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Qualitative Concurrent Games with Imperfect Information

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Abstract. We define a model of games that combines concurrency, imperfect information and stochastic aspects. Those are finite states games in which, at each round, the two players choose, simultaneously and independently, an action. Then a successor state is chosen accordingly to some fixed probability distribution depending on the previous state and on the pair of actions chosen by the players. Imperfect information is modelled as follows: both players have an equivalence relation over states and, instead of observing the exact state, they only know to which equivalence class it belongs. Therefore, if two partial plays are indistinguishable by some player, he should behave the same in both of them. We consider reachability (does the play eventually visit a final state?) and Büchi objective (does the play visit infinitely often a final state?). A play is won by the first player whenever it satisfies the objective. Our main contribution is to prove that the following problem is 2-EXPTIMEcomplete: decide whether the first player has a strategy that ensures her to almost-surely win against any possible strategy of her adversary. We also precisely characterise those strategies needed by the first player to almost-surely win.

1 Introduction

Turn based two-player games on a graph [10] are widely studied in computer science. Indeed, they are a useful tool for both theoretical (for instance the modern proofs of Rabin's complementation lemma rely on the memoryless determinacy of parity games [11]) and more practical applications. On the practical side, a major application of games is for the verification of reactive open systems. Those are systems composed of both a program and some (possibly hostile) environment. The verification problem consists of deciding whether the program can be restricted so that the system meets some given specification whatever does the environment. Here, restricting the system means synthesizing some controller, which, in term of games, is equivalent to designing a winning strategy for the player modeling the program [14].

The turn-based model, even if it may suffice in many situations, is somehow naive for the following two reasons. First, it does not permit to capture the behavior of real concurrent models where, in each step, the program and its environment *independently* choose moves, whose *parallel* execution determines the next state of the system. Second, in the turn-based model it is implicitly

assume that both players have, at each time, a perfect information on the current state of the play: this, for instance, forbids to model a system where the program and the environment share some public variables while having also their own private variables [15].

In this paper, we overcome those two restrictions by considering concurrent games with imperfect information. Those are finite states games in which, at each round, the two players choose *simultaneously* and *independently* an action. Then a successor state is chosen accordingly to some fixed probability distribution depending on the previous state and on the pair of actions chosen by the players. Imperfect information is modelled as follows: both players have an equivalence relation over states and, instead of observing the exact state, they only see to which equivalence class it belongs. Therefore, if two partial plays are indistinguishable by some player, he should behave the same in both of them. Note that this model naturally captures several model studied in the literature [1,8,6,7]. The winning conditions we consider here are reachability (is there a final state eventually visited?), Büchi (is there a final state that is visited infinitely often?) and their dual versions, safety and co-Büchi.

We study qualitative properties of those games (note that quantitative properties are already undecidable in much weaker models [13]). More precisely, we investigate the question of deciding whether some player can almost-surely win, that is whether he has a strategy that wins with probability 1 against any counter strategy of the adversary. Our main contributions is to prove that, for both reachability and Büchi objectives, one can decide, in doubly exponential time (which is proved to be optimal), whether the first player has an almost-surely winning strategy. Moreover, when it is the case, we are also able to construct such a finite-memory strategy. We also provide intermediate new results concerning positive winning in safety (and co-Büchi) $1\frac{1}{2}$ -player games (a.k.a partial observation Markov decision process).

Related work. Concurrent games with perfect information have been deeply investigated in the last decade [2,1,6]. Games with imperfect information have been considered for turn-based model [15] as well as for concurrent models with only one imperfectly informed player [8,7]. To our knowledge, the present paper provides the first positive results on a model of games that combines concurrency, imperfect information (on both sides) and stochastic transition function. Note that in a recent work, Bertrand, Genest and Gimbert claim to obtain similar result for a closely related model [9].

2 Definitions

A probability distribution over a finite set X is a mapping $d: X \to [0,1]$ such that $\sum_{x \in X} d(x) = 1$. In the sequell we denote by $\mathcal{D}(X)$ the set of probability distributions over X.

Given some set X and some equivalence relation \sim over X, $[x]_{\sim}$ stands for the equivalence class of x for \sim and $X/_{\sim} = \{[x]_{\sim} \mid x \in X\}$ denotes the set of equivalence classes of \sim .

For some finite alphabet A, A^* (resp. A^{ω}) designates the set of finite (resp. infinite) words over A.

2.1 Arenas

A concurrent arena is a tuple $\mathcal{A} = \langle S, \Sigma_E, \Sigma_A, \delta, F \rangle$ where

- -S is a finite set of control states;
- $-\Sigma_E$ (resp. Σ_A) is the (finite) set of actions for Eve (resp. Adam);
- $-\delta: S \times \Sigma_E \times \Sigma_A \to \mathcal{D}(S)$ is the transition (total) function;
- $F \subseteq S$ is a set of final states.

A play in a concurrent arena proceeds as follows. First it starts in some intial state s. Then Eve picks an action $\sigma_E \in \Sigma_E$ and, simultaneously and independently, Adam chooses an action $\sigma_A \in \Sigma_A$. Then a successor state is chosen accordingly to the probability distribution $\delta(s, \sigma_E, \sigma_A)$. Then the process restarts: the players choose a new pair of actions that induces, together with the current state, a new state and so on forever. Hence a **play** is an infinite sequence $s_0s_1s_2\cdots$ in S^ω such that for every $i \geq 0$, there exists $(\sigma_E, \sigma_A) \in \Sigma_E \times \Sigma_A$ with $\delta(s_i, \sigma_E, \sigma_A)(s_{i+1}) > 0$. In the sequel we refer to a prefix of a play as a **partial play** and we denote by $Plays(\mathcal{A})$ the set of all plays in arena \mathcal{A} .

2.2 Strategies, Imperfect Information

In order to choose their moves the players follow strategies, and, for this, they may use all the information they have about what was played so far. Hence a **strategy** for Eve is a function $\varphi_E: S^* \to \mathcal{D}(\Sigma_E)$, *i.e.*, to choose her next action, Eve considers the sequence of previously visited states. Strategies for Adam are defined symmetrically. Of special interest are those strategies that does not require memory: a **memoryless strategy** for Eve is a function $\varphi_E: S \to \mathcal{D}(\Sigma_E)$, that is to say these strategies only depend of the current state.

In the sequel we are interested in the case where the players do not have a perfect information about the current state. For this we define a concurrent arena with imperfect information as a triple $(\mathcal{A}, \sim_E, \sim_A)$ where

- $-\mathcal{A} = \langle S, \Sigma_E, \Sigma_A, \delta, F \rangle$ is a concurrent arena;
- $-\sim_E$ and \sim_A are two equivalence relations over states that are consistent with F, *i.e.* $s_1 \sim_X s_2$, with X = E or A, implies that $(s_1 \in F \Leftrightarrow s_2 \in F)^*$.

The intuitive meaning of $\sim_E (resp. \sim_A)$ is that two states s_1 and s_2 such that $s_1 \sim_E s_2 (resp. s_1 \sim_A s_2)$ cannot be distinguished by Eve (resp. by Adam).

^{*}We require the equivalence relation to be consistent with F as we want the players to observe whether they won a play.

We easily extend the relation \sim_E to partial plays: let $\lambda = s_0 s_1 \cdots s_n$ and $\lambda' = s_0' s_1' \cdots s_n'$ be two partial plays, then $\lambda \sim_E \lambda'$ if and only if $s_i \sim_E s_i'$ for all $i = 0, \dots, n$. Consider now two partial plays that are equivalent for \sim_E , then Eve cannot distinguish them and should therefore behave the same. This leads to the following notions.

An observation-based strategy for Eve is a strategy φ_E such that $\varphi_E(\lambda) = \varphi_E(\lambda')$ whenever $\lambda \sim_E \lambda'$. Observation-based strategies for Adam are defined similarly. Note that an equivalent way to define observation-based strategies for Eve (resp. for Adam) is to define them as the set of functions from $(S/_{\sim_E})^*$ into $\mathcal{D}(\Sigma_E)$ (resp. from $(S/_{\sim_A})^*$ into $\mathcal{D}(\Sigma_A)$). Memoryless observation-based strategies for Eve are naturally defined as the functions from $S/_{\sim_E}$ into $\mathcal{D}(\Sigma_E)$.

A uniform strategy for some player X is a strategy φ such that for all partial play λ , the probability measure $\varphi(\lambda)$ is uniform, *i.e.*, for all action $\sigma_X \in \Sigma_X$, either $\varphi(\lambda)(\sigma_X) = 0$ or $\varphi(\lambda)(\sigma_X) = \frac{1}{\{\{\sigma_X \in \Sigma_X | \varphi(\lambda)(\sigma_X) \neq 0\}\}}$. The set of memoryless uniform strategies for X is a finite set containing $(2^{|\Sigma_X|} - 1)^{|S|}$ elements.

A finite-memory strategy for Eve with memory M (M being a finite set) is some triple $\varphi = (Move, Up, m_0)$ where $m_0 \in M$ is the initial memory, $Move: M \to \mathcal{D}(\Sigma_E)$ associates a distribution of actions with any element in the memory M and $Up: M \times S/_{\sim_E} \to M$ is a mapping updating the memory with respect to some observation. One defines $\varphi(s_0) = Move(m_0)$ and $\varphi(s_0 \cdots s_n) = Move(Up(\cdots Up(Up(m_0, [s_1]/_{\sim_E}), [s_2]/_{\sim_E}), \cdots, [s_n]/_{\sim_E}) \cdots)$ for any $n \geq 1$. Hence, a finite-memory strategy is some observation-based strategy that can be implemented by a finite transducer whose set of control states is M.

Remark 1. Note that in our definitions of plays and strategies we implicitly assume that the players only observe the sequence of states and not the corresponding sequence of actions. This is not a restriction: indeed one can always enrich the set of states to encode the last pair of actions played and then use the equivalence relations \sim_E / \sim_A to hide / show part of this information to the respective players.

2.3 Probability Space and Outcomes of Strategies

Let $\mathcal{A} = \langle S, \Sigma_E, \Sigma_A, \delta, F \rangle$ be a concurrent arena. Let $s_0 \in S$ be an initial state, φ_E be a strategy for Eve and φ_A be a strategy for Adam. We define $Outcomes(s_0, \varphi_E, \varphi_A)$ to be the set of all possible plays when the game starts on s_0 and when Eve and Adam plays respectively accordingly to φ_E and φ_A . More formally, an infinite play $\lambda = s_0 s_1 \cdots$ belongs to $Outcomes(s_0, \varphi_E, \varphi_A)$ if and only if, for every $i \geq 0$, there is a pair of actions $(\sigma_E, \sigma_A) \in \Sigma_E \times \Sigma_A$ with $\delta(s_i, \sigma_E, \sigma_A)(s_{i+1}) > 0$ and such that $\varphi_E(s_0 s_1 \cdots s_i)(\sigma_E) > 0$ and $\varphi_A(s_0 s_1 \cdots s_i)(\sigma_A) > 0$ (i.e. σ_X is possible accordingly to φ_X , for X = E, A).

Now, for any partial play λ , the **cone** for λ is the set $cone(\lambda) = \lambda \cdot S^{\omega}$ of all infinite plays with prefix λ . Denote by Cones the set of all possible cones and let \mathcal{F} be the Borel σ -field generated by Cones considered as a set of basic open sets (i.e. \mathcal{F} is the smallest set containing Cones and closed under complementation, countable union and countable intersection). Then $(Plays(\mathcal{A}), \mathcal{F})$ is a σ -algebra.

Any pair of strategies φ_E and φ_A induces a probability space over $(Plays(A), \mathcal{F})$. Indeed one can easily define a measure $\mu_{s_0}^{\varphi_E, \varphi_A} : Cones \to [0, 1]$ on cones and then uniquely extend it as a probability measure on \mathcal{F} using the Carathéodary Unique Extension Theorem. For this, one defines $\mu_{s_0}^{\varphi_E, \varphi_A}$ inductively on cones:

- $-\mu_{s_0}^{\varphi_E,\varphi_A}(s) = 1$ if $s = s_0$ and $\mu_{s_0}^{\varphi_E,\varphi_A}(s) = 0$ otherwise.
- For every partial play λ ending in some vertex s,

$$\mu_{s_0}^{\varphi_E,\varphi_A}(\lambda \cdot s') = \mu_{s_0}^{\varphi_E,\varphi_A}(\lambda). \sum_{(\sigma_E,\sigma_A) \in \Sigma_E \times \Sigma_A} \varphi_E(\lambda)(\sigma_E).\varphi_A(\lambda)(\sigma_A).\delta(s,\sigma_E,\sigma_A)(s')$$

Denote by $\Pr_{s_0}^{\varphi_E,\varphi_A}$ the unique extension of $\mu_{s_0}^{\varphi_E,\varphi_A}$ as a probability measure on \mathcal{F} . Then $(Plays(\mathcal{A}), \mathcal{F}, \Pr_{s_0}^{\varphi_E,\varphi_A})$ is a probability space. Note that, in particular, $\Pr_{s_0}^{\varphi_E,\varphi_A}((Outcomes(s_0,\varphi_E,\varphi_A)) = 1$.

2.4 Objectives, Value of a Game

Fix a concurrent arena \mathcal{A} . An objective for Eve is a measurable set $\mathcal{O} \subseteq Plays(\mathcal{A})$: a play is won by her if it belongs to \mathcal{O} ; otherwise it is won by Adam. A **concurrent game** is a triple $(\mathcal{A}, s_0, \mathcal{O})$ where \mathcal{A} is a concurrent arena, s_0 is an initial state and \mathcal{O} is an objective. A **concurrent game with imperfect information** is a tuple $(\mathcal{A}, \sim_E, \sim_A, s_0, \mathcal{O})$ where $(\mathcal{A}, \sim_E, \sim_A)$ is now a concurrent arena with imperfect information.

In the sequel we may focus on the following special classes of objectives (note that all of them are Borel sets hence measurable).

- A **reachability objective** is of the form S^*FS^{ω} : a play is winning if it eventually goes through some final state.
- A safety objective is the complement of a reachability objective, *i.e.* is of the form $(S \setminus F)^{\omega}$: a play is winning if it never go through a final state.
- A **Büchi objective** is of the form $\bigcap_{k\geq 0} S^k S^* F S^{\omega}$: a play is winning if it goes infinitely often through final states.
- A **co-Büchi objective** is the complement of a Büchi objective, *i.e.* is of the form $S^*(S \setminus F)^{\omega}$: a play is winning if goes finitely often through final states.

A reachability (resp. safety, Büchi, co-Büchi) game is a game equipped with a reachability (resp. safety, Büchi, co-Büchi) objective, and in the sequel we may omit \mathcal{O} when it is clear from the context.

Fix a concurrent game $\mathbb{G} = (\mathcal{A}, s_0, \mathcal{O})$. The value of \mathbb{G} for Eve is defined as

$$\operatorname{Val}_{E}(\mathbb{G}) = \inf_{\varphi_{A}} \sup_{\varphi_{E}} \operatorname{Pr}_{s_{0}}^{\varphi_{E}, \varphi_{A}}(\mathcal{O})$$

where φ_E (resp. φ_A) ranges over strategies for Eve (resp. Adam).

If $\mathbb{G} = (\mathcal{A}, \sim_E, \sim_A, s_0, \mathcal{O})$ is now a concurrent game with imperfect information, its **value** $\operatorname{Val}_E(\mathbb{G})$ is defined exactly in the same way except that now φ_E (resp. φ_A) ranges over observation-based strategies of Eve (resp. Adam).

A strategy φ_E is optimal for Eve, if it is such that

$$\operatorname{Val}_{E}(\mathbb{G}) = \inf_{\varphi_{A}} \operatorname{Pr}_{s_{0}}^{\varphi_{E}, \varphi_{A}}(\mathcal{O})$$

where φ_A ranges over Adam's strategies (or observation-based strategy if \mathbb{G} is an imperfect information game).

We may say that Eve **almost-surely wins** a game if her value is 1 and if she has an optimal strategy (called an **almost-surely winning strategy**). We may say that Eve **positively wins** a game if she has a strategy ψ (called a **positively-winning strategy**) such that for every strategy ψ of Adam, $\Pr_{s_0}^{\varphi_E,\varphi_A}(\mathcal{O}) > 0$. All these notions are defined for Adam by duality.

Note that it may happen that Eve does not have an almost-surely winning strategy while the value of the game is 1 and, for the same reason, it may happen that Adam has a positively winning strategy while the value of the game is 1. These facts are already true for concurrent reachability game (with perfect information) [2]. Note also that a positively winning strategy for Adam in a reachability concurrent game (i.e. Adam wants a safety property to hold) may in general require infinite memory [2].

3 Knowledges

Let (A, \sim_E, \sim_A) be a concurrent arena with imperfect information with $A = \langle S, \Sigma_E, \Sigma_A, \delta, F \rangle$ and let $s_0 \in S$ be some initial state. Let $\lambda = s_0 s_1 \cdots s_n$ be some partial play starting from s_0 . Due to imperfect information, after playing λ Eve does not know exactly in which state the play is but she only knows a subset of possible state she can be in. This subset is called the **knowledge of Eve after** λ . To define it, we consider UpKnow: $2^S \times [S]_{/\sim E} \to 2^S$ by letting

$$\text{UpKnow}(K, [s]_{\sim_E}) = \{t \sim_E s \mid \exists r \in K, \ \sigma_E \in \Sigma_E, \ \sigma_A \in \Sigma_A \text{ s.t. } \delta(r, \sigma_E, \sigma_A)(t) > 0\},$$

i.e. in order to update her current knowledge, observing in which equivalence class is the new control state Eve computes the set of all states in this class that may be reached from a state in her former knowledge (recall that she does not observe the action she played). The knowledge of Eve after a partial play is given by the following inductively defined function from S^* into 2^S :

Knowledge:
$$s_0 \mapsto \{s_0\},\$$

 $\lambda \cdot s \mapsto \text{UpKnow}(\text{Knowledge}(\lambda), [s]_{\sim_E}).$

Based on the notion of knowledge we define the **knowledge arena associated** with (A, \sim_E, \sim_A) , denoted $(A^K = \langle S^K, \Sigma_E, \Sigma_A, \delta^K, F^K \rangle, \sim_E^K, \sim_A^K)$ as follows:

$$-\ S^K = \{(s,K) \in S \times 2^S \mid K \subseteq [s]_{/\sim E}\};$$

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 - \delta^K((s,K),\sigma_E,\sigma_A)(s',K') = 0 \text{ if } K' \neq \operatorname{UpKnow}(K,[s']_{\sim_E}) \text{ and } \delta^K((s,K),\sigma_E,\sigma_A)(s',K') = \delta(s,\sigma_E,\sigma_A)(s') \text{ otherwise; } - F^K = (F \times 2^F) \cap S^K. \\ - (s,K) \sim_E^K (s',K') \text{ if and only if } K = K' \text{ (implying } s \sim_E s'). \\ - (s,K) \sim_A^K (s',K') \text{ if and only if } s \sim_A s'.
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Consider an observation-based strategy φ for Eve in the arena $(\mathcal{A}, \sim_E, \sim_A)$. Then it can be converted into an observation-based strategy on the associated knowledge arena. For this, remark that in the knowledge arena, those states reachable from the initial state $(s_0, \{s_0\})$ are of the form (s, K) with all states in K being equivalent with s with respect to \sim_E . Then one can define

$$\varphi^K((s_0, K_0)(s_1, K_1) \cdots (s_n, K_n)) = \varphi([s_0]_{\sim_E}[s_1]_{\sim_E} \cdots [s_n]_{\sim_E})$$

Note that φ^K is observation-based as, for all $0 \leq h \leq n$, $[s_h]_{\sim_E}$ is uniquely defined, by the preceding remark, from the K_h , that are exactly what is observed by Eve in the knowledge arena.

Conversely, any observation-based strategy in the knowledge arena can be converted into an observation-based strategy in the original arena. Indeed, consider some observation-based strategy φ^K in the knowledge arena: it as a mapping from $(2^S)^*$ into $\mathcal{D}(\Sigma_E)$ (the equivalent classes of the relation \sim_E^K are, by definition, isomorphic with 2^S). Now consider the observation-based strategy φ for Eve in the initial arena defined by:

$$\varphi(s_0s_1\cdots s_n) = \varphi^K(\text{Knowledge}(s_0)\text{Knowledge}(s_0s_1)\cdots\text{Knowledge}(s_0\cdots s_n))$$

Note that this last transformation (taking a strategy φ^K and producing a strategy φ) is the inverse of the first transformation (taking a strategy φ and producing a strategy φ^K). In particular, it proves that the observation-based strategies in both arena are in bijection.

It should be clear that those strategies for Adam in both games are the same (as what he observes is identical). Based on the previous observations, we easily derive the following.

Proposition 1. Let $\mathbb{G} = (A, \sim_E, \sim_A, s_0, \mathcal{O})$ be some imperfect information game equipped with a reachability (resp. saftey, Büchi, co-Büchi) objective. Let $\mathbb{G}^K = (A^K, \sim_E^K, \sim_A^K, (s_0, \{s_0\}), \mathcal{O}^K))$ be the associated game played on the knowledge arena. Then for any strategies φ_E, φ_A for Eve and Adam, the following holds: $\Pr_{s_0}^{\varphi_E, \varphi_A}(\mathcal{O}) = \Pr_{(s_0, \{s_0\})}^{\varphi_E^K, \varphi_A}(\mathcal{O}^K)$. In particular, Eve has an almost-surely winning observation-based strategy in \mathbb{G} if and only if she has one in \mathbb{G}^K .

In the setting of the previous proposition, consider the special case where Eve has an almost-surely winning observation-based strategy φ^K in \mathbb{G}^K that is memoryless. Then the corresponding almost-surely winning observation-based strategy φ in \mathbb{G} is, in general, not memoryless, but can be implemented by a finite transducer whose set of control states is precisely the set of possible knowledges for Eve. More precisely the strategy consists in computing and updating on the fly (using a finite automaton) the value of the knowledge after the current partial play and to pick the next action by solely considering the knowledge. We may refer at such a strategy φ as a **knowledge-based memoryless strategy**.

4 Reachability Objectives

The main result of this section is the following.

Theorem 1. For any reachability concurrent game with imperfect information, one can decide, in doubly exponential time, whether Eve has an almost-surely winning strategy. If Eve has such a strategy then she has a knowledge-based uniform memoryless strategy, and such a strategy can be effectively constructed.

To prove Theorem 1, one first establishes its second assertion by defining, in a non constructive way (relying on Proposition 2), a knowledge-based uniform memoryless strategy φ for Eve. To prove that φ is almost-surely winning, one needs to prove that it is almost surely-winning against any strategy of Adam. Nevertheless, once φ is fixed (and as it is a memoryless knowledge-based strategy), one gets a new game in which only Adam is making choices. Proving that φ is almost surely winning is therefore equivalent to proving that Adam cannot positively wins in this new game (for a safety objective). For this, we first establish that in a $1\frac{1}{2}$ -player safety game, positively winning strategies can be chosen to be finite-memory (Lemma 1) and that their existence is decidable. This fact permits to prove that the strategy φ is an almost-surely winning one (Proposition 3). It also implies that it is decidable whether a knowledge-based positional strategy is almost-surely winning for Eve. Hence, to decide whether Eve almost-surely wins the game, it suffices to exhaustively try all knowledge-based uniform memoryless strategies (those are finitely many).

A concurrent game (with imperfect information) in which one player has only a single available action is what we refer as a $1\frac{1}{2}$ -player game with imperfect information (those games are also known in the literature as partially observable Markov Decision Processes).

Lemma 1. Consider an $1\frac{1}{2}$ -player safety game with imperfect information. Assume that the player has an observation-based strategy that is positively winning. Then she also has an observation-based finite memory strategy that is positively winning. Moreover, both the strategy and the set of positively winning states can be computed in time $\mathcal{O}(2^{|S|})$.

Proof (Sketch). Consider the knowledge arena and call a knowledge K surely winning if the player has a knowledge based strategy that is surely winning from any (s, K) with $s \in K$. We prove, that if the player has a positively winning strategy, then the set of winning knowledges is non empty and that it comes with a memoryless sure winning strategy (that consists in staying in the sure winning component). This set also contains at least a singleton $\{s\}$ (meaning that if the player knows that she is in s then she can surely win): call such states s surely winning. Then, one proves that positively winning states are exactly those that are connected (in the graph sense) to some sure winning state by a path made of non-final states. Hence a positively winning strategy consists in playing some initial actions randomly (trying to reach a sure winning state) and then in mimicking a knowledge-based memoryless sure winning strategy. Complexity comes with a fixpoint definition of the previous objects

For the rest of this section, we fix a concurrent game with imperfect information $\mathbb{G} = (\mathcal{A}, \sim_E, \sim_A, s_0, \mathcal{O})$ equipped with a reachability objective \mathcal{O} . We set $\mathcal{A} = \langle S, \Sigma_E, \Sigma_A, \delta, F \rangle$. We also consider $\mathbb{G}^K = (\mathcal{A}^K, \sim_E^K, \sim_A^K, (s_0, \{s_0\}), \mathcal{O}^K)$ to be the corresponding knowledge game.

We let $\mathcal{K}^{AS} = \{K \in 2^S \mid \exists \varphi_E \text{ knowledge-based strategy for Eve s.t. } \varphi_E \text{ is almost-surely winning for Eve in } \mathbb{G}^K \text{ from any } (s, K) \text{ with } s \in K\}$

be the set of equivalent classes (with respect to \sim_E^K) made only by almost-surely winning states for Eve (note here that we require that the almost-surely winning strategy is the same for all configurations with the same knowledge). For every knowledge $K \in \mathcal{K}^{AS}$ we define

Allow(K) =
$$\{\sigma_E \in \Sigma_E \mid \forall s \in K, \forall \sigma_A \in \Sigma_A, \\ \delta^K((s, K), \sigma_E, \sigma_A)((s', K)) > 0 \Rightarrow K' \in \mathcal{K}^{AS} \}$$

Proposition 2. For every knowledge $K \in \mathcal{K}^{AS}$, Allow(K) $\neq \emptyset$.

We consider now a (well-defined) knowledge-based uniform memoryless strategy φ for Eve on $K \in \mathcal{K}^{AS}$ by letting

$$\varphi(K)(\sigma_E) = \begin{cases} \frac{1}{|\text{Allow}(K)|} & \text{if } \sigma_E \in \text{Allow}(K) \\ 0 & \text{otherwise} \end{cases}$$

The next proposition shows that φ is almost-surely winning for Eve.

Proposition 3. The strategy φ is almost-surely winning for Eve from states whose Eve's knowledge is in \mathcal{K}^{AS} .

Now one can prove Theorem 1. First Eve almost-surely wins in G if and only if she almost-surely wins in \mathbb{G}^K if and only if $\{s_0\} \in \mathcal{K}^{AS}$, i.e. (using Proposition 3) if and only if Eve has a knowledge-based uniform memoryless strategy in \mathbb{G}^K . Now, to decide whether Eve almost-surely wins \mathbb{G} , it suffices to check, for any possible knowledge-based uniform memoryless strategy φ for her, whether it is almost-surely winning. Once φ is fixed, it leads, from Adam's point of view, to a $1\frac{1}{2}$ -player safety game \mathbb{G}_{φ} where the player positively wins if and only if φ is not almost-surely winning. Hence Lemma 1 implies that deciding whether φ is almost-surely winning can be done in time exponential in the size of \mathbb{G}_{φ} , which itself is of exponential size in |S|. Hence deciding whether a knowledge-based uniform memoryless strategy for Eve is winning can be done in doubly exponential time (in the size of |S|). The set of knowledge-based uniform memoryless strategies for Eve is finite and it size is doubly exponential in the size of the game. Hence the overall procedure, that tests every possible such strategies, requires doubly exponential time. As effectivity is immediate, this concludes the proof of Theorem 1.

The naive underlying algorithm of Theorem 1 turns out to be optimal.

Theorem 2. Deciding whether Eve almost-surely wins a concurrent game with imperfect information is a 2-ExpTime-complete problem.

Proof (sketch). The proof is a generalisation of a similar result given in [7] showing ExpTime-hardness of concurrent games only one player is imperfectly informed. The idea is to simulate an alternating exponential space Turing machine (without input). We design a game where the players describe the run of such a machine: transitions from existential (resp. universal) states are chosen by Eve (resp. Adam) and Adam is also in charge of describing the successive configurations of the machine. To prevent him from cheating, Eve can secretly mark a cell of the tape, and latter check whether it was correctly updated (if not she wins). As she cannot store the exact index of the cell (it is of exponential size), she could cheat in the previous phase: hence Adam secretly marks some bit and one recall the value of the corresponding bit of the index of the marked cell: this bit is checked when Eve claims that Adam cheated (if it is wrong then she is loosing). Eve also wins if the described run is accepting. Eve can also restart the computation whenever she wants (this is useful when she cannot prove that Adam cheated): hence if the machine accepts the only option for Adam is to cheat, and Eve will eventually catch him with probability one. Now if the machine does not accept, the only option for Eve is to cheat, but it will be detected with positive probability.

5 Büchi Objectives

We now consider the problem of deciding whether Eve almost-surely a Büchi game. The results and techniques are similar to the one for reachability games. In particular, we need to establish the following intermediate result.

Lemma 2. Consider an $1\frac{1}{2}$ -player co-Büchi game with imperfect information. Assume that the player has an observation-based strategy that is positively winning. Then she also has an observation-based finite memory strategy that is positively winning. Moreover, both the strategy and the set of positively winning states can be computed in time $\mathcal{O}(2^{|S|})$.

From Lemma 2 and extra intermediate results we derive our main result. Again, the key idea is to prove that the strategy that plays randomly inside the almost-surely winning region is an almost-surely winning strategy. For this, one proves that it suffices to establish that this strategy is winning against any finite-memory strategy of Adam.

Theorem 3. For any Büchi concurrent game with imperfect information, one can decide, in doubly exponential time, whether Eve has an almost-surely winning strategy. If Eve has such a strategy then she has a knowledge-based uniform memoryless strategy, and such a strategy can be effectively constructed. The doubly exponential time complexity bound is optimal.

6 Discussion

The main contribution of this paper is to prove that one can decide whether Eve has an almost-surey winning strategy in a concurrent game with imperfect information equipped with a reachability objective or a Büchi objective.

A natural question is whether this result holds for other objectives, in particular for co-Büchi objectives. In a recent work [3], Baier et al. proved that it is undecidable whether, in a $1\frac{1}{2}$ -player co-Büchi game with imperfect information, the player can almost-surely win. The proof relies on simulating a probabilistic ω -automaton by a $1\frac{1}{2}$ -player imperfect information game: the states of the game are the one of the automaton, they are all equivalent for the player, and therefore an observation based strategy is an infinite word. In particular, the results of [3] imply that deciding whether Eve has an almost-surely winning strategy in the more general setting of concurrent game with imperfect information is undecidable. Nevertheless, one can consider the stochastic-free version of this problem (an arena is **deterministic** iff $\delta(q, \sigma_E, \sigma_A)(q') \in \{0, 1\}$ for all $q, q', \sigma_E, \sigma_A$) and investigate whether one can decide if Eve has an almost-surely winning strategy in a deterministic game equipped with a co-Büchi objective. Whether the previous problem can be reduced to this new one is not immediate. Nevertheless, if the probabilities in the $1\frac{1}{2}$ -player co-Büchi game with imperfect information are all rational, deciding almost-surely winning can be reduced to deciding almost-sure winning in a co-Büchi deterministic concurrent game with imperfect information where moreover, one player has perfect information [12]. Hence, it seems rather unclear to find interesting subclasses of co-Büchi concurrent games with imperfect information for which almost-sure winning is decidable.

As already mentioned, there exist games whose value is 1 but where Eve does not have an optimal strategy. In this case, she has a family $(\varphi_{\varepsilon})_{0<\varepsilon<1}$ of strategies such that φ_{ε} ensures to win with probability at least $1-\varepsilon$. Such a family is called a **limit-sure strategy**. Deciding existence of limit-sure strategies is a very challenging problem: indeed, in many practical situations, it is satisfying enough if one can control the risk of failing. A closely related question is to decide whether Adam has a positively winning strategy. Note that in this case, Adam may need infinite memory as it is already the case in the perfect information deterministic setting for safety objective (for Adam) [2]. Even if those questions have been solved for perfect information games [1], as far as we know, there has not been yet any work done in the imperfect information setting.

Even if the algorithms provided in this paper are optimal, they are rather naive (checking all strategies for Eve may cost a lot in practice). Hence, one should look for fixpoint-based algorithms (as it already exists for simpler models as the one studied in [7]): it would be of great help for a symbolic implementation, and it could also be a useful step toward a solution of the problem of finding limit-sure winning strategies. Note that there are already efficient techniques and tools for finding *sure* winning strategies in subclasses of concurrent games with imperfect information [5,4].

Finally, we say that Adam is **more informed** than Eve if $s \sim_A s' \Rightarrow s \sim_E s'$. Dually one defines the property of Eve being more informed than Adam. Note

that, in the proof of Theorem 2, the game that is used to simulate an alternating exponential space Turing Machine is such that no player is more informed than his adversary. This seems to be a key requirement to obtain the lower bound, and it would be natural to investigate whether the complexity can be lowered when some player is more informed than the other one. In particular, it is already known that, when Adam has perfect information, the complexity is exponentially smaller (this follows from [7] for the deterministic case and it can easily be extended if one considers stochastic transition functions). We conjecture that it is always the case whenever some player is more informed than the other one.

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Appendix

A Proofs of Section 4

A.1 Proof of Lemma 1

We first simplify the notations for the special case of $1\frac{1}{2}$ -player games. We define an $1\frac{1}{2}$ -player arena as a tuple $\mathcal{A} = \langle S, \Sigma, \delta, F \rangle$ where S is a finite set of control states, Σ is a finite set of actions, $F \subseteq S$ is a set of final states and $\delta: S \times \Sigma \to \mathcal{D}(S)$ is the transition (total) function. A $1\frac{1}{2}$ -player game is a tuple $\mathbb{G} = (\mathcal{A}, \sim, s_0, \mathcal{O})$ where \sim is an equivalence relation on states, $s_0 \in S$ is an initial state and \mathcal{O} is an objective (safety in the sequel). The notions of knowledge, knowledge arena and knowledge-based (memoryless) strategy are trivially adapted to this setting.

We will be interested in a special kind of strategies, that we call **ultimately knowledge-based memoryless strategy**. Such a strategy consists in playing randomly trying to reach a target state t and then play in an knowledge-based memoryless fashion assuming that t as been effectively reached. More formally, a strategy φ is an **ultimately knowledge-based memoryless strategy** if it is of the following form (where $t \in S$ and k < |S|): play uniformly randomly any action in Σ on the k first moves and then set knowledge to be $\{t\}$, update it along the play and pick the moves only depending on the current knowledge. Hence, it is of the following form:

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- \varphi(s_0 \cdots s_n) = d_{\text{univ}} \text{ if } n < k;
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– otherwise, $\varphi(s_1 \cdots s_n) = d_{\text{Knowledge}(t \cdot s_{k+1} \cdots s_n)}$ where d_{univ} is the uniform distribution over Σ and $d_K \in \mathcal{D}(\Sigma)$ for any $K \subseteq S$.

In particular those strategies are *finite memory strategy* (and the memory needed is the set $\{1, \dots k\} \cup 2^S$).

Lemma 1 is a direct consequence of the following slightly more precise lemma.

Lemma 3. Consider an $1\frac{1}{2}$ -player safety game with imperfect information. Assume that the player has an observation-based strategy that is positively winning. Then she also has an ultimately knowledge-based memoryless strategy that is positively winning. Moreover, both the strategy and the set of positively winning states can be computed in time $\mathcal{O}(2^{|S|})$.

Proof. In the sequel we will be interested in computing the set $S^{>0}$ of those states $s \in S$ from which the player has an observation-based strategy that is positively winning.

Consider the knowledge arena \mathcal{A}^K and the knowledge game \mathbb{G}^K associated with \mathcal{A} and \mathbb{G} . We define a notion of almost-surely winning knowledge by letting

$$\mathcal{K}^{\mathrm{AS}} = \{ K \in 2^S \mid \exists \varphi \text{ knowledge-based strategy s.t. } \forall s \in K,$$

$$\varphi \text{ is almost-surely winning for the player in } \mathbb{G}^K \text{ from } (s, K) \}$$

We claim that a knowledge $K \in \mathcal{K}^{AS}$ is actually surely winning: there exists a knowledge-based strategy φ such that $\forall s \in K$, $Outcomes(\varphi, (s, K)) \subseteq (Q \setminus F)^{\omega}$, *i.e.* playing accordingly to φ the player is sure that no play will be loosing for her. Indeed, consider the following (decreasing and bounded) sequence of knowledges:

$$\begin{cases} \mathcal{K}_0 = 2^{S \setminus F} \\ \mathcal{K}_{i+1} = \mathcal{K}_i \cap Pre(\mathcal{K}_i) \end{cases}$$

where

$$Pre(\mathcal{K}) = \{ K \in 2^{S \setminus F} \mid \exists \sigma, \ \forall s \in K, \ \delta^K((s, K), \sigma)((s', K')) > 0 \Rightarrow K' \in \mathcal{K} \}$$

is the set of (non-final) knowledges from which the player is sure that in the next step the play will be in \mathcal{K} .

Let \mathcal{K}^* be the limit of the sequence $(\mathcal{K}_i)_{i\geq 0}$. Then, we have the following fact.

Fact 1 The following equality holds: $K^{AS} = K^*$.

Proof. The inclusion $K^{AS} \supseteq K^*$ is immediate and it also come with a deterministic surely winning strategy for the player that simply consists in playing an action that ensures to stay inside K^* (such an action exists by definition of the Pre operator). For the converse inclusion, we prove that a knowledge $K \notin K^*$ cannot be in K^{AS} . For such a K we define its rank rk(K) = i to be unique interger i such that $K \in K_i \setminus K_{i+1}$ (by convention we let $K_{-1} = 2^S$) and we prove the result by induction on rk(K). For rk(K) = 0, the result is immediate. Now, assume that it holds for some i and let K be some knowledge with rk(K) = i + 1: for all $\sigma \in \Sigma$, there is some $s \in S$ and some configuration (s', K') with $\delta^K((s, K), \sigma)((s', K')) > 0$ and $rk(K') \leq i$: hence for any knowledge-based strategy φ there is some $s \in K$ such that the probability, after one move starting from (s, K), of reaching a configuration with a knowledge having a rank smaller or equal than i, is strictly positive. One concludes then by induction that $K \notin K^{AS}$.

The following short example gives some intuition for the next construction.

Example 1. Consider the arena depicted in Figure 1 (edges are labeled by both the pair of actions and the probability of reaching their target; final states are double circled). We assume that in this example $s \sim t \sim t'$.

In this example $\mathcal{K}^{AS} = \{\{t\}, \{t'\}\}\$. Note also that if one considers the corresponding knowledge game, the configurations $(t, \{t\})$ and $(t', \{t'\})$ are never

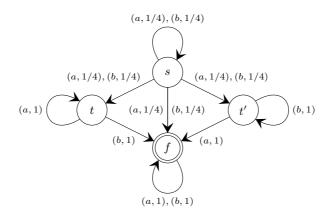


Fig. 1. Arena of Example 1

visited in a play starting from $(s, \{s\})$. Nevertheless the player has a strategy that wins with probability 1/4 starting from state s: the first action is to play randomly (with same probability) action a or b, and then to play a forever. The intuitive idea behind this strategy is rather simple: there are two safe states, t and t', that is states from which the player surely wins. Moreover those states can be possibly reached from s (they belong to the same connected component) but this cannot be detected due to the equivalence relation \sim . Hence the player bets that one of those states is reached (here state t) and behaves like if it is the case. Playing randomly on her first move is a way to ensure that with some positive probability (here 1/4) state t is reached. As from t the player can surely wins, following the associated (knowledge-based memoryless) strategy, she is sure to win if her bet was correct: altogether it provides a strategy that is winning with probability 1/4.

Define $S^{AS}=\{s\in S\mid \{s\}\in \mathcal{K}^{AS}\}$ and consider the following (increasing and bounded) sequence:

$$\begin{cases} W_0 = S^{AS} \\ W_{i+1} = W_i \cup \{ s \in S \setminus F \mid \exists \sigma \in \Sigma \text{ and } t \in W_i \text{ s.t. } \delta(s, \sigma)(t) > 0 \} \end{cases}$$

Let W be the limit of the sequence $(W_i)_{i\geq 0}$: it consists exactly of those states from which the player has a strategy ensuring to reach, in at most |S| moves and without going through a final state, a state in S^{AS} with some positive probability. Also note that the corresponding strategy is the one that plays with equal probability any action in Σ .

The states in W are actually those from which the player has a positively winning strategy.

Fact 2 The following equality holds: $W = S^{>0}$.

Proof. The inclusion $W \subseteq S^{>0}$ is rather immediate: from some state $s \in W$, the player should first play randomly on the first k rounds (where k is the smallest integer such that $s \in W_k$ in the previously defined sequence) and then play as if it was in some state $t \in S^{AS}$ (where t is some reachable state from s in k moves accordingly to the definition of the sequence $(W_i)_{i\geq 0}$). This last step is done using an observation-based strategy that mimics the one coming with the construction of \mathcal{K}^{AS} : the only trick here is that the player "reset" the knowledge to be $\{t\}$.

Consider now the converse inclusion: $S^{>0} \subseteq W$. Let s be some state in $S^{>0}$ and assume, by contradiction, that $s \notin W$. In particular a play starting from s will never go through a state in S^{AS} . We claim that playing accordingly to some knowledge-based strategy is almost-surely loosing for the player: indeed, as a consequence of the definition of S^{AS} (and of $\mathcal{K}^{\mathrm{AS}}$), from a state $t \notin S^{\mathrm{AS}}$, playing a knowledge-based strategy, the probability of visiting a final state in the next $2^{|S|}$ moves is some $\varepsilon > 0$. Moreover such a play stays outside of S^{AS} forever. Hence, using Borel-Cantelli Lemma, the probability that such a play never goes through a final state is 0, meaning that it is almost-surely loosing, thus contradicting the assumption that $s \in S^{>0}$. Hence, $s \in W$ which concludes the proof. $\Box_{[Fact\ 2]}$

Now one can conclude the proof of Lemma 1: the existence of the ultimately knowledge-based memoryless strategy follows from Fact 2. Effectivity as well as complexity come from the constructive way of defining W (and other intermediate objects) by means of fixpoint computations. $\Box_{[Lemma\ 3]}$

A.2 Proof of Proposition 2

Proposition 2. For every knowledge $K \in \mathcal{K}^{AS}$, Allow(K) $\neq \emptyset$.

Proof. Consider some knowledge $K \in \mathcal{K}^{AS}$ and assume by contradiction that Allow(K) = \emptyset . By definition there exists some knowledge-based strategy φ_E for Eve in \mathbb{G}^K that is almost-surely winning from any state (s, K) with $s \in K$. Strategy φ_E gives the same distribution for all partial plays of the form (s, K) (i.e. consisting of a single state indistinguishable by Eve). Moreover there exists $\sigma_E \in$ Σ_E such that $\varphi_E(s,K)(\sigma_E) > 0$ and, as Allow(K) = \emptyset , there is some action $\sigma_A \in$ Σ_A and some configuration (s', K') such that $\delta^K((s, K), \sigma_E, \sigma_A)(s', K') > 0$ and $K' \notin \mathcal{K}^{AS}$. Consider the strategy φ_E' for Eve defined by $\varphi_E'(\lambda) = \varphi_E((s,K) \cdot \lambda)$, i.e. φ_E' mimics strategy φ_E . In particular, as $K' \notin \mathcal{K}^{AS}$, it means that there is some $s'' \in K'$ such that φ'_E is not almost-surely winning for Eve in \mathbb{G}^K from (s'', K'). Now, by considering the way the knowledge is updated, one concludes that there is some state $s''' \in S$ such that $\delta^K((s''', K), \sigma_E, \sigma_A))(s'', K') > 0$. Indeed, if one considers the strategy of Adam that plays σ_A and then mimics a counter strategy against φ_E' , it follows that this strategy ensures Adam to win with positive probability while playing against φ_E starting from (s''', K). This contradicts our initial assumption on φ_E being almost-surely winning from all configuration of the form (s, K) with $s \in K$. Hence Allow $(K) \neq \emptyset$. $\square_{[Proposition 2]}$

A.3 Proof of Proposition 3

Proposition 3. The strategy φ is almost-surely winning for Eve from states whose Eve's knowledge is in \mathcal{K}^{AS} .

Proof. In order to prove that φ is almost-surely winning one needs to show that it is almost-surely winning against any observation-based strategy φ_A of Adam, i.e. $\Pr^{\varphi,\varphi_A}_{(s_0,\{s_0\})}(\mathcal{O})=1$. Note that once φ is fixed, and as it is a knowledge-based memoryless strategy, it induces a new game, denoted \mathbb{G}_{φ} , where only Adam makes choices, and where φ 's choices are now part of the stochastic aspect of the game. More formally, \mathbb{G}_{φ} is the $1\frac{1}{2}$ -player saftey game $\mathbb{G}_{\varphi}=(\mathcal{A}_{\varphi},\sim_A^K,(s_0,\{s_0\}),\mathcal{O})$ where $\mathcal{A}_{\varphi}=\langle S^K,\Sigma_A,\delta_{\varphi},F^K\rangle$ with

$$- \delta_{\varphi}((s,K),\sigma_A)(s',K') = \sum_{\sigma_E \in \Sigma_E} \delta^K((s,K),\sigma_E,\sigma_A)(s',K').\varphi(K)(\sigma_E)$$

As a strategy for Adam in \mathbb{G} (equivalently in \mathbb{G}^K) can be seen as well as a strategy in game \mathbb{G}_{φ} and *vice versa*, while preserving the value of the game (against φ in \mathbb{G}), one derives the following fact.

Fact 3 Strategy φ is almost-surely winning in \mathbb{G}^K if and only if the player has no positively winning observation-based strategy in \mathbb{G}_{φ} .

As \mathbb{G}_{φ} is a $1\frac{1}{2}$ -player safety concurrent game with imperfect information, one can use the previous results. In particular Lemma 3 implies that in order to prove Proposition 3, it suffices to prove that φ is winning against any strategy in \mathbb{G}^K that is obtained by mimicking an ultimately memoryless knowledge-based strategy of the player in $\mathbb{G}_{\varphi}^{\dagger}$. As those strategies are finite memory strategies one derives the following fact.

Fact 4 Strategy φ is almost-surely winning in \mathbb{G}^K if and only if it is almost-surely winning against any finite-memory observation-based strategy of Adam.

Fix such a finite memory strategy $\psi = (Move, Up, m_0)$ for Adam (let M be the finite memory used here). Now from M, Up and \mathcal{A}^K one can construct a new arena whose set of states is $S^K \times M$ and where the M component is updated accordingly to Up: the idea is just to make explicit the value of the

[†]An ultimately memoryless knowledge-based strategy of the player in \mathbb{G}_{φ} is a finite memory strategy that uses as a memory a set of integers $\{1, \cdots, k\}$ (for the initial part) together with a set of knowledge. Note that a knowledge \mathbb{G}_{φ} is a subset of states, *i.e.* is a subset of pairs made of a control state $s \in S$ and of a subset $K \subseteq S$ that represents a knowledge of Eve in the previous game \mathbb{G} (hence Adam is not only computing the possible states he can be in but he is also computing the set of knowledges Eve can have about the play. This somehow proves that to positively wins against φ he would use doubly exponential size memory). Nevertheless the only important thing is that it is a finite memory strategy, and that it can hence be translated in another finite memory strategy in \mathbb{G}^K .

memory at any stage and to update it explicitly in the arena. Next, if one modifies the equivalence relation of Adam \sim_A to only distinguish between those configurations that have a different memory content, and if one modifies \sim_E so that Eve has no information on the M component, one obtains a new game in which any finite-memory strategy of Adam with memory M and update function Up in the previous game is transformed into a memoryless observation-based strategy for him.

More formally, this leads to consider the arena $\mathcal{A}^K_{Up} = \langle S^K \times M, \Sigma_E, \Sigma_A, \delta^K_{Up}, F^K \times M \rangle \text{ where } \\ \delta^K_{Up}((s,K,m),\sigma_E,\sigma_A))(s',K',m') = 0 \text{ if } m' \neq Up(m,[s']_{\sim_A}) \text{ and } \\ \delta^K_{Up}((s,K,m),\sigma_E,\sigma_A))(s',K',m') = \delta^K((s,K),\sigma_E,\sigma_A))(s',K') \text{ otherwise.}$

The new equivalence relations are given by $(s,K,m) \equiv_E (s',K',m')$ iff $(s,K) \sim_E^K (s',K')$ and $(s,K,m) \equiv_A (s',K',m')$ iff m=m'. Let \mathbb{G}_{Up}^K be this new game. Any strategy for Eve in \mathbb{G}^K can be seen as a strategy in \mathbb{G}_{Up}^K and vice versa.

Hence from now on we may only work in \mathbb{G}_{Up}^K and assume that ψ is a memoryless knowledge-based strategy for Adam and our goal is to prove that φ almost-surely wins against ψ from any configuration in $\{(s,K,m_0)\mid K\in\mathcal{K}^{\mathrm{AS}} \text{ and } s\in K\}$. Actually, one will prove a slightly stronger result, namely that φ almost-surely wins against ψ from any configuration in $\{(s,K,m)\mid m\in M,\ K\in\mathcal{K}^{\mathrm{AS}} \text{ and } s\in K\}$

We will first define an increasing sequence of subsets of almost-surely winning positions for Eve in \mathbb{G}_{Up}^K and later we will prove that its limit is the set of all positions with a knowledge in $\mathcal{K}^{\mathrm{AS}}$ and that φ is actually an almost-surely winning strategy from those positions. For some configuration (s, K, m) and some action $\sigma_E \in \Sigma_E$ and some distribution of actions d in $\mathcal{D}(\Sigma_A)$, we define $\mathrm{Post}_{\sigma_E, d}((s, K, m))$ as the set of all possible next states when Eve plays σ_E and Adam picks an action according to d from (s, K, m):

$$\operatorname{Post}_{\sigma_{\mathbf{E}},\mathbf{d}}((\mathbf{s},\mathbf{K},\mathbf{m})) = \{(s',K',m') \mid \exists \sigma_{A} \text{ s.t. } d(\sigma_{A}) > 0$$
 and
$$\delta^{K}((s,K,m),\sigma_{E},\sigma_{A})((s',K',m')) > 0\}$$

Consider the following increasing sequence (Rank_i)_{$i \ge 0$}: Rank₀ = $2^{F^K} \times M$ consists of trivially winning positions and

$$\operatorname{Rank}_{i+1} = \operatorname{Rank}_{i} \cup \{(s, K, m) \mid K \in \mathcal{K}^{\operatorname{AS}}$$
 and $\exists \ \sigma_{E} \in \operatorname{Allow}(K) \text{ s.t. } \operatorname{Post}_{\sigma_{E}, \operatorname{Move}(m)}(s, K, m) \cap \operatorname{Rank}_{i} \neq \emptyset \}$

Let us denote by Rank* the limit of the sequence $(\operatorname{Rank}_i)_{i\geq 0}$. We claim that $\operatorname{Rank}^* = \{(s,K,m) \mid K \in \mathcal{K}^{\operatorname{AS}}\}$ and that φ is an almost-surely winning strategy for Eve from those positions when Adam plays accordingly to ψ .

The inclusion Rank* $\subseteq \{(s, K, m) \mid K \in \mathcal{K}^{AS}\}$ is forced by the definition of Rank*. The fact that φ is an almost-surely winning strategy for Eve from positions in Rank* when Adam plays accordingly to ψ is a simple consequence of how $(\text{Rank}_i)_{i>0}$ is defined and of Borel-Cantelli Lemma. Indeed from any

configuration in Rank_i, there is a non null probability to reach a final state in the next i moves while playing φ against ψ and moreover the play surely stays inside Rank* while playing φ against ψ (at least until some final state is visited): hence the probability, that a play starting from Rank*, in which Eve follows φ and Adam follows ψ , to never reach $F^K \times M$ is null.

In order to prove the other inclusion, we let $X = \{(s, K, m) \mid K \in \mathcal{K}^{\mathrm{AS}}\} \setminus \mathrm{Rank}^*$ and assume by contradiction that $X \neq \emptyset$. By definition, for any element $(s, K, m) \in X$ we have that $\forall \sigma_E \in \mathrm{Allow}(K)$, $\mathrm{Post}_{\sigma_E, \mathrm{Move}(m)}(s, K, m) \subseteq X$. This means in particular that following φ from such a configuration, and if Adam plays accordingly to ψ , then Eve surely looses as the play surely stay in X and $X \cap 2^{F^K} \times M = \emptyset$. Now we claim that the same holds if one replaces φ by any almost-surely winning strategy for Eve, leading to a contradiction. Indeed consider an almost-surely winning strategy φ^{AS} for Eve. Then we claim the following fact.

Fact 5 Let λ be a partial play consisting only of configurations in X. Assume that, for some strategy ψ' of Adam, λ is a possible partial play accordingly to both φ^{AS} and ψ' (more formally, $\Pr_{(s,K,m)}^{\varphi,\psi'}(cone(\lambda)) > 0$ where (s,K,m) denotes the initial configuration of λ). Then for any action $\sigma_E \in \Sigma_E$, one has $\varphi^{AS}(\lambda)(\sigma_E) > 0$ if and only if $\sigma_E \in \text{Allow}(K')$ where K' denotes Eve's knowledge in the last configuration of λ .

Proof (Fact 5). The proof is by contradiction. Consider some $\lambda, \varphi^{AS}, \psi, \sigma_E$ violating the property. Then one can find an equivalent play $\lambda' \sim_E \lambda$ such that λ' ends in a configuration (s', K', m') and there is an action $\sigma_A \in \Sigma_A$ such that $\delta^K((s', K', m'), \sigma_E, \sigma_A)((s'', K'', m'')) > 0$ for some $K'' \notin \mathcal{K}^{AS}$ (existence of λ' follows by the construction of the knowledge arena). Now consider the strategy of Adam that first mimics ψ and then after $|\lambda'|$ moves plays σ_A and then plays accordingly to a strategy ensuring from (s'', K'', m'') that Eve's does not surely wins (such a strategy exists as $K'' \notin \mathcal{K}^{AS}$): then against this strategy φ^{AS} is not almost-surely winning, leading a contradiction $\Box_{[Fact5]}$

Now one is ready to conclude. Assume Adam plays accordingly to ψ and Eve plays accordingly to some almost-surely winning strategy φ^{AS} . Then it follows from Fact 5 and definition of X that a play starting in X stays forever in X, hence never visits $F^K \times M$ and contradicting the hypothesis that φ^{AS} is almost-surely winning. Therefore $X = \emptyset$, which concludes the proof of Proposition 3. $\square_{[Proposition\ 3]}$

A.4 Proof of Theorem 2

Theorem 2. Deciding whether Eve almost-surely wins a concurrent game with imperfect information is an 2-ExpTime hard problem.

Proof (Sketch). The proof is a rather standard for lower bounds in two-player game. It goes by simulating an exponential space alternating Turing machine.

Eve is in charge of choosing transitions from existential states of the machine while Adam picks the transition from universal states. Adam is also in charge to describe the configurations of the Turing machine after each transition. If some final configuration of the Turing machine is eventually reached, the play goes in a final state and Eve wins. Imperfect information is used to prevent the player to cheat. Adam could cheat when describing a new configuration of the Turing machine. To prevent this while Adam describes a configuration, Eve can store some symbol (this is encoded in the state of the game) and Adam cannot detect this (this is handled by imperfect information). Then when Adam describes the next configuration she can ask for checking that the previously stored symbol has been correctly updated: if it is not the case, the game stops and goes in a final state from which Eve wins. When describing the configuration Adam gives for each symbol its index written in binary coding (hence it is of linear length). Of course, as the Turing machine uses an exponential space, it is not possible to remember the whole index of the symbol guessed by Eve and hence she could cheat. To prevent this, before describing a configuration, Adam stores in the control state some index i (that Eve does not see): then when Eve chooses a symbol to possibly check later, one also store its i-th bit (none of the player see it). Later, if Eve wants to check that some symbol was correctly updated, it is also checked that the i-th bit of the coding of its index coincide with the one that was store: if it is not the case (hence Eve cheated) the game goes in a non-final looping state forever (and hence Eve looses). Of course, Adam could cheat and Eve might not prove it as she did not correctly guessed where Adam would cheat in the coding: hence at any moment she can restart the simulation from the beginning.

Now, we claim that if the Turing machine accepts Eve has an almost-surely winning strategy, that consists in simulating an accepting run, and in proving (if possible) that Adam cheats and reset if Adam cheats and if Eve cannot prove it: this is an almost-surely winning strategy as Adam is forced to cheat infinitely often and will be caught with probability 1. On the other hand, if the Turing machine does not accept, the strategy for Adam that consists in making a correct simulation is winning with positive probability: indeed the only option for Eve is to claim that Adam cheated, but this might fail with positive probability due to the hidden bit stored by Adam.

Also note that one has to implement a mechanism that forces Adam to correctly enumerate the indices when describing a configuration: this is done by allowing Eve to store the value of some bit and check that the corresponding bit in the next index is correct with respect to it: if not the play goes in a winning state for Eve.

Finally, one easily check that the game is of polynomial size, which concludes the proof. $\Box_{[Theorem\ 2]}$

B Proofs of Section 5

B.1 Proof of Lemma 2

Lemma 2 is a direct consequence of the following slightly more precise lemma.

Lemma 4. Consider an $1\frac{1}{2}$ -player co-Büchi game with imperfect information. Assume that the player has an observation-based strategy that is positively winning. Then she also has an ultimately knowledge-based memoryless strategy that is positively winning. Moreover, both the strategy and the set of positively winning states can be computed in time $\mathcal{O}(2^{|S|})$.

Proof. Fix an arena $\mathcal{A} = \langle S, \Sigma, \delta \rangle$ together with a co-Büchi game $\mathbb{G} = (\mathcal{A}, \sim s_0, F)$ (recall here that a play is winning if and only if it belongs to $S^*(S \setminus F)^{\omega}$, *i.e.* it visits finitely often the set F).

In the sequel we will be interested in computing the set $S^{>0}$ of those states $s \in S$ from which the player has an observation-based strategy that is positively winning.

The proof is very similar to the one of Lemma 3, and therefore we reuse the notations as well as intermediate results. Recall that to win a safety game with positive probability the player needs to reach a state from which she is sure not to visit a final state (those states were denoted $S^{\rm AS}$). In order to reach such a state, the player plays randomly on some fixed number of initial moves. Then she bets that she reached some good state $s \in S^{\rm AS}$ and plays as if it is the case and in such a way to surely win (actually the state s is guessed from the very beginning and is part of the strategy). As this second stage can be done mimicking a knowledge-based memoryless strategy, this leads to an ultimately knowledge-based memoryless strategy.

An important point is that the state s should be reached without visiting a final state in the meantime. If the game is equipped now with a co-Büchi objective, it no longer matter. This remark leads to the following definition. Consider the following (increasing and bounded) sequence:

$$\begin{cases} \hat{W}_0 = S^{AS} \\ \hat{W}_{i+1} = \hat{W}_i \cup \{ s \in S \mid \exists \sigma \in \Sigma \text{ and } t \in \hat{W}_i \text{ s.t. } \delta(s, \sigma)(t) > 0 \} \end{cases}$$

Let \hat{W} be the limit of the sequence $(\hat{W}_i)_{i\geq 0}$: it consists exactly of those states from which the player has a strategy ensuring her to reach, in at most |S| moves, a state in S^{AS} with some positive probability. Also note that the corresponding strategy is the one that plays randomly (with equiprobability) any action in Σ . Hence the only difference between the set \hat{W} and the set W defined in the proof of Lemma 3 is that one allows to have final states in the fixpoint definition of \hat{W} .

The states in \hat{W} are actually those from which the player can wins the co-Büchi game with a positive probability.

Fact 6 The following equality holds: $\hat{W} = S^{>0}$.

Proof. The inclusion $\hat{W} \subseteq S^{>0}$ is rather immediate: from some state $s \in \hat{W}$, the player should first play randomly on the first i rounds (where i is the smallest integer such that $t \in \hat{W}_i$ in the previously defined sequence) and then play as if it was in some state $t \in S^{AS}$ (where t is some reachable state from s in i moves accordingly to the definition of the sequence $(\hat{W}_i)_{i\geq 0}$). This last step is done using an observation-based strategy that mimics the one coming with the construction of \mathcal{K}^{AS} : the only trick here is that the player "reset" the knowledge to be $\{t\}$.

Consider now the converse inclusion: $S^{>0} \subseteq \hat{W}$. Let s be some state in $S^{>0}$ and assume, by contradiction, that $s \notin \hat{W}$. In particular a play starting from s will never go through a state in S^{AS} . We claim that playing accordingly to some knowledge-based strategy is almost-surely loosing for the player: indeed, as a consequence of the definition of S^{AS} (and of \mathcal{K}^{AS}), from a state $t \notin S^{AS}$, playing a knowledge-based strategy, the probability of visiting a final state in the next $2^{|S|}$ moves is some $\varepsilon > 0$. Moreover such a play stays outside of S^{AS} forever. Hence, for any k > 0, using Borel-Cantelli Lemma, the probability of such a play to visit at most k final states is 0 hence implying that the probability of going finitely often through F is 0 too. This means that s is surely loosing for the player and contradicts the initial hypothesis of $s \in S^{>0}$. Hence, $s \in \hat{W}$ which concludes the proof. $\Box_{[Fact \ 6]}$

Now one can conclude the proof of Lemma 2: the existence of the ultimately knowledge-based memoryless strategy follows from Fact 2. Effectivity as well as complexity comes from the constructive way of defining \hat{W} (and other intermediate objects) by means of fixpoint computations. $\Box_{[Lemma\ 2]}$

B.2 Proof of Theorem 3

Theorem 3. For any Büchi concurrent game with imperfect information, one can decide, in doubly exponential time, whether Eve has an almost-surely winning strategy. If Eve has such a strategy then she has a knowledge-based uniform memoryless strategy, and such a strategy can be effectively constructed. The doubly exponential time complexity bound is optimal.

For the rest of this section, we fix a concurrent game with imperfect information $\mathbb{G} = (\mathcal{A}, \sim_E, \sim_A, s_0, \mathcal{O})$ equipped with a Büchi objective \mathcal{O} . We set $\mathcal{A} = \langle S, \Sigma_E, \Sigma_A, \delta, F \rangle$. We also consider $\mathbb{G}^K = (\mathcal{A}^K, \sim_E^K, \sim_A^K, (s_0, \{s_0\}), \mathcal{O}^K)$ to be the corresponding knowledge game.

The proof follows the same line as the one to prove Theorem 1. The main idea is again to prove that the strategy that stay inside the configuration with an almost-surely winning knowledge is almost-surely winning.

Again, we let

 $\mathcal{K}^{\mathrm{AS}} = \{K \in 2^S \mid \exists \varphi_E \text{ knowledge-based strategy for Eve s.t. } \varphi_E \text{ is almost-surely winning for Eve in } \mathbb{G}^K \text{ from any } (s, K) \text{ with } s \in K\}$

be the set of equivalent classes (with respect to \sim_E^K) made only by almost-surely winning states for Eve (note here that we require that the almost-surely winning strategy is the same for all configurations with the same knowledge). For every knowledge $K \in \mathcal{K}^{AS}$ we define

Allow(K) =
$$\{\sigma_E \in \Sigma_E \mid \forall s \in K, \forall \sigma_A \in \Sigma_A, \\ \delta^K((s, K), \sigma_E, \sigma_A)((s', K)) > 0 \Rightarrow K' \in \mathcal{K}^{AS} \}$$

We then get a result similar to Proposition 2 (the proof is exactly the same as the one of Proposition 2).

Proposition 4. For every knowledge $K_E \in \mathcal{K}_E^{AS}$, $Allow(K_E) \neq \emptyset$.

Again, we define now a knowledge-based uniform memoryless strategy φ for Eve on $K \in \mathcal{K}^{\mathrm{AS}}$ by letting

$$\varphi(K)(\sigma_E) = \begin{cases} \frac{1}{|\text{Allow(K)}|} & \text{if } \sigma_E \in \text{Allow(K)} \\ 0 & \text{otherwise} \end{cases}$$

The next proposition shows that φ is almost-surely winning for Eve.

Proposition 5. The strategy φ is almost-surely winning for Eve from states whose Eve's knowledge is in \mathcal{K}_E^{AS} .

Proof. In order to prove that φ is almost-surely winning one needs to show that it is almost-surely winning against *any* observation-based strategy φ_A of Adam, *i.e.* $\Pr_{(s_0,\{s_0\})}^{\varphi,\varphi_A}(\mathcal{O}) = 1$. Again, as in the reachability case, once φ is fixed, and as it is a knowledge-based memoryless strategy, it induces a $1\frac{1}{2}$ -player co-Büchi game denoted \mathbb{G}_{φ} and defined exactly as in the proof of Proposition 3.

As a strategy for Adam in \mathbb{G} (equivalently in \mathbb{G}^K) can be seen as well as a strategy in game \mathbb{G}_{φ} and *vice versa*, while preserving the value of the game (against φ in \mathbb{G}), one derives the following fact.

Fact 7 Strategy φ is almost-surely winning in \mathbb{G}^K if and only if the player has no positively winning observation-based strategy in the co-Buchi \mathbb{G}_{φ} .

As \mathbb{G}_{φ} is a $1\frac{1}{2}$ -player co-Büchi concurrent game with imperfect information, one can use Lemma 4 to conclude that, in order to prove Proposition 3, it suffices to prove that φ is winning against any *finite-memory* observation-based strategy of Adam in \mathbb{G}^K .

Fact 8 Strategy φ is almost-surely winning in \mathbb{G}^K if and only if it is almost-surely winning against any finite-memory observation-based strategy of Adam.

Fix such a finite memory strategy $\psi = (Move, Up, m_0)$ for Adam (let M be the finite memory used here). As in the proof of Proposition 3 it leads to define a new game \mathbb{G}_{Up}^K (we keep here the same notations / definitions). Again, we may

assume that ψ is a memoryless knowledge-based strategy for Adam and our goal is to prove that φ almost-surely wins against ψ from any configuration in $\{(s, K, m_0) \mid K \in \mathcal{K}^{AS} \text{ and } s \in K\}$. Actually, one will prove a slightly stronger result, namely that φ almost-surely wins against ψ from any configuration in $\{(s, K, m) \mid m \in M, K \in \mathcal{K}^{AS} \text{ and } s \in K\}$.

We will first define an increasing sequence of subsets of almost-surely winning positions for Eve in \mathbb{G}_{Up}^K and later we will prove that its limit is the set of all positions with a knowledge in $\mathcal{K}^{\mathrm{AS}}$ and that φ is actually an almost-surely winning strategy from those positions. For some configuration (s, K, m) and some action $\sigma_E \in \Sigma_E$ and some distribution of actions d in $\mathcal{D}(\Sigma_A)$, we define $\mathrm{Post}_{\sigma_{\mathrm{E}},\mathrm{d}}((s,\mathrm{K},\mathrm{m}))$ as the set of all possible next states when Eve plays σ_E and Adam picks an action according to d from (s,K,m):

$$\operatorname{Post}_{\sigma_{\mathbb{E}}, \mathbf{d}}((\mathbf{s}, \mathbf{K}, \mathbf{m})) = \{(s', K', m') \mid \exists \sigma_A \text{ s.t. } d(\sigma_A) > 0$$
 and
$$\delta^K((s, K, m), \sigma_E, \sigma_A)((s', K', m')) > 0\}$$

Consider the following increasing sequence (Rank_i)_{$i \ge 0$}: Rank₀ = $(2^{F^K} \cap \mathcal{K}^{AS}) \times M$ consists of trivially winning positions and

$$\begin{aligned} \operatorname{Rank}_{i+1} &= \operatorname{Rank}_i \cup \{(s,K,m) \mid K \in \mathcal{K}^{\operatorname{AS}} \\ &\text{and } \exists \ \sigma_E \in \operatorname{Allow}(K) \ \text{s.t.} \ \operatorname{Post}_{\sigma_E,\operatorname{Move}(m)}(s,K,m) \cap \operatorname{Rank}_i \neq \emptyset \} \end{aligned}$$

Let us denote by Rank* the limit of the sequence $(Rank_i)_{i\geq 0}$. We claim that $Rank^* = \{(s, K, m) \mid K \in \mathcal{K}^{AS}\}$ and that φ is an almost-surely winning strategy for Eve from those positions when Adam plays accordingly to ψ .

The inclusion $\operatorname{Rank}^*\subseteq\{(s,K,m)\mid K\in\mathcal{K}^{\operatorname{AS}}\}$ is forced by the definition of Rank^* . The fact that φ is an almost-surely winning strategy for Eve from positions in Rank^* when Adam plays accordingly to ψ is a simple consequence of how $(\operatorname{Rank}_i)_{i\geq 0}$ is defined and of Borel-Cantelli Lemma. Indeed from any configuration in Rank_i , there is a non null probability to reach a final state in the next i moves while playing φ against ψ and moreover the play surely stay inside Rank^* while playing φ against ψ : hence for any $k\geq 0$, the probability, that a play starting from Rank^* , in which Eve follows φ and Adam follows ψ , visits at most k time a final configuration is null. Therefore the probability of going infinitely often through a final state is 1, meaning that φ is almost-surely winning in Rank^* .

In order to prove the other inclusion, we let $X = \{(s, K, m) \mid K \in \mathcal{K}^{\mathrm{AS}}\} \setminus \mathrm{Rank}^*$ and assume by contradiction that $X \neq \emptyset$. By definition, for any element $(s, K, m) \in X$ we have that $\forall \sigma_E \in \mathrm{Allow}(\mathrm{K})$, $\mathrm{Post}_{\sigma_{\mathrm{E}}, \mathrm{Move}(\mathrm{m})}(s, \mathrm{K}, \mathrm{m}) \subseteq X$. This means in particular that following φ from such a configuration, and if Adam plays accordingly to ψ , then Eve surely looses as the play surely stay in X and $X \cap 2^{F^K} \times M = \emptyset$. Now we claim that the same holds if one replaces φ by any almost-surely winning strategy for Eve, leading to a contradiction. Indeed consider an almost-surely winning strategy φ^{AS} for Eve. Then we have the following fact (whose proof is omitted as it is exactly the same as the one of Fact 5).

Fact 9 Let λ be a partial play consisting only of configurations in X. Assume that, for some strategy ψ' of Adam, λ is a possible partial play accordingly to both φ^{AS} and ψ' (more formally, $\Pr_{(s,K,m)}^{\varphi,\psi'}(cone(\lambda)) > 0$ where (s,K,m) denotes the initial configuration of λ). Then for any action $\sigma_E \in \Sigma_E$, one has $\varphi^{AS}(\lambda)(\sigma_E) > 0$ if and only if $\sigma_E \in \text{Allow}(K')$ where K' denotes Eve's knowledge in the last configuration of λ .

Now one is ready to conclude. Assume Adam plays accordingly to ψ and Eve plays accordingly to some almost-surely winning strategy φ^{AS} . Then it follows from Fact 9 and definition of X that a play starting in X stays forever in X, hence never visits $F^K \times M$ and contradicting the hypothesis that φ^{AS} is almost-surely winning. Therefore $X = \emptyset$, which concludes the proof of Proposition 5. $\square_{[Proposition\ 5]}$

Now one concludes the proof of Theorem 3 exactly as for the proof of Theorem 1. The 2-ExpTime hardness lower bound follows from the fact that it already holds for reachbility objective (Theorem 2).