## S-storage operators

Karim Nour

## To cite this version:

Karim Nour. S-storage operators. MLQ / MLQ - Math Log Quart; MLQ Mathematical Logic Quarterly; Math Log Quart, 1998, 44, pp.99-108. hal-00381607

HAL Id: hal-00381607

## https://hal.science/hal-00381607

Submitted on 6 May 2009

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

## $S$-STORAGE OPERATORS

Karim NOUR ${ }^{1}$<br>LAMA - Equipe de Logique, Université de Savoie - 73376 Le Bourget du Lac cedex ${ }^{2}$

Abstract In 1990, J.L. Krivine introduced the notion of storage operator to simulate, for Church integers, the "call by value" in a context of a "call by name" strategy. In this present paper, we define, for every $\lambda$-term $\underline{S}$ which realizes the successor function on Church integers, the notion of $\underline{S}$-storage operator. We prove that every storage operator is a $\underline{S}$-storage operator. But the converse is not always true.

Mathematics Subject Classification: 03B40, 68Q60
Keywords : Church integer ; Storage operator ; Call by value ; Call by name ; Head reduction ; Solvable ; Successor ; $\underline{S}$-storage operator.

## 1 Definitions and notations

- We denote by $\Lambda$ the set of $\lambda$-terms modulo $\alpha$-equivalence, and by $\mathcal{V}$ the set of $\lambda$-variables.
- Let $t, u, u_{1}, \ldots, u_{n}$ be $\lambda$-terms, the application of $t$ to $u$ is denoted by $(t) u$. In the same way we write $(t) u_{1} \ldots u_{n}$ instead of $\left(\ldots\left((t) u_{1}\right) \ldots\right) u_{n}$.
- The sequence of $\lambda$-terms $u_{1}, \ldots, u_{n}$ is denoted $\bar{u}$.
- If $\bar{u}=u_{1}, \ldots, u_{n}$, we denote by $(t) \bar{u}$ the $\lambda$-term $(t) u_{1} \ldots u_{n}$.
- The $\beta$-equivalence relation is denoted by $u \simeq_{\beta} v$.
- The notation $\sigma(t)$ represents the result of the simultaneous substitution $\sigma$ to the free variables and the constants of $t$ after a suitable renaming of the bounded variables of $t$.
- Let us recall that a $\lambda$-term $t$ either has a head redex [i.e. $t=\lambda x_{1} \ldots \lambda x_{n}(\lambda x u) v \bar{w}$, the head redex being $(\lambda x u) v$ ], or is in head normal form [i.e. $t=\lambda x_{1} \ldots \lambda x_{n}(x) \bar{w}$ ].
- The notation $u \succ v$ means that $v$ is obtained from $u$ by some head reductions.
- If $u \succ v$, we denote by $h(u, v)$ the length of the head reduction between $u$ and $v$.
- A $\lambda$-term $t$ is said solvable iff the head reduction of $t$ terminates.

The following results are well known (see [3]):

[^0]-If $u$ is $\beta$-equivalent to a solvable $\lambda$-term, then $t$ is solvable.
-If $u \succ v$, then, for any substitution $\sigma, \sigma(u) \succ \sigma(v)$, and $h(\sigma(u), \sigma(v))=h(u, v)$. In particular, if for some substitution $\sigma, \sigma(t)$ is solvable, then $t$ is solvable.

- We define $(u)^{n} v$ by induction : $(u)^{0} v=v$ and $(u)^{n+1} v=(u)(u)^{n} v$.
- For each integer $n$, we define the Church integer $\underline{n}=\lambda f \lambda x(f)^{n} x$.
- A closed $\lambda$-term $\underline{S}$ is called successor iff, for every $k \geq 0,(\underline{S}) \underline{k} \simeq_{\beta} \underline{k+1}$.

Examples Let $\underline{S_{1}}=\lambda n \lambda f \lambda x(f)((n) f) x$ and $\underline{S_{2}}=\lambda n \lambda f \lambda x((n) f)(f) x$. It is easy to check that $\underline{S_{1}}$ and $\underline{S_{2}}$ are successors.

## 2 Introduction

In $\lambda$-calculus the left reduction strategy (iteration of the head reduction) has much advantages: it always terminates when applied to a normalizable $\lambda$-term and it seems more economic since we compute a $\lambda$-term only when we need it. But the major drawback of this strategy is that a function must compute its argument every time it uses it. In 1990 J-L. Krivine introduced the notion of storage operators in order to avoid this problem and to simulate call-by-value when necessary.

Let $F$ be a $\lambda$-term (a function), and $\underline{n}$ a Church integer. During the computation, by left reduction, of $(F) \theta_{n}$ (where $\theta_{n} \simeq_{\beta} \underline{n}$ ), $\theta_{n}$ may be computed several times (as many times as $F$ uses it). We would like to transform $(F) \theta_{n}$ to $(F) \tau_{n}$ where $\tau_{n}$ is a fixed closed $\lambda$ term $\beta$-equivalent to $\underline{n}$. We also want this transformation depends only on $\theta_{n}$ (and not $F$ ).

Therefore the definition : A closed $\lambda$-term $T$ is called storage operator if and only if for every $n \geq 0$, there is a closed $\lambda$-term $\tau_{n} \simeq_{\beta} \underline{n}$ such that for every $\theta_{n} \simeq_{\beta} \underline{n},(T) \theta_{n} f \succ(f) \tau_{n}$ (where $f$ is a new variable).

Let's analyse the head reduction $(T) \theta_{n} f \succ(f) \tau_{n}$, by replacing each $\lambda$-term which comes from $\theta_{n}$ by a new variable.

If $\theta_{n} \simeq_{\beta} \underline{n}$, then $\theta_{n} \succ \lambda g \lambda x(g) t_{n-1}, t_{n-k} \succ(g) t_{n-k-1} 1 \leq k \leq n-1, t_{0} \succ x$, and $t_{k} \simeq_{\beta}(g)^{k} x 0 \leq k \leq n-1$.

Let $x_{n}$ be a new variable ( $x_{n}$ represents $\theta_{n}$ ). ( $T$ ) $x_{n} f$ is solvable, and its head normal form does not begin by $\lambda$, therefore it is a variable applied to some arguments. The free variables of $(T) x_{n} f$ are $x_{n}$ and $f$, we then have two possibilities for its head normal form $:(f) \delta_{n}$ (in this case we stop) or $\left(x_{n}\right) a_{1} \ldots a_{m}$.

Assume we obtain $\left(x_{n}\right) a_{1} \ldots a_{m}$. The variable $x_{n}$ represents $\theta_{n}$, and $\theta_{n} \succ \lambda g \lambda x(g) t_{n-1}$, therefore $\left(\theta_{n}\right) a_{1} \ldots a_{m}$ and $\left(\left(a_{1}\right) t_{n-1}\left[a_{1} / x, a_{2} / g\right]\right) a_{3} \ldots a_{m}$ have the same head normal form. The $\lambda$-term $t_{n-1}\left[a_{1} / g, a_{2} / x\right]$ comes from $\theta_{n}$. Let $x_{n-1, a_{1}, a_{2}}$ be a new variable ( $x_{n-1, a_{1}, a_{2}}$ represents $\left.t_{n-1}\left[a_{1} / g, a_{2} / x\right]\right)$. The $\lambda$-term $\left(\left(a_{1}\right) x_{n-1, a_{1}, a_{2}}\right) a_{3} \ldots a_{m}$ is solvable, and its head normal form does not begin by $\lambda$, therefore it is a variable applied to some arguments. The free variables of $\left(\left(a_{1}\right) x_{n-1, a_{1}, a_{2}}\right) a_{3} \ldots a_{m}$ are among $x_{n-1, a_{1}, a_{2}}, x_{n}$, and $f$, we then have three possibilities for its head normal form : $(f) \delta_{n}$ (in this case we stop) or $\left(x_{n}\right) b_{1} \ldots b_{r}$ or $\left(x_{n-1, a_{1}, a_{2}}\right) b_{1} \ldots b_{r}$.

Assume we obtain $\left(x_{n-1, a_{1}, a_{2}}\right) b_{1} \ldots b_{r}$. The variable $\left(x_{n-1, a_{1}, a_{2}}\right.$ represents $t_{n-1}\left[a_{1} / g, a_{2} / x\right]$, and $t_{n-1} \succ(g) t_{n-2}$, therefore $\left(t_{n-1}\left[a_{1} / g, a_{2} / x\right]\right) b_{1} \ldots b_{r}$ and $\left(\left(a_{1}\right) t_{n-2}\left[a_{1} / g, a_{2} / x\right]\right) b_{1} \ldots b_{r}$ have the same head normal form. The $\lambda$-term $t_{n-2}\left[a_{1} / g, a_{2} / x\right]$ comes from $\theta_{n}$. Let $x_{n-2, a_{1}, a_{2}}$ be a new variable $\left(x_{n-2, a_{1}, a_{2}}\right.$ represents $\left.t_{n-2}\left[a_{1} / g, a_{2} / x\right]\right)$. The $\lambda$-term $\left(\left(a_{1}\right) x_{n-2, a_{1}, a_{2}}\right) b_{1} \ldots b_{r}$ is solvable, and its head normal form does not begin by $\lambda$, therefore it is a variable applied to arguments. The free variables of $\left(\left(a_{1}\right) x_{n-2, a_{1}, a_{2}}\right) b_{1} \ldots b_{r}$ are among $x_{n-2, a_{1}, a_{2}}, x_{n-1, a_{1}, a_{2}}$, $v_{n}$, and $f$, therefore we have four possibilities for its head normal form : $(f) \delta_{n}$ (in this case we stop) or $\left(x_{n}\right) c_{1} \ldots c_{s}$ or $\left(x_{n-1, a_{1}, a_{2}}\right) c_{1} \ldots c_{s}$ or $\left(x_{n-2, a_{1}, a_{2}}\right) c_{1} \ldots c_{s} \ldots$ and so on...

Assume we obtain $\left(x_{0, d_{1}, d_{2}}\right) e_{1} \ldots e_{k}$ during the construction. The variable $x_{0, d_{1}, d_{2}}$ represents $t_{0}\left[d_{1} / g, d_{2} / x\right]$, and $t_{0} \succ x$, therefore $\left(t_{0}\left[d_{1} / g, d_{2} / x\right]\right) e_{1} \ldots e_{k}$ and $\left(d_{2}\right) e_{1} \ldots e_{k}$ have the same head normal form ; we then follow the construction with the $\lambda$-term $\left(d_{2}\right) e_{1} \ldots e_{k}$. The $\lambda$ term $(T) \theta_{n} f$ is solvable, and has $(f) \tau_{n}$ as head normal form, so this construction always stops on $(f) \delta_{n}$. We can prove by a simple argument that $\delta_{n} \simeq_{\beta} \underline{n}$.

According to the previous construction, the reduction $(T) \theta_{n} f \succ(f) \tau_{n}$ can be divided into two parts :

- A reduction that does not depend on $n$ :

$$
\begin{aligned}
(T) x_{n} f & \succ\left(x_{n}\right) a_{1} \ldots a_{m} \\
\left(\left(a_{1}\right) x_{n-1, a_{1}, a_{2}}\right) a_{3} \ldots a_{m} & \succ\left(x_{\left.n-1, a_{1}, a_{2}\right)}\right) b_{1} \ldots b_{r} \\
\left(\left(a_{1}\right) x_{n-2, a_{1}, a_{2}}\right) b_{1} \ldots b_{r} & \succ\left(x_{n-2, a_{1}, a_{2}}\right) b_{1} \ldots b_{r}
\end{aligned}
$$

- A transformation that depends on $n$ (and not on $\theta_{n}$ ):

$$
\begin{aligned}
\left(x_{n}\right) a_{1} \ldots a_{m} & \leadsto\left(\left(a_{1}\right) x_{n-1, a_{1}, a_{2}}\right) a_{3} \ldots a_{m} \\
\left(x_{n-1, a_{1}, a_{2}}\right) b_{1} \ldots b_{r} & \leadsto\left(\left(a_{1}\right) x_{n-2, a_{1}, a_{2}}\right) c_{1} \ldots c_{s}
\end{aligned}
$$

$$
\left(x_{0, d_{1}, d_{2}}\right) e_{1} \ldots e_{k} \leadsto\left(d_{1}\right) e_{1} . . e_{k}
$$

We add new constants $x_{i}$ and $x_{i, a, b, \bar{c}}$ in $\lambda$-calculus, and we consider the following set of head reduction rules :

$$
\begin{aligned}
(\lambda x u) v \bar{w} & \succ(u[v / x]) \bar{w} \\
\left(x_{i+1}\right) a b \bar{c} & \succ\left((a) x_{i, a, b, \bar{c}}\right) \bar{c} \\
\left(x_{0}\right) a b \bar{c} & \succ(b) \bar{c} \\
\left(x_{i+1, a, b, \bar{c}}\right) \bar{w} & \succ\left((a) x_{i, a, b, \bar{w}}\right) \bar{w} \\
\left(x_{0, a, b, \bar{c}}\right) \bar{w} & \succ(b) \bar{w}
\end{aligned}
$$

We write $t \succ_{x} t^{\prime}$ if $t^{\prime}$ is obtained from $t$ by applying these rules finitely many times.
With this formalisme we have the following result (see [1] and [4]):
A closed $\lambda$-term $T$ is a storage operator iff for every $n \geq 0,(T) x_{n} f \succ_{x}(f) \tau_{n}$ and where $\tau_{n}$ is a closed $\lambda$-term $\beta$-equivalent to $\underline{n}$.

The constants $x_{i}$ and $x_{i, a, b, \bar{c}}$ represent intuitively the $\lambda$-terms which come from a non calculated Church integer. The uniform shape of Church integers allows to describe the behaviour of these constants when they are in the head position. However, another method to describe a Church integer is simply to say that it is zero or a successor.

Formally, we add new constants $X_{i}$ et $X_{i, a, b, \bar{c}}$ in $\lambda$-calculus, and we consider, for every successor $\underline{S}$, the following set of head reduction rules :

$$
\begin{aligned}
(\lambda x u) v \bar{w} & \succ(u[v / x]) \bar{w} \\
\left(X_{i+1}\right) a b \bar{c} & \succ\left((\underline{S}) X_{i, a, b, \bar{c}}\right) a b \bar{c} \\
\left(X_{0}\right) a b \bar{c} & \succ(\underline{0}) a b \bar{c} \\
\left(X_{i+1, a, b, \bar{c}}\right) u v \bar{w} & \succ\left((\underline{S}) X_{i, u, v, \bar{w}}\right) u v \bar{w} \\
\left(X_{0, a, b, \bar{c}}\right) u v \bar{w} & \succ(\underline{0}) u v \bar{w}
\end{aligned}
$$

We write $t \succ_{X} t^{\prime}$ if $t^{\prime}$ is obtained from $t$ by applying these rules finitely many times.
A $\underline{S}$-storage operator is defined as follows :
A closed $\lambda$-term $T$ is a $\underline{S}$-storage operator iff for every $n \geq 0,(T) X_{n} f \succ_{X}(f) \tau_{n}$ where $\tau_{n}$ is a closed $\lambda$-term $\beta$-equivalent to $\underline{n}$.

This paper studies the link betwen the storage operators and the $\underline{S}$-storage operators. We prove that every storage operator is a $\underline{S}$-storage operator. But the converse is not always true.

## 3 Storage operators and $\underline{S}$-storage operators

Definition Let $T$ be a closed $\lambda$-term. We say that $T$ is a storage operator iff for every $n \geq 0$, there is a closed $3 \lambda$-term $\tau_{n} \simeq_{\beta} \underline{n}$, such that for every $\theta_{n} \simeq_{\beta} \underline{n},(T) \theta_{n} f \succ(f) \tau_{n}$.

Remark Let $F$ be any $\lambda$-term (for a function), and $\theta_{n}$ a $\lambda$-term $\beta$-equivalent to $\underline{n}$. During the computation of $(F) \theta_{n}, \theta_{n}$ may be computed each time it comes in head position. Instead of computing $(F) \theta_{n}$, let us look at the head reduction of $(T) \theta_{n} F$. Since it is $\left\{(T) \theta_{n} f\right\}[F / f]$, we shall first reduce $(T) \theta_{n} f$ to its head normal form, which is $(f) \tau_{n}$, and then compute $(F) \tau_{n}$. The computation has been decomposed into two parts, the first being independent of $F$. This first part is essentially a computation of $\theta_{n}$, the result being $\tau_{n}$, which is a kind of normal form of $\theta_{n}$. So, in the computation of $(T) \theta_{n} F, \theta_{n}$ is computed first, and the result is given to $F$ as an argument, $T$ has stored the result, before giving it, as many times as needed, to any function.

Examples Let $\underline{S}$ be a successor. If we take :
$T_{1}=\lambda n((n) G) \delta$ where $G=\lambda x \lambda y(x) \lambda z(y)(\underline{S}) z$ and $\delta=\lambda f(f) \underline{0}$
$T_{2}=\lambda n \lambda f(((n) F) f) \underline{0}$ where $F=\lambda x \lambda y(x)(\underline{S}) y$, then it is easy to check that (see [1] and [3]):
for every $\theta_{n} \simeq_{\beta} \underline{n},\left(T_{i}\right) \theta_{n} f \succ(f)(\underline{S})^{n} \underline{0}(i=1$ or 2$)$.
Therefore $T_{1}$ and $T_{2}$ are storage operators.
Let $\left\{x_{i}\right\}_{i \geq 0}$ be a set of different constants. We define a set of terms (denoted by $\Lambda_{x}$ ) in the following way :

- If $x \in \mathcal{V} \cup\left\{x_{i}\right\}_{i \geq 0}$, then $x \in \Lambda_{x} ;$
- If $x \in \mathcal{V}$, and $u \in \Lambda_{x}$, then $\lambda x u \in \Lambda_{x}$;
- If $u \in \Lambda_{x}$, and $v \in \Lambda_{x}$, then $(u) v \in \Lambda_{x}$;
- If $n \in \mathbb{N}$, and $a, b, \bar{c} \in \Lambda_{x}$, then $x_{n, a, b, \bar{c}} \in \Lambda_{x}$.
$x_{n, a, b, \bar{c}}$ is considered as a constant which does not appear in $a, b, \bar{c}$.
The terms of the set $\Lambda_{x}$ are called $\lambda x$-terms.
We have the following result (see [1] and [4]) :
A closed $\lambda$-term $T$ is a storage operator iff for every $n \geq 0$, there is a finite sequence of head reduction $\left\{U_{i} \succ V_{i}\right\}_{1 \leq i \leq r}$ such that :

1) $U_{i}$ and $V_{i}$ are $\lambda$-terms;

[^1]2) $U_{1}=(T) x_{n} f$ and $V_{r}=(f) \tau_{n}$ where $\tau_{n}$ is closed $\lambda$-term $\beta$-equivalent to $\underline{n}$;
3) $V_{i}=\left(x_{n}\right) a b \bar{c}$ or $V_{i}=\left(x_{l, a, b, \bar{c}}\right) \bar{d} 0 \leq l \leq n-1$;
4) If $V_{i}=\left(x_{n}\right) a b \bar{c}$, then $U_{i+1}=(b) \bar{c}$ if $n=0$ and $U_{i+1}=\left((a) x_{n-1, a, b, \bar{c}}\right) \bar{c}$ if $n \neq 0$;
5) If $V_{i}=\left(x_{l, a, b, \bar{c}}\right) \bar{d} 0 \leq l \leq n-1$, then $U_{i+1}=(b) \bar{d}$ if $l=0$ and $U_{i+1}=\left((a) x_{l-1, a, b, \bar{d}}\right) \bar{d}$ if $l \neq 0$.

## Definitions

1) Let $\left\{X_{i}\right\}_{i \geq 0}$ be a set of different constants. We define a set of terms (denoted by $\Lambda_{X}$ ) in the following way:

- If $x \in \mathcal{V} \bigcup\left\{X_{i}\right\}_{i \geq 0}$, then $x \in \Lambda_{X}$;
- If $x \in \mathcal{V}$, and $u \in \Lambda_{X}$, then $\lambda x u \in \Lambda_{X}$;
- If $u \in \Lambda_{X}$, and $v \in \Lambda_{X}$, then $(u) v \in \Lambda_{X}$;
- If $n \in \mathbb{N}$, and $a, b, \bar{c} \in \Lambda_{X}$, then $X_{n, a, b, \bar{c}} \in \Lambda_{X}$.
$X_{n, a, b, \bar{c}}$ is considered as a constant which does not appear in $a, b, \bar{c}$.
The terms of the set $\Lambda_{X}$ are called $\lambda X$-terms.

2) Let $\underline{S}$ be a successor. A closed $\lambda$-term $T$ is called a $\underline{S}$-storage operator iff for every $n \geq 0$, there is a finite sequence of head reduction $\left\{U_{i} \succ V_{i}\right\}_{1 \leq i \leq r}$ such that:
3) $U_{i}$ and $V_{i}$ are $\lambda X$-terms;
4) $U_{1}=(T) X_{n} f$ and $V_{r}=(f) \tau_{n}$ where $\tau_{n}$ is closed $\lambda$-term $\beta$-equivalent to $\underline{n}$;
5) $V_{i}=\left(X_{n}\right) a b \bar{c}$ or $V_{i}=\left(X_{l, a, b, \bar{c}}\right) u v \bar{w} 0 \leq l \leq n-1$;
6) If $V_{i}=\left(X_{n}\right) a b \bar{c}$, then $U_{i+1}=(\underline{0}) a b \bar{c}$ if $n=0$ and $U_{i+1}=\left((\underline{S}) X_{n-1, a, b, \bar{c}}\right) a b \bar{c}$ if $n \neq 0$;
7) If $V_{i}=\left(X_{l, a, b, \bar{c}}\right) u v \bar{w} 0 \leq l \leq n-1$, then $U_{i+1}=(\underline{0}) u v \bar{w}$ if $l=0$ and $U_{i+1}=$ $\left((\underline{S}) X_{l-1, u, v, \bar{w}}\right) u v \bar{w}$ if $l \neq 0$.

Examples It is easy to check that, for $1 \leq i, j \leq 2$, the above operator $T_{i}$ is an $\underline{S_{j}}$-storage operator. We check here (for example) that $T_{2}$ is an $\underline{S_{2}}$-storage operator:
Let $n$ be an integer.
If $n=0$, then we check that $\left(T_{2}\right) X_{n} f \succ\left(X_{n}\right) F f \underline{0}$ and $(\underline{0}) F f \underline{0} \succ(f) \underline{0}$.

If $n \neq 0$, then we check that:

$$
\begin{aligned}
(T) X_{n} f & \succ\left(X_{n}\right) F f \underline{0} \\
\left(\left(\underline{S_{2}}\right) X_{n-1, F, f, \underline{0}}\right) F f \underline{0} & \succ\left(X_{n-1, F, f, \underline{0}}\right) F(F) f \underline{0}
\end{aligned}
$$

$$
\begin{aligned}
\left(\left(\underline{S_{2}}\right) X_{0, F,(F)^{n-1} f, \underline{0}}\right) F(F)^{n-1} f \underline{0} & \succ\left(X_{0, F,(F)^{n-1} f, \underline{0}}\right) F(F)^{n} f \underline{0} \\
(\underline{0}) F(F)^{n} f \underline{0} & \succ(F)^{n} f \underline{0}
\end{aligned}
$$

We prove (by induction on $k$ ) that, for every $\lambda$-term $u$, and for every $0 \leq k \leq n$, we have $(F)^{k} f u \succ(f)\left(\underline{S_{2}}\right)^{k} u$.

- For $k=0$, it is true.
- Assume that is true for $k$, and prove it for $k+1$.
$(F)^{k+1} f u=(F)(F)^{k} f u \succ(F)^{k} f\left(\underline{S_{2}}\right) u$. By induction hypothesis we have that for every $\lambda$-term $v,(F)^{k} f v \succ(f)\left(\underline{S_{2}}\right)^{k} v$, then $(F)^{k+1} f u \succ(f)\left(\underline{S_{2}}\right)\left(\underline{S_{2}}\right)^{k} u=$ $(f)\left(\underline{S_{2}}\right)^{k+1} u$.

In particular, for $u=\underline{0}$ and $k=n$, we have $(F)^{n} f \underline{0} \succ(f)\left(\underline{S_{2}}\right)^{n} \underline{0}$.
Therefore $T_{2}$ is a $\underline{S_{2}}$-storage operator.
A question arizes: Is there a link between the storage operators and the $\underline{S}$ storage operators?

## 4 Link between the storage operators and the $\underline{S}$-storage operators

Theorem 1 If $T$ is a storage operator, then, for every successor $\underline{S}, T$ is a $\underline{S}$-storage operator.

Proof Let $\underline{S}$ be a successor and $T$ a storage operator.
Then for every $n \geq 0$, there is a closed $\lambda$-term $\tau_{n} \simeq_{\beta} \underline{n}$ such that for every $\theta_{n} \simeq_{\beta} \underline{n}$, $(T) \theta_{n} f \succ(f) \tau_{n}$. In particular $\left((T)(\underline{S})^{n} \underline{0}\right) f \succ(f) \tau_{n}$.
Let $\sigma: \Lambda_{X} \rightarrow \Lambda$ the simultaneous substitution defined by :

$$
\begin{gathered}
\sigma\left(X_{n}\right)=(\underline{S})^{n} \underline{0} \\
\text { for every } 0 \leq k \leq n-1, \sigma\left(X_{k, a, b, \bar{c}}\right)=(\underline{S})^{k} \underline{0} \\
\sigma(x)=x \text { if } x \neq X_{n}, X_{k, a, b, \bar{c}}
\end{gathered}
$$

For every $n \geq 0$, we construct a set of head equation $\left\{U_{i} \succ V_{i}\right\}_{1 \leq i \leq r}$ such that :

1) $U_{i}$ and $V_{i}$ are $\lambda X$-terms;
2) $V_{r}=(f) \delta_{n}$;
3) for every $1 \leq i \leq r-1, V_{i}=\left(X_{n}\right) a b \bar{c}$ or $V_{i}=\left(X_{l, a, b, \bar{c}}\right) u v \bar{w}$;
4) $\sigma\left(V_{i}\right)$ is solvable.

Let $U_{1}=(T) X_{n} f$. We have $\sigma\left(U_{1}\right)=\left((T)(\underline{S})^{n} \underline{0}\right) f$ is solvable, then $U_{1}$ is solvable and $U_{1} \succ V_{1}$ where $V_{1}=(f) \delta_{n}$ or $V_{1}=\left(X_{n}\right) a b \bar{c}$. It is clear that $\sigma\left(V_{1}\right)$ is solvable.
Assume that we have the head reduction $U_{k} \succ V_{k}$ and $V_{k} \neq(f) \delta_{n}$.

- If $V_{k}=\left(X_{n}\right) a b \bar{c}$, then, by induction hypothesis, $\left.\sigma\left(V_{k}\right)=\left((\underline{S})^{n}\right) \underline{0}\right) \sigma(a) \sigma(b) \overline{\sigma(c)}$ is solvable.
- If $n=0$, let $U_{k+1}=(\underline{0}) a b \bar{c}$. Then $\sigma\left(U_{k+1}\right)=(\underline{0}) \sigma(b) \sigma(b) \overline{\sigma(c)}$ is solvable.
- If $n \neq 0$, let $\left.U_{k+1}=\underline{((\underline{S})} X_{n-1, a, b, \bar{c}}\right) a b \bar{c}$. Then $\sigma\left(U_{k+1}\right)=$ $\left.\left((\underline{S})(\underline{S})^{n-1}\right) \underline{0}\right) \sigma(a) \sigma(b) \overline{\sigma(c)}=\sigma\left(V_{k}\right)$ is solvable.
- If $V_{k}=\left(X_{l, a, b, \bar{c}}\right) u v \bar{w}$, then, by induction hypothesis, $\left.\sigma\left(V_{k}\right)=\left((\underline{S})^{l}\right) \underline{0}\right) \sigma(u) \sigma(v) \overline{\sigma(w)}$ is solvable.
- If $l=0$, let $U_{k+1}=(\underline{0}) u v \bar{w}$. Then $\sigma\left(U_{k+1}\right)=(\underline{0}) \sigma(u) \sigma(v) \overline{\sigma(w)}$ is solvable.
- If $l \neq 0$, let $U_{k+1}=\left((\underline{S}) X_{l-1, u, v, \bar{w}}\right) u v \bar{w}$. Then $\sigma\left(U_{k+1}\right)=$
$\left.\left((\underline{S})(\underline{S})^{l-1}\right) \underline{0}\right) \sigma(u) \sigma(v) \overline{\sigma(w)}=\sigma\left(V_{k}\right)$ is solvable.
Therefore $U_{k+1}$ is solvable and $U_{k+1} \succ V_{k+1}$ where $V_{k+1}=(f) \delta_{n}$ or $V_{k+1}=\left(X_{n}\right) a^{\prime} b^{\prime} \overline{c^{\prime}}$ or $V_{k+1}=\left(X_{r, a^{\prime}, b^{\prime}, c^{\prime}}\right) a^{\prime \prime} b^{\prime \prime} \overline{c^{\prime \prime}}$. Since $\sigma\left(U_{k+1}\right)$ is solvable, then $\sigma\left(V_{k+1}\right)$ is also solvable.

This constraction always terminates (i.e there is a $r \geq 0$ such that $\left.V_{r}=(f) \delta_{n}\right)$. Indeed, if not, we check easily that the $\lambda$-term $\left((T)(\underline{S})^{n} \underline{0}\right) f$ is not solvable.

Let $y$ be a variable, $\underline{\hat{S}}=(\lambda x \underline{S}) y$, and $\underline{\hat{0}}=(\lambda x \underline{0}) y$.
Let $\hat{\sigma}: \Lambda_{X} \rightarrow \Lambda$ the simultaneous substitution defined by :

$$
\begin{gathered}
\hat{\sigma}\left(X_{n}\right)=(\underline{\hat{S}})^{n} \underline{\hat{0}} \\
\text { for every } 0 \leq k \leq n-1, \hat{\sigma}\left(X_{k, a, b, \bar{c}}\right)=(\underline{\hat{S}})^{k} \underline{\hat{0}} \\
\hat{\sigma}(x)=x \text { if } x \neq X_{n}, X_{k, a, b, \bar{c}}
\end{gathered}
$$

Since $(\underline{\hat{S}}) t \succ(\underline{S}) t$ and $\underline{\hat{0}} \succ \underline{0}$, we check easily that $\left((T)(\underline{\hat{S}})^{n} \underline{\hat{0}}\right) f \succ(f) \hat{\sigma}\left(\delta_{n}\right)$. But $(\underline{\hat{S}})^{n} \underline{\hat{0}} \simeq_{\beta} \underline{n}$, then $\left((T)(\underline{\hat{S}})^{n} \underline{\hat{0}}\right) f \succ(f) \tau_{n}$. Therefore $\hat{\sigma}\left(\delta_{n}\right)=\tau_{n}$. Since $\tau_{n}$ is closed, then $\delta_{n}$ is also closed and $\delta_{n}=\tau_{n} \simeq_{\beta} \underline{n}$.

Therefore $T$ is a $\underline{S}$-storage operator.
Definition We say that a $\lambda X$-term $U$ satisfies the property $(P)$ iff for each constant $X_{l, a, b, \bar{c}}$ of $U$ we have :

- $a, b, \bar{c}$ satisfy $(P)$
- $X_{l, a, b, \bar{c}}$ is applied to $a$ and $b$;
- $a, b$ do not contain free variables which are bounded in $U$.

Lemma 1 Let $U, V$ be $\lambda X$-terms which do not begin by $\lambda$. If $U$ satisfies $(P)$ and $U \succ V$, then $V$ satisfies $(P)$.

Proof It is enough to do the proof for one step of head reduction. We have $U=(\lambda x u) v \bar{w}$ and $V=(u[v / x]) \bar{w}$. Since $U$ satisfies $(P)$, then $u, v, \bar{w}$ satisfy $(P)$ and $x$ is not free in $a, b$ if the constant $X_{l, a, b, \bar{d}}$ appears in $u$. Therefore $u[v / x], u_{1}, \ldots, u_{m}$ satisfy $(P)$ and $V$ satisfies (P).

Let $\triangle: \Lambda_{x} \rightarrow \Lambda_{X}$ the simultaneous substitution defined by :

$$
\begin{gathered}
\triangle\left(x_{n}\right)=X_{n} \\
\text { for every } 0 \leq k \leq n-1, \triangle\left(x_{k, a, b, \bar{c}}=\left(X_{k, \Delta(a), \Delta(b), \overline{\Delta(c)}}\right) \triangle(a) \triangle(b)\right. \\
\sigma(x)=x \text { if } x \neq x_{n}, x_{k, a, b, \bar{c}}
\end{gathered}
$$

Lemma 2 If $U$ is a $\lambda X$-term satisfies $(P)$, then there is a $\lambda x$-term $U^{\prime}$ such that $\triangle\left(U^{\prime}\right)=$ $U$.

Proof By induction on $U$.

- For $U=x$, it is true.
- If $U=\lambda x V$, then $V$ satisfies $(P)$, and, by induction hypothesis, there is a $\lambda x$-term $V$ such that $\triangle\left(V^{\prime}\right)=V$. We put $U^{\prime}=\lambda x V^{\prime}$. We have $\triangle\left(U^{\prime}\right)=U$.
- If $U=\left(U_{1}\right) U_{2}$ (where $U_{1}$ does not begin by a constant), then $U_{1}, U_{2}$ satisfy $(P)$, and, by induction hypothesis, there are $\lambda x$-terms $U_{1}^{\prime}, U_{2}^{\prime}$ such that $\triangle\left(U_{1}^{\prime}\right)=U_{1}$ and $\triangle\left(U_{1}^{\prime}\right)=U_{1}$. We put $U^{\prime}=\left(U_{1}^{\prime}\right) U_{2}^{\prime}$. We have $\triangle\left(U^{\prime}\right)=U$.
- If $U=\left(X_{k, a, b, \bar{c}}\right) a b \bar{V}$, then $a, b, \bar{c}, \bar{V}$ satisfy $(P)$, and, by induction hypothesis, there are $\lambda x$-terms $a^{\prime}, b^{\prime}, \overline{c^{\prime}}, \overline{V^{\prime}}$ such that $\triangle\left(a^{\prime}\right)=a, \triangle\left(b^{\prime}\right)=b, \triangle\left(\overline{c^{\prime}}\right)=\bar{c}$, and $\triangle\left(\overline{V^{\prime}}\right)=\bar{V}$. We put $U^{\prime}=\left(x_{k, a^{\prime}, b^{\prime}, c^{\prime}}\right) \overline{V^{\prime}}$. We have $\triangle\left(U^{\prime}\right)=U$.

Theorem $2 T$ is a $\underline{S_{1}}$-storage operator $i f f T$ is a storage operator.
Proof Let $n \geq 0$. If $T$ is a $S_{1}$-storage operator, then there is a finite sequence of head reduction $\left\{U_{i} \succ V_{i}\right\}_{1 \leq i \leq r}$ such that:

1) $U_{i}$ and $V_{i}$ are $\lambda X$-terms ;
2) $U_{1}=(T) X_{n} f$ and $V_{r}=(f) \tau_{n}$ where $\tau_{n}$ is closed $\lambda$-term $\beta$-equivalent to $\underline{n}$;
3) $V_{i}=\left(X_{n}\right) a b \bar{c}$ or $V_{i}=\left(X_{l, a, b, \bar{c}}\right) u v \bar{w} 0 \leq l \leq n-1$;
4) If $V_{i}=\left(X_{n}\right) a b \bar{c}$, then $U_{i+1}=(\underline{0}) a b \bar{c}$ if $n=0$ and $U_{i+1}=\left(\left(\underline{S_{1}}\right) X_{n-1, a, b, \bar{c}}\right) a b \bar{c}$ if $n \neq 0$;
5) If $V_{i}=\left(X_{l, a, b, \bar{c}}\right) u v \bar{w} 0 \leq l \leq n-1$, then $U_{i+1}=(\underline{0}) u v \bar{w}$ if $l=0$ and $U_{i+1}=$ $\left(\left(\underline{S_{1}}\right) X_{l-1, u, v, \bar{w}}\right) u v \bar{w}$ if $l \neq 0$.

We prove (by induction on $i$ ) that, for every $1 \leq i \leq r, V_{i}$ satisfies $(P)$.

- For $i=1$, it is true.
- Assume that is true for $i$, and prove it for $i+1$.

If $V_{i}=\left(X_{n}\right) a b \bar{c}$, we have two cases :

- if $n=0$, then $U_{i+1}=(\underline{0}) a b \bar{c}$. By induction hypothesis $V_{i}$ satisfies $(P)$, then $a, b, \bar{c}$ satisfy $(P)$, therefore $U_{i+1}$ and $V_{i+1}$ satisfy $(P)$.
- if $n \neq 0$, then $U_{i+1}=\left((\underline{S}) X_{n-1, a, b, \bar{c}}\right) a b \bar{c}$. By induction hypothesis $V_{i}$ satisfies $(P)$, then $a, b, \bar{c}$ satisfy $(P)$. Since $U_{i+1} \succ\left((a)\left(X_{n-1, a, b, \bar{c}}\right) a b\right) \bar{c}$, then $V_{i+1}$ satisfies $(P)$.
If $V_{i}=\left(X_{l, a, b, \bar{c}}\right) u v \bar{w} 0 \leq l \leq n-1$, then $u=a, v=b$, and $\bar{w}=\bar{c}$ since, by induction hypothesis, $V_{i}$ satisfies $(P)$. We have two cases :
- if $n=0$, then $U_{i+1}=(\underline{0}) a b \bar{c}$. By induction hypothesis $V_{i}$ satisfies $(P)$, then $a, b, \bar{c}$ satisfy $(P)$, therefore $U_{i+1}$ and $V_{i+1}$ satisfy $(P)$.
- if $n \neq 0$, then $U_{i+1}=\left((\underline{S}) X_{l-1, a, b, \bar{c}}\right) a b \bar{c}$. By induction hypothesis $V_{i}$ satisfies $(P)$, then $a, b, \bar{c}$ satisfy $(P)$. Since $U_{i+1} \succ\left((a)\left(X_{l-1, a, b, \bar{c}}\right) a b\right) \bar{c}$, then $V_{i+1}$ satisfies $(P)$.

Therefore there is a finite sequence of head reduction $\left\{M_{i} \succ N_{i}\right\}_{1 \leq i \leq r}$ such that :

1) $M_{i}$ and $N_{i}$ are $\lambda X$-terms;
2) $M_{1}=(T) X_{n} f$ and $N_{r}=(f) \tau_{n}$ where $\tau_{n}$ is closed $\lambda$-term $\beta$-equivalent to $\underline{n}$;
3) $N_{i}=\left(X_{n}\right) a b \bar{c}$ or $N_{i}=\left(X_{l, a, b, \bar{c}}\right) a b \bar{d} 0 \leq l \leq n-1$;
4) If $N_{i}=\left(X_{n}\right) a b \bar{c}$, then $M_{i+1}=(b) \bar{c}$ if $n=0$ and $M_{i+1}=\left((a)\left(X_{n-1, a, b \bar{c}}\right) a b\right) \bar{c}$ if $n \neq 0$;
5) If $N_{i}=\left(X_{l, a, b, \bar{c},}\right) a b \bar{d} 0 \leq l \leq n-1$, then $M_{i+1}=(b) \bar{d}$ if $l=0$ and $M_{i+1}=$ $\left((a)\left(X_{l-1, a, b, \bar{d}}\right) a b\right) \bar{d}$ if $l \neq 0$.

Since, for every $1 \leq i \leq r, M_{i}$ and $N_{i}$ satisfy $(P)$, let $M_{i}^{\prime}$ and $N_{i}^{\prime}$ the $\lambda x$-terms such that $: \triangle\left(M_{i}^{\prime}\right)=M_{i}$ and $\triangle\left(N_{i}^{\prime}\right)=N_{i}$. We have :

1) $M_{i}^{\prime}$ and $N_{i}^{\prime}$ are $\lambda x$-terms ;
2) $M_{1}^{\prime}=(T) x_{n} f$ and $N_{r}^{\prime}=(f) \tau_{n}^{\prime}$ where $\tau_{n}^{\prime}$ is closed $\lambda$-term $\beta$-equivalent to $\underline{n}$;
3) $N_{i}^{\prime}=\left(x_{n}\right) a^{\prime} b^{\prime} c^{\prime}$ or $N_{i}^{\prime}=\left(x_{l, a^{\prime}, b^{\prime}, c^{\prime}} \overline{d^{\prime}} 0 \leq l \leq n-1\right.$;
4) If $N_{i}^{\prime}=\left(x_{n}\right) a^{\prime} b^{\prime} \overline{c^{\prime}}$, then $M_{i+1}^{\prime}=\left(b^{\prime}\right) \overline{c^{\prime}}$ if $n=0$ and $M_{i+1}^{\prime}=\left(\left(a^{\prime}\right) x_{n-1, a^{\prime}, b^{\prime}, \overline{c^{\prime}}} \overline{c^{\prime}}\right.$ if $n \neq 0$;
5) If $N_{i}^{\prime}=\left(x_{l, a^{\prime}, b^{\prime}, c^{\prime}}\right) \overline{d^{\prime}} 0 \leq l \leq n-1$, then $M_{i+1}^{\prime}=\left(b^{\prime}\right) \overline{d^{\prime}}$ if $l=0$ and $M_{i+1}^{\prime}=$ $\left(\left(a^{\prime}\right) x_{l-1, a^{\prime}, b^{\prime}, \overline{d^{\prime}}}\right) \overline{d^{\prime}}$ if $l \neq 0$.

Therefore $T$ is a storage operator.
Theorem 3 There is a $\underline{S_{2}}$-storage operator which is a no storage operator.
Proof Let $T=\lambda x(x) a b \underline{0} \underline{S}$ where
$a=\lambda x \lambda y \lambda z((x)(z)(x) I I \lambda x \underline{0}) \lambda x(\underline{S})(z) x$,
$b=\lambda x \lambda y \lambda z(z) x$,
and $\underline{S}$ a successor.
Let $n$ be an integer.
If $n=0$, then we check that :

$$
\begin{aligned}
(T) X_{n} f & \succ\left(X_{n}\right) a b \underline{0} \underline{S} f \\
(\underline{0}) a b \underline{0} \underline{S} f & \succ(f) \underline{0}
\end{aligned}
$$

If $n \neq 0$, then we check that:

$$
\begin{aligned}
(T) X_{n} f & \succ\left(X_{n}\right) a b \underline{0} \underline{S} f \\
\left(\left(\underline{S_{2}}\right) X_{n-1, a, b, \underline{0}, \underline{S}, f}\right) a b \underline{0} \underline{S} f & \succ\left(X_{n-1, a, b, \underline{0}, \underline{S}, f}\right) a(a) b \underline{0} \underline{S} f
\end{aligned}
$$

$$
\begin{aligned}
\left(\left(\underline{S_{2}}\right) X_{0, a,(a)^{n-1} b, \underline{0}, \underline{S}, f}\right) a(a)^{n-1} b \underline{0} \underline{S} f & \succ\left(X_{0, a,(a)^{n-1} b, \underline{0}, \underline{\mathcal{S}}, f}\right) a(a)^{n} b \underline{0} \underline{S} f \\
(\underline{0}) a(a)^{n} b \underline{0} \underline{S} f & \succ(a)^{n} b \underline{0} \underline{S} f
\end{aligned}
$$

We define two sequences of $\lambda$-terms $\left(P_{i}\right)_{0 \leq i \leq n}$ and $\left(Q_{i}\right)_{0 \leq i \leq n}$ by :

$$
\begin{gathered}
Q_{0}=\underline{S}, \text { and, for every } 0 \leq k \leq n-1 \text {, we put } Q_{k+1}=\lambda x(\underline{S})\left(Q_{k}\right) x \\
P_{0}=\underline{0}, \text { and, for every } 0 \leq k \leq n-1 \text {, we put } P_{k+1}=\left(Q_{k}\right)\left((a)^{n-k-1} b\right) I I \lambda \underline{0}
\end{gathered}
$$

It is easy to check that, for every $1 \leq k \leq n, Q_{k} \simeq_{\beta} \lambda x(\underline{S})^{k+1} x$.
We prove (by induction on $k$ ) that, for every $0 \leq k \leq n$, we have $(a)^{n} b \underline{0} \underline{S} f \succ$ $(a)^{n-k} b P_{k} Q_{k} f$.

- For $k=0$, it is true.
- Assume that is true for $k$, and prove it for $k+1$.

$$
\begin{aligned}
& (a)^{n-k} b P_{k} Q_{k} f=(a)(a)^{n-k-1} b P_{k} Q_{k} f \succ \\
& \left((a)^{n-k-1} b\right)\left(Q_{k}\right)\left((a)^{n-k-1} b\right) I I \lambda x \underline{0} \lambda x(\underline{S})\left(Q_{k}\right) x f=(a)^{n-k+1} b P_{k+1} Q_{k+1} f .
\end{aligned}
$$

In particular, for $k=n$, we have $(a)^{n} b \underline{0} \underline{S} f \succ(b) P_{n} Q_{n} f \succ(f) P_{n}$.
$P_{n}=\left(Q_{n-1}\right)(b) I I \lambda x \underline{0} \simeq_{\beta}\left(\lambda x(\underline{S})^{n} x\right)(b) I I \lambda x \underline{0} \simeq_{\beta}(\underline{S})^{n}(\lambda x \underline{0}) I \simeq_{\beta}(\underline{S})^{n} \underline{0} \simeq_{\beta} \underline{n}$.
Therefore $T$ is a $\underline{S_{2}}$-storage operator.
We define a sequence of $\lambda$-terms $\left(P_{i}^{\prime}\right)_{0 \leq i \leq n}$ by :
$P_{0}^{\prime}=\underline{0}$, and for every $0 \leq k \leq n-1$, we put $P_{k+1}^{\prime}=\left(Q_{k}\right)\left(x_{n-k-1, a, b, P_{n-k}^{\prime}, Q_{n-k}, f}\right) I I J$
We check (as before) that:

$$
\begin{aligned}
(T) x_{n} f & \succ\left(x_{n}\right) \text { ab } P_{0}^{\prime} Q_{0} f \\
\text { (a) } x_{n-1, a, b, P_{0}^{\prime}, Q_{0}, f} P_{0}^{\prime} Q_{0} f & \succ\left(x_{n-1, a, b, P_{0}^{\prime}, Q_{0}, f}\right) P_{1}^{\prime} Q_{1} f
\end{aligned}
$$

$$
\begin{aligned}
\text { (a) } \left.x_{0, a, b, P_{n-1}^{\prime}, Q_{n-1}, f}\right) P_{n-1}^{\prime} Q_{n-1} f & \succ\left(x_{0, a, b, P_{n-1}^{\prime}, Q_{n-1}, f}\right) P_{n}^{\prime} Q_{n} f \\
& (b) P_{n}^{\prime} Q_{n} f
\end{aligned} \succ(f) P_{n}^{\prime}
$$

But $P_{n}^{\prime}=\left(Q_{n-1}\right)\left(x_{0, a, b, P_{n-1}^{\prime}, Q_{n-1}, f}\right) I I \lambda x \underline{0}$ is not closed.
Note that $P_{n}^{\prime} \simeq_{\beta}(\underline{S})^{n}\left(X_{0, a, b, P_{n-1}^{\prime}, Q_{n-1}, f}\right) I I \lambda x \underline{0} \not 千_{\beta} \underline{n}$. Indeed, if $(\underline{S})^{n}\left(X_{0, a, b, P_{n-1}^{\prime}, Q_{n-1}, f}\right) I I \lambda x \underline{0} \simeq_{\beta}$ $\underline{n}$, then $(\underline{S})^{n}\left(\lambda x_{1} \lambda x_{2} \lambda x_{3}(\underline{S}) \underline{0}\right) \stackrel{I}{I} I \lambda x \underline{0} \simeq_{\beta} \underline{n}$, therefore $\underline{n+1} \simeq_{\beta} \underline{n}$. A contradiction.

Therefore $T$ is a no storage operator.

## References

[1] R. David and K. Nour Storage operators and directed $\lambda$-calculus Journal of symbolic logic vol. 60, num. 4, pp. 1054-1086, 1995
[2] J.L. Krivine Lambda-calcul, types et modèles Masson, Paris 1990
[3] J.L. Krivine Opérateurs de mise en mémoire et traduction de Gődel Archiv for Mathematical Logic 30, 1990, pp. 241-267
[4] K. Nour Opérateurs de mise en mémoire en lambda-calcul pur et typé Thèse de Doctorat, Université de Chambéry, 1993


[^0]:    ${ }^{1}$ We wish to thank René David for helpful discussions.
    ${ }^{2}$ e-mail nour@univ-savoie.fr

[^1]:    ${ }^{3}$ In his definition of storage operator, J.L. Krivine autorizes the $\tau_{n}$ to contain free variables which are replaced by terms depend of $\theta_{n}$. The results of this paper remain valid with this definition but the proofs will be too technical.

