers  $\alpha_1, \alpha_2, \alpha_3 \dots$  are *linearly independent* over the rational number field. However most proofs establish the equivalent statement that the numbers  $e^{\beta_1}, e^{\beta_2}, e^{\beta_3}, \dots$  are *linearly independent* over the field of rational numbers if the algebraic numbers  $\beta_1, \beta_2, \beta_3 \dots$  are *pairwise distinct*. In the same way, the algebraic independence problem for the values of the Riemann zeta function boils down to a linear independence result for the values of multiple polylogarithms in one single variable, namely

$$\zeta(\underline{s}) = \text{Li}_{\underline{s}}(1) = \sum_{n_1 > n_2 > \dots > n_k \geq 1} \frac{1}{n_1^{s_1} \dots n_k^{s_k}}$$

for  $\underline{s} = (s_1, \dots, s_k)$  with  $s_1 \geq 2$ , where

$$\text{Li}_{\underline{s}}(z) = \sum_{n_1 > n_2 > \dots > n_k \geq 1} \frac{z^{n_1}}{n_1^{s_1} \dots n_k^{s_k}}$$

for  $z \in \mathbb{C}$ ,  $|z| \leq 1$  with  $(z, s_1) \neq (1, 1)$ .

There are plenty of linear relations among these numbers, and a conjecture of Zagier [17–19] predicts a precise value for the dimension  $d_n$  of the vector space over the rational number field spanned by these numbers  $\zeta(\underline{s})$  restricted to  $s_1 + \dots + s_k = n$ , namely

$$d_n = d_{n-2} + d_{n-3}$$

with  $d_1 = 0$ ,  $d_2 = 1$ . It is known that  $d_3 = 1$  because  $\zeta(3) = \zeta(2, 1)$ , also  $d_4 = 1$  because

$$\zeta(4) = \zeta(2, 1, 1) = 4\zeta(3, 1) = \frac{4}{3}\zeta(2, 2),$$

but  $d_5 = 2$  is equivalent to the open problem to prove that  $\zeta(5)/\zeta(2)\zeta(3)$  is irrational.

The fact that the integers  $d_n$  are bounded from above by the numbers defined by this inductive formula has just been proved by T. Terasoma in [20]. As pointed out in [20], the same result was announced by A.B. Goncharov in his preprints AG/0005069 and AG/0103059. See the related paper by P. Deligne and A.B. Goncharov NT/0302267.

Several related conjectures are explained by Cartier [18]. Also the values at roots of unity of the functions  $\text{Li}_{\underline{s}}(z)$  have been considered by a number of authors: in this case it is appropriate to consider polylogarithms in several variables

$$\sum_{n_1 > n_2 > \dots > n_k \geq 1} \frac{z_1^{n_1} \dots z_k^{n_k}}{n_1^{s_1} \dots n_k^{s_k}}$$

for  $\underline{z} = (z_1, \dots, z_k) \in \mathbb{C}$ ,  $|z_i| \leq 1$  with  $(z_1, s_1) \neq (1, 1)$ . However here we shall consider only multiple polylogarithms in a single complex variable.

We describe now another example of linearization of an algebraic independence question. One of the main conjectures in transcendental number theory states that *linearly independent numbers  $\ell$  for which  $e^\ell$  are algebraic* (one says usually that such  $\ell$  is a *logarithm of an algebraic number*) *are algebraically independent*. Let us show how this question reduces to a linear independence problem on special values, at algebraic points, of multiple polylogarithms.

For simplicity let  $\alpha_1, \dots, \alpha_m$  be *positive real* algebraic numbers such that the numbers  $\ell_1 = \log \alpha_1, \dots, \ell_m = \log \alpha_m$  are linearly independent.

We introduce the notation  $\{1\}_n$  for the sequence  $(1, 1, \dots, 1)$  with  $n$  occurrences of 1. It is easy to check that

$$\text{Li}_{\{1\}_n}(z) = \frac{(-1)^n}{n!} (\log(1-z))^n$$

for  $n \geq 1$  and  $|z| \leq 1$  with  $z \neq 1$  (this is formula (1.1) of [19]).

Let  $m$  and  $d$  be two positive integers and  $Z_{ij}$  be variables, with  $1 \leq i \leq m$  and  $1 \leq j \leq \binom{d+1}{m}$ . The determinant

$$\Delta(\underline{Z}) = (Z_{1j}^{i_1} \dots Z_{mj}^{i_m}),$$

with  $i_1 + \dots + i_m \leq d$  and  $1 \leq j \leq \binom{d+1}{m}$ , as a polynomial in the variables  $Z_{ij}$ , does not vanish identically. Let  $a_{ij}$  be rational numbers such that the determinant  $\Delta$  does not vanish at the corresponding point. Writing

$$(X_0 + a_{1j}X_1 + \dots + a_{mj}X_m)^d$$

as a linear form in the monomials  $X_0^{i_0} \dots X_m^{i_m}$  with  $i_0 + \dots + i_m = d$ , we deduce that each of these monomials is a linear combination with rational coefficients of  $(X_0 + a_{1j}X_1 + \dots + a_{mj}X_m)^d$  for  $1 \leq j \leq \binom{d+1}{m}$ .

Since

$$\log u + \log v = \log(uv)$$

for  $u, v > 0$ , it follows that the question of algebraic independence of logarithms of positive algebraic numbers  $\ell_1, \dots, \ell_m$  can be reduced to a question of linear independence of values of the multiple polylogarithms  $\text{Li}_{\{1\}_n}$ , ( $n \geq 1$ ) (in a single variable), at algebraic points

$$1 - \ell_1^{b_1} \dots \ell_m^{b_m}$$

where  $b_1, \dots, b_m$  are positive integers.

For instance we translate the (real case of the) four exponentials conjecture, namely:

- *If  $a, b, c, d$  are algebraic numbers in the range  $0 < x < 1$  such that*

$$(\log a)(\log b) = (\log c)(\log d),$$

*then there exists  $(p, q) \in \mathbb{Z}^2 \setminus \{(0, 0)\}$  such that*

$$a^p = c^q \quad \text{and} \quad b^q = d^p$$

as follows:

- *Define  $f(x) = \text{Li}_{11}(1-x) = (1/2)(\log x)^2$  for  $0 < x < 1$ . If  $a, b, c, d$  are algebraic numbers in the range  $0 < x < 1$  such that*

$$f(ab) - f(a) - f(b) = f(cd) - f(c) - f(d),$$

*then there exists  $(p, q) \in \mathbb{Z}^2 \setminus \{(0, 0)\}$  such that*

$$a^p = c^q \quad \text{and} \quad b^q = d^p$$

Polylogarithms in one variable are related by differential equation, namely they have a generating series which satisfies the Knizhnik-Zamolodchikov equation

$$y' = \left( \frac{x_0}{z} + \frac{x_1}{1-z} \right) y,$$

where  $x_0$  and  $x_1$  are two non-commuting variables (see [18]).

Hence in the previous discussion differential equations are always there. However in the hypotheses of Ramachandra's criterion [9], no differential equation is required, but functional equations (like  $f(u+v) = f(u)f(v)$  for the usual exponential function) are there. Also,  $q$ -analogues of some the above questions have been extensively studied by Tschakaloff, Lototskii, Bundschuh, Wallisser,

Popov and others (see for instance [1] Chap. 2, § 8). A typical example is the entire function

$$f(z) = \prod_{n \geq 1} (1 + zq^{-n})$$

for  $q \in \mathbb{C}$ ,  $|q| > 1$ , which is solution of the functional equation

$$f(qz) = (1 + z)f(z).$$

## References

- [1] Fel'dman, N. I.; Nesterenko, Y. V. – *Number theory. IV. Transcendental Numbers*. Encyclopaedia of Mathematical Sciences, **44**. Springer-Verlag, 1998.

In [1] are most references to the subject before 1998, including to Siegel  $E$  and  $G$  functions. Philippon  $K$ -functions are introduced in the next reference:

- [2] Philippon, P. – *Indépendance algébrique et  $K$ -fonctions*. J. reine angew. Math., **497** (1998), 1–15.

Early references to construction of transcendental analytic functions taking a lot of algebraic values are provided in Mahler's Lecture Notes:

- [3] Mahler, K. – *Lectures on transcendental numbers*. Lecture Notes in Mathematics, Vol. **546**. Springer-Verlag, 1976.

For a survey of this topic including further references to more recent results, see:

- [4] Gramain, F. – *Fonctions entières arithmétiques: un aperçu historique*, Pub. IRMA-Lille, Vol.VI -Fasc.2 -n.1, 1984.

The reference to Elkies paper is

- [5] Elkies, N.D. – *Rational points near curves and small nonzero  $|x^3 - y^2|$  via lattice reduction*, Algorithmic number theory (Leiden, 2000), Lectures Notes in Comput. Sci., 1838, Springer, Berlin, 2000, p. 33-63.

An announcement of some results of her forthcoming thesis by Andrea Surroca is her note:

- [6] Surroca, A. – *Sur le nombre de points algébriques où une fonction analytique transcendante prend des valeurs algébriques*. C.R. Acad. Sc. Paris, Sér. I **334** (2002) 721-725.

The question of counting points of bounded height is dealt with in the next two papers, where further references are given:

- [7] Loher, Th. – *Counting points of bounded height*. Ph. D. Thesis, Basel, 2001.

as well as

- [8] Loher, Th. and Masser, D. – *Uniformly counting points of bounded height*. Submitted.

A typical example of transcendence criteria is Ramachandra's contribution to the subject:

- [9] Ramachandra, K. – *Contributions to the theory of transcendental numbers*. I, II. Acta Arith. **14** (1967/68), 65-72; *ibid.*, **14** (1967/1968), 73–88.

A reference to Schneider-Lang's Transcendence Criterion in one variable is

- [10] Lang, S. – *Introduction to transcendental numbers*. Addison-Wesley Publishing Co., Reading, Mass.-London-Don Mills, Ont. 1966.

For Cartesian products, see Chap. IV of [10] as well of Chap. 4 of

- [11] Waldschmidt, M. – *Diophantine Approximation on Linear Algebraic Groups. Transcendence Properties of the Exponential Function in Several Variables*. Grundlehren der Mathematischen Wissenschaften **326**. Springer-Verlag, Berlin-Heidelberg, 2000.

The positive answer by E. Bombieri to Nagata's suggestion is published in

- [12] Bombieri, E. – *Algebraic values of meromorphic maps*. Invent. Math. **10** (1970), 267–287. Addendum, *ibid.*, **11** (1970), 163–166.

The results by Daniel Delbos are part of his thesis:

- [13] Delbos, D. – *Critères de transcendance pour les fonctions entières d'une ou plusieurs variables complexes*. Thèse, Paris VI, Octobre 2002.

Criteria involving real analytic functions follow from the “elementary” approach of Gel'fond and Linnik in

- [14] Gel'fond, A. O.; Linnik, Y. V. – *Elementary methods in the analytic theory of numbers*. Gosudarstv. Izdat. Fiz.-Mat. Lit., 1962. Engl. transl., International Series of Monographs in Pure and Applied Mathematics, Vol. **92** Pergamon Press, 1966.

Two recent contributions to this topic are:

- [15] Bundschuh, P. – *Schneider's transcendence method by elementary means*. Proc. conf. analytic and elementary number theory. Universität Wien, Institut für Mathematik, Institut für Mathematik und Statistik, 31-38 (1996).
- [16] Bundschuh, P. – *Gel'fond's transcendence method by elementary means*. New trends in probability and statistics, Vol. **4** (Palanga, 1996), 3–9, VSP, Utrecht, 1997.

A number of papers have been devoted to investigating algebraic relations between the numbers  $\zeta(\underline{s})$ ; among many contributors are R. Apéry, T. Arakawa, K. Ball, F. Beukers, M. Bigotte, D. Bowman, J.M. Borwein, D.M. Bradley, D.J. Broadhurst, P. Cartier, A. Connes, J. Cresson, P. Deligne, J. Écalle, L. Euler, Ph. Flageolet, R. Girgensohn, A.B. Goncharov, A. Granville, M.E. Hoffman, K. Ihara, G. Jacob, M. Kaneko, M. Kontsevich, D. Kreimer, T.T.Q. Lee, P. Lisoněk, Minh Hoang Ngoc, U. Müller, J. Murukami, N.E. Oussous, Y. Ohno, M. Petitot, G. Racinet, T. Rivoal, B. Salvy, C. Schubert, V.N. Sorokin, J. Van der Hoeven, D.V. Vasiliev, D. Zagier, W. Zudilin.

Further references are:

- [17] Zagier, D. – *Values of zeta functions and their applications*. First European Congress of Mathematics (Paris, 1992), Vol. II, Progr. Math. **120**, Birkhäuser (1994) 497–512.
- [18] Cartier, P. – *Functions polylogarithmiques, nombres polyzêta et groupes pro-unipotents*. Sém. Bourbaki, 53<sup>ème</sup> année, 2000–2001, n° 884, Mars 2001, 36 pp.

- [19] Waldschmidt, M. – *Multiple Polylogarithms: An Introduction*. Number Theory and Discrete Mathematics, Hindustan Book Agency, 2002, 1–12.
- [20] Terasoma, T. – *Mixed Tate motives and multiple zeta values*. Invent. Math. **149** (2002), 339–369.

See also the text by T. Rivoal in these proceedings.