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S-STORAGE OPERATORS

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Abstract In 1990, J.L. Krivine introduced the notion of storage operator to simulate, for Church integers, the "call by value" in a context of a "call by name" strategy. In this present paper, we define, for every λ -term \underline{S} which realizes the successor function on Church integers, the notion of \underline{S} -storage operator. We prove that every storage operator is a \underline{S} -storage operator. But the converse is not always true.

Mathematics Subject Classification: 03B40, 68Q60

Keywords : Church integer ; Storage operator ; Call by value ; Call by name ; Head reduction ; Solvable ; Successor ; \underline{S} -storage operator.

1 Definitions and notations

- We denote by Λ the set of λ -terms modulo α -equivalence, and by \mathcal{V} the set of λ -variables.
- Let $t, u, u_1, ..., u_n$ be λ -terms, the application of t to u is denoted by (t)u. In the same way we write $(t)u_1...u_n$ instead of $(...((t)u_1)...)u_n$.
- The sequence of λ -terms $u_1, ..., u_n$ is denoted \overline{u} .
- If $\overline{u} = u_1, ..., u_n$, we denote by $(t)\overline{u}$ the λ -term $(t)u_1...u_n$.
- The β -equivalence relation is denoted by $u \simeq_{\beta} v$.
- The notation $\sigma(t)$ represents the result of the simultaneous substitution σ to the free variables and the constants of t after a suitable renaming of the bounded variables of t.
- Let us recall that a λ -term t either has a head redex [i.e. $t = \lambda x_1 ... \lambda x_n(\lambda xu) v \overline{w}$, the head redex being $(\lambda xu)v$], or is in head normal form [i.e. $t = \lambda x_1 ... \lambda x_n(x) \overline{w}$].
- The notation $u \succ v$ means that v is obtained from u by some head reductions.
- If $u \succ v$, we denote by h(u,v) the length of the head reduction between u and v.
- A λ -term t is said solvable iff the head reduction of t terminates.

The following results are well known (see [3]):

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-If u is β -equivalent to a solvable λ -term, then t is solvable.

-If $u \succ v$, then, for any substitution σ , $\sigma(u) \succ \sigma(v)$, and $h(\sigma(u), \sigma(v)) = h(u, v)$. In particular, if for some substitution σ , $\sigma(t)$ is solvable, then t is solvable.

- We define $(u)^n v$ by induction : $(u)^0 v = v$ and $(u)^{n+1} v = (u)(u)^n v$.
- For each integer n, we define the Church integer $\underline{n} = \lambda f \lambda x(f)^n x$.
- A closed λ -term \underline{S} is called successor iff, for every $k \geq 0$, $(\underline{S})\underline{k} \simeq_{\beta} \underline{k+1}$.

Examples Let $\underline{S_1} = \lambda n \lambda f \lambda x(f)((n)f)x$ and $\underline{S_2} = \lambda n \lambda f \lambda x((n)f)(f)x$. It is easy to check that $\underline{S_1}$ and $\underline{S_2}$ are successors. \Box

2 Introduction

In λ -calculus the left reduction strategy (iteration of the head reduction) has much advantages: it always terminates when applied to a normalizable λ -term and it seems more economic since we compute a λ -term only when we need it. But the major drawback of this strategy is that a function must compute its argument every time it uses it. In 1990 J-L. Krivine introduced the notion of storage operators in order to avoid this problem and to simulate call-by-value when necessary.

Let F be a λ -term (a function), and \underline{n} a Church integer. During the computation, by left reduction, of $(F)\theta_n$ (where $\theta_n \simeq_{\beta} \underline{n}$), θ_n may be computed several times (as many times as F uses it). We would like to transform $(F)\theta_n$ to $(F)\tau_n$ where τ_n is a fixed closed λ -term β -equivalent to \underline{n} . We also want this transformation depends only on θ_n (and not F).

Therefore the definition: A closed λ -term T is called storage operator if and only if for every $n \geq 0$, there is a closed λ -term $\tau_n \simeq_{\beta} \underline{n}$ such that for every $\theta_n \simeq_{\beta} \underline{n}$, $(T)\theta_n f \succ (f)\tau_n$ (where f is a new variable).

Let's analyse the head reduction $(T)\theta_n f \succ (f)\tau_n$, by replacing each λ -term which comes from θ_n by a new variable.

If
$$\theta_n \simeq_{\beta} \underline{n}$$
, then $\theta_n \succ \lambda g \lambda x(g) t_{n-1}$, $t_{n-k} \succ (g) t_{n-k-1}$ $1 \leq k \leq n-1$, $t_0 \succ x$, and $t_k \simeq_{\beta} (g)^k x$ $0 \leq k \leq n-1$.

Let x_n be a new variable $(x_n$ represents $\theta_n)$. $(T)x_nf$ is solvable, and its head normal form does not begin by λ , therefore it is a variable applied to some arguments. The free variables of $(T)x_nf$ are x_n and f, we then have two possibilities for its head normal form : $(f)\delta_n$ (in this case we stop) or $(x_n)a_1...a_m$.

Assume we obtain $(x_n)a_1...a_m$. The variable x_n represents θ_n , and $\theta_n \succ \lambda g\lambda x(g)t_{n-1}$, therefore $(\theta_n)a_1...a_m$ and $((a_1)t_{n-1}[a_1/x,a_2/g])a_3...a_m$ have the same head normal form. The λ -term $t_{n-1}[a_1/g,a_2/x]$ comes from θ_n . Let x_{n-1,a_1,a_2} be a new variable $(x_{n-1,a_1,a_2}$ represents $t_{n-1}[a_1/g,a_2/x])$. The λ -term $((a_1)x_{n-1,a_1,a_2})a_3...a_m$ is solvable, and its head normal form does not begin by λ , therefore it is a variable applied to some arguments. The free variables of $((a_1)x_{n-1,a_1,a_2})a_3...a_m$ are among x_{n-1,a_1,a_2}, x_n , and f, we then have three possibilities for its head normal form : $(f)\delta_n$ (in this case we stop) or $(x_n)b_1...b_r$ or $(x_{n-1,a_1,a_2})b_1...b_r$.

Assume we obtain $(x_{n-1,a_1,a_2})b_1...b_r$. The variable (x_{n-1,a_1,a_2}) represents $t_{n-1}[a_1/g, a_2/x]$, and $t_{n-1} \succ (g)t_{n-2}$, therefore $(t_{n-1}[a_1/g, a_2/x])b_1...b_r$ and $((a_1)t_{n-2}[a_1/g, a_2/x])b_1...b_r$ have the same head normal form. The λ -term $t_{n-2}[a_1/g, a_2/x]$ comes from θ_n . Let x_{n-2,a_1,a_2} be a new variable (x_{n-2,a_1,a_2}) represents $t_{n-2}[a_1/g, a_2/x]$). The λ -term $((a_1)x_{n-2,a_1,a_2})b_1...b_r$ is solvable, and its head normal form does not begin by λ , therefore it is a variable applied to arguments. The free variables of $((a_1)x_{n-2,a_1,a_2})b_1...b_r$ are among $x_{n-2,a_1,a_2}, x_{n-1,a_1,a_2}, v_n$, and f, therefore we have four possibilities for its head normal form : $(f)\delta_n$ (in this case we stop) or $(x_n)c_1...c_s$ or $(x_{n-1,a_1,a_2})c_1...c_s$ or $(x_{n-2,a_1,a_2})c_1...c_s$... and so on...

Assume we obtain $(x_{0,d_1,d_2})e_1...e_k$ during the construction. The variable x_{0,d_1,d_2} represents $t_0[d_1/g,d_2/x]$, and $t_0 \succ x$, therefore $(t_0[d_1/g,d_2/x])e_1...e_k$ and $(d_2)e_1...e_k$ have the same head normal form; we then follow the construction with the λ -term $(d_2)e_1...e_k$. The λ -term $(T)\theta_n f$ is solvable, and has $(f)\tau_n$ as head normal form, so this construction always stops on $(f)\delta_n$. We can prove by a simple argument that $\delta_n \simeq_\beta \underline{n}$.

According to the previous construction, the reduction $(T)\theta_n f \succ (f)\tau_n$ can be divided into two parts:

- A reduction that does not depend on n:

$$(T)x_n f \succ (x_n)a_1...a_m$$

$$((a_1)x_{n-1,a_1,a_2})a_3...a_m \succ (x_{n-1,a_1,a_2})b_1...b_r$$

$$((a_1)x_{n-2,a_1,a_2})b_1...b_r \succ (x_{n-2,a_1,a_2})b_1...b_r$$

$$\vdots$$

- A transformation that depends on n (and not on θ_n):

$$(x_n)a_1...a_m \sim ((a_1)x_{n-1,a_1,a_2})a_3...a_m$$

 $(x_{n-1,a_1,a_2})b_1...b_r \sim ((a_1)x_{n-2,a_1,a_2})c_1...c_s$

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$$(x_{0,d_1,d_2})e_1...e_k \rightsquigarrow (d_1)e_1..e_k$$

We add new constants x_i and $x_{i,a,b,\overline{c}}$ in λ -calculus, and we consider the following set of head reduction rules :

$$(\lambda x u) v \overline{w} \succ (u[v/x]) \overline{w}$$

$$(x_{i+1}) a b \overline{c} \succ ((a) x_{i,a,b,\overline{c}}) \overline{c}$$

$$(x_0) a b \overline{c} \succ (b) \overline{c}$$

$$(x_{i+1,a,b,\overline{c}}) \overline{w} \succ ((a) x_{i,a,b,\overline{w}}) \overline{w}$$

$$(x_{0,a,b,\overline{c}}) \overline{w} \succ (b) \overline{w}$$

We write $t \succ_x t'$ if t' is obtained from t by applying these rules finitely many times.

With this formalisme we have the following result (see [1] and [4]): A closed λ -term T is a storage operator iff for every $n \geq 0$, $(T)x_n f \succ_x (f)\tau_n$ and where τ_n is a closed λ -term β -equivalent to \underline{n} .

The constants x_i and $x_{i,a,b,\overline{c}}$ represent intuitively the λ -terms which come from a non calculated Church integer. The uniform shape of Church integers allows to describe the behaviour of these constants when they are in the head position. However, another method to describe a Church integer is simply to say that it is zero or a successor.

Formally, we add new constants X_i et $X_{i,a,b,\overline{c}}$ in λ -calculus, and we consider, for every successor \underline{S} , the following set of head reduction rules :

$$(\lambda xu)v\overline{w} \succ (u[v/x])\overline{w}$$

$$(X_{i+1})ab\overline{c} \succ ((\underline{S})X_{i,a,b,\overline{c}})ab\overline{c}$$

$$(X_0)ab\overline{c} \succ (\underline{0})ab\overline{c}$$

$$(X_{i+1,a,b,\overline{c}})uv\overline{w} \succ ((\underline{S})X_{i,u,v,\overline{w}})uv\overline{w}$$

$$(X_{0,a,b,\overline{c}})uv\overline{w} \succ (\underline{0})uv\overline{w}$$

We write $t \succ_X t'$ if t' is obtained from t by applying these rules finitely many times.

A \underline{S} -storage operator is defined as follows:

A closed λ -term T is a \underline{S} -storage operator iff for every $n \geq 0$, $(T)X_n f \succ_X (f)\tau_n$ where τ_n is a closed λ -term β -equivalent to \underline{n} .

This paper studies the link betwen the storage operators and the \underline{S} -storage operators. We prove that every storage operator is a \underline{S} -storage operator. But the converse is not always true.

3 Storage operators and \underline{S} -storage operators

Definition Let T be a closed λ -term. We say that T is a storage operator iff for every $n \geq 0$, there is a closed λ -term $\tau_n \simeq_{\beta} \underline{n}$, such that for every $\theta_n \simeq_{\beta} \underline{n}$, $(T)\theta_n f \succ (f)\tau_n$.

Remark Let F be any λ -term (for a function), and θ_n a λ -term β -equivalent to \underline{n} . During the computation of $(F)\theta_n$, θ_n may be computed each time it comes in head position. Instead of computing $(F)\theta_n$, let us look at the head reduction of $(T)\theta_nF$. Since it is $\{(T)\theta_nf\}[F/f]$, we shall first reduce $(T)\theta_nf$ to its head normal form, which is $(f)\tau_n$, and then compute $(F)\tau_n$. The computation has been decomposed into two parts, the first being independent of F. This first part is essentially a computation of θ_n , the result being τ_n , which is a kind of normal form of θ_n . So, in the computation of $(T)\theta_nF$, θ_n is computed first, and the result is given to F as an argument, T has stored the result, before giving it, as many times as needed, to any function. \square

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Examples Let \underline{S} be a successor. If we take: T_1 = \lambda n((n)G)\delta where G = \lambda x \lambda y(x)\lambda z(y)(\underline{S})z and \delta = \lambda f(f)\underline{0} T_2 = \lambda n\lambda f(((n)F)f)\underline{0} where F = \lambda x\lambda y(x)(\underline{S})y, then it is easy to check that (see [1] and [3]): for every \theta_n \simeq_\beta \underline{n}, (T_i)\theta_n f \succ (f)(\underline{S})^n\underline{0} (i = 1 \text{ or } 2). Therefore T_1 and T_2 are storage operators. \square
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Let $\{x_i\}_{i\geq 0}$ be a set of different constants. We define a set of terms (denoted by Λ_x) in the following way:

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- If x \in \mathcal{V} \cup \{x_i\}_{i \geq 0}, then x \in \Lambda_x;
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- If $x \in \mathcal{V}$, and $u \in \Lambda_x$, then $\lambda xu \in \Lambda_x$;
- If $u \in \Lambda_x$, and $v \in \Lambda_x$, then $(u)v \in \Lambda_x$;
- If $n \in \mathbb{N}$, and $a, b, \overline{c} \in \Lambda_x$, then $x_{n,a,b,\overline{c}} \in \Lambda_x$.

 $x_{n,a,b,\overline{c}}$ is considered as a constant which does not appear in a,b,\overline{c} . The terms of the set Λ_x are called λx -terms.

We have the following result (see [1] and [4]):

A closed λ -term T is a storage operator iff for every $n \geq 0$, there is a finite sequence of head reduction $\{U_i \succ V_i\}_{1 \leq i \leq r}$ such that :

1) U_i and V_i are λx -terms;

³In his definition of storage operator, J.L. Krivine autorizes the τ_n to contain free variables which are replaced by terms depend of θ_n . The results of this paper remain valid with this definition but the proofs will be too technical.

- 2) $U_1 = (T)x_nf$ and $V_r = (f)\tau_n$ where τ_n is closed λ -term β -equivalent to \underline{n} ;
- 3) $V_i = (x_n)ab\overline{c} \text{ or } V_i = (x_{l,a,b,\overline{c}})\overline{d} \ 0 \le l \le n-1;$
- 4) If $V_i = (x_n)ab\overline{c}$, then $U_{i+1} = (b)\overline{c}$ if n = 0 and $U_{i+1} = ((a)x_{n-1,a,b,\overline{c}})\overline{c}$ if $n \neq 0$;
- 5) If $V_i = (x_{l,a,b,\overline{c}})\overline{d} \ 0 \le l \le n-1$, then $U_{i+1} = (b)\overline{d} \ if \ l = 0$ and $U_{i+1} = ((a)x_{l-1,a,b,\overline{d}})\overline{d}$ if $l \ne 0$.

Definitions

- 1) Let $\{X_i\}_{i\geq 0}$ be a set of different constants. We define a set of terms (denoted by Λ_X) in the following way:
 - If $x \in \mathcal{V} \cup \{X_i\}_{i>0}$, then $x \in \Lambda_X$;
 - If $x \in \mathcal{V}$, and $u \in \Lambda_X$, then $\lambda xu \in \Lambda_X$;
 - If $u \in \Lambda_X$, and $v \in \Lambda_X$, then $(u)v \in \Lambda_X$;
 - If $n \in \mathbb{N}$, and $a, b, \overline{c} \in \Lambda_X$, then $X_{n,a,b,\overline{c}} \in \Lambda_X$.

 $X_{n,a,b,\overline{c}}$ is considered as a constant which does not appear in a,b,\overline{c} . The terms of the set Λ_X are called λX -terms.

- 2) Let \underline{S} be a successor. A closed λ -term T is called a \underline{S} -storage operator iff for every $n \geq 0$, there is a finite sequence of head reduction $\{U_i \succ V_i\}_{1 \leq i \leq r}$ such that :
 - 1) U_i and V_i are λX -terms;
 - 2) $U_1 = (T)X_nf$ and $V_r = (f)\tau_n$ where τ_n is closed λ -term β -equivalent to \underline{n} ;
 - 3) $V_i = (X_n)ab\overline{c}$ or $V_i = (X_{l,a,b,\overline{c}})uv\overline{w}$ $0 \le l \le n-1$;
 - 4) If $V_i = (X_n)ab\overline{c}$, then $U_{i+1} = (\underline{0})ab\overline{c}$ if n = 0 and $U_{i+1} = ((\underline{S})X_{n-1,a,b,\overline{c}})ab\overline{c}$ if $n \neq 0$;
 - 5) If $V_i = (X_{l,a,b,\overline{c}})uv\overline{w}$ $0 \le l \le n-1$, then $U_{i+1} = (\underline{0})uv\overline{w}$ if l = 0 and $U_{i+1} = (\underline{(S)}X_{l-1,u,v,\overline{w}})uv\overline{w}$ if $l \ne 0$.

Examples It is easy to check that, for $1 \le i, j \le 2$, the above operator T_i is an $\underline{S_j}$ -storage operator. We check here (for example) that T_2 is an $\underline{S_2}$ -storage operator: Let n be an integer.

If n = 0, then we check that $(T_2)X_n f \succ (X_n)F f \underline{0}$ and $(\underline{0}) F f \underline{0} \succ (f)\underline{0}$.

If $n \neq 0$, then we check that:

$$(T)X_{n}f \succ (X_{n}) F f \underline{0}$$

$$((\underline{S_{2}})X_{n-1,F,f,\underline{0}}) F f \underline{0} \succ (X_{n-1,F,f,\underline{0}}) F (F)f \underline{0}$$

$$\vdots$$

$$\vdots$$

$$((\underline{S_{2}})X_{0,F,(F)^{n-1}f,\underline{0}}) F (F)^{n-1}f \underline{0} \succ (X_{0,F,(F)^{n-1}f,\underline{0}}) F (F)^{n}f \underline{0}$$

$$(\underline{0}) F (F)^{n}f \underline{0} \succ (F)^{n}f \underline{0}$$

We prove (by induction on k) that, for every λ -term u, and for every $0 \le k \le n$, we have $(F)^k f$ $u \succ (f)(S_2)^k u$.

- For k=0, it is true.
- Assume that is true for k, and prove it for k+1. $(F)^{k+1}f$ $u=(F)(F)^kf$ $u \succ (F)^kf$ $(\underline{S_2})u$. By induction hypothesis we have that for every λ -term v, $(F)^kf$ $v \succ (f)(\underline{S_2})^kv$, then $(F)^{k+1}f$ $u \succ (f)(\underline{S_2})(\underline{S_2})^ku = (f)(S_2)^{k+1}u$.

In particular, for $u = \underline{0}$ and k = n, we have $(F)^n f \underline{0} \succ (f) (\underline{S_2})^n \underline{0}$. Therefore T_2 is a $\underline{S_2}$ -storage operator. \square

A question arizes : Is there a link between the storage operators and the \underline{S} -storage operators ?

4 Link between the storage operators and the \underline{S} -storage operators

Theorem 1 If T is a storage operator, then, for every successor \underline{S} , T is a \underline{S} -storage operator.

Proof Let \underline{S} be a successor and T a storage operator.

Then for every $n \geq 0$, there is a closed λ -term $\tau_n \simeq_{\beta} \underline{n}$ such that for every $\theta_n \simeq_{\beta} \underline{n}$, $(T)\theta_n f \succ (f)\tau_n$. In particular $((T)(\underline{S})^n\underline{0})f \succ (f)\tau_n$.

Let $\sigma: \Lambda_X \to \Lambda$ the simultaneous substitution defined by :

$$\sigma(X_n) = (\underline{S})^n \underline{0}$$
 for every $0 \le k \le n - 1$, $\sigma(X_{k,a,b,\overline{c}}) = (\underline{S})^k \underline{0}$
$$\sigma(x) = x \text{ if } x \ne X_n, X_{k,a,b,\overline{c}}$$

For every $n \geq 0$, we construct a set of head equation $\{U_i \succ V_i\}_{1 \leq i \leq r}$ such that :

1) U_i and V_i are λX -terms;

- 2) $V_r = (f)\delta_n$;
- 3) for every $1 \le i \le r 1$, $V_i = (X_n)ab\overline{c}$ or $V_i = (X_{l,a,b,\overline{c}})uv\overline{w}$;
- 4) $\sigma(V_i)$ is solvable.

Let $U_1 = (T)X_n f$. We have $\sigma(U_1) = ((T)(\underline{S})^n \underline{0})f$ is solvable, then U_1 is solvable and $U_1 \succ V_1$ where $V_1 = (f)\delta_n$ or $V_1 = (X_n)ab\overline{c}$. It is clear that $\sigma(V_1)$ is solvable. Assume that we have the head reduction $U_k \succ V_k$ and $V_k \neq (f)\delta_n$.

- If $V_k = (X_n)ab\overline{c}$, then, by induction hypothesis, $\sigma(V_k) = ((\underline{S})^n)\underline{0})\sigma(a)\sigma(b)\overline{\sigma(c)}$ is solvable.
 - If n=0, let $U_{k+1}=(\underline{0})ab\overline{c}$. Then $\sigma(U_{k+1})=(\underline{0})\sigma(b)\sigma(b)\overline{\sigma(c)}$ is solvable.
 - If $n \neq 0$, let $U_{k+1} = (\underline{(S)}X_{n-1,a,b,\overline{c}})ab\overline{c}$. Then $\sigma(U_{k+1}) = (\underline{(S)}(\underline{S})^{n-1})\underline{0})\sigma(a)\sigma(b)\overline{\sigma(c)} = \sigma(V_k)$ is solvable.
- If $V_k = (X_{l,a,b,\overline{c}})uv\overline{w}$, then, by induction hypothesis, $\sigma(V_k) = ((\underline{S})^l)\underline{0})\sigma(u)\sigma(v)\overline{\sigma(w)}$ is solvable.
 - If l=0, let $U_{k+1}=(\underline{0})uv\overline{w}$. Then $\sigma(U_{k+1})=(\underline{0})\sigma(u)\sigma(v)\overline{\sigma(w)}$ is solvable.
 - If $l \neq 0$, let $U_{k+1} = (\underline{(\underline{S})} X_{l-1,u,v,\overline{w}}) uv\overline{w}$. Then $\sigma(U_{k+1}) = (\underline{(\underline{S})} (\underline{S})^{l-1})\underline{0}) \sigma(u) \sigma(v) \overline{\sigma(w)} = \sigma(V_k)$ is solvable.

Therefore U_{k+1} is solvable and $U_{k+1} \succ V_{k+1}$ where $V_{k+1} = (f)\delta_n$ or $V_{k+1} = (X_n)a'b'\overline{c'}$ or $V_{k+1} = (X_{r,a',b',\overline{c'}})a''b''\overline{c''}$. Since $\sigma(U_{k+1})$ is solvable, then $\sigma(V_{k+1})$ is also solvable.

This construction always terminates (i.e there is a $r \geq 0$ such that $V_r = (f)\delta_n$). Indeed, if not, we check easily that the λ -term $((T)(\underline{S})^n\underline{0})f$ is not solvable.

Let y be a variable, $\underline{\hat{S}} = (\lambda x \underline{S}) y$, and $\underline{\hat{0}} = (\lambda x \underline{0}) y$. Let $\hat{\sigma} : \Lambda_X \to \Lambda$ the simultaneous substitution defined by :

$$\hat{\sigma}(X_n) = (\underline{\hat{S}})^n \underline{\hat{0}}$$
 for every $0 \le k \le n - 1$, $\hat{\sigma}(X_{k,a,b,\overline{c}}) = (\underline{\hat{S}})^k \underline{\hat{0}}$
$$\hat{\sigma}(x) = x \text{ if } x \ne X_n, X_{k,a,b,\overline{c}}$$

Since $(\underline{\hat{S}})t \succ (\underline{S})t$ and $\underline{\hat{0}} \succ \underline{0}$, we check easily that $((T)(\underline{\hat{S}})^n\underline{\hat{0}})f \succ (f)\hat{\sigma}(\delta_n)$. But $(\underline{\hat{S}})^n\underline{\hat{0}} \simeq_\beta \underline{n}$, then $((T)(\underline{\hat{S}})^n\underline{\hat{0}})f \succ (f)\tau_n$. Therefore $\hat{\sigma}(\delta_n) = \tau_n$. Since τ_n is closed, then δ_n is also closed and $\delta_n = \tau_n \simeq_\beta \underline{n}$.

Therefore T is a \underline{S} -storage operator. \square

Definition We say that a λX -term U satisfies the property (P) iff for each constant $X_{l,a,b,\overline{c}}$ of U we have :

- a, b, \overline{c} satisfy (P)
- $X_{l,a,b,\overline{c}}$ is applied to a and b;
- a, b do not contain free variables which are bounded in U.

Lemma 1 Let U, V be λX -terms which do not begin by λ . If U satisfies (P) and $U \succ V$, then V satisfies (P).

Proof It is enough to do the proof for one step of head reduction. We have $U = (\lambda x u)v\overline{w}$ and $V = (u[v/x])\overline{w}$. Since U satisfies (P), then u, v, \overline{w} satisfy (P) and x is not free in a, b if the constant $X_{l,a,b,\overline{d}}$ appears in u. Therefore $u[v/x], u_1, ..., u_m$ satisfy (P) and V satisfies (P). \square

Let $\Delta: \Lambda_x \to \Lambda_X$ the simultaneous substitution defined by :

$$\triangle(x_n) = X_n$$
 for every $0 \le k \le n - 1$, $\triangle(x_{k,a,b,\overline{c}}) = (X_{k,\triangle(a),\triangle(b),\overline{\triangle(c)}})\triangle(a)\triangle(b)$
$$\sigma(x) = x \text{ if } x \ne x_n, x_{k,a,b,\overline{c}}$$

Lemma 2 If U is a λX -term satisfies (P), then there is a λx -term U' such that $\triangle(U') = U$.

Proof By induction on U.

- For U = x, it is true.
- If $U = \lambda x V$, then V satisfies (P), and, by induction hypothesis, there is a λx -term V such that $\Delta(V') = V$. We put $U' = \lambda x V'$. We have $\Delta(U') = U$.
- If $U = (U_1)U_2$ (where U_1 does not begin by a constant), then U_1, U_2 satisfy (P), and, by induction hypothesis, there are λx -terms U'_1, U'_2 such that $\Delta(U'_1) = U_1$ and $\Delta(U'_1) = U_1$. We put $U' = (U'_1)U'_2$. We have $\Delta(U') = U$.
- If $U = (X_{k,a,b,\overline{c}})ab\overline{V}$, then $a,b,\overline{c},\overline{V}$ satisfy (P), and, by induction hypothesis, there are λx -terms $a',b',\overline{c'},\overline{V'}$ such that $\triangle(a')=a, \triangle(b')=b, \triangle(\overline{c'})=\overline{c}$, and $\triangle(\overline{V'})=\overline{V}$. We put $U'=(x_{k,a',b',\overline{c'}})\overline{V'}$. We have $\triangle(U')=U$. \square

Theorem 2 T is a S_1 -storage operator iff T is a storage operator.

Proof Let $n \geq 0$. If T is a $\underline{S_1}$ -storage operator, then there is a finite sequence of head reduction $\{U_i \succ V_i\}_{1 \leq i \leq r}$ such that :

- 1) U_i and V_i are λX -terms;
- 2) $U_1 = (T)X_nf$ and $V_r = (f)\tau_n$ where τ_n is closed λ -term β -equivalent to \underline{n} ;

- 3) $V_i = (X_n)ab\overline{c}$ or $V_i = (X_{l.a.b.\overline{c}})uv\overline{w}$ $0 \le l \le n-1$;
- 4) If $V_i = (X_n)ab\overline{c}$, then $U_{i+1} = (\underline{0})ab\overline{c}$ if n = 0 and $U_{i+1} = ((\underline{S_1})X_{n-1,a,b,\overline{c}})ab\overline{c}$ if $n \neq 0$;
- 5) If $V_i = (X_{l,a,b,\overline{c}})uv\overline{w} \ 0 \le l \le n-1$, then $U_{i+1} = (\underline{0})uv\overline{w}$ if l = 0 and $U_{i+1} = ((S_1)X_{l-1,u,v,\overline{w}})uv\overline{w}$ if $l \ne 0$.

We prove (by induction on i) that, for every $1 \le i \le r$, V_i satisfies (P).

- For i = 1, it is true.
- Assume that is true for i, and prove it for i + 1.

If $V_i = (X_n)ab\overline{c}$, we have two cases:

- if n = 0, then $U_{i+1} = (\underline{0})ab\overline{c}$. By induction hypothesis V_i satisfies (P), then a, b, \overline{c} satisfy (P), therefore U_{i+1} and V_{i+1} satisfy (P).
- if $n \neq 0$, then $U_{i+1} = ((\underline{S})X_{n-1,a,b,\overline{c}})ab\overline{c}$. By induction hypothesis V_i satisfies (P), then a, b, \overline{c} satisfy (P). Since $U_{i+1} \succ ((a)(X_{n-1,a,b,\overline{c}})ab)\overline{c}$, then V_{i+1} satisfies (P).

If $V_i = (X_{l,a,b,\overline{c}})uv\overline{w}$ $0 \le l \le n-1$, then u = a, v = b, and $\overline{w} = \overline{c}$ since, by induction hypothesis, V_i satisfies (P). We have two cases:

- if n = 0, then $U_{i+1} = (\underline{0})ab\overline{c}$. By induction hypothesis V_i satisfies (P), then a, b, \overline{c} satisfy (P), therefore U_{i+1} and V_{i+1} satisfy (P).
- if $n \neq 0$, then $U_{i+1} = ((\underline{S})X_{l-1,a,b,\overline{c}})ab\overline{c}$. By induction hypothesis V_i satisfies (P), then a, b, \overline{c} satisfy (P). Since $U_{i+1} \succ ((a)(X_{l-1,a,b,\overline{c}})ab)\overline{c}$, then V_{i+1} satisfies (P).

Therefore there is a finite sequence of head reduction $\{M_i \succ N_i\}_{1 \le i \le r}$ such that :

- 1) M_i and N_i are λX -terms;
- 2) $M_1 = (T)X_nf$ and $N_r = (f)\tau_n$ where τ_n is closed λ -term β -equivalent to \underline{n} ;
- 3) $N_i = (X_n)ab\overline{c}$ or $N_i = (X_{l,a,b,\overline{c}})ab\overline{d}$ $0 \le l \le n-1$;
- 4) If $N_i = (X_n)ab\overline{c}$, then $M_{i+1} = (b)\overline{c}$ if n = 0 and $M_{i+1} = ((a)(X_{n-1,a,b,\overline{c}})ab)\overline{c}$ if $n \neq 0$;
- 5) If $N_i=(X_{l,a,b,\overline{c}})ab\overline{d}\ 0\leq l\leq n-1$, then $M_{i+1}=(b)\overline{d}$ if l=0 and $M_{i+1}=(a)(X_{l-1,a,b,\overline{d}})ab)\overline{d}$ if $l\neq 0$.

Since, for every $1 \le i \le r$, M_i and N_i satisfy (P), let M'_i and N'_i the λx -terms such that $: \triangle(M'_i) = M_i$ and $\triangle(N'_i) = N_i$. We have :

1) M'_i and N'_i are λx -terms;

2)
$$M_1' = (T)x_n f$$
 and $N_r' = (f)\tau_n'$ where τ_n' is closed λ -term β -equivalent to \underline{n} ;

3)
$$N'_i = (x_n)a'b'\overline{c'}$$
 or $N'_i = (x_{l,a',b',\overline{c'}})\overline{d'}$ $0 \le l \le n-1$;

4) If
$$N_i'=(x_n)a'b'\overline{c'}$$
, then $M_{i+1}'=(b')\overline{c'}$ if $n=0$ and $M_{i+1}'=((a')x_{n-1,a',b',\overline{c'}})\overline{c'}$ if $n\neq 0$;

5) If
$$N'_{i} = (x_{l,a',b',\overline{c'}})\overline{d'}$$
 $0 \le l \le n-1$, then $M'_{i+1} = (b')\overline{d'}$ if $l = 0$ and $M'_{i+1} = ((a')x_{l-1,a',b',\overline{d'}})\overline{d'}$ if $l \ne 0$.

Therefore T is a storage operator. \square

Theorem 3 There is a S_2 -storage operator which is a no storage operator.

Proof Let
$$T = \lambda x(x)$$
 a $b \ \underline{0} \ \underline{S}$ where $a = \lambda x \lambda y \lambda z((x)(z)(x)II\lambda x\underline{0})\lambda x(\underline{S})(z)x$, $b = \lambda x \lambda y \lambda z(z)x$, and \underline{S} a successor.

Let n be an integer.

If n = 0, then we check that :

$$(T) X_n f \succ (X_n) a b \underline{0} \underline{S} f$$

$$(\underline{0}) a b \underline{0} \underline{S} f \succ (f)\underline{0}$$

If $n \neq 0$, then we check that :

$$(T) X_{n} f \succ (X_{n}) a b \underline{0} \underline{S} f$$

$$((\underline{S_{2}})X_{n-1,a,b,\underline{0},\underline{S},f}) a b \underline{0} \underline{S} f \succ (X_{n-1,a,b,\underline{0},\underline{S},f}) a (a)b \underline{0} \underline{S} f$$

$$\vdots$$

$$\vdots$$

$$((\underline{S_{2}})X_{0,a,(a)^{n-1}b,\underline{0},\underline{S},f}) a (a)^{n-1}b \underline{0} \underline{S} f \succ (X_{0,a,(a)^{n-1}b,\underline{0},\underline{S},f}) a (a)^{n}b \underline{0} \underline{S} f$$

$$(\underline{0}) a (a)^{n}b \underline{0} \underline{S} f \succ (a)^{n}b \underline{0} \underline{S} f$$

We define two sequences of λ -terms $(P_i)_{0 \le i \le n}$ and $(Q_i)_{0 \le i \le n}$ by :

$$Q_0 = \underline{S}$$
, and, for every $0 \le k \le n-1$, we put $Q_{k+1} = \lambda x(\underline{S})(Q_k)x$ $P_0 = \underline{0}$, and, for every $0 \le k \le n-1$, we put $P_{k+1} = (Q_k)((a)^{n-k-1}b)II\lambda x\underline{0}$

It is easy to check that, for every $1 \le k \le n$, $Q_k \simeq_{\beta} \lambda x(\underline{S})^{k+1}x$. We prove (by induction on k) that, for every $0 \le k \le n$, we have $(a)^n b \ \underline{0} \ \underline{S} \ f \succ (a)^{n-k} b \ P_k \ Q_k \ f$.

- For k=0, it is true.

- Assume that is true for
$$k$$
, and prove it for $k + 1$.
 $(a)^{n-k}b \ P_k \ Q_k \ f = (a) \ (a)^{n-k-1}b \ P_k \ Q_k \ f \succ ((a)^{n-k-1}b) \ (Q_k)((a)^{n-k-1}b)II\lambda x \underline{0} \ \lambda x (\underline{S})(Q_k)x \ f = (a)^{n-k+1}b \ P_{k+1} \ Q_{k+1} \ f.$

In particular, for
$$k = n$$
, we have $(a)^n b \ \underline{0} \ \underline{S} \ f \succ (b) \ P_n \ Q_n \ f \succ (f) P_n$.

$$P_n = (Q_{n-1})(b) II \lambda x \underline{0} \simeq_{\beta} (\lambda x (\underline{S})^n x)(b) II \lambda x \underline{0} \simeq_{\beta} (\underline{S})^n (\lambda x \underline{0}) I \simeq_{\beta} (\underline{S})^n \underline{0} \simeq_{\beta} \underline{n}.$$

Therefore T is a S_2 -storage operator.

We define a sequence of λ -terms $(P'_i)_{0 \leq i \leq n}$ by :

$$P'_0 = \underline{0}$$
, and for every $0 \le k \le n-1$, we put $P'_{k+1} = (Q_k)(x_{n-k-1,a,b,P'_{n-k},Q_{n-k},f})IIJ$

We check (as before) that:

$$(T) x_{n} f \succ (x_{n}) a b P'_{0} Q_{0} f$$

$$(a)x_{n-1,a,b,P'_{0},Q_{0},f} P'_{0} Q_{0} f \succ (x_{n-1,a,b,P'_{0},Q_{0},f}) P'_{1} Q_{1} f$$

$$\vdots$$

$$\vdots$$

$$(a)x_{0,a,b,P'_{n-1},Q_{n-1},f}) P'_{n-1} Q_{n-1} f \succ (x_{0,a,b,P'_{n-1},Q_{n-1},f}) P'_{n} Q_{n} f$$

$$(b) P'_{n} Q_{n} f \succ (f)P'_{n}$$

But $P'_n = (Q_{n-1})(x_{0,a,b,P'_{n-1},Q_{n-1},f})II\lambda x\underline{0}$ is not closed.

Note that $P'_n \simeq_{\beta} (\underline{S})^n (X_{0,a,b,P'_{n-1},Q_{n-1},f}) II \lambda x \underline{0} \not\simeq_{\beta} \underline{n}$. Indeed, if $(\underline{S})^n (X_{0,a,b,P'_{n-1},Q_{n-1},f}) II \lambda x \underline{0} \simeq_{\beta} \underline{n}$, then $(\underline{S})^n (\lambda x_1 \lambda x_2 \lambda x_3 (\underline{S}) \underline{0}) II \lambda x \underline{0} \simeq_{\beta} \underline{n}$, therefore $\underline{n+1} \simeq_{\beta} \underline{n}$. A contradiction.

Therefore T is a no storage operator. \square

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