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E.-Y. Hsie, Claire Granier, M. Trainer

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# NO<sub>2</sub> columns in the western United States observed from space and simulated by a regional chemistry model and their implications for NO<sub>x</sub> emissions

S.-W. Kim,<sup>1,2</sup> A. Heckel,<sup>3,4</sup> G. J. Frost,<sup>1,2</sup> A. Richter,<sup>3</sup> J. Gleason,<sup>5</sup> J. P. Burrows,<sup>3,6</sup> S. McKeen,<sup>1,2</sup> E.-Y. Hsie,<sup>1,2</sup> C. Granier,<sup>1,2,7,8</sup> and M. Trainer<sup>1</sup>

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[1] There are many isolated sources of NO<sub>x</sub> emissions across the western United States, including electrical power generation plants and urban areas. In this manuscript, two satellite instruments measuring NO<sub>2</sub> vertical columns over these sources and an atmospheric chemical-transport model are used to evaluate bottom-up NO<sub>x</sub> emission inventories, model assumptions, and satellite retrieval algorithms. We carried out simulations with the Weather Research and Forecasting-Chemistry (WRF-Chem) model for the western U.S. domain during the summer of 2005 using measured power plant NO<sub>x</sub> emissions. Model NO<sub>2</sub> vertical columns are compared with a retrieval of the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCIAMACHY) satellite instrument data by the University of Bremen and retrievals of the Ozone Monitoring Instrument (OMI) data by the U.S. National Aeronautics and Space Administration (NASA) and a modified version of the NASA OMI retrieval produced by the University of Bremen. For areas dominated by power plant NO<sub>x</sub> emissions, the model NO<sub>2</sub> columns serve as a comparison standard for satellite retrievals because emissions are continuously monitored at all large U.S. power plants. An extensive series of sensitivity tests of the assumptions in both the satellite retrievals and the model are carried out over the Four Corners and San Juan power plants, two adjacent facilities in the northwest corner of New Mexico that together represent the largest NO<sub>x</sub> point source in the United States. Overall, the SCIAMACHY and OMI NO<sub>2</sub> columns over western U.S. power plants agree well with model NO<sub>2</sub> columns, with differences between the two being within the variability of the model and satellite. In contrast to regions dominated by power plant emissions, model NO<sub>2</sub> columns over large urban areas along the U.S. west coast are approximately twice as large as satellite NO<sub>2</sub> columns from SCIAMACHY and OMI retrievals. The discrepancies in urban areas are beyond the sensitivity ranges in the model simulations and satellite observations, implying overestimates of these cities' bottom-up NO<sub>x</sub> emissions, which are dominated by motor vehicles. Taking the uncertainties in the satellite retrievals into account, our study demonstrates that the tropospheric columns of NO<sub>2</sub> retrieved from space-based observations of backscattered solar electromagnetic radiation can be used to evaluate and improve bottom-up emission inventories.

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<sup>1</sup>Chemical Sciences Division, Earth System Research Laboratory, NOAA, Boulder, Colorado, USA.

<sup>2</sup>Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, Colorado, USA.

<sup>3</sup>Institute of Environmental Physics and Institute of Remote Sensing, University of Bremen, Bremen, Germany.

<sup>4</sup>Department of Geography, Swansea University, Swansea, UK.

<sup>5</sup>Laboratory for Atmospheres, NASA Goddard Space Flight Center, Greenbelt, Maryland, USA.

<sup>6</sup>Center for Ecology and Hydrology, Wallingford, UK.

<sup>7</sup>Université Pierre et Marie Curie, UMR7620, Paris, France.

<sup>8</sup>Service d'Aéronomie, UMR7620, CNRS, Paris, France.

## 1. Introduction

[2] Nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>) in the troposphere are formed by fossil fuel combustion, biomass burning, soil emissions, and lightning processes [Yevich and Logan, 2003; Galloway *et al.*, 2004; Bertram *et al.*, 2005; Jaeglé *et al.*, 2005]. NO<sub>x</sub> and volatile organic compounds (VOCs) are the main precursors of ozone, a major pollutant in the troposphere and a greenhouse gas playing an important role in the Earth's radiation budget. Nitric acid, formed in the oxidation of NO<sub>x</sub>, can lead to particulates that cause respiratory problems and impair visibility, especially

in the western United States where sulfate concentrations are relatively small. Nitric acid is removed by wet scavenging and dry deposition, affecting the productivity and diversity of terrestrial ecosystems and disrupting aquatic ecosystem function [Dentener *et al.*, 2006]. Gaseous NO<sub>2</sub> is an absorber of solar radiation that directly impacts the radiation budget [Solomon *et al.*, 1999].

[3] Quantification of NO<sub>x</sub> emissions is necessary in order to infer their impacts on atmospheric chemistry and thereby on the Earth system in the long term. Emission inventories of NO<sub>x</sub> are a key part of atmospheric chemical-transport models that simulate ozone and relevant tracers. There are numerous studies reporting worldwide increases in NO<sub>x</sub> emissions resulting from continually expanding human activities such as power generation, motor vehicle use, and the application of soil fertilizer [Galloway *et al.*, 2004, and references therein]. More recently, reductions in NO<sub>x</sub> emissions on a regional scale resulting from the U.S. Environmental Protection Agency's (EPA's) emission controls at eastern U.S. power plants have been demonstrated [Frost *et al.*, 2006; Kim *et al.*, 2006]. However, accurate quantification of absolute NO<sub>x</sub> emissions from anthropogenic or natural sources is difficult. "Bottom-up" inventories based on detailed source information, measured and/or estimated emission factors, and complicated activity calculations are inevitably subject to large uncertainties.

[4] During the past decade, observations of atmospheric nitrogen dioxide (NO<sub>2</sub>) from polar-orbiting satellites have provided a powerful new tool to investigate NO<sub>x</sub> emissions [Bovensmann *et al.*, 1999; Burrows *et al.*, 1999; Levelt *et al.*, 2006]. Because of the relatively short lifetime of NO<sub>x</sub> in the troposphere, particularly in summer, spatial distributions of NO<sub>2</sub> vertical columns map out NO<sub>x</sub> source regions. Space-based observations have been utilized to improve NO<sub>x</sub> emission inventories at global and regional scales [Martin *et al.*, 2003b; Jaeglé *et al.*, 2005; Konovalov *et al.*, 2006; Martin *et al.*, 2007] and to detect weekly cycles and year-to-year changes in NO<sub>x</sub> emissions [Beirle *et al.*, 2003; Richter *et al.*, 2005; van der A *et al.*, 2006; Kim *et al.*, 2006; van der A *et al.*, 2008].

[5] While tropospheric NO<sub>2</sub> columns retrieved from measurements by instrumentation on orbiting satellites are widely used, an assessment of their accuracy is complex. This complexity arises from the need to convert the measurement of the slant column absorption to a tropospheric vertical column by taking into account the NO<sub>2</sub> in the stratosphere and the penetration and transfer of electromagnetic radiation in the atmosphere. There have been several studies addressing the potential sources of systematic errors in satellite retrievals. Boersma *et al.* [2004] analyzed the assumptions used in the tropospheric NO<sub>2</sub> retrieval from the Global Ozone Monitoring Experiment (GOME) satellite instrument and found 35–60% uncertainties in tropospheric NO<sub>2</sub> columns over regions with a large contribution of the troposphere to the total column. van Noije *et al.* [2006] reported significant differences among three independent satellite NO<sub>2</sub> retrievals from GOME observations and indicated that the retrieval differences resulted from assumptions about clouds, surface albedo, profile shape and aerosols as well as stratosphere-troposphere separation. Recently, the resolution of terrain height on the satellite

NO<sub>2</sub> retrieval over complex terrain was addressed [Schaub *et al.*, 2007].

[6] Interpretation of satellite observations of tropospheric NO<sub>2</sub> generally requires the use of an atmospheric chemical-transport model. This model calculates the shape of the NO<sub>2</sub> vertical profile in each grid cell of its domain. The NO<sub>2</sub> profile is one of several quantities needed to construct an air mass factor (AMF) [Palmer *et al.*, 2001] that converts the retrieved tropospheric slant column (SC) to a tropospheric vertical column (VC),

$$VC = SC/AMF.$$

Chemical-transport models also simulate tropospheric NO<sub>2</sub> vertical columns, which can then be compared to the satellite-retrieved vertical columns. Typically satellite data are retrieved using the output of global models with relatively coarse horizontal resolutions (grid cells of a few degrees latitude and longitude) in order to efficiently produce a global data set with consistent input assumptions.

[7] Since 2002, finer-scale observations of NO<sub>2</sub> than those from GOME have become available, including measurements by the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCIAMACHY) and the Ozone Monitoring Instrument (OMI). As the spatial resolution of satellite instruments has evolved, the need has arisen for higher-resolution regional atmospheric chemical-transport models to interpret these observations. The combination of these newer instruments and regional models allows us to explore regional to local-scale air quality. In particular, the smaller spatial footprint of the newer satellite instruments offers the possibility of differentiating the pollution plumes from individual sources, such as power plants and urban areas.

[8] These sector-specific emission evaluations can benefit from the fact that most U.S. power plants employ continuous emissions monitoring systems (CEMS). CEMS directly measure the concentrations of NO<sub>x</sub>, SO<sub>2</sub>, and CO<sub>2</sub> and the mass flow rates in the power plant's exhaust stacks, from which mass emission rates are calculated and reported quarterly to the EPA [U.S. Environmental Protection Agency, 2004]. CEMS measurements of these pollutant emissions represent the most accurate part of the U.S. emissions database [Frost *et al.*, 2006]. CEMS NO<sub>x</sub>, SO<sub>2</sub>, and CO<sub>2</sub> emissions data from numerous power plants have been extensively compared to aircraft observations of concentration ratios and absolute flux determinations in the plumes downwind of the plants [Ryerson *et al.*, 1998; Frost *et al.*, 2006], and these two approaches are in good agreement. CEMS data, aircraft observations and satellite retrievals have also demonstrated substantial reductions in eastern U.S. power plant NO<sub>x</sub> emissions since pollution regulations went into effect at these facilities [Frost *et al.*, 2006; Kim *et al.*, 2006].

[9] In contrast, most western U.S. power plants have not yet undergone extensive NO<sub>x</sub> pollution controls. According to CEMS data, the Four Corners and San Juan power plants in New Mexico were two of the highest NO<sub>x</sub>-emitting power plants in the U.S. in 2005. NO<sub>2</sub> plumes from these and other western U.S. power plants are clearly observed from space [Beirle *et al.*, 2004], because they are relatively isolated from other large NO<sub>x</sub> sources. Because their NO<sub>x</sub>

emissions are measured by CEMS, NO<sub>2</sub> columns simulated by an atmospheric chemical-transport model above these isolated western power plants have less uncertainty than those from other NO<sub>x</sub> sources, providing an excellent opportunity to evaluate satellite-retrieved NO<sub>2</sub> columns.

[10] The two goals of the present study are (1) to evaluate fine-resolution satellite NO<sub>2</sub> observations from SCIAMACHY and OMI with a regional-scale model over western U.S. power plants and (2) to explore the possibility of using these satellite NO<sub>2</sub> columns to quantify uncertainties in inventory NO<sub>x</sub> emissions from urban and mobile sources. We employ the Weather Research and Forecasting–Chemistry (WRF–Chem) model to simulate NO<sub>2</sub> columns over the western United States. SCIAMACHY data retrieved by the University of Bremen (UB) and two versions of the OMI data, one retrieved by the National Aeronautics and Space Administration (NASA) and the other a modification of the NASA OMI retrieval produced by UB, are compared to the model results.

[11] The manuscript is organized as follows. The overall method is described in section 2 in three parts. First the satellite instruments, measurements, and retrievals are summarized. Next the WRF–Chem model is introduced and the specific simulations are described. The results and discussion are given in section 3, which is organized into four subsections. Section 3.1 examines the distribution of NO<sub>2</sub> columns from three satellite retrievals in the domain of interest for the summer of 2005. In sections 3.2 and 3.3, summer 2005 satellite NO<sub>2</sub> columns from power plants and urban areas are compared with the model NO<sub>2</sub> columns, and the implications of these comparisons for NO<sub>x</sub> emissions are discussed. In section 3.4, we examine year-to-year trends in the satellite NO<sub>2</sub> columns and discuss how these trends help in interpreting the summer 2005 satellite-model differences. In section 4 we present our conclusions.

## 2. Methods

### 2.1. Satellite Retrievals of NO<sub>2</sub> Columns

#### 2.1.1. SCIAMACHY

[12] The trace gas sensor SCIAMACHY onboard European Space Agency's ENVISAT-1 satellite in Sun-synchronous orbit provides a smooth continuation of measurements performed by its predecessor instrument, GOME, onboard the ERS-2 satellite. The enhanced capabilities of SCIAMACHY in measuring NO<sub>2</sub> include increased horizontal resolution (60 km across-track direction × 30 km along-track direction) and a limb-nadir matching scan for obtaining the vertical structure of NO<sub>2</sub> as well as the NO<sub>2</sub> column amount. The satellite overpass time is about 1000 local standard time (LST) and global coverage is achieved in 6 days at the equator.

[13] The retrieval of SCIAMACHY NO<sub>2</sub> consists of three steps: (1) spectral fitting, (2) separation of stratospheric and tropospheric NO<sub>2</sub>, and (3) conversion of slant columns to vertical columns [Richter and Burrows, 2002; Boersma *et al.*, 2004; Richter *et al.*, 2005]. The first two steps determine the tropospheric slant column of NO<sub>2</sub>. The last step converts slant column to vertical column using an AMF [Richter and Burrows, 2002] that is calculated by a radiative transfer model [Rozanov *et al.*, 1997]. The UB retrieval uses the Differential Optical Absorption Spectroscopy (DOAS)

method in the 425–450 nm wavelength region for spectral fitting and the reference sector method for stratosphere-troposphere separation. In the reference sector method, the slant column over the clean Pacific Ocean is subtracted from the total column to get the tropospheric slant column. The SCIATRAN radiative transfer code is used to generate a look-up table for the AMF as function of observation geometry, altitude, surface height, albedo, and aerosol. Aerosol corrections were applied using the Low Resolution Transmission Model (LOWTRAN) aerosol types [Shettle and Fenn, 1979]: “maritime” over oceans, “rural” over land, and “urban” over regions with high CO<sub>2</sub> emissions based on the EDGAR database (<http://www.mnp.nl/edgar/>). A priori NO<sub>2</sub> profiles in the standard evaluation are adopted from monthly mean MOZART-2 model simulations for 1997 with a horizontal resolution of  $2.8^\circ \times 2.8^\circ$  [Horowitz *et al.*, 2003]. The temperature dependence of the NO<sub>2</sub> absorption cross section is also accounted for in the calculation of the AMF, as described by Boersma *et al.* [2004]. Surface albedo is based on GOME observations [Koelemeijer *et al.*, 2003]. The sensitivity of the retrieval to the a priori NO<sub>2</sub> profile and aerosol loading is examined in section 3. NO<sub>2</sub> columns retrieved using the WRF–Chem NO<sub>2</sub> profiles are calculated assuming both LOWTRAN aerosols and no aerosols. Cloud fraction is defined as the normalized intensity of radiance, that is, the deviation in intensity in a given scene from that in a reference clear scene normalized by the deviation in intensity in a fully cloud covered scene from the same reference value. The data are selected for low cloud fraction, but the impact of remaining clouds on the retrieval for a partially cloudy scene is not considered.

[14] Five versions of the UB SCIAMACHY data are considered here (Table 1). Three versions of the swath (ungridded orbital) data with different NO<sub>2</sub> profile and aerosol assumptions are used for the model and satellite comparisons and retrieval sensitivity analysis for the year 2005 (data version 3 in Table 1). Satellite scenes with cloud fraction >0.15 in the swath data are discarded for these purposes. The swath data using one set of these assumptions are aggregated on a 15 km × 15 km grid and averaged over the entire summer of 2005 to produce the western U.S. map (data version 2). For the trend analyses, the monthly mean gridded data ( $0.25^\circ \times 0.25^\circ$ ) are used, and grid cells with cloud fraction >0.2 are removed (data version 1). The monthly mean data sets are standard products available for the years 2003–2007. Although a slightly different cloud fraction is used for filtering the data in each product, the same criterion is applied to all years of a particular data set when deriving the NO<sub>2</sub> column trends.

#### 2.1.2. OMI

[15] OMI is an instrument onboard NASA's Earth Observing System Aura satellite launched in July 2004 [Levelt *et al.*, 2006]. The wide field of view of the nadir-pointing telescope ( $114^\circ$ ) gives OMI a swath width of 2600 km and provides daily global coverage with a high horizontal resolution. For the channel in which NO<sub>2</sub> is observed in global observation mode, the pixel size in the swath direction increases from 13 km × 24 km (along × across track) at the exact nadir position to about 13 km × 150 km at the outermost swath angle ( $57^\circ$ ). For this study, the outermost pixels are not considered, and the pixels used



**Table 1.** Summary of Satellite Data Sets Used in This Study<sup>a</sup>

Data Name	Monthly Mean	Gridded/Swath	Cloud Filtering Criterion	A Priori Model NO <sub>2</sub> Profile	A Priori Aerosol Assumption
<i>Trend Analysis</i>					
<b>UB-SCIA 1</b>	<b>Yes</b>	<b>Grid (0.25° × 0.25°)</b>	<b>0.2</b>	<b>MOZART</b>	<b>LOWTRAN</b>
<b>UB-OMI 1</b>	<b>Yes</b>	<b>Grid</b>	<b>0.2</b>	<b>MOZART</b>	<b>LOWTRAN</b>
<b>NASA-OMI 1</b>	<b>Yes</b>	<b>(0.125° × 0.125°)</b>	<b>0.3</b>	<b>GEOS-Chem</b>	<b>No aerosol</b>
<i>Map of NO<sub>2</sub></i>					
UB-SCIA 2	No	<b>Grid (0.25° × 0.25°)</b>	0.15	MOZART	LOWTRAN
UB-OMI 2	No	Grid (15 km × 15 km)	0.15	MOZART	LOWTRAN
NASA-OMI 2	No	Grid (15 km × 15 km)	0.15	GEOS-Chem	No aerosol
<i>Comparison With Model and Sensitivity Test</i>					
<b>UB-SCIA 3a</b>	<b>No</b>	<b>Swath (ungridded)</b>	<b>0.15</b>	<b>MOZART</b>	<b>LOWTRAN</b>
UB-SCIA 3b	No	Swath (ungridded)	0.15	WRF-Chem	LOWTRAN
UB-SCIA 3c	No	Swath (ungridded)	0.15	WRF-Chem	No aerosol
<b>UB-OMI 3a</b>	<b>No</b>	<b>Swath (ungridded)</b>	<b>0.15</b>	<b>MOZART</b>	<b>LOWTRAN</b>
UB-OMI 3b	No	Swath (ungridded)	0.15	WRF-Chem	LOWTRAN
UB-OMI 3c	No	Swath (ungridded)	0.15	WRF-Chem	No aerosol
<b>NASA-OMI 3</b>	<b>No</b>	<b>Swath (ungridded)</b>	<b>0.15</b>	<b>GEOS-Chem</b>	<b>No aerosol</b>

<sup>a</sup>Standard product in each retrieval is in boldface.

vary in size from 13 km × 24 km to 13 km × 30 km. The time of an OMI overpass is about 1330 LST.

#### 2.1.2.1. NASA OMI

[16] The OMI measured reflectance spectrum, in the wavelength range 405 to 465 nm, is fit using the DOAS technique to get the slant column density [Chance, 2002; Bucsele et al., 2006]. To separate the stratospheric and tropospheric NO<sub>2</sub> contributions, the NASA OMI algorithm uses the different spatial scales of stratospheric and tropospheric NO<sub>2</sub>. Tropospheric NO<sub>2</sub> occurs on local- and regional-scale features, while stratospheric NO<sub>2</sub> has very large, planetary wave-scale features. The algorithm uses these differences to spatially filter the data to identify regions of enhanced tropospheric NO<sub>2</sub>. This separation is carried out in three steps. First, an initial vertical column is calculated using an AMF appropriate to unpolluted conditions. Second, for each day, the global NO<sub>2</sub> initial data are fit to a wave-2 Fourier series. This smoothed field is subtracted from the initial data. The areas of enhanced tropospheric NO<sub>2</sub> are clearly identified in the difference field. The third step is to calculate a new tropospheric column using an AMF computed using an enhanced tropospheric NO<sub>2</sub> profile. In the last step, 5% of smoothed fields (background NO<sub>2</sub> columns) are added to polluted (or unpolluted) columns to get the final tropospheric NO<sub>2</sub> columns, in order to account for an assumed upper tropospheric background level. All the AMFs are calculated using the TOMRAD radiative transfer code [Dave, 1965]. For the initial case, average NCEP temperatures and standard NO<sub>2</sub> profiles are used for the AMF calculations. Annual average NO<sub>2</sub> profiles from the GEOS-Chem model [Bey et al., 2001; Martin et al., 2003a; Park et al., 2004] are used in the calculation of the AMF for polluted cases. The AMF for a partly cloudy scene is obtained by accounting for the cloud fraction, cloud top height and radiances for cloudy and clear scenes [Chance, 2002; Bucsele et al., 2006]. As mentioned by Boersma et al. [2004], errors in cloud fractions of ±0.05 can give up to 30% errors in NO<sub>2</sub> columns for highly polluted regions. Errors in cloud top

height may affect retrievals in cases where pollution exists within the cloud layer. Thus, for polluted regions, uncertainties in cloud fractions determined from the radiance closure method and cloud heights retrieved from the OMI O<sub>2</sub>-O<sub>2</sub> absorption can affect the retrieved NO<sub>2</sub> column (ghost column) significantly. Our study did not include a ghost column in the analysis. GOME data [Koelemeijer et al., 2003] are used to derive surface albedo. Aerosol effects are not considered in the NASA OMI AMF calculations.

[17] For the model and satellite comparisons in 2005, fine-resolution swath data (collection 3, level 2) scenes with pixel numbers between 20 and 40 and cloud fraction <0.15 are used. Seasonal averages of the swath data aggregated on a 15 km × 15 km grid are used in the western U.S. map in Figure 3. The 0.25° × 0.25° monthly mean gridded data (collection 3, level 3) with cloud fractions <0.3 available for each year 2005–2007 on the Internet are used in the trend analyses. Different cloud fractions are used to filter the cloudy scenes in different data sets. However, a consistent cloud fraction is applied to all years of a specific analysis. Details about the versions of NASA OMI data used in this study are given in Table 1. NASA OMI data have been validated with several ground-based and aircraft-observed NO<sub>2</sub> columns, which show reasonable agreement between the NASA OMI retrievals and several remote-sensing and in situ validation measurement [Bucsele et al., 2008; Celarier et al., 2008; Wenig et al., 2008].

#### 2.1.2.2. University of Bremen OMI

[18] The UB OMI data use the slant columns provided by the operational NASA OMI product (collection 3). However, the stratospheric correction and tropospheric AMF calculations in the UB OMI retrievals are different from those of NASA OMI. UB OMI processing follows the process used for the UB SCIAMACHY retrievals. The reference sector method is used to separate stratospheric and tropospheric columns. GOME data are used to get surface albedo. As is done for SCIAMACHY, the sensitivity of the OMI retrieval to the NO<sub>2</sub> profile and aerosol is assessed in section 3. The AMF in the standard retrieval is calculated using the

**Table 2.** WRF-Chem Model Configuration Used in This Study

Parameter	Options
Advection scheme	RK3 [Wicker and Skamarock, 2002; Skamarock, 2006]
Microphysics	Single moment 5 class [Hong et al., 2004]
Longwave radiation	RRTM [Mlawer et al., 1997]
Shortwave radiation	Simple shortwave scheme [Dudhia, 1989]
Surface layer	Similarity theory [Paulson, 1970; Dyer and Hicks, 1970]
Land-surface model	Noah LSM [Chen and Dudhia, 2001]
Boundary layer scheme	YSU [Hong et al., 2006]
Cumulus parameterization	Grell-Devenyi ensemble [Grell and Devenyi, 2002]
Photolysis scheme	TUV [Madronich, 1987]
Gas phase chemistry	RADM2 [Stockwell et al., 1990], RACM-ESRL
Aerosols	MADE [Ackermann et al., 1998], SORGAM [Schell et al., 2001]

MOZART NO<sub>2</sub> profile and LOWTRAN aerosol assumptions. In the sensitivity studies, the AMF is calculated on the basis of WRF-Chem NO<sub>2</sub> vertical profiles assuming either LOWTRAN aerosols or no aerosol. The swath data with cloud fraction <0.15 are used for model and satellite comparisons for the year 2005. The UB OMI product uses the same cloud fraction as the NASA OMI NO<sub>2</sub> product. Only fine-resolution scenes with pixel number between 20 and 40 are used as with NASA OMI data. Trend analyses use 0.125° × 0.125° monthly mean grid data with cloud fraction <0.2, the same value as in the trend analyses with the UB SCIAMACHY product. See Table 1 for more details.

## 2.2. WRF-Chem Model Simulations of NO<sub>2</sub> Columns

### 2.2.1. Model Setup

[19] The WRF-Chem model is based on the nonhydrostatic mesoscale numerical weather prediction model, the WRF community model, developed at the National Center for Atmospheric Research in collaboration with several research institutes. WRF is an operational forecasting model that is flexible and computationally efficient, while offering the advances in physics, numerics, and data assimilation contributed by the research community [Skamarock et al., 2007]. The WRF-Chem model system is “online” in the sense that all processes affecting the gas phase and aerosol species are calculated in lock step with the meteorological dynamics [Grell et al., 2005]. Meteorological initial and boundary conditions are taken from the National Centers for Environmental Prediction (NCEP) Global Forecast System model analysis fields. Gas phase chemistry is discussed in section 2.2.3. Lateral boundary conditions for ozone and its precursors are the same as in work by McKeen et al. [2002] and are based on averages of midlatitude aircraft profiles from several field studies over the eastern Pacific Ocean. Biogenic emissions are calculated at each time step using the Biogenic Emissions Inventory System (BEIS3.11) algorithm (<http://www.epa.gov/asmdnerl/biogen.html>). Anthropogenic emissions used in this study are described in section 2.2.2. The horizontal domain of 200 × 140 grid cells has a grid spacing of 15 km and is centered at 111.0°W and 38.0°N. The spacing of the model’s 35 vertical levels is about 40 m near the surface and increases to approximately 1.5 km at the

top of the domain (at ~18 km). A summary of physical parameterization options is shown in Table 2.

### 2.2.2. Emission Inventories

[20] The WRF-Chem emissions for all sources except power plants are represented by the EPA 1999 National Emission Inventory version 3 (NEI99). A detailed discussion of the NEI99 processing is given by Frost et al. [2006]. Briefly, hourly emissions of NO<sub>x</sub>, SO<sub>2</sub>, CO, total and speciated VOCs, NH<sub>3</sub>, total and speciated PM<sub>2.5</sub>, and total PM<sub>10</sub> were prepared for an average day in the 1999 summer ozone season (1 May to 30 September) on a 4 km × 4 km grid. These emissions were then projected onto the 15 km grid used in the WRF-Chem simulations.

[21] We developed a general algorithm to update the emissions of NO<sub>x</sub> and SO<sub>2</sub> from all NEI99 point sources that also appear in EPA’s national CEMS emission database during the years 1995–2007. About 98% of the facilities in the CEMS detailed hourly files (<ftp://ftp.epa.gov/dmndload/emissions/hourly/monthly>) and aggregated data reports (<http://camddataandmaps.epa.gov/gdm/index.cfm?fuseaction=emissions.wizard>) are involved in electric power generation. The WRF-Chem reference simulations discussed in section 3 used CEMS monthly total NO<sub>x</sub> and SO<sub>2</sub> emissions for June–August 2005 to create month-specific average daily updates to the 1999 ozone season day emissions of approximately 1000 NEI99 facilities. The facilities with updated emissions represented 64% and 95% of the NO<sub>x</sub> emissions from point sources in the NEI99 and 2005 CEMS data sets, respectively. NO<sub>x</sub> and SO<sub>2</sub> emissions from facilities appearing in the NEI99 but not in the CEMS data set were left at their 1999 levels. CEMS data from facilities not in the NEI99 were not included in the updated inventory. NEI99 area and mobile source emissions and point source emissions of compounds besides NO<sub>x</sub> and SO<sub>2</sub> were not modified and remained at their 1999 ozone season day levels.

[22] In the WRF-Chem reference simulations, month-specific average daily NO<sub>x</sub> emissions from every U.S. power plant are partitioned for each hour of the day using the same default NEI99 diurnal allocation factor, ignoring the actual daily and hourly fluctuations of NO<sub>x</sub> emissions at specific facilities. This emission inventory is referred to as the “monthly default” emissions. WRF-Chem sensitivity simulations were also performed using the actual daily and hourly CEMS observations at all power plants appearing in the CEMS data set discussed above, hereafter referred to as “real-time” CEMS emissions.

### 2.2.3. Chemical Mechanisms

[23] The WRF-Chem model has various chemical mechanisms available. While RADM2 [Stockwell et al., 1990] has been used most intensively in previous WRF-Chem studies, updates including RACM [Stockwell et al., 1997] and RACM-MIM [Geiger et al., 2003] are also available. We updated the rate coefficients in the RACM-MIM mechanism following the JPL 2006 report [Sander et al., 2006] and other references [Tyndall et al., 2001; Calvert et al., 2002; Orlando et al., 2002]; hereafter we will refer to this updated scheme as RACM-ESRL. All of the above mechanisms were compiled using the KPP method coupled with the WRF-Chem model (M. Salzmänn and M. G. Lawrence, Automatic coding of chemistry solvers in WRF-Chem using KPP, paper presented at 7th WRF/16th MM5 Users’ Workshop, National

**Table 3.** Summary of WRF-Chem Model Simulations

Simulations	Emissions	Advection Scheme	Chemical Mechanism
M1	Monthly default	Positive definite	RACM-ESRL
M2	Real time	Positive definite	RACM-ESRL
M3	Monthly default	Nonpositive definite	RACM-ESRL
M4	Monthly default	Positive definite	RADM2

Center for Atmospheric Research, Boulder, Colorado, 2006, available at [http://www.mmm.ucar.edu/wrf/users/workshops/WS2006/abstracts/Session06/6\\_4\\_Salzmann.pdf](http://www.mmm.ucar.edu/wrf/users/workshops/WS2006/abstracts/Session06/6_4_Salzmann.pdf)). Here we present results using RADM2 and RACM-ESRL, the oldest and the most updated chemical mechanisms in WRF-Chem, since these mechanisms produce the two extremes in the model NO<sub>2</sub> vertical column calculations.

#### 2.2.4. Advection Schemes

[24] The advection terms in the WRF model have the form of a flux divergence and are calculated using a Runge-Kutta third-order accuracy time-splitting integration scheme (RK3) [Wicker and Skamarock, 2002]. The spatial discretizations of flux divergence are accurate to fifth order in the horizontal direction and to third order in the vertical direction. However, this scalar transport scheme does not give positive definite and monotonic advection. A new feature of WRF-Chem version 2.2 is the application of a positive-definite flux renormalization called the positive-definite (PD) limiter [Skamarock, 2006]. In this study, we tested the RK3 advection scheme with and without the PD limiter. The model simulations with various combinations of emission treatment, chemical mechanism, and advection scheme are summarized in Table 3.

### 3. Results and Discussion

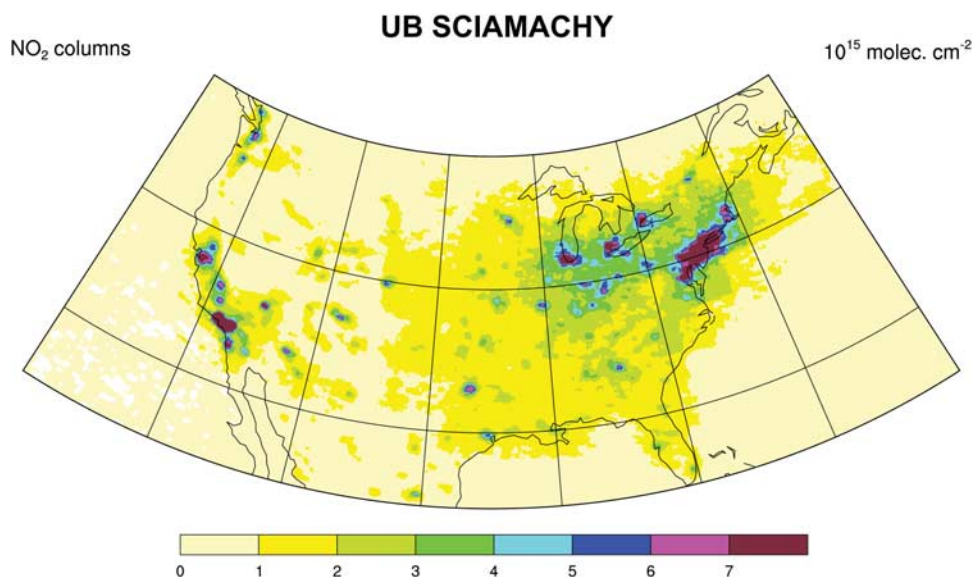
#### 3.1. Distributions of NO<sub>2</sub> Columns

[25] SCIAMACHY average NO<sub>2</sub> columns for the summer of 2005 over the continental United States illustrate that NO<sub>x</sub>

emission sources in the eastern half of the country are more densely located than those in the western half (Figure 1). While some cities in the central and southeast United States can be easily identified within the color scale employed in Figure 1, individual cities in the northeastern urban corridor are not readily discernible and instead appear as a single contiguous megacity. In contrast, individual NO<sub>x</sub> sources in the western United States are more isolated, and satellite NO<sub>2</sub> column signals can be associated with specific types of sources. As we demonstrate below, NO<sub>2</sub> plumes from most western U.S. cities and a number of coal-burning power plants can be clearly identified in the SCIAMACHY columns in Figure 1.

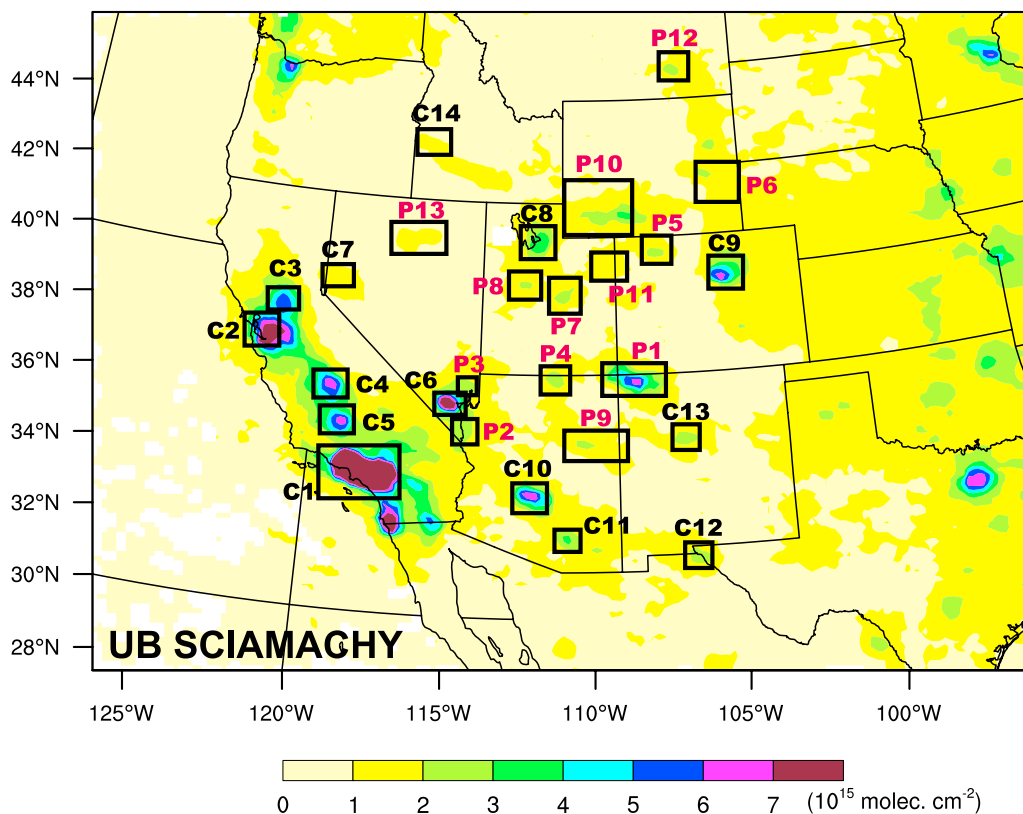
[26] Figure 2 shows the UB SCIAMACHY average summer 2005 NO<sub>2</sub> columns for the western portion of the United States, and major NO<sub>x</sub> sources are readily identified. We have drawn boxes in Figure 2 to highlight plumes dominated by the NO<sub>x</sub> emissions of 20 electric power generating plants (labeled “P”) and 14 major cities (labeled “C”) in this domain. The box locations and sizes were chosen with the intent of capturing the majority of the summer average satellite NO<sub>2</sub> column signals over each source of interest while excluding signals from nearby sources. The smallest sampling box size (P3, 0.375° latitude × 0.75° longitude) is similar to a SCIAMACHY pixel, encompasses at least 6 OMI pixels in the exact nadir view, and covers at least 8 model grid cells. In sections 3.2 and 3.3, the tropospheric NO<sub>2</sub> data products retrieved from the observations of the different instruments will be systematically compared with the model-simulated NO<sub>2</sub> columns for these power plant and urban area sampling boxes to evaluate the NO<sub>x</sub> emission inventories of these two types of sources.

[27] Figure 3, with the same spatial domain as Figure 2, compares summer 2005 averages of the NASA and UB OMI NO<sub>2</sub> column data sets and the WRF-Chem model results at the time of the OMI overpass. As in the SCIA-



**Figure 1.** Distributions of average NO<sub>2</sub> columns over the continental United States during the summer of 2005 from the University of Bremen (UB) retrievals of the SCIAMACHY satellite instrument. The satellite data set UB-SCIA 1 (see Table 1) was used to construct this image.





**Figure 2.** Boxes highlighting plumes from western U.S. power plants and cities overlaid on the UB SCIAMACHY average NO<sub>2</sub> columns during the summer of 2005. Labels starting with “P” and “C” indicate power plant and city boxes, respectively. Data set UB-SCIA 2 (Table 1) was used to construct this image. See Tables 4 and 5 for the geographic details of each box. Box labeled P1 here is box P1b in Table 4.

MACHY data (Figure 2), power plant and urban NO<sub>x</sub> sources are clearly seen in the OMI and model columns in Figure 3. NASA OMI NO<sub>2</sub> columns (Figure 3a) in remote regions are higher than those from UB OMI (Figure 3b) and the model NO<sub>2</sub> columns (Figure 3c). In contrast, UB OMI data show somewhat lower NO<sub>2</sub> columns in remote regions compared to the model results. NASA OMI NO<sub>2</sub> columns for the major NO<sub>x</sub> sources are greater than those from UB OMI. Model NO<sub>2</sub> columns in many urban areas are clearly greater than either of the satellite data sets.

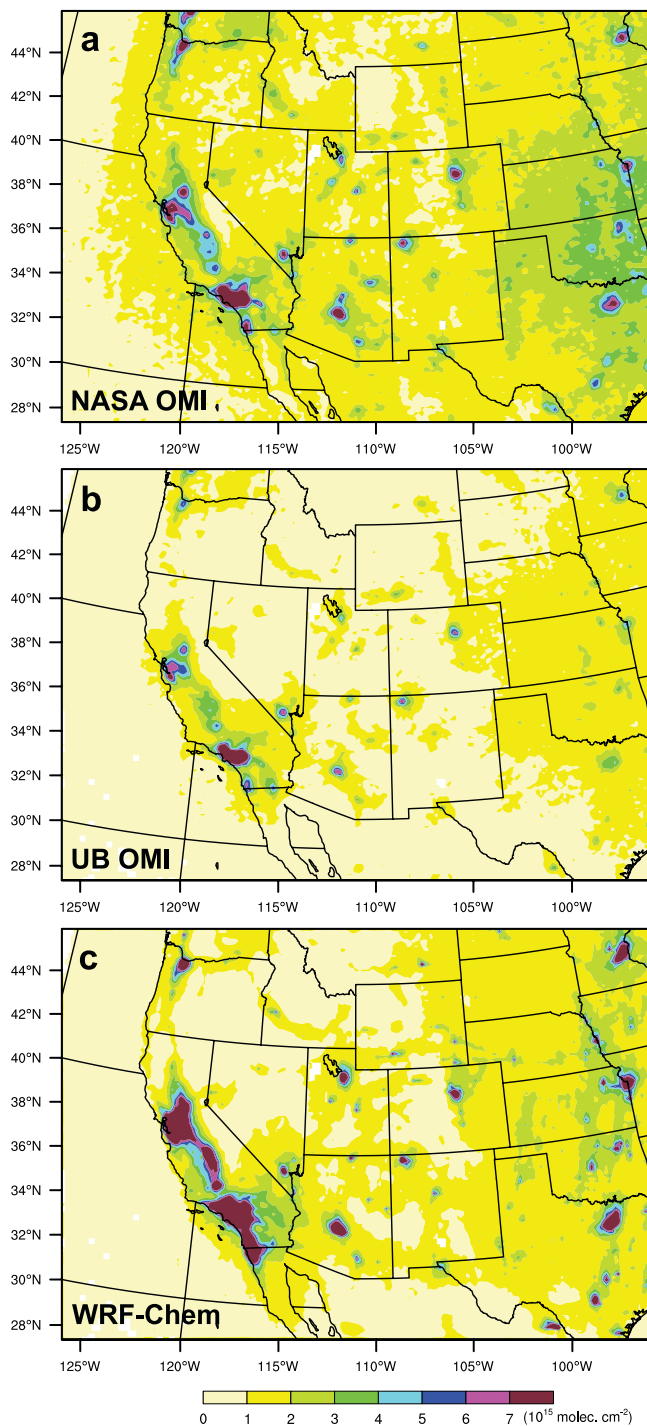
[28] Differences between the two OMI retrievals shown in Figure 3 stem from the different approaches used by UB and NASA to separate stratospheric and tropospheric NO<sub>2</sub> columns and to calculate the AMF and the treatment of clouds. As mentioned above, the UB OMI retrieval uses the reference sector method to remove the stratospheric NO<sub>2</sub> contribution, while the NASA OMI retrieval applies a planetary wave analysis and smoothing process to filter out stratospheric columns. The reference sector method is expected to give lower columns, because of the assumption that the entire NO<sub>2</sub> column over a presumably clean sector (such as the middle of the Pacific Ocean) is due only to NO<sub>2</sub> in the stratosphere. There are small amounts of NO<sub>2</sub> throughout the remote troposphere, however, and this small remote tropospheric NO<sub>2</sub> column is then globally subtracted from the total slant column. In contrast, 5% of the smoothed

background field is added to get tropospheric columns in the NASA OMI data. The differences between the AMF calculations in two OMI retrievals include NO<sub>2</sub> vertical profiles derived from different global chemical transport models and different assumptions about aerosol optical thickness and aerosol vertical profiles. As the UB data set does not correct for the residual clouds, it is expected to underestimate NO<sub>2</sub> over emission areas.

[29] The comparison of UB SCIAMACHY and UB OMI (or NASA OMI) can give insights into the diurnal variation of NO<sub>x</sub> emissions because of the different satellite overpass times: SCIAMACHY measures at 1000 LT and OMI at 1330 LT. In addition to differences between the UB OMI and NASA OMI retrievals, however, the different numbers of samples in the UB SCIAMACHY and UB OMI products complicate the derivation of diurnal variations in emissions. To confidently deduce these emission variations, the same day’s observations of SCIAMACHY and OMI accumulated over several years would need to be examined. This is a topic of interest for further study.

[30] The differences in the three satellite data sets for specific types of NO<sub>x</sub> sources will be investigated in sections 3.2–3.4. While it is important to understand the causes of discrepancies between different satellite retrievals, a detailed examination of each step in these algorithms is beyond the scope of this study. Instead, we use the differences between the three tropospheric NO<sub>2</sub> retrievals as a





**Figure 3.** (a) NASA OMI, (b) UB OMI, and (c) WRF-Chem average NO<sub>2</sub> columns during the summer of 2005 for the same domain as Figure 2. Satellite data sets NASA-OMI 2 and UB-OMI 2 (Table 1) and model simulation case M2 (Table 3) were used to construct these images.

measure of the range of systematic uncertainties in the satellite data. We then explore the possibility of using these satellite data to evaluate bottom-up emission inventories.

### 3.2. NO<sub>2</sub> Columns Over Power Plants

[31] The locations and sizes of the sampling boxes surrounding the power plant plumes are summarized in Table 4. According to CEMS data, Four Corners and San Juan Power Plants (FCSJ) had the highest and tenth highest NO<sub>x</sub> emissions of all U.S. power plants during the summer of 2005. The combined NO<sub>2</sub> plume from FCSJ (the two plants are separated by only 13 km) is the dominant power plant signal in Figure 2 (box P1) and Figure 3. To understand the impact of the size of the sampling box on the model-satellite and satellite-satellite comparisons discussed later in section 3.2.2, we constructed both small (P1a, 0.5° latitude × 1° longitude) and large (P1b, 1° latitude × 2° longitude) sampling boxes around the FCSJ NO<sub>2</sub> plume (for clarity, only box P1b is shown in Figure 2). NO<sub>2</sub> columns over a number of other large coal-burning power plants are highlighted by the boxes labeled with “P” in Figure 2.

#### 3.2.1. Comparison of Summer 2005 Average Columns

[32] Figure 4 compares summer 2005 average NO<sub>2</sub> columns for cloud-free conditions from the different satellite instruments/retrievals and those from the model over each power plant box. To systematically compare the satellite data with the model results, the WRF-Chem data are projected on to the daily orbital SCIAMACHY and OMI swaths. Because clouds effectively reflect ultraviolet sunlight, which in turn inhibits the satellite from sensing the boundary layer NO<sub>2</sub>, cloudy grid cells are then filtered out. Swath data with cloud fraction <0.15 are used in the comparisons of the satellite retrievals with the model, ensuring the same number of samples in each comparison. The model results include simulations using either the monthly default or real-time emissions.

[33] Power plant NO<sub>2</sub> columns from SCIAMACHY show reasonable agreement with those from the model (Figure 4a). OMI NO<sub>2</sub> columns retrieved by the University of Bremen using the same air mass factor approach as the SCIAMACHY retrievals show a similar degree of agreement with the model (Figure 4b). Overall, the mean model results with either set of emissions agree with the means of the two satellite data sets retrieved by UB to within 1 standard deviation. There is a tendency for higher model results than the satellite data. However, the model overestimates are less than  $1.5 \times 10^{15}$  (molecule cm<sup>-2</sup>) with an average difference of  $5 \times 10^{14}$  (molecule cm<sup>-2</sup>).

[34] NO<sub>2</sub> columns from NASA OMI show very good agreement with the model results (Figure 4c). For several power plants, in contrast to the UB SCIAMACHY and OMI data, NASA OMI NO<sub>2</sub> columns are higher than the model. The differences between the two retrieval approaches seen in Figure 4 are consistent with those shown in Figure 3.

[35] In most of the power plant boxes, the differences between model simulations using either the monthly default or real-time emission input were quite small, not more than  $3 \times 10^{14}$  molecule cm<sup>-2</sup>. This small difference implies, for these plants at least, that the NEI99 default diurnal cycle in power plant NO<sub>x</sub> emissions is a reasonable approximation to the true diurnal cycle and that the day-to-day variability in NO<sub>x</sub> emissions is small. In the smaller FCSJ sampling

**Table 4.** Geographic, Emissions, and Power Information of Power Plant Sampling Boxes<sup>a</sup>

Name (State)	Center Lon. (deg)	Center Lat. (deg)	Width Lon. (deg)	Width Lat. (deg)	NO <sub>x</sub> Emissions <sup>b</sup> (t)	Load <sup>b</sup> (MWh)
P1a: Four Corners/San Juan (N. M.), small	−108.50	36.75	1.00	0.500	26,724	13,046,122
P1b: Four Corners/San Juan (N. M.), large	−108.46	36.75	2.00	1.000	26,724	13,046,122
P2: Mohave (Nev.)	−114.59	35.15	1.00	0.788	8181	4,770,269
P3: Reid Gardner (Nev.)	−114.64	36.65	0.75	0.375	3387	1,774,329
P4: Navajo (Ariz.)	−111.39	36.91	1.00	0.788	13,464	8,326,453
P5: Craig/Hayden (Colo.)	−107.39	40.48	1.00	0.788	9912	6,335,077
P6: Dave Johnston/Laramie River (Wyo.)	−105.33	42.47	2.00	1.250	12,183	8,031,725
P7: Hunter/Huntington (Utah)	−111.05	39.27	1.00	1.000	10,497	7,322,178
P8: Intermountain (Utah)	−112.58	39.51	1.50	1.000	10,073	6,350,285
P9: Cholla/Coronado/Springerville (Ariz.)	−109.58	34.61	2.50	1.000	13,833	9,239,411
P10: Jim Bridger/Naughton (Wyo.)	−109.70	42.00	2.75	2.000	18,062	9,027,202
P11: Bonanza (Utah)	−109.28	40.09	1.50	0.788	2845	1,688,207
P12: Colstrip (Mont.)	−106.62	45.88	1.50	1.000	14,129	7,270,187
P13: North Valmy (Nev.)	−117.15	40.88	2.00	1.000	3860	1,862,441

<sup>a</sup>Lon., longitude; Lat., latitude.<sup>b</sup>Total NO<sub>x</sub> emissions (in metric tons) and gross load (electrical power output in megawatt-hours (MWh)) for the power plants in each box reported by CEMS during the 2005 summer ozone season (1 May to 30 September).

box (P1a), however, the model columns simulated with real-time emissions agree better with all satellite retrievals than those using monthly default emissions, with differences of as much as  $7 \times 10^{14}$  molecule cm<sup>−2</sup> between the simulations using the two different emission data sets. The NEI99 default diurnal cycle in power plant NO<sub>x</sub> emissions is much different from the nearly constant average hourly variability actually observed at FCSJ during the summer of 2005 (Figure 5). Use of default NEI99 diurnal factors for FCSJ can lead to 10–20% overestimates of NO<sub>x</sub> emissions at the overpass times of the satellites with the SCIAMACHY and OMI instruments, 1000 LST and 0130 LST, respectively. Figure 6 shows the daily emissions from Four Corners Power Plant, San Juan Power Plant, and the total of the two for the summer of 2005. Large reductions in total FCSJ emissions (up to 30%) are seen periodically throughout the summer, particularly around weekends and the 4th of July holiday. Using monthly average emissions can bias the model predictions if the satellite data are taken on days that differ significantly from the monthly average. The real daily and hourly variability in FCSJ emissions both appear to contribute to biases seen in the model predictions of NO<sub>2</sub> vertical column using the monthly default emissions input.

[36] Figure 7 shows the relationship between the model and the three satellite NO<sub>2</sub> columns for the power plant boxes. Linear fits of the model results to the UB SCIAMACHY and NASA OMI data show that the model is 6–8% higher than the satellite on average with correlation coefficients,  $r$ , of 0.93–0.95. A fit of the power plant model results to all UB OMI data shows that the model is ~20% higher than the satellite data on average with a correlation coefficient,  $r$ , of 0.97. Comparison of the model results to all three satellite data sets shows that the model is ~10% higher than the satellite (not shown). These comparisons demonstrate the excellent agreement between the model NO<sub>2</sub> columns and the satellite retrievals over regions dominated by power plant emissions. Previous comparisons of various satellite retrievals [Boersma *et al.*, 2004; van Noije *et al.*, 2006] showed much larger discrepancies than those seen here between the 3 satellite data sets in the power plant boxes.

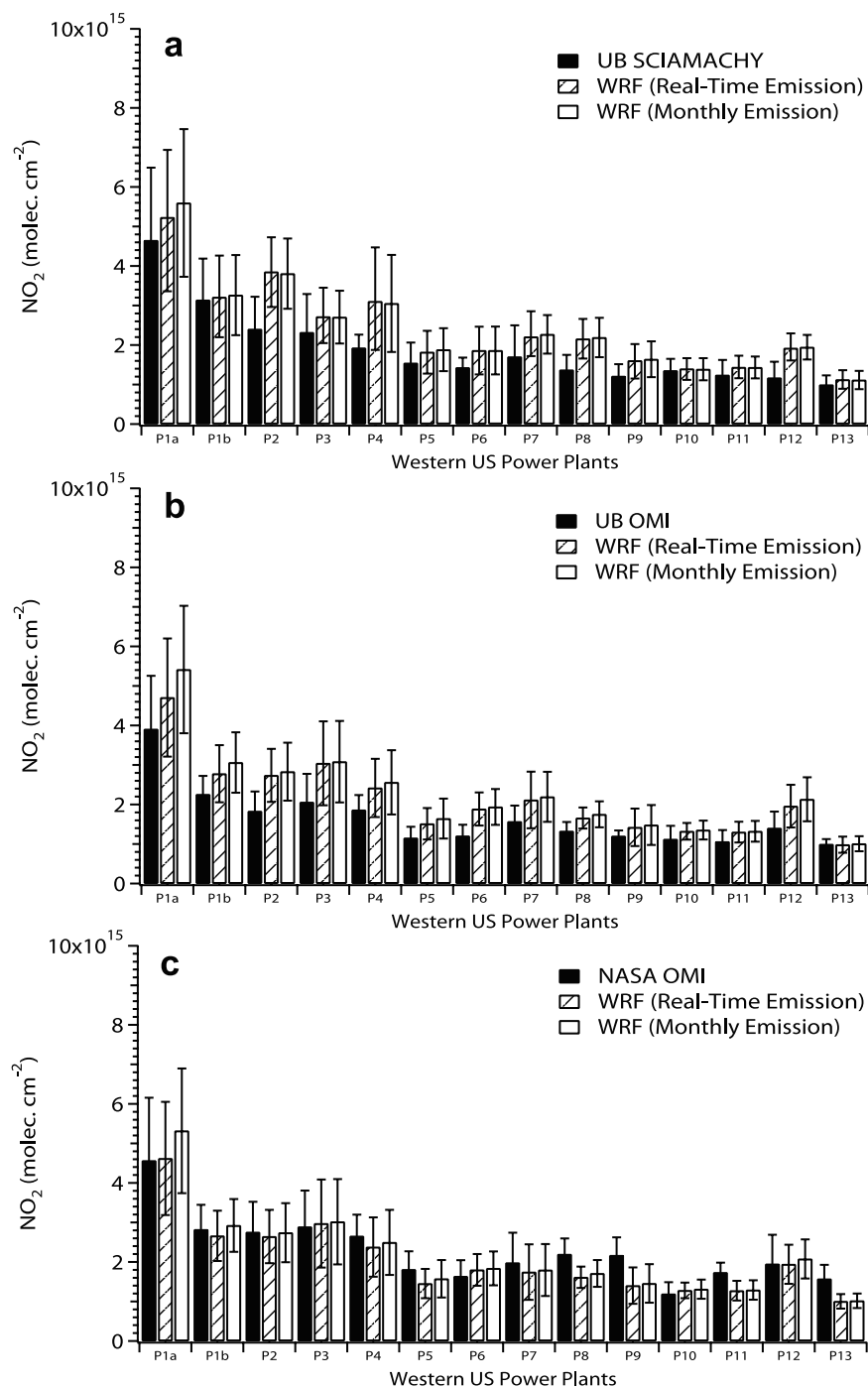
### 3.2.2. Sensitivity Studies for Four Corners–San Juan Boxes

[37] Figures 8 and 9 show 14-day running averages of both satellite and model columns during the summer of 2005 in the two FCSJ power plant boxes (P1a in Figure 8 and P1b in Figure 9). The FCSJ boxes contain the largest CEMS NO<sub>x</sub> emissions and highest NO<sub>2</sub> columns of the power plant areas considered here (Table 4). Figures 8 and 9 examine the variability in the model and satellite data due to changes in input assumptions and demonstrate the level of agreement between the model and satellite data throughout summer 2005.

#### 3.2.2.1. Sensitivity of Satellite Retrievals

[38] The blue solid curves in Figure 8a and 8b show the sensitivity of the two University of Bremen satellite NO<sub>2</sub> column retrievals to different a priori model NO<sub>2</sub> assumptions for the smaller FCSJ box (P1a). SCIAMACHY and OMI retrievals using the MOZART model NO<sub>2</sub> profile give ~10% higher values than those using the WRF-Chem model NO<sub>2</sub> vertical profile shapes in June and July of 2005. Compared to WRF-Chem, MOZART has weaker vertical mixing and generally produces lower mixed layer heights, resulting in NO<sub>2</sub> profiles with sharper vertical gradients near the surface (not shown). Furthermore, in WRF-Chem the emissions from elevated power plant stacks like those of FCSJ are injected as high as several hundred meters above the surface, while MOZART injects emissions from all surface sources into the lowest model vertical layer. Satellite sensitivity decreases toward the surface, so that higher NO<sub>2</sub> near the surface in the MOZART profile leads to smaller AMFs and consequently higher vertical columns.

[39] Another minor difference between the two model NO<sub>2</sub> profiles used in the UB retrievals is that the WRF-Chem profile is selected at the date and time appropriate for each satellite overpass, for example, 1000 LST or 0130 LST, while the MOZART model monthly mean profiles at 1000 LST were used for both instruments. A potential cause of model NO<sub>2</sub> profile differences in situations of heterogeneous topography is the resolution of terrain heights, leading to different distributions of temperature near the surface and thus differences in the NO<sub>2</sub> absorption cross

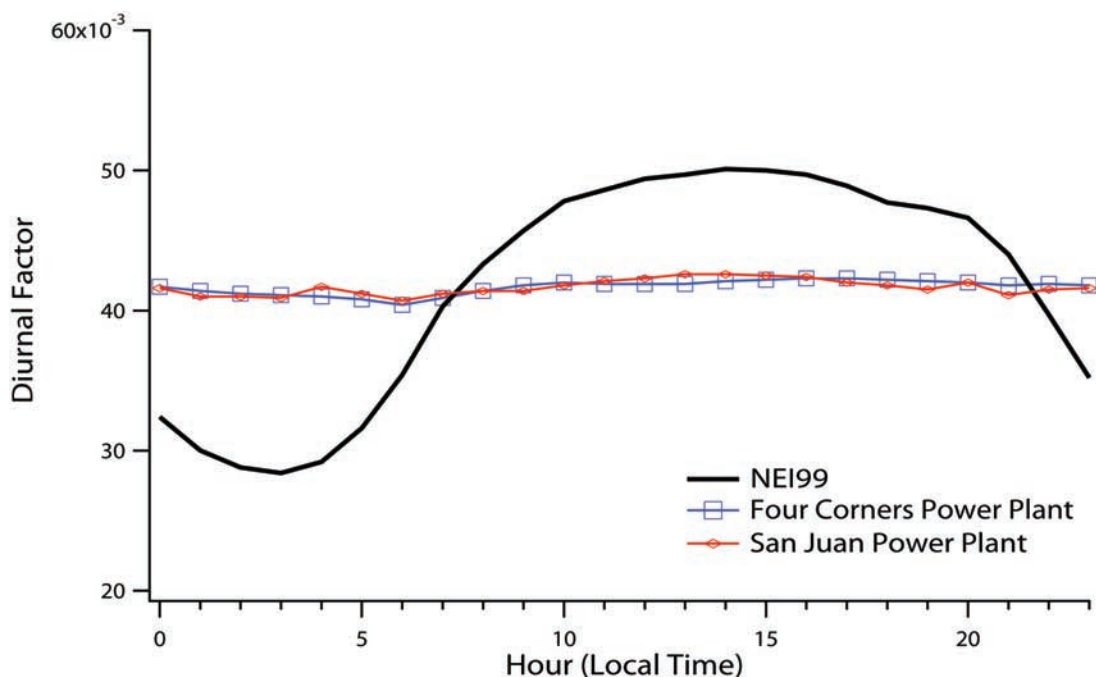


**Figure 4.** Comparison of summer 2005 average NO<sub>2</sub> columns for power plant boxes (Table 4) from WRF-Chem with those from (a) UB SCIAMACHY, (b) UB OMI, and (c) NASA OMI. The solid bar, dashed bar, and open bar represent the satellite observations, the model with real-time emissions, and the model with monthly default emissions, respectively. The satellite data sets UB-SCIA 3a, UB-OMI 3a, and NASA-OMI 3 (Table 1) and model simulation cases M1 and M2 (Table 3) were used to construct this plot. Bars indicate 1 standard deviation in the summertime-mean box-average columns.

section. *Schaub et al.* [2007] have shown the importance of fine resolution terrain information on the NO<sub>2</sub> satellite retrieval over the Alpine region in Switzerland. A Heckel et al. (Influence of under-sampling in a priori data on tropospheric NO<sub>2</sub> satellite retrievals, manuscript in preparation, 2009) discuss in more detail the effects of the

resolution of the model input data on the UB satellite retrievals. There are not significant differences in terrain heights between MOZART and WRF-Chem models for the FCSJ region, so we do not believe this effect plays an important role here.

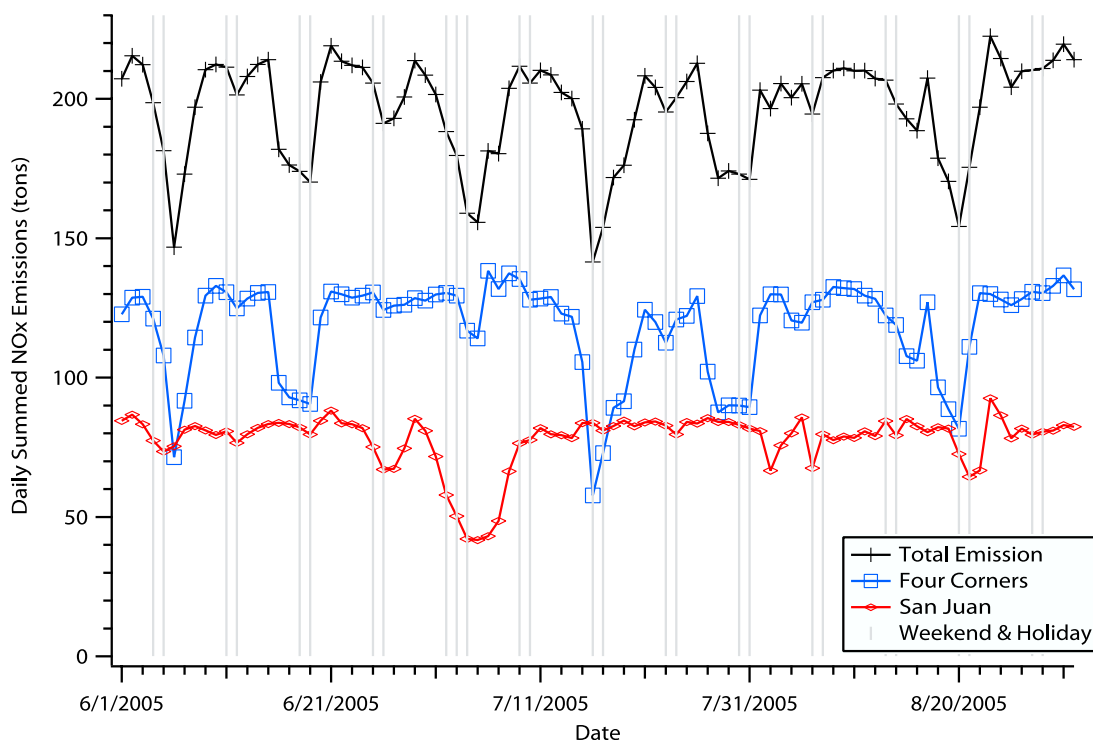




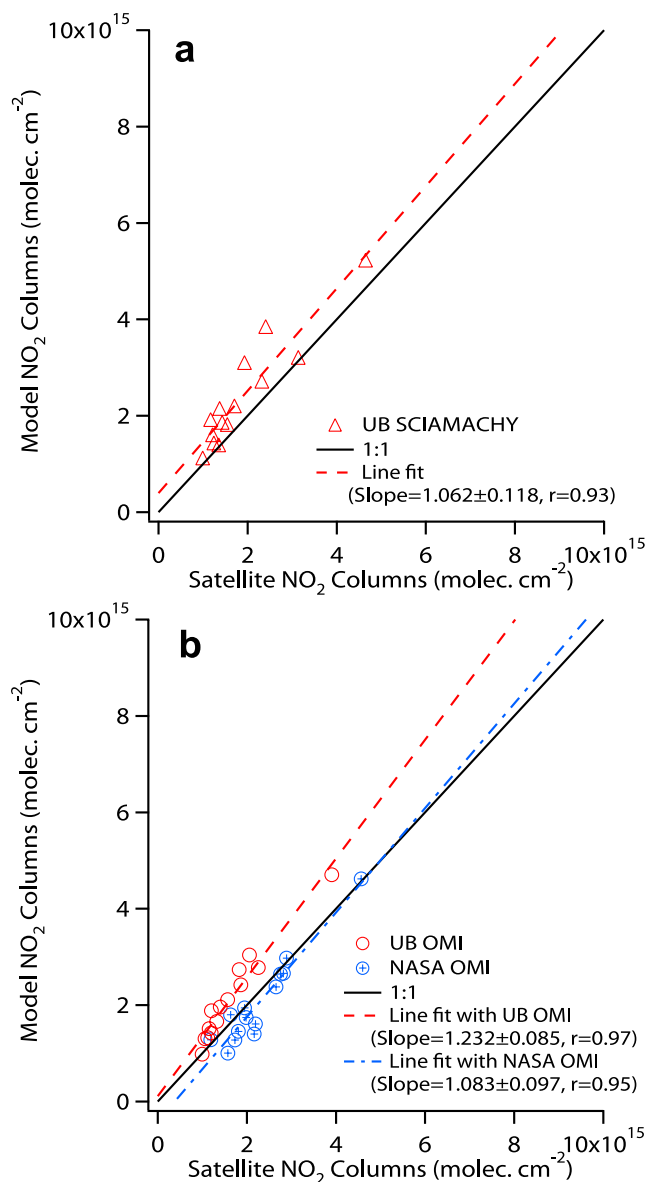
**Figure 5.** Diurnal factors distributing the daily total NO<sub>x</sub> emissions to hourly emissions. The black solid line denotes the default factors used in the 1999 EPA National Emission Inventory. The blue solid line with open squares (red solid line with diamond) denotes the actual diurnal factors determined from summer 2005 average CEMS data at the Four Corners (San Juan) Power Plant.

[40] Aerosols do not significantly affect the UB-retrieved NO<sub>2</sub> columns from either satellite over the Four Corners region, within the range of aerosol loadings used. It should be noted that the assumed “rural” aerosol type used for the

regions around all power plant boxes in this study was mostly scattering aerosol with little absorption. Thus aerosol is not expected to have a large impact on the calculated



**Figure 6.** Daily CEMS NO<sub>x</sub> emissions from the Four Corners Power Plant (squares), San Juan Power Plant (diamonds), and the total from both plants (crosses) during the summer of 2005. Gray vertical lines indicate weekend days and holidays.



**Figure 7.** Scatterplot of model and satellite average summer 2005 NO<sub>2</sub> columns for each of the power plant boxes listed in Table 4. Triangles, open circles, and circles with crosses denote data from (a) UB SCIAMACHY and (b) UB OMI and NASA OMI, respectively. The one-to-one line (black solid line) is also shown. The linear fit results given by the red dashed and blue dash-dotted lines are for the UB data sets and the NASA data, respectively. Data in these plots are the same as in Figure 4.

AMF over most of western U.S. power plants, which tend to be located far from urban areas.

[41] Figure 8d shows the number of satellite pixels used to construct the 14-day running mean. Less than 10 SCIAMACHY pixels are used for these averages. The number of OMI pixels used is up to 10 times as many as those of SCIAMACHY. The number of pixels for UB OMI is very similar to those for NASA OMI, although the two OMI data sets are not identical owing to minor differences in the definition of pixel corner points and quality assurance criterion in the two OMI data sets.

[42] To illustrate the sensitivity of the analysis to the size of the sampling box, Figure 9 shows the same results as Figure 8 except for the larger FCSJ box (P1b). The number of samples (Figure 9d) collected in the larger FCSJ box (P1b) is 4 times larger than for the smaller FCSJ box (P1a). The absolute column values and the spread between the resulting columns is less in P1b than P1a, as would be expected when averaging the satellite signal from an isolated point source over a larger area. However, the sensitivities of the UB satellite retrievals to NO<sub>2</sub> profile and aerosols in box P1b are similar to those seen in P1a. So the effect of using different input parameters in the retrieval does not depend on the size of the averaging box.

### 3.2.2.2. Sensitivity in WRF-Chem Simulations

[43] Figures 8 and 9 also show the sensitivity of model NO<sub>2</sub> columns to chemical mechanism and advection scheme over the P1a and P1b power plant boxes, respectively. At the time of the SCIAMACHY overpass, the model is more sensitive to the advection scheme than to the choice of chemical mechanism (compare the three red curves in Figures 8a and 9a). The positive-definite (PD) advection scheme reduces the simulated NO<sub>2</sub> columns by ~10% compared to those without this scheme. Model sensitivity to the chemical mechanisms is much higher at the time of the OMI overpass than during the SCIA overpass (compare Figures 8b and 8c to Figure 8a, and similarly for Figure 9), implying a more important role of photochemistry in determining afternoon NO<sub>2</sub> levels.

[44] WRF-Chem NO<sub>2</sub> columns over FCSJ also respond to changes in how the hourly and daily fluctuations in the power plant emissions are treated. As noted above for the summer 2005 averages, the 14-day running average model columns using the more accurate real-time emissions are generally lower than those using default monthly emissions (Figures 8 and 9), because the default temporal factors tend to exaggerate diurnal variability at these particular power plants. For the smaller box (P1a), differences between the modeled columns using the default monthly emissions and the real-time emissions (black curves in Figures 8a–8c)

**Figure 8.** Comparison of 14-day running mean model NO<sub>2</sub> columns averaged over the smaller FCSJ box (P1a) with (a) UB SCIAMACHY, (b) UB OMI, and (c) NASA OMI, and (d) the number of satellite pixels included in each 14-day mean. In Figures 8a–8c, the red solid line with solid triangles (open triangles) denotes the model results with the RACM-ESRL (RADM2) chemical mechanism with the positive-definite advection scheme. The model results with the nonpositive-definite scheme and RACM-ESRL chemical mechanism are shown by the red dashed line with solid triangles. The model result using the positive-definite scheme, RACM-ESRL, and real-time emissions are represented by the black line. The blue solid line with solid circles (open circles) denotes UB satellite products retrieved with a priori NO<sub>2</sub> profile from MOZART (WRF-Chem). UB products retrieved with no aerosols are plotted as blue dashed lines with open circles. In Figure 8d, open triangles and open circles represent UB SCIAMACHY and OMI data, respectively, and solid circles denote NASA OMI data. Satellite data sets UB-SCIA 3a–c, UB-OMI 3a–c, and NASA-OMI 3 (Table 1) and model simulation cases M1–M4 (Table 3) are plotted.

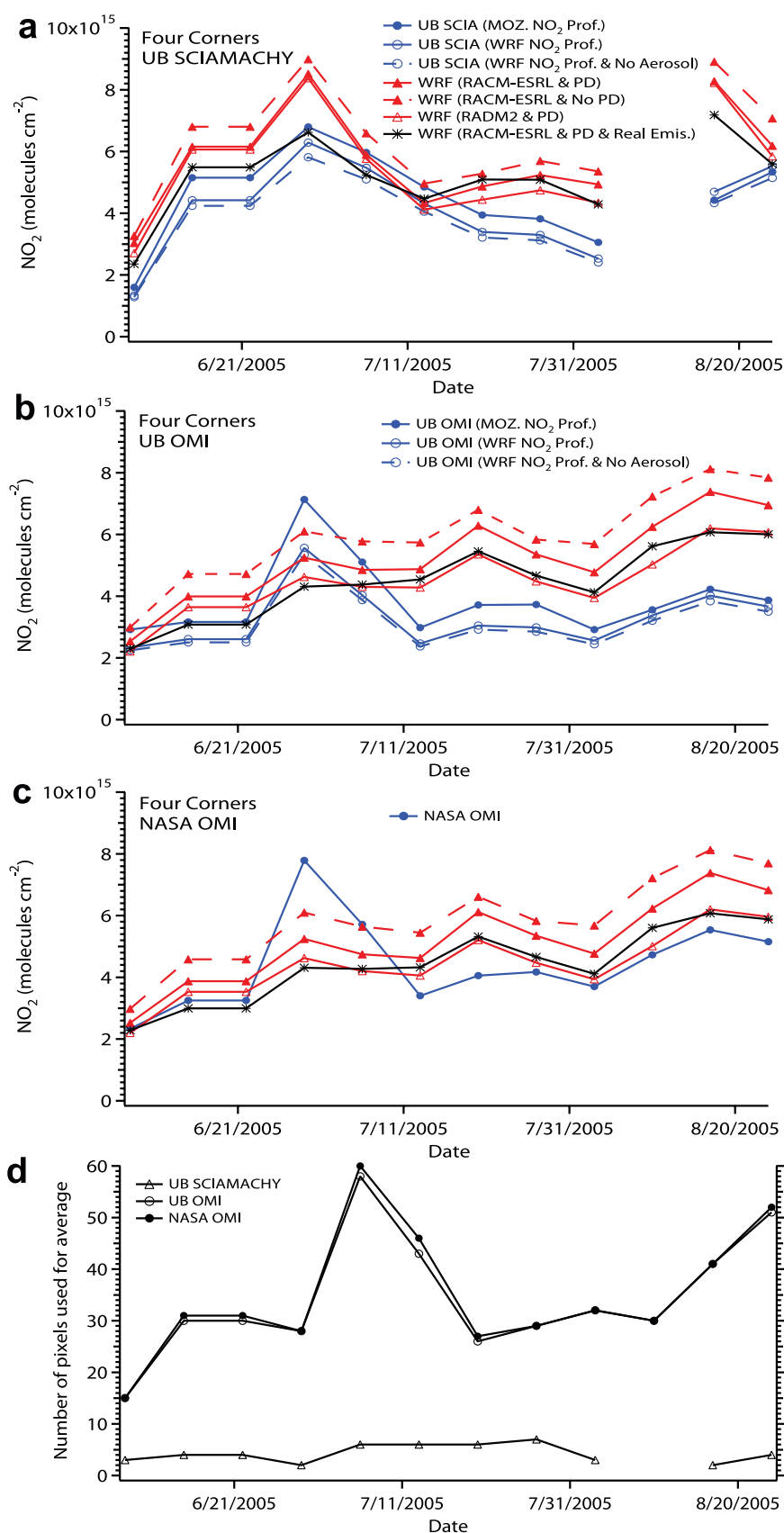
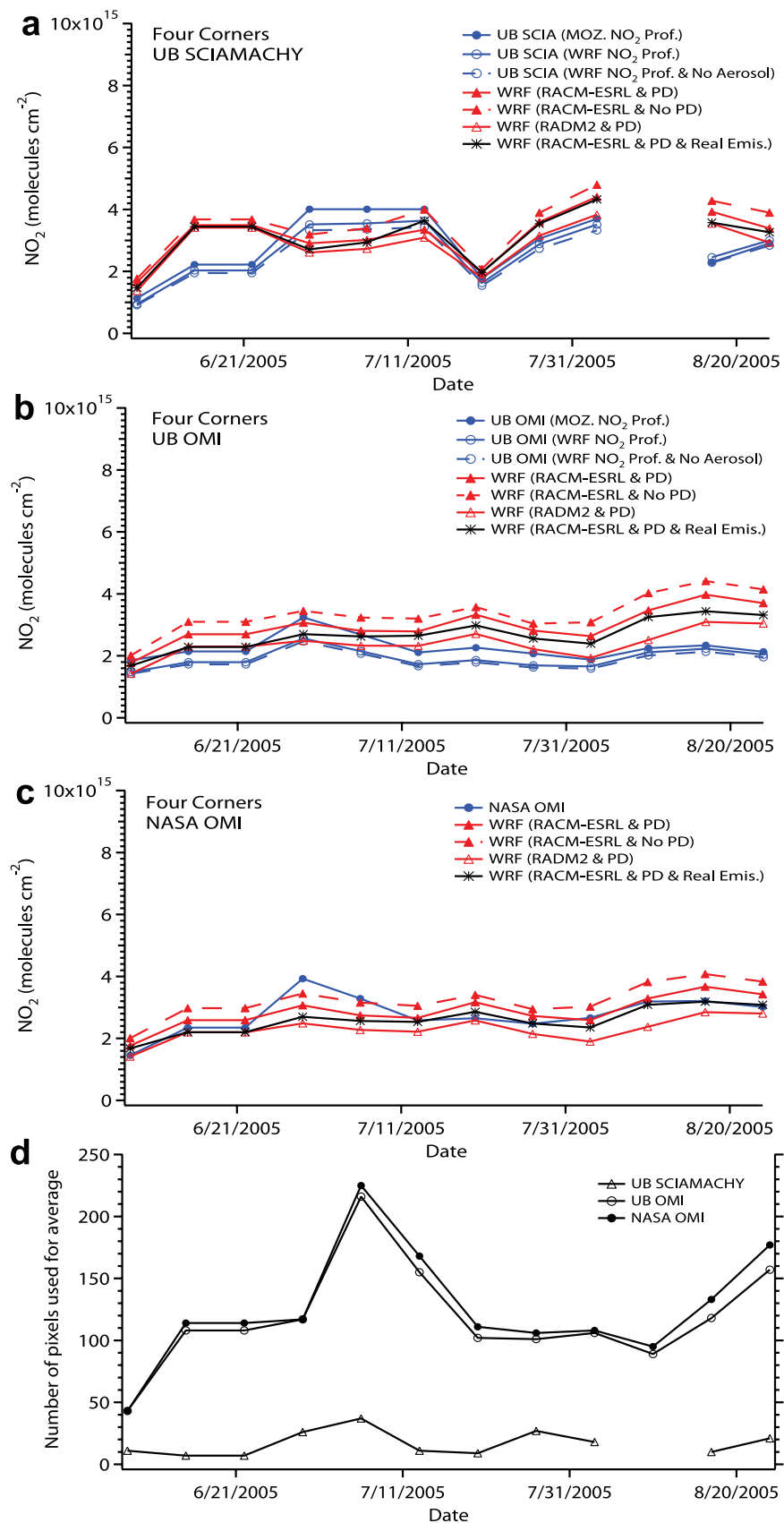


Figure 8





**Figure 9.** The same as in Figure 8 except for the larger FCSJ sampling box (P1b).

**Table 5.** Geographic Information of City Sampling Boxes<sup>a</sup>

Name (State)	Center Lon. (deg)	Center Lat. (deg)	Width Lon. (deg)	Width Lat. (deg)
C1: Los Angeles (Calif.)	−118.25	34.05	3.50	2.000
C2: San Francisco (Calif.)	−122.42	37.70	1.50	1.000
C3: Sacramento (Calif.)	−121.50	38.58	1.00	0.750
C4: Fresno (Calif.)	−119.75	36.75	1.50	0.750
C5: Bakersfield (Calif.)	−119.02	35.37	2.00	0.625
C6: Las Vegas (Nev.)	−115.20	36.17	1.50	0.625
C7: Reno (Nev.)	−119.65	39.50	1.00	1.000
C8: Salt Lake City (Utah)	−111.88	40.77	1.00	1.000
C9: Denver (Colo.)	−105.00	39.75	2.00	1.250
C10: Phoenix (Ariz.)	−112.08	33.45	1.75	1.000
C11: Tucson (Ariz.)	−110.92	32.22	1.00	0.750
C12: El Paso (Tex.)	−106.48	31.75	1.50	1.000
C13: Albuquerque (N. M.)	−106.38	35.38	1.25	1.250
C14: Boise (Idaho)	−116.00	43.40	1.00	1.000

<sup>a</sup>Lon., longitude; Lat., latitude.

throughout the summer of 2005 were as much as  $2 \times 10^{15}$  molecule cm<sup>−2</sup> at 1000 LST (Figure 8a) and  $1.5 \times 10^{15}$  molecule cm<sup>−2</sup> at 0130 LST (Figures 8b–8c). The impact of real-time emissions is lower for the larger box, P1b (Figure 9).

### 3.2.2.3. Comparison of Satellite and Model

[45] The spread in results using different input data in the retrieval of the satellite columns and the calculation of model columns provides one measure of the systematic uncertainties involved. In general, there is a wider range in the model columns calculated under different assumptions than there is for the UB satellite columns retrieved with different inputs. Model NO<sub>2</sub> columns with monthly default emissions in the P1a box (the red curves in Figure 8) are almost always higher than any of the SCIAMACHY or OMI columns retrieved under various assumptions (the blue curves in Figure 8). The model NO<sub>2</sub> columns calculated with real-time emissions and RACM-ESRL chemical mechanism agree best with the SCIAMACHY and OMI data early in the summer, but the real-time emissions do not always give the best agreement later in the summer. The model is generally closer to the NASA OMI results (Figure 8c) than the UB columns throughout most of the summer.

[46] The model results in the larger FCSJ box, P1b, (Figure 9) are usually in closer agreement with the satellite data than in the smaller FCSJ box, P1a (Figure 8). The larger satellite-model discrepancies over P1a can be attributed to possible errors in model plume dispersion, which in turn depends on predicted wind speed, wind direction, and turbulence. The real-time CEMS emissions somewhat improve the model predictions in the larger box but their impact is relatively minor. The overall agreement between the model (with real-time emissions) and any of the three satellite retrievals in the larger FCSJ box (P1b) during any 14-day period of summer 2005 is within  $1.5 \times 10^{15}$  molecule cm<sup>−2</sup>.

## 3.3. NO<sub>2</sub> Columns Over Urban Areas

[47] Plumes from cities are the dominant signals in the satellite NO<sub>2</sub> column distribution in the western United States (Figures 2 and 3). Using a procedure similar to the power plants, boxes were defined to encompass most of the NO<sub>2</sub> plumes from 14 western U.S. urban areas (Figure 2 and Table 5). Using the good agreement between the model

and satellite-retrieved NO<sub>2</sub> columns over power plants as a starting point, comparisons of these data sets over western U.S. cities are used to evaluate urban NO<sub>x</sub> emissions.

### 3.3.1. Weekly Cycles

[48] Both inventories and in situ observations suggest that NO<sub>x</sub> emissions in urban areas are dominated by mobile sources. One indicator of the predominance of mobile sources is the existence of a clear weekly cycle in urban NO<sub>x</sub> levels. Roadside monitoring data [Harley *et al.*, 2005] demonstrate such a cycle, with reductions in on-road NO<sub>x</sub> emissions of 27% (43%) on weekends relative to weekdays in 1990 (2000) in California. Satellite NO<sub>2</sub> signals have also been used to derive weekly cycles in urban regions around the world [Beirle *et al.*, 2003]. As an example, using the data sets presented here, we examined the day-of-week variations of NO<sub>2</sub> columns over Los Angeles, California (Figure 10). The huge reduction in NO<sub>2</sub> columns on Sundays can clearly be seen in NASA OMI images over Los Angeles (see Figure 10, middle column).

[49] Figure 11 shows the weekly cycles derived from multiyear averages of summer ozone season (May to September) daily satellite NO<sub>2</sub> columns, including 2003 to 2007 for SCIAMACHY and 2005 to 2007 for OMI. Figures 11a and 11b show absolute NO<sub>2</sub> columns averaged over the box area, while Figures 11c and 11d show the columns normalized to their Thursday values. We focus on ozone season satellite data to avoid the larger variability and larger plume spreading seen in winter [van Noije *et al.*, 2006].

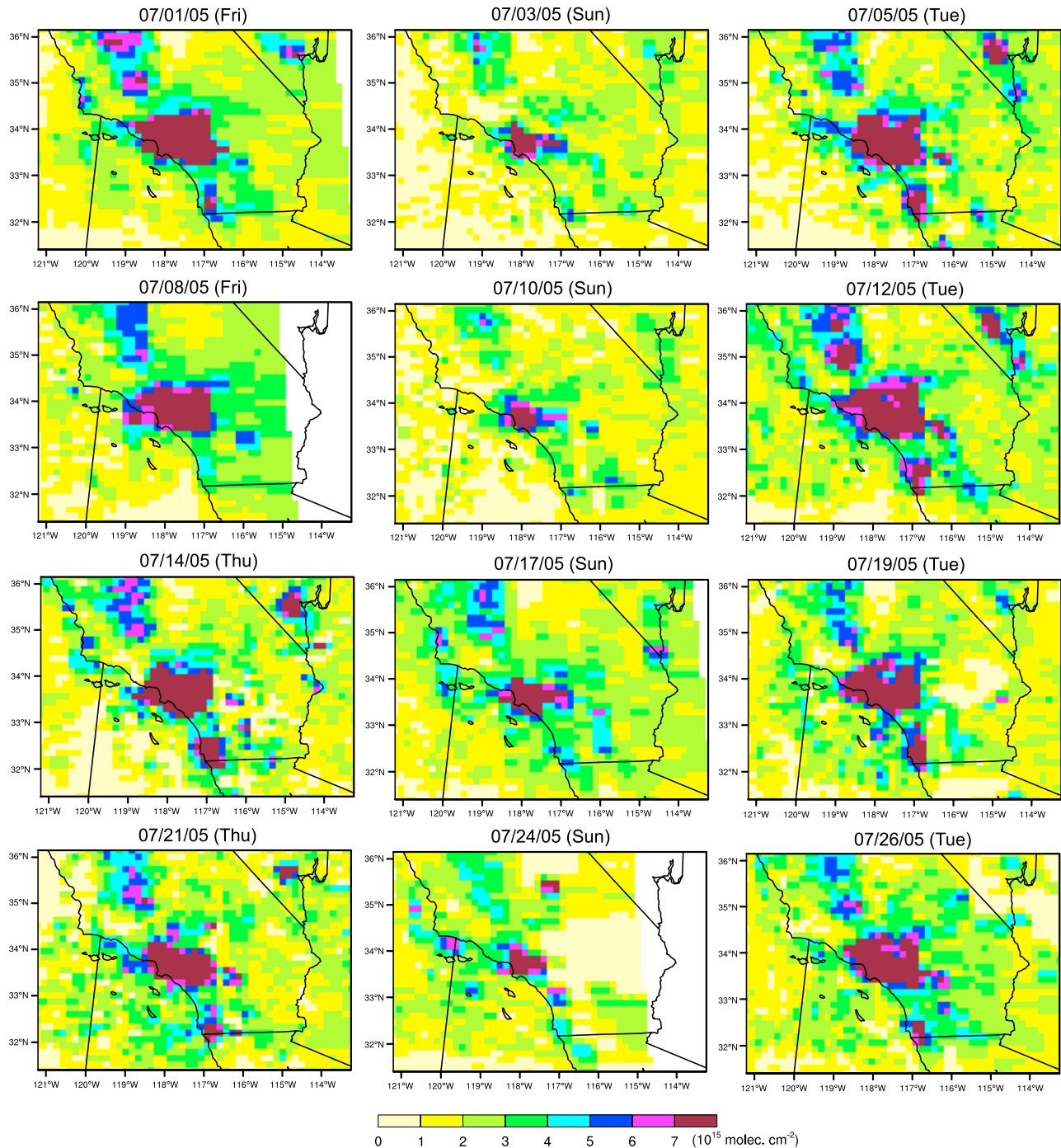
[50] Both satellite data sets (Figure 11) indicate NO<sub>2</sub> columns in Los Angeles, San Francisco (California), Sacramento (California), Las Vegas (Nevada), and Phoenix (Arizona) have clear weekly cycles, with weekend NO<sub>2</sub> columns reduced by 30–60% compared to their Thursday levels. Other western U.S. cities under investigation also demonstrate weekly cycles to some degree. The amplitudes of the weekly cycles in Fresno (California) and Bakersfield (California) are smaller than those in other large cities in California, suggesting that agricultural NO<sub>x</sub> emissions as well as different day-of-week traffic patterns may play a role in reducing weekly variations of NO<sub>x</sub> emissions in these cities. In contrast, NO<sub>2</sub> columns averaged over Nebraska do not show significant weekly variations (Figure 11) owing to the predominantly rural character of this state compared with California, Nevada, and Arizona.

[51] Comparing SCIAMACHY and OMI weekly cycles, the variability is smaller in OMI data for all locations. This is consistent with the larger relative contribution of mobile sources in the weekday morning rush hour than around noon when the OMI measurements are taken. The increased contribution of photochemistry to afternoon NO<sub>2</sub> levels might also lead to smaller day-of-week cycles in OMI columns.

[52] It is obvious that the incorporation of this weekly cycle into the inventory is necessary for the accurate simulation of NO<sub>2</sub>. In further analysis of the satellite observations over urban areas, weekend days are excluded because day-of-week variability in the emission inventory is not included in the present model simulations.

### 3.3.2. Comparison of Summer 2005 Average Columns

[53] Figure 12 shows summer 2005 average NO<sub>2</sub> columns from the satellites and the model over the urban area boxes. Compared with the analogous picture for power



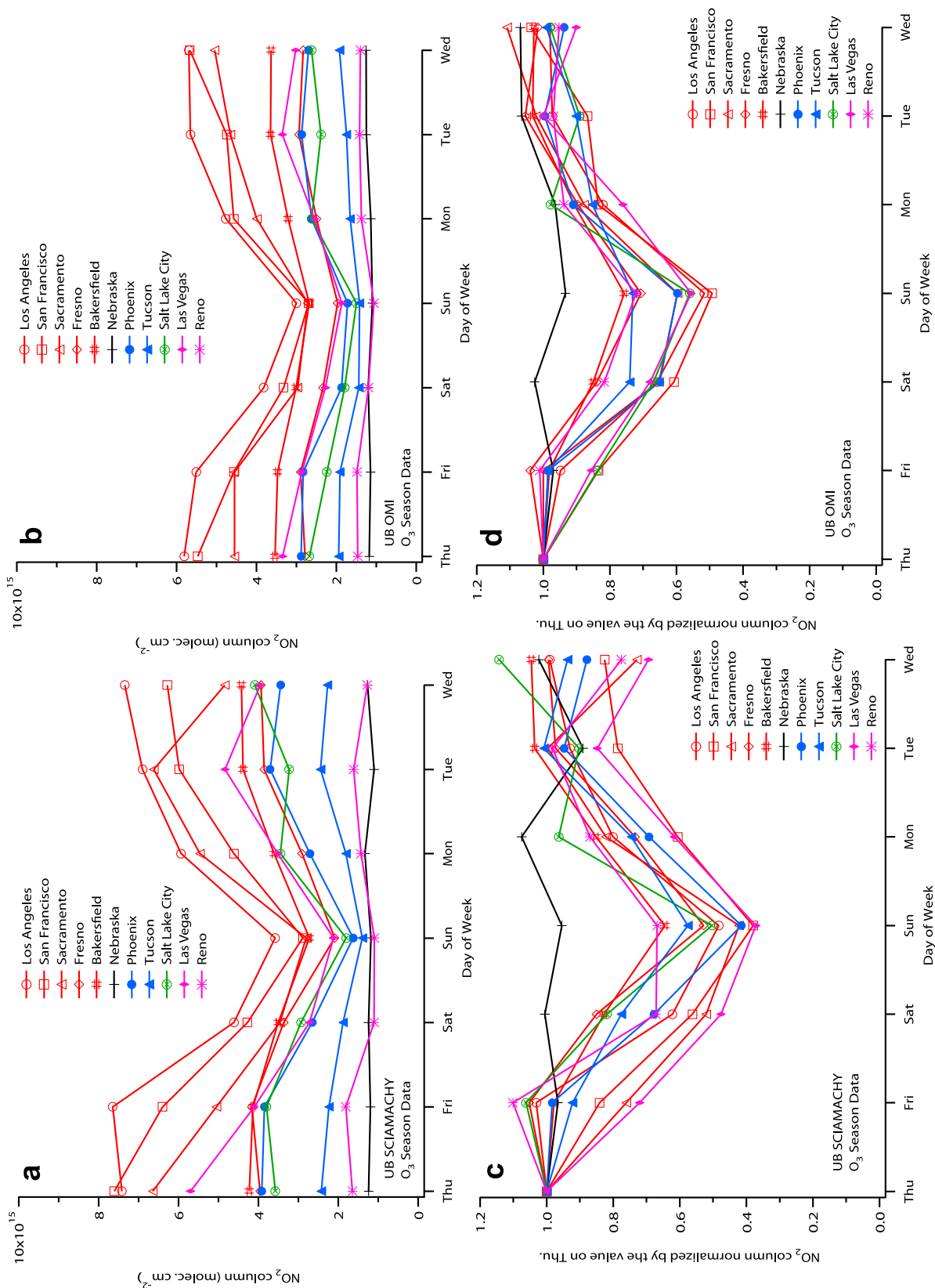
**Figure 10.** Snapshots of NO<sub>2</sub> columns over Los Angeles, California, observed by NASA OMI during July of 2005.

plants (Figure 4), there are discrepancies between the model and the satellite columns over some western U.S. cities that are larger than the variability in the satellite and model data (see below). The model NO<sub>2</sub> columns are as much as a factor of two larger than those from the satellites over the California cities of Los Angeles, San Francisco, Sacramento, Fresno, and Bakersfield. The model results are also consistently higher than the satellite observations in Salt Lake City (Utah) and Phoenix. In contrast, two of the three satellite products show higher NO<sub>2</sub> columns than the model

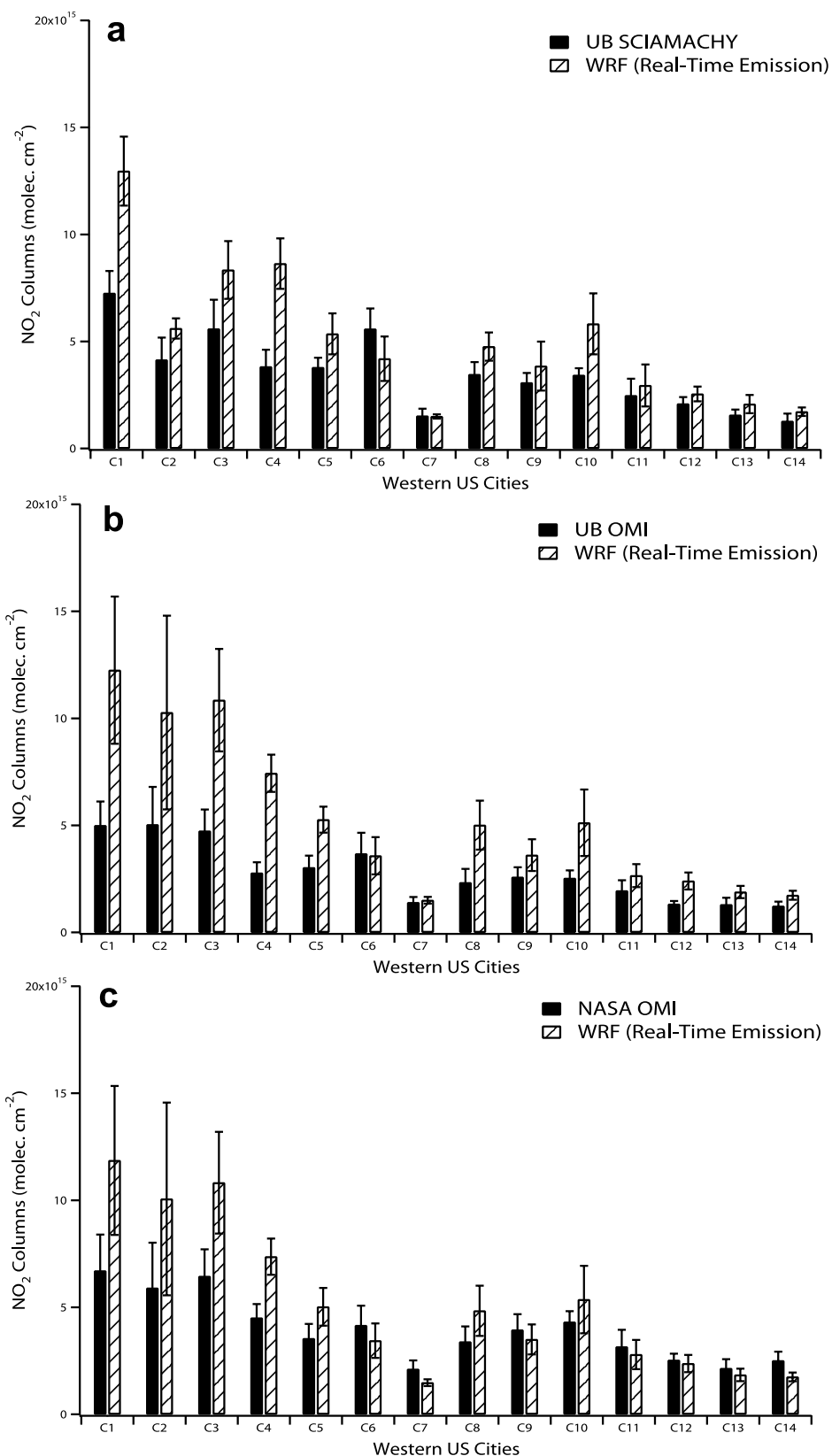
over Las Vegas. Discrepancies between model and the various satellite columns are smaller over Reno (Nevada), Denver (Colorado), Tucson (Arizona), El Paso (Texas), Albuquerque (New Mexico), and Boise (Idaho).

[54] Figure 13 shows the relationship between the model and the satellite columns for the urban sampling boxes. A linear fit of the model results to all urban UB SCIAMACHY data shows that the model is ~60% higher than the satellite on average with a correlation coefficient,  $r$ , of 0.87. Linear fits of the model to the urban OMI data indicate that the

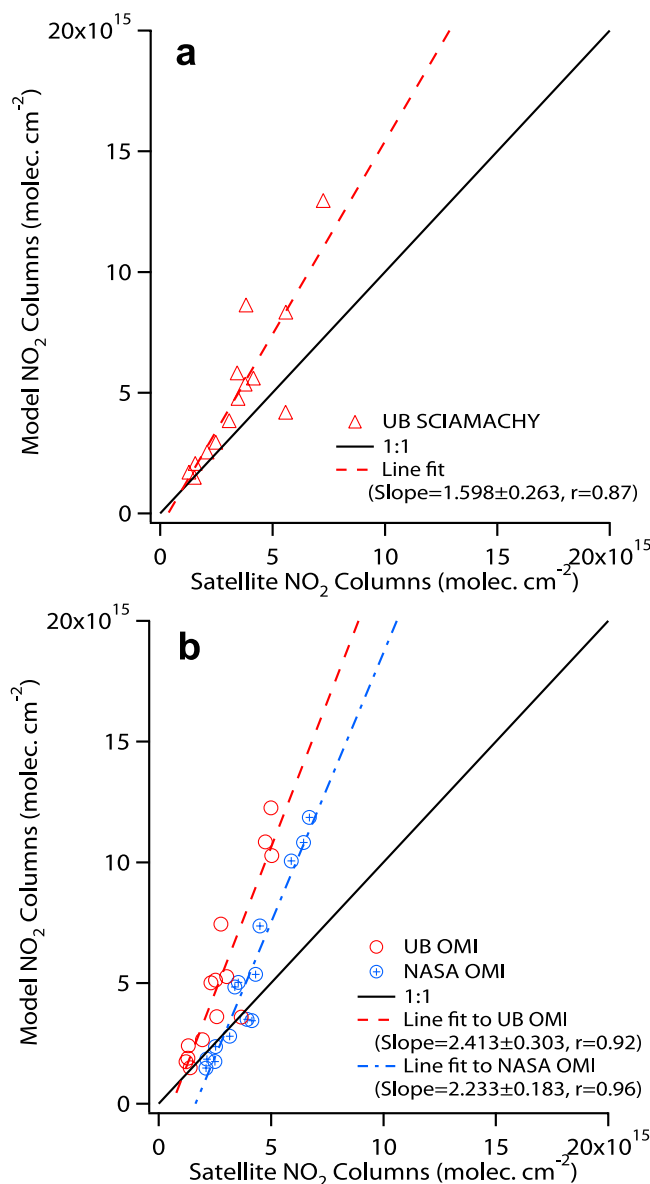




**Figure 11.** Weekly cycle of NO<sub>2</sub> columns in western U.S. cities from (a) UB SCIAMACHY, (b) UB OMI, (c) UB SCIAMACHY normalized by Thursday value, and (d) UB OMI normalized by Thursday value. Data for SCIAMACHY are 2003–2007 May–September averages, while those for OMI are 2005–2007 May–September averages. Satellite data sets UB-SCIA 3a and UB-OMI 3a (Table 1) are used.



**Figure 12.** Comparison of the summer 2005 average NO<sub>2</sub> columns for city boxes from WRF-Chem with those from (a) UB SCIAMACHY, (b) UB OMI, and (c) NASA OMI. The solid and dashed bars represent the satellite observations and model with real-time emissions, respectively. The satellite data sets UB-SCIA 3a, UB-OMI 3a, and NASA-OMI 3 (Table 1) and model simulation case M2 (Table 3) were used to construct these plots. Bars indicate 1 standard deviation in the summertime-mean box-average columns. Note that the vertical axis scale in these plots differs from that of Figure 4.



**Figure 13.** Similar to Figure 7, except for the summer 2005 city box-average NO<sub>2</sub> columns. The vertical and horizontal axis scales also differ from Figure 7. The data shown here are the same as those in Figure 12.

model is 120–140% higher than the satellite on average with  $r$  of 0.92–0.96.

### 3.3.3. Sensitivity Studies for the Los Angeles Box

[55] Figure 14 shows the sensitivity of the satellite and the model NO<sub>2</sub> columns to various input parameters for the Los Angeles sampling box. Similar to the sensitivity studies in the Four Corners–San Juan sampling boxes (Figures 8 and 9), 14-day running averages of UB SCIAMACHY and OMI columns retrieved with different NO<sub>2</sub> and aerosol profiles are compared to WRF-Chem columns calculated with different mechanisms and advection schemes for the Los Angeles box over the summer 2005. The number of satellite pixels used in each 14-day average are shown in Figure 14d.

#### 3.3.3.1. Sensitivity of Satellite Retrievals

[56] In contrast to the FCSJ sampling boxes, SCIAMACHY retrieved over Los Angeles using the WRF-Chem NO<sub>2</sub> vertical profile gives up to 10% higher columns than those retrieved using the MOZART model NO<sub>2</sub> profile (Figure 14a). There is little effect of changing the NO<sub>2</sub> profile on the UB OMI results (Figure 14b). Horizontal distributions of differences between the satellite NO<sub>2</sub> columns retrievals using the WRF-Chem and MOZART model profiles show that NO<sub>2</sub> columns from the retrieval using WRF-Chem model are much higher at the urban core of the Los Angeles basin and are lower in the surrounding mountainous area than those based on the MOZART model (not shown). Unlike the FCSJ box, the dominant effect leading to different model AMFs over Los Angeles is the resolution of terrain height [Schaub *et al.*, 2007]. The steep topography surrounding Los Angeles is resolved differently by MOZART and WRF-Chem, causing model-model differences in near-surface temperatures which lead to positive or negative deviations of satellite NO<sub>2</sub> columns using WRF-Chem profiles from those using MOZART profiles. When averaged over the Los Angeles sampling box results, these positive and negative deviations largely cancel each other, making the impact of WRF-Chem NO<sub>2</sub> profiles on the box-averaged columns rather small.

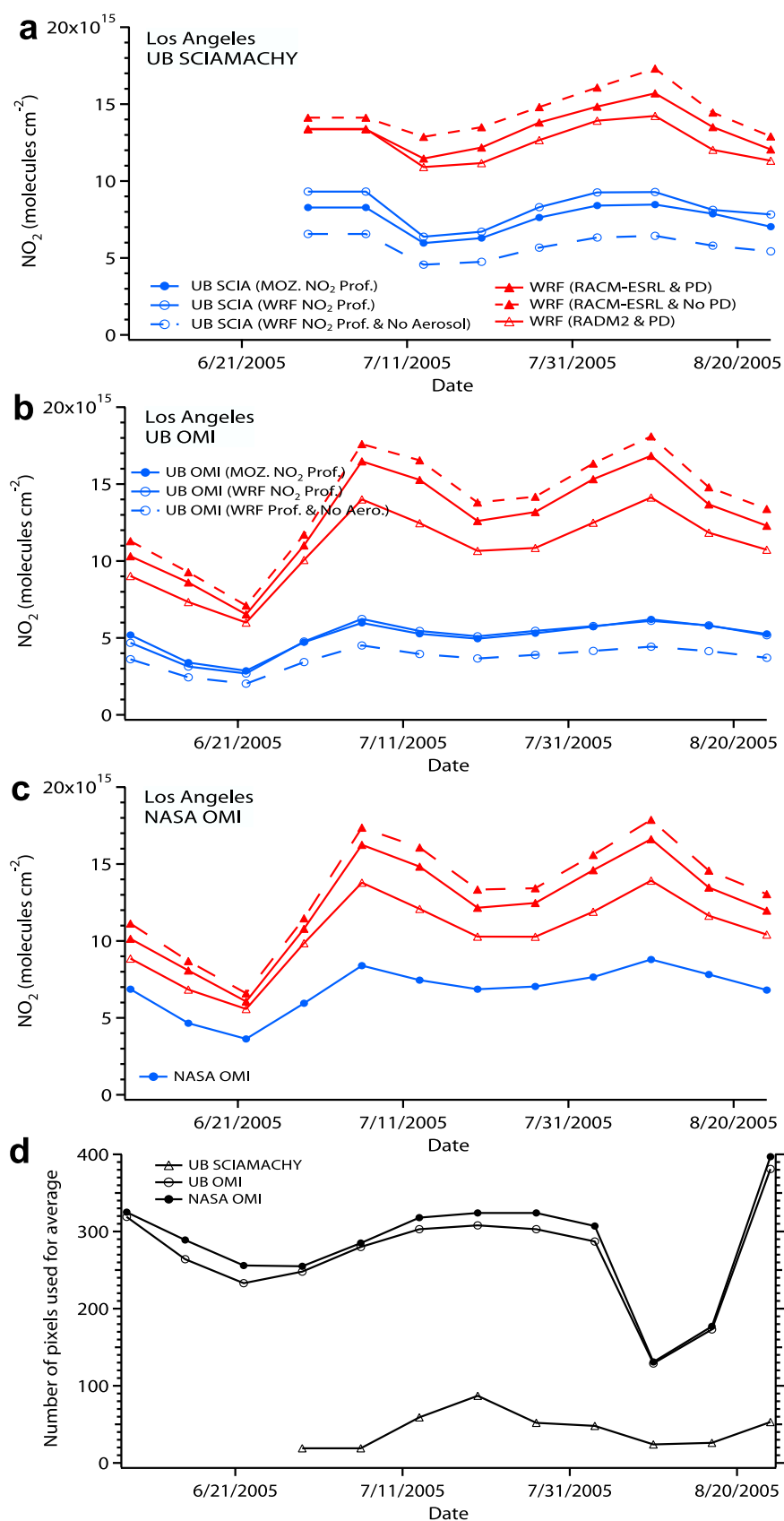
[57] As expected, changing the assumed aerosol distribution has a substantial effect on the UB SCIAMACHY and OMI retrievals in Los Angeles. The retrieval without aerosol reduces the column by ~30% (compare the blue dashed line with open circles to the blue solid line with open circles in Figures 14a and 14b). The assumed aerosol over urban areas is highly absorbing, since urban aerosols presumably should contain significant amounts of black carbon. The presence of highly absorbing aerosols leads to a lower AMF and a higher vertical column of NO<sub>2</sub>. The effect of aerosols on the retrievals over Los Angeles can be compared with that over FCSJ (Figures 8 and 9), where aerosols are assumed to be mostly scattering with little absorption, consequently having only a small impact on the AMF.

#### 3.3.3.2. Sensitivity in WRF-Chem Simulations

[58] The simulated NO<sub>2</sub> columns over Los Angeles are sensitive to both the advection schemes and the chemical mechanisms (red curves in Figure 14). As was the case over FCSJ, the positive-definite advection scheme reduces the simulated NO<sub>2</sub> columns by ~10% compared to those calculated without this scheme, for both satellite overpass times. NO<sub>2</sub> columns simulated by the RADM2 mechanism over Los Angeles are ~10% and ~20% lower than those by RACM-ESRL mechanism at the time of the SCIAMACHY and OMI overpass times, respectively. The larger effect in the afternoon is similar to the result over FCSJ. The magnitude of the chemical mechanism effect in both the morning and afternoon is larger over Los Angeles than FCSJ, suggesting differences in the chemical composition of the urban atmosphere and the rural power plant plume.

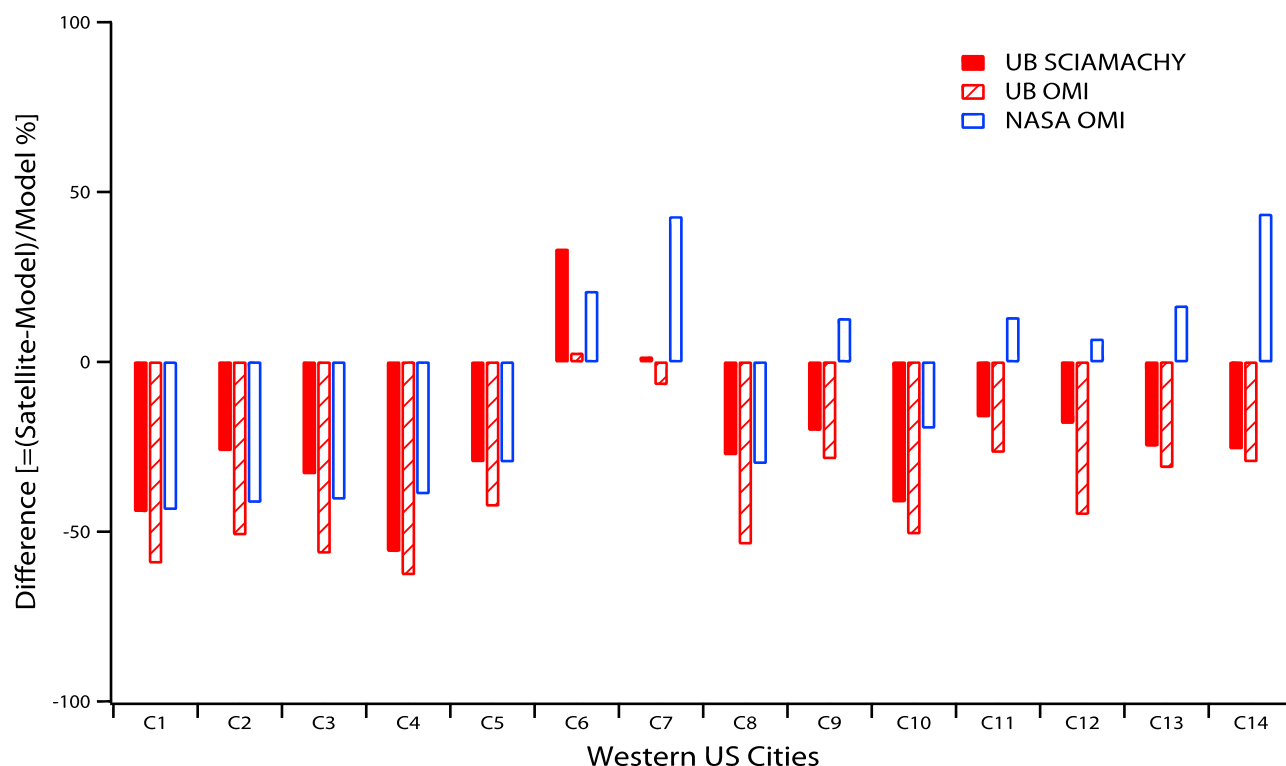
#### 3.3.3.3. Comparison of Satellite and Model

[59] The overall range of NO<sub>2</sub> columns seen for the various satellite and model sensitivity studies over Los Angeles (Figure 14) is wider than that seen in columns over FCSJ (Figures 8 and 9). However, the discrepancy between all three satellite retrievals and their corresponding model simulations over Los Angeles is much larger than



**Figure 14.** Similar to Figure 8 except for the Los Angeles sampling box. Vertical scale is different here than in Figure 8.





**Figure 15.** Differences between model and satellite NO<sub>2</sub> columns over western U.S. cities, defined as  $100 \times (\text{satellite NO}_2 \text{ column} - \text{model NO}_2 \text{ column}) / \text{model NO}_2 \text{ column}$ . Solid, hatched, and open bars represent UB SCIAMACHY, UB OMI, and NASA OMI, respectively. Data in Figure 12 are used.

that seen over FCSJ. In all cases, the Los Angeles model columns are larger than the satellite columns by approximately a factor of 2 or more. The possible systematic errors in the model and satellite retrieval input assumptions discussed above do not appear to be large enough to cause this model-satellite discrepancy. Instead, the most likely explanation of this large model bias is that the NO<sub>x</sub> emissions in Los Angeles and other western U.S. urban areas are substantially different from the NEI99 inventory used here. This possibility is explored in detail in section 3.4.

### 3.4. Satellite NO<sub>2</sub> Column Trends and Inventory Trends and Biases

[60] The relative differences between the summer 2005 model and satellite NO<sub>2</sub> columns (defined as  $100 \times (\text{satellite NO}_2 \text{ column} - \text{model NO}_2 \text{ column}) / \text{model NO}_2 \text{ column}$ ) in the western U.S. city sampling boxes are shown in Figure 15. One simple explanation for the large NO<sub>2</sub> column differences over the majority of these cities is that urban NO<sub>x</sub> emissions changed between 1999 (represented by the NEI99 model inventory) and 2005 (the year that the satellite data were collected). On the other hand, if the NEI99 inventory does not adequately represent 1999 NO<sub>x</sub> emissions in these cities, then a 1999–2005 trend in NO<sub>x</sub> emissions cannot be inferred from the model-satellite discrepancies over these cities. These possibilities are examined in more detail in sections 3.4.1–3.4.3.

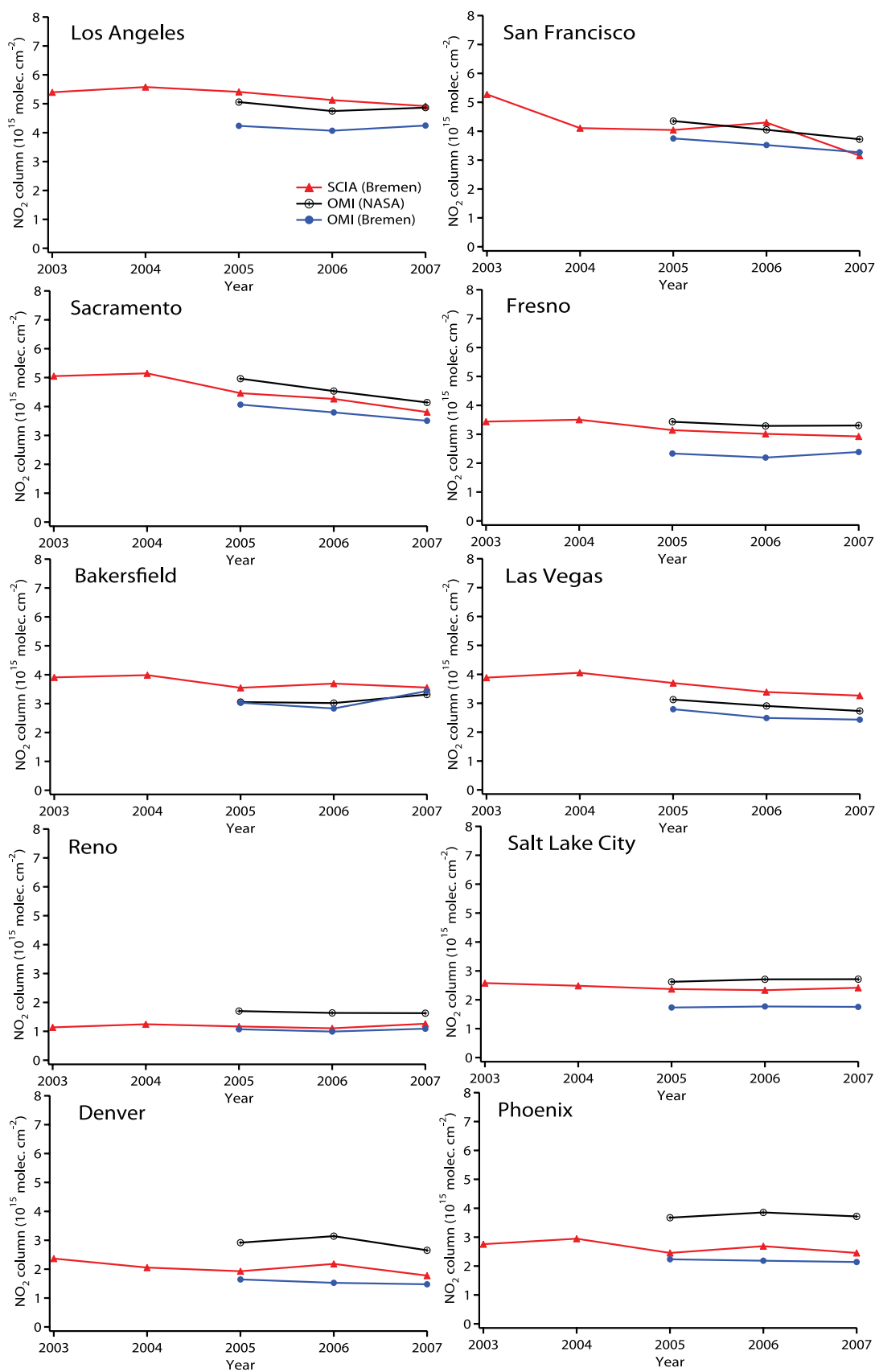
#### 3.4.1. Satellite NO<sub>2</sub> Column Trend Analysis

[61] We investigated year-to-year trends in satellite NO<sub>2</sub> columns to infer trends in NO<sub>x</sub> emissions over urban areas, the Four Corners–San Juan sampling box, and several western U.S. states. Here trends are defined as short-term

statistically significant linear changes, although emission changes may also be nonlinear. SCIAMACHY data are available from 2003 to 2007 and OMI data cover 2005–2007. The satellite data used in the year-to-year trend analyses explored in this section are May–September averages of the monthly mean gridded data for each of the above years. The May–September period was chosen to correspond to the summer ozone season used in the emission estimates discussed below. The 2005 ozone season average NO<sub>2</sub> columns from each of the three satellite retrievals used in the trend analyses below (from data sets UB-SCIA 1, UB-OMI 1, and NASA-OMI 1) quantitatively differ from those used in the 2005 satellite-model comparisons discussed earlier in section 3. Differences in these data sets include the period studied (i.e., May–September versus June–August), the spatial averaging (orbital swath versus regular grid), and cloud screening (a less stringent cloud fraction threshold is used in the monthly mean data). However, the most important criterion for each satellite data set is that it is averaged the same way in each year, since the year-to-year trend is being examined here.

[62] Prior to 2003, there were satellite observations of NO<sub>2</sub> columns by the GOME instrument which have been used to study large-scale emission changes in the eastern U.S. [Kim *et al.*, 2006]. However, the resolution of GOME (340 km across-track direction  $\times$  60 km along-track direction) is too coarse to isolate individual western U.S. sources using the sampling boxes defined in this study. GOME data were therefore not considered in this study.

[63] Figure 16 shows the recent changes in ozone season (May–September) average NO<sub>2</sub> columns from the monthly



**Figure 16.** Trends in ozone season (May–September) average NO<sub>2</sub> columns in the western U.S. city boxes. Solid lines with solid triangles, solid circles, and open circles with crosses denote UB SCIAMACHY, UB OMI, and NASA OMI, respectively. UB-SCIA 1, UB-OMI 1, and NASA-OMI 1 are used. See Table 1 for the description of these satellite data.

**Table 6.** Satellite Trend Analysis and Linear Fit Coefficients

Averaging Box Satellite Data Set	NO <sub>2</sub> Change (% a <sup>-1</sup> ) <sup>a</sup>	Slope, $s \pm$ Standard Deviation, $\sigma$ (molecule cm <sup>-2</sup> a <sup>-1</sup> ) ( $s/\sigma$ )	Correlation Coefficient
C1: Los Angeles (Calif.)			
SCIA (Bremen)	-2.53	-0.141 $\pm$ 0.050 [2.81]	-0.851
OMI (Bremen)	0.14	0.006 $\pm$ 0.100 [0.06]	0.060
OMI (NASA)	-1.93	-0.097 $\pm$ 0.125 [0.77]	-0.611
C2: San Francisco (Calif.)			
SCIA (Bremen)	-8.13	-0.405 $\pm$ 0.147 [2.76]	-0.846
OMI (Bremen)	-6.38	-0.240 $\pm$ 0.005 [43.70]	-0.999
OMI (NASA)	-7.23	-0.315 $\pm$ 0.007 [45.45]	-0.999
C3: Sacramento (Calif.)			
SCIA (Bremen)	-6.46	-0.337 $\pm$ 0.061 [5.49]	-0.954
OMI (Bremen)	-6.86	-0.279 $\pm$ 0.005 [53.65]	-0.999
OMI (NASA)	-8.31	-0.412 $\pm$ 0.010 [39.62]	-0.999
C4: Fresno (Calif.)			
SCIA (Bremen)	-4.32	-0.151 $\pm$ 0.033 [4.63]	-0.937
OMI (Bremen)	1.16	0.027 $\pm$ 0.096 [0.28]	0.267
OMI (NASA)	-1.87	-0.064 $\pm$ 0.046 [1.38]	-0.810
C5: Bakersfield (Calif.)			
SCIA (Bremen)	-2.54	-0.099 $\pm$ 0.046 [2.18]	-0.783
OMI (Bremen)	7.01	0.203 $\pm$ 0.230 [0.88]	0.661
OMI (NASA)	4.28	0.129 $\pm$ 0.095 [1.35]	0.804
C6: Las Vegas (Nev.)			
SCIA (Bremen)	-4.73	-0.191 $\pm$ 0.050 [3.85]	-0.912
OMI (Bremen)	-6.57	-0.181 $\pm$ 0.071 [2.53]	-0.930
OMI (NASA)	-6.35	-0.198 $\pm$ 0.014 [13.75]	-0.997
C7: Reno (Nev.)			
SCIA (Bremen)	0.89	0.010 $\pm$ 0.024 [0.43]	0.241
OMI (Bremen)	0.91	0.010 $\pm$ 0.052 [0.18]	0.181
OMI (NASA)	-2.10	-0.036 $\pm$ 0.016 [2.23]	-0.913
C8: Salt Lake City (Utah)			
SCIA (Bremen)	-1.88	-0.048 $\pm$ 0.022 [2.16]	-0.780
OMI (Bremen)	0.66	0.012 $\pm$ 0.015 [0.78]	0.616
OMI (NASA)	1.73	0.046 $\pm$ 0.023 [2.00]	0.894
C9: Denver (Colo.)			
SCIA (Bremen)	-4.63	-0.105 $\pm$ 0.057 [1.86]	-0.732
OMI (Bremen)	-5.15	-0.084 $\pm$ 0.019 [4.40]	-0.975
OMI (NASA)	-4.36	-0.133 $\pm$ 0.206 [0.64]	-0.540
C10: Phoenix (Ariz.)			
SCIA (Bremen)	-3.04	-0.086 $\pm$ 0.059 [1.47]	-0.647
OMI (Bremen)	-2.11	-0.047 $\pm$ 0.002 [27.17]	-0.999
OMI (NASA)	0.59	0.022 $\pm$ 0.092 [0.24]	0.232
State: California			
SCIA (Bremen)	-1.66	-0.048 $\pm$ 0.018 [2.61]	-0.657
OMI (Bremen)	-0.47	-0.011 $\pm$ 0.044 [0.25]	-0.243
OMI (NASA)	-2.50	-0.075 $\pm$ 0.039 [1.91]	-0.886

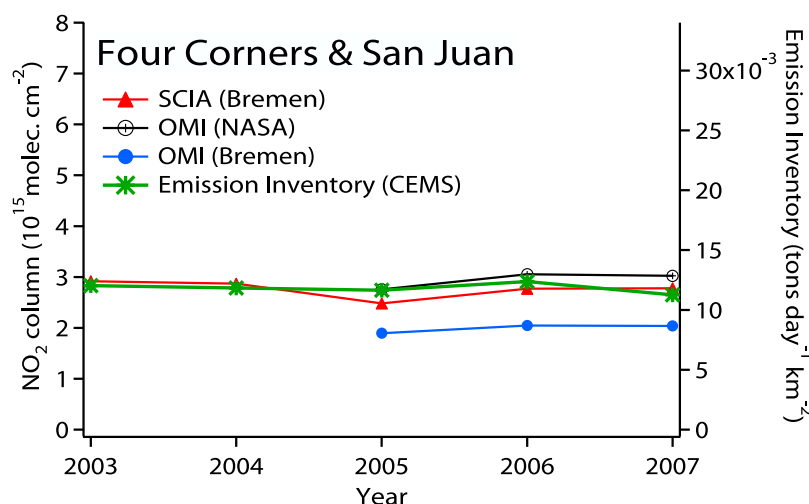
<sup>a</sup>Reference year for SCIAMACHY (OMI) = 2003 (2005).

mean satellite data over each western U.S. city. Table 6 gives detailed information on these trend analyses, including the average annual percentage change in NO<sub>2</sub> column, the slope ( $s$ ) and standard deviation ( $\sigma$ ) of the linear fit, the absolute magnitude of  $s/\sigma$  in brackets, and the correlation coefficient ( $r$ ) of the linear fit. It has been suggested [van der A *et al.*, 2008] that  $s/\sigma > 2$  is a necessary criterion to obtain significant trend coefficients.

[64] Using this significance criterion, only the SCIAMACHY NO<sub>2</sub> column data show a significant trend over Los Angeles, with a decline of 2.53% per year. The two OMI data sets do not show a clear declining trend between 2005 and 2007. van der A *et al.* [2008] also did not find clear trends over Los Angeles in their analysis of GOME and SCIAMACHY combined time series; the sign of their derived trend was negative but not statistically significant. As with Los Angeles, the satellite trends in Fresno and Bakersfield are significantly negative only with the SCIAMACHY data. The two OMI data sets exhibit increasing trends in Bakers-

field and do not agree with each other in terms of the sign of the trend in Fresno. The three NO<sub>2</sub> satellite data sets all agree that there are significant decreasing trends in San Francisco (6.38–8.13% a<sup>-1</sup>), Sacramento (6.46–8.31% a<sup>-1</sup>), and Las Vegas (4.73–6.35% a<sup>-1</sup>). Reno, like Las Vegas, is located in Nevada, but does not demonstrate significant trends. In Salt Lake City, significant trends in SCIAMACHY (-1.88% a<sup>-1</sup>) and in NASA OMI (1.73% a<sup>-1</sup>) have opposite signs. Declines in NO<sub>2</sub> columns over Denver from all three satellites are found (4.63–5.15% a<sup>-1</sup>), although the trend in NASA OMI is not significant. There are no clear trends in Phoenix or in the smaller cities, whose trend data are not shown in Figure 16 and Table 6.

[65] For comparison, Figure 17 shows the May–September average NO<sub>2</sub> columns from the three satellites over the larger FCSJ box (P1b) and the total May–September CEMS measured NO<sub>x</sub> emissions for the Four Corners and San Juan Power Plants for each year 2003–2007. The FCSJ CEMS NO<sub>x</sub> emissions and the



**Figure 17.** Trends in ozone season (May–September) average NO<sub>2</sub> columns over the larger Four Corners and San Juan power plant box (P1b) from the UB SCIAMACHY (solid triangles), UB OMI (solid circles), and NASA OMI (circles with crosses) satellite data. Asterisks denote the total ozone season CEMS emissions (right-hand axis) for these two plants. UB-SCIA 1, UB-OMI 1, and NASA-OMI 1 are used. See Table 1 for the description of these satellite data.

NO<sub>2</sub> columns from the satellite data sets are all nearly constant over this period, supporting the use of satellite NO<sub>2</sub> columns in deriving NO<sub>x</sub> emission trends.

[66] Several limitations of this trend analysis should be kept in mind. First, the emission changes of interest are those between 1999 and 2005, while satellite trends could be derived only for the period between 2003 and 2007 because SCIAMACHY and OMI data are not available earlier. Second, the trends derived are based on only a few years of data, and could be affected by year-to-year variations in meteorology and corresponding chemistry and aerosol changes in addition to emission changes. Because the trends in OMI NO<sub>2</sub> columns are based on only 3 years of data, they should be interpreted with caution. Finally, there are significant systematic differences between the satellite data sets during the period of interest. For example, in Figures 16 and 17, the difference between NASA OMI and UB OMI NO<sub>2</sub> columns is  $\sim 1 \times 10^{15}$  molecules cm<sup>-2</sup>. This bias is often larger than the changes in NO<sub>2</sub> columns within a given satellite data set for the period of interest. As discussed above, these retrieval differences arise from the different assumptions in the stratosphere-troposphere separation and the determination of AMF. Any individual set of data treated uniformly across the whole time period should give accurate trends, but small year-to-year changes in the satellite data still need to be interpreted with caution.

[67] In Figure 15, a decrease in NO<sub>x</sub> emissions from several California cities (C1–C5), Salt Lake City (C8), Phoenix (C10), and an increase in NO<sub>x</sub> emissions from Las Vegas (C6) could be inferred. The satellite NO<sub>2</sub> column trend analysis of Figure 16 and Table 6 is consistent with 2005 NO<sub>x</sub> emissions in San Francisco and Sacramento being 40–50% lower than their 1999 levels. However, declining NO<sub>x</sub> emission trends in Los Angeles, Fresno, Bakersfield, Salt Lake City, and Phoenix are not confirmed by the NO<sub>2</sub> column trend analysis. Furthermore, the column trend analysis does not show an increase in NO<sub>x</sub> emissions from Las Vegas, as implied by Figure 15. According to this

analysis, discrepancies between the summer 2005 model simulations and satellite retrievals of NO<sub>2</sub> columns in Los Angeles, Fresno, Bakersfield, Salt Lake City, Phoenix, and Las Vegas are attributed to factors other than NO<sub>x</sub> emission changes between 1999 and 2005.

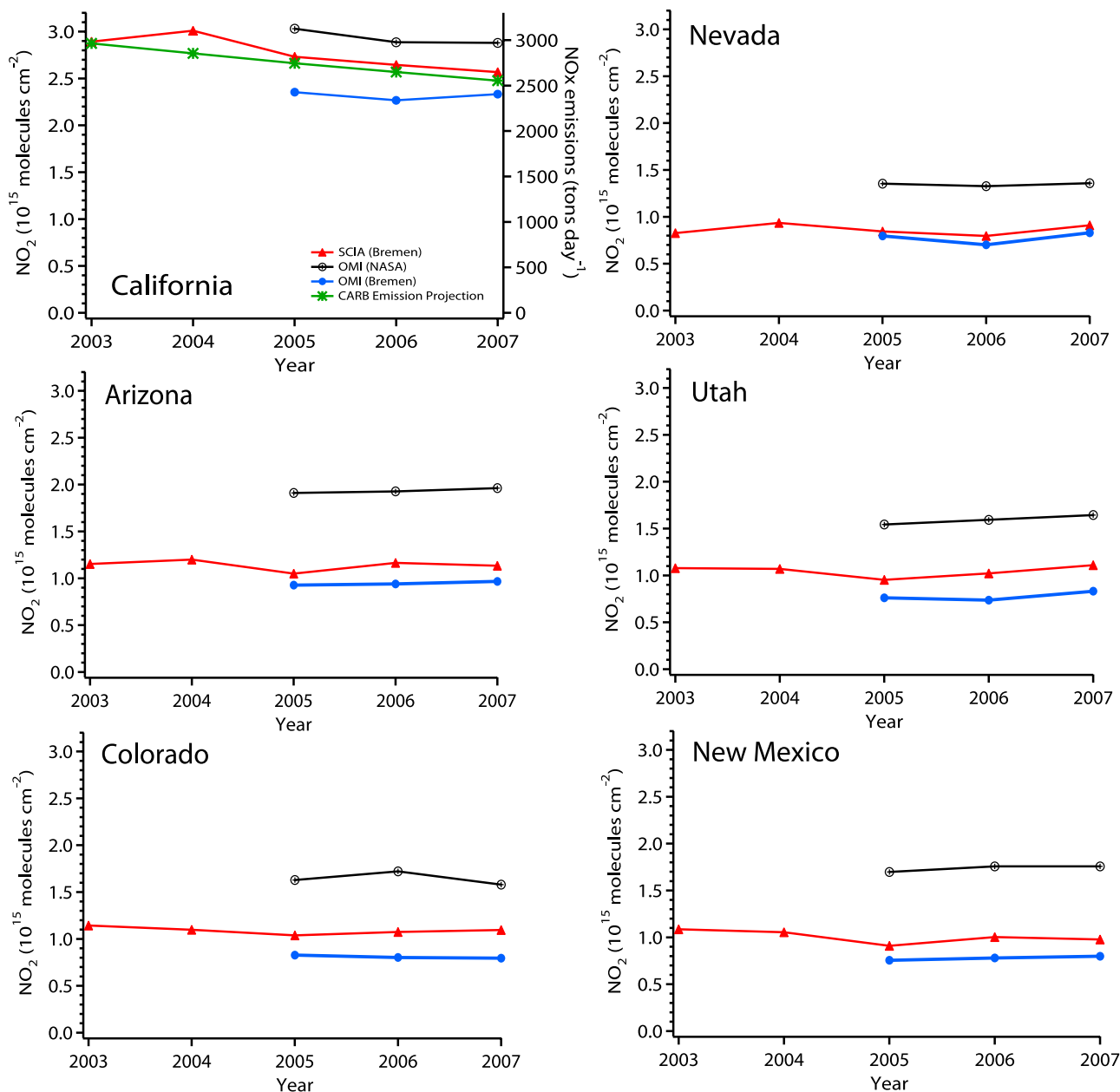
[68] To understand if the lack of significant trends in NO<sub>2</sub> columns over many of the urban sampling boxes is related to the small size of these boxes, we also analyzed satellite NO<sub>2</sub> column trends over the much larger regions of six western U.S. states (Figure 18). The large discrepancy between OMI NASA and UB retrievals in Figure 18 is linked to the overall offset between the two products already visible in Figure 3. California is the only one of these states for which the satellites show a declining NO<sub>2</sub> column trend, although the trends in the two OMI satellite retrievals are not statistically significant (see the end of Table 6). If the trend from the SCIAMACHY data is considered ( $-1.66\% \text{ a}^{-1}$ ), a 10% reduction in NO<sub>x</sub> emissions from all of California between 1999 and 2005 may be inferred.

#### 3.4.2. Comparison of Bottom-Up NO<sub>x</sub> Inventory and Satellite NO<sub>2</sub> Column Trends

[69] We also examined trends in two state-level bottom-up inventory data sets, one for just the state of California and another for several western U.S. states. The trend in annual total California NO<sub>x</sub> emissions was derived from 2000, 2005, and 2010 data provided by the California Air Resources Board (CARB) [*Air Resources Board and Office of Environmental Health and Hazard Assessment*, 2006] and plotted in Figure 18. The trend in CARB emissions is  $-3.47\% \text{ a}^{-1}$ , which is approximately twice as large as the trend in the SCIAMACHY NO<sub>2</sub> column data ( $-1.66\% \text{ a}^{-1}$ ).

[70] The second state-level bottom-up inventory trend analysis used on-road mobile emissions calculated from Federal Highway Administration statewide on-road gasoline and diesel fuel use (<http://www.fhwa.dot.gov/policy/ohpi/hss/hsspubs.htm>) and measured fuel-specific emission factors [Ban-Weiss et al., 2008]. For this analysis, we assume that the trend in total NO<sub>x</sub> emissions from each state is driven





**Figure 18.** Trends in ozone season NO<sub>2</sub> columns in the western U.S. states. Solid lines with solid triangles, solid circles, and open circles with crosses denote UB SCIAMACHY, UB OMI, and NASA OMI, respectively. Asterisks in the California box give the CARB emission inventory projections (right-hand axis). UB-SCIA 1, UB-OMI 1, and NASA-OMI 1 are used. See Table 1 for the description of these satellite data.

by trends in on-road mobile emissions, which contribute the largest fraction to most states' total bottom-up NO<sub>x</sub> emissions. Table 7 shows that both gasoline and diesel fuel use increased between 1999 and 2005 in the six western U.S. states considered in Figure 18. Multiplying fuel-specific emission factors for 1999 and 2005 observed in roadside monitoring [Ban-Weiss *et al.*, 2008] by each state's fuel use, we estimated the trend in each state's on-road mobile NO<sub>x</sub> emissions between 1999 and 2005 (Table 8).

[71] This fuel-based analysis indicates that 5 of the 6 states examined had declining on-road mobile NO<sub>x</sub> emissions during this period. This decline has been attributed primarily

to reductions in emission factors for light-duty vehicles [Ban-Weiss *et al.*, 2008; Bishop and Stedman, 2008]. According to this analysis, the lack of major on-road NO<sub>x</sub> emission changes in Nevada is caused by enhanced activity of heavy-duty vehicles, which have seen much smaller declines in emission factors than gasoline vehicles. The largest 1999–2005 relative and absolute reductions in on-road mobile NO<sub>x</sub> emissions occurred in California, 22.1% or about 400 tonnes d<sup>-1</sup>. The absolute 1999–2005 on-road NO<sub>x</sub> emissions change in California was eight times greater than that in Colorado, which had the second-largest absolute decrease (50 tonnes d<sup>-1</sup>) shown in Table 8. This large

**Table 7.** State Fuel Use in 1999 and 2005

State	1999 (kL)		2005 (kL)		1995–2005 Change (%)	
	Gasoline	Diesel	Gasoline	Diesel	Gasoline	Diesel
Arizona	8,891,428	2,514,682	10,705,001	3,257,487	20.4	29.5
California	54,730,952	9,349,197	60,390,733	11,600,031	10.3	24.1
Colorado	7,694,311	1,702,178	8,219,314	2,097,186	6.8	23.2
Nevada	3,501,091	995,902	4,316,127	1,472,272	23.3	47.8
New Mexico	3,601,303	1,517,129	3,649,909	1,823,683	1.3	20.2
Utah	3,790,481	1,142,019	3,961,460	1,490,657	4.5	30.5

change might explain why, out of the 6 states examined here, SCIAMACHY sees NO<sub>2</sub> column reductions only in California. It is encouraging that on-road NO<sub>x</sub> emissions in California might have been reduced, even though both fuel use and the California population increased (7.92% between 2000 and 2007 (U.S. Census Bureau, Population Finder in American Factfinder, [http://factfinder.census.gov/servlet/SAFFPopulation?\\_submenuId=population\\_0&\\_sse=on, 2007](http://factfinder.census.gov/servlet/SAFFPopulation?_submenuId=population_0&_sse=on, 2007))) during this time period. It is possible that the changes in on-road emissions in other states are smaller than the sensitivity of the satellite retrievals.

[72] A direct comparison of satellite-derived trends with those from bottom-up emission inventories is challenging, because both the magnitude of and trends in NO<sub>x</sub> emissions from non-power-plant point sources, off-road mobile sources, and other area-wide sources are more uncertain than those of on-road mobile sources (power plant emissions from the CEMS data set are well known and included here, although this sector is small in California). We assume that the fraction of on-road mobile sources to California state NO<sub>x</sub> emissions is ~50%, as is suggested by both the CARB and NEI inventories. Then the decline in total NO<sub>x</sub> emissions from California could be as small as 1.83% a<sup>-1</sup> if year-to-year changes in emissions from other sources are negligible (as is indicated by the CARB inventories) and as large as 3.67% a<sup>-1</sup> assuming that the declines in other sources are the same as those in on-road mobile emissions (using the fuel-based estimate). The smaller decline is very similar to the trend in the California SCIAMACHY NO<sub>2</sub> column data (Table 6). The larger number agrees well with the CARB emissions projections [*Air Resources Board and Office of Environmental Health and Hazard Assessment, 2006*]. Using these two annual trend estimates to set the range, we estimate that the reductions in total NO<sub>x</sub> emissions in California between 1999 and 2005 are between 10% and 20%.

### 3.4.3. Biases in NEI99 NO<sub>x</sub> Emissions

[73] In addition to emission changes between 1999 and 2005, a bias in the NEI99 NO<sub>x</sub> emissions could cause discrepancies between the 2005 satellite and model NO<sub>2</sub> columns. We investigate this possibility by looking for consistency between NEI99, CARB, and fuel-based inventory representations of 1999 California NO<sub>x</sub> emissions.

[74] We first compare on-road mobile NO<sub>x</sub> emissions from 1999 and 2005 fuel-based estimates with the NEI99 inventory for each of the 6 western states of interest (Table 9). NEI99 on-road NO<sub>x</sub> emissions are 18% higher than 1999 fuel-based estimates in California, while the NEI99 emissions in Nevada are 13% lower than the 1999 fuel-based estimates. Differences between the NEI99 and 1999 fuel-based estimates for the other 4 states lie within this range. For comparison, NEI99 on-road NO<sub>x</sub> emissions are 51% higher and 12% lower than 2005 fuel-based estimates in California and Nevada, respectively.

[75] We next compared the 1999 California Air Resources Board inventory (CARB99) [*Air Resources Board and Office of Environmental Health and Hazard Assessment, 2006*] to NEI99 California NO<sub>x</sub> emissions (Table 10). On-road mobile NO<sub>x</sub> emissions in CARB99 are 1807 tonnes/d, close to our fuel-based estimate (1822 tonnes/d) and 16% lower than the NEI99 value (2141 tonnes/d). A more serious discrepancy exists in the NEI99 and CARB99 off-road mobile NO<sub>x</sub> emissions, with those from NEI99 92% larger than those in CARB99. Stationary (point) and area-wide source NO<sub>x</sub> emissions in the two data sets agree well. Overall, total NEI99 NO<sub>x</sub> emissions are 35% higher than those in CARB99.

[76] Combining our California assessments of the NEI99 overestimate of 1999 NO<sub>x</sub> emissions and NO<sub>2</sub> column decreases between 1999 and 2005, we estimate that California NEI99 emissions must be reduced by ~50% to properly represent California's 2005 emissions. In other words, both

**Table 8.** Fuel-Based On-Road Mobile NO<sub>x</sub> Emissions in 1999 and 2005<sup>a</sup>

State	1999 (t/d)			2005 (t/d)			1999–2005 Change (%)		
	Gasoline	Diesel	Total	Gasoline	Diesel	Total	Gasoline	Diesel	Total
Arizona	138	261	399	80	273	353	–42.0	4.6	–11.5
California	851	971	1822	449	972	1421	–47.2	0.1	–22.0
Colorado	120	177	297	61	176	237	–49.2	–0.6	–20.2
Nevada	54	103	157	32	123	155	–40.7	19.4	–1.3
New Mexico	56	158	214	27	153	180	–51.8	–3.2	–15.9
Utah	59	119	178	29	125	154	–50.8	5.0	–13.5

<sup>a</sup>Derived using gasoline and diesel emission factors per unit mass of fuel burned reported by *Ban-Weiss et al. [2008]* and linearly interpolated to the years 1999 and 2005. Emissions are given as metric tons per day.

**Table 9.** On-Road Mobile NO<sub>x</sub> Emissions From Fuel-Based Estimate and From NEI99<sup>a</sup>

State	1999 (t/d)			2005 (t/d)		
	FB	NEI99	Dif (%)	FB	NEI99	Dif (%)
Arizona	399	415	4	353	415	17
California	1822	2141	18	1421	2141	51
Colorado	297	308	4	237	308	30
Nevada	157	137	−13	155	137	−12
New Mexico	214	194	−9	180	194	8
Utah	178	166	−7	154	166	8

<sup>a</sup>Emissions are given as metric tons per day. Dif (%) = (NEI99 − FB)/FB × 100.

uncertainties in the NEI99 and 1999–2005 emission changes appear to contribute to the model-satellite discrepancies in 2005 NO<sub>2</sub> columns over California urban areas.

#### 4. Conclusions

[77] Model-simulated NO<sub>2</sub> columns over western U.S. power plants are used to validate satellite NO<sub>2</sub> column retrievals, the first time such a method has been attempted. Direct CEMS observations of NO<sub>x</sub> emissions at most U.S. power plants reduce the uncertainties in simulated NO<sub>2</sub> columns and provide a quantitative basis for evaluating satellite observations. The model-calculated and satellite-observed NO<sub>2</sub> columns show good agreement over regions dominated by power plant NO<sub>x</sub> emissions. Minimizing emission errors in the model calculations over power plants allows us to explore the sensitivity of the modeled and satellite-retrieved columns to other assumptions.

[78] For the Four Corners and San Juan power plants, model simulations of NO<sub>2</sub> columns driven by CEMS observations of actual hourly NO<sub>x</sub> emissions show better agreement with satellite columns than model calculations using the more typical input of annual or monthly mean emissions adjusted with EPA default diurnal factors. In other words, hourly and daily fluctuations in power plant NO<sub>x</sub> emissions can differ significantly from monthly averages, and we infer that satellite observations can detect such variability in the emissions of an isolated point source.

[79] The good model-satellite agreement for western U.S. power plants supports the use of satellite data in the improvement of urban emission inventories, which inherently have more uncertainty than those of power plants. In contrast to areas dominated by power plant emissions, the model and satellite NO<sub>2</sub> columns exhibit significant discrepancies over western U.S. urban areas. The model NO<sub>2</sub> columns over several California cities are twice as large as the satellite-retrieved columns, a difference beyond the range of uncertainties derived from numerous sensitivity tests of both the satellite retrievals and model simulations. The discrepancy between the model and the satellite over urban areas can be attributed to uncertainties in both the NEI99 NO<sub>x</sub> emissions used to drive the model and NO<sub>x</sub> emission changes between 1999 and 2005, especially in California. This is a novel example of a successful application of satellite data to evaluate and improve urban emission inventories. Satellite NO<sub>2</sub> columns data are

uniquely suited to monitoring integrated changes in urban-scale NO<sub>x</sub> emissions.

[80] Over regions dominated by power plant NO<sub>x</sub> emissions, all three satellite data sets show agreement with the model results and with each other, with differences of no more than  $1.5 \times 10^{15}$  molecules cm<sup>−2</sup> for data averages ranging from 14 days to 3 months. This level of agreement has not always been achieved in previous satellite retrieval intercomparisons [van Noije *et al.*, 2006]. In areas dominated by power plant emissions, 2005 SCIAMACHY and OMI NO<sub>2</sub> column retrievals by the University of Bremen team tend to be somewhat lower than the model results, while the OMI retrievals by the NASA team are the same as or slightly higher than the model simulations. It appears that the differences between the UB and NASA retrievals are due to different methods for separating stratospheric and tropospheric columns and alternative treatments of NO<sub>2</sub> vertical profile shape, aerosols, and partially cloudy scenes in the AMF calculations.

[81] The WRF-Chem model is still evolving. For example, the current version does not include day-of-week variations in NO<sub>x</sub> emissions from sources other than power plants, NO<sub>x</sub> emissions from lightning, or a satisfactory treatment of aerosol sources (including dust emissions and primary anthropogenic particulates) and chemical processing (including secondary organic aerosol formation). All of these factors could affect WRF-Chem calculations of both the shape of the NO<sub>2</sub> vertical profile, which could in turn affect the satellite retrievals, and the NO<sub>2</sub> vertical column.

[82] Our study assumes that the changes in NO<sub>2</sub> columns are directly proportional to changes in NO<sub>x</sub> emissions, which may not always be true. For this reason we presented only summertime data here, since transport effects on the total NO<sub>2</sub> column are smaller than in winter. As a recent study has shown [Napelenok *et al.*, 2008], an inverse method using a regional chemical-transport model could explicitly account for chemical processing in calculating the relationship between NO<sub>2</sub> columns and NO<sub>x</sub> emissions. Such techniques should provide a complementary approach to the one we demonstrate here.

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**Table 10.** Comparison of CARB99 and NEI99 Inventories for California<sup>a</sup>

Source Type	CARB99 (t/d)	NEI99 (t/d)	Our Study <sup>b</sup> (t/d)
On-road mobile	1807	2141	1822
Other mobile	931	1791	
Stationary and area-wide sources	644	622	
All sources	3382	4554	

<sup>a</sup>CARB99 is generated by interpolating data in Table 4.2 in Air Resources Board and Office of Environmental Health and Hazard Assessment, State of California, Review of the California ambient air quality standard for nitrogen dioxide, 2006, draft technical support document available at <ftp://ftp.arb.ca.gov/carbis/research/aaqs/no2rs/no2tech-draft.pdf>. Emissions are given as metric tons per day.

<sup>b</sup>Estimation based on fuel use and observed emission factors.



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J. P. Burrows, Center for Ecology and Hydrology, Maclean Building, Benson Lane, Crowmarsh Gifford, Wallingford OX10 8BB, UK.

G. J. Frost, C. Granier, E.-Y. Hsie, S.-W. Kim, S. McKeen, and M. Trainer, Chemical Sciences Division, Earth System Research Laboratory, NOAA, 325 Broadway, R/CSD4, Boulder, CO 80305, USA. (siwan.kim@noaa.gov)

J. Gleason, Laboratory for Atmospheres, NASA Goddard Space Flight Center, Mail Code 613, Greenbelt, MD 20771, USA.

A. Heckel, Department of Geography, Swansea University, Swansea SA2 8PP, UK.

A. Richter, Institute of Environmental Physics, University of Bremen, FB1, Postfach 330440, D-28334 Bremen, Germany.