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# Complete Types in an Extension of the System $\mathcal{AF}2$

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ABSTRACT. In this paper, we extend the system  $\mathcal{AF2}$  in order to have the subject reduction for the  $\beta\eta$ -reduction. We prove that the types with positive quantifiers are complete for models that are stable by weak-head expansion.

KEYWORDS: system AF2, type with positive quantifier, complete type.

#### 1. Introduction

The semantics of realisability of the system  $\mathcal{F}$ , proposed by J.-Y. Girard, consists in interpreting the types by "saturated subsets" of  $\lambda$ -terms. The correction theorem (also called "adequacy lemma") stipulates that: if a  $\lambda$ -term is typable then it belongs to the interpretation of its type. The adequacy lemma allows to show the strong normalization of the system  $\mathcal{F}$  when we take an adequate concept of saturation. The power of this notion of semantics comes from the variety of possible interpretations of the second order quantifier. For the system  $\mathcal{AF2}$ , J.-L. Krivine proposed a more general semantics by defining the concept of  $\lambda$ -models for a second-order language. His semantics is a modification of the traditional concept of a second-order model in which the set of the truth values is not, as usual,  $\{0, 1\}$  but an adequate subset of  $\lambda$ terms (see [KRI 94] and [RAF 98]). The corresponding adequacy lemma allows also to prove the uniqueness of the representation of the data.

Many researchers were interested in finding a general definition of a data type. For example, Böhm and Berarducci gave such a definition, only for term algebras, in the system  $\mathcal{F}$  (see [BÖH 85]) and Krivine generalized their definition to system  $\mathcal{AF2}$ (see [KRI 90]). We noticed that the class  $\mathcal{A}$  of the types thus built has the following feature: a normal  $\lambda$ -term is typable of a type  $D \in \mathcal{A}$  iff it is in the interpretation of Dfor a certain semantics. Then, we decided to take this result as the definition of the data types which we called "complete types", because the considered semantics is complete

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for these types. R. Labib-Sami was the first to build a class of complete types: they are the types with positive quantifiers (denoted by  $\forall_2^+$ ) of the system  $\mathcal{F}$  compared to a semantics based on the subsets saturated by  $\beta\eta$ -equivalence (see [LAB 86]).

We generalized in [FAR 98] Labib-Sami's result, by showing that the  $\forall_2^+$  types of system  $\mathcal{AF2}$  are complete for the semantics based on sets saturated by  $\beta\eta$ equivalence. It was natural to imagine a refinement of this result, namely interpretation of the types by sets saturated by weak-head expansion. For this, we considered a more restricted class of the  $\forall_2^+$  types which includes the data types of J.-L. Krivine. Then, we showed in [FAR 98] that these types are preserved by  $\eta$ -reduction and are complete for the considered semantics.

We propose in this paper another solution to this problem. We add typing rules to the system  $\mathcal{AF2}$  in order to have the conservation of types by  $\beta\eta$ -reduction. The system, which we propose, is inspired by the works of Mitchell [MIT 88] and the second author [NOU 96]. We show that, in this new system, all  $\forall_2^+$  types are complete for the semantics based on sets saturated by weak-head expansion.

#### 2. Notations and definitions

NOTATIONS 1. — We denote by  $\Lambda$  the set of terms of pure  $\lambda$ -calculus, also called  $\lambda$ -terms. Let  $t, u, u_1, \ldots, u_n \in \Lambda$ , the application of t to u is denoted by (t)u. In the same way we write  $(t)u_1 \ldots u_n$  instead of  $(\ldots ((t)u_1) \ldots)u_n$ . The  $\beta$ -reduction (resp.  $\beta$ -equivalence) is denoted by  $t \rightarrow_{\beta} u$  (resp.  $t \simeq_{\beta} u$ ). The set of free variables of a  $\lambda$ -term t is denoted by Fv(t). Let us recall that a  $\lambda$ -term t either has a weak-head redex [i.e.  $t = (\lambda xu)vv_1 \ldots v_m$ , the weak-head redex being  $(\lambda xu)v]$ , or is in weak-head normal form [i.e.  $t = (x)vv_1 \ldots v_m$  or  $t = \lambda xu$ ]. The notation  $t \succ_f t'$  means that t' is obtained from t by some weak-head reductions.

### **2.1.** The AF2 type system

The types will be formulas of second-order predicate logic over a given language. The logical symbols are  $\perp$  (for absurd),  $\rightarrow$  and  $\forall$  (and no other ones). There are individual variables:  $x, y, \ldots$  (also called first-order variables) and *n*-ary predicate variables ( $n = 0, 1, \ldots$ ):  $X, Y, \ldots$  (also called second-order variables). The terms and formulas are built in the usual way.

If X is a unary predicate variable, t and t' two terms, then the formula  $\forall X[Xt \rightarrow Xt']$  is denoted by t = t', and is said to be an **equation**. A **particular case** of t = t' is a formula of the form  $t[u_1/x_1, \ldots, u_n/x_n] = t'[u_1/x_1, \ldots, u_n/x_n]$  or  $t'[u_1/x_1, \ldots, u_n/x_n] = t[u_1/x_1, \ldots, u_n/x_n]$ ,  $u_1, \ldots, u_n$  being terms of the language. Then, we denote by **E** a system of function equations. A context  $\Gamma$  is a set of the form  $x_1 : A_1, \ldots, x_n : A_n$  where  $x_1, \ldots, x_n$  are distinct variables and  $A_1, \ldots, A_n$  are formulas. We are going to describe a system of typed  $\lambda$ -calculus called second-

order functional arithmetic (abbreviated in  $\mathcal{AF}2$  for **Arithmétique Fonctionnelle du** second ordre). The typing rules are the following:

 (1) Γ, x : A ⊢<sub>AF2</sub> x : A.
(2) If Γ, x : B ⊢<sub>AF2</sub> t : C, then Γ ⊢<sub>AF2</sub> λxt : B → C.
(3) If Γ ⊢<sub>AF2</sub> u : B → C, and Γ ⊢<sub>AF2</sub> v : B, then Γ ⊢<sub>AF2</sub> (u)v : C.
(4) If Γ ⊢<sub>AF2</sub> t : A, and x does not appear in Γ, then Γ ⊢<sub>AF2</sub> t : ∀xA.
(5) If Γ ⊢<sub>AF2</sub> t : ∀xA, then, for every term u, Γ ⊢<sub>AF2</sub> t : A[u/x].
(6) If Γ ⊢<sub>AF2</sub> t : ∀XA, then, for every formula G, Γ ⊢<sub>AF2</sub> t : A[G/X(x<sub>1</sub>,...,x<sub>n</sub>)].<sup>1</sup>

(8) If  $\Gamma \vdash_{\mathcal{AF2}} t : A[u/x]$ , then  $\Gamma \vdash_{\mathcal{AF2}} t : A[v/x]$ , u = v being a particular case of an equation of **E**.

Whenever we obtain the typing  $\Gamma \vdash_{\mathcal{AF}^2} t : A$  by means of these rules, we say that "the  $\lambda$ -term t is of type A in the context  $\Gamma$ , with respect to the equations of **E**".

#### Theorem 2. -

If Γ ⊢<sub>AF2</sub> t : A, and t →<sub>β</sub> t', then Γ ⊢<sub>AF2</sub> t' : A.
If Γ ⊢<sub>AF2</sub> t : A, then t is strongly normalizable.

#### **2.2.** The semantics of $\mathcal{AF}_2$

If  $G, G' \in P(\Lambda)$ , we define an element of  $P(\Lambda)$  by:  $G \to G' = \{u \in \Lambda / (u)t \in G' \text{ for every } t \in G\}$ . Let  $\mathcal{R}_f$  the set of subsets of  $\Lambda$  stable by weak-head reduction (i.e.  $\Xi \in \mathcal{R}_f$  iff for every  $v \in \Xi$ , if  $u \succ_f v$ , then  $u \in \Xi$ ). A subset R of  $\mathcal{R}_f$  is said **adequate** iff R is closed by  $\to$  and  $\cap$ .

Let L be a second-order language. A  $\Lambda_f$ -model is defined by:

- a non empty set |M| called **domain** of M,
- an adequate set R of  $\mathcal{R}_f$ ,
- for every an *n*-ary function symbol of L, a function  $f_M : |M|^n \to |M|$ ,
- for every *n*-ary predicate symbol *P* of *L*, a function  $P_M : |M|^n \to R$ .

Let M be a  $\Lambda$ -model of L.

– An **interpretation** I is a function from the set of first (resp. the set of *n*-ary second) order variables to |M| (resp. to  $R^{|M|^n}$ ).

– Let I be an interpretation, x (resp. X) a first (resp. an n-ary second) order variable, and a (resp.  $\Phi$ ) an element of |M| (resp. of  $R^{|M|^n}$ ). We define an interpretation

<sup>1.</sup>  $A[G/X(x_1,...,x_n)]$  is obtained by replacing in A each atomic formula  $X(t_1,...,t_n)$  by  $G[t_1/x_1,...,t_n/x_n]$ . To simplify, we write A[G/X] instead of  $A[G/X(x_1,...,x_n)]$ .

 $J = I[x \leftarrow a]$  (resp.  $J = I[X \leftarrow \Phi]$ ) by taking J(x) = a (resp.  $J(X) = \Phi$ ) and  $J(\xi) = I(\xi)$  (resp.  $J(\xi') = I(\xi')$ ) for every variables  $\xi \neq x$  (resp.  $\xi' \neq X$ ).

Let I be an interpretation. To every term t of L, we define, by induction, its value  $t_{M,I} \in |M|$ :

$$-$$
 if  $t = x$ , then  $t_{M,I} = I(x)$ ,

- if  $t = f(t^1, \ldots, t^n)$ , then  $t_{M,I} = f_M(t^1_{M,I}, \ldots, t^n_{M,I})$ .

Let A be a formula of L. The **value** of A in a model M and an interpretation I (denoted by  $|A|_{M,I}$ ) is an element of R defined by induction:

- if  $A = P(t^1, \ldots, t^n)$ , where P is a n-ary predicate symbol (resp. second-order variable) and  $t^1, \ldots, t^n$  are terms of L, then  $|A|_{M,I} = P_M(t^1_{M,I}, \ldots, t^n_{M,I})$  (resp.  $|A|_{M,I} = I(X)(t^1_{M,I}, \ldots, t^n_{M,I})$ ).

- if  $A = B \rightarrow C$ , then  $|A|_{M,I} = |B|_{M,I} \rightarrow |C|_{M,I}$ ,

- if  $A = \forall x B$  where x is a first-order variable, then  $|A|_{M,I} = \bigcap \{|B[x]|_{M,I[x \leftarrow a]}; a \in |M|\},\$ 

- if  $A = \forall XB$  where X is a *n*-ary second-order variable, then  $|A|_{M,I} = \bigcap\{|B[X]|_{M,I[X \leftarrow \Phi]}; \Phi \in R^{|M|^n}\}.$ 

It is clear that: if A is a closed type, then  $|A|_{M,I}$  does not depend on the interpretation I and we write  $|A|_M$ .

Let M be a  $\Lambda$ -model of L.

- We say that M satisfies the equation u = v, if for every interpretation I,  $u_{M,I} = v_{M,I}$ . If E is a set of equations of L, we say that M satisfies E, or M is a model for E, iff M satisfies all the equations of E.

- If A is a closed formula, we denote by  $|A|_f = \bigcap \{|A]|_M$ ; M is a  $\Lambda_f$ -model which satisfies  $E\}$ .

The following theorem is known under the name "adequation lemma" or "the correction theorem":

THEOREM 3. — Let t be a  $\lambda$ -term and A a closed type of system  $\mathcal{AF2}$ . If  $\vdash_{\mathcal{AF2}} t : A$ , then  $t \in |A|_f$ .

#### **3.** The system $\mathcal{AF}2_{\subset}$

The typing system  $\mathcal{AF2}$  does not conserve the types by  $\eta$ -reduction. Indeed,  $\vdash_{\mathcal{AF2}} \lambda x \lambda y(x)y : \forall X(X \to (X \to X)) \to (\forall XX \to \forall X(X \to X))$  but  $\lambda x \lambda y(x)y \to_{\eta} \lambda xx$  and  $\not\vdash_{\mathcal{AF2}} \lambda xx : \forall X(X \to (X \to X)) \to (\forall XX \to \forall X(X \to X))$ . We

will define an extension of the system  $\mathcal{AF}2$  which, while keeping the properties of the system  $\mathcal{AF}2$ , conserves the types by  $\eta$ -reduction.

DEFINITION 4. — Let E be an equation system of second-order language L. We define on the formulas of AF2 a binary relation  $\subseteq$  by:  $A \subseteq B$  iff it is obtained by the following proof rules:

- $(ax') A \subseteq A$
- $(dist) \ \forall \xi(C \to D) \subseteq \forall \xi C \to \forall \xi D$
- $(\rightarrow)$  If  $C' \subseteq C$  and  $D \subseteq D'$ , then  $C \rightarrow D \subseteq C' \rightarrow D'$
- $(\forall_e)$  If  $A \subseteq \forall \xi C$ , then  $A \subseteq C[F/\xi]$
- $(\forall_i)$  If  $A \subseteq D$  and  $\xi$  is not free in A, then  $A \subseteq \forall \xi D$
- (tr) If  $A \subseteq D$  and  $D \subseteq B$ , then  $A \subseteq B$
- (e) If  $A \subseteq D[u/y]$  and u = v is a particular case of an equation of E, then  $A \subseteq D[v/y]$

DEFINITION 5. — The system  $\mathcal{AF2}_{\subseteq}$  is the system  $\mathcal{AF2}$  where we add the following rule:

If 
$$\Gamma \vdash_{\mathcal{AF2}_{\mathcal{C}}} t : A \text{ and } A \subseteq B, \text{ then } \Gamma \vdash_{\mathcal{AF2}_{\mathcal{C}}} t : B$$
 ( $\subseteq$ )

It is clear that the rules (5), (7) and (8) are particular cases of the rule  $(\subseteq)$ .

#### 3.1. Syntactical properties of the system

NOTATIONS 6. — Let  $\boldsymbol{\xi} = \xi_1, \ldots, \xi_n$  be a sequence of variables. We denote the formula  $\forall \xi_1 \ldots \forall \xi_n F$  by  $\forall \boldsymbol{\xi} F$ . We write " $\boldsymbol{\xi}$  is not free in A" if for every  $1 \leq i \leq n$ ,  $\xi_i$  is not free in A. Let A be a formula,  $\boldsymbol{F}$  a sequence of formulas  $F_1, \ldots, F_n$ ,  $\boldsymbol{u}$  a sequence of terms  $u_1, \ldots, u_n$  and  $\boldsymbol{x}$  (resp.  $\boldsymbol{X}$ ) a sequence of first (resp second) ordre variables  $x_1, \ldots, x_n$  (resp.  $X_1, \ldots, X_n$ ). We denote by  $A[\boldsymbol{u}/\boldsymbol{x}]$  the formula  $A[u_1/x_1, \ldots, u_n/x_n]$  and by  $A[\boldsymbol{F}/\boldsymbol{X}]$  the formula  $A[F_1/X_1, \ldots, F_n/X_n]$ .

LEMMA 7. — In the typing, we may replace the succession of n times ( $\subseteq$ ) and m times (4) and (6), by the succession of m times (4) and (6), and n times ( $\subseteq$ ).

**PROOF.** — By induction on n and m.

We deduce the following corollary:

COROLLARY 8. — If  $\Gamma \vdash_{\mathcal{AF}2\subseteq} t : B$  is derived from  $\Gamma \vdash_{\mathcal{AF}2\subseteq} t : A$ , then we may assume that we begin by the applications of (4), (6) and next ( $\subseteq$ ) (i.e. there is  $\boldsymbol{\xi}$  not free in  $\Gamma$  such that  $\forall \boldsymbol{\xi}A \subseteq B$ ).

Then we have the following characterization:

Theorem 9. —

(i) If  $\Gamma \vdash_{\mathcal{AF2}\subseteq} x : A$ , then there is a type B such that  $x : B \in \Gamma$  and  $\forall \boldsymbol{\xi} B \subseteq A$ , where  $\boldsymbol{\xi}$  is not free in  $\Gamma$ .

(ii) If  $\Gamma \vdash_{\mathcal{AF2}_{\subseteq}} \lambda xu : A$ , then there are two types B and C such that  $\Gamma, x : B \vdash_{\mathcal{AF2}_{\subset}} u : C$  and  $\forall \boldsymbol{\xi}(B \to C) \subseteq A$ , where  $\boldsymbol{\xi}$  is not free in  $\Gamma$ .

(iii) If  $\Gamma \vdash_{\mathcal{AF2}_{\subseteq}} (u)v : A$ , then there are two types B and C such that  $\Gamma \vdash_{\mathcal{AF2}_{\subseteq}} u : B \to C$ ,  $\Gamma \vdash_{\mathcal{AF2}_{\subset}} v : B$  and  $\forall \boldsymbol{\xi} C \subseteq A$ , where  $\boldsymbol{\xi}$  is not free in  $\Gamma$ .

We will define a typing system equivalent to system  $\mathcal{AF}2_{\subset}$ .

DEFINITION 10. — The system  $\mathcal{AF}2S$  is defined only by the three following rules:

**(S1)** If  $x : B \in \Gamma$  and  $\forall \boldsymbol{\xi} B \subseteq A$ , then  $\Gamma \vdash_{\mathcal{AF2S}} x : A$ 

- **(S2)** If  $\Gamma, x : B \vdash_{\mathcal{AF2S}} u : C$  and  $\forall \boldsymbol{\xi}(B \to C) \subseteq A$ , then  $\Gamma \vdash_{\mathcal{AF2S}} \lambda xu : A$
- (S3) If  $\Gamma \vdash_{\mathcal{AF2S}} u : B \to C$ ,  $\Gamma \vdash_{\mathcal{AF2S}} v : B$  and  $\forall \xi C \subseteq A$ , then  $\Gamma \vdash_{\mathcal{AF2S}} (u)v : A$

where  $\boldsymbol{\xi}$  is not free in  $\Gamma$ .

We have the following result:

Theorem 11. —  $\Gamma \vdash_{\mathcal{AF2}_{\subset}} t : A iff \Gamma \vdash_{\mathcal{AF2S}} t : A$ .

PROOF. — We use Theorem 9.

In the rest of the paper we often consider the system  $\mathcal{AF}2S$ .

The following corollary will often be used:

COROLLARY 12. — If  $\Gamma, x : A \vdash_{\mathcal{AF2S}} (x)u_1 \dots u_n : B$ , then  $n = 0, \forall \boldsymbol{\xi_0} A \subseteq B$  and  $\boldsymbol{\xi_0}$  does not appear in  $\Gamma$  and A, or  $n \neq 0, \forall \boldsymbol{\xi_0} A \subseteq C_1 \rightarrow B_1, \forall \boldsymbol{\xi_i} B_i \subseteq C_{i+1} \rightarrow B_{i+1} \ (1 \leq i \leq n-1), and \forall \boldsymbol{\xi_n} B_n \subseteq B$ where  $\boldsymbol{\xi_i} \ (0 \leq i \leq n)$  are not free in  $\Gamma$  and A, and  $\Gamma, x : A \vdash_{\mathcal{AF2S}} u_i : C_i$  $(1 \leq i \leq n)$ .

**PROOF.** — By induction on n and using Theorem 9.

#### **3.2.** Conservation of type by $\beta$ -reduction

LEMMA 13. — If  $A \subseteq B$ , then, for any sequence of terms  $\boldsymbol{u}$  (resp. of formulas  $\boldsymbol{F}$ ),  $A[\boldsymbol{u}/\boldsymbol{x}] \subseteq B[\boldsymbol{u}/\boldsymbol{x}]$  (resp.  $A[\boldsymbol{F}/\boldsymbol{X}] \subseteq B[\boldsymbol{F}/\boldsymbol{X}]$ ), and we use the same proof rules.

**PROOF.** — By induction on the derivation  $A \subseteq B$ .

LEMMA 14. — If  $\Gamma \vdash_{\mathcal{AF2S}} t$ : A, then, for all sequences of terms  $\boldsymbol{u}$  (resp. of formulas  $\boldsymbol{F}$ ),  $\Gamma[\boldsymbol{u}/\boldsymbol{x}] \vdash_{\mathcal{AF2S}} t$ :  $A[\boldsymbol{u}/\boldsymbol{x}]$  (resp.  $\Gamma[\boldsymbol{F}/\boldsymbol{Y}] \vdash_{\mathcal{AF2S}} t$ :  $A[\boldsymbol{F}/\boldsymbol{Y}]$ ) and we use the same typing rules.

**PROOF.** — By induction on the derivation  $\Gamma \vdash_{\mathcal{AF2S}} t : A$ . We look at the last rule used and we use Lemma 13.

LEMMA 15. — If  $x_1 : A_1, \ldots, x_n : A_n \vdash_{\mathcal{AF2S}} t : A$ ,  $B_i \subseteq A_i$   $(1 \le i \le n)$  et  $A \subseteq B$ , then  $x_1 : B_1, \ldots, x_n : B_n \vdash_{\mathcal{AF2S}} t : B$ .

**PROOF.** — By induction on the  $\lambda$ -term t.

LEMMA 16. — If  $\Gamma, x : B \vdash_{\mathcal{AF2S}} u : A$  et  $\Gamma \vdash_{\mathcal{AF2S}} v : B$ , then  $\Gamma \vdash_{\mathcal{AF2S}} u[v/x] : A$ .

**PROOF.** — By induction on the derivation  $\Gamma, x : B \vdash_{\mathcal{AF2S}} u : A$ .

LEMMA 17. — If  $\Gamma, x : C \vdash_{\mathcal{AF2S}} u : D$  and there is a  $\boldsymbol{\xi}$  which does not appear in  $\Gamma$  and  $\boldsymbol{\xi}'$  such that  $\forall \boldsymbol{\xi}(C \to D) \subseteq \forall \boldsymbol{\xi}'(A \to B)$ , then  $\Gamma, x : A \vdash_{\mathcal{AF2S}} u : B$ .

PROOF. — By induction on the derivation  $\forall \boldsymbol{\xi}(C \to D) \subseteq \forall \boldsymbol{\xi'}(A \to B)$ . We look at the last rule used. We consider only three cases.

 $(\rightarrow)$  We have  $A \subseteq C$  and  $D \subseteq B$ , then, by Lemma 15, we deduce the result.

- (e) We have  $\forall \boldsymbol{\xi}(C \to D) \subseteq E[u/y] = \forall \boldsymbol{\xi}'(F[u/y] \to M[u/y])$ . Then A = F[v/y]and B = M[v/y] where u = v is a particular case of an equation of E. By induction hypothesis, we obtain  $\Gamma, x : F[u/y] \vdash_{\mathcal{AF2S}} u : M[u/y]$ . But  $F[v/y] \subseteq F[u/y]$  and  $M[u/y] \subseteq M[v/y]$ , then, by Lemma 15,  $\Gamma, x : A \vdash_{\mathcal{AF2S}} u : B$ .
- $(\forall_e)$  We have  $\forall \boldsymbol{\xi}(C \to D) \subseteq \forall s \forall \boldsymbol{\xi}'(E \to F)$  and A = E[G/s], B = F[G/s]. By induction hypothesis, we obtain  $\Gamma, x : E \vdash_{\mathcal{AF2S}} u : F$ . We may assume that s is not free in  $\Gamma$ , then, by Lemma 14,  $\Gamma, x : E[G/s] \vdash_{\mathcal{AF2S}} u : F[G/s]$ , i.e  $\Gamma, x : A \vdash_{\mathcal{AF2S}} u : B$ .

LEMMA 18. — If  $\Gamma \vdash_{\mathcal{AF2S}} \lambda xu : A \to B$ , then  $\Gamma, x : A \vdash_{\mathcal{AF2S}} u : B$ .

**PROOF.** — We have  $\Gamma \vdash_{\mathcal{AF2S}} \lambda xu : A \to B$ , then  $\Gamma, x : C \vdash_{\mathcal{AF2S}} u : D$  and  $\forall \boldsymbol{\xi}(C \to D) \subseteq (A \to B)$  where  $\boldsymbol{\xi}$  is not free in  $\Gamma$ . Therefore, by Lemma 17,  $\Gamma, x : A \vdash_{\mathcal{AF2S}} u : B$ .

THEOREM 19. — If  $\Gamma \vdash_{\mathcal{AF2S}} t : A$  and  $t \rightarrow_{\beta} t'$ , then  $\Gamma \vdash_{\mathcal{AF2S}} t' : A$ .

PROOF. — It suffices to do the proof for one step of reduction. We proceed by induction on t et we use Lemmas 16 and 18.

#### **3.3.** Conservation of type by $\eta$ -reduction

THEOREM 20. — If  $\Gamma \vdash_{\mathcal{AF2S}} t : A$  and  $t \rightarrow_{\eta} t'$ , then  $\Gamma \vdash_{\mathcal{AF2S}} t' : A$ .

**PROOF.** — It suffices to do the proof for one step of  $\eta$ -reduction denoted  $\eta_0$ . We do the proof by induction on t. The only difficult case is  $t = \lambda x u$ , then two cases can arise:

1)  $t' = \lambda x u'$  where  $u \to_{\eta_0} u'$ : We have  $\Gamma \vdash_{\mathcal{AF2S}} \lambda x u : A$ , then  $\Gamma, x : B \vdash_{\mathcal{AF2S}} u : C$  and  $\forall \boldsymbol{\xi}(B \to C) \subseteq A$  where  $\boldsymbol{\xi}$  is not free in  $\Gamma$ . By induction hypothesis, we have  $\Gamma, x : B \vdash_{\mathcal{AF2S}} u' : C$ , and, by the rule (S2),  $\Gamma \vdash_{\mathcal{AF2S}} \lambda x u' : A$ , i.e  $\Gamma \vdash_{\mathcal{AF2S}} t' : A$ .

2) u = (t')x where x is not free in t': We have  $\Gamma, x : B \vdash_{\mathcal{AF2S}} (t')x : C$ , and  $\forall \boldsymbol{\xi}(B \to C) \subseteq A$  where  $\boldsymbol{\xi}$  is not free in  $\Gamma$ . Then  $\Gamma, x : B \vdash_{\mathcal{AF2S}} t' : E \to F$ ,  $\Gamma, x : B \vdash_{\mathcal{AF2S}} x : E$  and  $\forall \boldsymbol{\xi}'F \subseteq C$  where  $\boldsymbol{\xi}'$  is not free in  $\Gamma$  and B. By Corollary 12, we obtain  $\forall \boldsymbol{\xi}''B \subseteq E$  where  $\boldsymbol{\xi}''$  is not free in  $\Gamma$  and B. We have  $B \subseteq B$ , then  $B \subseteq \forall \boldsymbol{\xi}''B \subseteq E$ , and  $B \subseteq \forall \boldsymbol{\xi}'E$ . Using the rules (dist) and ( $\rightarrow$ ), we deduce  $\forall \boldsymbol{\xi}'(E \to F) \subseteq \forall \boldsymbol{\xi}'E \to \forall \boldsymbol{\xi}'F \subseteq B \to C$  and  $\forall \boldsymbol{\xi} \forall \boldsymbol{\xi}'(E \to F) \subseteq \forall \boldsymbol{\xi}(B \to C)$ . Finally, we have  $\Gamma \vdash_{\mathcal{AF2S}} t' : E \to F$ , then  $\Gamma \vdash_{\mathcal{AF2S}} t' : \forall \boldsymbol{\xi} \forall \boldsymbol{\xi}'(E \to F)$ , and, by the rule (tr), we obtain  $\Gamma \vdash_{\mathcal{AF2S}} t' : A$ .

We will see that the system  $\mathcal{AF}2S$  is exactly  $\mathcal{AF}2$  in which one adds the conservation of the type by  $\eta$ -reduction as a typing rule.

DEFINITION 21. — The typing system  $\mathcal{AF}2\eta$  is the system  $\mathcal{AF}2$ , in which we add the following typing rule:

If 
$$\Gamma \vdash_{\mathcal{AF}2\eta} t : A \text{ and } t \to_{\eta} t', \text{ then } \Gamma \vdash_{\mathcal{AF}2\eta} t' : A$$
 ( $\eta$ )

The typing rule ( $\subseteq$ ) is derivable in the system  $\mathcal{AF}2\eta$ .

THEOREM 22. — If  $\Gamma \vdash_{\mathcal{AF}2\eta} t : A$  and  $A \subseteq B$ , then  $\Gamma \vdash_{\mathcal{AF}2\eta} t : B$ .

PROOF. — By induction on the proof of  $A \subseteq B$ . We consider the last rule used. The only difficult case is (dist). We have  $A = \forall \xi(C \to D)$  and  $B = \forall \xi C \to \forall \xi D$ . If  $\Gamma, x : \forall \xi C \vdash_{\mathcal{AF2}} t : \forall \xi(C \to D)$ , then  $\Gamma, x : \forall \xi C \vdash_{\mathcal{AF2}} t : C \to D$  and  $\Gamma, x : \forall \xi C \vdash_{\mathcal{AF2}} t : D$ . Since  $\xi$  is not free in  $\Gamma$ , we obtain  $\Gamma, x : \forall \xi C \vdash_{\mathcal{AF2}} (t)x : \forall \xi D$  and  $\Gamma \vdash_{\mathcal{AF2}} \lambda x(t)x : \forall \xi C \to \forall \xi D$ . Since  $\lambda x(t)x \to_{\eta} t$ , we deduce  $\Gamma \vdash_{\mathcal{AF2}} t : B$ .

We can then deduce the following result:

THEOREM 23. —  $\Gamma \vdash_{\mathcal{AF}2S} t : A \text{ iff } \Gamma \vdash_{\mathcal{AF}2\eta} t : A.$ 

PROOF. — By Theorems 20 et 22.

We can also state the following proposition:

**PROPOSITION 24.** — If  $\Gamma \vdash_{\mathcal{AF}2\eta} t : A$ , then there is a  $\lambda$ -term u such that  $u \rightarrow_{\eta} t$ and  $\Gamma \vdash_{\mathcal{AF}2} u : A$ .

**PROOF.** — By induction on the typing  $\Gamma \vdash_{\mathcal{AF}2\eta} t : A$ .

#### 3.4. The strong normalization

NOTATION 25. — We write  $u \rightarrow_{\beta^+} v$  if v is obtained from u by at least one step of  $\beta$ -reduction denoted  $\beta_0$ .

LEMMA 26. — Let u, t, v be  $\lambda$ -terms such that  $u \to_{\eta} t$  and  $t \to_{\beta_0} v$ . Then there is a  $\lambda$ -term w such that  $u \to_{\beta^+} w$  and  $w \to_{\eta} v$ .

PROOF. — See [BAR 84].

LEMMA 27. — Let u, t be  $\lambda$ -terms. If u is strongly normalizable, and  $u \rightarrow_{\eta} t$ , then t is also strongly normalizable.

**PROOF.** — If t is not strongly normalizable, then there is an infinite sequence of  $\beta_0$ -reductions starting with t. Since  $u \rightarrow_{\eta} t$ , then, by Lemma 26, we construct an infinite sequence of  $\beta_0$ -reductions starting with u.

THEOREM 28. — If  $\Gamma \vdash_{\mathcal{AF2S}} t : A$ , then t is strongly normalizable.

PROOF. — By Proposition 24, Theorem 2 and Lemma 27.

### 4. The complete types

DEFINITION 29. — We say that a closed type A is complete in  $\mathcal{AF}2S$  iff  $|A|_f = \{t \in \Lambda / t \rightarrow_{\beta} t' \text{ and } \vdash_{\mathcal{AF}2S} t' : A\}.$ 

We will give a class of complete types. We start by extending the correction theorem to system  $\mathcal{AF}2S$ .

LEMMA 30. — Let M be a  $\Lambda_f$ -model of E and I an interpretation of E. If  $A \subseteq B$ , then  $|A|_{M,I} \subseteq |B|_{M,I}$ .

**PROOF.** — By induction on the derivation  $A \subseteq B$ .

THEOREM 31 (THE GENERALIZED CORRECTION). — Let M be a  $\Lambda_f$ -model of Eand I an interpretation. If  $\Gamma = x_1 : B_1, \ldots, x_n : B_n \vdash_{\mathcal{AF2S}} t' : A, t \simeq_{\beta} t'$ , and  $u_i \in |B_i|_{M,I}$   $(1 \le i \le n)$ , then  $t[u_1/x_1, \ldots, u_n/x_n]_{M,I} \in |A|_{M,I}$ .

**PROOF.** — We may assume that t' is normal. The proof is done by induction on the typing of t'. We look at the last rule used.

(S1) Then  $t' = x_i$   $(1 \le i \le n)$  and  $\forall \boldsymbol{\xi} B_i \subseteq A$  where  $\boldsymbol{\xi}$  is not free in  $B_i$   $(1 \le i \le n)$ . Since  $t \simeq_{\beta} x_i$ , then  $t \succ_f x_i$  and  $t[u_1/x_1, \ldots, u_n/x_n] \succ_f u_i$ . But  $u_i \in |B_i|_{M,I}$ , then  $t[u_1/x_1, \ldots, u_n/x_n] \in |B_i|_{M,I}$ . Since  $\boldsymbol{\xi}$  is not free in

 $B_i$ , we deduce  $t[u_1/x_1, \ldots, u_n/x_n] \in \forall \boldsymbol{\xi} B_i$  and, by Lemma 30, we obtain  $t[u_1/x_1, \ldots, u_n/x_n] \in |A|_{M,I}$ .

- (S2) Then  $t' = \lambda xu'$ ,  $\Gamma, x : B \vdash_{\mathcal{AF2S}} u' : C$  and  $\forall \boldsymbol{\xi}(B \to C) \subseteq A$  where  $\boldsymbol{\xi}$  is not free in  $B_i$   $(1 \leq i \leq n)$ . Since  $t \simeq_{\beta} \lambda xu'$ , then  $t \succ_f \lambda xu$  where  $u \simeq_{\beta} u'$ and  $t[u_1/x_1, \ldots, u_n/x_n] \succ_f \lambda xu[u_1/x_1, \ldots, u_n/x_n]$ . Therefore, by induction hypothesis,  $u[u_1/x_1, \ldots, u_n/x_n, v/x] \in |C|_{M,I}$  for all  $v \in |B|_{M,I}$ . We have  $(\lambda xu[u_1/x_1, \ldots, u_n/x_n])v \succ_f u[u_1/x_1, \ldots, u_n/x_n, v/x]$ , then  $\lambda xu[u_1/x_1, \ldots, u_n/x_n] \in |B \to C|_{M,I}$ , and  $t[u_1/x_1, \ldots, u_n/x_n] \in |\forall \boldsymbol{\xi}(B \to C)|_{M,I}$ . By Lemma 30, we deduce  $t[u_1/x_1, \ldots, u_n/x_n] \in |A|_{M,I}$ .
- (S3) Then t' = (u')v',  $\Gamma \vdash_{\mathcal{AF2S}} u' : B \to C$ ,  $\Gamma \vdash_{\mathcal{AF2S}} v' : B$  and  $\forall \xi C \subseteq A$ where  $\xi$  is not free in  $B_i$   $(1 \leq i \leq n)$ . But  $t \simeq_{\beta} (x_r)v'_1 \dots v'_m$ , then  $t \succ_f (x_r)v_1 \dots v_m$  where  $v_i \simeq_{\beta} v'_i$   $(1 \leq i \leq m)$ , and, by induction hypothesis,  $(u_r)v_1[u_1/x_1, \dots, u_n/x_n] \dots v_{m-1}[u_1/x_1, \dots, u_n/x_n] \in |B \to C|_{M,I}$  and  $v_m[u_1/x_1, \dots, u_n/x_n] \in |B|_{M,I}$ . Therefore  $(u_r)v_1[u_1/x_1, \dots, u_n/x_n] \dots v_m[u_1/x_1, \dots, u_n/x_n] \in |C|_{M,I}$  and  $t[u_1/x_1, \dots, u_n/x_n] \in |A|_{M,I}$ .

DEFINITION 32. — We define the types with positive quantifier (resp. negative quantifier) denoted  $\forall_2^+$  (resp.  $\forall_2^-$ ) by:

- An atomic formula is  $\forall_2^+$  and  $\forall_2^-$ ;

- If A is  $\forall_2^+$  (resp.  $\forall_2^-$ ) and B is  $\forall_2^-$  (resp.  $\forall_2^+$ ), then  $B \to A$  is  $\forall_2^+$  (resp.  $\forall_2^-$ );

- If A is  $\forall_2^+$  and x (resp. X) is a first order (resp. n-ary second-order) variable, then  $\forall xA$  (resp.  $\forall XA$ ) is  $\forall_2^+$ ;

- If A is  $\forall_2^-$  and x is a first-order variable, then  $\forall x A$  is  $\forall_2^-$ .

We will prove that the  $\forall_2^+$  types are complete in  $\mathcal{AF}2S$ .

DEFINITIONS 33. — Let  $\Omega = \{x_i; i \in N\}$  be an enumeration of an infinite set of variables of  $\lambda$ -calculus and  $\{A_i; i \in N\}$  be an enumeration of  $\forall_2^-$  types of  $\mathcal{AF2S}$ , where every  $\forall_2^-$  type occurs an infinite number of times. We define the set  $\Gamma^- = \{x_i : A_i; i \in N\}$ . Let u be a  $\lambda$ -term such that  $Fv(u) \subseteq \Omega$ , we define the contexte  $\Gamma_u^-$  as the restriction of  $\Gamma^-$  on the set Fv(u). The expression  $\Gamma^- \vdash_{\mathcal{AF2S}} u : B$  means that  $\Gamma_u^- \vdash_{\mathcal{AF2S}} u : B$ . We put  $\Gamma^- \vdash_{\mathcal{AF2S}}^{\beta} u : B$  iff there is a  $\lambda$ -term u' such that  $u \to_{\beta} u'$  and  $\Gamma^- \vdash_{\mathcal{AF2S}} u' : B$ .

Let L be a second-order language and E an equation system of L. We define on the set of terms of L an equivalence relation denoted  $\approx_E$  by:  $a \approx_E b$  iff we can obtain it by the following rules:

(i) if a = b is a particular case of an equation of E, then  $a \approx_E b$ ;

(ii) for every terms a, b, c of L, we have:  $a \approx_E a$ ; and if  $a \approx_E b$  and  $b \approx_E c$ , then  $a \approx_E c$ ;

(iii) If f is n-ary function symbol of L, and if  $a_i \approx_E b_i$   $(1 \leq i \leq n)$ , then  $f(a_1, \ldots, a_n) \approx_E f(b_1, \ldots, b_n)$ .

The following lemma allows to generalize the rule (8).

LEMMA 34. — If  $\Gamma \vdash_{\mathcal{AF2}} u : B[a/x]$  and  $a \approx_E b$ , then  $\Gamma \vdash_{\mathcal{AF2}} u : B[b/x]$ .

PROOF. — By induction in the definition of  $\approx_E$ .

DEFINITION 35. — We consider  $M_0$  the set of all closed terms of L. We define a particular  $\Lambda_f$ -model  $\mathcal{M}$  by:

- The domain  $|\mathcal{M}| = M_0 / \approx_E$  (the set of equivalence classes modulo  $\approx_E$ );

– The adequate set  $\mathcal{R}_f$ ;

- To every n-ary symbol function f, we associate a function  $f_{\mathcal{M}} : |\mathcal{M}|^n \to |\mathcal{M}|$ defined by  $f_{\mathcal{M}}(\overline{a_1}, \ldots, \overline{a_n}) = \overline{f(a_1, \ldots, a_n)};$ 

- To every n-ary predicate symbol P, we associate a function  $P_{\mathcal{M}} : |\mathcal{M}|^n \to \mathcal{R}_f$ defined by  $P_{\mathcal{M}}(\overline{a_1}, \ldots, \overline{a_n}) = \{\tau \in \Lambda; \Gamma^- \vdash_{\mathcal{AF}_{2S}}^{\beta} \tau : P(a_1, \ldots, a_n)\}.$ 

It is easy to see that  $f_{\mathcal{M}}$  and  $P_{\mathcal{M}}$  are well defined.

DEFINITION 36. — We define a particular interpretation  $\mathcal{I}$  on the variables by:  $\mathcal{I}(x) = \overline{x} \text{ and } \mathcal{I}(X) = \Phi$ , where  $\Phi : |\mathcal{M}|^n \to \mathcal{R}_f$  defined by  $\Phi(\overline{a_1}, \ldots, \overline{a_n}) = \{\tau \in \Lambda; \Gamma^- \vdash_{\mathcal{AF}_2S}^{\beta} \tau : X(a_1, \ldots, a_n)\}.$ 

We have the following lemma.

LEMMA 37. — Let S be a formula of L and  $\tau$  a  $\lambda$ -term.

(i) If S is  $\forall_2^+$  and  $\tau \in |S|_{\mathcal{M},\mathcal{I}}$ , then  $\Gamma^- \vdash_{\mathcal{AF}_{2S}}^{\beta} \tau : S$ .

(ii) If S is  $\forall_2^-$  and  $\Gamma^- \vdash_{\mathcal{AF}_{2S}}^{\beta} \tau : S$ , then  $\tau \in |S|_{\mathcal{M},\mathcal{I}}$ .

**PROOF.** — By simultanous induction on the  $\forall_2^+$  and  $\forall_2^-$  types.

SUBPROOF (OF (i)). —

1) S is atomic: The result is trivial.

2)  $S = \forall XB$  where B is  $\forall_2^+$ : Let Y be an n-ary predicate variable which does not appear in  $\Gamma_{\tau}^-$  and B. If  $\tau \in |\forall XB|_{\mathcal{M},\mathcal{I}}$ , then  $\tau \in |B[X]|_{\mathcal{M},\mathcal{I}[X \leftarrow |Y|_{\mathcal{M},\mathcal{I}}]} =$  $|B[Y/X]|_{\mathcal{M},\mathcal{I}}$ . By induction hypothesis, we have  $\Gamma^- \vdash_{\mathcal{AF}_{2S}}^{\beta} \tau : B[Y]$ , and there is a  $\lambda$ -term  $\tau'$  such that  $\tau \to_{\beta} \tau'$  and  $\Gamma_{\tau'}^- \vdash_{\mathcal{AF}_{2S}} \tau' : B[Y]$ . Since  $Fv(\tau') \subseteq$  $Fv(\tau)$ , we deduce  $\Gamma_{\tau'}^- \vdash_{\mathcal{AF}_{2S}} \tau' : \forall YB[Y] = \forall XB$ , and  $\Gamma^- \vdash_{\mathcal{AF}_{2S}}^{\beta} \tau : S$ .

3)  $S = B \to C$  where B is  $\forall_2^-$  and C is  $\forall_2^+$ : let  $\tau \in |B \to C|_{\mathcal{M},\mathcal{I}}$ . We put an i such that  $B = A_i$  and  $x_i$  is not free in  $\tau$ . We have  $x_i : B \vdash_{\mathcal{AF2}} x_i : B$ , then, by (ii),  $x_i \in |B|_{\mathcal{M},\mathcal{I}}$ , therefore  $(\tau)x_i \in |C|_{\mathcal{M},\mathcal{I}}$ , and, by induction hypothesis,  $\Gamma^- \vdash_{\mathcal{AF2S}}^{\beta} (\tau)x_i : C$ . Thus  $(\tau)x_i \to_{\beta} \tau'$  and  $\Gamma_{\tau'}^- \vdash_{\mathcal{AF2S}} \tau' : C$ . We deduce that  $(\tau)x_i$  is normalizable, then  $\tau$  is also normalizable. Since  $(\tau)x_i \to_{\beta} \tau'$ , we obtain  $\lambda x_i(\tau)x_i \to_{\beta} \lambda x_i \tau'$ .

- If the normal form of  $\tau$  is  $\lambda xu$ , then  $\lambda x_i(\tau)x_i \to_\beta \lambda x_i(\lambda xu)x_i \to_\beta \lambda xu$  and  $\lambda x_i \tau' \to_\beta \lambda xu$ . But  $\Gamma^- \vdash_{\mathcal{AF}_{2S}} \lambda x_i \tau' : S$  and  $Fv(\lambda xu) \subseteq Fv(\lambda x_i \tau')$ , then, by Theorem 19, we obtain  $\Gamma^- \vdash_{\mathcal{AF}_{2S}} \lambda xu : S$ , and  $\Gamma^- \vdash_{\mathcal{AF}_{2S}}^{\beta} \tau : S$ .

- If not, let v the normal form of  $\tau$ . We have  $\lambda x_i(\tau)x_i \rightarrow_{\beta} \lambda x_i(v)x_i$ and  $\lambda x_i \tau' \rightarrow_{\beta} \lambda x_i(v)x_i$ . Since  $Fv(\lambda x_i(v)x_i) \subseteq Fv(\lambda x_i \tau')$ , we deduce that  $\Gamma^- \vdash_{\mathcal{AF}2S} \lambda x_i(v)x_i : S$ . Then, by Theorem 20 and  $Fv(\lambda x_i(v)x_i) = Fv(v)$ , we obtain  $\Gamma^- \vdash_{\mathcal{AF}2S} v : S$ . Therefore  $\Gamma^- \vdash_{\mathcal{AF}2S}^{\beta} \tau : S$ .

#### SUBPROOF (OF (ii)). —

1) S is atomic: The result is trivial.

2)  $S = B \to C$  where B is  $\forall_2^+$  and C is  $\forall_2^-$ : If  $\Gamma^- \vdash_{\mathcal{AF}2S}^{\beta} \tau : B \to C$ , then, there is a  $\lambda$ -term  $\tau'$  such that  $\tau \to_{\beta} \tau'$  and  $\Gamma_{\tau'}^- \vdash_{\mathcal{AF}2S} \tau' : B \to C$ . If  $u \in |B|_{\mathcal{M},\mathcal{I}}$ , then, by (i),  $\Gamma^- \vdash_{\mathcal{AF}2S}^{\beta} u : B$ , and there is a  $\lambda$ -term u' such that  $u \to_{\beta} u'$  and  $\Gamma_{u'}^- \vdash_{\mathcal{AF}2S} u' : B$ . Therefore  $\Gamma_{(\tau')u'}^- \vdash_{\mathcal{AF}2S} (\tau')u' : C$ , and, since  $(\tau)u \to_{\beta} (\tau')u'$ , we obtain  $\Gamma^- \vdash_{\mathcal{AF}2S}^{\beta} (\tau)u : C$ . By induction hypothesis, we deduce  $(\tau)u \in |C|_{\mathcal{M},\mathcal{I}}$ .

3)  $S = \forall x B$  where B is  $\forall_2^-$ : Let  $a \in |\mathcal{M}|$ ; we have  $a = \overline{b}$  where b is a term of L. If  $\Gamma^- \vdash_{\mathcal{AF}_{2S}}^{\beta} \tau : \forall x B$ , then there is a  $\lambda$ -term  $\tau'$  such that  $\tau \to_{\beta} \tau'$  and  $\Gamma_{\tau'}^- \vdash_{\mathcal{AF}_{2S}} \tau' : \forall x B$ , therefore  $\Gamma_{\tau'}^- \vdash_{\mathcal{AF}_{2S}} \tau' : B[b/x]$ . But B[b/x] is  $\forall_2^-$ , then, by induction hypothesis,  $\tau' \in |B[b/x]|_{\mathcal{M},\mathcal{I}} = |B|_{\mathcal{M},\mathcal{I}[x \leftarrow \overline{b}]} = |B|_{\mathcal{M},\mathcal{I}[x \leftarrow a]}$ . Thus  $\tau \in |B|_{\mathcal{M},\mathcal{I}[x \leftarrow a]}$  for every  $a \in |\mathcal{M}|$ .

#### THEOREM 38. — The closed $\forall_2^+$ types are complete.

**PROOF.** — Let A be a closed  $\forall_2^+$  type. We will prove that:  $t \in |A|_f$  iff there is a  $\lambda$ -term t' such that  $t \to_{\beta} t'$  and  $\vdash_{\mathcal{AF2S}} t' : A$ .

- That the condition is sufficient is a simple consequence of Theorem 31.

- The condition is necessary: Indeed, let t be a  $\lambda$ -term such that  $t \in |A|_f$ , then  $t \in |A|_{\mathcal{M}}$ . We may assume that  $\Gamma_t^- = \emptyset$ . By (i) of Lemma 37, we obtain  $\Gamma^- \vdash_{\mathcal{AF}2S}^{\beta}$ t : A, then there is a  $\lambda$ -term t' such that  $t \to_{\beta} t'$  and  $\Gamma_{t'}^- \vdash_{\mathcal{AF}2S} t' : A$ . Since  $Fv(t') \subseteq Fv(t)$ , we deduce  $\Gamma_{t'}^- = \emptyset$ .

COROLLARY 39. — Let A be a closed  $\forall_2^+$  type and t a  $\lambda$ -term. If  $t \in |A|_f$ , then t is normalizable and  $\beta$ -equivalent to a closed  $\lambda$ -term.

PROOF. — By Theorem 38.

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