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# Fault tolerant control of a three-phase three-wire shunt active filter system based on reliability analysis 

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#### Abstract

This paper deals with fault tolerant shunt three-phase three-wire active filter topologies for which reliability is very important in industry applications. The determination of the optimal reconfiguration structure among various ones with or without redundant components is discussed based on reliability criteria. First, the reconfiguration of the inverter is detailed and a fast fault diagnosis method for power semi-conductor or driver fault detection and compensation is presented. This method avoids false fault detection due to power semi-conductors switching. The control architecture and algorithm are studied and a fault tolerant control strategy is considered. Simulation results in open and short circuit cases validate the theoretical study. Finally, the reliability of the studied three-phase three-wire filter shunt active topologies is analyzed to determine the optimal one.


Keywords: Reliability analysis, Fault tolerant control, active filter, fault detection, fault compensation.

## Introduction

The reliability of power electronic equipments becomes extremely important in industry applications. The fault mode behaviour of static converters, protection and fault tolerant control of voltage source inverter systems has been covered in a large number of publications in the specific literature. Most of them are focused on induction motor drive applications. Kastha and Bose considered various fault modes of a voltage source Pulse Width Modulation (PWM) inverter system for induction motor drive [1]. They have studied rectifier diode short circuit, inverter transistor base driver open and inverter transistor short-circuit conditions. However, they do not propose to reconfigure the inverter topology. Thybo was interested in fault tolerant control of induction motor drive applications using analytical redundancy, providing solutions to most frequent occurring faults [2]. Da Silva et al. investigated fault detection of open-switch damage in voltage source PWM motor drive systems [3]. The authors mainly focused the study on detection and identification of the power switch in which the fault has occurred. In another paper, the utilization of a two-leg based topology is investigated when one of the inverter legs is lost [4]. Then, the machine operates with only two stator windings and the PWM control is modified to allow continuous operation of the drive. More recently, four-wire active filter in open phase case is studied in [5], [6] and the authors propose to reconfigure the PWM controler.

Moreover, most conventional control systems or controllers are designed for fault-free systems without taking into account the possibility of fault occurrence. To overcome these limitations, modern complex systems use sophisticated controllers which are developed with fault accommodation and tolerance capabilities, to perform reliability and performance requirements. The Fault Tolerant Control (FTC) system is a control system that can maintain system performance closely to the desirable one and preserves stability conditions, not only when the system is in fault-free case but also in the presence of faulty component, or at least ensures degraded performances, which can be accepted as a trade-off. FTC has been motivated by different goals for different applications; it could improve reliability and safety in industrial processes and safety-critical applications such as flight control and nuclear power plant operation [7]. Various studies on FTC are based on hardware or analytical redundancy. The hardware redundancy technique consists of switching from the failed part of the process to another achieving the same task. The analytical redundancy is an alternative to solve the FTC problem avoiding the disadvantages of the hardware redundancy such as high cost and space.

This paper deals with fault tolerant three-phase three-wire shunt active filter topologies. The determination of the optimal reconfiguration structure among various ones with or without redundant components is discussed. The fault tolerant system architecture is presented in section II. The section three presents the studied fault tolerant active filter topologies. The reconfiguration of the inverter is first detailed. Then, a fast and reliable fault diagnosis method for power semi-conductor or driver fault detection is presented in section four. This optimised detection method avoids false fault detection due to power semi-conductors switching. More, it allows to compensate the fault as fast as possible, after the fault occurrence, and consequently avoids a secondary fault. The next section describes the control architecture and algorithm. In the section six, the reliability of the three-phase three-wire shunt active filter topologies is analyzed. Based on the highest reliability criterion, the optimal structure is determined in order to achieve desired objectives as close as possible to nominal ones. The application results are presented before the conclusion and perspectives.

## I. Fault tolerant system architecture

Let us define the control problem by the triplet $\left\langle\gamma_{g}, C, U\right\rangle$, in the spirit of Staroswiecki [8], where:

- $\gamma_{g}$ Global objectives
- $C$ A set of constraints given by the structure of system $S_{m}$, and the parameters of closedloop system $\theta$
- $\quad U \quad$ A set of control laws

In fault-free case, this problem could be solved by defining a control law $u \in U$, such that the controlled system achieves the global objectives $\gamma_{g}$ under structure $S_{m}$ and parameters $\theta$ constraints. It is assumed that nominal global objectives $\gamma_{g}^{n o m}$ are achieved under a nominal control law $u_{\text {nom }}$ and a nominal structure $S_{\text {nom }}$ which uses some sub-systems. The fault occurrence is supposed to modify the structure $S_{\text {nom }}$ for which the objectives can be or can not be achieved under a new structure.


Fig. 1: Global FTC system architecture.

The FTC problem is then defined by $\left\langle\gamma_{g}, C, U\right\rangle$, which has a solution that could achieve $\gamma_{g}^{n o m}$ by changing the structure, parameters and/or control law of the post-fault system. Fig. 1 presents the global architecture of FTC system with ability of disconnection or replacement of faulty sub-systems. In some cases, no solution may exist, and then global objectives must be redefined to the degraded ones, denoted as $\gamma_{g}^{d}$.

Under assumptions that there exist several structures $S_{m} m=(1, \ldots, M)$, the problem statement is formulated by the following question: how to choose the optimal structure in the sense that for a given criterion J the chosen structure can maintain the objectives $\gamma_{g}^{n o m}$ ? An answer will be provided in [9] based on reliability analysis.

Compared to Guenab et al. [9], and due to the specific application, the present paper proposes a reliability analysis studied off-line. Some publications have introduced reliability analysis for fault tolerant control systems. Also Staroswiecki et al. [8] have proposed a sensor reconfiguration based on physical redundancy where the reliability analysis provided some information to select the optimal redundant sensors. In [10] and [11], Markov models are used to estimate the system reliability where it is supposed that the sub-systems take two states: intact (available) or failed (unavailable) [12], [13].

## II. Fault tolerant active filter topologies

The classical three-leg shunt power active filter topology is depicted in Fig. 2. It is composed by a grid ( $e_{s i}$ for $i=\{1,2,3\}$ ), a non-linear load and a voltage source inverter. The non-linear load consists in a three-phase diode rectifier feeding $(\mathrm{R}, \mathrm{L})$ load. The grid is balanced with series resistances $r_{s}$ and inductances $l_{s}$ for each phase. The power converter is a voltage source inverter with equal series resistances $r_{f}$ and inductances $l_{f}$ for each phase.

To ensure filtering, the shunt active filter output currents are controlled to provide in real time reactive power and harmonic currents generated by the non-linear load. The capacitor $C_{d c}$ is an energy storage capacity.

In this paper, we studied two fault tolerant shunt active filter topologies. These fault tolerant topologies use connecting devices (triacs $\mathrm{T}_{\mathrm{ri}}$ ) and fuses $\mathrm{f}_{\mathrm{i}}$. They allow reconfiguring the power converter topology after fault detection in power switch or driver failure case. These topologies are based on the classical and "healthy" topology $\tau_{0}$ presented in Fig. 2 [14].


Fig. 2: Classical three-leg shunt active filter topology $\tau_{0}$.


Fig. 3: Fault tolerant three-leg shunt active filter topology $\tau_{1}$.
The fault tolerant three-leg topology, depicted in Fig. 3 is first studied. The three legs are used in "healthy" condition. This structure $\tau_{l}$ includes bi-directional devices $T_{r k}(k=\{1,2,3\})$, triacs in this case to simplify control. These triacs allow connecting the inverter faulty phase number $k$ to the middle point O of the capacitors. By this way, for the leg number $k$, if one of the semi-conductor $T_{i}$ or
its associated driver is faulty, the leg including $T_{i}$ is isolated and the point $k$ is directly connected to the capacitor middle point O by switching on the suited triac $T_{r k}$. Consequently, the post fault topology becomes a two-leg structure with the faulty phase directly connected to the point O . The fault free case leads to the nominal structure $S_{\text {nom }}^{\tau_{1}}$ and the three bi-directional devices lead to three structures $S_{1}^{\tau_{1}}, S_{2}^{\tau_{1}}$ and $S_{3}^{\tau_{i}}$ allowing the tolerance of $T_{i}$ faults. Then four structures are available with the topology $\tau_{l}$ which are presented in Fig. 4.


Fig. 4: Four structures from the topology $\tau_{1}$.


Fig. 5: Fault tolerant four-leg shunt active filter topology $\tau_{2}$.
The second topology $\tau_{2}$ is a four-leg active filter, presented in Fig. 5. Here, the use of two capacitors is mandatory to be able to measure the pole voltages $v_{k o}(k=1,2,3)$, necessary for the fault diagnosis. The fourth redundant leg is composed of $T_{7}$ and $T_{8}$ devices. If necessary, this leg will replace the faulty one. Bi-directional devices allow connecting the faulty phase number $k$ to the redundant leg. After fault detection, the three filter structures $S_{1}^{\tau_{2}}, S_{2}^{\tau_{2}}$ and $S_{3}^{\tau_{2}}$ remain similar to the structure $S_{\text {nom }}^{\tau_{2}}$, the classical three-leg topology presented in Fig. 2.

In summary, in a failure case for the leg number $k$, the following steps achieve the compensation [14]:

- detection of the faulty switch or driver of the leg number $k$;
- removing the commands of the two drivers of the leg number $k$;
- connecting the faulty DC side phase $k$ to the point O of the capacitor energy storage (topology $\tau_{I}$ ) or replacing the faulty leg by the redundant one (topology $\tau_{2}$ );
- applying the suited post-fault control strategy.


## III. Fault diagnosis and reconfiguration method

Power semi-conductors or drivers fault detection is based on the comparison between measured and estimated pole voltages $v_{k o}(k=1,2,3)$, respectively noted $v_{k o m}$ and $v_{k 0 e s}$. The estimated voltages can be expressed by:

$$
\begin{equation*}
v_{k O e s}=\left(2 \times \delta_{k}-1\right) \times \frac{v_{d c}}{2} \tag{1}
\end{equation*}
$$

Where $\delta_{k}=\{0,1\}$ is the switching pattern of the top semi-conductor of the leg number $k$ and $v_{d c}$ is the dc-link voltage. The fault occurrence can be determined by analysing the voltage error obtained from the difference between the measured and estimated pole voltages. This voltage error is given by:

$$
\begin{equation*}
\varepsilon_{k o}=v_{k O m}-v_{k o e s} \tag{2}
\end{equation*}
$$

If we suppose that the switches are ideal, the measured and estimated pole voltages are equal in normal operation and thus their difference is zero. Thus, assuming ideal switches, the fault occurrence in each leg can be determined with a comparator that compares the measured and estimated pole
voltages. However, in real case, because of turn-off and turn-on propagation time and interlock dead time generated by the drivers, the voltage error is not equal to zero and contains some picks during switching time.

To avoid false fault detection due to power semi-conductors switching, we think of transforming the "voltage" signal $\mathcal{E}_{k o}(t)$ in a "time" signal int $t_{k o}(t)$. From signal $\mathcal{E}_{k o}(t)$, we defined a signal int $t_{k}(t)$, constituted of picks having as maximal value the time during which $\varepsilon_{k o}(t)$ is different from zero [14].

## IV. Active filter control: Topologies and global objective

The fault tolerant topology $\tau_{1}$ is a three-leg structure. The three legs are used in healthy condition $S_{\text {nom }}^{\tau_{1}}$. The post-fault topology $\tau_{I}$ leads to two-leg structures, $S_{1}^{\tau_{1}} S_{2}^{\tau_{1}}$ or $S_{3}^{\tau_{1}}$, with faulty phase connected to the point O . The four-leg fault tolerant topology $\tau_{2}$ is based on hardware redundancy; only three legs are used in the same time in the structures which are $S_{\text {nom }}^{\tau_{2}}, S_{1}^{\tau_{2}}, S_{2}^{\tau_{2}}$, or $S_{3}^{\tau_{2}}$.

Moreover, the same shunt active filter control is available for three-leg and two-leg structures [14]. The major advantage of the control law $u \in U$ is to be suitable for the set of fault-free structure $S_{\text {nom }}^{\tau_{1}}$ and $S_{n o m}^{\tau_{2}}$ and the set of the faulty structures: $S_{m}^{\tau_{1}}$ and $S_{m}^{\tau_{2}}$ for $m=(1, \ldots, M)$.

According to this control scheme, the same reference voltage $V_{\text {dcref }}$ can be used for the fault free case of the topology $\tau_{l}$ and the fault tolerant four-leg shunt active filter, topology $\tau_{2}$. The reconfigured structure is equivalent to the fault-free case $S_{\text {nom }}^{\tau_{1}}$. However, concerning the fault tolerant three-leg shunt active filter (topology $\tau_{l}$ ), the structure is initially composed of three legs and becomes a two-leg topology after reconfiguration. The $V_{\text {dcref }}$ value must be changed or not after reconfiguration simultaneously with converter reconfiguration, depending on its value in healty conditions. If the $V_{\text {dcref }}$ value in healty conditions is not equal to the suited value in reconfigured mode ( 1400 V in our application case), it must be changed and the new $V_{\text {dcref }}$ value should be twice larger than in fault-free case ( 700 V in our case) [14].

Fig. 6 presents the block diagram for the active filter control. The major advantage of this control principle is to be suited for any structure. The task of this control is to determine the harmonic current references to be generated by the active filter. The current references are identified using a modified version of instantaneous active and reactive power method proposed by Akagi [15], associated with two digital voltage and current high selectivity filters, first studied by Song [16]. The active filter control principle is detailed in [14].


Fig. 6: Active filter control.

As presented in this paper, various shunt three phase active filter topologies and reconfiguration structures can be considered in faulty cases with a classical hardware redundancy or suitable structures with $\gamma_{g}=\gamma_{g}^{n o m}$ for the same control law $u \in U, \forall S_{m} m=(1, \ldots, M)$ structures. Consequently, the fault tolerant control problem $\left\langle\gamma_{g}, C, U\right\rangle$ could be summarized by determining the optimal structure with the highest reliability.

According to the dynamic behaviour of the current and voltage system (see simulation results in section VI), it can be noticed that with a classical hardware redundancy or suitable structure (i.e. $\forall S_{m}$ $m=(1, \ldots, M)$ structures $)$, the reconfigured structure provides a global objective $\gamma_{g}=\gamma_{g}^{n o m}$ for the same control law $u \in U$. The global objective consists in guaranteeing for the grid currents a Total Harmonic Distorsion (THD) value lower than $5 \%$, before and after fault occurrence.

## V. Reliability analysis

Representing system failure in a probabilistic way is attractive because it naturally accounts the uncertainty. To make such representation, the process behaviour is considered as a random variable that takes its values from a finite state space corresponding to the possible process states. A discrete time stochastic process models deterioration. In the case of finite or countable state space, Markov processes are represented by a graphic called Markov Chain (MC) [17].

Markov Chain models a sequence of random variables $\left\{X_{k}, k=0,1,2 \ldots\right\}$ for which the Markovian property is held. Let $\left\{\eta_{1}, \ldots, \eta_{M}\right\}$ be a finite set of the possible mutually-exclusive states of each $X_{k}$. The probability distribution over these states is represented by the vector $p\left(X_{k}\right)$ :
$p\left(X_{k}\right)=\left[p\left(X_{k}=\eta_{1}\right), \ldots p\left(X_{k}=\eta_{m}\right), \ldots, p\left(X_{k}=\eta_{M}\right)\right]$
with $\sum_{m=1}^{M} p\left(X_{k}=\eta_{m}\right)=1$

In this paper, only constant failure rates are considered. Homogenous Markov chains are used for this case because of the stationarity of transition probabilities. In such model, transition probabilities are time invariant and depend only on values states:

$$
\begin{align*}
p_{i j} & =p\left(X_{k+1}=\eta_{i} \mid X_{k}=\eta_{j}\right) \\
& =p\left(X_{k+2}=\eta_{i} \mid X_{k+1}=\eta_{j}\right) \tag{4}
\end{align*}
$$



Fig. 7: Reliability block diagram of passive redundancy.
In a homogeneous discrete-time MC , the transition matrix $\mathbf{P}_{M C}$ between the states is defined from failure rate parameters. For instance, let us consider the passive redundancy system (Fig. 7). The MC is presented in Fig. 8 with three states $\{1,2,3\}$, then the transition matrix is defined as:

$$
\mathbf{P}_{M C}=\left[\begin{array}{ccc}
1-p_{12}+p_{13} & p_{12} & p_{13}  \tag{5}\\
0 & 1-p_{23} & p_{23} \\
0 & 0 & 1
\end{array}\right]
$$

Where

- $\quad p_{12} \cong \lambda_{A} \cdot(1-\rho) \cdot \Delta t: \lambda_{A}$ is a constant failure rate of the component $\mathrm{A} ; \rho$ is the commutation failure probability and $\Delta t$ is the time interval. The probability $p_{12}$ can be interpreted as the probability that the component A fails after the time $\Delta t$ and the commutation on the component B is successful.
- $p_{13} \cong \lambda_{A} \cdot \rho \cdot \Delta t$ is the probability that the component A fails after the time $\Delta t$ and the commutation on the component B is not successful.
- $\quad p_{23} \cong \lambda_{B} \Delta t: \lambda_{B}$ is a constant failure rate of the component B . The probability $p_{23}$ is the probability that the component B fails after the time $\Delta t$.


Fig. 8: Markov Chain modelling deterioration of passive redundant system.
Given an initial distribution over states $p\left(X_{0}\right)$, the probability distribution over states after $k$ stage $p\left(X_{k}\right)$ is obtained from the Chapman-Kolmogorov equation:

$$
\begin{equation*}
p\left(X_{k}\right)=p\left(X_{0}\right) \prod_{i=0}^{K} \mathbf{P}_{M C} \tag{6}
\end{equation*}
$$

Assuming that $i \in\{1 \ldots l\}$ represents the functioning states, system reliability is defined as:
$R(k)=\sum_{i \in\{1 \ldots \ldots\}} p\left(X_{k}=s_{i}\right)$
The application of eq. 7 to the Markov model fig. 8 gives:
$R(k)=\sum_{i \in\{1.2\}\}} p\left(X_{k}=s_{i}\right)=p\left(X_{k}=s_{1}\right)+p\left(X_{k}=s_{2}\right)$
The use of classic MC to model deterioration in systems needs to enumerate all possible states that lead sometimes to a huge transition matrix. Aggregation of states is used to decrease the complexity of the model if several components have exactly the same parameters. The illustration of this simplification is given in the Fig. 9 to model the topology $\tau_{0}$ presented in Fig. 2.


Fig. 9: Markov Chain simplification of the topology $\tau_{0}$ model.

## VI. Simulation results and comments

This section presents simulation results obtained with Matlab simulator for the two active filter topologies studied. Simulation parameters are given in Table 1. The hysteresis band is equal to 20 A .

| Mains line voltage | 400 V |
| :--- | :---: |
| Mains frequency | 50 Hz |
| Mains inductance $\left(\mathrm{L}_{\mathrm{s}}\right)$ | 0.1 mH |
| Mains resistance $\left(\mathrm{R}_{\mathrm{s}}\right)$ | $0.2 \mathrm{~m} \Omega$ |
| Load ac inductor $\left(\mathrm{L}_{\mathrm{c}}\right)$ | $15 \mu \mathrm{H}$ |
| Load ac resistance $\left(\mathrm{R}_{\mathrm{c}}\right)$ | $0.4 \mathrm{~m} \Omega$ |
| Active filter inductance $\left(\mathrm{L}_{\mathrm{f}}\right)$ | $150 \mu \mathrm{H}$ |
| Load inductor $(\mathrm{L})$ | 2.5 mH |
| Load resistance $(\mathrm{R})$ | $0.6 \Omega$ |
| DC capacitor $\left(\mathrm{C}_{\mathrm{dc}}\right)$ | 17.6 mF |

Table 1. Simulation parameters.

## A. Case of the three-leg topology $\tau_{1}$

Fig. 10 presents simulation results in an open circuit case. We simulated the fault on the top switch of the leg number 3 , introduced at $t=80 \mathrm{~ms}$. The value of the capacitor voltage reference $V_{d c}^{\text {ref }}$ is set to 1400 V before and after fault. Results presented in Fig. 10 show that proposed fault tolerant system preserved the main performance features after fault compensation. The mean switching frequency is equal to 9.1 kHz before fault with the structure $S_{\text {nom }}^{\tau_{1}}$ and equal to 9.6 kHz after fault with the structure $S_{3}^{\tau_{1}}$. The THD is equal to $1.1 \%$ before fault with the structure $S_{\text {nom }}^{\tau_{1}}$ and equal to $1.6 \%$ after fault with the structure $S_{3}^{\tau_{1}}$.


Fig. 10: Case of an open circuit with a unique reference voltage $V_{d c}^{\text {ref }}=1400 \mathrm{~V}$.

Fig. 11 presents results in an open circuit case. We simulated the fault of the top switch of the leg number 3, introduced at $\mathrm{t}=80 \mathrm{~ms}$. The value of the capacitor voltage reference $V_{d c}^{\text {ref }}$ is set to 700 V before fault and to 1400 V after fault detection and compensation. The proposed fault tolerant system preserved the main performance features after fault compensation with the structure $S_{3}^{\tau_{1}}$. More, the mean switching frequency is equal to 3.7 kHz before fault with the structure $S_{\text {nom }}^{\tau_{1}}$ and equal to 9.6 kHz after fault with the structure $S_{3}^{\tau_{1}}$. The THD is equal to $1.5 \%$ before fault with the structure $S_{\text {nom }}^{\tau_{1}}$ and equal to $1.6 \%$ after fault with the structure $S_{3}^{\tau_{1}}$.


Fig. 11: Case of an open circuit with 2 reference voltages.

## B. Case of the four-leg topology $\tau_{2}$

Fig. 12 presents simulation results in open circuit case. We simulated the fault of the top switch of the leg number 3 introduced at $t=80 \mathrm{~ms}$. The value of the capacitor voltage reference $V_{d c}^{\text {ref }}$ is set to 700 V . Performances for waveforms Fig. 12 remain the same before the reconfiguration with the structure $S_{\text {nom }}^{\tau_{2}}$ and after the reconfiguration with the structure $S_{3}^{\tau_{2}}$. The THD value is equal to $0.9 \%$ and the mean switching frequency equal to 8.7 kHz .


Fig. 12: Case of an open circuit with $V_{d c}^{r e f}=700 \mathrm{~V}$.

Fig. 13 presents simulation results in a short circuit case. We simulated the fault of the bottom switch of the leg number 3 , introduced at $t=80 \mathrm{~ms}$. The value of the capacitor voltage reference $V_{d c}^{\text {ref }}$ is set to 700 V . The time response of the fuse $f_{3}$ is equal to $6 \mu \mathrm{~s}$. Performances for waveforms Fig. 12 are the same before the reconfiguration with the structure $S_{\text {nom }}^{\tau_{2}}$ and after the reconfiguration with the structure $S_{3}^{\tau_{2}}$. The THD value is equal to $0.9 \%$ and the mean switching frequency equal to 8.7 kHz . However, compared with open circuit case, Vdc voltage deacreases up to 650 V just after the fault occurrence.


Fig. 13: Case of a short circuit with $V_{d c}^{r e f}=700$ V.

## C. Reliability analysis:

The voltage level of the IGBT has an important impact on the reliability of this component because it leads the increasing of the temperature that strongly impacts the failure rate [18]. Due to the fact that the temperature increases when the voltage increases, let us consider that the failure rates of $T_{i}$ increase: $\lambda_{T i(350 V)}<\lambda_{T i(700 V)}$; and, the failure rate of the capacitor increase with the voltage: $\lambda_{\text {Cdc (350V) }}<\lambda_{\text {Cdc (700V) }}$.

The following table 2 presents the value of the failure rate of the components according to the voltage and the Mean Time To Failure (MTTF). The $\lambda_{\text {Ti(noUsed })}$ represents the failure rate of the IGBT of the redundant leg when the IGBT are not used in commutation by the driver; $\lambda_{\text {fuz }}$ is the failure rate of the fuses $\mathrm{f}_{\mathrm{i}}, \lambda_{\text {Triac(OpenCircuit) }}$ et $\lambda_{\text {Triac(ShonCircuit) }}$ represent the failure rate of the triacs with an Open Circuit failure when the triac is normally closed, and Short Circuit failure when the triac is normally open, respectively. The variable $\rho$ (see Table 3) represents the switched failure probability of the triacs $T_{r k}$. The parameters of table 2 are chosen according to the values defined in the papers [18], [19] and [20]. These papers describe more particularly specific reliability analysis of IGBT, Thyristor, Fuses and Capacitors.

|  | Failure rates (/h) | MTTF (h) |
| :--- | :---: | :---: |
| $\lambda_{\text {Ti(noUsed })}$ | $1.51 \mathrm{E}-06$ | 662256 |
| $\lambda_{\text {Ti(350V) }}$ | $2.32 \mathrm{E}-06$ | 431868 |
| $\lambda_{\text {Ti(70oV) }}$ | $2.04 \mathrm{E}-05$ | 49056 |
| $\lambda_{\text {Cdc }(350 \mathrm{~V})}$ | $6.72 \mathrm{E}-06$ | 148920 |
| $\lambda_{\text {Cdc }}$ (70ov) | $1.38 \mathrm{E}-05$ | 72708 |
| $\lambda_{\text {fuz }}$ | $1.14 \mathrm{E}-06$ | 876000 |
| $\lambda_{\text {Triac(ShortCircuit) }}$ | $1.43 \mathrm{E}-06$ | 700800 |
| $\lambda_{\text {Triac(OpenCircuit) }}$ | $1.43 \mathrm{E}-06$ | 700800 |

Table 2: Failure rates of the elementary components.

|  | Probability of a switching <br> failure |
| :--- | :--- |
| $\rho$ | $12.5 \mathrm{E}-05$ |

Table 3: Commutation failure probability of the triacs.

Based on section III and section V , the topology $\tau_{0}$ and the two reconfigured topology $\tau_{1}$ and $\tau_{2}$ are represented as Markov Chain models as illustrated in the Fig. 14, Fig. 15, Fig. 16 and Fig. 17 (for simplicity $\Delta t$ is considered equal to $l$ hour). The state $\eta_{l}$ corresponds to the fault-free state. If a failure occurs on capacitor or on $\mathrm{T}_{\mathrm{i}}$, the system is out of order which corresponds to the state $\eta_{2}$ for the topology $\tau_{0}$ (see Fig. 14) or $\eta_{3}$ for the reconfigured topologies $\tau_{1}$ (see Fig. 15 and 16) and $\eta_{4}$ is the failure state for the topology $\tau_{2}$ (see Fig. 17). In the Fig. 15 and Fig. 16 (resp.Fig. 17) the state $\eta_{2}$ represents the state of the system for the reconfigured structures $S_{m}^{\tau_{1}}$ (resp. $S_{m}^{\tau_{2}}$ ) with the presence of a failure on $\mathrm{T}_{\mathrm{i}}$. It should be noted that the Fig. 15, Fig. 16 and Fig. 17 include the commutation ability of the three and four legs shunt solutions. In the Fig. 17 the state $\eta_{3}$ models a failure of the redundant component before the reconfiguration.


Fig. 14: Markov Chain for modelling the system with the nominal topology $\tau_{0}$.


Fig. 15: Markov Chain for topology $\tau_{1}$ with $V_{\text {dcref }}=1400 \mathrm{~V}$.


Fig. 16: Markov Chain for topology $\tau_{1}$ with $V_{d c r e f}=700 \mathrm{~V}$ before fault detection and 1400 V after.


Fig. 17: Markov Chain for modelling the system with four-leg shunt topology $\tau_{2}$.


Fig. 18: Reliability computation.
The reliability curves of the systems are shown in Fig. 18. With a hardware redundancy or a suitable topology, the reliability is greater than the initial topology. Moreover, in regard with the parameters, defined in Table 1, the four-leg shunt system is the most efficient topology. Consequently, according to the performance requirements, it is convenient to get the optimal topology as this four-leg topology. Other scalar criteria such as MTTF could be evaluated to choose the topology with the highest reliability. Thank to the Markov model, the MTTF is computed and equal to 36170 h for the topology $\tau_{0}, 13019 \mathrm{~h}$ for the three-leg shunt topology $\tau_{1}$ with 1400 V before and after the failure occurrence, 30580 h for the topology $\tau_{1}$ with $V_{\text {dcref }}=700 \mathrm{~V}$ before fault detection and 1400 V after and 39831 h for the four-leg shunt topology $\tau_{2}$. The analysis of the MTTF confirms the previous choice: the optimal structure is the four-leg topology.

## VII. Conclusion

In this paper, fault tolerant active power filter topologies and associated fault diagnosis method have been studied. These topologies can achieve continuous operation even if a complete loss of one of the converter legs has happened, providing the global objective i. e. THD below $5 \%$.
The power switch failure is compensated by reconfiguring the converter topology using connecting bidirectional devices and fuses. In the case of the topology $\tau_{2}$, the faulty leg is replaced by a redundant one. In the case of the topology $\tau_{1}$, the faulty leg is isolated. Then, the corresponding phase is connected to the middle point of the capacitor $C_{d c}$.

When comparing both topologies, we demonstrated that, in faulty case, performances can be guaranteed for each of them. Topology $\tau_{1}$ does not need a redundant leg but however requires a higher dc-bus reference voltage to preserve the main performance features after fault compensation.

A Fault Tolerant Control (FTC) strategy dedicated to an active power filter which incorporates reliability analysis into the reconfigurable control structure selection has been also presented in this paper. Once a fault occurred, the proposed FTC strategy will switch to the suitable structure. The selected structure will guarantee an optimal performance of the reconfigured system according to the "highest" reliability in order to ensure the dependability of the active power filter system.

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