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To cite this version:

Daniel Li, Hervé Queffélec, Luis Rodriguez-Piazza. On some random thin sets of integers. Proceedings of the American Mathematical Society, 2008, 136 (1) , pp.141 - 150. hal-00376102

HAL Id: hal-00376102 <https://hal.science/hal-00376102v1>

Submitted on 16 Apr 2009

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On some random thin sets of integers

Daniel LI – Hervé QUEFFÉLEC – Luis RODRÍGUEZ-PIAZZA

Abstract

We show how different random thin sets of integers may have different behaviour. First, using a recent deviation inequality of Boucheron, Lugosi and Massart, we give a simpler proof of one of our results in Some new thin sets of integers in Harmonic Analysis, Journal d'Analyse Mathématique 86 (2002), 105–138, namely that there exist $\frac{4}{3}$ -Rider sets which are sets of uniform convergence and $\Lambda(q)$ -sets for all $q < \infty$, but which are not Rosenthal sets. In a second part, we show, using an older result of Kashin and Tzafriri that, for $p > \frac{4}{3}$, the p-Rider sets which we had constructed in that paper are almost surely not of uniform convergence.

2000 MSC : *primary : 43 A 46 ; secondary : 42 A 55 ; 42 A 61*

Key words : *Boucheron-Lugosi-Massart's deviation inequality;* Λ(q)*-sets;* p*-Rider sets; Rosenthal sets; selectors; sets of uniform convergence*

1 Introduction

It is well-known that the Fourier series $S_n(f, x) = \sum_{r=0}^n \hat{f}(k) e^{ikx}$ of a 2π periodic continuous function f may be badly behaved: for example, it may diverge on a prescribed set of values of x with measure zero. Similarly, the Fourier series of an integrable function may diverge everywhere. But it is equally wellknown that, as soon as the spectrum $Sp(f)$ of f (the set of integers k at which the Fourier coefficients of f do not vanish, *i.e.* $f(k) \neq 0$) is sufficiently "lacunary", in the sense of Hadamard $e.g.,$ then the Fourier series of f is absolutely convergent if f is continuous and almost everywhere convergent if f is merely integrable (and in this latter case $f \in L^p$ for every $p < \infty$). Those facts have given birth to the theory of thin sets Λ of integers, initiated by Rudin [\[15\]](#page-11-0): those sets Λ such that, if $Sp(f) \subseteq \Lambda$ (we shall write $f \in \mathscr{B}_{\Lambda}$ when f is in some Banach function space \mathscr{B} contained in $L^1(\mathbb{T})$ and $Sp(f) \subseteq \Lambda$, then $S_n(f)$, or f itself, is better behaved than in the general case. Let us for example recall that the set Λ is said to be:

- a p-Sidon set $(1 \le p < 2)$ if $\hat{f} \in l_p$ (and not only $\hat{f} \in l_2$) as soon as f is continuous and $Sp(f) \subseteq \Lambda$; this amounts to an "*a priori* inequality" $\|\hat{f}\|_p \leq C \|f\|_{\infty}$, for each $f \in \mathscr{C}_{\Lambda}$; the case $p = 1$ is the celebrated case of Sidon (= 1-Sidon) sets; - a p-Rider set $(1 \le p < 2)$ if we have an *a priori* inequality $||\hat{f}||_p \le C ||f||$, for every trigonometric polynomial with spectrum in Λ; here $[[f]]$ is the so-called

Pisier norm of $f = \sum \hat{f}(n)e_n$, where $e_n(x) = e^{inx}$, *i.e.* $[[f]] = \mathbb{E} ||f_{\omega}||_{\infty}$, where $f_{\omega} = \sum \varepsilon_n(\omega) \hat{f}(n) e_n$, (ε_n) being an *i.i.d.* sequence of centered, ± 1 -valued, random variables defined on some probability space (a Rademacher sequence), and where E denotes the expectation on that space; this apparently exotic notion (weaker than p-Sidonicity) turned out to be very useful when Rider[[12\]](#page-11-0) reformulated a result of Drury (proved in the course of the result that the union of two Sidon set sets is a Sidon set) under the form: 1-Rider sets and Sidon sets are the same (in spite of some partial results, it is not yet known whether a p -Riderset is a p -Sidon set: see [[5\]](#page-11-0) however, for a partial result);

- a *set of uniform convergence* (in short a UC-set) if the Fourier series of each $f \in \mathscr{C}_{\Lambda}$ converges uniformly, which amounts to the inequality $||S_n(f)||_{\infty} \leq$ $C||f||_{\infty}$, $\forall f \in \mathscr{C}_{\Lambda}$; Sidon sets are UC , but the converse is false;

 $A(q)$ -set, $1 < q < \infty$, if every $f \in L^1_\Lambda$ is in fact in L^q , which amounts to the inequality $||f||_q \leq C_q ||f||_1$, $\forall f \in L^1_\Lambda$. Sidon sets are $\Lambda(q)$ for every $q < \infty$ (and even $C_q \leq C \sqrt{q}$); the converse is false, except when we require $C_q \leq C \sqrt{q}$ $([11]);$ $([11]);$ $([11]);$

- a *Rosenthal set* if every $f \in L^{\infty}_{\Lambda}$ is almost everywhere equal to a continuous function. Sidon sets are Rosenthal, but the converse in false.

This theory has long suffered from a severe lack of examples: those examples were always, more or less, sums of Hadamard sets, and in that case the banachic properties of the corresponding \mathscr{C}_{Λ} -spaces were very rigid. The use of random sets (in the sense of the selectors method) of integers has significantly changed thesituation (see $[8]$, and our paper $[9]$ $[9]$). Let us recall more in detail the notation and setting of our previous work [\[9](#page-11-0)]. The method of selectors consists in the following: let $(\varepsilon_k)_{k>1}$ be a sequence of independent, $(0, 1)$ -valued random variables, with respective means δ_k , defined on a probability space Ω , and to which we attach the random set of integers $\Lambda = \Lambda(\omega)$, $\omega \in \Omega$, defined by $\Lambda(\omega) = \{k \geq 1 \, ; \, \varepsilon_k(\omega) = 1\}.$

The properties of $\Lambda(\omega)$ of course highly depend on the δ_k 's, and roughly speakingthe smaller the δ_k 's, the better \mathscr{C}_Λ , L^1_Λ , In [[7\]](#page-11-0), and then, in a much deeper way, in[[9\]](#page-11-0), relying on a probabilistic result of J. Bourgain on ergodic means, and on a deterministic result of F. Lust-Piquard ([\[10](#page-11-0)]) on those ergodic means, we had randomly built new examples of sets Λ of integers which were both: locally thin from the point of view of harmonic analysis (their traces on big segments $[M_n, M_{n+1}]$ of integers were uniformly Sidon sets); regularly distributed from the point of view of number theory, and therefore globally big from the point of view of Banach space theory, in that the space \mathscr{C}_{Λ} contained an isomorphic copy of the Banach space c_0 of sequences vanishing at infinity. More precisely, we have constructed subsets $\Lambda \subseteq \mathbb{N}$ which are thin in the following respects: Λ is a UC-set, a p-Rider set for various $p \in [1, 2]$, a $\Lambda(q)$ -set for every $q < \infty$, and large in two respects: the space \mathscr{C}_{Λ} contains an isomorphic copy of c_0 , and, most often, Λ is dense in the integers equipped with the Bohr topology.

Now, taking δ_k bigger and bigger, we had obtained sets Λ which were less and less thin (p-Sidon for every $p > 1$, q-Rider, but s-Rider for no $s < q$, s-Rider for every $s > q$, but not q-Rider), and, in any case $\Lambda(q)$ for every $q < \infty$, and such that \mathscr{C}_{Λ} contains a subspace isomorphic to c_0 . In particular, in Theorem II.7, page 124, and Theorem II.10, page 130, we take respectively $\delta_k \approx \frac{\log k}{k}$ and $\delta_k \approx \frac{(\log k)^{\alpha}}{k(\log \log k)^{\alpha+1}}$, where $\alpha = \frac{2(p-1)}{2-p}$ $\frac{(p-1)}{2-p}$ is an increasing function of $p \in [1, 2)$, and which becomes ≥ 1 as p becomes $\geq 4/3$. The case $\delta_k = \frac{1}{k}$ would correspond (randomly) to Sidon sets (*i.e.* 1-Sidon sets).

After the proofs of Theorem II.7 and Theorem II.10, we were asking two questions:

1) (p. 129) Our construction is very complicated and needs a second random construction of a set E inside the random set Λ . Is it possible to give a simpler proof?

2) (p. 130) In Theorem II.10, can we keep the property for the random set Λ to be a UC-set, with high probability, when $\alpha > 1$ (equivalently when $p > \frac{4}{3}$)?

The goal of this work is to answer affirmatively the first question (relying on a recent deviation inequality of Boucheron, Lugosi and Massart [\[1](#page-10-0)]) and negatively the second one (relying on an older result of Kashin and Tzafriri [\[3](#page-10-0)]). This work is accordingly divided into three parts. In Section 2, we prove a (onesided) concentration inequality for norms of Rademacher sums. In Section 3, we apply the concentration inequality to get a substantially simplified proof of Theorem II.7 in [\[9](#page-11-0)]. Finally, in Section 4, we give a (stochastically) negative answer to question 2 when $p > \frac{4}{3}$: almost surely, Λ will not be a UC-set; here, we use the above mentionned result of Kashin and Tzafriri [\[3](#page-10-0)] on the non-UC character of big random subsets of integers.

2 A one-sided inequality for norms of Rademacher sums

Let E be a (real or complex) Banach space, v_1, \ldots, v_n be vectors of E, X_1, \ldots, X_n be independent, real-valued, centered, random variables, and let $Z = \Big\| \sum_{i=1}^{n} X_i v_i \Big\|.$

If $|X_j| \leq 1$ *a.s.*, it is well-known (see [\[6](#page-11-0)]) that:

$$
\mathbb{P}(|Z - \mathbb{E}(Z)| > t) \le 2 \exp\left(-\frac{t^2}{8\sum_{1}^{n} \|v_j\|^2}\right), \quad \forall t > 0. \tag{2.1}
$$

But often, the "strong" l_2 -norm of the *n*-tuple $v = (v_1, \ldots, v_n)$, namely $||v||_{strong} = (\sum_{j=1}^{n} ||v_j||^2)^{1/2}$, is too large for (2.1) to be interesting, and it is advisable to work with the "weak" l_2 -norm of v, defined by:

$$
\sigma = ||v||_{weak} = \sup_{\varphi \in B_{E^*}} \left(\sum_{1}^n |\varphi(v_j)|^2 \right)^{1/2} = \sup_{\sum |a_j|^2 \le 1} \left(\sum_{1}^n a_j v_j \right), \tag{2.2}
$$

where B_{E^*} denotes the closed unit ball of the dual space E^* .

If $(X_j)_j$ is a standard gaussian sequence $(\mathbb{E} X_j = 0, \mathbb{E} X_j^2 = 1)$, this is what Maurey and Pisier suceeded in doing, using either the Itô formula or the rotationalinvariance of the X_j 's; they proved the following (see [[8\]](#page-11-0), Chapitre 8, Théorème $I.4$:

$$
\mathbb{P}(|Z - \mathbb{E}Z| > t) \le 2 \exp\left(-\frac{t^2}{C\sigma^2}\right), \quad \forall t > 0,
$$
\n(2.3)

where σ is as in ([2.2](#page-3-0)), and C is a numerical constant, *e.g.* $C = \pi^2/2$.

To the best of our knowledge, no inequality as simple and direct as (2.3) is available for non-gaussian (*e.g.* for Rademacher variables) variables, although several more complicated deviation inequalities are known: see *e.g.* [\[2](#page-10-0)],[[6\]](#page-11-0).

For the applications to Harmonic analysis which we have in view, where we use the so-called "selectors method", we precisely need an analogue of (2.3), in the non-gaussian, uniformly bounded (and centered) case; we shall prove that at least a one-sided version of (2.3) holds in this case, by showing the following result, which is interesting for itself.

Theorem 2.1 *With the previous notations, assume that* $|X_j| \leq 1$ *a.s. Then, we have the one-sided estimate:*

$$
\mathbb{P}\left(Z - \mathbb{E}\,Z > t\right) \le \exp\left(-\frac{t^2}{C\sigma^2}\right), \quad \forall t > 0,\tag{2.4}
$$

where $C > 0$ *is a numerical constant* $(C = 32, for example)$ *.*

The proof of (2.4) will make use of a recent deviation inequality due to Boucheron, Lugosi and Massart [\[1\]](#page-10-0). Before stating this inequality, we need some notation.

Let X_1, \ldots, X_n be independent, real-valued random variables (here, we temporarily forget the assumptions of the previous Theorem), and let (X'_1, \ldots, X'_n) be an independent copy of (X_1, \ldots, X_n) .

If $f: \mathbb{R}^n \to \mathbb{R}$ is a given measurable function, we set $Z = f(X_1, \ldots, X_n)$ and $Z_i' = f(X_1, \ldots, X_{i-1}, X_i', X_{i+1}, \ldots, X_n), 1 \leq i \leq n$. With those notations, the Boucheron-Lugosi-Massart Theorem goes as follows:

Theorem 2.2 *Assume that there is some constant* $a, b \geq 0$ *, not both zero, such that:*

$$
\sum_{i=1}^{n} (Z - Z_i')^2 1\!\!1_{(Z > Z_i')} \le aZ + b \quad a.s.
$$
\n(2.5)

Then, we have the following one-sided deviation inequality:

$$
\mathbb{P}\left(Z > \mathbb{E}\,Z + t\right) \le \exp\left(-\frac{t^2}{4a\,\mathbb{E}\,Z + 4b + 2at)}\right), \quad \forall t > 0. \tag{2.6}
$$

Proof of Theorem 2.1. We shall in fact use a very special case of Theorem 2.2, the case when $a = 0$; but, as the three fore-named authors remark, this special case is already very useful, and far from trivial to prove! To prove (2.4), we are going to check that, for $f(X_1, ..., X_n) = ||\sum_{i=1}^{n} X_j v_j|| = Z$, the assumption

([2.5](#page-4-0)) holds for $a = 0$ and $b = 4\sigma^2$. In fact, fix $\omega \in \Omega$ and denote by $I = I_{\omega}$ the set of indices i such that $Z(\omega) > Z'_{i}(\omega)$. For simplicity of notation, we assume that the Banach space E is real. Let $\varphi = \varphi_{\omega} \in E^*$ such that $\|\varphi\| = 1$ and $Z = \varphi\left(\sum_{j=1}^n X_j v_j\right) = \sum_{j=1}^n X_j \varphi(v_j).$

For $i \in I$, we have $Z_i'(\omega) = Z_i' \ge \varphi(\sum_{j \neq i} X_j v_j + X_i' v_i)$, so that $0 \le \omega$ $Z - Z'_i \leq \sum_{j=1}^n X_j \varphi(v_j) - \sum_{j \neq i} X_j \varphi(v_j) - X'_i \varphi(v_i) = (X_i - X'_i) \varphi(v_i)$, implying $(Z - Z_i')^2 \le 4|\varphi(v_i)|^2$. By summing those inequalities, we get:

$$
\sum_{i=1}^{n} (Z - Z'_i)^2 \mathbb{I}_{(Z > Z'_i)} = \sum_{i \in I} (Z - Z'_i)^2 \le 4 \sum_{i \in I} |\varphi(v_i)|^2 \le 4 \sum_{i=1}^{n} |\varphi(v_i)|^2 \le 4\sigma^2
$$

= 0.Z + 4\sigma^2.

Let us observe the crucial role of the "conditioning" $Z > Z'_{i}$ when we want to check that [\(2.5\)](#page-4-0) holds. Now, [\(2.4](#page-4-0)) is an immediate consequence of [\(2.6](#page-4-0)). \Box

3 Construction of 4/3-Rider sets

We first recall some notations of [\[9](#page-11-0)]. Ψ_2 denotes the Orlicz function $\Psi_2(x)$ = $e^{x^2} - 1$, and $\| \|\Psi_2\|$ is the corresponding Luxemburg norm. If A is a finite subset of the integers, Ψ_A denotes the quantity $\|\sum_{n\in A}e_n\|_{\Psi_2}$, where $e_n(t) = e^{int}$, $t \in \mathbb{R}/2\pi\mathbb{Z} = \mathbb{T}$, and \mathbb{T} is equipped with its Haar measure m. A will always be a subset of the positive integers N. Recall that Λ is *uniformly distributed* if the ergodic means $A_N(t) = \frac{1}{|N_N|} \sum_{n \in \Lambda_N} e_n(t)$ tend to zero as $N \to \infty$, for each $t \in \mathbb{T}, t \neq 0$. Here, $\Lambda_N = \Lambda \cap [1, N]$. If Λ is uniformly distributed, \mathscr{C}_{Λ} contains c_0 , and if \mathscr{C}_{Λ} contains c_0 , Λ cannot be a Rosenthal set (see [\[9](#page-11-0)]). According to results of J. Bourgain (see [\[9](#page-11-0)]) and F. Lust-Piquard ([[10\]](#page-11-0)), respectively, a random set Λ corresponding to selectors of mean δ_k with $k\delta_k \to \infty$ is almost surely uniformly distributed and if a subset E of a uniformly distributed set Λ has positive upper density in Λ , *i.e.* if $\limsup_N \frac{|E \cap [1,N]|}{|\Lambda \cap [1,N]} > 0$, then \mathscr{C}_E contains c_0 , and E is non-Rosenthal.

In [\[9](#page-11-0)], we had given a fairly complicated proof of the following theorem (labelled as Theorem II.7):

Theorem 3.1 *There exists a subset* Λ *of the integers, which is uniformly distributed, and contains a subset* E *of positive integers with the following properties:*

1) E is a $\frac{4}{3}$ -Rider set, but is not q-Rider for $q < 4/3$, a UC-set, and a $\Lambda(q)$ -set for all $q < \infty$;

2) *E is of positive upper density inside* $Λ$ *; in particular,* \mathcal{C}_E *contains* c_0 *and* E *is not a Rosenthal set.*

We shall show here that the use of Theorem [2.1](#page-4-0) allows a substantially simplified proof, which avoids a double random selection. We first need the following simple lemma.

Lemma 3.2 Let A be a finite subset of the integers, of cardinality $n \geq 2$; let $v = (e_j)_{j \in A}$, considered as an *n*-tuple of elements of the Banach space $E =$ $L^{\Psi_2} = L^{\Psi_2}(\mathbb{T},m)$, and let σ be its weak l_2 -norm. Then:

$$
\sigma \le C_0 \sqrt{\frac{n}{\log n}},\tag{3.1}
$$

where C_0 *is a numerical constant.*

Proof. Let $a = (a_j)_{j \in A}$ be such that $\sum_{j \in A} |a_j|^2 = 1$. Let $f = f_a = \sum_{j \in A} a_j e_j$, and $M = ||f||_{\infty}$. By Hölder's inequality, we have $\frac{||f||_p}{\sqrt{p}} \leq \frac{M}{\sqrt{p} M^{2/p}}$ for $2 < p < \infty$. Since $M \leq \sqrt{n}$, we get $\frac{||f||_p}{\sqrt{p}} \leq$ $\frac{\sqrt{n}}{\sqrt{p} n^{1/p}} \leq C \sqrt{\frac{n}{\log n}}$. By Stirling's formula, $||f||_{\Psi_2} \approx \sup_{p>2} \frac{||f||_p}{\sqrt{p}}$, so the lemma is proved, since $\sigma = \sup_a ||f_a||_{\Psi_2}$

We now turn to the shortened proof of Theorem [3.1.](#page-5-0)

Let $I_n = [2^n, 2^{n+1}], n \ge 2$; $\delta_k = c \frac{n}{2^n}$ if $k \in I_n$ $(c > 0)$.

Let $(\varepsilon_k)_k$ be a sequence of "selectors", *i.e.* independent, $(0, 1)$ -valued, random variables of expectation $\mathbb{E} \varepsilon_k = \delta_k$, and let $\Lambda = \Lambda(\omega)$ be the random set of positive integers defined by $\Lambda = \{k \geq 1\,$; $\varepsilon_k = 1\}$. We set also $\Lambda_n = \Lambda \cap I_n$ and $\sigma_n = \mathbb{E} |\Lambda_n| = \sum_{k \in I_n} \delta_k = cn.$

We shall now need the following lemma (the notation Ψ_A is defined at the beginning of the section).

Lemma 3.3 *Almost surely, for* n *large enough:*

$$
\frac{c}{2}n \le |\Lambda_n| \le 2cn \; ; \tag{3.2}
$$

$$
\Psi_{\Lambda_n} \le C'' |\Lambda_n|^{1/2} . \tag{3.3}
$$

Proof : (3.2) is the easier part of Lemma II.9 in [\[9](#page-11-0)]. To prove (3.3) , we recall an inequality due to G. Pisier [\[11](#page-11-0)]: if (X_k) is a sequence of independent, centered and square-integrable, random variables of respective variances $V(X_k)$, we have:

$$
\mathbb{E}\left\|\sum_{k} X_{k} e_{k}\right\|_{\Psi_{2}} \leq C_{1} \left(\sum_{k} V(X_{k})\right)^{1/2}.
$$
\n(3.4)

Applying (3.4) to the centered variables $X_k = \varepsilon_k - \delta_k$, we get, assuming $c \leq 1$:

$$
\mathbb{E}\Big\|\sum_{k\in I_n}(\varepsilon_k-\delta_k)e_k\Big\|_{\Psi_2}\leq C_1\Big(\sum_{k\in I_n}\delta_k(1-\delta_k)\Big)^{1/2}\leq C_1\Big(\sum_{k\in I_n}\delta_k\Big)^{1/2}\leq C_1\sqrt{n}.
$$

Now, set $Z_n = \big\|\sum_{k \in I_n} (\varepsilon_k - \delta_k) e_k\big\|_{\Psi_2}$. Let λ be a fixed real number > 1 , and C_0 be as in Lemma [3.2.](#page-5-0) Applying Theorem [2.1](#page-4-0) with $C = 32$, and $t_n = \lambda \sqrt{32C_0^2 n}$, we get, using Lemma [3.2](#page-5-0):

$$
\mathbb{P}\left(Z_n - \mathbb{E}\,Z_n > t_n\right) \le \exp\left(-\frac{t_n^2}{32\sigma^2}\right) \le \exp\left(-\frac{32\lambda^2 C_0^2 n \log n}{32C_0^2 n}\right) = n^{-\lambda^2}.
$$

By the Borel-Cantelli Lemma, we have almost surely, for n large enough:

$$
Z_n \leq \mathbb{E} Z_n + t_n \leq (C_1 + 4C_0\lambda)\sqrt{n} = C_2\sqrt{n}.
$$

For such ω 's and n's, it follows that:

$$
\Psi_{\Lambda_n} = \Big\|\sum_{k\in I_n} \varepsilon_k e_k \Big\|_{\Psi_2} \le Z_n + \Big\|\sum_{k\in I_n} \delta_k e_k \Big\|_{\Psi_2} \le Z_n + \frac{n}{2^n} \Big\|\sum_{k\in I_n} e_k \Big\|_{\Psi_2}
$$

$$
\le C_2\sqrt{n} + \frac{n}{2^n}C_0 \frac{2^n}{\sqrt{\log 2^n}} =: C_3\sqrt{n},
$$

because, with the notations of Lemma [3.2,](#page-5-0) we have:

$$
\Big\|\sum_{k\in I_n}e_k\Big\|_{\Psi_2}\leq \sqrt{|I_n|}\sigma\leq 2^{n/2}C_0\frac{2^{\frac{n}{2}}}{\sqrt{\log 2^n}}.
$$

This ends the proof of Lemma [3.3,](#page-6-0) because we know that $n \leq \frac{2}{c} |\Lambda_n|$ for large *n*, almost surely, and therefore $\Psi_{\Lambda_n} \leq C_3 \sqrt{\frac{2}{c}} |\Lambda_n|^{1/2} =: c'' |\Lambda_n|^{1/2}, a.s.$

We now prove Theorem [3.1](#page-5-0) as follows: let us fix a point $\omega \in \Omega$ in such a way that $\Lambda = \Lambda(\omega)$ is uniformly distributed and that Λ_n verifies [\(3.2\)](#page-6-0) and [\(3.3](#page-6-0)) for $n > n_0$; this is possible from [\[9\]](#page-11-0) and from Lemma [3.3.](#page-6-0) We then use a result of the third-named author ([\[13\]](#page-11-0)), asserting that there is a numerical constant $\delta > 0$ such that each finite subset \tilde{A} of \mathbb{Z}^* contains a quasi-independent subset B such that $|B| \ge \delta \left(\frac{|A|}{\Psi_A}\right)^2$ (recall that a subset Q of Z is said to be quasi-independent if, whenever $n_1, \ldots, n_k \in Q$, the equality $\sum_{j=1}^k \theta_j n_j = 0$ with $\theta_j = 0, -1, +1$ holds only when $\theta_j = 0$ for all j). This allows us to select inside each Λ_n a quasi-independent subset E_n such that:

$$
|E_n| \ge \delta \left(\frac{|\Lambda_n|}{\Psi_{\Lambda_n}}\right)^2 \ge \frac{\delta}{c^{\prime\prime 2}} |\Lambda_n| =: \delta' |\Lambda_n| \,. \tag{3.5}
$$

Acombinatorial argument (see [[9\]](#page-11-0), p. 128–129) shows that, if $E = \bigcup_{n>n_0} E_n$. then each finite $A\, \subset\, E$ contains a quasi-independent subset $B\, \subseteq\, A$ such that $|B| \geq \delta |A|^{1/2}$ $|B| \geq \delta |A|^{1/2}$ $|B| \geq \delta |A|^{1/2}$. By [[13\]](#page-11-0), E is a $\frac{4}{3}$ -Rider set. The set E has all the required properties. Indeed, it follows from Lemma [3.2](#page-5-0), a) that $|E_{\bigcap_{n}}[1,N]| \geq \delta (\log N)^2$. If now E is p-Rider, we must have $|E \cap [1, N]| \leq C(\log N)^{\frac{p}{2-p}}$; therefore $2 \leq \frac{p}{2-p}$, so $p \geq 4/3$. The fact that E is both UC and $\Lambda(q)$ is due to the local character of these notions, and to the fact that the sets $E \cap [2^n, 2^{n+1}] = E_n$ are by construction quasi-independent (as detailed in [\[9](#page-11-0)]). On the other hand, since each E_n is approximately proportional to Λ_n , E is of positive upper density in Λ . Now Λ is uniformly distributed (by Bourgain's criterion: see [\[9](#page-11-0)], p. 115). Therefore, by the result of F. Lust-Piquard ([[10\]](#page-11-0), and see Theorem I.9, p. 114 in [\[9](#page-11-0)]), \mathscr{C}_E contains c_0 , which prevents E from being a Rosenthal set. \square

4 p-Rider sets, with $p > 4/3$, which are not UCsets

Let $p \in]\frac{4}{3}, 2[$, so that $\alpha = \frac{2(p-1)}{2-p} > 1$. As we mentioned in the Introduction, therandom set $\Lambda = \Lambda(\omega)$ of integers in Theorem II.10 of [[9\]](#page-11-0) corresponds to selectors ε_k with mean $\delta_k = c \frac{(\log k)^{\alpha}}{k(\log \log k)^{\alpha+1}}$. We shall prove the following:

Theorem 4.1 *The random set* Λ *corresponding to selectors of mean* δ_k = $c \frac{(\log k)^{\alpha}}{k(\log \log k)^{\alpha+1}}$ *has almost surely the following properties:*

 \overline{a}) $\overline{\Lambda}$ *is* p-Rider, but q-Rider for no $q < p$;

b) Λ *is* $\Lambda(q)$ *for all* $q < \infty$ *;*

c) Λ *is uniformly distributed; in particular, it is dense in the Bohr group and* \mathscr{C}_{Λ} *contains* c_0 *;*

d) Λ *is* not *a* UC*-set.*

Remark. This supports the conjecture that p-Rider sets with $p > 4/3$ are not of the same nature as p-Rider sets for $p < 4/3$ (see also [\[4](#page-10-0)], Theorem 3.1. and [[5\]](#page-11-0)).

The novelty here is *d)*, which answers in the negative a question of [\[9](#page-11-0)] and we shall mainly concentrate on it, although we shall add some details for *a)*,*b)*, *c)*, since the proof of Theorem II.10 in[[9\]](#page-11-0) is too sketchy and contains two small misprints (namely $(*)$ and $(**)$, p. 130).

Recall that the UC -constant $U(E)$ of a set E of positive integers is the smallest constant M such that $||S_N f||_{\infty} \leq M||f||_{\infty}$ for every $f \in \mathscr{C}_E$ and every non-negative integer N, where $S_N f = \sum_{-N}^{N} \hat{f}(k) e_k$. We shall use the following result of Kashin and Tzafriri [\[3](#page-10-0)]:

Theorem 4.2 Let $N \geq 1$ be an integer and $\varepsilon'_1, \ldots, \varepsilon'_N$ be selectors of equal *mean* δ *. Set* $\sigma(\omega) = \{k \leq N; \ \varepsilon'_k(\omega) = 1\}$ *. Then:*

$$
\mathbb{P}\left(U\big(\sigma(\omega)\big) \le \gamma \log\left(2 + \frac{\delta N}{\log N}\right)\right) \le \frac{5}{N^3},\tag{4.1}
$$

where γ *is a positive numerical constant.*

We now turn to the proof of Theorem 4.1. As in[[9\]](#page-11-0), we set, for a fixed $\beta > \alpha$:

$$
M_n = n^{\beta n} \; ; \quad \Lambda_n = \Lambda \cap [1, n] \; ; \quad \Lambda_n^* = \Lambda \cap [M_n, M_{n+1}]. \tag{4.2}
$$

We need the following technical lemma, whose proof is postponed (and is needed only for *a)*, *b)*, *c)*).

Lemma 4.3 *We have almost surely for large* n

$$
|\Lambda_{M_n}| \approx n^{\alpha+1} \; ; \qquad |\Lambda_n^*| \approx n^{\alpha} . \tag{4.3}
$$

Observe that, for $k \in \Lambda_n^*$, one has:

$$
\delta_k = c \frac{(\log k)^{\alpha}}{k(\log \log k)^{\alpha+1}} \gg \frac{(n \log n)^{\alpha}}{M_{n+1}(\log n)^{\alpha+1}} = \frac{n^{\alpha}}{M_{n+1} \log n} =: \frac{q_n}{N_n},
$$

where $N_n = M_{n+1} - M_n$ is the number of elements of the support of Λ_n^* (note that $N_n \sim M_{n+1}$, and where q_n is such that

$$
q_n \approx \frac{n^{\alpha}}{\log n} \,. \tag{4.4}
$$

We can adjust the constants so as to have $\delta_k \ge q_n/N_n$ for $k \in \Lambda_n^*$. Now, we introduce selectors (ε_k'') independent of the ε_j 's, of respective means $\delta_k'' =$ $q_n/(N_n\delta_k)$. Then the selectors $\varepsilon'_k = \varepsilon_k \varepsilon''_k$ have means $\delta'_k = q_n/N_n$ for $k \in \Lambda_n^*$, and we have $\delta_k \geq \delta'_k$ for each $k \geq 1$.

Let $\Lambda' = \{k \, ; \, \varepsilon'_k = 1\}$ and $\Lambda'^*_{n} = \Lambda' \cap [M_n, M_{n+1}[$. It follows from ([4.1\)](#page-8-0) and the fact that $U(E + a) = U(E)$ for any set E of positive integers and any non-negative integer a that:

$$
\mathbb{P}\left(U(\Lambda_{n}^{*}) \leq \gamma \log\left(2 + \frac{q_n}{\log N_n}\right)\right) \leq 5N_n^{-3}.
$$

By the Borel-Cantelli Lemma, we have almost surely $U(\Lambda'^*_n) > \gamma \log \left(2 + \frac{q_n}{\log N_n}\right)$ for n large enough. But we see from (4.3) (4.3) and (4.2) that:

$$
\frac{q_n}{\log N_n} \approx \frac{n^{\alpha}}{(\log n)(n \log n)} = \frac{n^{\alpha - 1}}{(\log n)^2},
$$

and this tends to infinity since $\alpha > 1$. This shows that Λ' is almost surely non-UC. And due to the construction of the ε'_k 's, we have: $\Lambda \supseteq \Lambda'$ almost surely. This of course implies that Λ is not a UC-set either (almost surely), ending the proof of *d*) in Theorem [4.1.](#page-8-0)

We now indicate a proof of the lemma. Almost surely, $|\Lambda_{M_n}|$ behaves for large n as:

$$
\mathbb{E}(|\Lambda_{M_n}|) = \sum_{1}^{M_n} \frac{(\log k)^{\alpha}}{k(\log \log k)^{\alpha+1}} \approx \int_{e^2}^{M_n} \frac{(\log t)^{\alpha}}{t(\log \log t)^{\alpha+1}} dt
$$

=
$$
\int_2^{\log M_n} \frac{x^{\alpha} dx}{(\log x)^{\alpha+1}} \approx \frac{1}{(\log n)^{\alpha+1}} \int_2^{\log M_n} x^{\alpha} dx \approx \frac{(\log M_n)^{\alpha+1}}{(\log n)^{\alpha+1}} \approx n^{\alpha+1}.
$$

Similarly, $|\Lambda_n^*|$ behaves almost surely as:

$$
\int_{M_n}^{M_{n+1}} \frac{(\log t)^{\alpha}}{t(\log \log t)^{\alpha+1}} dt = \int_{\log M_n}^{\log M_{n+1}} \frac{x^{\alpha}}{(\log x)^{\alpha+1}} dx \approx \frac{1}{(\log n)^{\alpha+1}} x^{\alpha} dx
$$

$$
\approx \frac{1}{(\log n)^{\alpha+1}} (\log M_{n+1} - \log M_n) (\log M_n)^{\alpha}
$$

$$
\approx \frac{1}{(\log n)^{\alpha+1}} \log n (n \log n)^{\alpha} \approx n^{\alpha}.
$$

To finish the proof, we shall use a lemma of[[9\]](#page-11-0) (recall that a *relation of length* $n \in \mathbb{Z}^*$ is a $(-1,0,+1)$ -valued sequence $(\theta_k)_{k\in A}$ such that $\sum_{k\in A} \theta_k k = 0$ and $\sum_{k\in A} |\theta_k| = n$:

Lemma 4.4 *Let* $n \geq 2$ *and M be integers. Set*

 $\Omega_n(M) = \{\omega \mid \Lambda(\omega) \cap [M, \infty] \text{ contains at least a relation of length } n\}.$

Then:

$$
\mathbb{P}\left[\Omega_n(M)\right] \le \frac{C^n}{n^n} \sum_{j>M} \delta_j^2 \sigma_j^{n-2},
$$

where $\sigma_j = \delta_1 + \ldots + \delta_j$, and *C* is a numerical constant.

In our case, with $M = M_n$, this lemma gives :

$$
\mathbb{P}\left[\Omega_n(M)\right] \ll \frac{C^n}{n^n} \sum_{j>M} \frac{(\log j)^{2\alpha}}{j^2 (\log \log j)^{2\alpha+2}} \left[\frac{(\log j)^{\alpha+1}}{(\log \log j)^{\alpha+1}}\right]^{n-2}
$$

$$
\ll \frac{C^n}{n^n} \int_M^\infty \frac{(\log t)^{(\alpha+1)n+2\alpha}}{(\log \log t)^{(\alpha+1)n+2\alpha+2}} \frac{dt}{t^2}
$$

and an integration by parts (see[[9\]](#page-11-0), p. 117–118) now gives:

$$
\mathbb{P}\left[\Omega_n(M)\right] \ll \frac{C^n}{n^n} \frac{1}{M} \frac{(\log M)^{(\alpha+1)n+2\alpha}}{(\log \log M)^{(\alpha+1)n+2\alpha+2}}
$$

$$
\ll \frac{C^n}{n^n} \frac{1}{n^{\beta n}} \frac{(n \log n)^{(\alpha+1)n+2\alpha}}{(\log n)^{(\alpha+1)n+2\alpha+2}} \ll \frac{n^{2\alpha} C^n}{n^{(\beta-\alpha)n} (\log n)^2};
$$

 $\sum_n \mathbb{P} [\Omega_n(M_n)] < \infty$, so that, almost surely $\Lambda(\omega) \cap [M_n, \infty]$ contains no relation then the assumption $\beta > \alpha$ (which reveals its importance here!) shows that of length n, for $n \geq n_0$. Having this property at our disposal, we prove (exactly as in [\[9\]](#page-11-0), p. 119–120) that Λ is p-Rider. It is not q-Rider for $q < p$, because then $|\Lambda_{M_n}| \ll (\log M_n)^{\frac{q}{2-q}} \ll (n \log n)^{\frac{q}{2-q}}$, whereas [\(4.3](#page-8-0)) of Lemma [4.3](#page-8-0) shows that $|\Lambda_{M_n}| \gg n^{\alpha+1}$, with $\alpha+1=\frac{p}{2-p} > \frac{q}{2-q}$. This proves *a*). Conditions *b*),*c*) are clearlyexplained in [[9\]](#page-11-0). \Box

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