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# In Situ IR Spectroscopy and Ab Initio Calculations To Study Polymer Swelling by Supercritical CO<sub>2</sub>

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The CO<sub>2</sub> sorption and polymer swelling of hydroxytelechelic polybutadiene (HTPB) and poly(ethylene glycol) (PEG) have been investigated as a function of temperature and  $CO_2$  pressure by combining in situ nearinfrared spectroscopy with molecular modeling. The results reported here for the PEG-CO<sub>2</sub> system are in a very good agreement with literature data hence validating our experimental procedure. It has been found that CO<sub>2</sub> sorption and swelling effect is more important for PEG than for HTPB. For both polymers, an increase of temperature leads to a strong decrease of both the CO<sub>2</sub> sorption and swelling. In order to identify at a molecular level the nature and strength of intermolecular interaction occurring between  $CO_2$  and the polymers, ab initio calculations have been performed on model structures, representative of the main functional group of the polymer, and their complex with CO<sub>2</sub>. Trans-3-hexene (3-Hex), propyl methyl ether (PME) and methoxytrimethylsilane (MTMS) have been selected to mimic the functional groups of HTPB, PEG and polydimethyl siloxane (PDMS), respectively. The last system has been chosen since previous works on the swelling of PDMS by high pressure  $CO_2$  have revealed the high ability of  $CO_2$  to swell both uncrosslinked and crosslinked PDMS. The calculated stabilization energies of the MTMS-CO<sub>2</sub>, PME-CO<sub>2</sub>, and 3-Hex-CO<sub>2</sub> dimers indicate that CO<sub>2</sub> interacts specifically with the three moieties through a Lewis acid–Lewis base type of interaction with the energies displaying the following order:  $E(MTMS-CO_2) = -3.59 > E(PME-CO_2)$  $= -3.43 > E(3-Hex-CO_2) = -2.5$  kcal/mol. Since the solubility of CO<sub>2</sub> in the corresponding homopolymers follows the same order, it is evidenced that the stronger the interaction between  $CO_2$  and the polymer, the higher the  $CO_2$  sorption. Therefore, even if one cannot exclude the influence of free volume and chain flexibility of the polymer, it appears that the solubility of CO<sub>2</sub> in the polymer is predominantly governed by the interaction between  $CO_2$  and the polymer. Although the same trend is observed for the swelling of the polymer as a function of the  $CO_2$  pressure, we have found that for a given value of  $CO_2$  sorption, the swelling of the polymer depends on its nature, meaning that the swelling is not only governed by the  $CO_2$ -polymer interaction but also by other intrinsic properties of the polymer.

#### 1. Introduction

The use of supercritical carbon dioxide (scCO<sub>2</sub>) as a substituent to harmful solvent systems for both chemical syntheses and polymer processing has been the subject of a particular attention in the last years.<sup>1–7</sup> Indeed, its critical coordinates are easily accessible ( $T_c = 31 \text{ °C}$ ,  $p_c = 7.38 \text{ MPa}$ ,  $\rho_c = 0.468 \text{ g} \cdot \text{cm}^{-3}$ ), and CO<sub>2</sub> is nonpoisonous, nonflammable, chemically inert, inexpensive, and easily available. In addition, scCO<sub>2</sub> exhibits a good solubility in a number of polymers; increasing the CO<sub>2</sub> pressure induces a significant increase of the initial volume of the polymer (swelling) and

modifies its morphological and functional properties. In other words, the addition of small amounts of compressed CO<sub>2</sub> to the polymer phase results in substantial changes of its physical properties such as its viscosity, permeability, interfacial tension, and glass transition temperature. Thus, carbon dioxide can be used as a temporary plasticizer, facilitating the absorption of additives into polymers. Another great advantage of scCO<sub>2</sub> compared to traditional organic solvents is that it leaves quickly the polymer during the depressurization of the system, without any solvent residue. Consequently, the stage of drying, necessary when using a liquid solvent, is nonexistent here. This polymer processing method has found a large number of applications in various fields such as particle formation, foaming, and blending as well as impregnation of active chemical species that have been recently reviewed in the literature.<sup>2,3,8</sup> Therefore, understanding the mechanisms underlying the CO<sub>2</sub> solubility and diffusivity in polymers, as well as their subsequent swelling in all these processes is of great importance as they

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Figure 1. Structures of the polymers and their corresponding model structures.

are expected to provide a wide range of opportunities in polymer processing for the plastics industry.

A large variety of polymers have already been investigated such as poly(ethylene glycol) PEG, polydimethyl siloxane (PDMS), poly(methyl methacrylate) PMMA, polystyrene (PS), polycarbonate (PC), polyethylene terephthalate (PET).<sup>9–14</sup> Some reviews<sup>2,3</sup> present good literature data surveys on  $CO_2$ —polymers systems, showing that the solubility of scCO<sub>2</sub> in a polymer can be either negligible or reach a weight percentage of about 40%, depending on its nature. To get such CO<sub>2</sub> sorption data, various methods have been developed such as the barometric, gravimetric, and the frequency modulation methods.<sup>2</sup> More recently, spectroscopy techniques such as attenuated total reflectioninfrared (ATR-IR)<sup>15–17</sup> and near-infrared (NIR) transmission spectroscopy<sup>18</sup> have proven very effective in the simultaneous measurement of CO<sub>2</sub> sorption and polymer swelling, according to the CO<sub>2</sub> pressure, for many polymers.

Although it is not easy to rationalize the current state of the art on the understanding of the polymer-CO<sub>2</sub> phase behavior, it is now generally admitted that the affinity of CO<sub>2</sub> for a polymer is mainly related to intermolecular interactions occurring between  $CO_2$  and the polymer, even if in some cases the chain flexibility as well as the free volume of the polymer has a greater effect on the solubility than the gas-polymer interactions.<sup>19</sup> These specific intermolecular forces and their relationship with CO<sub>2</sub> sorption were investigated qualitatively by few authors using FTIR spectroscopy.<sup>20,21</sup> However, no quantitative relationship between the nature and the strength of the interactions between the polymer and  $CO_2$  and its ability to swell the polymer has been reported at this point. Indeed, to the best of our knowledge, the molecular origin of the ability of CO<sub>2</sub> to swell a polymer has only been little investigated in detail for fluoropolymers<sup>22</sup> and polyethylene<sup>23</sup> using molecular modeling methods. In particular, the work on polymer-gas mixtures reported by van der Vegt23 was aimed to determine quantities like solvation Gibbs energies, enthalpies, and entropies using molecular dynamics simulations, as well as the contributions of polymer reorganization and penetrant binding to the solvation enthalpy (the interactions among the dissolved penetrants may be ignored). Thus, in the solvation process, there is a competition between the work required to create a cavity in the polymer (that implies a polymer reorganization) and the binding energy between the solute and the polymer. For weak interacting gases like He, Ne, and  $H_2$ , the energy associated with the polymer reorganization processes dominates over the solute binding interaction with the polymer, rendering positive values of the solvation enthalpy. However, an opposite behavior was found for CO<sub>2</sub>, where the solute binding interaction was found to be predominant.

These considerations prompt us to investigate at the molecular level how far the sorption of high-pressure CO<sub>2</sub> in model polymers is correlated to the nature and strength of the  $CO_2$ -polymer interaction. In this purpose, we have chosen to investigate three different polymers, namely PDMS, PEG, and hydroxytelechelic polybutadiene (HTPB) (Figure 1). The choice of these model systems was driven by our wish to study different homopolymers that can be more or less swollen by scCO<sub>2</sub>. First, previous studies concerning the swelling of PDMS by high pressure CO<sub>2</sub> have revealed the high ability of CO<sub>2</sub> to swell both uncrosslinked and crosslinked PDMS. Swelling values of PDMS by CO<sub>2</sub> as high as 60% at 50 °C and 10 MPa have been reported.<sup>11,16</sup> The second polymer, PEG, can be considered as an intermediate system as it can be swollen by CO<sub>2</sub> by up to 25% at 40 °C and 10 MPa.<sup>10,24</sup> Finally, we have studied HTPB, which is expected to have a lower swelling rate. Although PEG has already been the subject of numerous investigations, it has been considered in this study as a validation system for our experimental procedure, which has been further applied to determine the swelling and CO<sub>2</sub> sorption of HTPB. Finally, literature data concerning PDMS have been compared to our results obtained for PEG and HTPB. In this work, we have chosen to use in situ NIR transmission spectroscopy for a quantitative determination of the CO<sub>2</sub> sorption and swelling of PEG and HTPB in scCO<sub>2</sub>. Performing transmission spectroscopy in the spectral range 4000-10 000 cm<sup>-1</sup> has some advantages over the traditional mid-infrared spectroscopy, as previously shown by Guadagno et al.<sup>18</sup> In particular, molar absorption coefficients of combination bands are expected to exhibit little sensitivity upon temperature and pressure conditions,<sup>25,26</sup> which is not the case for fundamentals. For example, Buback et al.<sup>27</sup> have shown that the molar absorption coefficient of combination bands of CO<sub>2</sub> were almost independent of the CO<sub>2</sub> density. Therefore, this spectral region has been often used for concentration measurements. Besides, the use of in situ NIR spectroscopy makes it possible to detect impurities that could be present in the polymer, inducing potentially a non-negligible effect on the swelling of the polymer.

Meanwhile, ab initio calculations have been performed in order to obtain information on the nature of the polymer-CO<sub>2</sub> intermolecular interactions. Although statistical mechanical simulations are well adapted to get insights of the phase behavior of polymer-gas mixtures, ab initio calculations are preferred in order to compute accurate interaction energies. The calculations have been performed on model structures that are representative of the main functional groups of the backbone of PDMS, PEG, and HTPB (Figure 1). The equilibrium geometries of these model structures and their complexes with CO<sub>2</sub>, in particular, on methoxytrimethylsilane (MTMS), propyl methyl ether (PME), and trans-3-hexene (3-Hex), representative of PDMS, PEG, and HTPB, respectively, have been investigated. Note that such an approach does not take into account neither the polymer-polymer interaction, the CO<sub>2</sub>-CO<sub>2</sub> interaction nor the solvent accessible surface area<sup>28</sup> of CO<sub>2</sub> in connection with the free volume of the polymer. However, it was previously reported<sup>22,23,28</sup> that in the case of  $CO_2$ , these effects may be less relevant than the CO<sub>2</sub>-polymer interactions. Therefore, we have decided to use such accurate method in order to rationalize the relation between the nature and strength of the polymer-CO<sub>2</sub> interaction and the concentration of CO<sub>2</sub> that can be incorporated into the polymer.

#### 2. Experimental Section

**2.1. Chemicals.** PEG (Mw = 400 g/mol) was purchased from Sigma. Hydroxytelechelic polybutadiene or HTPB R45 HT (Mn = 2600 g/mol) was provided by Arkema. Structures of the polymers are displayed in Figure 1. Carbon dioxide N45 (purity 99.95%) was supplied by Air Liquide.

**2.2. Infrared Setup.** The infrared absorption measurements were performed on a Biorad interferometer (type FTS-60A) equipped with a dual source capability (a globar and a tungsten halogen source), two different beamsplitters (a KBr/Ge and a quartz plate) and a DTGS (deuterated triglycine sulfate) detector in order to investigate the spectral ranges  $(400-6500 \text{ cm}^{-1})$  and  $(3000-11500 \text{ cm}^{-1})$ , respectively. Single beam spectra recorded with a 2 cm<sup>-1</sup> resolution were obtained after the Fourier transformation of 50 accumulated interferograms.

The near-infrared absorption experiments were performed using a homemade stainless steel cell<sup>29</sup> equipped with four cylindrical sapphire windows with a path length of 6.7 mm. The sealing was obtained using the unsupported area principle. The windows were positioned on the surface of a stainless plug, while a 100  $\mu$ m Kapton foil placed between the window and the plug compensated for any imperfections between the two surfaces. Teflon O-rings were used to ensure the sealing between the plug and the cell body. The cell was heated using cartridge heaters disposed in the periphery of the body of the cell. Two thermocouples were used, the first one located close to a cartridge heater for the temperature regulation and the second one close to the sample area to measure the temperature of the sample with an accuracy of about 2 °C. The cell was connected via a stainless steel capillary to a hydraulic pressurizing system that allows the pressure to be raised up to 50 MPa with an absolute uncertainty of  $\pm 0.1$  MPa and a relative error of  $\pm 0.3\%$ . The stabilization of the operating conditions was controlled by recording several consecutive spectra.

**2.3. Experimental Procedure.** The cell was first filled with the polymer, then pumped under vacuum in order to remove any trace of water in the polymer and finally heated up to the required temperature. The spectra were recorded for the raw

polymer, then CO<sub>2</sub> was added up to the highest desired pressure. The system was kept under isobaric and isothermal conditions for a long period of time (typically from a few hours at high temperature up to 2-3 days at 40 °C) in order to ensure that the equilibrium was achieved. After recording the spectrum, the pressure was decreased to a lower value. For each pressure, the equilibrium was considered to be achieved when no change of the spectral bands was noticed.

#### 3. Computational Details

Calculations were performed on three model structures, namely MTMS, PME, and 3-Hex, selected to mimic the functional groups of PDMS, PEG and HTPB, respectively (Figure 1). The initial configuration used for MTMS,<sup>30</sup> PME, <sup>31</sup> and 3-Hex<sup>32</sup> was the lowest energy conformer previously reported by authors who investigated the conformational properties of these molecules using ab initio and density functional theory (DFT) calculations. Preliminary geometry optimizations were carried out using a semi empirical model (AM1<sup>33</sup>) to determine the most stable conformations of the MTMS-CO<sub>2</sub>, PME-CO<sub>2</sub> and 3-Hex-CO<sub>2</sub> adducts. These semiempirical calculations were carried out using the AMPAC software.<sup>34</sup> The lowest energy adducts obtained at the AM1 level were further investigated using an ab initio method implemented in the Gaussian 2003 package.35 Calculations of geometries and energies reported in this paper were carried out at the secondorder Moller-Plesset level (MP2), which includes explicitly the effects of electron correlation.<sup>36</sup> The augmented correlationconsistent polarized valence double basis sets (aug-cc-VDZ) proposed by Dunning and co-workers were used in these calculations.<sup>37-40</sup> Geometry computations were not subjected to a particular symmetry constraint, excepted for isolated CO<sub>2</sub>  $(D_{\infty h}$  symmetry). Stabilization energies of the adducts investigated in this paper were calculated using the "supermolecule" method as the difference in energy between each adduct and the sum of the isolated monomers. The basis set superposition errors (BSSE) were calculated using the full counter-poise method of Boys and Bernardi.<sup>41</sup> Molden was used to display the optimized geometry of the isolated moieties and the complex.42

#### 4. Results and Discussion

4.1. Near-Infrared Absorption Spectra. The infrared spectra of HTPB subjected to CO<sub>2</sub> were recorded for pressures up to 25 MPa and for three different temperatures: 40, 100, and 150 °C. Figure 2a illustrates the spectral changes in the wavenumber range  $4400-6200 \text{ cm}^{-1}$  that occur with an increasing CO<sub>2</sub> pressure. A number of significant peaks associated to combination or overtones of the polymer can be observed in the spectral range 4400-4800 and 5500-6200 cm<sup>-1</sup>. Increasing the  $CO_2$  pressure leads to a decrease of the intensities of the polymer bands (for example at 4720 and 6105  $cm^{-1}$ ), whereas the intensity of the  $CO_2$  peaks, characteristic of  $CO_2$ sorbed into the polymer (for example at  $4950 \text{ cm}^{-1}$ ), increases. Note that the three more intense peaks observed in Figure 2a (centered at 4480, 5660, and 5820 cm<sup>-1</sup>) are saturated in our experimental conditions and cannot be used for our purpose. Besides, the peaks centered at 4600, 4660, 4720 strongly overlap, making it difficult to extract accurate quantitative information from these contributions. Consequently, we have used the peak centered at 6105 cm<sup>-1</sup> to determine the swelling of HTPB subjected to scCO<sub>2</sub>. Concerning CO<sub>2</sub>, we can detect two peaks at 4950 and 5100 cm<sup>-1</sup> that are assigned to the combination modes  $v_1 + 2v_2 + v_3$  and  $2v_1 + v_3$  of the CO<sub>2</sub>



**Figure 2.** IR absorption spectra of HTPB (a) and PEG (b) at different pressures of  $scCO_2$  and constant temperature. The arrows indicate the intensity variation upon increasing the pressure. The asterisks show the peaks used for the determination of the  $CO_2$  sorption and the polymer swelling.

molecule, respectively.<sup>43</sup> Because of the weak intensity of the peak at 5100 cm<sup>-1</sup>, we have used the band at 4950 cm<sup>-1</sup> to estimate the evolution of the weight percentage of CO<sub>2</sub> incorporated into the polymer as a function of the CO<sub>2</sub> pressure. The near-infrared spectra of PEG 400 subjected to CO<sub>2</sub> was recorded the same way for pressures up to 20 MPa and for two different temperatures, 40 and 150 °C (see Figure 2b). In this case, we have used the peak centered at 4850 cm<sup>-1</sup> to determine the swelling of PEG, while using the band centered at 4950 cm<sup>-1</sup> to estimate the evolution of the weight percentage of CO<sub>2</sub> incorporated into the polymer.

**4.2.** Data Processing for the Determination of  $CO_2$  Sorption and Polymer Swelling. *a. Polymer Swelling*. As previously proposed by Guadagno et al.,<sup>18</sup> the polymer swelling can be calculated via the absorbance of a specific band of the polymer before and after exposure to  $CO_2$ , using the following procedure, according to the Beer–Lambert law:

$$A_0 = \varepsilon \cdot C_0 \cdot l \tag{1}$$

$$A = \varepsilon \cdot C \cdot l \tag{2}$$

Where  $A_0$ , A,  $C_0$ , and C are the absorbances of polymer bands and concentrations of polymer before and after exposure to CO<sub>2</sub>, respectively, and l is the path length of the cell. If V is the volume of the polymer before exposure to gas and  $V + \Delta V$  is the volume of the polymer during exposure to gas, one can write

$$\frac{C_0}{C} = \frac{V + \Delta V}{V} = 1 + \frac{\Delta V}{V} = 1 + S$$
(3)

Finally, after combination of these three equations, the swelling S is given by

$$S = \frac{A_0}{A} - 1 \tag{4}$$

On the basis of the above considerations, we have selected the peak centered at  $6105 \text{ cm}^{-1}$  to determine the swelling of HTPB.

The integrated areas  $A_0$  and A of this band were calculated in the wavenumber range (6080–6170 cm<sup>-1</sup>). In the case of PEG, we have used the peak centered at 4850 cm<sup>-1</sup>. However, the swelling was determined from the variation of the maximum absorbance of this peak instead of its integrated area.

**b.** CO<sub>2</sub> Sorption. In order to determine the concentration of CO<sub>2</sub> (C<sub>CO2</sub>) incorporated into the polymer, we have applied the Beer–Lambert law using the integrated absorbance of the  $\nu_1$  +  $2\nu_2$  +  $\nu_3$  band of CO<sub>2</sub> centered at 4950 cm<sup>-1</sup> as follows

$$\int_{\nu'}^{\nu''} A(\nu) \cdot \mathbf{d}(\nu) = \varepsilon \cdot C \cdot l \tag{5}$$

where  $\varepsilon$  is the molar absorption coefficient  $(L \cdot mol^{-1} \cdot cm^{-2})$ associated to the  $v_1 + 2v_2 + v_3$  band of CO<sub>2</sub>, *C* is the concentration of CO<sub>2</sub> (mol·L<sup>-1</sup>), and *l* is the path length of the cell (cm). The wavenumber range considered for the integration of the  $v_1 + 2v_2 + v_3$  band extends from 4880 (v') to 5030 cm<sup>-1</sup> (v''). The molar absorption coefficient was estimated in previous investigations<sup>27</sup> on pure CO<sub>2</sub> and was found to be constant over a wide range of density (up to 0.9 g·cm<sup>-3</sup>) and temperature (27 to 227 °C). Thus, the molar absorption coefficient of this peak was assumed to be the same when CO<sub>2</sub> swells the polymer and we have used for the considered wavenumber range a value of 10 L·mol<sup>-1</sup>·cm<sup>-2</sup>. For a straightforward comparison with the literature data, the weight percentage of CO<sub>2</sub> (% mass CO<sub>2</sub>) sorbed into the polymer matrix was calculated using the following expression:<sup>18</sup>

% mass CO<sub>2</sub> = 
$$\frac{C_{CO2}}{C_{CO2} + \frac{\rho_{pol}}{1+S}}$$
 (6)

Where  $\rho_{pol}$  (mol·L<sup>-1</sup>) represents the density of the polymer and *S* the swelling of the polymer after exposure to CO<sub>2</sub>, obtained from the ratio  $\Delta V/V$  (see above). Because of the presence of a weak peak of HTPB located at about 4960 cm<sup>-1</sup> (see Figure 2a), the spectrum of pure polymer at the considered temperature was subtracted for all registered spectra.

**4.3. CO**<sub>2</sub> **sorption in HTPB and PEG.** The weight percentage of sorbed CO<sub>2</sub> calculated using eqs 5 and 6 is reported in Figure 3 as a function of pressure at 40, 100, and 150 °C for HTPB and at 40 and 150 °C for PEG in Figure 4. For comparison, we have displayed in Figure 4 the results obtained by Guadagno et al.<sup>18</sup> and Gourgouillon et al.<sup>10</sup> for PEG 400 at



Figure 3. Weight percentage of CO<sub>2</sub> incorporated into polymer matrix of HTPB at 40 ( $\bullet$ ), 100 ( $\blacksquare$ ), and 150 °C ( $\blacktriangle$ ) as a function of the pressure of scCO<sub>2</sub>.



Figure 4. Comparison of weight percentage of CO<sub>2</sub> incorporated into polymer matrix of PEG at 40 ( $\bullet$ ) and 150 °C ( $\blacktriangle$ ) as a function of the pressure of scCO<sub>2</sub> with literature data.

40 °C and by Weidner et al.<sup>24</sup> for PEG 1500 at 43 °C. In the case of HTPB at 40 °C, the weight percentage of sorbed  $CO_2$  increases with the pressure to reach a plateaulike value of about 15% at 10 MPa indicating that above this pressure, no more  $CO_2$  can be incorporated into the polymer. A similar increase with a much less pronounced plateau-like behavior is observed for the measurements performed at higher temperatures (100 and 150 °C) but for a given pressure, an increase of temperature causes a decrease of the weight percentage of  $CO_2$  incorporated into the polymer.

In the case of PEG, it appears clearly that the weight percentage of  $CO_2$  sorbed, displaying the same plateau behavior as a function of  $CO_2$  pressure, is higher than in HTPB and found to be about 20% at 10 MPa. Although small discrepancies are observed between the different sets of data, probably due to the different experimental and analytical methods employed, an overall good agreement is obtained between our data and that previously reported. Similarly to the case of HTPB, an increase of temperature causes a decrease of the weight percentage of  $CO_2$  incorporated into the PEG, for a given pressure.

Thus, since the solubility of  $CO_2$  is higher in PEG than in HTPB,  $CO_2$ —ether interactions are expected to be stronger than  $CO_2$ -double C=C bond interactions. The nature of the molecular interactions responsible for the differences in the solubility of

 $CO_2$  into PEG and HTPB are investigated by means of quantum calculations in the Section 4.5.

4.4. Swelling of PEG and HTPB. The swelling of the polymer calculated using eq 4 is reported as a function of pressure at 40, 100, and 150 °C for HTPB in Figure 5 and at 40 and 150 °C for PEG in Figure 6. For comparison, we have displayed in Figure 6 the results obtained by Guadagno et al.<sup>18</sup> for PEG 400 at 40 °C. In the case of HTPB at 40 °C, the swelling increases with the pressure up to a maximum value of about 15% reached for a pressure of 15 MPa, above which CO<sub>2</sub> cannot swell additionally the polymer. A similar behavior is observed for the measurements performed at higher temperatures (100 and 150 °C). However, for a given pressure an increase of temperature causes a decrease of the polymer swelling. Considering the PEG, the swelling increases with the pressure up to a value of about 35% reached at a pressure of 20 MPa. Similarly to HTPB, an increase of temperature for a given pressure causes a decrease of PEG swelling. Finally, according to the CO<sub>2</sub> sorption, the comparison of Figures 5 and 6 clearly shows that PEG swelling is higher than that measured for HTPB.

4.5. Analysis of the Polymer– $CO_2$  Interactions from Ab Initio Calculations: Structural and Energetic Considerations. In order to investigate at a molecular level how the solubility of  $CO_2$  in PDMS, PEG, and HTPB is correlated to the nature



Figure 5. Swelling percentage of HTPB at 40 (●), 100 (■), and 150 °C (▲) as a function of the pressure of scCO<sub>2</sub>.



Figure 6. Comparison of swelling percentage of PEG at 40 ( $\bullet$ ) and 150 °C ( $\blacktriangle$ ) as a function of the pressure of scCO<sub>2</sub> with literature data.

and strength of the CO<sub>2</sub>-polymer interaction, we have performed ab initio calculations on three model structures, namely MTMS, PME, and 3-Hex, chosen to mimic the functional groups of PDMS, PEG, and HTPB, respectively. Although these quantum chemistry methods do not allow modeling the entire polymer the way it could be done through statistical simulations, accurate interaction energies between CO<sub>2</sub> and the characteristic functional groups of polymers can be obtained. Let us emphasize that high level quantum mechanical methods (accounting for electron correlation effects) are required in order to compute accurate energies as the interactions in these systems are expected to be weak. Therefore, in order to calculate the geometry of the isolated PME-CO2, MTMS-CO2, and 3-Hex-CO<sub>2</sub> pairs, we have performed ab initio calculations at the second order Moller-Plesset perturbation theory (MP2) using Dunning's aug-cc-pVDZ basis set. Figure 7 shows the optimized structures of the three dimers with the more relevant calculated structural parameters. Note that the initial configurations used for the PME, the 3-Hex, and the MTMS molecules, taken as the lowest energy conformers reported in previous studies, are almost unchanged upon complexation with CO<sub>2</sub>. However, the isolated CO<sub>2</sub> molecule, which has a  $d_{\infty h}$  symmetry and a C=O bond length of 1.18022 Å, is systematically perturbed upon complexation, in particular concerning the O=C=O angle (cf. Figure 7).

In the case of PME–CO<sub>2</sub> dimer, CO<sub>2</sub> is found to be above the oxygen atom of PME for which the donating lone pair interacts with the carbon atom of CO<sub>2</sub>. Such configuration reveals a Lewis Acid–Lewis Base (LA–LB) type of interaction where PME plays the role of a Lewis base and CO<sub>2</sub> the role of a Lewis acid. Such configuration is consistent with previous calculations performed on ether–CO<sub>2</sub> complex. <sup>44,45</sup> The calculated binding energy of the complex, corrected for the BSSE, is found to be approximately –3.43 kcal/mol, which is in good agreement with that reported in the literature for the methyl butyl ether (MBE)–CO<sub>2</sub> complex<sup>45</sup> (–3.49 kcal/mol at the MP2/ aug-cc-pVTZ level). Such interaction energy is not negligible and evidence the good affinity of ether function with CO<sub>2</sub> as it has been found for polymer containing ether group. <sup>45–47</sup>

Similarly to the PME–CO<sub>2</sub> adduct, CO<sub>2</sub> is found to be above the oxygen atom of MTMS in the MTMS–CO<sub>2</sub> dimer, which unveil a LA–LB type of interaction. However, the calculated binding energy corrected for the BSSE is found to be -3.59kcal/mol, which is a value slightly higher than the one found for the PME–CO<sub>2</sub> complex. Therefore, this higher energy of interaction could account for the significantly higher solubility of CO<sub>2</sub> in PDMS<sup>16</sup> than in PEG. Note that the solubility of CO<sub>2</sub> in PDMS has been found to be about 25% at 50 °C and 10 MPa.<sup>11,16</sup>



Figure 7. Optimized structure (MP2/aug-cc-pVDZ level) of the trans-3-hexene $-CO_2$  (A), propylmethylether $-CO_2$  (B), and the methyltrimethylsilane $-CO_2$  (C) dimer.



Figure 8. Optimized structure (MP2/aug-cc-pVDZ level) of the ethene $-CO_2$  (A), cis-dimethyl ethene $-CO_2$  (B) and trans-dimethyl ethene $-CO_2$  (C) dimer. Values in parentheses are reported for the molecule without  $CO_2$ .

Considering the 3-Hex–CO<sub>2</sub> dimer, the CO<sub>2</sub> molecule placed itself above the double bond of the 3-Hex molecule, the  $\pi$ electron of the C=C double bond interacting with the carbon atom of CO<sub>2</sub>. The calculated binding energy of the complex, corrected for the BSSE is about -2.5 kcal/mol. This value is lower than the ones reported for both PME–CO<sub>2</sub> and MTMS–CO<sub>2</sub> complexes, which could a posteriori explain why the solubility of CO<sub>2</sub> in HTPB is lower than in PEG and PDMS.

In order to evaluate the influence of the model structure on the energy of interaction between  $CO_2$  and a C=C double bond, we have performed the same calculations using ethene, trans-, and cis-dimethyl ethene as model structures of C=C double bond.

The optimized structures, calculated energies, and structural parameters are reported in Figure 8. The main features concerning the optimized structures are the same for all complexes:  $CO_2$  is found to placed itself above the C=C double bond, its main axis being almost parallel to the H-C=C-H plane, the angle between the main axis of  $CO_2$  and the C=C bond depending on the model structure.

Concerning the ethene– $CO_2$  complex, the structure is found to be in agreement with previous reported calculations.<sup>48</sup> Also, the energy of interaction between  $CO_2$  and ethene is found to be the lowest one of the series of ethene derivatives, about –1.5 kcal/mol. The calculated energies reported for the cis- and transdimethyl ethene are found to be almost the same (about –2.3 kcal/mol) and very close to the value calculated for the 3-Hex– $CO_2$  complex (–2.5 kcal/mol). Thus, whatever the model structure used to mimic the HTPB– $CO_2$  interactions, it appears that the interaction between  $CO_2$  and a C=C double bond is lower than the LA–LB interaction occurring between  $CO_2$  and an ether group.



Figure 9. Swelling percentage of PEG, PDMS, and HTPB as a function of the weight percentage of  $CO_2$  sorbed into the polymer.

Therefore, from these calculations, we have shown that the intermolecular interactions occurring between  $CO_2$  and our model structures display the following energy order:  $E(PDMS - CO_2) > E(PEG - CO_2) > E(HTPB - CO_2)$ .

Since the solubility of  $CO_2$  in these polymers follows the same order, we can consider that it is mainly driven by the  $CO_2$ -polymer interaction. Such conclusion is consistent with previous theoretical investigations,<sup>22,23,28</sup> evidencing that other factors such as polymer-polymer interactions,  $CO_2$ - $CO_2$  interactions, as well as the solvent accessible surface area of  $CO_2$  in connection with the free volume of the polymer have a much smaller influence on the ability of  $CO_2$  to be sorbed into the polymer. Therefore, in order to obtain the higher gas sorption in a given polymer, the choice of an appropriate gas that will afford strong interactions with the main functional group of the polymer is required.

4.6. Analysis of the Correlation between CO<sub>2</sub> Sorption and Polymer Swelling. In order to determine how the swelling of the polymer and the CO<sub>2</sub> sorption are correlated, we have reported in Figure 9 the swelling percentage of PDMS, PEG, and HTPB as a function of the weight percentage of CO<sub>2</sub> sorbed into the polymer. For a given temperature in the pressure range investigated in this study, one can notice an almost linear dependence of the swelling of PEG and HTPB as a function of the weight percentage of CO<sub>2</sub> sorbed into the polymer. Such correlation has been found and discussed by other authors for semicrystalline polymers in supercritical CO<sub>2</sub>.<sup>49,50</sup> The temperature seems to have almost no effect on the slope of these curves. However, for a given weight percentage of CO<sub>2</sub> sorbed into the polymer, the swelling of PEG is higher than that observed for HTPB. In the case of PDMS, the swelling and CO<sub>2</sub> sorption are not linearly connected, and it appears that the swelling is higher than PEG and HTPB when the CO<sub>2</sub> sorption is above 25%.

Therefore, as expected above, the swelling of the polymer appears to be correlated to the  $CO_2$  sorption since a higher  $CO_2$  sorption will induce a higher swelling of the polymer. However, the correlation observed between the swelling and the  $CO_2$  sorption is system specific and depends on several physical properties of the polymer such as the degree of crystallinity and crosslinking, the cohesivity (or the surface tension), the viscosity, and the chain flexibility.

#### 5. Conclusion

Our experimental results extend the suitability of NIR spectroscopy, introduced in ref 18, to determine the CO<sub>2</sub> sorption

and polymer swelling as a function of temperature and CO<sub>2</sub> pressure. In particular, the swelling and CO<sub>2</sub> sorption reported here for the PEG-CO<sub>2</sub> system are in a very good agreement with literature data. In addition, new results have been obtained for this system at higher temperature, while the swelling and sorption for the HTPB-CO<sub>2</sub> system were reported for the first time. It has been found that the CO<sub>2</sub> sorption and swelling rate of PEG is higher than that measured for HTPB. In addition, the CO<sub>2</sub> sorption and swelling rate of both polymers are much lower upon an increase of temperature. Ab initio molecular orbital calculations on model structures and their complexes with CO<sub>2</sub> have been performed in order to identify at a molecular level the nature and strength of intermolecular interactions occurring between CO<sub>2</sub> and PDMS, PEG, and HTPB, which may be responsible for the observed differences in the solubility of CO<sub>2</sub> in these polymers. Results of energy calculations of the MTMS-CO<sub>2</sub>, PME-CO<sub>2</sub>, and 3-Hex-CO<sub>2</sub> dimers indicate that CO<sub>2</sub> interacts specifically with the three moieties through a Lewis acid-Lewis base type of interaction with the energies displaying the following order:  $E(MTMS-CO_2) = -3.59 >$  $E(PME-CO_2) = -3.43 > E(3-Hex-CO_2) = -2.5$  kcal/mol. Since the solubility of CO<sub>2</sub> in the corresponding homopolymers follows the same order, we can claim that the solubility of CO<sub>2</sub> in the polymer is mainly governed by the interactions between  $CO_2$  and the main functional group of the polymer. This means that the stronger the interaction between CO<sub>2</sub> and the polymer, the higher the CO<sub>2</sub> sorption. Thus, it appears that even if one cannot exclude the influence of free volume and chain flexibility of the polymer, the role of such property seems to be less significant than the interaction between  $CO_2$  and the polymer (as reported elsewhere<sup>22,23,28</sup>).

Accordingly, the same trend is observed for the swelling of the polymer as a function of the  $CO_2$  pressure. However, we have found that for a given  $CO_2$  sorption in a polymer, its swelling depends on the nature of the polymer. The swelling is certainly not only controlled by the  $CO_2$ -polymer interactions but also by other specific properties of the polymer such as its cohesivity (or the surface tension), its viscosity, and the chain flexibility.

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