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# The Ulysses fast latitude scans: COSPIN/KET results

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**Abstract.** Ulysses, launched in October 1990, began its second out-of-ecliptic orbit in December 1997, and its second fast latitude scan in September 2000. In contrast to the first fast latitude scan in 1994/1995, during the second fast latitude scan solar activity was close to maximum. The solar magnetic field reversed its polarity around July 2000. While the first latitude scan mainly gave a snapshot of the spatial distribution of galactic cosmic rays, the second one is dominated by temporal variations. Solar particle increases are observed at all heliographic latitudes, including events that produce  $>250$  MeV protons and 50 MeV electrons. Using observations from the University of Chicago's instrument on board IMP8 at Earth, we find that most solar particle events are observed at both high and low latitudes, indicating either acceleration of these particles over a broad latitude range or an efficient latitudinal transport. The latter is supported by "quiet time" variations in the MeV electron background, if interpreted as Jovian electrons. No latitudinal gradient was found for  $>106$  MeV galactic cosmic ray protons, during the solar maximum fast latitude scan. The electron to proton ratio remains constant and has practically the same value as in the previous solar maximum. Both results indicate that drift is of minor importance. It was expected that, with the reversal of the solar magnetic field and in the declining phase of the solar cycle, this ratio should increase. This was, however, not observed, probably because the transition to the new magnetic cycle was not completely terminated within the heliosphere, as indicated by the Ulysses magnetic field and solar wind measurements. We argue that the new  $A<0$ -solar magnetic modulation epoch will establish itself once both polar coronal holes have developed.

**Key words.** Interplanetary physics (cosmic rays; energetic particles; interplanetary magnetic fields)

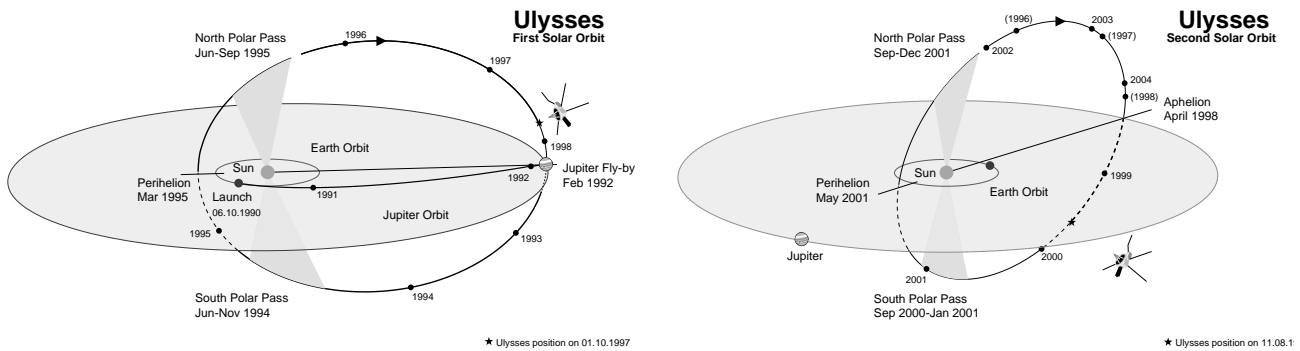
## 1 Introduction

The Ulysses spacecraft was launched on 6 October 1990 in the declining phase of solar cycle 22. In February 1992 the spacecraft encountered the planet Jupiter, and using a gravity assist began its journey out of the ecliptic plane.

The trajectories for the first and second out-of-ecliptic orbits are displayed in Fig. 1 and some key dates are summarized in Table 1. It took more than two years from the Jupiter encounter for Ulysses to reach the highest southern latitude of  $80.2^\circ$  S, on 13 September 1994. Then, Ulysses moved rapidly northwards, crossed the heliographic equator and climbed to the highest northern latitudes,  $80.2^\circ$ , on 31 July 1995. On 27 November 2000 and 13 October 2001, the spacecraft reached again the highest southern and northern latitudes. After its second fast latitude scan the spacecraft is now in the declining phase of solar cycle 23 as of June 2002, heading towards the ecliptic plane.

The main scientific goal of the joint ESA-NASA Ulysses deep-space mission is to make the first-ever measurements of the unexplored region of space above the Sun's poles. The Ulysses scientific investigations encompass studies of the heliospheric magnetic field, heliospheric radio and plasma waves, and the solar wind plasma, including its minor heavy ion constituents, solar and interplanetary energetic particles, galactic cosmic rays and the anomalous cosmic ray component. The latter three components are the subject of the measurements of the Cosmic ray and Solar Particle Investigation Kiel Electron Telescope (COSPIN KET, Simpson et al., 1992).

The intensity of galactic cosmic rays (GCRs) entering the heliosphere – the space defined by the interaction of the supersonic solar wind with the local interstellar medium – is modulated as these particles traverse the turbulent magnetic field embedded in the solar wind. This modulation is caused by a number of physical processes, including spatial diffusion, convection and adiabatic deceleration in the expanding solar wind, as well as gradient and curvature drift in the large-scale magnetic fields. The strength and relative

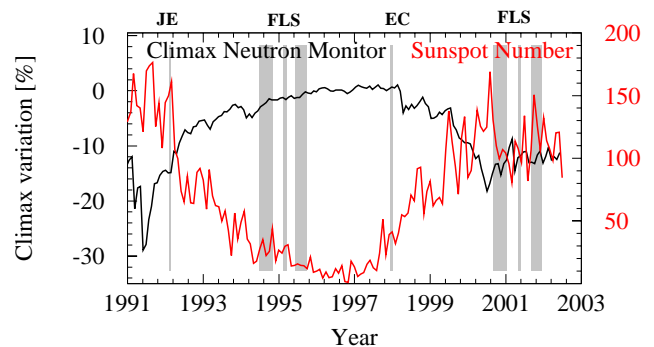


**Fig. 1.** Left: The first orbit of Ulysses around the Sun viewed from a perspective of 15 degrees above the ecliptic plane. The dots indicate the position of Ulysses at the beginning of each year. The Jupiter fly-by swung Ulysses out of the ecliptic and into a solar polar orbit. Right: The second orbit of Ulysses viewed from a perspective which shows the 80-degree inclination of the Ulysses orbit to the ecliptic. From <http://helio.estec/esa/nl/Ulysses/Orbit.htm>.

**Table 1.** Some key dates in the Ulysses mission

Event	Year	Month	Day
Launch	1990	10	6
Jupiter Encounter (JE)	1992	02	08
1st Polar Pass (S)	start	1994	06 26
	max. latitude (80.2 S)	1994	09 13
	end	1994	11 05
Perihelion (1.3 AU)	1995	03	12
2nd Polar Pass (N)	start	1995	06 19
	max. latitude (80.2 N)	1995	07 31
	end	1995	09 29
Start of 2nd Solar Orbit	1995	10	01
Aphelion (5.4 AU)	1998	04	17
3rd Polar Pass (S)	start	2000	09 08
	max. latitude (80.2 S)	2000	11 27
	end	2001	01 16
Perihelion (1.3 AU)	2001	05	26
4th Polar Pass (N)	start	2001	09 03
	max. latitude (80.2 N)	2001	10 13
	end	2001	12 12

importance of these processes vary with the location in the heliosphere and with the 22-year solar cycle (see Jokipii and Wibberenz, 1998). With Ulysses and especially with the KET instrument it was possible to measure the latitudinal gradients of galactic cosmic ray protons and electrons at solar minimum (Heber et al., 1996b; Ferrando et al., 1996; Heber et al., 1999b) in the inner heliosphere. With the extension of the mission to a second out-of-ecliptic orbit, the investigation of differences in the 3-dimensional modulation of galactic

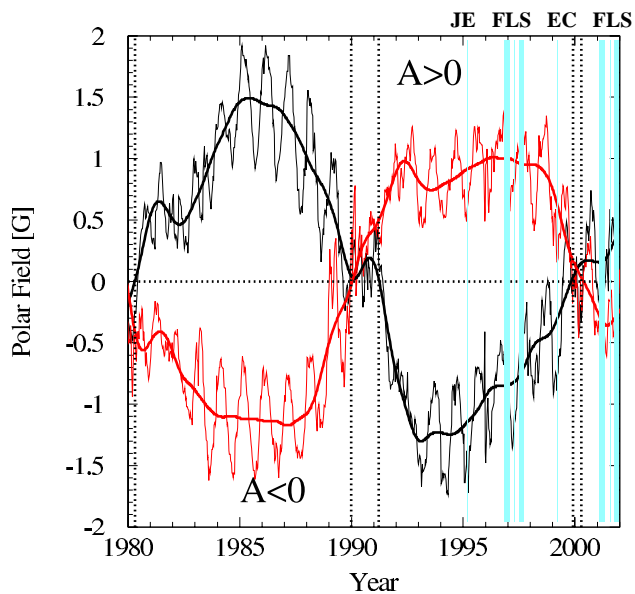


**Fig. 2.** Bartels rotation averaged time profile of >3 GV particles as measured by the Climax neutron monitor ([http://ulysses.uchicago.edu/NeutronMonitor/neutron\\_mon.html](http://ulysses.uchicago.edu/NeutronMonitor/neutron_mon.html)) and monthly averaged sunspot number. The special periods as summarized in Table 1 are marked by shading.

cosmic rays between solar minimum to solar maximum became possible. Of special interest are the two periods when Ulysses performed a full latitude survey within 11 months, called Fast Latitude Scans (FLSs, see Table 1). Figure 2 displays the time profile of >3 GV cosmic rays as measured by the Climax neutron monitor. The red curve shows the evolution of the smoothed sunspot number during the Ulysses mission. Figure 2 shows the well-known anti-correlation between the intensity of galactic cosmic rays and sunspot numbers. The times summarized in Table 1 are marked by shading.

The first fast latitude scan in 1994/1995 took place near solar minimum and the second scan in 2000/2001 took place close to solar maximum.

The solar polar magnetic field strength for the Southern and Northern Hemisphere is displayed in Fig. 3 (<http://quake.stanford.edu/~wso/>). From the superimposed 20 nHz smoothed solar polar magnetic field strength in the Northern and Southern Hemisphere, it follows that the two hemispheres reversed their polarities around 1980, 1990, and 2000. The solar magnetic field is carried by the solar wind from ~3 solar radii into the heliosphere. Because the solar



**Fig. 3.** Solar polar magnetic field strength (from <http://quake.stanford.edu/~wso/>) for the Southern (black) and Northern Hemisphere (red). The smoothed curves display the 20 nHz low pass filtered values. The times summarized in Table 1 are marked by shading. The dotted lines indicate time periods of the solar magnetic field reversals.

wind needs approximately one solar rotation to travel 5 AU, the magnetic polarity of the heliospheric magnetic field will gradually reverse its polarity. It is important to note that it takes approximately a year until the whole region within the termination shock has adapted to the new magnetic field configuration.

When the magnetic field is directed outward from the Sun in the north polar region (denoted by  $A > 0$ ), as in the 1990's, drift models predict that positively charged particles drift predominantly inward through the solar polar regions and then outward through the equatorial regions along the heliospheric current sheet (Jokipii et al., 1977). In contrast, electrons drift mainly into the inner heliosphere along the heliospheric current sheet and then outward through the polar regions (Potgieter and Moraal, 1985). These different drift patterns are responsible for the charge sign dependent latitudinal gradients, as reported by Ferrando et al. (1996); Heber et al. (2002a). However, drift effects also depend on the polarity of the heliospheric magnetic field. Evenson (1998) points out that positive and negative particles cannot have systematic differences in their propagation in a magnetic field that is symmetric under reflection. In this case, drift patterns for particles of the same rigidity interchange when the solar polarity  $A$  or the particle charge  $q$  reverse. In the case of a turbulent helicity in the HMF structure, however, the diffusion coefficient for a given polarity state  $A$  would depend on the charge sign (see Evenson, 1998).

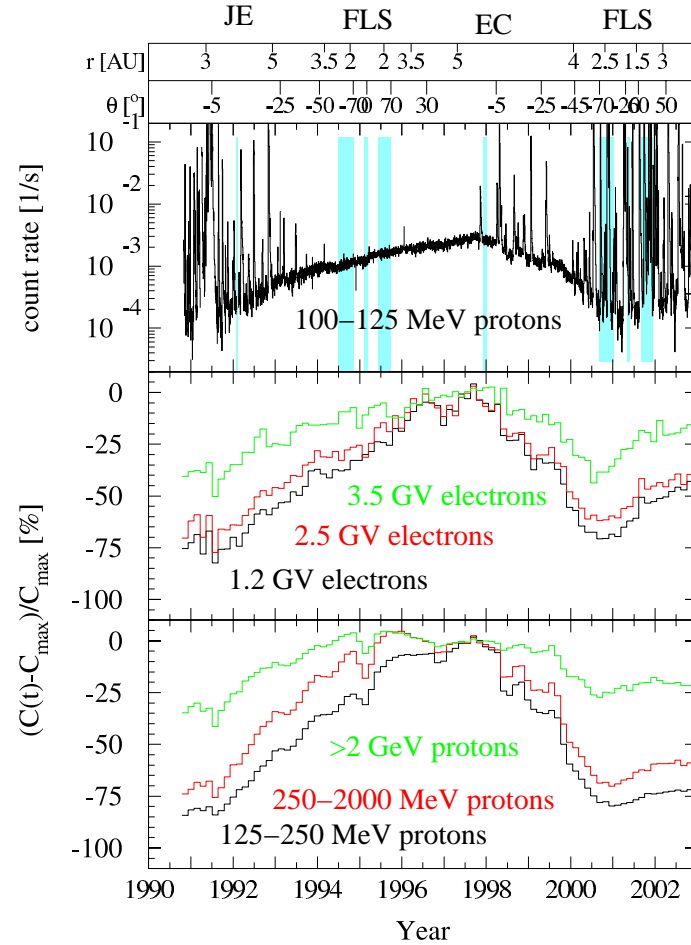
Models for the long-term modulation of galactic cosmic rays contain time variations of the spatial diffusion coefficients and changes in the structure of the heliospheric cur-

rent sheet (HCS) (see Potgieter et al., 2001). The relative importance of variations in the transport coefficients representing diffusion and drift effects, respectively, is studied by, e.g. Wibberenz et al. (2002). Good indicators for drift effects in modulation are (1) the difference in the latitudinal dependence of oppositely charged cosmic rays during the same polarity epoch (Heber et al., 2002a) and (2) the different temporal variation of intensities of oppositely charged cosmic rays (see, e.g. Potgieter et al., 1997; Heber, 2001). The first observation supporting the importance of drifts was the finding of a negative latitudinal gradient by Cummings et al. (1987). With Ulysses at polar latitudes in the inner heliosphere around the  $A > 0$  solar magnetic epoch minimum in 1994/1995, the expected positive latitudinal gradients for protons were observed (Heber et al., 1996a; McKibben et al., 1996). In contrast, the electron latitudinal gradients were consistent with zero (Ferrando et al., 1996; Heber et al., 1999b).

Burger and Hattingh (1995) showed that the intensity of cosmic ray protons varies as a function of the tilt angle  $\alpha$  for both polarity cycles, by solving Parker's transport equation numerically. This fact, combined with the opposite drift motions of protons and electrons, leads to a characteristic behavior of the  $e/p$  ratio, as the tilt angle varies during the solar cycle, from solar maximum (in the ideal case  $\alpha \approx 90^\circ$ ) to solar minimum ( $\alpha = 10^\circ$ ) and then back to high solar activity, as displayed in Fig. 4 in Burger and Potgieter (1999). As shown by these authors the maximum intensity depression for both particle types during solar maximum approaches the no-drift value (diffusion-dominated situation). For the  $A > 0$  epoch protons gain easier access via the polar regions when the tilt angle decreases, so that the  $e/p$ -ratio decreases. At low tilt angles  $\alpha$  the  $e/p$ -ratio is increasing, since protons have nearly recovered while electrons are still sensitive to changes in  $\alpha$ . Evenson (1998) and Heber et al. (1999b) could show that the temporal variation of galactic cosmic rays is indeed charge sign dependent at solar minima of either polarity. Evenson (1998) emphasized the different modulation of the 1.2 GV electrons and helium around solar maximum in 1989. Of special interest are the measurements from the second fast latitude scan in 2000/2001, close to solar maximum. In this paper we report KET observations for different electron and proton channels from this period and compare the observations with the observation of the solar minimum latitude scan, in order to investigate the solar cycle dependence, including the transition from the  $A < 0$  to the  $A > 0$  solar magnetic epoch.

## 2 The Kiel Electron Telescope

The analysis reported here is based on the data from the Kiel Electron Telescope (KET), one of five telescopes of the COSMIC ray and Solar Particle INVESTIGATION (COSPIN), on board the Ulysses spacecraft (Simpson et al., 1992). The KET instrument measures the intensities and energy spectra of energetic particles separating electrons, hydrogen and helium.



**Fig. 4.** From top to bottom: Daily averaged count rate of 100–125 MeV (0.3 GV) protons and 52-day “quiet time” count rates of 1.2 GV (black), 2.5 GV (red), and 3.5 GV (green) electrons, and 125–250 MeV (0.8 GV, black), 250–2000 MeV (2.5 GV, red) and >2 GeV (6 GV, green) protons. Ulysses’ distance to the Sun and its heliographic latitude are shown on top.

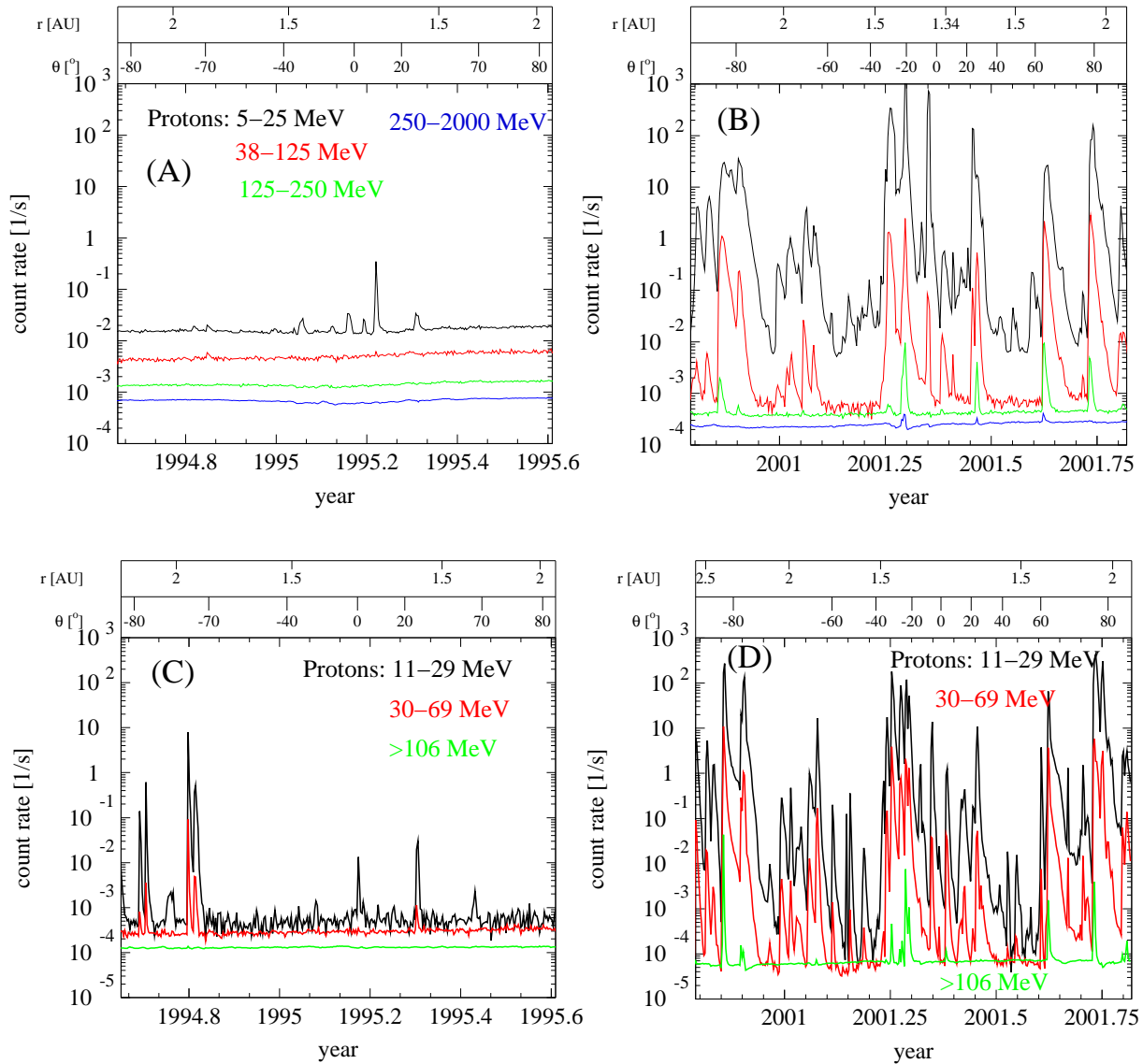
The instrument covers an energy range from  $\sim 5$  MeV/n to above 2 GeV/n for hydrogen and helium, and from  $\sim 3$  MeV to above 300 MeV for electrons. In this study we use the nucleon and electron channels listed in Table 2.

From a detailed analysis of the electron channels by Heber et al. (1999b, 2001) it is known that the count rates of the 3–10 MeV and 7–20 MeV electron channels from 1993 to 1995 contain a significant background induced by  $\gamma$ -rays produced by the Radioisotope Thermoelectric Generator, as well as by the interaction of cosmic ray hadrons with the spacecraft material. Recently, Clem et al. (2002) could determine the background of galactic cosmic ray protons in the 1.2 GV and 2.5 GV electron channels. While the effect is negligible in the 2.5 GV channel, it is of major importance for the 1.2 GV electrons. The time profiles used in this paper will take into account all these corrections.

### 3 Observations

Figure 4 displays the daily averaged count rate of 100–125 MeV (0.3 GV) protons and the 52-day averaged “quiet

time” count rates of galactic cosmic ray electrons and protons in several rigidity windows between 0.8 GV and 6 GV from October 1990 to mid 2002, respectively. The 52-day averaged “quiet time” counting rates are presented as percentage changes with respect to the rates  $C_{\max}$  measured in mid 1997 at solar minimum,  $(C(t) - C_{\max})/C_{\max}$ . “Quiet time” profiles have been determined by using only time periods when the 100–125 MeV proton channel showed no contribution of solar or interplanetary particles (Heber et al., 1999b). The Jovian flyby in 1992 (JE), the two rapid pole to pole passages in 1994/1995 and 2000/2001 (FLS), and the ecliptic crossing in 1998 (EC), as given in Table 1, are marked by shading. The observed variations in the particle intensities are caused by the temporal changes during the solar cycle and by spatial variations along the Ulysses trajectory. While the spacecraft remained close to the ecliptic, it encountered solar maximum conditions until mid 1992 and again from 1999 onwards and solar minimum conditions in 1996/1997. During these periods, KET registered minimum and maximum intensities, respectively. The maximum count rates of electrons and protons in 1997 have been used to normalize the data to unity.



**Fig. 5.** Daily averaged count rates of different Ulysses/KET proton channels in the energy range from 5 to 2000 MeV during the first (A) and second (B) fast latitude scan. The lower two panels (C) and (D) display the corresponding proton measurements in the energy range from 11 MeV to >106 MeV at Earth by the University of Chicago’s instrument on board IMP 8.

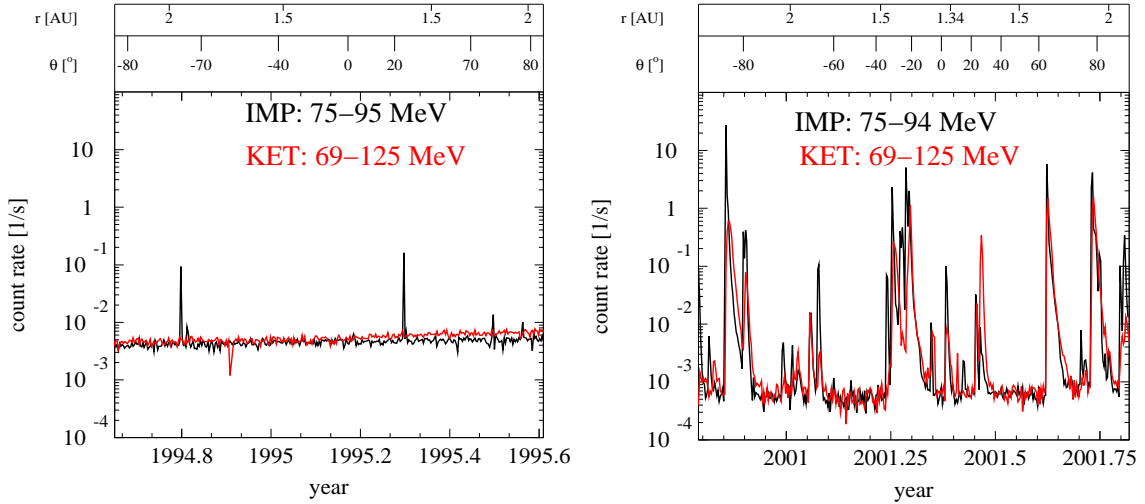
Therefore, Fig. 4 shows the modulation amplitude from solar minimum to solar maximum in 2000 at different rigidities. The measured values are summarized in the fifth column of Table 2 and show that the modulation decreases with increasing rigidity from about 93% for 38–125 MeV/n helium to 17% for >2 GeV/n helium (not shown here). The same trend has been observed in the >1 GV electron channels. It should be noted that a large part of the depression amplitudes between 1998 and 2001 – the steps in modulation – are practically the same for electrons and protons of the same rigidity. One can see, however, that following these depressions after 1998, the recovery time for electrons is slightly larger than for protons; this difference in recovery times also holds for the long-term recovery following 1992, but it is just reversed after the solar maximum in 2001. This agrees with the con-

cept presented by Wibberenz et al. (2002): In the inner solar system, cosmic ray depressions during the onset of modulation are related to variations in the radial diffusion coefficient, whereas the different recovery times are related to the difference in drift paths which vary with the polarity  $A$  and charge  $q$ . Before we discuss the two fast latitude scans with respect to modulation, we investigate the influence of solar activity.

### 3.1 Solar activity and solar particle events

While the first FLS occurred in the late recovery phase of the solar cycle, the second fast latitude scan appeared around solar maximum. The occurrence of flares and Coronal Mass Ejections is low at solar minimum and increases





**Fig. 6.** Daily averaged time profiles of 75–95 MeV and 75–125 MeV protons at Earth and Ulysses for the first and second latitude scan.

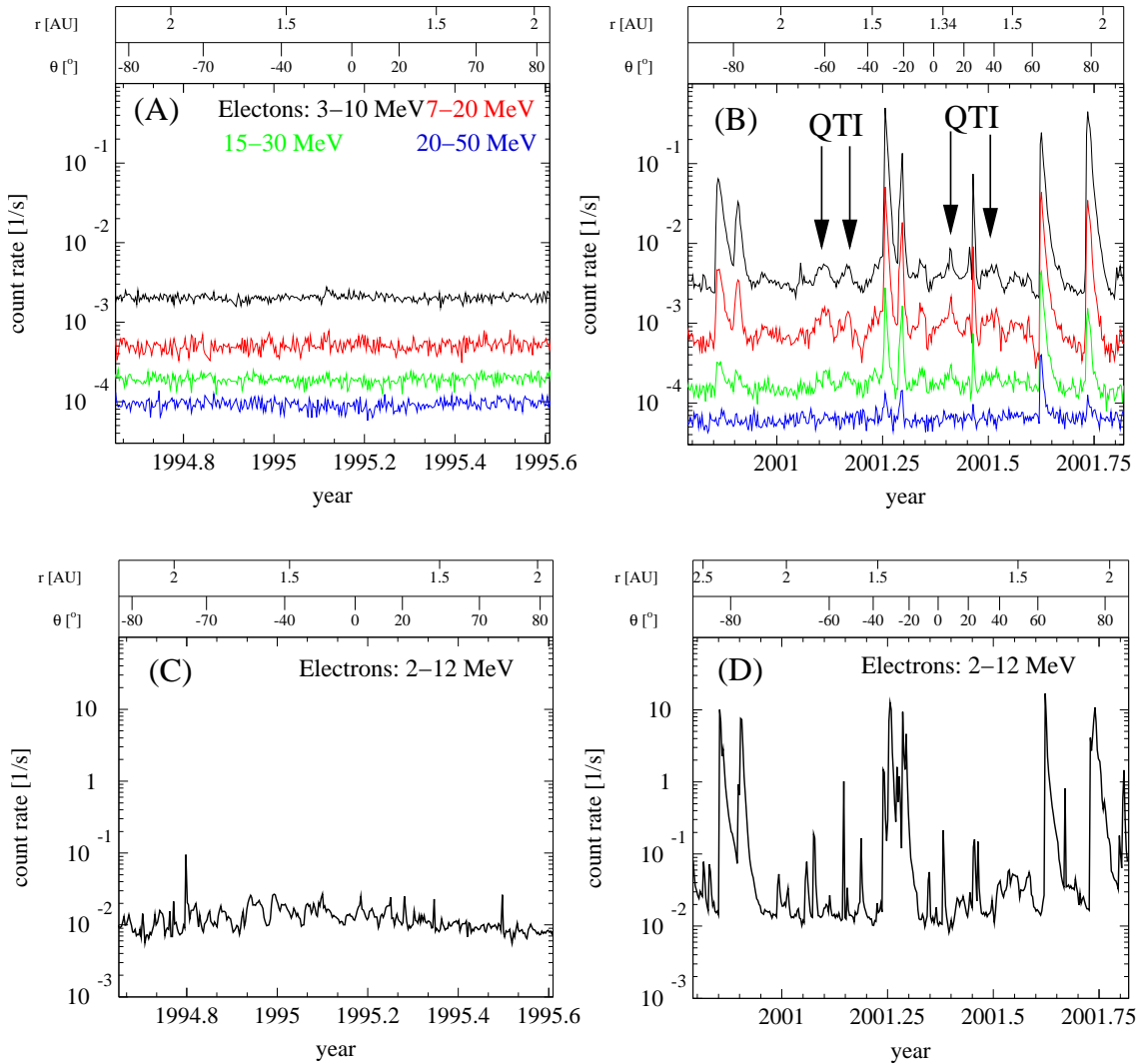
**Table 2.** KET proton, helium and electron channels used in the current analysis. The lower ( $E_{\min}$ ) and upper energy values ( $E_{\max}$ ) have been determined by using a GEANT Monte-Carlo model of the KET. The mean rigidity  $P_{\text{mean}}$  has been calculated accordingly (Rastoin, 1995). The modulation amplitude  $\frac{C_{\min} - C_{\max}}{C_{\max}}$  has been calculated by using  $C_{\min}$  and  $C_{\max}$ , the count rates at solar maximum and minimum in 2000 and 1997, respectively. The mean latitudinal gradient  $\overline{G_{\Theta}}$ , and the maximum latitudinal gradient  $G_{\Theta}$  have been taken from Heber et al. (1996b) for the first latitude scan

particle	$E_{\min}$ MeV/n	$E_{\max}$ MeV/n	$P_{\text{mean}}$ MV	$\frac{C_{\min} - C_{\max}}{C_{\max}}$ %	$\overline{G_{\Theta}}$ %/°	$G_{\Theta}$ %/°
proton	5	25				
proton	38	68				
proton	100	125	400	$91 \pm 2$		
proton	125	250	800	$80 \pm 2$	0.15	0.30
proton	250	2000	2500	$70 \pm 2$	0.21	0.38
proton	> 106				0.19	0.35
proton	> 2000		6000	$26 \pm 1$	0.12	0.22
helium	6	25				
helium	38	125	800	$93 \pm 3$	0.61	0.95
helium	125	170	1200	$81 \pm 2$		
helium	250	2000	3500	$64 \pm 2$	0.23	0.40
helium	> 2000		12000	$17 \pm 3$	0.09	0.16
electrons	3	10	7			
electrons	7	20	14			
electrons	460		1200	$85 \pm 3$		
electrons			2500	$71 \pm 2$		
electrons			3500	$62 \pm 3$		

with solar activity. As a consequence, the intensities of the 100–125 MeV protons are highly variable and show several short-term increases. The panels (A) and (B) of Fig. 5 display the Ulysses KET observations of 5 MeV to 2 GeV protons in four different energy channels during the first and second fast

latitude scans. The black, red, green and blue curves correspond to 5–25 MeV, 38–125 MeV, 125–250 MeV and 250–2000 MeV proton channels. 1 AU data from the Chicago instrument on board IMP8 are shown in the panels (C) and (D) for comparison. Here, the black, red, and green curves correspond to the 11–29 MeV, 30–69 MeV, and >106 MeV proton channels. In order to visualize the differences during these paths the same scales have been used. Obviously only a few short-term increases at energies of about 30 MeV have been observed in 1994 and 1995. But more important, most of these increases occurred at latitudes between 30° south and 30° north, which was the region controlled by the low speed and variable solar wind, emanating from the streamer belt region. These events are caused by Corotating Interaction Regions (CIRs) and transient interplanetary shocks (Sanderson et al., 1999). A simple inspection of panel (C) in Fig. 5 leads to the conclusion that the time profiles at Earth and at Ulysses are dominated by different particle populations; i. e. the two particle events measured at Earth in late 1994 are not present in the high-latitude Ulysses measurements. If these particle increases are due to solar particle events, one would conclude that either the particle transport perpendicular to the heliospheric magnetic field is small or that shocks related to CMEs have a small latitudinal extent.

In contrast, the observations at solar maximum are characterized by many increases in the 5–25 MeV range, which even pile up for several weeks in early 2001. In order to investigate such time periods, the evolution of the energy spectrum, as well as the composition are useful tools. At higher energies it is interesting to note that KET has observed nine events in the 125–250 MeV protons, with intensities more than two times the background. These events are listed in Table 3. Four of them are accompanied by an increase in the 250–2000 MeV range. In comparison to the Ulysses observations panel (D) displays the corresponding measurements at Earth by the University of Chicago’s instrument on board IMP. During this time period, from Novem-



**Fig. 7.** Daily averaged count rates of different Ulysses/KET electron channels in the energy range from 3 to ~50 MeV during the first (A) and second (B) fast latitude scan. The lower two panels (C) and (D) display the corresponding electron measurements in the energy range from 2 MeV to ~12 MeV at Earth by the IMP 8 satellite.

ber 2000 to October 2001, two Ground Level Events (GLEs) on 15 and 18 April have been observed at Earth (from <http://helios.izmiran.rssi.ru/cosray/main.htm/#lastGLEs>). These two GLEs are seen in the >106 MeV protons at Earth as well. At Ulysses only the second event generated a significant increase in the 250 MeV to 2 GeV proton channel, indicating different geometries and/or propagation conditions during these two events. The KET observations suggests that the energy spectra of most of the solar particle events are soft. Therefore, it is important to compare Earth and Ulysses observations at the same energies. A simple inspection of panels (B) and (D) of Fig. 5 suggests that most of the important particle increases are seen at Earth and Ulysses simultaneously. In order to compare Ulysses and Earth observations in detail, Fig. 6 displays the count rates of 75 to 95 MeV at Earth and the 69 to 125 MeV at Ulysses. Although the energies are not exactly the same, both time pro-

files are dominated by the same events. At solar maximum, there are only a few events that produce an increase at one spacecraft only. Since Ulysses is moving in heliographic longitude, latitude and in radial distance, such a similarity has not been expected, indicating that particles are accelerated at high latitudes, too, or transported efficiently in latitude and longitude. Such a transport might be caused by stochastic perpendicular diffusion, or by a more complex geometry of the heliospheric magnetic field itself (see Fisk and Jokipii, 1999). In order to obtain the observed long lasting decay of the particle events, McKibben et al. (2001) suggested that particles might be stored in the inner heliosphere.

As pointed out by Dröge (2000) electrons provide important information about the diffusion tensor at low rigidities. In panels (A) and (B) of Fig. 7 four different electron channels from the KET are displayed. The black, red, green, and blue curves correspond to electrons with energies of about



**Table 3.** List of major solar particle events observed by the KET on board Ulysses. Flare onset times and location taken from Dalla et al. (2002), and <http://umbra.nascom.nasa.gov/SEP/seps.html>

	time	250–2000 MeV p	3–10 MeV e	~ 50 MeV e	X-ray	flareloc.
1	314 2000	no	yes	no	M7.4 @ 313:22:42 h	N10W77
2	93 2001	no	yes	yes	X20 @ 92:23:40 h	N14W82
3	96 2001	no	no	no	-	-
4	106 2001	yes	yes	yes	X14 @ 105:14:10 h	S20W85
5	109 2001	yes	yes	yes	C2 @ 108:02:14 h	S20Westlimb
6	170 2001	no	yes	no	-	-
7	171 2001	yes	no	no	-	-
8	227 2001	yes	yes	yes	West Limb CME	-
9	267 2001	no	yes	yes	X2.6 @ 09:36 h	S16E23

3–10 MeV, 7–20 MeV, ~30 MeV, and ~50 MeV. As pointed out by Heber et al. (1999b) the latter two are dominated by a  $\gamma$ -ray background during solar minimum “quiet time” conditions, generated by the interaction of >250 MeV protons with the spacecraft material, leading to the same time profiles as for galactic cosmic ray protons.

All events in Table 3 are accompanied by an increase in the count rate of 3–20 MeV electrons (panel (B) of Fig. 7). During six of these events, electrons have been accelerated up to energies of ~50 MeV.

As for the protons all major events have been observed at both locations, with similar decay times. A detailed analysis of these solar particle events is beyond the scope of the paper; results have been summarized by McKibben et al. (2003).

### 3.2 Latitudinal distribution of galactic cosmic rays

In the left and right panels of Fig. 8 the daily averaged count rates of >125 MeV protons at Ulysses (red curve) and >106 MeV protons at Earth (black curve) are displayed. A latitudinal gradient of ~0.3%/degree has been observed around solar minimum (Heber et al., 1996a,b). We interpret the background during the second fast latitude scan as being due to galactic cosmic rays. Note the slight increase in the intensity corresponding to a gradual recovery after the preceding solar maximum (see also the behavior of the NM data in Fig. 2). In order to correct the data for Ulysses’ position, radial gradients  $G_r$  of 2.2%/AU and 3.5%/AU have been used (Heber et al., 2002a). Since the black and red curves track each other well in 2001, we can conclude that galactic cosmic ray protons have a spherically symmetric distribution in the inner heliosphere at solar maximum. However, such a vanishing of latitudinal gradients cannot be interpreted as the disappearance of drift effects in modulation only, because an increase in the diffusion coefficient in the polar direction would also lead to a reduction of the latitudinal gradient (see discussion in Potgieter, 1997). In comparison, in the 1994/1995 period cosmic ray electrons did not show any significant latitudinal gradient, whereas a proton latitudinal gradient clearly existed (Ferrando et al., 1996; Heber et al., 1999b). The presence of drift effects at this time is confirmed by a variation of the  $e/p$ -ratio, as predicted by modulation

models including drifts (Heber et al., 1999b), showing how important it is to analyze particles with opposite charge signs simultaneously.

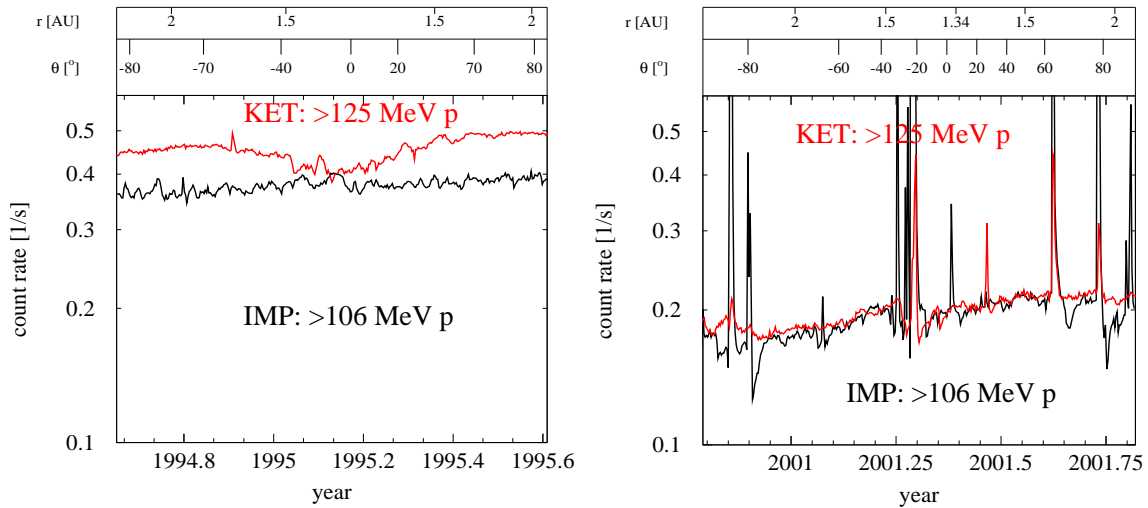
### 3.3 The time profile of the electron to proton ratio

The temporal variation of the electron to proton ratio for a location at 1 AU near the heliographic equator can be derived from the Ulysses data (Heber et al., 2002a). For this purpose, the influence of radial and latitudinal variations has to be considered. With respect to the first point it can be assumed that at a given time the radial gradients of 2.5 GV electrons and protons are approximately the same (Clem et al., 2002). In Heber et al. (2002a) we have shown that

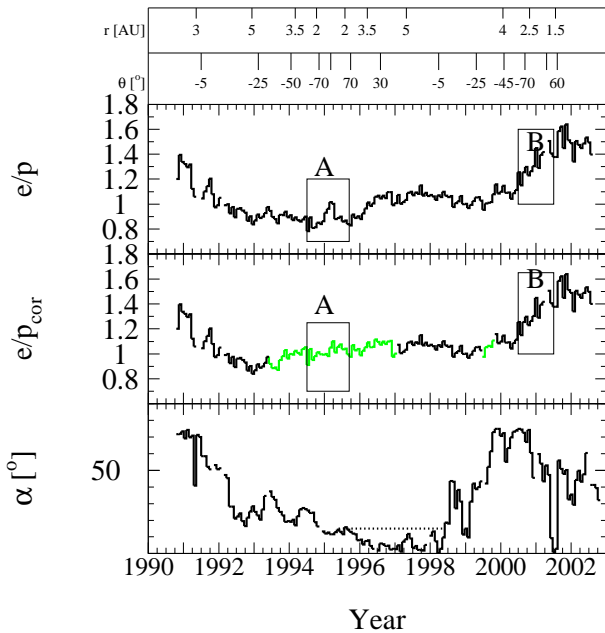
1. for the construction of the “heliographic equator equivalent” of the  $e/p$ -ratio only the latitudinal variation of the protons has to be considered,
2. the latitudinal gradients of the integral and the differential channels are practically the same, which is consistent with the general finding that the latitudinal proton gradient shows only a small variation with rigidity in the several GV rigidity range (Burger et al., 2000).

Therefore, we will use the proton spatial gradients that have been derived by Heber et al. (2002a), to construct the “heliographic equator equivalent” for the 2.5 GV protons throughout the time period 1990 to mid 2002.

The lowest panel of Fig. 9 displays the maximum latitudinal extent of the heliospheric current sheet  $\alpha$  shifted by 5 solar rotations to later times (<http://quake.stanford.edu/~wso/>). The time shift takes into account that variations in the shape of the current sheet first have to be carried radially outwards with the solar wind until a new regime is established and the cosmic rays are modulated accordingly. Periods (A) and (B) mark the time of the solar minimum and maximum fast latitude scans. In the upper and middle panels one can see the directly measured (Ulysses) and the “heliographic equator equivalent”  $e/p$ -ratios. The curves in these two panels deviate from each other only during the time intervals which are drawn in green in the middle panel. During all other periods,



**Fig. 8.** Daily averaged time profile of >106 MeV and >125 MeV protons at Earth and Ulysses for the first and second latitude scan.



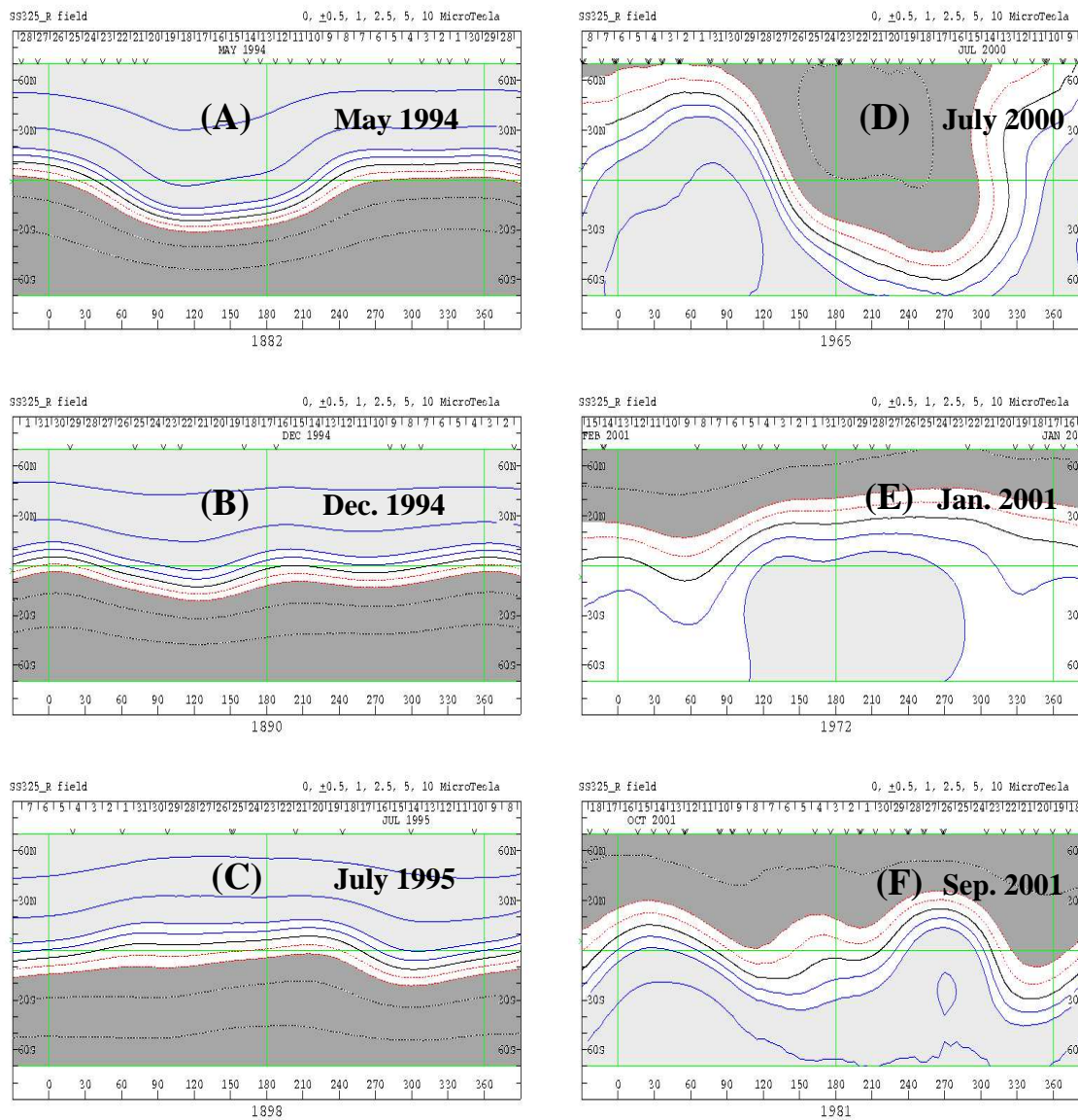
**Fig. 9.** From top to bottom: Measured 26-day averaged 2.5 GV  $e/p$ -ratio from launch to mid 2002 along the Ulysses orbit. The second panel displays the “heliographic equator equivalent”  $e/p$ -ratios as described in the text. Periods “A” and “B” mark the time period of the minimum and maximum fast latitude scans. The lowest panel shows the evolution of the maximum latitudinal extent of the heliospheric current sheet  $\alpha$  shifted by 5 solar rotations to later times (<http://quake.stanford.edu/~wso/>). Ulysses’ distance to the Sun and its heliographic latitude are shown at the top.

the curves are practically identical, either due to the small latitudinal separation between Ulysses and IMP (before April 1993 and from 1997 to 1999), or due to the negligible proton latitudinal gradient from 2000 onwards (Heber et al., 2002a). Although the ratio  $e/p = 1$  is reached only during short peri-

ods of time, it ought to be representative for a medium range of tilt angles around  $40^\circ$ – $50^\circ$ , where the slope of the intensity vs. tilt angle variation is roughly the same for both particle types (see Burger and Potgieter, 1999). The structures in the  $e/p$ -ratio can be characterized as follows. The  $\Delta$ -shape during the period (A) seen in the  $e/p$ -ratio measured along the Ulysses orbit (upper panel) is not found in the “heliographic equator equivalent” (middle panel). The relatively constant value (Ferrando et al., 1996) from mid 1993 to the end of 1994 has been replaced by a continuous increase. The  $e/p$ -ratio lies systematically above unity for a time period around solar minimum between about mid 1995 and mid 1998. During this time,  $\alpha$  is below a value near  $15^\circ$ , as indicated in the bottom panel. The increase in the  $e/p$ -ratios during the occurrence of low tilt angles near solar minimum periods was found by Heber et al. (1999b,c) and has been extensively discussed there. An increase in the  $e/p$ -ratio with the transition to solar maximum conditions commences around mid 1999. The  $e/p$ -ratios are roughly the same in 1990/1991 and from 2000 to 2002, both representing periods of solar maximum, indicating that charge sign dependent modulation is small.

### 3.4 MeV electrons

Not only are the count rate time profiles of MeV electrons due to solar activity very different during the first and second fast latitude scans (see Fig. 7), but also the variations of the background intensities differ. Between 1 and 10 AU different sources contribute to the few-MeV electron intensities. Among them the most important are solar, Jovian and galactic electrons. In the 1970s McDonald et al. (1972) and L’Heureux et al. (1972) reported on the observation of “quiet time” electron increases. Such “quiet time” electron increases are not accompanied by an increase in the proton intensity and are characterized by a hard energy spectrum. In order to distinguish them from solar electrons, these two criteria have been used by Heber et al. (2002a), who recently



**Fig. 10.** Configuration of the solar magnetic field as calculated by Hoeksema for a source surface at 3.25 solar radii for the first (left) and second (right) fast latitude scan.

reported the discovery of “quiet time” increases in the 3–10 MeV electron intensities at polar latitudes. The intensity increased by a factor greater than 2 without any variation in the 38 to 69 MeV proton channel. By comparing Figs. 5 and 7 it is evident that during the two time periods in early and mid 2001, marked by QTI, such “quiet time” increases occurred at Ulysses. At those times the spacecraft had been between  $\sim 30^\circ$  and  $\sim 60^\circ$ . If these electrons are of Jovian origin, as suggested by Heber et al. (2002b), then our measurements indicate again an easy transport of energetic particles to high heliographic latitudes. Note that such variations were not present during the first fast latitude scan at Ulysses (see panel (A) in Fig. 7), when the spacecraft was embedded in the fast solar wind emanating from the coronal holes. In contrast, such variations were obviously present in 1994/1995 at

Earth, which was located in the steamer belt region. Thus, the particle transport in the inner heliosphere is very different at solar minimum, with coexisting different solar wind regimes. In this context it is interesting to note that Heber et al. (1998) found only significant latitudinal gradients at solar minimum when Ulysses was embedded in the fast solar wind stream.

#### 4 Discussion and conclusion

During the solar maximum fast latitude scan, we found that

1. solar particle events and “quiet time”-electron increases are observed at all latitudes, indicating an effective latitudinal particle transport,

2. the galactic cosmic ray distribution is nearly spherically symmetric close to solar maximum from 2000 to mid 2001. Unfortunately, in 2002, no appropriate 1 AU data are available, yet,
3. the  $e/p$ -ratios are approximately the same at solar maximum in 1990 and 2000,
4. from 1991 the  $e/p$ -ratio is first decreasing until 1993 and then increasing, reaching a relative maximum value in 1997, near solar minimum. After that the  $e/p$ -ratio decreases again until early 1999, increases thereafter, and is constant in 2002.

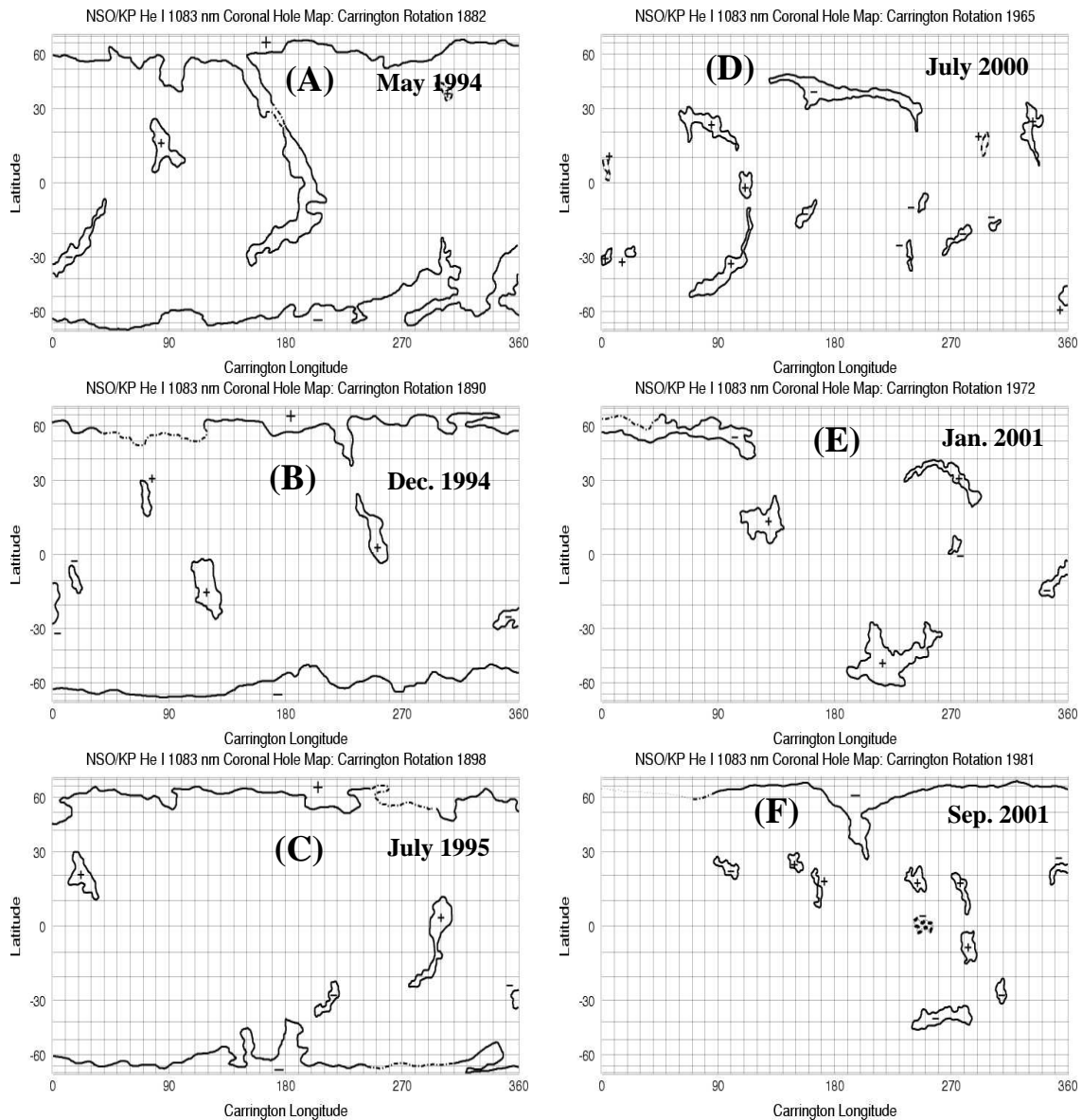
As discussed before, it is evident from the second panel of Fig. 9 that the observed  $e/p$ -ratio profile can be described qualitatively by solving Parker's transport equation numerically (Burger and Potgieter, 1999). However, the polarity of the heliospheric magnetic field is also important to understand the time profile of galactic cosmic rays during the 22-year solar magnetic cycle: in an  $A < 0$  epoch (the heliospheric magnetic field is pointing inward in the Northern Hemisphere), the time profile of positively charged particles is peaked, whereas it is more or less flat in an  $A > 0$  solar magnetic epoch.

The solar and the heliospheric magnetic field reverses every 11 years around solar maximum. With the reversal of the heliospheric magnetic field and the decrease in the tilt angle, an increase in the  $e/p$ -ratio is expected. Of special interest is the transition from an  $A > 0$  to an  $A < 0$  solar magnetic epoch. Close to solar maximum conditions, i.e. for large "tilt angles", the drift effect is expected to vanish progressively. An indication for small or no drift effects around solar maximum is that the  $e/p$ -ratio converges to the same value regardless of the polarity of the heliospheric magnetic field. Later when the new polarity has established itself in the heliosphere, drifts are expected to become more important, and the  $e/p$ -ratio is expected to vary accordingly. In order to search for drift effects at solar maximum, the interpretation of the charge sign dependent galactic cosmic ray time profiles has to take into account the complex heliospheric magnetic field. In order to investigate the fast latitude scans in more detail Fig. 10 displays six source surface maps (from <http://quake.stanford.edu/~wso/>) calculated by using the newer, probably more accurate model, which assumes a radial boundary condition at the photosphere, and a higher source surface radius (3.25 solar radii). The surface maps (A) in May 1994, (B) in December 1994, and (C) in July 1995 show the solar magnetic field configuration close to the  $A > 0$  solar minimum magnetic epoch during the time period of the first fast latitude scan. The black line separating the light gray (outward polarity) and dark gray (inward polarity) areas corresponds to the heliospheric current sheet. Obviously, the magnetic field was well organized, with a current sheet having only a small inclination  $\alpha$ . In contrast, panels (D) in July 2000, (E) in January 2001, and (F) in September 2001 display these maps during the time period of the second fast latitude scan. Obviously, the solar mag-

netic source field is much more complex and has reversed its polarity. It is important to note that the magnetic field instruments on Ulysses have not measured an outward pointing field polarity in the Southern Hemisphere in November 2000, but found the inward polarity over the northern polar cap (Smith et al., 2001). The solar wind itself is indistinguishable from slow solar wind in the Southern Hemisphere, but showed the characteristic of the fast solar wind in the Northern Hemisphere from day 240 to 340 of the year 2001 (McComas et al., 2001a,b). The fact that the polarity measured by Ulysses during the south polar pass is not the same as that of the solar magnetic field can be explained, when one remembers that the source surface maps have been calculated from the measured photospheric magnetic field. Although the photospheric field might be reversed, it could happen that these fields do not merge with open field lines, so that the heliospheric magnetic field might not be extrapolated from these source surface maps (Smith et al., 2001). A good indicator for open field lines at polar latitudes is the existence of polar coronal holes. Figure 11 displays coronal hole maps obtained from <ftp://ftp.noao.edu/kpvt/synoptic/choles>. Note the different latitude scales when comparing the source surface with the coronal hole maps. In 1994/1995 the two polar coronal holes are stable features, and extensions of coronal holes are reflected in the corresponding source surface maps. In contrast to solar minimum, no southern polar coronal hole can be found in panels (D) to (F); a stable northern coronal hole is developing during the Ulysses fast latitude scan. Under the assumption that it takes several solar rotations until such a new configuration has been established in the inner heliosphere, the lack of latitudinal gradients and the time profile of the  $e/p$ -ratio can be explained when assuming diffusion-dominated modulation. After the second fast latitude scan, in the period from mid 2001 to mid 2002, no changes in the  $e/p$ -ratio were observed. This is in agreement with the latest available coronal hole map (March 2002), where the southern polar coronal hole is still missing. If one would argue that the transition from the  $A > 0$  to the  $A < 0$ -solar magnetic epoch is completed when the southern coronal hole re-appears, then we predict the  $e/p$ -ratio to increase, and negative and positive latitudinal gradients to appear a few rotations thereafter.

## 5 Summary

In this paper we used KET 5 MeV/n to 2 GeV/n proton and helium observations and 3 MeV to 2.5 GeV electron observations in different energy windows, to investigate the 3-dimensional distribution of cosmic rays during the Ulysses solar maximum fast latitude scan. In order to interpret these observations, a comparison with Ulysses' solar minimum fast latitude scan has been performed. University of Chicago IMP 8 measurements have been used to distinguish between temporal and spatial effects. The results can be grouped into three topics, all of which support the conclusion that energetic particles are more easily transported in latitude at solar maximum than at solar minimum:



**Fig. 11.** Coronal holes by Carrington rotation number as inferred from 1083 nm He I observations made at Kitt Peak for the first (left) and second (right) fast latitude scan.

1. Solar particle events have been observed independent of latitude, with nine, four and five events producing 125–250 MeV, 0.25–2 GeV protons and  $\sim 50$  MeV electrons, respectively. In contrast to solar minimum the time profiles at Earth and Ulysses are similar, indicating that particles are either accelerated over a broad latitude range or transported efficiently in latitude.
2. The “quiet time” background of 3 to 10 MeV electrons – with no solar particle events observed in the 38 to 68 MeV proton channel – shows short-term increases by a factor of two or more. Due to the missing protons and the hard energy spectra, these increases are not of solar origin (Heber et al., 2002b). Such variations were not present at solar minimum. If these particles are from

Jupiter, as suggested by Heber et al. (2002b), then an efficient latitudinal transport must exist.

3. The background in the  $> 106$  MeV proton channels consists of galactic cosmic rays, which show, in contrast to solar minimum, the same time profiles at Earth and Ulysses, when correcting Ulysses for a radial gradient of 3.5%/AU. Hence there is no latitudinal gradient of galactic cosmic ray protons at solar maximum. If the vanishing latitudinal gradients are not caused by vanishing drifts, then a more efficient latitudinal transport has to cancel drift-generated gradients.

The importance of drifts around solar maximum has also been investigated by analyzing the time profile of the electron to proton ratio. From the KET measurements it is evident

that the electron and proton time profiles are dominated by diffusive processes (see Heber et al., 2002a). Between mid 2001 and mid 2002 the  $e/p$ -ratio remained approximately constant. This is despite the fact that the solar magnetic field had reversed, as indicated by the solar surface maps, and the tilt angle is decreasing. However, the reversed polarity has been only verified by Ulysses for the Northern Hemisphere, indicating that the reversed solar magnetic field is not connected to heliospheric field lines in the Southern Hemisphere. A good indicator for open field lines is the existence of polar coronal holes. In agreement with the Ulysses' observations, a polar coronal hole is only present in the northern cap. If the assumption is correct that the southern solar polarity will be carried out into the heliosphere once the southern polar coronal hole has been developed, then we should measure an increase in the  $e/p$ -ratio in correlation with the developing southern polar coronal hole. One should also expect a very small latitudinal gradient for the 2.5 GV protons during the fully developed  $A < 0$  epoch, based on the variation of drift effects with the product  $qA$  (see the discussion in Sect. 3). Unfortunately, Ulysses is at  $\sim 30^\circ$  N of the heliographic equator and heading towards the equator, so that the appearance of latitudinal gradients in either the electrons or the protons might not be measurable. However, with the launch of Pamela (Spillantini, 2001), it will be possible to measure for the first time the latitudinal gradients of electrons and protons in the inner heliosphere during Ulysses' fast latitude scan in 2006/2007.

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