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New bijective links on planar maps via orientations

Éric Fusy

ABSTRACT. This article describes new bijective links on planar maps, which are of incremental complexity and present original features. The first two bijections $\Phi_{1,2}$ are correspondences on oriented planar maps. They can be considered as variations on the classical edge-poset construction for bipolar orientations on graphs, suitably adapted so as to operate only on the embeddings in a simple local way. In turn, $\Phi_{1,2}$ yield two new bijections $F_{1,2}$ between families of (rooted) maps. (i) By identifying maps with specific constrained orientations, $\Phi_2 \circ \Phi_1$ specialises to a bijection F_1 between 2-connected maps and irreducible triangulations; (ii) F_1 gives rise to a bijection F_2 between loopless maps and triangulations, observing that these decompose respectively into 2-connected maps and into irreducible triangulations in a parallel way.

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1. Introduction

Planar maps (i.e., connected graphs equipped with a planar embedding) are a rich source of structural correspondences and enumerative results. As discovered by Tutte in the 60’s [Tut63], many families of maps (eulerian, triangulations, quadrangulations...) have strikingly simple counting coefficients, essentially of binomial form (for counting purpose, all maps are assumed to be rooted, i.e., with a marked oriented edge called the root). The two main methods by now to obtain such formulas are the recursive approach introduced by Tutte [Tut73] and the bijective approach introduced by Schaeffer [Sch98]. Tutte’s approach has the advantage of being quite automatic, but requires an involved machinery—the quadratic method—to solve equations on generating functions. In contrast, the bijective approach yields direct combinatorial proofs (though a unifying framework is still to be found). Given a family of map \mathcal{M} , the idea is to exhibit a *regular* combinatorial structure—typically an orientation with simple outdegree conditions—specific to the maps in \mathcal{M} , which gives a procedure to encode each map of \mathcal{M} by a spanning tree with simple degree conditions; conversely the map is recovered by performing local operations on the tree so as to close the faces one by one. Such a bijective construction ensures that the counting coefficients of \mathcal{M} are equal to those of the associated tree family, which typically have a closed formula involving binomial coefficients.

These enumerative techniques yield thus an extensive table of counting formulas for families of maps. By examining such a table, one notices that seemingly unrelated map families are equinumerous. This asks for bijective explanations as direct as possible to understand the underlying structural correspondences. On a few instances there already exist very simple bijective constructions. Such bijections are of a type different from the above mentioned bijective constructions from trees. Indeed, they are from map to map and operate directly on the embedding in a simple local way. Let us mention the classical *duality* construction between maps with i vertices and j faces and maps with j vertices and i faces, the *radial mapping* between maps with n edges and 4-regular maps with n vertices, and the so-called *trinity mapping* between bipartite 3-regular maps with $2n$ vertices and eulerian maps with n edges (the two latter constructions can be traced back to Tutte [Tut63]). We have observed two further coincidences:

- (i): 2-connected maps with n edges are equinumerous to so-called irreducible triangulations (triangulations of the 4-gon with no separating triangle) with $n + 1$ inner vertices,
- (ii): loopless maps with n edges are equinumerous to triangulations with $n + 3$ vertices.

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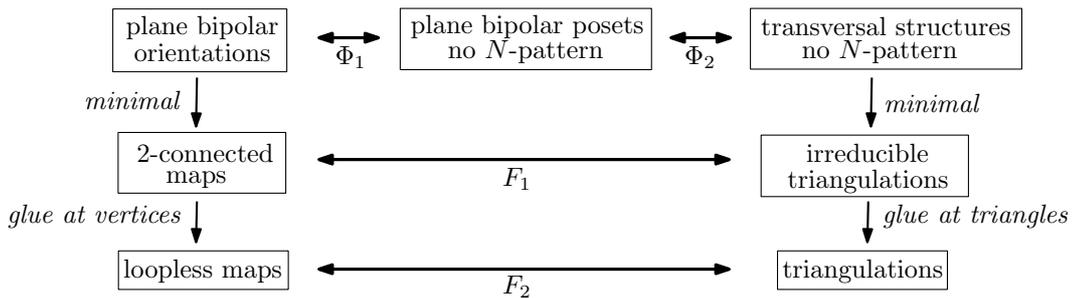


Figure 1: Relations between the combinatorial structures considered in the article. Bijective links are indicated by double arrows

In this article, we describe new bijective constructions for proving (i) and (ii). The bijection for (i) presents original features; it is nonrecursive and operates directly on the embedding in a local way, but it makes use of specific combinatorial structures and is thus less straightforward than the classical map-to-map correspondences. To wit, the bijection we describe for (i) can be seen as a direct map-to-map correspondence borrowing ideas from the above mentioned bijective constructions of maps from trees. The first step is to associate with each map-family a combinatorial structure: plane bipolar orientations for 2-connected maps and transversal structures for irreducible triangulations (these are defined in Section 2). We show in Section 3 that these structures are closely related: plane bipolar orientations correspond to specific transversal structures that are called N -avoiding (they avoid N -shaped patterns). The correspondence is a succession of two bijections $\Phi_{1,2}$, the intermediate structures being some specific plane bipolar orientations, called N -avoiding plane bipolar posets. The bijection Φ_1 is interesting on its own, as it seems to be a suitable adaptation to planar maps of a classical bijective construction on partially ordered sets, see the discussion after Theorem 3.4.

Then, the correspondence $\Phi_2 \circ \Phi_1$ specialises to a bijection, described in Section 4, between (rooted) 2-connected maps and irreducible triangulations. The bijection, denoted by F_1 , is based on the two following properties: (a) to each 2-connected map (irreducible triangulation) is associated a canonical plane bipolar orientation (transversal structure, resp.) which is the unique one avoiding a certain pattern; such plane bipolar orientations and transversal structures are called minimal; (b) the correspondence $\Phi_2 \circ \Phi_1$ matches minimal plane bipolar orientations with minimal transversal structures.

As described in Section 6, the bijection F_1 yields in turn a bijection, denoted F_2 , for proving (ii). We make use of two classical decompositions that hold on rooted maps. A loopless map decomposes as a 2-connected map, called the core, and a collection of components that are smaller loopless maps; whereas a triangulation decomposes as an irreducible triangulation, called the core, and a collection of components that are smaller triangulations. The key observation is that the two decompositions are parallel, for a convenient choice of the size parameters. The bijection F_2 is thus specified recursively: the cores are matched by the bijection F_1 , and the components are matched recursively by F_2 . Let us mention that another bijective proof of (ii) has been described by Wormald [Wor80], still recursive but based on different principles (in [Wor80], an isomorphism is established between the generating tree of loopless maps and the generating tree of triangulations), see the discussion after Theorem 6.1. To sum up, the whole bijective scheme of the article is shown in Figure 1.

2. Preliminaries

2.1. Planar maps. A *planar map*, shortly called a map, is a connected unlabelled planar graph embedded in the plane with no edge-crossings, the embedding being considered up to continuous deformation. Loops and multiple edges are allowed. In addition to the vertices and edges of the graph embedded, a map has *faces*, which are the maximal connected areas of the plane split by the embedding. The unbounded face is called the outer face, the other ones are called inner faces. Edges and vertices are said to be inner or outer whether they are incident to the outer face or not. A map is *rooted* by distinguishing and orienting an edge, called the *root*, with the condition that the root has the outer face on its left. The origin of the root is called the *root vertex*. In this article we will consider the following families of (rooted) planar maps:

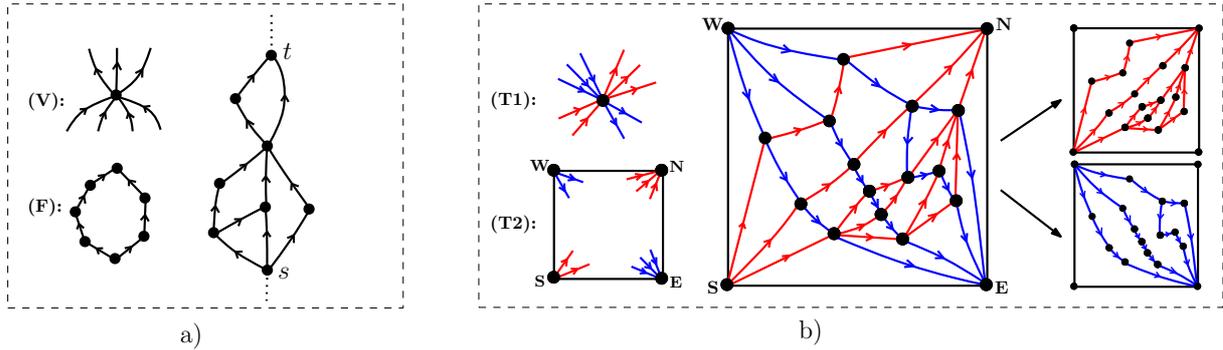


Figure 2: (a) Plane bipolar orientations: local conditions and an example. (b) Transversal structures: local conditions and an example.

- *Loopless maps.* A loopless is a map with no loop, i.e., each edge has two distinct extremities. Multiple edges are allowed.
- *2-connected maps.* A map is 2-connected if it is loopless and the deletion of any one vertex does not disconnect the map. Multiple edges are allowed.
- *Triangulations.* A triangulation is a map with no loop nor multiple edges and with all faces of degree 3. These correspond to maximal planar graphs embedded in the plane.
- *Irreducible triangulations.* A triangulation of the 4-gon is a map with no loop nor multiple edges, with a quadrangular outer face and triangular inner faces. An irreducible triangulation of the 4-gon, shortly called irreducible triangulation, is a triangulation of the 4-gon without separating 3-cycle, i.e., the interior of any 3-cycle is a face.

2.2. Plane bipolar orientations. A *bipolar orientation* on a connected graph G is an acyclic orientation with a unique source (vertex with only outgoing edges) and a unique sink (vertex with only ingoing edges). Equivalently, the partial order induced on the vertices by the orientation has a unique minimum and a unique maximum. The source and the sink, which are called the *poles* or the *special vertices*, are classically denoted s and t . The other vertices are said to be *nonspecial*. It is convenient when considering plane bipolar orientations to draw two half-lines starting respectively from s and t and reaching into the outer face. The outer face is thus split into two unbounded faces, which are called the *special faces*: the one on the left (right) of the map, looking from s to t , is the left (right, resp.) special face. Bipolar orientations constitute the natural combinatorial structure characterising 2-connectivity. Indeed, as is well known [DFoMR95], a graph G with two marked vertices s and t admits a bipolar orientation with source s and sink t iff G is 2-connected upon connecting s and t by an edge. A *plane bipolar orientation* is a bipolar orientation on a planar map M such that the source and the sink are outer vertices of M . Plane bipolar orientations have the nice property that they are characterised by two simple local properties, one around vertices and one around faces, see Figure 2(a):

- (V): Around each nonspecial vertex, the edges form a nonempty interval of outgoing edges and a nonempty interval of ingoing edges.
- (F): The contour of each inner face f is made of two oriented paths with same origin s_f and same end t_f . The two special faces are each bordered by a path that goes from s to t .

Given Property (V), we can define the left (right) lateral face of a nonspecial vertex v as the face incident to the angle between the last ingoing (outgoing) edge and the first outgoing (ingoing, resp.) edge in clockwise order around v . Given an inner face f , the vertices s_f and t_f are respectively called the *source* and the *sink* of f . The path from s_f to t_f that has the exterior of f on its left (right) is called the *left (right) lateral path* of f and is denoted $P_\ell(f)$ ($P_r(f)$, resp.). The last edge of $P_\ell(f)$ is called the *opleft edge* of f and the first edge of $P_r(f)$ is called the *bottomright edge* of f . The vertices of $P_\ell(f) \setminus \{s_f, t_f\}$ ($P_r(f) \setminus \{s_f, t_f\}$) are called *left (right, resp.) lateral vertices* of f . The path bordering the left (right) special face is called the *left (right, resp.) outer path*.

It is well known that a plane bipolar orientation O induces a partial order on the edge-set: $e \leq e'$ iff there exists an oriented path passing by e before passing by e' . In other words \leq is the transitive closure of the binary relation: $e \prec e'$ iff there is a vertex v such that e is ingoing at v and e' is outgoing at v . There is another partial order on the edge-set, due to a classical duality relation satisfied by plane bipolar orientation [DFoMR95]: the so-called *dual order* \leq^* is the transitive closure of the binary relation $e \prec^* e'$ iff there exists a face f of O such that e is in the left lateral path and e' is in the right lateral path of f . Due to the duality relation, there is also a partial order on the faces of O , which is the transitive closure of the binary relation on faces: $f \prec f'$ if there is an edge of O with f on its left and f' on its right. This partial order is called the *left-to-right order* on the faces of O .

Given a plane bipolar orientation, a transitive edge is an edge whose two extremities are connected by an oriented path of length at least 2. A *plane bipolar poset* is a plane bipolar orientation with at least 3 vertices and with no transitive edge. The terminology refers to the fact that a plane bipolar poset is a planarly embedded Hasse diagram representing a poset.

The following property is easily checked from Condition (F):

FACT 1. *A plane bipolar orientation with at least 3 vertices is a plane bipolar poset iff the two lateral paths of each inner face have length at least 2.*

In particular, a plane bipolar poset has no multiple edges. Another remark to be used later is that each inner face f of a plane bipolar poset has at least one lateral vertex on each side: the last vertex of $P_\ell(f) \setminus \{s_f, t_f\}$ is called the *topleft lateral vertex* of f and the first vertex of $P_r(f) \setminus \{s_f, t_f\}$ is called the *bottomright lateral vertex* of f . Given a plane bipolar poset, a *N-pattern* is a simple path of length 3 $(v_0, e_1, v_1, e_2, v_2, e_3, v_3)$, such that e_1 follows e_2 in cw order around v_1 , e_3 follows e_2 in cw order around v_2 , e_1 and e_2 are ingoing at v_1 , and e_2 and e_3 are outgoing at v_2 . A *V-pattern* is defined similarly, upon replacing clockwise by counterclockwise. Plane bipolar posets with no *N-pattern* are said to be *N-avoiding*; these play an important role in the bijections to be given next.

2.3. Transversal structures. Transversal structures play a similar part for irreducible triangulations as plane bipolar orientations for 2-connected maps.

Let us give the precise definition. Given a rooted irreducible triangulation T , denote by N, E, S, W the outer vertices of T in cw order around the outer face, starting from the origin of the root. A *transversal structure* of T is an orientation and a bicolouration of the inner edges of T , say each inner edge is red or blue, such that the following conditions are satisfied, see Figure 2(b).

- (T1): The edges incident to an inner vertex of T form in cw order: a nonempty interval of outgoing red edges, a nonempty interval of outgoing blue edges, a nonempty interval of ingoing red edges, and a nonempty interval of ingoing blue edges.
- (T2): The edges incident to $N, E, S,$ and W are respectively ingoing red, ingoing blue, outgoing red, and outgoing blue.

Transversal structures were introduced by X. He [He93] under the name of regular edge-labellings. They were further investigated by the author [Fus05] and have many applications in graph drawing: straight-line drawing [Fus05], visibility drawing [KH97], rectangular layouts [KH97]. Transversal structures characterise triangulations of the 4-gon that are irreducible. Indeed, irreducibility is necessary, and each irreducible triangulation admits a transversal structure [Fus05]. Moreover, transversal structures are closely related to plane bipolar orientations by the following property:

FACT 2. *Let X be a transversal structure on an irreducible triangulation T having at least one inner vertex. Then the oriented map X_r formed by the red edges and the vertices of $T \setminus \{W, E\}$ is a plane bipolar poset, called the red bipolar poset of X . Similarly, the oriented map X_b formed by the blue edges and the vertices of $T \setminus \{S, N\}$ is a plane bipolar poset, called the blue bipolar poset of X .*

PROOF. The fact that X_r and X_b are plane bipolar orientations was proved in [Fus05] (the main argument is that a monocolored circuit of X would have a monocolored chordal path of the other color inside, which is not possible by Condition T1). Clearly in each inner face f of X_r , the two lateral paths are not both of length 1, as T has no multiple edge. Hence, f has at least one lateral vertex v on one side (left or right). From Condition T1, v has to launch at least one blue edge to the other side of f , so f has at least one lateral vertex on the other side as well. Hence, X_r is a plane bipolar poset. Similarly, X_b is a plane bipolar poset. \square

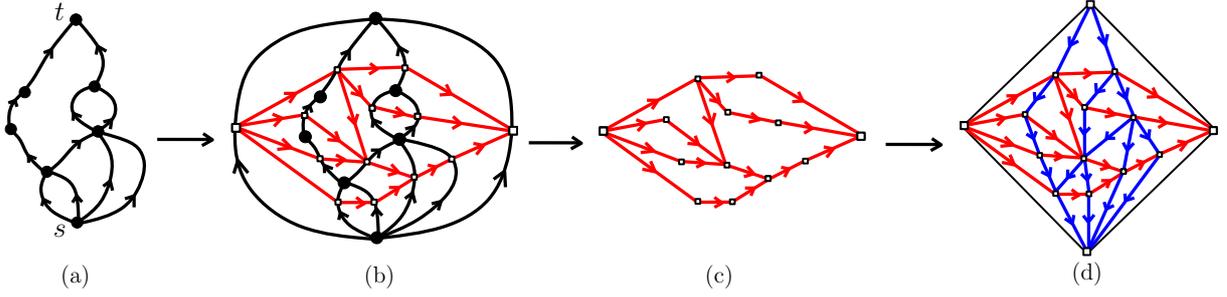


Figure 3: (a) A plane bipolar orientation, (c) the associated N -avoiding plane bipolar poset, (d) the associated N -avoiding transversal structure.

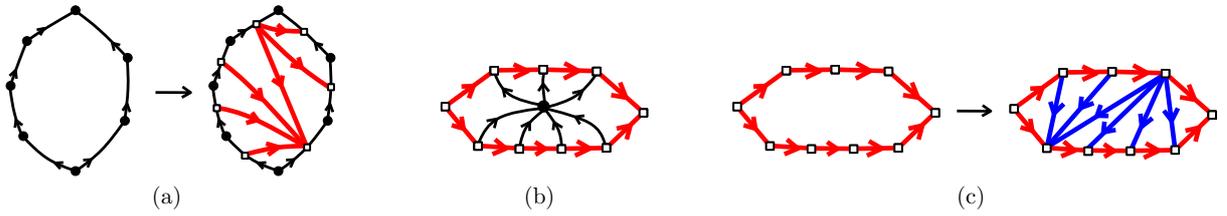


Figure 4: (a) Insertion of the edges of $P = \Phi_1(O)$ inside a face of O . (b) Configuration of an inner face of P around the associated nonspecial vertex of O . (c) Insertion of the blue edges of $X = \Phi_2(P)$ inside a face of P .

A transversal structure is called N -avoiding if both its red and its blue bipolar posets are N -avoiding.

3. Bijections between plane bipolar orientations and N -avoiding structures

3.1. From plane bipolar orientations to N -avoiding plane bipolar posets. Let O be a plane bipolar orientation. We associate with O an oriented planar map $P = \Phi_1(O)$ as follows, see Figure 3(a)-(c).

- *Add two outer edges.* Add two edges ℓ and r going from the source to the sink of O , so that the whole map is contained in the 2-cycle delimited on the left by ℓ and on the right by r . The augmented bipolar orientation is denoted \tilde{O} .
- *Insert the vertices of P .* A vertex of P , depicted in white, is inserted in the middle of each edge of \tilde{O} . The vertex inserted in the edge ℓ (r) is denoted s_P (t_P , resp.).
- *Insert the edges of P .* Edges of P are planarly inserted in the interior of each inner face f of \tilde{O} so as to create the following adjacencies, see Figure 4(a): the vertices of P in the left lateral path of f are connected to the vertex of P in the bottomright edge of f ; and the vertices of P in the right lateral path of f are connected to the vertex of P in the topleft edge of f . The inserted edges are directed from the left lateral path to the right lateral path of f .

LEMMA 3.1. *Let O be a plane bipolar orientation with n edges. Then the oriented planar map $P = \Phi_1(O)$ is a N -avoiding plane bipolar poset with $n + 2$ vertices. The source of P is the vertex s_P and the sink of P is the vertex t_P . When P and the augmented bipolar orientation \tilde{O} are superimposed, there is exactly one nonspecial vertex v of O in each inner face f of P : the outgoing edges of v go to the left lateral vertices of f and the ingoing edges of v go to the right lateral vertices of f , see Figure 4(b).*

PROOF. Let us first prove that P is acyclic. Given two vertices v and v' of P , write $v \rightsquigarrow v'$ if there is an oriented path of P going from v to v' . Observe that, if $v \rightsquigarrow v'$, the corresponding edges e and e' of O satisfy $e \leq^* e'$. Hence \rightsquigarrow is a suborder of \leq^* , so P is acyclic. To prove bipolarity, observe that, for each vertex v of P distinct from s_P and t_P , the corresponding edge e of O has one inner face of \tilde{O} on each side. Hence, by

definition of Φ_1 , v has at least one ingoing edge in the face on the left of e and at least one outgoing edge in the face of \tilde{O} on the right of e .

Next, it easily follows from the way edges of P are inserted that each nonspecial vertex v of O gives rise to an inner face f_v of P such that the outgoing (ingoing) edges of P at v go to the left (right, resp.) lateral vertices of f . In addition, by a simple counting argument, the number of nonspecial vertices of O is equal to the number of inner faces of P , so that each inner face of P is of the form f_v for some nonspecial vertex v of O . (Proof of the equality: write $V(M)$, $E(M)$ and $F(M)$ for the sets of vertices, edges, and inner faces of a map M . By definition of Φ_1 , $|V(P)| = |E(\tilde{O})|$ and $E(P) = \sum_{f \in F(\tilde{O})} (\deg(f) - 1) = 2|E(\tilde{O})| - 2 - |F(\tilde{O})|$. By Euler's relation, $|F(P)| = |E(P)| - |V(P)| + 1$, so $|F(P)| = |E(\tilde{O})| - |F(\tilde{O})| - 1$, which is equal to $(|V(\tilde{O})| - 2)$ again by Euler's relation. Hence, the number of inner faces of P is equal to the number of nonspecial vertices of O .)

As a consequence, each inner face of P , of the form f_v , has its left (right) lateral path of length $\text{Outdeg}(v) + 1$ ($\text{Indeg}(v) + 1$, resp.). Hence, P is a plane bipolar poset by Fact 1.

Finally, the fact that P has no N -pattern is due to the two following observations: i) two edges of P with same origin are inside the same face of O , and two edges of P with same end are inside the same face of O , hence any N -pattern is inside a face of O ; ii) there is no N -pattern of P inside a face of O . \square

As we prove next, the mapping Φ_1 is in fact a bijection and has an explicit simple inverse.

Given a bipolar poset P (N -avoiding or not), let $O = \Psi_1(P)$ be the oriented planar map defined as follows:

- *Insert the vertices of O .* One vertex of O is inserted in each face of P . The vertex inserted in the right special face is denoted s and the vertex inserted in the left special face is denoted t .
- *Insert the edges of O .* Each nonspecial vertex v of P gives rise to an edge of P , which goes from the vertex of O inside the right lateral face of v to the vertex of O inside the left lateral face of v .

The correspondence between nonspecial vertices of O and inner faces of P , as stated in Lemma 3.1 and shown in Figure 4(b), ensures that Ψ_1 is exactly the procedure to recover a plane bipolar orientation from its image $P = \Phi_1(O)$. In other words:

LEMMA 3.2. *For each plane bipolar orientation O , $\Psi_1(\Phi_1(O)) = O$.*

Next we prove that Ψ_1 is also the right inverse of Φ_1 when restricted to N -avoiding structures.

LEMMA 3.3. *For any N -avoiding plane bipolar poset P , the oriented map $O := \Psi_1(P)$ is a plane bipolar orientation such that $\Phi_1(O) = P$.*

PROOF. Let us first prove that O is a plane bipolar orientation. For two vertices v, v' of O , write $v \rightsquigarrow v'$ if there is a path of O going from v to v' . Let v, v' be two vertices of O and let f, f' be the corresponding faces of P . Clearly, if $v \rightsquigarrow v'$, then f is smaller than f' for the left-to-right order on the faces of P . Hence, O is acyclic. In addition, each vertex $v \neq \{s, t\}$ of O has indegree (outdegree) equal to the number of left (right, resp.) lateral vertices of the corresponding inner face of P . Hence, s is the only source and t the only sink of O , so O is bipolar.

Let us now show that $\Phi_1(O) = P$. The first step of Φ_1 is to add two edges from s to t , yielding an augmented map \tilde{O} . Consider the oriented map M obtained by superimposing \tilde{O} and P , i.e., there is a vertex of P in the middle of each edge of \tilde{O} and a vertex of \tilde{O} inside each face of P , see Figure 3(b). Vertices of \tilde{O} and P are respectively colored black and white, and edges of \tilde{O} and P are respectively colored black and red. Observe that the edges incident to a white vertex of M form in cw order: a nonempty interval of outgoing red edges, one ingoing black edge, a nonempty interval of ingoing red edges, and one outgoing black edge. Hence the red edges that are inside an inner face f of \tilde{O} have to go from a vertex of P in the left lateral path of f to a vertex of P in the right lateral path of f . Thus the edges of P inside f are naturally ordered from down to top; in this order, two consecutive edges are said to be *meeting* if they share a vertex and *parallel* otherwise. By a simple counting argument, the number of edges of P inside f is $\deg(f) - 1 - p(f)$, where $p(f)$ is the number of parallel consecutive edges inside f . Using Euler's relation in a similar way as in the proof of Lemma 3.1, it is easily shown that the total number of edges of P satisfies $|E(P)| = 2|E(\tilde{O})| - 2 - |F(\tilde{O})| = \sum_{f \in F(\tilde{O})} (\deg(f) - 1)$. Hence, $\sum_{f \in F(\tilde{O})} p(f) = 0$, so all the $p(f)$ are zero, i.e., all consecutive edges in any inner face of \tilde{O} are meeting. As P has no N -pattern and all consecutive edges are meeting, the only possible configuration for the edges of P inside a face of \tilde{O} is the configuration

shown in Figure 4(a). In other words, the edges of P are those inserted when applying the mapping Φ_1 to O . Hence $\Phi_1(O) = P$. \square

From Lemma 3.2 and Lemma 3.3 we obtain our first bijection:

THEOREM 3.4. *For $n \geq 1$ and $i \geq 0$, the mapping Φ_1 is a bijection between plane bipolar orientations with n edges and i nonspecial vertices, and N -avoiding plane bipolar posets with n nonspecial vertices and i inner faces. The inverse mapping of Φ_1 is Ψ_1 .*

REMARK 1. We have seen in Section 2.2 that a bipolar orientation on a graph G gives rise to a partial order on the edge-set of G , called edge-poset, according to the precedence order of the edges along oriented paths (observe that no embedding is needed to define this poset). It is well known in order theory that this construction is indeed a bijection between bipolar orientations on graphs and so called N -free posets, which are posets with no induced N in the Hasse diagram [HM87]. Moreover, it is possible to enrich this construction so as to take a planar embedding into account: the planar embedding of the plane bipolar orientation turns to a so-called 2-realizer of the associated poset [dFdM96].

Our mapping Φ_1 shares some resemblance with this classical bijective construction, but there are significant differences. First, our bipolar posets might have induced N , but only in the form of a \mathcal{V}_1 -pattern (due to the embedding, an induced N can appear either as a N -pattern or as a \mathcal{V}_1 -pattern). Second, our constructions have the nice feature that they operate directly on the embeddings. If the mapping Φ_1 can be considered as a simple adaptation of the edge-poset construction so as to take the embedding into account, the inverse bijection Ψ_1 is truly different from the inverse ι of the edge-poset construction. Indeed, Ψ_1 operates locally on the embedding in a very simple way, whereas ι requires some considerations and manipulations on the partially ordered sets. $\dots\dots\dots$ \square

3.2. From N -avoiding plane bipolar posets to N -avoiding transversal structures. Let Φ_2 be the mapping that associates to a plane bipolar poset P (N -avoiding or not) a transversal structure X in the following way, see Figure 3(c)-(d):

- *Create the outer quadrangle.* Insert one vertex, denoted W , in the left special face of P and one vertex, denoted E , in the right special face of P . Connect W and E to the source and sink of P , denoted respectively by S and N , thus creating an outer quadrangle (W, N, E, S) . The bipolar poset augmented from P by insertion of the quadrangle —edges of the quadrangle oriented from S toward N — is denoted \tilde{P} .
- *Insert the blue edges.* For each inner face f of \tilde{P} , insert (in a planar way) blue edges inside f so as to create the following adjacencies, see Figure 4(c): left lateral vertices of f are connected to the bottomright lateral vertex of f , and right lateral vertices of f are connected to the topleft lateral vertex of f . Blue edges inside f are directed from the left lateral vertices to the right lateral vertices of f . In other words, f is triangulated by transversal blue edges in the unique way avoiding blue N -patterns inside f .

LEMMA 3.5. *Let P be a plane bipolar poset. Then $\Phi_2(P)$ is a transversal structure whose red bipolar poset is P and whose blue bipolar poset is N -avoiding.*

PROOF. Clearly $X := \Phi_2(P)$ is a transversal structure (conditions (T1) and (T2) are satisfied) whose red bipolar poset is P . The blue bipolar poset is N -avoiding due to the two following observations: i) two blue edges with the same origin are inside the same face of P , and two blue edges with the same end are inside the same face of P , hence any blue N -pattern is inside a face of P ; ii) there is no blue N -pattern inside a face of O . \square

Call Ψ_2 the mapping that associates to a transversal structure its red bipolar poset.

PROPOSITION 3.6. *For $n \geq 1$ and $i \geq 0$, the mapping Φ_2 is a bijection between N -avoiding plane bipolar posets with n nonspecial vertices and i inner faces, and N -avoiding transversal structures with n inner vertices and $n + i + 1$ red edges. The inverse mapping of Φ_2 is Ψ_2 .*

PROOF. According to Lemma 3.5, Ψ_2 is the left inverse of Φ_2 , i.e., $\Psi_2(\Phi_2(P)) = P$ for any plane bipolar poset P . Let us show that Ψ_2 is also the right inverse of Φ_2 when the mappings are restricted to N -avoiding structures. Let X be a N -avoiding transversal structure, with $X_r := \Psi_2(X)$ its red bipolar poset and X_b

its blue bipolar poset. Each inner face f of X_r is triangulated by transversal blue edges going from a left lateral vertex to a right lateral vertex of f . It is easily checked that the only such N -avoiding configuration is the one where all edges are incident either to the topleft or to the bottomright lateral vertex of f . In other words, the blue edges inside f are placed according to the insertion process of Φ_2 , hence $\Phi_2(P) = X$. Finally, the parameter-correspondence is due to Euler's relation, which ensures that a plane bipolar poset with n nonspecial vertices and i inner faces has $(n + i + 1)$ edges. \square

4. Bijection between 2-connected maps and irreducible triangulations

4.1. 2-connected maps as specific plane bipolar orientations. For rooted maps, plane bipolar orientations are always assumed to have the root edge going from the source to the sink. As already mentioned in Section 2.2, bipolar orientations are naturally associated with the property of 2-connectivity; a rooted map is 2-connected iff it admits a plane bipolar orientation. Even more is true, namely, each rooted 2-connected map can be endowed with a specific plane bipolar orientation in a canonical way. Given a plane bipolar orientation, we define a *left-oriented piece*, shortly a LOP, as a 4-tuple (v_1, v_2, f_1, f_2) made of two distinct vertices v_1, v_2 and two distinct faces f_1, f_2 of O such that the following conditions are satisfied, see Figure 5(a):

- the vertex v_1 is the sink of f_1 and is a left lateral vertex of f_2 ,
- the vertex v_2 is a right lateral vertex of f_1 and is the source of f_2 .

FACT 3 ([DFOdMR95, OdM94]). *A rooted map is 2-connected iff it admits a bipolar orientations. A rooted 2-connected map M has a unique plane bipolar orientation with no LOP, called the minimal plane bipolar orientation of M .*

The terminology is due to the fact that the set of plane bipolar orientations of a fixed rooted 2-connected map is a distributive lattice, the minimal element of the lattice being the bipolar orientation with no LOP, see [OdM94].

Link with plane bipolar posets. Notice that a plane bipolar poset P with no LOP is N -avoiding. Indeed, if $(v_0, e_1, v_1, e_2, v_2, e_3, v_3)$ is a N -pattern of P , let f_1 be the face on the left and f_2 the face on the right of the central edge e_2 , then it is easily checked that (v_1, v_2, f_1, f_2) is a LOP of f . Hence, plane bipolar posets with no LOP are in bijection via Ψ_1 with a subfamily of plane bipolar orientations. As we show here, these are exactly the plane bipolar orientations with no LOP.

LEMMA 4.1. *Let O be a plane bipolar orientation, and let $P = \Phi_1(O)$. Then O has no LOP iff P has no LOP.*

PROOF. Assume that O has a LOP (v_1, v_2, f_1, f_2) . Let f'_1 (f'_2) be the face of P corresponding to v_1 (v_2), and let w_1 (w_2) be the vertex of P inserted in the bottomright edge of f_2 (topleft edge of f_1 , resp.). Then (w_1, w_2, f'_1, f'_2) is easily checked to be a LOP of P , as shown in Figure 5(b). Conversely, assume that P has a LOP $(w_1, w_2, f'_1 = f_{v_1}, f'_2 = f_{v_2})$, with v_1 and v_2 the vertices of O associated to the two faces of the LOP. Recall that $O = \Psi_1(P)$. Let f_1 (f_2) be the face of O containing the outgoing edges of w_2 (ingoing edges of w_1 , resp.). Notice that the faces f_1 and f_2 are distinct, otherwise w_1 and w_2 would be adjacent and the edge (w_1, w_2) would be the central edge of a N -pattern of P , a contradiction. Again, from the definition of Ψ_1 , it is easily checked that (v_1, v_2, f_1, f_2) is a LOP of O , as shown in Figure 5(b). \square

4.2. Irreducible triangulations as specific transversal structures. As we recall here from [Fus05], one can endow an irreducible triangulation with a specific transversal structure in a canonical way. Given a transversal structure X , an *alternating 4-cycle* of X is a cycle of four edges that alternate in color, i.e., the cycle has two opposite red edges and two opposite blue edges. Condition (T1) ensures that the orientation induced on the four edges of an alternating 4-cycle R has one source and one sink, which are denoted respectively by s_R and t_R . The following properties have been proved in [Fus05] under a slightly different formulation, as only the edge-bicoloration of the transversal structure was considered:

FACT 4. *Let T be an irreducible triangulation endowed with a transversal structure X . For each alternating 4-cycle R of X , the oriented edge-bicolored map X_R obtained by restricting X to R and its interior is a transversal structure. If s_R is the source of outgoing red edges going inside R , then R is called a left*

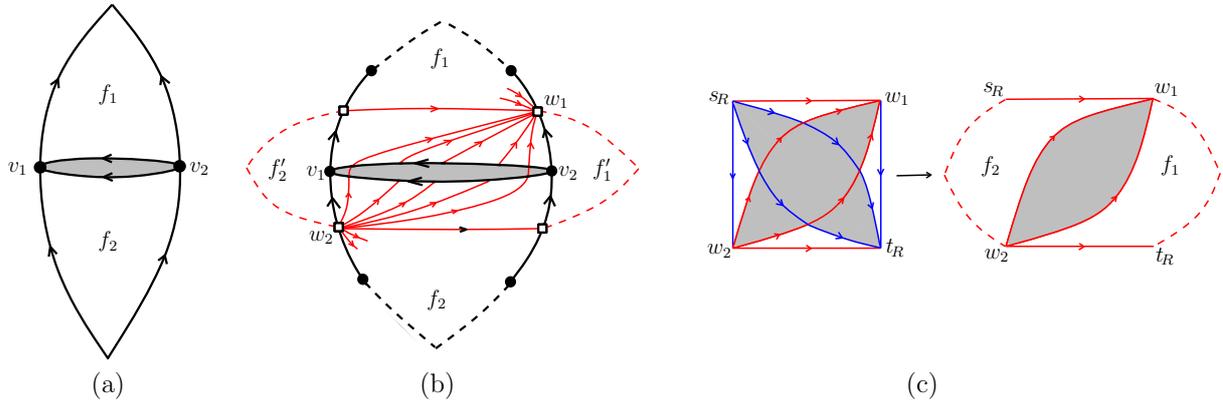


Figure 5: (a) A left-oriented piece (LOP) of a plane bipolar orientation. (b) Each LOP in a plane bipolar orientation O yields a LOP in $P = \Phi_1(O)$. (c) Each right alternating 4-cycle in a N -avoiding transversal structure X yields a LOP in the red bipolar poset of X .

alternating 4-cycle. Otherwise s_R is the source of outgoing blue edges going inside R , in which case R is called a right alternating 4-cycle.

FACT 5. A triangulation of the 4-gon is irreducible iff it admits a transversal structure. An irreducible triangulation admits a unique transversal structure with no right alternating 4-cycle, called its minimal transversal structure.

Again the terminology refers to the fact that the set of transversal structures of an irreducible triangulations is a distributive lattice whose minimal element is the transversal structure with no right alternating 4-cycle, see [Fus05]. Notice that a N -pattern induces a right alternating 4-cycle, with no vertex inside and with the central edge of the N -pattern as the only edge inside. Hence any minimal transversal structure is N -avoiding.

Link with plane bipolar posets. As we show here, the N -avoiding transversal structures that correspond to plane bipolar posets with no LOP are exactly the minimal transversal structures. Hence, plane bipolar posets with no LOP are the bijective intermediates between minimal plane bipolar orientations and minimal transversal structures.

LEMMA 4.2. Let X be a N -avoiding transversal structure, and let $X_r := \Psi_2(X)$ be the red bipolar poset of X . Then X has no right alternating 4-cycle iff X_r has no LOP.

PROOF. Assume that X has a right alternating 4-cycle R , the vertices of R in clockwise order being denoted (s_R, w_1, t_R, w_2) , with s_R the source and t_R the sink of R . Let f'_1 (f'_2) be the face of X_r containing the blue edge (s_R, w_2) ((w_1, t_R) , resp.). Notice that R has at least one vertex inside, as X is N -avoiding; hence the faces f'_1 and f'_2 are distinct. It is easily checked that (w_1, w_2, f'_1, f'_2) is a LOP, as shown in Figure 5(c). Conversely assume that X_r has a LOP (w_1, w_2, f'_1, f'_2) . Let s_R be the vertex such that (s_R, w_1) is the topleft edge of f'_1 , and let t_R be the vertex such that (w_2, t_R) is the bottomright edge of f'_2 . As the map underlying X is triangulated, w_1 and t_R are adjacent, and s_R and w_2 are adjacent. In addition, Condition (T1) ensures that $\{w_1, t_R\}$ is a blue edges directed from w_1 to t_R , and $\{w_2, s_R\}$ is a blue edge directed from s_R to w_2 . Hence, the 4-cycle $R = (s_R, w_1, t_R, w_2)$ is an alternating 4-cycle. As there are red edges inside R incident to w_1 and w_2 , R is a right alternating 4-cycle. \square

4.3. The bijection. We have gathered all the ingredients to describe the bijection between rooted 2-connected maps and rooted irreducible triangulations.

Given a rooted 2-connected map M with at least 2 edges, let $T = F_1(M)$ be the irreducible triangulation obtained by the following steps:

- endow M with its minimal plane bipolar orientation O , the root edge being deleted,
- compute the transversal structure X associated to O , i.e., $X := \Phi_2(\Phi_1(O))$,

- return T as the irreducible triangulation underlying X , rooted at the edge going from N to E .

According to Lemma 4.2, X is the minimal transversal structure of T . Hence, all steps of F_1 can be inverted. Given T a rooted irreducible triangulation, let $M = G_1(T)$ be the rooted 2-connected map obtained as follows:

- endow T with its minimal transversal structure,
- compute the plane bipolar orientation O associated to X : $O := \Psi_1(\Psi_2(X))$,
- return the rooted 2-connected map M underlying O (M receives an additional root edge going from the source to the sink).

THEOREM 4.3. *For $n \geq 2$, the mapping F_1 is a bijection between rooted 2-connected maps with n edges and irreducible triangulations with $n + 3$ vertices. The inverse mapping of F_1 is G_1 .*

PROOF. The bijection results from the two following facts: (i) rooted 2-connected maps are identified with minimal plane bipolar orientations by Fact 3, and rooted irreducible triangulations are identified with minimal transversal structures by Fact 5, (ii) by Lemma 4.1 and 4.2, $\Phi_2 \circ \Phi_1$ induces a bijections between minimal plane bipolar orientations and minimal transversal structures, whose inverse is $\Psi_1 \circ \Psi_2$. The parameter-correspondence is inherited from the parameter-correspondences of Φ_1 and Φ_2 . \square

5. Counting combinatorial structures and maps

5.1. Baxter families. Plane bipolar orientations with n edges and i nonspecial vertices are known to be counted by the coefficients

$$(1) \quad \Theta_{n,i} := \frac{2}{n(n+1)^2} \binom{n+1}{i} \binom{n+1}{i+1} \binom{n+1}{i+2}.$$

The formula has first been proved by Rodney Baxter [Bax01], guessing the answer from a recurrence satisfied by the counting coefficients; a direct computation based on the ‘‘obstinate Kernel method’’ is due to Bousquet-Mélou [BM02]. A bijective proof has been found recently [FPS07], which consists in encoding a plane bipolar orientation by a triple of nonintersecting paths with prescribed endpoints. Summing over i the coefficients $\Theta_{n,i}$, the number of plane bipolar orientations with n edges is $\theta_n := \sum_i \frac{2}{n(n+1)^2} \binom{n+1}{i} \binom{n+1}{i+1} \binom{n+1}{i+2}$. The coefficients θ_n , called the *Baxter numbers* (after a different Baxter, Glen Baxter), surface recurrently in the enumeration of combinatorial structures, see [FFNO07] for a recent survey on ‘‘Baxter families’’ accompanied by new bijective constructions. The Baxter numbers appeared first as the coefficients counting so-called Baxter permutations of size n (Baxter permutations are a well known family of permutations that have been intensively studied over the past 30 years, appearing in various branches of mathematics such as analysis, algebraic geometry, and combinatorics.) Our bijections with N -avoiding structures, as described in Section 3, bring new Baxter families to the surface:

PROPOSITION 5.1. *For $n \geq 1$ and $i \geq 0$, the number $\Theta_{n,i}$ counts:*

- N -avoiding plane bipolar posets with n nonspecial vertices and i inner faces,
- N -avoiding transversal structures with n inner vertices and $n + i + 1$ red edges.

Taking into account the parameter n only, these structures are counted by the Baxter number θ_n .

5.2. Map families. Brown and Tutte [BT64] used the recursive approach introduced by Tutte [Tut73] to find that the number of rooted 2-connected maps with $n + 1$ edges and $i + 2$ vertices is

$$(2) \quad \Lambda_{n,i} = \frac{(n+i)!(2n-i-1)!}{(i+1)!(n-i)!(2i+1)!(2n-2i-1)!}.$$

Notice that, taking only the parameter n into account, the counting coefficient is $\lambda_n = \sum_i \Lambda_{n,i}$, which simplifies to

$$(3) \quad \lambda_n = \frac{2(3n)!}{(n+1)!(2n+1)!}.$$

Bijective proofs have been given later on, the first one being a correspondence with ternary trees bearing labels so as to satisfy a positivity condition [JS98]. A more direct construction has been described by Schaeffer in his PhD [Sch98], as a 4-to- $(2n+2)$ correspondence between ternary trees with n nodes and

rooted 2-connected maps with $(n + 1)$ edges. The correspondence is based on local “closure” operations on a ternary tree, with the effect of closing one by one the faces of the associated map.

Our bijections relating maps via specific combinatorial structures, as described in Section 4, yields the following counting results:

PROPOSITION 5.2. *For $n \geq 1$ and $i \geq 0$, the number $\Lambda_{n,i}$ counts:*

- *rooted 2-connected maps with $(n + 2)$ vertices, $(i + 2)$ faces, and whose minimal plane bipolar orientation has no transitive edge except the root,*
- *rooted irreducible triangulations with n inner vertices and whose minimal transversal structure has $(n + i + 1)$ red edges.*

Taking into account the parameter n only, these map families are counted by λ_n .

As for rooted 2-connected maps, there exists a simple 4-to- $(2n + 2)$ correspondence between ternary trees with n nodes and rooted irreducible triangulations with n inner vertices [Fus05], again based on “closure” operations on the tree.

It turns out that our bijection F_1 puts in correspondence a 2-connected map M and an irreducible triangulation T that have the same underlying (unrooted) ternary tree. This is no surprise, as the ternary tree associated to M (T) is a spanning tree that arises from deleting specific edges in the minimal bipolar orientation of M (minimal transversal structure of T , resp.), whereas F_1 results from Φ_1 matching minimal plane bipolar orientations with minimal transversal structures. Hence, F_1 can be considered as a direct bijective construction on maps that has the same effect as computing the ternary tree associated to a 2-connected map and then closing the tree into an irreducible triangulation.

6. Bijection between loopless maps and triangulations

6.1. Decomposing loopless maps into 2-connected components. It is well known in graph theory that a connected graph G decomposes in a canonical way as a collection of 2-connected components (the maximal 2-connected subgraphs of G) that are assembled by gluing components at common vertices in a tree-like fashion. This classical decomposition adapts readily to rooted loopless maps [GJ83]. For our purpose it proves convenient to define the size $|M|$ of a rooted loopless map as its number of edges (counting the root) and to consider the vertex-map —made of a unique vertex and no edge— as a rooted 2-connected map; in particular, the vertex-map is loopless.

FACT 6. *Each rooted loopless map M is obtained in a unique way as follows.*

- *Take a rooted 2-connected map C , and order the corners of C in a canonical way as $\alpha_1, \dots, \alpha_{2|C|}$ (e.g., by a left-to-right d.f.s. from the root).*
- *Take a collection $M_1, \dots, M_{2|C|}$ of rooted loopless maps. For each $1 \leq i \leq 2|C|$, insert M_i in the corner α_i of C , merging the outer face of M_i with the face incident to α_i and the root vertex of M_i with the vertex incident to α_i .*

The map C is called the core-map of M , and the $(2|C| + 1)$ -tuple $\mathfrak{F}(M) = (C; M_1, \dots, M_{2|C|})$ is called the fingerprint of M . The size of M is the sum of the sizes of the maps in the fingerprint.

6.2. Decomposing triangulations into irreducible components. Similarly as for loopless maps, there exists a classical decomposition of triangulations into components of higher connectivity: each triangulation on the topological sphere is obtained from a collection of 4-connected triangulations (which are triangulations where all 3-cycles are facial) glued at common triangles in a tree-like fashion. The same idea —decomposition at separating 3-cycles— works as well to decompose rooted triangulations of the 4-gon into rooted irreducible components [Tut62]. First let us introduce some terminology. Given a rooted triangulation T of the 4-gon, denote by N, E, S, W the outer vertices of T in cw order around the outer face; then T is called *WE-diagonal* if W and E are adjacent, is called *SN-diagonal* if S and N are adjacent, and is called *non-diagonal* otherwise. Notice that the two diagonal cases are disjoint by planarity of T . The unique rooted irreducible triangulation that is *WE-diagonal* (*SN-diagonal*) is the quadrangle (N, E, S, W) split by a diagonal (W, E) ((S, N)); this map is called the *WE-link-map* (*SN-link-map*, resp.). For our purpose it is convenient to define the size of a triangulation or of a triangulation of the 4-gon T as $\|T\| := (|V(T)| - 3)$. Notice that $\|T\|$ is the number of inner vertices if T is a triangulation and $2\|T\|$ is the number of inner faces if T is a triangulation of the 4-gon, by Euler’s relation.

FACT 7. *Each rooted triangulation T of the 4-gon is obtained in a unique way as follows.*

- *Take a rooted irreducible triangulation I , and order the inner faces of I in a canonical way as $f_1, \dots, f_{2||I||}$ (e.g., by a left-to-right d.f.s. from the root).*
- *Take a collection $T_1, \dots, T_{2||I||}$ of rooted triangulations. For each $1 \leq i \leq 2||I||$, substitute f_i by T_i in a canonical way (e.g., the outer triangle of T_i fits with the contour of f_i and the root vertex of T_i fits with a distinguished vertex of f_i).*

The map I is called the core-triangulation of T ; T is nondiagonal if I has at least one inner vertex, is WE-diagonal if I is the WE-link-map, and is SN-diagonal if I is the SN-link-map. The $(2||I|| + 1)$ -tuple $\mathfrak{F}(T) = (I; T_1, \dots, T_{2||I||})$ is called the fingerprint of T . The size of T is the sum of the sizes of the maps in the fingerprint.

6.3. The bijection. Our size-preserving bijection between rooted loopless maps and rooted triangulations is defined recursively from the bijection F_1 . It is convenient to augment the correspondence F_1/G_1 with one object of size 1 on each side: the edge-map —made of two vertices connected by an edge— corresponds to the WE-link-map. We denote by $\mathcal{L}_{\leq n}$ ($\mathcal{T}_{\leq n}$) the family of rooted loopless maps (triangulations, resp.) of size at most n .

The bijection F_2 is specified recursively as follows. First, the vertex-map, the unique rooted loopless map M such that $|M| = 0$, is mapped by F_2 to the triangle-map, the unique rooted triangulation T such that $||T|| = 0$. Given $n \geq 0$, assume that F_2 is a well defined size-preserving mapping from $\mathcal{L}_{\leq n}$ to $\mathcal{T}_{\leq n}$, i.e., $||F_2(M)|| = |M|$ for every rooted loopless map $M \in \mathcal{L}_{\leq n}$. Let M be a rooted loopless map of size $n + 1$, with fingerprint $\mathfrak{F}(M) = (C; M_1, \dots, M_{2|C|})$. Define T as the rooted triangulation of the 4-gon whose fingerprint is $\mathfrak{F}(T) = (F_1(C); F_2(M_1), \dots, F_2(M_{2|C|}))$. By the recurrence assumption, T is well defined and $||T|| = |M|$, as the sizes of T and M are obtained by adding up the sizes of the maps in the fingerprints. Define $\tilde{T} := F_2(M)$ as the rooted map obtained from T by adding an edge from N to S taken as the new root. Notice that \tilde{T} is a triangulation: all faces of \tilde{T} have clearly degree 3, and the root edge is simple (Fact 7 ensures that S and N are not adjacent in T). In addition, $||\tilde{T}|| = ||T||$, so that $||\tilde{T}|| = |M|$. We have thus described recursively a size-preserving mapping F_2 from rooted loopless maps to rooted triangulations.

The inverse mapping G_2 is defined in a similar way: we simply invert the steps of F_2 . First, G_2 maps the triangle-map to the vertex-map. Given $n \geq 0$, assume that G_2 is a well defined size-preserving mapping from $\mathcal{T}_{\leq n}$ to $\mathcal{L}_{\leq n}$, and assume that G_2 is the inverse mapping of F_2 , i.e., $F_2(G_2(T)) = T$ for any $T \in \mathcal{T}_{\leq n}$ and $G_2(F_2(M)) = M$ for any $M \in \mathcal{L}_{\leq n}$. Let \tilde{T} be a rooted triangulation of size $n + 1$, and let T be the rooted triangulation of the 4-gon obtained by deleting the root of \tilde{T} and keeping the same root vertex. Notice that T is not SN-diagonal. Hence, the core-triangulation I of T is not the SN-link-map. Let $\mathfrak{F}(T) = (I; T_1, \dots, T_{||I||})$ be the fingerprint of T . The image of T by G_2 is defined to be the rooted loopless map M with fingerprint $\mathfrak{F}(M) = (G_1(I); G_2(T_1), \dots, G_2(T_{||I||}))$. By induction, G_2 is size-preserving and is the inverse mapping of F_2 . To sum up, we obtain the following bijective result.

THEOREM 6.1. *For $n \geq 0$, the mapping F_2 is a bijection between rooted loopless maps with n edges and rooted triangulations with n inner vertices. The inverse mapping of F_2 is G_2 .*

REMARK 2. Let us make a few comments on this bijection. Denote by \mathcal{L}_n ($\mathcal{L}_{n,k}$) the family of rooted loopless maps with n edges (and with root-vertex of degree k , resp.), and let \mathcal{T}_n ($\mathcal{T}_{n,k}$) be the family of rooted triangulations with n inner vertices (and with root-vertex degree $k + 2$, resp.). The equality $|\mathcal{L}_n| = |\mathcal{T}_n|$ is known for long [Tut62, Tut73], as both $|\mathcal{L}_n|$ and $|\mathcal{T}_n|$ are equal to

$$(4) \quad a_n := \frac{2(4n+1)!}{(n+1)!(3n+2)!}.$$

A first bijective proof of $|\mathcal{L}_n| = |\mathcal{T}_n|$, more precisely of $|\mathcal{L}_{n,k}| = |\mathcal{T}_{n,k}|$, has been found by Wormald [Wor80], based on the observation that the generating trees (induced by root-edge-deletion) of $\mathcal{L} := \cup_{n,k} \mathcal{L}_{n,k}$ and of $\mathcal{T} := \cup_{n,k} \mathcal{T}_{n,k}$ are isomorphic. The bijection is thus recursive; a rooted loopless map is first completely decomposed to find out the place it occupies in the generating tree of \mathcal{L} , then the associated rooted triangulation is the one occupying the same place in the generating tree of \mathcal{T} .

Our bijection has the original feature of being a mixing of recursive construction —the parallel recursive decompositions into 2-connected/irreducible components— and direct construction —the mapping F_2 to match 2-connected/irreducible components. The advantage is that, in practice, there should be less levels

of recursion in our bijection than in Wormald's one. Indeed, as shown in [BFSS01], a typical loopless map is made of a giant 2-connected component —of linear size— having small connected components attached in each corner.

Let us also mention that direct bijective proofs of $|\mathcal{T}_n| = a_n$ have been found recently [PS06, FPS08], again based on closure operations on trees. However, no such direct bijection with trees is known yet to explain $|\mathcal{L}_n| = a_n$. It would be interesting to find out such a construction for loopless maps, and hopefully derive from it a direct, i.e., nonrecursive, bijection between \mathcal{L}_n and \mathcal{T}_n \square

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