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► **To cite this version:**

S.M. Vicente-Serrano, J. I. López-Moreno. Hydrological response to different time scales of climatological drought: an evaluation of the standardized precipitation index in a mountainous mediterranean basin. *Hydrology and Earth System Sciences Discussions*, 2005, 2 (4), pp.1221-1246. hal-00330786

HAL Id: hal-00330786

<https://hal.science/hal-00330786v1>

Submitted on 18 Jun 2008

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Hydrological response to different time scales of climatological drought: an evaluation of the standardized precipitation index in a mountainous mediterranean basin

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Received: 3 June 2005 – Accepted: 8 July 2005 – Published: 28 July 2005

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Abstract

At present, the Standardized Precipitation Index (SPI) is the most widely used drought index to provide good estimations about the intensity, magnitude and spatial extent of droughts. The main advantage of the SPI in comparison with other indices is the fact that the SPI enables both determination of drought conditions at different time scales and monitoring of different drought types. It is widely accepted that SPI time scales affect different sub-systems in the hydrological cycle due to the fact that the response of the different water usable sources to precipitation shortages can be very different. The long time scales of SPI are related to hydrological droughts (river flows and reservoir storages). Nevertheless, few analyses empirically verify these statements or the usefulness of the SPI time scales to monitor drought. In this paper, the SPI at different time scales is compared with surface hydrological variables in a big closed basin located in the central Spanish Pyrenees. We provide evidence about the way in which the higher (>12 months) SPI time scales may not be useful for drought quantification in this area. In general, the surface flows respond to short SPI time scales whereas the reservoir storages respond to higher time scales (7–10 months). Nevertheless, important seasonal differences can be identified in the SPI-usable water sources relationships. This suggests that it is necessary to test the drought indices and time scales in relation to their usefulness for monitoring different drought types under different environmental conditions and water demand situations.

1. Introduction

Drought is one of the main natural hazards affecting the economy and the environment of large areas (Obasi, 1994; Bruce, 1994; Wilhite, 2000). Droughts cause crop losses (Austin et al., 1998; Leilah and Al-Khateb, 2005), urban water supply shortages (De Gaetano, 1999), social alarm (Morales et al., 2000), degradation and desertification (Nicholson et al., 1998; Pickup, 1998; Evans and Geerken, 2004), and forest fires

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(Pausas, 2004; Flannigan and Harrington, 1988).

Drought is a complex phenomenon which involves different human and natural factors that determine the risk and vulnerability to drought. Although the definition of drought is very complex (Wilhite and Glantz, 1985), it is usually related to a long and sustained period in which water availability becomes scarce (Havens, 1954; Dracup et al., 1980; Redmond, 2002). Drought can be considered to be essentially a climatic phenomenon (Palmer, 1965; Beran and Rodier, 1985) related to an abnormal decrease in precipitation (Oladipo, 1985; McKee et al., 1993).

The delimitation of the surface extent of droughts is very difficult to determine. Compared with other hydrological hazards, such as floods, the development of droughts is slow and it is very difficult to identify the moment in which droughts start and finish (Burton et al., 1978). Usually, droughts are only recognised when human activities and the environment are affected.

Important efforts for developing methodologies to quantify different aspects related to droughts have been made, such as the spatial differences in the drought hazard (Vicente-Serrano and Beguería, 2003; Lana et al., 1998; Beersma and Buishand, 2004), the prediction of droughts by means of the use of atmospheric circulation indices (Cordery and McCall, 2000; Lloyd-Hughes and Saunders, 2002), and the mitigation of drought effects (WMO, 2000). However, more efforts have been made to develop drought indices, which allow an earlier identification of droughts, their intensity and surface extent. During the twentieth century, several drought indices were developed, based on different variables and parameters (Heim, 2002). Drought indices are very important for monitoring droughts continuously in time and space, and drought early warning systems are based primarily on the information that drought indices provide (Svoboda et al., 2002).

Together with the problems for clearly establishing the spatial and temporal extent of droughts, other important problems are involved in monitoring droughts, problems which are related to the different time scales of this phenomenon. McKee et al. (1993) indicated that usable water resources include soil moisture, ground water, snowpack,

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river discharges, and reservoir storages. The time period from the arrival of precipitation until usable water is available differs considerably. Thus, the time scale over which precipitation deficits accumulate becomes extremely important and functionally separates different types of drought: hydrological, environmental, agricultural, urban, etc.

The majority of drought indices have a fixed time scale. For example, the Palmer Drought Severity Index (PDSI, Palmer, 1965) has a time scale of about 9 months (Guttman, 1998), which does not allow identification of droughts at shorter time scales. Moreover, this index has many other problems related to calibration and spatial comparability (Guttman et al., 1992; Karl, 1983; Alley, 1984). To solve these problems, McKee et al. (1993) developed the Standardized Precipitation Index (SPI), which can be calculated at different time scales to monitor droughts in the different usable water resources. Moreover, the SPI is comparable in time and space (Hayes et al., 1999; Lana et al., 2001; Wu et al., 2005).

The SPI was developed in 1993 following a careful procedure (Redmond, 2002), but due to its robustness it has already been widely used to study droughts in different regions, among others the USA (Hayes et al., 1999), Italy (Bonaccorso et al., 2003); Hungary (Domonkos, 2003); Korea (Min et al., 2003); Greece (Tsakiris and Vangelis, 2004), and Spain (Vicente-Serrano et al., 2004; Lana et al., 2001), and the SPI has also been included in drought monitoring systems and management plans (Wu et al., 2005).

In general, different studies have indicated the usefulness of the SPI to quantify different drought types (Edwards and McKee, 1997; Hayes et al., 1999; Komuscu, 1999). The long time scales (over 6 months) are considered as hydrological drought indicators (river discharges or reservoir storages) (McKee et al., 1993; Hayes et al., 1999).

Although the SPI is widely used (Wu et al., 2005), there are not many empirical studies that provide evidence about the usefulness of the different time scales for drought monitoring in surface water resources. Among the limited studies on this topic, in Hun-

gary Szalai et al. (2000) analysed the relationships between time scales of SPI, river discharges and reservoir storages, showing important spatial differences.

This paper analyses the usefulness of different SPI time scales to monitor droughts in river discharges and reservoir storages, also considering monthly differences. The study was carried out in a large basin located in the central Spanish Pyrenees where there is a high complexity of hydrological processes with a marked seasonal variability. The objective was to determine the most adequate time scales of SPI to monitor droughts in two basic water usable sources. This can be very useful for water resource management in mountain areas, which are usually the main source of hydrological resources in the Mediterranean region.

2. Study area and the Yesa reservoir

The study was carried out in the high basin of the Aragon River, in the central Spanish Pyrenees (Fig. 1). The area was selected because it is a delimited hydrological system closed by the Yesa reservoir, which finally retains the water resources that the basin collects. Moreover, two hydrologic variables can be summarised within the basin: river discharges and reservoir storages.

The surface of the basin is 2181 km², with a wide range of altitudes, from 2886 m in the Collarada peak to less than 500 m in the Yesa reservoir. Relief and lithology are arranged in parallel bands in a NW-SE direction (Soler and Puigdefábregas, 1972). In the low elevated areas the mean annual precipitation is 800 mm. This value is overcome in the rest of the basin; above 1500 m, the precipitation is higher than 1500 mm. The annual variability is very high, and the rainy season extends from October to June. Mean temperature is 12°C in the inner depression. During the cold season (November to April) the isotherm of 0°C is located at 1.549 m a.s.l. (García-Ruiz et al., 1985). This isotherm explains the great importance of snow accumulation in the availability of winter and spring water resources (López-Moreno and García-Ruiz, 2004). The abundant winter precipitation produces high runoff in the lower sector of the study area. Above

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the 0°C isotherm precipitation is retained in solid form and melts from April to June. Thus, from the end of autumn until June there exists a prolonged period of high inflows to the Yesa reservoir, with a maximum in May and June. In summer the scarcity of precipitation and the exhaustion of the snowpack produce a marked period of low discharges. The total annual contribution of the Aragon and Esca rivers for the analyzed period was 1327 hm³ (42 m³ s⁻¹).

The Yesa reservoir collects all the surface runoff produced in the studied basin. The Yesa dam is 74 m high and was built in 1959 with an original capacity of 470 hm³. The Bardenas Canal, with a maximum capacity of 64 m³ s⁻¹, starts from the reservoir to supply water to new irrigated areas in semiarid sectors of northeast Spain. Reservoir management is focused on reaching the maximum stored volume in May or June. This aim is achieved by releasing fewer discharges than inflows from October to June. However, large differences in the rhythm of filling have been found according to the hydro-climatic condition of each year (López-Moreno et al., in press). In summer, the coincidence of the lowest inflows to the reservoir with the highest water demand to irrigation areas rapidly exhausts the water stored.

3. Methodology

3.1. Precipitation data

To carry out our analysis we used different hydrological variables. The precipitation data used to calculate the SPI was obtained in 8 observatories within the valley (To see spatial distribution in Fig. 1). Data were provided by the National Institute of Meteorology (Spain) and covers the period between 1950 and 2000. To avoid inhomogeneities in the data (Peterson et al., 1998) we tested the inhomogeneity of series by means the Standard Homogeneity Normal Test (SHNT, Alexandersson, 1986) using relative homogenisation procedure by means of reference series created following Peterson and Easterling (1994). For homogenisation procedure the ANCLIM program was used

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(Štípanek, 2004). From homogeneous precipitation records, we created a regional precipitation series by means of the weighted average of monthly records. The weight was the surface represented by each observatory by means of Thiessen polygons method, following Jones and Hulme (1996). Regional precipitation series represent the temporal evolution of precipitation in the whole of the basin. Table 1 shows the coefficients of correlation among the monthly precipitation series in each observatory and the regional series. Correlations are high and significant ($p < 0.001$) in all observatories ($R > 0.84$).

3.2. Calculation of the Standardized Precipitation Index

From regional precipitation series the SPI was calculated to determine the evolution and intensity of climatic droughts at different time scales, between 1 and 24 months. The SPI was developed by McKee et al. (1993), and this index only uses precipitation data. Other variables also related to drought occurrence, such as the temperature, evapotranspiration, or atmospheric humidity, were not taken into account. Nevertheless, numerous papers have indicated that precipitation is the most important variable in the drought indices that also include data of temperature or evapotranspiration (Oladipo, 1985; Keyantash and Dracup, 2002). Moreover, precipitation is the variable that mainly determines the duration, magnitude, and intensity of droughts (Chang and Cleopa, 1991). The SPI shows a high correlation with the PDSI at time scales about 9 months, which indicates that for drought identification and monitoring, temperature does not contribute significantly to drought index (Redmond, 2002). Guttman et al. (1992) has indicated that the effects of temperatures on the PDSI are little in comparison to the effects of precipitation. Hence, it is preferable to work with a drought index that only uses precipitation data because it is less complex to calculate (Keyantash and Dracup, 2002) and also because availability of precipitation data is higher than temperature data, both in time and space.

McKee et al. (1993) has used the Gamma distribution to calculate the SPI at different time scales. However, Guttman (1999) has examined the SPI properties and indicated

that the Pearson III distribution, which has three parameters, is the best model for SPI calculation because it is more flexible and robust than Gamma. Moreover, the Pearson III is adapted better than other models to the precipitation frequencies at different time scales (Vicente-Serrano, in press). For SPI calculation we used the Pearson III distribution, following Vicente-Serrano (in press). Parameters were calculated by means of the L-moments method (Hosking, 1990).

3.3. River discharges and reservoir storages

Two gauging stations provide information on river discharges between 1953 and 2000. They are located in the Esca and Aragon rivers (See location in Fig. 1). Data was obtained from the Confederación Hidrológica del Ebro (C.H.E., Ebro River Administration Office). These gauging stations are located at the entrance of the Yesa reservoir. Both rivers accumulate most of the inflow to the reservoir, except small ravines which drain into the reservoir during intense rainfall events. Monthly flows in the two gauging stations were summed and standardised monthly to be compared to the different time scales of SPI.

The water storages in the Yesa reservoir from 1959 were also used. This data was also obtained from the Ebro River Administration Office. The data on reservoir storages was also summed and standardised monthly.

Standardised data of river discharges and reservoir storage were compared with the SPI series at different time scales considering two approaches: continuously (considering all the months as a continuous) and monthly. For comparison the Pearson correlation coefficient was used.

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4. Results

4.1. SPI temporal variability at different time scales

Figure 2 shows the continuous evolution of SPI at different time scales. At shorter time scales (e.g. 2 months) the dry ($SPI < 0$) and moist ($SPI > 0$) periods show a high temporal frequency, whereas when the time scale increases the frequency of dry periods decreases. At the time scale of 22 months only two important dry periods are recognised: the decade of 1950 and the decades of 1980 and 1990.

4.2. Continuous relationships between time scales of SPI and the hydrological variables

Figure 3 shows the Pearson correlation coefficients between the continuous standardized series of reservoir storages, river discharges and the SPI series at different time scales. For reservoir storages, correlations are positive, but there are important differences in regard to time scales. At the shorter time scales, the relationship is poor. On a 1-month time scale, the correlation is only $R=0.11$. Nevertheless, the correlation increases when the time scale increases, with a maximum of $R=0.59$ on a time scale of 8 months. Considering river discharges, higher correlations have been obtained with the SPI on shorter time scales (1–3 months). The maximum correlation is found on the time scale of 2 months ($R=0.63$). Results indicate that river discharges are more determined by precipitation of the current month and previous month.

4.3. Monthly relationships between the different time scales of SPI and hydrological variables

Figure 4 shows the monthly correlations between standardised series of river discharges and the SPI at different time scales. There are important seasonal differences in the response of the river discharges to different time scales of SPI. High correlations ($R > 0.7$) are found during autumn and early winter (October–December) considering

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time scales lower than 6 months. The highest correlations were obtained in October and November considering time scales of 1 and 2 months, respectively ($R=0.83$ and $R=0.80$). Between February and April, correlations are lower than in winter months but the higher correlations are also obtained with the shorter time scales of SPI (1–3 months). Between May and July, the absolute values of correlation continue decreasing and rarely do the correlation coefficients (r) exceed 0.5. It is interesting to note that from March to July the SPI time scale with the highest correlation with discharge shows a progressive increase. Thus, maximum correlation in March is found at a 1 month time scale and in July the highest correlation is at a time scale of 7 months. In some cases such as June or July, discharge shows high correlations with both: short SPI time scales (1–2 months) and larger scales (5–7 months) with an intermediate time scale range (3–4 months) with lower correlations (less than $r=5$). In August and September the lower correlations between river discharges and the SPI are identified. In any case, only the shorter time scales (1–3 months) exceed correlations of $r=0.5$.

Figure 5 shows the evolution of SPI at the time scale of two months and the standardised values of river discharges in November, the month in which the highest correlation between both variables was recorded. The SPI at this time scale allows identification of the main drought periods recorded, such as 1978, 1981 and 1988, years in which the river discharges in the basin were very low.

Figure 6 shows correlations between the monthly SPI series at the different time scales and the time series of reservoir storages. Higher correlations than those for river discharges were obtained, but very different patterns were found in relation to the months with the highest correlations and also to the highest time scales of SPI. The highest correlations between the SPI and reservoir storages were found between November and February. In this period, the highest correlated SPI time scales increased from 4 to 10 months. During spring, the correlations decreased slightly, especially in the shorter time scales. During the summer months, reservoir storages are less sensitive to the SPI values than in winter and spring. However, significant correlations were found with time scales between 9 and 12 months.

Reservoir storages are not sensitive to higher time scales of the SPI in any month although, in general, correlations are higher considering time scales higher than 10 months than lower than 4 months.

Figure 7 shows the evolution of the standardised water storages in the Yesa reservoir and the 7-months SPI in December, the month in which the correlation between both parameters is higher. The years in which low water volume was recorded in the Yesa reservoir are seen to coincide with SPI values lower than -1 .

5. Discussion and conclusions

This paper has analysed the usefulness of the different time scales of the Standardized Precipitation Index (SPI) to identify droughts in different usable water resources. The analysis was done in the central Spanish Pyrenees (Aragon River Basin).

We have shown that droughts have a different frequency according to the time scale used for analysis. At shorter time scales dry and moist periods change with a high frequency. At the longest time scales the droughts are less frequent but their duration is higher. In general, it is accepted that the time scales of the SPI are useful to monitor droughts in the different usable water resources.

Robust relationships were found analysing the role of the time scales of SPI on the river discharges and reservoir storages. In the case of river discharges, correlations higher than 0.6 were found with continuous series of the SPI at time scales between 1 and 3 months. The response of the river discharges to higher time scales of the SPI is very low and the usefulness of higher time scales than 3 months to monitor river flow droughts in the mountain hydrological system studied here is very debatable. Nevertheless, we must indicate that this statement could be valid for mountainous areas in which runoff is very intense, precipitation is high and the generation of runoff is quick. In other basins with different characteristics (size, shape, slope, litology, climate, land cover, etc.) different time scales of SPI could be better to monitor droughts. In any case, more research is necessary to establish proper relationships between the char-

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acteristics between basins characteristics and their response to different time scales of SPI.

On the other hand, the time scales of SPI useful to analyse droughts in the reservoir storages are longer than for river discharges in the study area. The continuous analysis showed that the higher correlations between standardised data of reservoir storages and SPI were found at the time scales between 7 and 10 months, similar time scales that Szalai et al. (2000) observed in Hungary. Therefore, the reservoirs could not be sensitive to the short dry periods and to be affected by droughts, these must have of a higher duration, identified with the SPI at longer time scales. However, it is necessary to consider that the characteristics of the reservoir (capacity or impounded ratio index), the use which receives (irrigation, hydropower generation, both) and the management pattern applied can produce a large variability in the response of the water stored to SPI at different scales. These results agree with the general theory on the response of the hydrological resources to precipitation deficits of different duration and intensity being the reservoir storages on longer time scales (McKee et al., 1993; Komuscu, 1999). Nevertheless, in the study area we found a limit in the time scale of about 12 months. Time scales of SPI longer than 12 months do not seem useful to monitor any drought type. At higher time scales, the correlation decreases with values around $R=0.40$ for time scales between 12 and 24 months.

However, a noticeable seasonality was found in the SPI's usefulness to monitor droughts. There are monthly variations in both the strength of the correlations and the most suitable SPI time scales, resulting from several features of the hydrological behaviour of the basin. Thus, the large hydrological importance of snow accumulation and melting processes in the area (López-Moreno and García-Ruiz, 2004) determines the progressive increase in the optimum SPI time scale for explaining the river discharges and the low importance of the SPI at short time scales, during late winter and spring. At the end of spring and during early summer, the high precipitations registered in the area, when the soil moisture in the basin is still high, produce a fast hydrological response to SPI (time scale of 1–2 months). Also, a new rise is observed in the cor-

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relation coefficients at large time scales as a consequence of snowmelt and the water stored in the soils during the previous months. In August and September, the reserve of water in the basin is usually exhausted. Thus, the hydrological response is highly governed by the stormflow (short SPI time scale) and is subject to great uncertainty.

The hydrological response of autumn seems to be mainly governed by both the moisture conditions found at the beginning of the season and the short scale precipitation conditions. This explains the high correlation coefficients from 1 to 7 month SPI time scales. Finally, during winter wet conditions dominate the catchment, which explains the better response of river flows to shorter SPI time scales.

Seasonal variability in the response of reservoir storages to SPI seems to be related to the fluvial regime received and the annual pattern of reservoir management. In summer the reservoir storages do not show correlations higher than 0.55 with the SPI. On the contrary, however, during the autumn and winter the correlations between the SPI and the hydrological variables are more robust and even higher than 0.8. In summer, the contribution of the rivers with regard to the water supplied for irrigation is very low. Thus, the water volume during this season depends more on the stored level reached at the end of spring, determined by the hydroclimatic characteristics of the previous year (López-Moreno et al., in press) than to the precipitation conditions during the current or the previous two or three months. In autumn, the water level depends on the stored volume at the end of the irrigation season (September) and the magnitude of the inflow discharges. The former responds to the hydroclimatic conditions at large scale (López-Moreno *et al.*, in press), and the latter is highly determined by the SPI at short scales. However, water stored in winter basically depends on the hydroclimatic conditions since the beginning of the filling period. Thus, the SPI at short time scale progressively loses importance and increases the correlation coefficients with the SPI at larger time scales.

The results obtained here reveal the need of testing the usefulness of the drought indicators to monitor different drought types prior to developing monitoring plans. In general, the results agree with theoretical statements about the usefulness of different

time scales of drought indices to monitor different drought types. Nevertheless, the seasonal differences are very important and must be taken into account when drought information is provided. More studies are needed in other areas of different climatic and hydrological characteristics. The area studied here is an example of complex hydrological systems of mountainous regions, and in the Mediterranean region these are the most important sources of water resources.

Acknowledgements. The authors want to acknowledge financial support from the following projects: BSO2002-02743, REN2003-07453, PIRIHEROS (REN2003-08678/HID) and CANOA (CGL 2004-04919-c02-01), funded by Ministerio de Ciencia y Tecnología (Spain) and UE-FEDER, and "Programa de grupos de investigación consolidados" (BOA 48 of 20-04-2005), funded by Aragon Government. Research of the first author was supported by postdoctoral fellowship by the Ministerio de Educación y Ciencia (Spain).

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Table 1. Correlation between the regional series and the precipitation series of the different observatories.

Observatory	<i>R</i> -pearson
Castiello	0.94
Jaca	0.93
Bernues	0.85
Arag. Puerto	0.92
Anso	0.97
Canfranc	0.92
Hecho	0.94
Yesa	0.84

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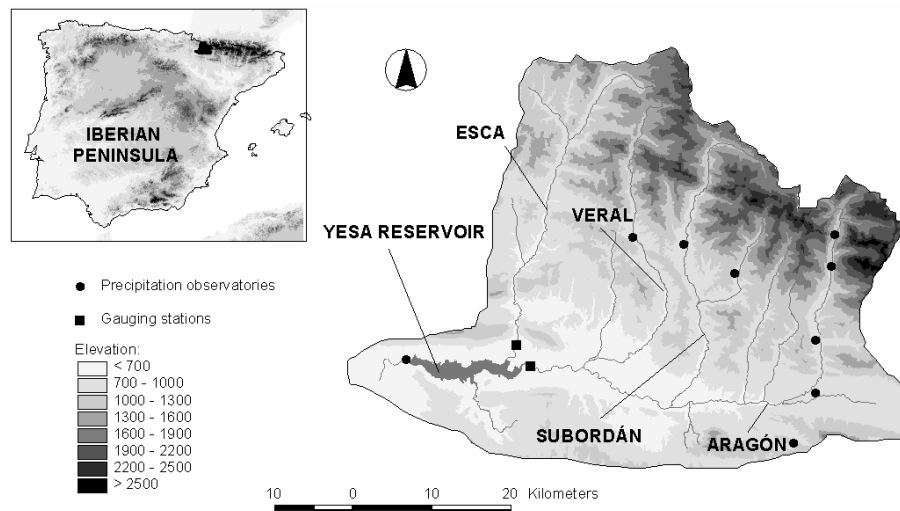


Fig. 1. Location and relief of the study area and spatial distribution of observatories used for analysis.

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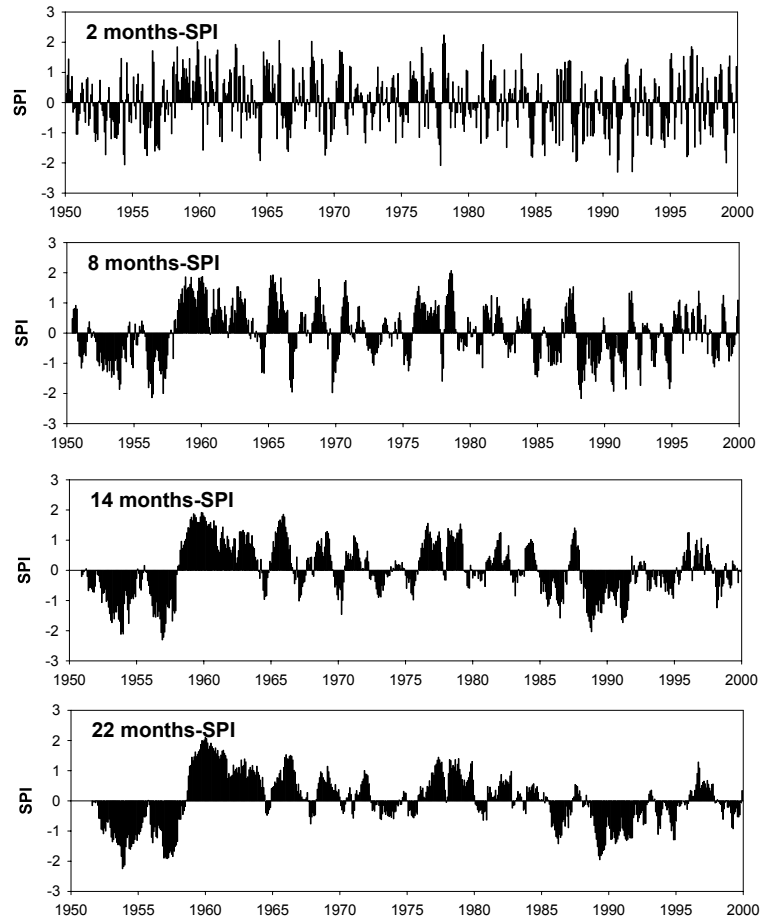


Fig. 2. Evolution of the SPI at different time scales in the whole of the study area.

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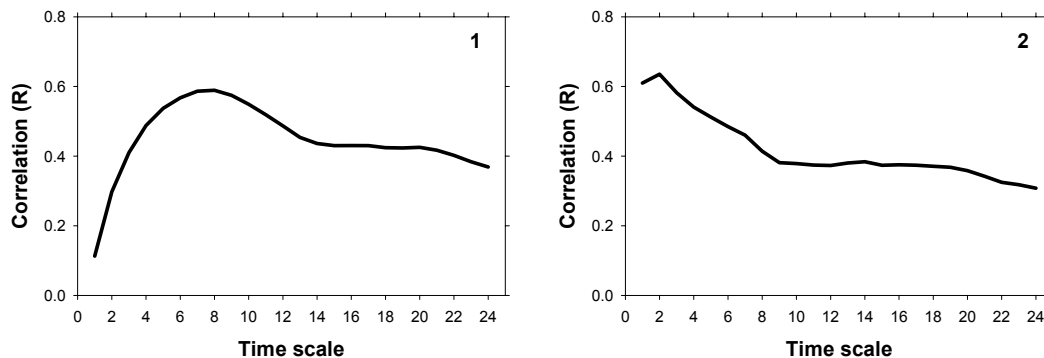


Fig. 3. Correlation between continuous standardized series of hydrological variables and the SPI at different time scales. 1: Reservoir storages, 2: River discharges.

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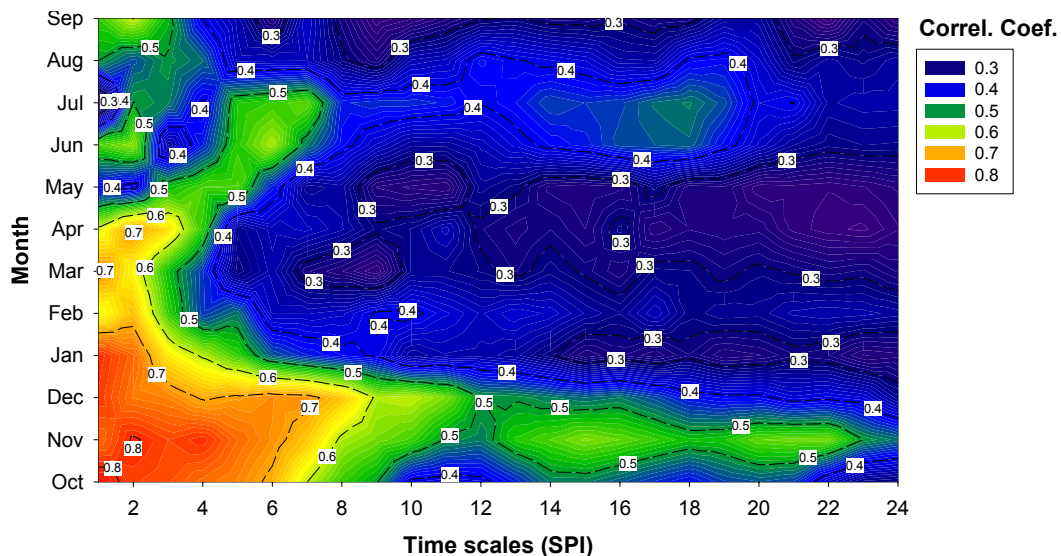


Fig. 4. Correlation coefficients between the monthly river discharges series and the monthly SPI series at different time scales. Significant correlations ($p < 0.05$) correspond to R values higher than 0.29.

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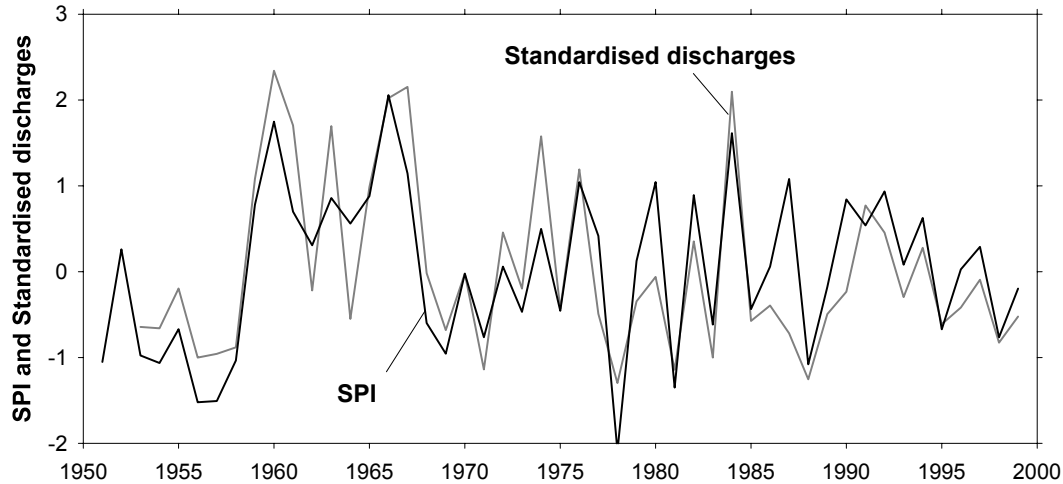


Fig. 5. Interannual evolution of standardised river discharges and the SPI at the time scale of 2 months in November.

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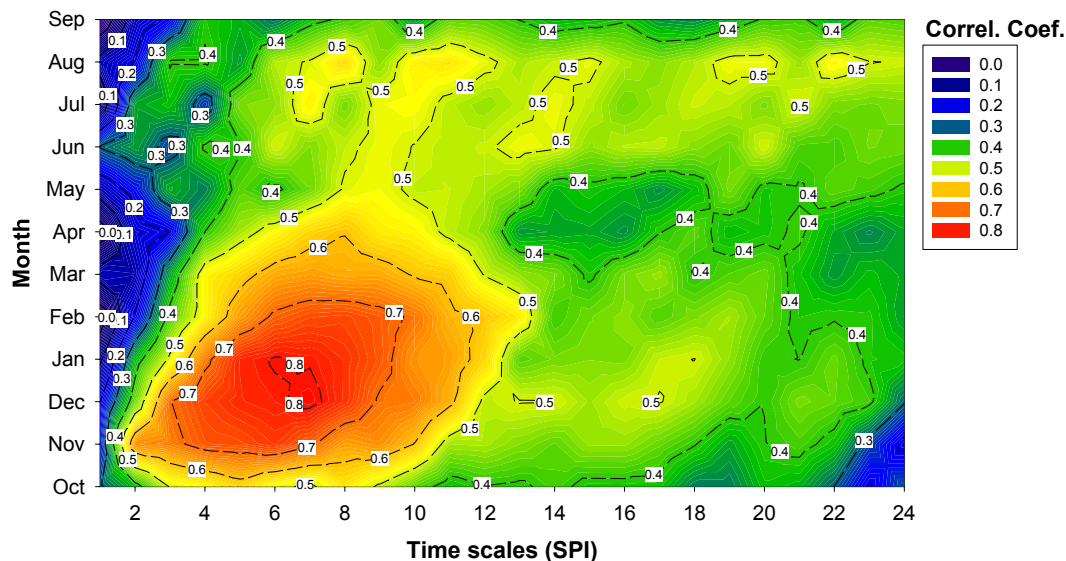


Fig. 6. Correlation coefficients between the reservoir storages and the monthly SPI series at different time scales. Significant correlations ($p < 0.05$) correspond to R values higher than 0.31.

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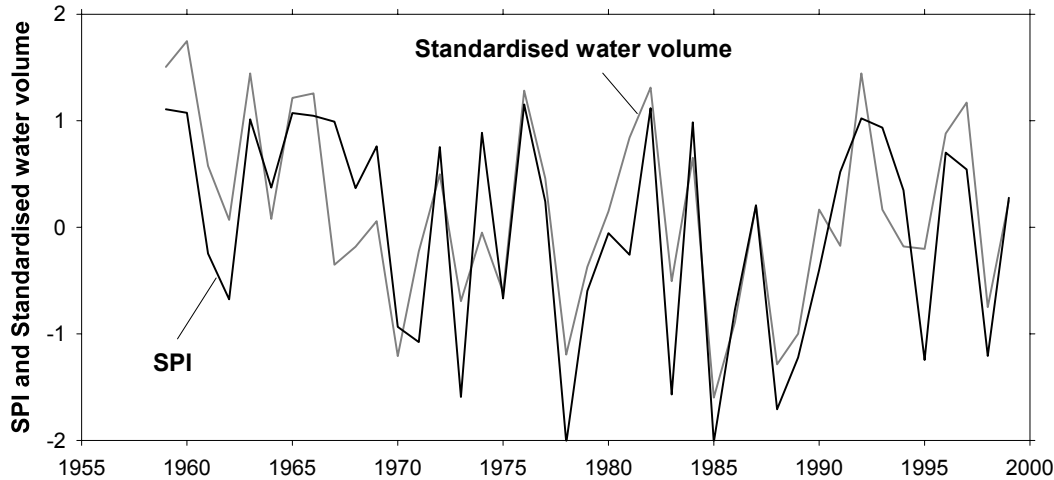


Fig. 7. Interannual evolution of standardised reservoir storages and the SPI at the time scale of 7 months in December.

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