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# Diagenetic changes in *Concholepas concholepas* shells (Gastropoda, Muricidae) in the hyper-arid conditions of Northern Chile – implications for palaeoenvironmental reconstructions

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## Abstract

Variations on chemical composition in fossil biogenic carbonates, and in particular of mollusk shells, have been used in a range of palaeoenvironmental reconstructions. Therefore, it is of primary importance to detect and understand the diagenetic processes that may modify the original chemical signature. This microstructural and biogeochemical study focuses on modern and fossil (Pleistocene and Holocene) shells of a littoral gastropod of Northern Chile, and on the characterization of mineral component transformations at the nanometric scale and concomitant intracrystalline organic compound modifications. The inner aragonite layer of the shell exhibits more complex deteriorations than the calcite layer. This preliminary study confirms that physical and chemical alterations of various components of mollusk shell biocrystals are complex and might manifest in different ways even within a single individual. The single criterion of determining the mineralogical composition to attest shell sample conservation state should not be considered as sufficient.

## 1 Introduction

Since Urey et al. (1951) calculated palaeotemperatures derived from a belemnite using its oxygen isotopic composition, numerous attempts have been made to use fossil samples as proxies for palaeoclimate and palaeoecological reconstructions. In their pioneer key paper, Urey et al. (1951) pointed out three major problems in this approach: 1) the precision of mass spectrometers, 2) “vital effects” which refer to the physiology and metabolism of the sampled organisms, and 3) diagenetic alteration. Whereas major technical improvements were obtained in the sensitivity of mass spectrometers during the last half-century, the uncertainties about the “vital effects” and diagenetic changes have not been significantly reduced. Fifty years later, the so-called “vital effects” remain mysterious, but are often invoked to account for all the differences observed in the geochemical composition of carbonates between true biogenic sam-

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ples and what theoretical models predict. The concept is frequently used as a “black box” that conceals our ignorance of the in-depth and complex processes controlling the elemental and isotopic composition of the biocarbonates. Regarding the issue of diagenetic alteration to which Urey referred (Urey et al., 1951), little progress has been made. Apart from assessing the degree and extent of mineralogical re-crystallisation, generally determined through X-ray diffraction, limited efforts have been dedicated to the understanding of the early diagenetic modification of geochemical signals in carbonate skeletons.

The accuracy of information extracted from the chemical composition of shells depends on understanding the behaviour of their components through taphonomic and diagenetic processes. Despite their apparent commonplace mineralogy (i.e. calcite and aragonite), biocrystals have morphological, chemical, and physical properties that are never observed in corresponding abiotic crystals. Biominerals are biocomposites with organic macromolecules within the crystalline units. Knowledge of the structure and composition of these macromolecules is still limited, as is their role in the fossilization process. Nevertheless, in most palaeoenvironmental studies based upon geochemical proxies in biocarbonates, the primary, if not the only, criterion commonly used to assess the lack of diagenetic processes is the homogeneity of the mineralogical composition of the sample. A few percent of calcite detected in an aragonite skeleton is considered as an indication of diagenetic alteration. If “diagenesis” is meant to refer to any modification of the original composition of the biomineral, then it should be clear that many processes may occur as well as the extreme case of mineralogical transformation of part (or all) of the original carbonate. Furthermore, this kind of criterion may not apply in all cases in which the original biocarbonate is essentially made of calcite, or Mg-calcite. DeNiro and Weiner (1988) have shown that the diagenetic changes in the organic matrices of fossil bones induce biases in isotopic composition. Similar studies in carbonate skeletons are scarce.

In a study of bivalve shells from Peru and Northern Chile, Perrier et al. (1994) have shown that stable isotope variations can be related to thermal anomalies linked to re-

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gional ENSO impacts. This study opened up the possibility of reconstructing ENSO events at different time scales, using shells from different time periods. However, most of the species within shell midden or fossil deposits in Peru and Northern Chile area are gastropods. In particular, the gastropod *Concholepas* is one of the most abundant material in archaeological sites. Falabella et al. (1991), Ortlieb et al. (1996, 1997), and Kennett (2003) have already used stable isotopes in *Concholepas* in attempts to reconstruct sea water temperatures during different periods, but little attention has been paid to diagenetic stage of the studied material. In contrast, Labonne and Hillaire-Marcel (2000) in a detailed study, suggested that diagenetic alteration may be rapid enough to preclude palaeoenvironmental reconstructions based on geochemical analyses.

In this study, we compare the microstructures, nanostructures and chemical composition of modern and fossil *Concholepas* shells in order to investigate any evidence of changes induced by diagenetic processes. This work focuses on understanding some aspects of the diagenetic effects and in particular those that may control alterations of the original geochemical composition. Variations in the geochemical compositions (oxygen and carbon stable isotopes) of the modern and fossil shells obtained from previous and preliminary studies are also considered.

## 2 Materials and methods

### 2.1 Material

*Concholepas concholepas* (Bruguière, 1789) (Gastropoda, Muricidae), also called the Southern Hemisphere abalone, is a carnivorous coastal mollusk abundant along the coasts of Chile and southern Peru. Its edible large foot muscle has been a major food source since prehistoric times, which explains the large numbers of shells of this species in archaeological sites, covering the whole Holocene period. Its habitat ranges from the intertidal area to 40 m depth (DuBois et al., 1980). For this study, live samples of adult *C. concholepas* were collected in the Antofagasta area (Santa Maria Island,

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23° S), northern Chile at depths of about 5 m. A mid-Holocene sample (AJL) is from an archaeological site located at Juan Lopez Caleta Abtao (L. O. field sample # C00-417), in the southern end of Mejillones Peninsula, to the Northwest of the town of Antofagasta. The site of the Caleta Abtao consists of an accumulation of kitchen refuse that yields radiocarbon ages between 5350 and 3500 y BP (Llagostera, 1992). Shells collected at another mid-Holocene archaeological site located at Guanillos (21° S), about 200 km north of Caleta Abtao, were also analyzed.

The Pleistocene fossil shell (FM) was collected at Michilla, 100 km north of Antofagasta (L. O. field sample # C93-58), in a thin, sandy, coastal deposit, formed during the last interglacial maximum (isotopic stage 5, ~120 ka) (Ortlieb et al., 1996). The hyper-arid conditions of the northern coast of Chile (Atacama Desert), considered as one of the driest places on earth, are favourable for the preservation of fossil shells.

## 2.2 Methods

### 2.2.1 Scanning electron microscopy (SEM)

Polished sections and some broken pieces were etched with formic and hydrochloric acids to reveal microstructural features. SEM observations were conducted with a Philips SEM 505 and XL30 microscopes (Géologie, Université Paris XI). Procedures for sample preparations are given in the figure legends.

### 2.2.2 Atomic force microscope (AFM)

AFM observations were conducted using a Digital Instruments (Veeco) Nanoscope III Dimension 3100 (Géologie, Université Paris XI), at room temperature and air. The probe consisted of a cantilever with integrated Si<sub>3</sub>N<sub>4</sub> tips (Digital Instruments). Micron scale images were acquired using tapping mode. The AFM maps the surface topography and simultaneously provides different views of a given sector: height and phase images. Height images show surface corrugations (comparable to SEM secondary

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images), whereas phase images reflect differences in material properties, including viscosity, and adhesiveness. Sample surfaces were polished, then slightly etched (details of the etching processes are given in the explanations of the figures). No coating is necessary.

### 5 2.2.3 Energy dispersive spectroscopy (EDS)

Energy-dispersive X-ray microanalysis was undertaken using a Cambridge Stereoscan 200 SEM equipped with a solid-state X-ray detector (IRD, Bondy). Quantitative analysis used the Link AN10000 analysis system with the ZAF/PB program that estimates peak-to-background ratios. Samples were embedded in resin and polished with diamond  
10 pastes. The surface was then lightly etched in 5% formic acid for 15 seconds to reveal structural details within the samples (e.g., different layers) so that the analysis positions could be related to structural features. Measurements were made using a dwell-time of 100 s. Analyses were obtained with an accelerating voltage of 15 kV and a spot size of 100 or 200 nm. A cobalt standard provided the instrument calibration.

15 The elements Ca, Na, Mg, Sr, Al, S, P, K, Fe and Mn were selected to illustrate aspects of shell composition and diagenetic changes. Some elements are below or near the detection limit for the microprobe, particularly in Recent shells, but this information is important because it allows for a direct comparison with fossil samples. A minimum of 10 analyses were made at various locations on each layer of each shell section.  
20 These analyses were then averaged to obtain an individual sample mean.

### 2.2.4 Fourier transform infrared spectrometry (FTIR)

The two (calcite and aragonite) layers of the cleaned shells were separated. Small pieces of shell were immersed in 3% NaClO solution to remove organic contaminants, and then rinsed with Milli-Q water, dried and ground to a powder. The powdered sam-  
25 ples were dried overnight in an oven at 38°C. All spectra were recorded at 4 cm<sup>-1</sup> resolution with 64 scans using a strong Norton-Beer apodization on a Perkin Elmer model

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1600 Fourier Transform Infrared Spectrometer (FTIR) from 4000 to 450 cm<sup>-1</sup>. The spectrometer was equipped with a Diffuse Reflectance accessory that permits DRIFT measurements with high sensitivity on powders. All spectra were corrected using the Kubelka-Munk function.

### 3 Observations

*Concholepas* has a thick, round and white-brown to purple grey shell. The outer surface of the shell shows strong lamellose ribs (Fig. 1a). The inner surface of the modern shell is smooth and glossy and yellowish white in colour. The inner surface of the AJL Holocene shells is yellow-grey in colour, and lacks lustre. The older FM Pleistocene fossils are similar, but the two layers of the shells are easily separated from each other. The inner, aragonite, layer of the older (Pleistocene) shells are commonly white and chalky, especially if weathered.

#### 3.1 Micro- and nanostructures

The shell of modern *Concholepas* is composed of an outer calcite layer and an inner aragonite one (Fig. 1b-d). The thickness of the outer layer increases from the apex toward the pallial margin (Dauphin et al., 2003; Guzmán, 2004).

##### 3.1.1 Calcitic layers

Modern shells. – Thin sections of the outer prismatic layer have numerous growth lines (Fig. 1c). Each prism is composed of small acicular crystallites (about 2 μm long) broadly perpendicular to the growth lines (Fig. 2a, b). Fixed and etched polished surfaces show the presence of an organic matrix (Fig. 2c). AFM images also show the large prismatic units (Fig. 2d), and their subunits (Fig. 2e–i). The acicular crystallites are composed of smaller subrectangular units, aligned in small rows (Fig. 2e,

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f). Each sub-rectangular unit is approximately 700 nm long and 180 nm wide. The sub-rectangular units are composite structures (Fig. 2f, g). Phase images reveal that each granule is surrounded by a thin dark layer (Fig. 2h, i). The thin layer is probably composed of an organic matrix. The size of the granular units varies from 15 to 200 nm (Fig. 2i), but the largest ones appear as composite structures.

AJL (Holocene) shell. – The largest growth lines are preserved (Fig. 3a). These growth layers are composed of perpendicular small crystallites enclosed in organic material (Fig. 3b). Different sections display the boundaries between large prisms and transverse sections of acicular crystallites (Fig. 3c). The inner structure of the large crystallites is not as sharply defined as that of modern shells. The regular shape of the acicular crystallites and their arrangement in rows is not preserved, as shown by height and phase AFM images (Fig. 3d–i). Moreover, elongated zones of smooth material, not seen in modern shells, are conspicuous in phase images (Fig. 3f). In the AJL samples, the regular granules described in the modern shells are not preserved (Fig. 3h, i).

FM (Pleistocene) shell. – Large crystals are preserved, but the growth lines are not as numerous as in the modern shells, and acicular crystallites are not clearly visible (Fig. 4a, c). As in the AJL shell, organic material is present around the crystallites (Fig. 4c). The nanostructure of the large crystals shows small aligned crystals (Fig. 4d–f), but their size and shape differ from those of the modern shells. The size of the small round units is 30–50 nm (Fig. 4f). In other sections, these small crystals are not visible (Fig. 4g, h) but smooth zones occur (Fig. 4h, i). A comparison of height and phase images shows that these smooth zones in phase image do not correspond to flat zones in the height image. Thus, it can be inferred that the composition of these zones is most probably organic.

### 3.1.2 Aragonitic layers

Modern shells. – The aragonite inner layer is composed of crossed lamellar sublayers (Fig. 1b–d). The basic structures of each sublayer are similar, and only the orientation of the units changes, a common feature of the crossed lamellar structure (Fig. 5a, c).

The width of the first order lamellae is  $10\ \mu\text{m}$  or more (Figs. 1b, d, 5b). Each lamella is composed of smaller second order lamellae. The third order lamellae are also complex. Fourth order lamellae are also characterized by a composite structure (Fig. 5d, f). The dark coating surrounding each unit may be indicative of an organic component. The width of each granule is approximately 45 nm.

AJL (Holocene) shell. – First and third order lamellae are well preserved (Fig. 5g–i), but the second order lamellae are indistinct. Some sections show the inner structure of the third order lamellae and their successive subdivision (Fig. 5j–l) according to the same perpendicular scheme. The height image shows the 3-D structure of the lamellae (Fig. 5j): in the foreground, transverse division in sub-rectangular units perpendicular to the length of the lamellae are apparent, whereas in the background and oblique levels, longitudinal subdivisions are seen. The length of a sub-rectangular unit is 210–280 nm. The thickness of the small lamellae is 40–50 nm (Fig. 5l).

FM (Pleistocene) shell. – SEM images of shell sections of similar orientations show variations in preservation (Fig. 6a, b). In some parts of the layer, first order lamellae are separated by thin lacunae (Fig. 6a), while in other parts, some protuberant thickenings between two lamellae are observed (Fig. 6b). Alterations of the microstructures also appear inside the first order lamellae (Fig. 6c). The third order lamellae are preserved (Fig. 6d–g), but their inner structure is altered: AFM phase images show that these lamellae are heterogeneous, but the regular arrangement seen in modern shells is lost (Fig. 6h, i). The size of the granules is 35–40 nm.

### 3.2 Bulk composition

The infrared spectra of the aragonite and calcite groups are characterized by three major bands attributed to  $\text{CO}_3^{2-}$ :  $\nu_3$  at  $1471\ \text{cm}^{-1}$  and two doublets:  $\nu_2$  at  $877\text{--}848\ \text{cm}^{-1}$  and  $\nu_4$  at  $713\text{--}700\ \text{cm}^{-1}$  for the aragonite group; and  $\nu_3$  at  $1429\ \text{cm}^{-1}$ , the  $\nu_2$  doublet  $877\text{--}848\ \text{cm}^{-1}$ , and  $\nu_4$  at  $713\ \text{cm}^{-1}$ , for the calcite group (Adler and Kerr, 1962; Farmer, 1974; Jones and Jackson, 1993). A comparison between the chemical compositions

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and FTIR data shows a linear correlation between Mg and Sr concentrations and some FTIR bands (Dauphin, 1997, 1999; Böttcher et al., 1997). FTIR spectroscopy can also be used to study secondary structure of proteins in a non-crystalline state: the amide I and amide II bands are two major regions of the protein infrared spectrum.

5 Structural information is evaluated mainly from the 1600–1700 cm<sup>-1</sup> region (amide I) (Venjaminov and Kalnin, 1990; Barth, 2000). This band is sensitive to changes involving the secondary structure of proteins.  $\alpha$ -helix and  $\beta$ -sheet are the two most common secondary structures of proteins. In the first one, hydrogen bonds are in a single polypeptide chain meanwhile in  $\beta$ -sheets, they are between adjacent chains.  
10 However, because of the overlapping of some amide and some CO<sub>3</sub> bands in non-decalcified samples, not all of the organic bands are visible in the spectra.

### 3.2.1 Modern shells

The infrared spectra of the outer layer confirm the calcitic mineralogy (Fig. 7a). The  $\nu_3$  band, however, is subdivided into 1457 cm<sup>-1</sup>, 1445 cm<sup>-1</sup> and 1436 cm<sup>-1</sup>. Other bands usually present in calcite are also visible: 1176 cm<sup>-1</sup> and 1084 cm<sup>-1</sup>. The  $\nu_4$  wavelength indicates low Mg and Sr concentrations (<1000 ppm) of the layer. Several bands may be attributed to the organic matrix namely: amide A (3274 cm<sup>-1</sup>) and amide I (Table 1). The main band at 1685 cm<sup>-1</sup> may be assigned to a turn or a  $\beta$ -sheet structure. To estimate the organic/mineral ratio in the calcitic layers from FTIR spectra, two ratios were used (Dauphin *et al.*, 2003). In modern samples, the ratios have values of 0.23 and 0.07, for AmideI/878 and AmideA/878, respectively (Table 2). In the inner aragonitic layer of the shell, the  $\nu_3$  band is subdivided (1492 cm<sup>-1</sup> and 1458 cm<sup>-1</sup>) and only one band of the  $\nu_2$  doublet is present at 863 cm<sup>-1</sup> (Fig. 7b). This wavelength indicates low Sr (<2500 ppm) and Mg (<800 ppm) concentrations. The amide A band is at 3310 cm<sup>-1</sup> and the amide I region may be assigned to  $\alpha$ -helix structure (Fig. 7b). The amide A/860 ratio is equal to 0.08, the amide I/860 ratio is equal to 0.14 (Table 2).  
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### 3.2.2 AJL shell (Holocene)

The calcitic mineralogy of the prismatic layer is preserved, with low Mg and Sr concentrations as indicated by the  $\nu_4$  band at  $712.5\text{ cm}^{-1}$  (Fig. 7a). The main  $\text{CO}_3^{2-}$  band,  $\nu_3$ , is subdivided into 4 bands ( $1458.1\text{ cm}^{-1}$ ,  $1448.5\text{ cm}^{-1}$ ,  $1441.9\text{ cm}^{-1}$  and  $1430.7\text{ cm}^{-1}$ ), the most intense being at  $1448.5\text{ cm}^{-1}$ . The  $1084\text{ cm}^{-1}$  band is absent. Few organic matrix bands are visible; the amide A band is at  $3368.3\text{ cm}^{-1}$ , the main amide I band is at  $1686\text{ cm}^{-1}$  ( $\beta$ -sheet structure : Table 1). A band at  $1560\text{ cm}^{-1}$  may be assigned to amide II. The amide I/878 ratio is equal to 0.08, and the amide A/878 is equal to 0.02 (Table 2). The crossed lamellar layer is aragonitic, with numerous bands in the  $\nu_3$  regions (6 bands from  $1498$  to  $1458.7\text{ cm}^{-1}$ ) but the main band at  $1490.6\text{ cm}^{-1}$  is characteristic of the aragonitic mineralogy (Fig. 7b). The  $863.4\text{ cm}^{-1}$  part of the  $\nu_4$  doublet shows that the Mg and Sr concentrations are low ( $<2000\text{ ppm Sr}$ ,  $<1000\text{ ppm Mg}$ ). Amide A band is high ( $3394\text{ cm}^{-1}$ ), and amide I region shows numerous bands, the main one being at  $1654\text{ cm}^{-1}$ . The amide II band is unique at  $1560\text{ cm}^{-1}$  (Fig. 7a). The amide I/860 ratio is equal to 0.21 and the amide A/860 ratio to 0.10 (Table 2).

### 3.2.3 FM shell (Pleistocene)

The calcitic mineralogy of the outer layer is preserved, as shown by the main bands (Fig. 7a). The  $\nu_3$  band is subdivided into  $1488\text{ cm}^{-1}$ ,  $1474.\text{ cm}^{-1}$ ,  $1458.1\text{ cm}^{-1}$ ,  $1448.2\text{ cm}^{-1}$ ,  $1438\text{ cm}^{-1}$ ,  $1431\text{ cm}^{-1}$  and  $1425.7\text{ cm}^{-1}$ . The highest bands ( $1488\text{ cm}^{-1}$  and  $1474.4\text{ cm}^{-1}$ ) may be due to small relicts of the inner aragonitic layer. Bands at  $1176\text{ cm}^{-1}$  and  $1084\text{ cm}^{-1}$  are also present. The  $\nu_2$  band is indicative of low Mg and Sr concentrations, whereas the doublet  $876\text{--}848\text{ cm}^{-1}$  is well developed. Several groups of bands may be assigned to organic matrices (Table 1). The main amide I band is at  $1685\text{ cm}^{-1}$  ( $\beta$ -sheets). Amide I/876 ratio is equal to 0.14, and amide A/878 ratio is 0.04 (Table 2). The  $\nu_3$  band of the aragonitic inner layer has the same range as that of the modern shells ( $1497\text{ cm}^{-1}\text{--}1458\text{ cm}^{-1}$ ) (Fig. 7b). The two bands of the  $\nu_2$  doublet are at  $862.3\text{ cm}^{-1}$  and  $844.2\text{ cm}^{-1}$ , and indicative of low Mg and Sr concentrations.

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The amide I region is subdivided, the main band being indicative of  $\beta$ -sheet structures (Table 1). The amide I/860 ratio is 0.17 and the amide A/860 ratio is 0.08 (Table 2).

### 3.3 Chemical composition (EDS data)

#### 3.3.1 Modern shells

5 Mollusk shells typically have low contents of minor elements (Turekian and Armstrong, 1960; Harriss, 1965; Masuda and Hirano, 1980). The outer prismatic calcite layer of *C. concholepas* has a low Mg concentration (Fig. 8a) which is even lower than the Sr concentration. The aragonitic crossed lamellar layer also has low minor element contents (Fig. 8b). The Loreau ratio is in the lower part of the aragonitic range: L=0.71  
10 (Fig. 8c) (Loreau, 1982).

#### 3.3.2 AJL shells (Holocene)

Both calcite and aragonite layers of AJL shells are enriched in S and K compared to modern shells (Fig. 8a, b). The calcitic layer is enriched in Na, whereas the aragonitic layer is enriched in P. Because of a small increase in Mg concentration, the L ratio is  
15 low (Fig. 8c).

#### 3.3.3 FM shells (Pleistocene)

These shells are also modified in both layers. The Na concentration is lower than that of the modern shell, but the Mg, S and K concentrations are higher (Fig. 8a, b). The aragonite layer is also enriched in Sr. Because the increase in Sr is higher than the  
20 increase in Mg, the L ratio is higher than that of the modern aragonite layer (Fig. 8c).

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## 4 Discussion and conclusions

### 4.1 Modern and fossil *Concholepas*

Macroscopic examination of the fossil shells does not show strong modifications of the external ornamentation. Only the lustre and colour of the inner layer are slightly modified. SEM and AFM observations show that the structures of each layer of both the modern and fossil shells are generally well preserved. However, in the microstructures of the fossil layers there is clear evidence of alterations. Modifications in the structures of the prismatic layers as well as changes in the aragonite layers are different in two fossil sites. FTIR analyses confirmed the preservation of the mineralogy of the fossil sample; however EDS measurements indicate small changes in Sr and Mg concentrations. Additionally, examination of the organic bands, confirms that slight alterations occurred in fossil shells.

According to the wave numbers of the amide I bands, the main structure of the proteins of the calcitic layer is  $\beta$ -sheet. The same structure is also dominant in the fossil samples. The main structure of the organic matrix in the modern aragonite layer ( $\alpha$ -helix) is different from that of the calcitic layer. The same main structure is present in AJL shell, but the dominant band in FM shell is  $\beta$ -sheet structure (Table 1). Tentative assignment of the amide I and amide II bands shows high contents of acidic amino acids (aspartic acid and glutamic acid), a common feature in mollusk shells. The aspartate and glutamate forms also occur (Table 1). Thus, the organic matrix in the modern calcitic and aragonitic layers is different, and the diagenetic changes in the two layers are dissimilar. Moreover, changes in samples from the two fossil sites are different, the aragonitic layer of the older shells being more altered. These qualitative modifications of the organic matrix are associated with the quantitative changes. The calcitic layers of AJL and FM shells are depleted in the organic matrix, while the changes in the aragonitic layers are more complex (see Table 2).

The small diagenetic changes in Sr and Mg inferred from FTIR data are confirmed by microprobe analyses. All the fossil samples are enriched in S and K, but at different

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levels. The major variation in the content of some elements observed in the archaeological (AJL) shell, with respect to the Pleistocene (FM) shells, may reflect differences in the original composition of the biogenic carbonates, or differences in the fossilization processes (organic matter is much more abundant in the archaeological deposit).

#### 5 4.2 Comparison with oxygen and carbon stable isotope composition

Previous analyses of stable isotopic composition of oxygen and carbon of the calcitic layer are available from previous studies (Falabella et al., 1991; Ortlieb et al., 1997; Labonne and Hillaire-Marcel, 2000), but had not been submitted to detailed assessment for diagenetic alteration. Here we shall re-examine some of our unpublished results. These variations in the geochemical composition of modern and fossil shells were measured using classical mass spectrometry (carbon and oxygen isotopes). Measurements were conducted on a small segment of the youngest part of the shells, covering between a few months and a year or so of growth. The results are shown in Fig. 9. The ranges of variation of the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values in each shell correspond to a few tens of measurements.

The oxygen composition shows some variations (means between +2.20 and +1.62, without considering the FM sample (C04-458), which may have grown under different environmental conditions (water temperature and/or stable isotope composition of the coastal water). The  $\delta^{18}\text{O}$  range observed in the modern individual shells is compatible with the temperatures observed annually in this area (13°–22°C). The small variations observed in archaeological and fossil shells (AJL and FM) living during Marine Isotopic Stages 1, 5 and 9 can be attributed to environmental factors and/or diagenetic changes. Nevertheless, these  $\delta^{18}\text{O}$  variations are compatible with local environmental changes. The more positive  $\delta^{18}\text{O}$  values measured in the oldest fossil shell (FM: C04-458), which was a small beach-worn fragment of shell, may correspond to actually colder water in the Pleistocene. However, microstructural and compositional data show “strong” diagenetic alterations (AJL shell), and it cannot be ruled out that this sample registered some alteration of the original isotopic signal.

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A relative homogeneity is observed for the mean values of  $\delta^{13}\text{C}$  ( $-0.73$  to  $-0.17$ ), except for the oldest sample (C04-458) which has higher values (mean= $+0.18$ ). Both the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values may be interpreted as a manifestation of diagenetic transformations.

In the series of samples utilized in this work we observe that microstructural, nanostructural, and geochemical data are in good accordance. Moreover, they show that there is no direct relation between the state of preservation and the age of the samples.

#### 4.3 Broader implications for paleoenvironmental reconstructions

This study shows that limited diagenetic alterations occur in fossil shells of the gastropod *Concholepas* collected in the arid coast of southern Peru and northern Chile. The aragonitic layers are more affected by diagenesis than the calcite layer. Although these results are consistent with current views, diagenetic processes are still not predictable. Within a single layer of a fossil mollusk shell, microstructure, mineralogy and chemical contents are variable (Barskov et al., 1997; Dauphin, 1988; Dauphin and Denis, 1990, 1999). Diagenetic alteration of the inner aragonitic layer is often observed in middle and late Pleistocene fossils. The thicker, outer layer of calcite is seemingly much more resistant to diagenetic alteration. Isotopic analyses of calcitic shell fragments as old as  $\sim 330$  ka (isotopic stage 9) present values similar to those measured on more recent (Pleistocene or Holocene) shells (Fig. 9).

In a previous study on diagenetic alteration of *Concholepas* related to the use of U-series method for dating Pleistocene shells, Labonne and Hillaire-Marcel (2000) also noted that the aragonite layer was subject to strong alteration. According to FTIR data, the calcitic prismatic layer contains more organic matrix than the aragonitic layer. Thus, the greater sensitivity of the aragonite layer to diagenetic alteration may be due to the quality of the organic matrix or to the mineral component. Labonne and Hillaire-Marcel (2000) expressed reservations about the potential of geochemical studies in the recon-

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struction of environmental conditions due to mineralogical diagenetic transformations in their samples. Our study confirms the importance of a control of the microstructure and chemical contents of the shells, and that the single control of the preservation of the mineralogy is not reliable enough. It is not sufficient to check the variability of the diagenetic changes within a fossil site, but it is in fact necessary to check the variability within a single shell, and even within a single layer of a shell. Such an approach would be more promising for the use of this species for palaeoenvironmental reconstructions based on geochemical analyses of shells.

The calcitic mineralogy of the larger part of the *C. concholepas* shells as well as their sturdiness and large size seems to constitute favourable conditions for the preservation of original geochemical signals. The exceptional dryness of the Atacama Desert coast, which was pervasive during most of the Quaternary period, also probably played a major role in limiting diagenetic alteration of these shells.

*Acknowledgements.* The field study and collection of samples were made within a scientific agreement between the Universidad de Antofagasta (Antofagasta, Chile) and IRD, and in the framework of the project "Huentelauquén" supported by FONDECYT (Fondo Nacional de Investigación Científica y Tecnológica) and headed by A. Llagostera (Universidad Católica del Norte, Antofagasta). The authors thank S. Caquineau (US094, IRD Bondy) for helping to obtain microprobe analyses on *Concholepas*. This work was made possible with the financial support of PNEDC program of INSU-CNRS (CONCHAS project). Special mention is made in memory of J. Bertaux (IRD, Bondy) who took an important part in the first stages of this study. The stable isotope analyses were done in the LOCEAN, Université Paris 6-IRD-CNRS-MNHN, with the appreciated help of J. F. Saliège and M. Mandeng Yogo. Thanks also are due to M. Chusack and C. T. Williams for their help in improving the manuscript. This study has been made possible by the scientific support from the European Science Foundation (ESF) under the EUROCORES Programme EuroMinSci (BioCalc project), through contract No. ERAS-CT-2003-980409 of the European Commission, DG Research, FP6 and also EU-Project CENSOR (Climate Variability and El Nino Southern Oscillation: Implications for Natural coastal Resources and Management, contract 511071). This is CENSOR publication 0345.

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**Table 1.** Tentative assignment of the main amide bands in FTIR spectra.

	recent	AJL	FM	structure	aminoacid	
calcite						
amide I	1685	1685	1686	$\beta$ -sheets or turns		
	1653	1654	1654	$\alpha$ helix		
			1648	random coils	Asn	
			1637	$\beta$ -sheets	Gln	
			1618	$\beta$ -sheets	Gln	
amide II			1578		Asp	
		1560	1560		Glu	
COOH			1718		Asp	
aragonite						
amide I	1682	1685	1688	$\beta$ -sheets or turns		
		1670.1		$\beta$ -sheets	Gln	
		1664		$\beta$ -turns		
	1652	1654	1654	$\alpha$ helix		
		1648	1648	random coils		
		1637	1637	$\beta$ -sheets	Gln	
		1628		$\beta$ -sheets		
		1618	1618	$\beta$ -sheets	Gln	
	amide II		1560	1560		Glu
				1520	$\alpha$ helix	Glu
COOH		1734				
		1718			Asp	

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**Table 2.** Organic/mineral ratios in the layers, calculated from FTIR data.

		recent	AJL	FM
Calcite	amide A/878	0.07	0.019	0.04
	amide I/878	0.23	0.077	0.14
Aragonite	amide A/860	0.08	0.099	0.08
	amide I/860	0.14	0.21	0.17

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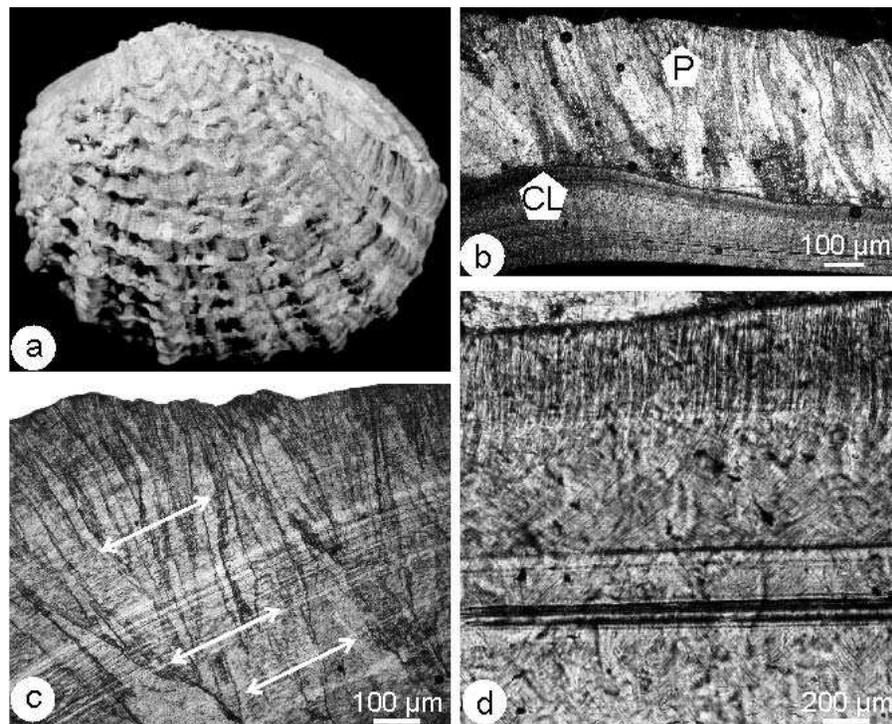
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**Fig. 1.** (a) external view of *Concholepas concholepas*. (b–d) thin sections in modern shells. (b) outer prismatic layer (P), aragonitic crossed lamellar layer (CL); crossed nichols. (c) outer prismatic layer showing numerous growth lines (arrows). (d) sublayers in the aragonitic inner crossed lamellar layers.

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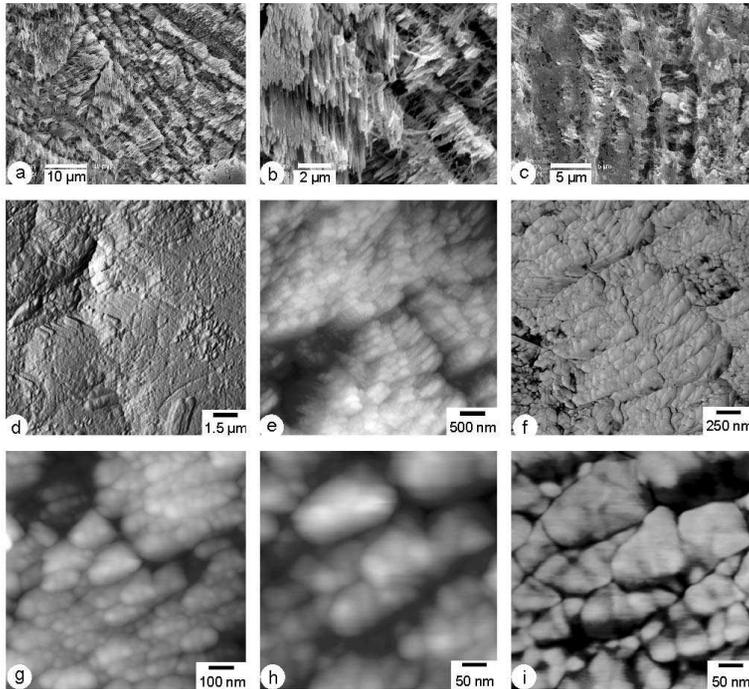
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**Fig. 2.** Polished sections of the outer prismatic layer of modern shells. **(a)** irregular arrangement of the acicular crystallites in the large prisms, acetic acid 1% + glutaraldehyde 12%, 12 min. **(b)** detail of the same. **(c)** section showing the organic matrix, acetic acid 1% + glutaraldehyde 12%, 14 min. **(d)** AFM phase image of the large prismatic units. **(e)** detail of the same sample, showing the small aligned elongated crystals composing the acicular units seen in Fig. 2c, AFM height image. **(f)** detail of Fig. 2e, phase image. **(g)** another aspect of the elongated crystals same sample, height image. **(h)** detail of Fig. 2g, height image. **(i)** in the phase image of the same units, the granules seem to be surrounded by a small layer probably of organic composition. Fig. 2d–i: sections etched with Milli-Q water (16 h, 20°C).

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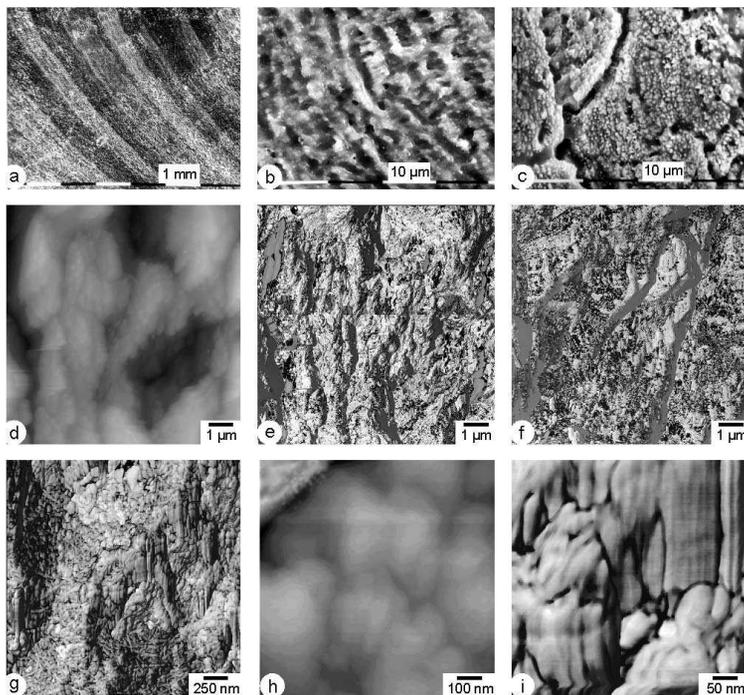
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**Fig. 3.** Polished sections of the outer prismatic layer of AJL shells. **(a)** section showing the growth pattern, formic acid 5% 10 s. **(b)** detail of the acicular crystallites perpendicular to the growth lines, and "embedded" in an organic layer due to the etching. **(c)** same shells showing another pattern in large prisms cut perpendicularly to the acicular crystallites. **(d)** irregular pattern of the inner structure of the prisms, AFM height image, HCl 5%, 5 s. **(e)** phase image of the same zone. **(f)** some thin bands of a very smooth material are present, phase image, etched fracture, HCl 5%, 5 s. **(g)** detail of Fig. 3e showing the irregular nanostructure of the prism, phase image. **(h)** detail of Fig. 3f, showing the irregular shape and size of the basic units. **(i)** detail of Fig. 3g, phase image.

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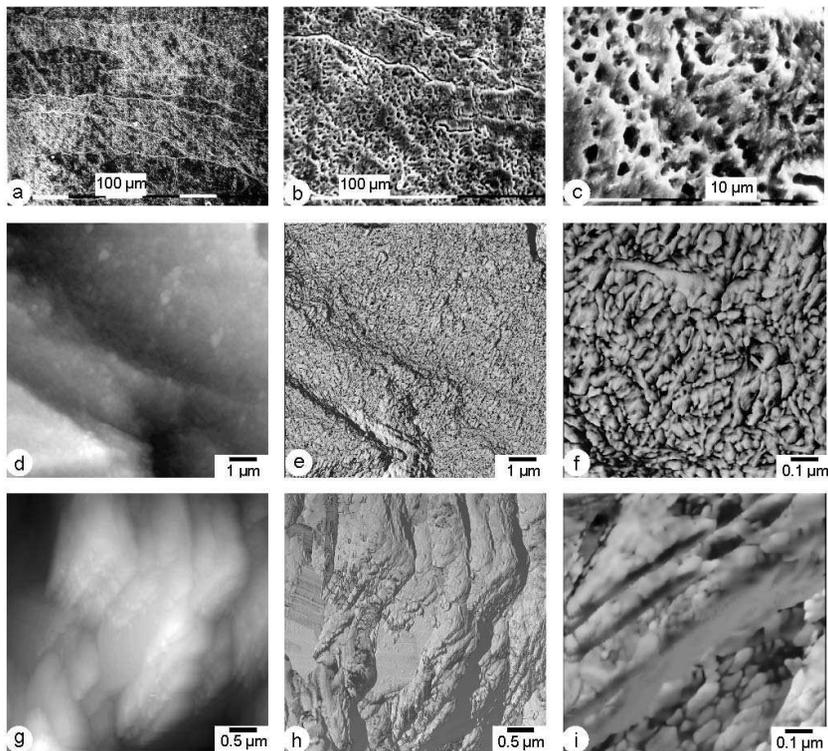
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**Fig. 4.** Polished sections of the outer prismatic layer of FM shells. **(a)** section showing the large prisms but no growth lines, formic acid 5% 10 s. **(b)** detail of Fig. 4a. **(c)** the growth lines are not well preserved (compare with Fig. 5c), but a “layer” of organic matrix is visible due to the acidic etching. **(d)** poor preservation of the inner structure of the prism, AFM height image, HCl 5%, 5 s. **(e)** phase image of the same zone. **(f)** detail of Fig. 4d, phase image. **(g)** height image of the internal surface does not show the inner structure of the prism, HCl 5%, 5 s. **(h)** phase image of the same part shows a heterogeneous structure, with very smooth material. **(i)** detail of Fig. 4h, showing the soft material and the granules of the prisms, phase image.

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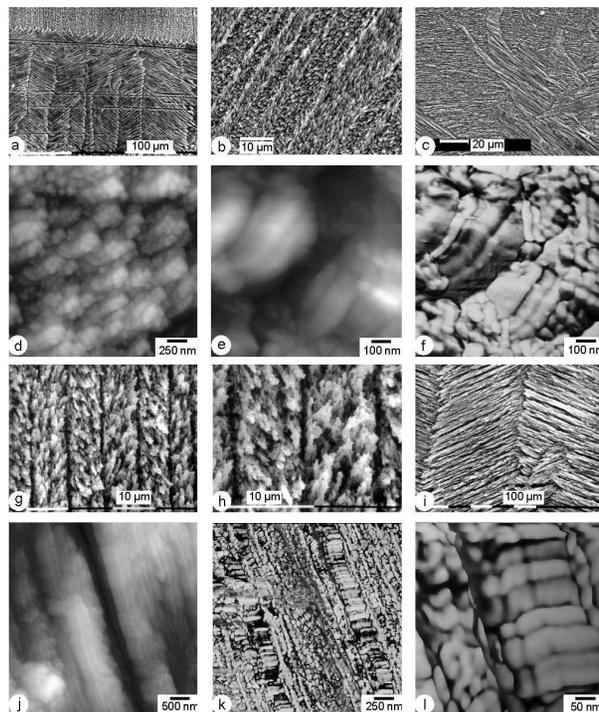
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**Fig. 5. (a–f)** Polished sections of the inner crossed lamellar layer of modern shells. (a) section showing two sublayers, formic acid 5%, 10 s. (b) first and second order elements, acetic acid 1% + glutaraldehyde 12%, 14 min. (c) etched - fixed section: formic acid 0.1% + glutaraldehyde 2%, 2 min. (d) third and fourth order lamellae, Milli-Q water 16 h. (e) same sample, showing a more detailed view of the third and fourth order lamellae. (f) phase image of the same zone. **(g–l)** Polished sections of the inner crossed lamellar layer of AJL shells. (g) first and second order elements, formic acid 5%, 10 s. (h) detail of the same. (i) detail of the second and third order units, formic acid 5%, 10 s. (j) third order lamellae, AFM height image, HCl 5%, 5 s. (k) detail of Fig. 5j, showing the inner structure of the lamellae, phase image. (l) detail of Fig. 5k, phase image.

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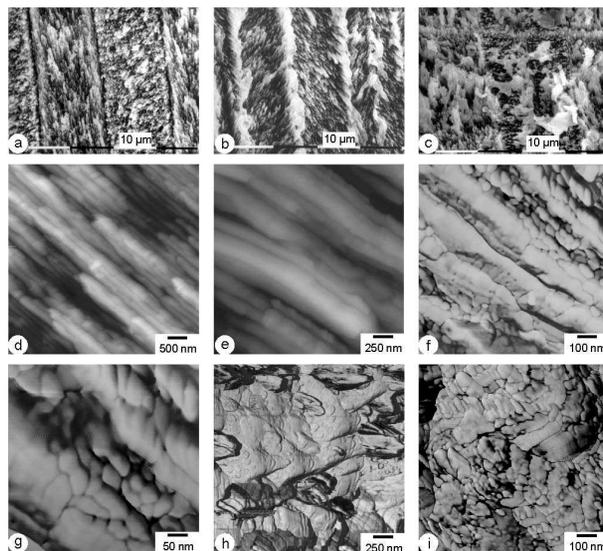
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**Fig. 6.** Polished sections of the inner crossed lamellar layer of FM shells. **(a)** the first and second order elements, formic acid 5%, 10 s. **(b)** first and second order elements: the boundaries between the first order units seem composed of secondary crystals, formic acid 5%, 10 s. **(c)** diagenetic alteration, formic acid 5%, 10 s. **(d)** AFM height image showing the third order lamella, HCl 5%, 5 s. **(e)** other third order lamella in the same shell. **(f)** detail of Fig. 6e showing the heterogeneous structure of the lamellae, phase image. **(g)** detail of the basic granules. **(h)** poor preservation of the third order lamellae, phase image. **(i)** fracture showing some preserved third order units, phase image, HCl 5%, 1 s.

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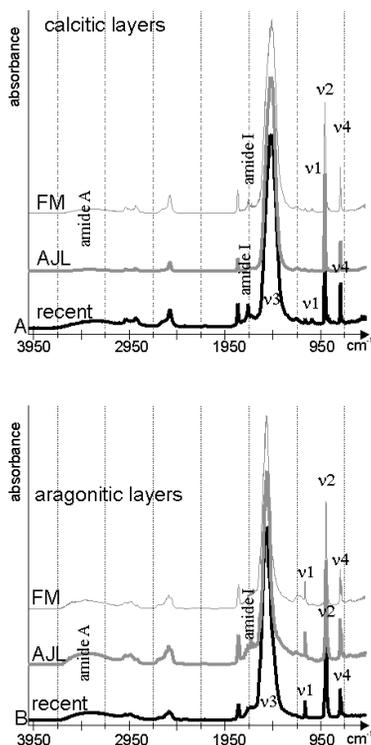
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**Fig. 7.** Infrared spectrometry. **(a)** outer prismatic layers of modern and fossil shells, showing their calcitic composition and the presence of organic matrix. **(b)** inner crossed lamellar layers of modern and fossil shells, showing their aragonitic composition and the presence of organic matrix

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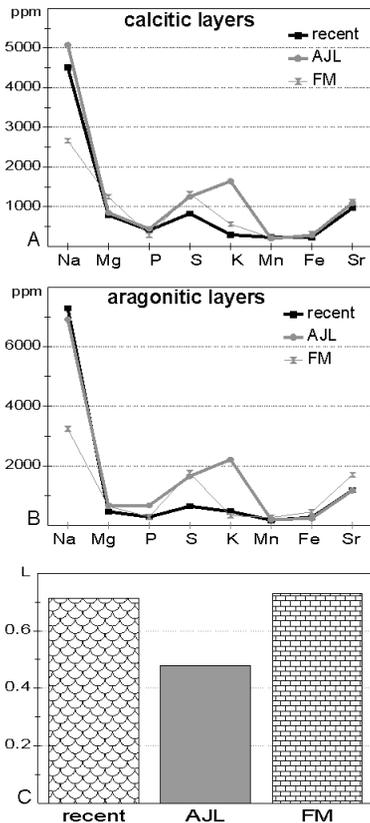
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**Fig. 8.** Elemental composition of the outer prismatic layers **(a)** and of the inner crossed lamellar layers **(b)** of the modern and fossil shells. Substitution or L ratios of the aragonitic layers of the modern and fossil shells **(c)**.

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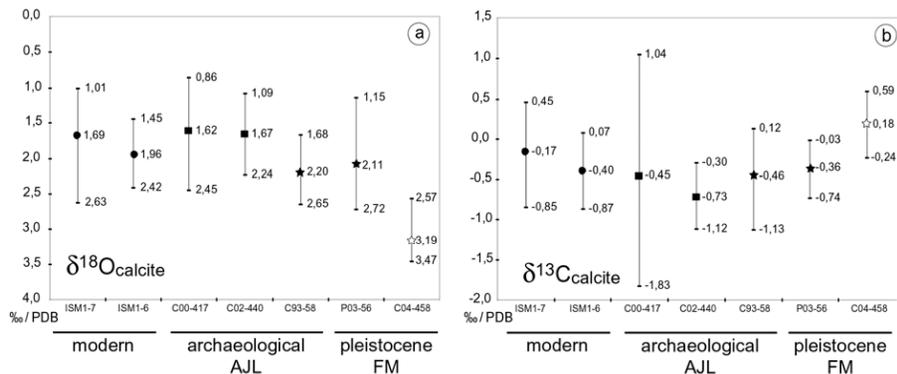


Fig. 9. Range of variation and mean values of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  measured in the calcitic layer of modern and fossil shells.

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