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**UV-visible aerosol  
optical thickness**

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# Validation of UV-visible aerosol optical thickness retrieved from spectroradiometer measurements

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## Abstract

Global and diffuse UV-visible solar irradiances are routinely measured since 2003 with a spectroradiometer operated by the Laboratoire d'Optique Atmosphérique (LOA) located in Villeneuve d'Ascq, France. The analysis of the direct irradiance derived by cloudless conditions enables retrieving the aerosol optical thickness (AOT) spectrum in the 330–450 nm range. The site hosts also sunphotometers from the AERONET/PHOTONS network performing routinely measurements of the AOT at several wavelengths. On one hand, comparisons between the spectroradiometer and the sunphotometer AOT at 440 nm as well as, when available, at 340 and 380 nm, show good agreement. On the other hand, the AOT's spectral variations have been compared using the Angström exponents derived from AOT data at 340 and 440 nm for both instruments. The comparisons show that this parameter is difficult to retrieve accurately due to the small wavelength range and due to the weak AOT values. Thus, AOT derived at wavelengths outside the spectroradiometer range by means of an extrapolation using the Angström parameter would be of poor value, whereas, spectroradiometer's spectral AOT could be used for direct validation of other AOT, such as those provided by satellite instruments.

## 1 Introduction

The determination of spectral aerosol optical properties, such as aerosol optical thickness (AOT) and absorption, is important for climate studies (Fosters et al., 2007) and has led to the development of networks such as AERONET/PHOTONS (Holben et al., 1998). In the UV range this characterisation is difficult to achieve accurately while it is required to allow for example UV index forecast and surface UV-B irradiance retrieval from satellite instruments such as Total Ozone Mapping Spectrometer and Ozone Monitoring Instrument (OMI) (Wenny and Saxena, 2001; Arola and Koskela, 2004). The AERONET/PHOTONS network operates sun-sky radiometers allowing retrieving the

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aerosol size distribution, the AOT and the single scattering albedo (SSA) at several wavelengths. The shortest wavelength measured by the instruments operating in Villeneuve d'Ascq before 2006 is 440 nm, while it is 340 nm since 2006. AOT from these instruments are thus available at visible wavelengths before 2006, and at 380 and 340 nm after. In any case, the shortest wavelength at which the SSA is provided is 440 nm (i.e. in the visible). To determine the wavelength dependence of the AOT and of the SSA in the UV range, it is worthwhile to get them with a spectral step as small as possible. Spectroradiometers that are used for monitoring spectral UV global irradiance at ground level on a horizontal plane can also be employed to obtain spectral direct irradiance from which spectral AOT is inferred. Combining global, diffuse and/or direct irradiance measurements allows also retrieving the SSA (Petters et al., 2003; Bais et al., 2005; Krotkov et al., 2005). The way to determine the direct irradiance is either by using a collimator toward the sun (Gröbner and Meleti, 2004; Kazadzis et al., 2005; Cheymol et al., 2006), either by measuring the global irradiance and the diffuse irradiance using a shadow disc to hide the sun, the direct irradiance being derived as the difference global minus diffuse irradiances (de la Casinière et al., 2005). This later technique is used in Villeneuve d'Ascq since 2003 and this work concerns the validation of the AOT retrieval, the SSA retrievals will be the subject of further work.

In Sect. 2 of this paper we describe the spectroradiometer used to perform spectral global and diffuse irradiance measurements and the way the spectral direct irradiance is derived. The methodology for inferring the AOT from this direct irradiance is presented along with a detailed uncertainty budget. Comparisons between the previous products and the AOT provided at the same wavelengths by the sunphotometers of AERONET/PHOTONS network located close to the spectroradiometer are shown in Sect. 3 for several years. Section 4 reports the conclusions.

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## 2 Instrument and methodology

The spectroradiometer is located in Villeneuve d'Ascq on the roof of the LOA building (50.61 N, 3.14 E, 70 m a.s.l.), in a flat region in the north of France. It is a Jobin-Yvon HD10 thermally regulated, scanning in the wavelength range 290–450 nm, with 0.5 nm sampling step. Its resolution is about 0.7 nm. Correction of the wavelength misalignment is achieved via a software tool developed at LOA (Houët, 2003), which has been satisfactorily compared to the SHICrvm software (Slaper et al., 1995). Calibration is regularly performed with two standard lamps traceable to NIST and NPL. On average the expanded uncertainty (coverage factor  $k=2$ ) on the irradiance is estimated to be about 5% at around 400 nm and 7% at around 320 nm for a high irradiance level (for example for SZA=40°) and about 7% and 9% at 400 and 320 nm respectively for a low irradiance level (for example for SZA=70°) (Bernhard and Seckmeyer, 1999; Houët, 2003). The instrument has been checked within the QASUME project in September 2004 (Intercomparison with the travelling standard spectroradiometer B5503 from Physikalisch-Meteorologisches Observatorium Davos, World Radiation Center, Switzerland). Since 2003 the instrument performs alternately scans of the global and of the diffuse irradiance every 15 min, from sunrise to sunset. The shadow disc is large enough to cover the solar disc during the scan duration, therefore the measured diffuse irradiance is slightly smaller than the true value so a correction is needed. Radiances are computed using the radiative transfer code STREAMER (Key, 1999) enabling to estimate the diffuse irradiance that is hidden by the shadower. A mean correction is made in the data processing to account for the bias. The direct irradiance at the time  $T$ , corresponding to the global measurement, is obtained by removing from this global irradiance the average of the two diffuse irradiances measured at  $T-15$  min and  $T+15$  min. Such a technique requires, of course, stable atmospheric conditions during the period covering the registration of the three spectra. The error induced by this approximation depends on the aerosol content, on the day and on the hour since the SZA variation rate depends on both. According to Houët (2003), pro-

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vided the solar zenith angle is smaller than about 60° the maximum error on the direct irradiance is less than 1% at 340 nm, less than 0.5% at 380 nm and less than 0.2% at 440 nm. In summer, for SZA=70° we obtain 2%, 1.5% and 1% respectively, and for SZA=75° we have 5%, 4% and 3% respectively. In winter for SZA=70° the error is smaller than 0.2% at the three wavelengths and for SZA=75° it is smaller than 0.5%. This error can be estimated, and is corrected in the processing. Finally, the shadower adds a negligible uncertainty on the direct irradiance data for SZA<60° and a maximum uncertainty of 1% at larger SZA.

On clear sky conditions, the total optical thickness at wavelength  $\lambda$  is derived from the measurement as follows:

$$\delta_{\lambda}^{\text{tot}} = -\cos(\text{SZA}) \times \ell n \left( \frac{E_{\lambda}^{\text{dir}}}{E_{\lambda}^0 \times \cos(\text{SZA})} \right) \quad (1)$$

Where  $E_{\lambda}^{\text{dir}}$  is the ground-based direct irradiance,  $E_{\lambda}^0$  is the extraterrestrial flux, SZA is the solar zenith angle. In this work  $E_{\lambda}^0$  is taken from Thuillier et al. (2003) and is convoluted with the instrument slit function. The retrieved total optical thickness value depends on this reference spectrum and justification of this choice is given below. The aerosol optical thickness (AOT) is then obtained by removing the contributions of molecules, of ozone and of other absorbing species from the total optical thickness.

The molecular optical thickness is determined following Bodhaine et al. (1999),

$$\delta_{\lambda}^{\text{Rayl}} = \sigma_{\lambda}^{\text{Rayl}} \times P_0 \times \frac{A}{m_a g}, \quad (2)$$

where  $\sigma_{\lambda}^{\text{Rayl}}$  is the molecular scattering cross-section,  $P_0$  is the pressure at the surface,  $A$  is Avogadro's number,  $m_a$  is the mean molecular weight of dry air and  $g$  is the acceleration of gravity. Since 2006  $P_0$  is measured routinely on the site, while before we use the standard midlatitude atmosphere (summer or winter) value. The ozone optical

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thickness is obtained from

$$\delta_{\lambda}^{\text{O}_3} = \frac{A \times \sigma_{\lambda}^{\text{O}_3}}{V_m} \frac{\text{TOC}}{10^3}, \quad (3)$$

where  $V_m$  is the molecular volume, TOC is the total ozone column in DU derived from the measured spectrum (Houët and Brogniez, 2004) and  $\sigma_{\lambda}^{\text{O}_3}$  is the ozone absorption cross-section (taken from Paur and Bass, 1985) convoluted with the instrument slit function. For  $\lambda > 340 \text{ nm}$   $\sigma_{\lambda}^{\text{O}_3} = 0$ , thus  $\delta_{\lambda}^{\text{O}_3} = 0$ . To estimate the contribution of  $\text{NO}_2$  we need its total column, as for  $\text{O}_3$  in Eq. (3). For measurements performed before OMI launch we use climatological values from Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCIAMACHY) available at [http://aeronet.gsfc.nasa.gov/cgi-bin/webtool.opera\\_v2.new](http://aeronet.gsfc.nasa.gov/cgi-bin/webtool.opera_v2.new). For measurements performed after September 2004 we take values available in the OMI- $\text{NO}_2$  data files (<http://disc.gsfc.nasa.gov/data/datapool/OMI>). The optical thickness  $\delta_{\lambda}^{\text{NO}_2}$  is then computed using spectroscopic data from Burrows et al. (1998) convoluted with the instrument slit function.

The contribution of each species to the total optical thickness is shown in Fig. 1 for 18 July 2006, 12:30 UTC. It appears clearly that the ozone contribution becomes significant below 330 nm, and that the  $\text{NO}_2$  contribution is very small in the whole wavelength range.

Figure 2 shows a spectrum (full line) of AOT obtained on the same day. Important high frequency variations appear in the AOT spectrum, especially in the region of Fraunhofer lines at around 393–397 and 431 nm, indicating that the wavelength shift is not completely corrected in our processing. This phenomenon occurs quite often in our AOT spectra, therefore one has performed a triangular smoothing. Spectra corresponding to smoothings over 2, 4 and 6 nm (FWHM) are reported on the figure. The smoothing over 4 nm sounds sufficient to remove rapid oscillations, so in the following this smoothing is retained though large oscillations remain. These oscillations are smaller when using the reference spectrum provided in the SHICrvm software (Slaper

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et al., 1995) but above about 400 nm this reconstructed spectrum is quite different from Thuillier et al. spectrum (2003) and we have observed that it gives AOT at 440 nm in lesser agreement with AERONET/PHOTONS. Therefore, we have chosen Thuillier et al. (2003) as reference spectrum.

The AOT uncertainty results from uncertainties on  $\delta_{\lambda}^{\text{tot}}$ , on  $\delta_{\lambda}^{\text{Rayl}}$ , on  $\delta_{\lambda}^{\text{O}_3}$  and on  $\delta_{\lambda}^{\text{NO}_2}$ . In the following all uncertainties are considered uncorrelated. It comes from Eq. (1) that

$$\Delta\delta_{\lambda}^{\text{tot}} = \cos(\text{SZA}) \times \left[ \left( \frac{\Delta E_{\lambda}^{\text{dir}}}{E_{\lambda}^{\text{dir}}} \right)^2 + \left( \frac{\Delta E_{\lambda}^0}{E_{\lambda}^0} \right)^2 \right]^{1/2} \quad (4)$$

with the relative uncertainty on  $E_{\lambda}^{\text{dir}}$  given previously and the relative uncertainty on  $E_{\lambda}^0$  about 1.5 % according to Thuillier et al. (2003). From Eq. (2) it appears that the uncertainty  $\Delta\delta_{\lambda}^{\text{Rayl}}$  is due to the uncertainty on the Rayleigh scattering cross-section and on the uncertainty on the pressure value at the surface. According to Bodhaine et al. (1999), the relative uncertainty on  $\sigma_{\lambda}^{\text{Rayl}}$  is less than 1%. The relative uncertainty on the surface pressure is estimated to 1.5% when using the standard midlatitude atmosphere (estimated in 2006 by comparing these values and the measured pressure), as before 2006, and 0.2% when a measured surface pressure is available, as in 2006. Following Eq. (3) the uncertainty  $\Delta\delta_{\lambda}^{\text{O}_3}$  is due to the uncertainty on the ozone absorption cross-section, about 2% (Orphal and Chance, 2003), and to the uncertainty on the ozone content, about 3% on clear sky days according to Houët and Brogniez (2004). Similarly, the uncertainty  $\Delta\delta_{\lambda}^{\text{NO}_2}$  is due to about 2% uncertainty on the  $\text{NO}_2$  absorption cross-section (Orphal and Chance, 2003) and to the uncertainty on the  $\text{NO}_2$  content. This last value is estimated to be about 50% for OMI data according to a  $\text{NO}_2$  data quality document (available at <http://disc.gsfc.nasa.gov/Aura/OMI/>), but since the  $\text{NO}_2$  contribution is small the exact value uncertainty has a weak importance.

The various uncertainties at three wavelengths are reported in Table 1. One can see that the main contribution comes from the spectroradiometer calibration and from



the extraterrestrial flux, whatever the wavelength. The resulting AOT uncertainty varies from 0.025 to 0.055, depending on the wavelength and on the solar elevation.

The sunphotometer filters at 340 (FWHM=2 nm), 380 (4) and 440 (10) nm are then applied to the AOT smoothed spectrum to obtain AOT at these three wavelengths to be compared with AOT from AERONET/PHOTONS. It must be noticed that in these channels ozone does not perturb the retrieval.

Note that the AERONET/PHOTONS processing uses the same molecular scattering cross-sections and for  $P_0$  NCEP 6-hour averages or monthly climatology from NCEP/NCAR reanalysis. For  $O_3$  and  $NO_2$  it uses the same absorption cross-sections as we use and for  $O_3$  and  $NO_2$  total columns it takes monthly climatologies from TOMS and SCIAMACHY respectively. Uncertainties on AOT from AERONET/PHOTONS are estimated equal to  $C \times \cos(SZA)$ , with  $C$  about 0.01 at 440 nm, 0.015 at 380 nm and 0.02 at 340 nm (Eck et al., 1999; Hamonou et al., 1999), they are also reported in Table 1 where it appears that they are significantly smaller than spectroradiometer's uncertainties. The data available for this work are of level 2.0 up to end of January 2006 and of level 1.5 after.

### 3 Results

Direct irradiance measurements from the spectroradiometer are available since 2003, though not continuously.

In the following we have considered separately the year 2006 because AERONET/PHOTONS data are at level 1.5 except in January, because the pressure at ground level  $P_0$  is routinely measured and because measurements are generally also available at 340 and 380 nm.

Diurnal variations of the AOT are reported in Fig. 3 for 3 July 2006 at 340 and 440 nm along with uncertainties. One can see that at both wavelengths the spectroradiometer's AOT are very close to sunphotometer's AOT, and that, on that day, the spectroradiometer captures very well the diurnal variations. Moreover, the sunphotometer data are

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well within the spectroradiometer uncertainty bars.

Figure 4a–d show scatter plots of AOT retrieved with the spectroradiometer and with the sunphotometer, obtained on cloudless conditions, during 2003–2005 at 440 nm and during 2006 at 440–380–340 nm.

5 When looking at AOT at 440 nm for the two periods (Fig. 4a and b) it appears that on average the spectroradiometer retrieves larger AOT than the sunphotometer in 2003–2005, while it is the reverse on 2006. As was stated before, 2006 data from AERONET/PHOTONS are of level 1.5 after January instead of level 2.0, thus some changes could occur when the new version will be available. Nevertheless the be-  
10 haviour of the January pairs (dots) does not differ from the other pairs (crosses). Globally the agreement is very satisfying at the three wavelengths.

Figure 5 shows the AOT difference as function of AOT for 2003–2005 and 2006 at 440 nm. One can see that the differences are generally smaller than the spectroradiometer's AOT uncertainty. An AOT effect appears whatever the period and the wave-  
15 length, with differences generally smaller at small AOT values. A similar behaviour is observed in 2006 at 380 and 340 nm (not shown). Figure 6 shows the AOT difference versus SZA for 2003–2005 and 2006 at 440 nm. As observed in Fig. 6b, there exists a SZA effect in 2006 with a larger number of positive difference values for low sun ( $SZA > 50^\circ$ ). The same effect is observed at 380 nm but at 340 nm it is weaker  
20 (not shown). In 2003–2005 (Fig. 6a) there is no obvious effect but during that period only few data are available for  $SZA < 50^\circ$ . An explanation of the bias observed in 2006 could be an underestimation of the shadower correction made using STREAMER, but before trying to improve the correction this bias has to be confirmed when using AERONET/PHOTONS level 2.0 data. Since a large number of the AOT are rather small  
25 (in 2003–2005 about 65% of AOT at 440 nm  $\leq 0.2$ , in 2006 about 75% at 440 nm, 60% at 380 nm and 45% at 340 nm), a large number of relative differences are very large.

Using a power law for the dependence of AOT on the wavelength, an Angström exponent, called  $\alpha_{\text{Spect-reg}}$ , is derived applying a least-squares fit on the AOT data in the wavelength range 330–440 nm. The lower limit of this wavelength range is chosen

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equal to 330 nm because, as mentioned in Sect. 2 and as seen in Table 1, the effects of molecular scattering and of ozone absorption increase below this value and thus the AOT uncertainty increases. This value of  $\alpha_{\text{Spect-reg}}$  is only an estimate of the spectral variations of the AOT since the spectrum exhibits oscillations. Another Angström exponent,  $\alpha_{\text{Spect}}$ , is derived from the AOT at 340 and 440 nm for direct comparison with AERONET/PHOTONS Angström exponent,  $\alpha_{A/P}$ , computed from the same wavelengths,

$$\alpha = \ell n \left( \frac{\text{AOT}_{340}}{\text{AOT}_{440}} \right) / \ell n \left( \frac{440}{340} \right). \quad (5)$$

Uncertainties due to AOT uncertainties are also estimated.

Diurnal variations of the three  $\alpha$  are shown in Fig. 7 for the same day as in Fig. 3. We have also reported the uncertainties on  $\alpha_{A/P}$ , but not those on  $\alpha_{\text{Spect}}$  since the large relative uncertainties on spectroradiometer's AOT lead to very large uncertainties on  $\alpha_{\text{Spect}}$ . One can see a good agreement between both spectroradiometer retrievals whereas there are often very large discrepancies with sunphotometer data. Thus, even if spectroradiometer's AOT agree quite well with sunphotometer's AOT, as observed in Fig. 3, the small differences existing at both wavelengths lead to large differences in  $\alpha$ .

Comparison between  $\alpha_{\text{Spect-reg}}$  and  $\alpha_{\text{Spect}}$  (not shown) gives a good correlation ( $r=0.98$ ), the slope of the regression line is equal to 0.95 and the intercept is 0.10, these values can be explained by the difference between the spectral ranges of definition of each  $\alpha$ . The scatter plot  $\alpha_{\text{Spect}}$  versus  $\alpha_{A/P}$  in Fig. 8 exhibits a poor agreement, as well as the plot  $\alpha_{\text{Spect-reg}}$  versus  $\alpha_{A/P}$  (not shown) demonstrating that  $\alpha$  retrieval from the spectroradiometer measurements is not satisfying.

One can notice that in addition to the low AOT's values at both wavelengths leading to large relative uncertainties on AOT, the rather large uncertainties on  $\alpha_{A/P}$  are also explained by the small wavelength range of definition and thus  $\alpha_{A/P}$  is difficult to retrieve accurately.

As observed in Fig. 9 there is a correlation between the AOT and  $\alpha$  (small AOT values are obtained for large  $\alpha$ ) and also between the AOT difference and  $\alpha$  (Fig. 10)

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(small AOT differences values are obtained for large  $\alpha$ ), confirming the AOT effect seen in Fig. 5.

## 4 Conclusions

Global and diffuse UV-visible spectral irradiance measurements, performed with a spectroradiometer in VdA, have been used to derive the direct spectral irradiance. Under cloudless conditions, the spectral AOT has been inferred from these data. The retrieved AOT at 440, 380 and 440 nm have been compared with AOT obtained with the sunphotometers of the AERONET/PHOTONS network operating close to the spectroradiometer. The comparisons show very good agreement, especially for SZA smaller than  $65^\circ$ , the differences being generally smaller than the uncertainties on the spectroradiometer's AOT.

This validation exercise has been extended to the AOT spectral variations by means of the Angström exponent, computed from AOT at 340 and 440 nm. The comparison of the exponents retrieved from each instrument demonstrates that this parameter is difficult to retrieve accurately due to the weak AOT and to the small wavelength range of definition. Thus, AOT derived at wavelengths outside the spectroradiometer range by means of this Angström parameter would be of poor value, whereas, spectroradiometer's spectral AOT could be used for direct validation of AOT provided by satellite instruments.

Therefore, we plan to use our ground-based spectral AOT measurements to validate OMI retrievals. Next we intend to infer the SSA from the global and diffuse measurements to characterize the aerosol absorption in the UV.

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**Table 1.** Uncertainty budget for the spectroradiometer and the sunphotometer at two solar zenith angles and at several wavelengths.

$\lambda$ , nm		440		380		340		320	
Spectro		SZA=40°	SZA=70°	SZA=40°	SZA=70°	SZA=40°	SZA=70°	SZA=40°	SZA=70°
$\Delta\delta_{\lambda}^f$		0.040	0.028	0.047	0.031	0.051	0.033	0.055	0.035
$\Delta\delta_{\lambda}^{\text{Rayl}}$	Before 2006	0.0043		0.0080		0.0128		0.0166	
	2006	0.0024		0.0044		0.0071		0.0092	
$\Delta\delta_{\lambda}^{\text{O}_3}$		0.		0.		0.		0.007*	0.012**
$\Delta(\text{AOT})_{\text{spectro}}$	Before 2006	0.040	0.028	0.048	0.032	0.053	0.034	0.058* 0.059**	0.039* 0.041**
	2006	0.040	0.028	0.047	0.031	0.052	0.033	0.056* 0.057**	0.037* 0.038**
$\Delta(\text{AOT})_{\text{Sunphotometer}}$		0.008	0.004	0.012	0.005	0.015	0.007		

\* For TOC=250 DU; \*\* For TOC=450 DU

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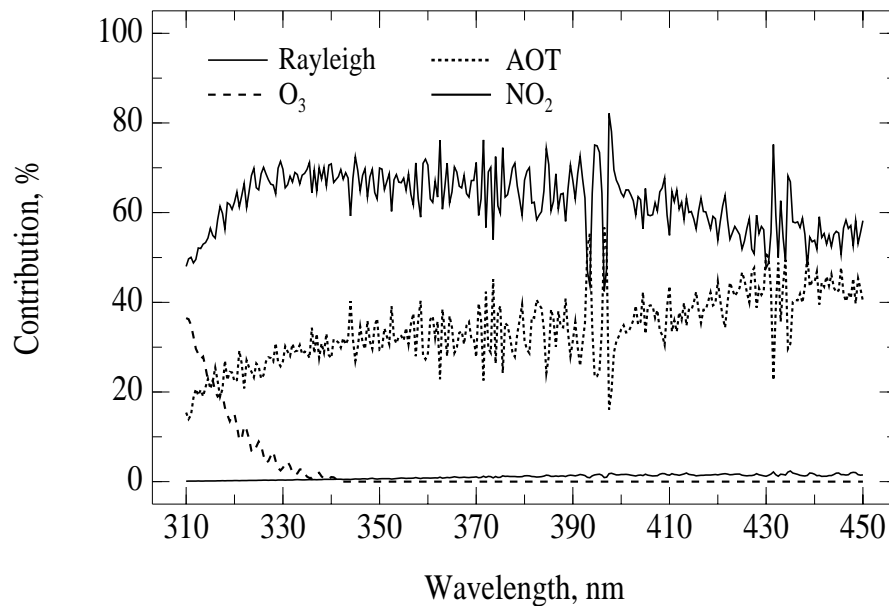
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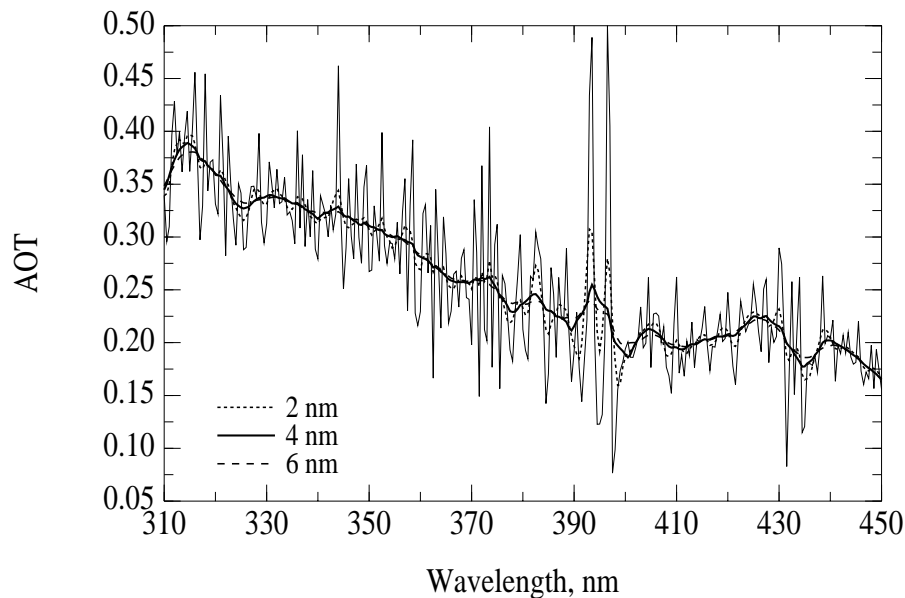


**Fig. 1.** Contributions of the optical thickness of each species to the total optical thickness measured by the spectroradiometer on 18 July 2006 at 12:30 UTC.

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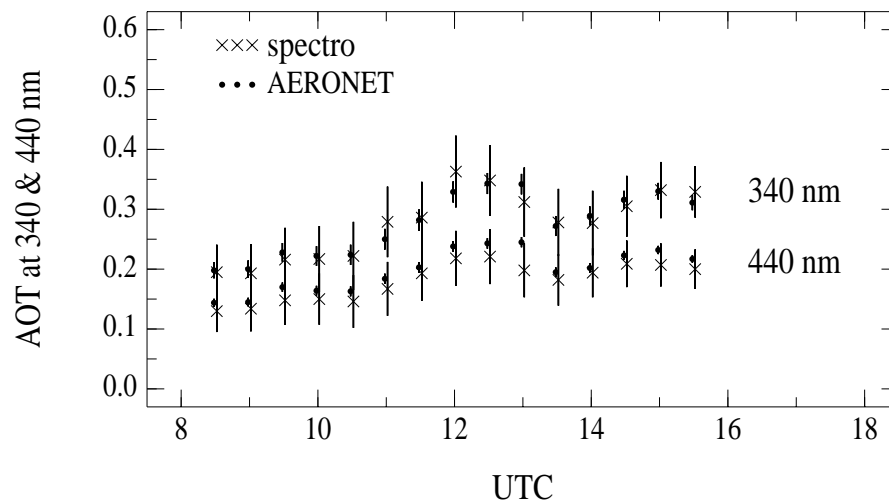


**Fig. 2.** Spectrum (full thin line) of AOT obtained on 18 July 2006 at 12:30 UT. Spectra corresponding to triangular smoothings over 2, 4 and 6 nm are also reported.

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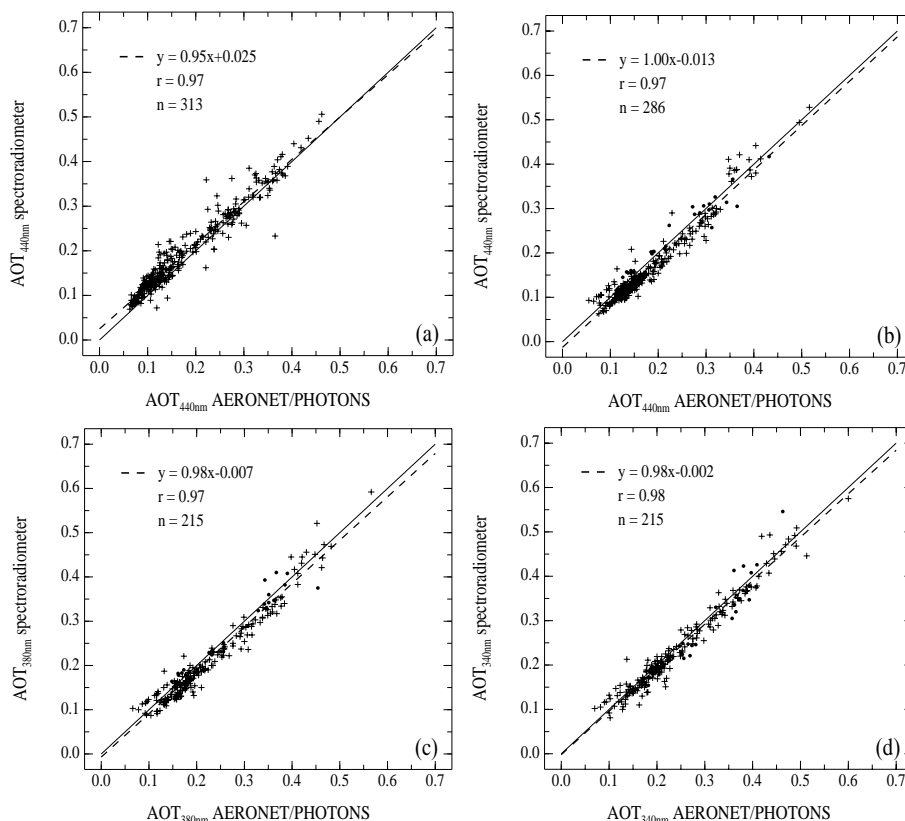


**Fig. 3.** Daily variations of AOT from the spectroradiometer and from AERONET/PHOTONS at 340 and 440 nm on 3 July 2006.

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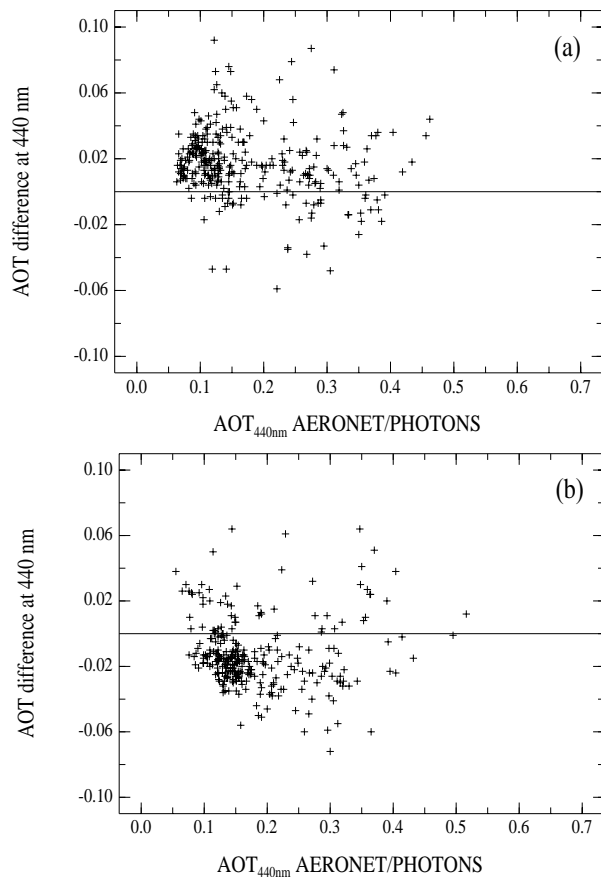


**Fig. 4.** Scatter plots of AOT from the spectroradiometer versus AOT from AERONET/ PHOTONS: **(a)** in 2003–2005 at 440 nm; **(b)** in 2006 at 440 nm; **(c)** in 2006 at 380 nm; **(d)** in 2006 at 340 nm. In b–c–d dots are for level 2.0, crosses for level 1.5. The equation of the regression line (dash line) and the correlation coefficient are indicated, the solid line is the first bisector.

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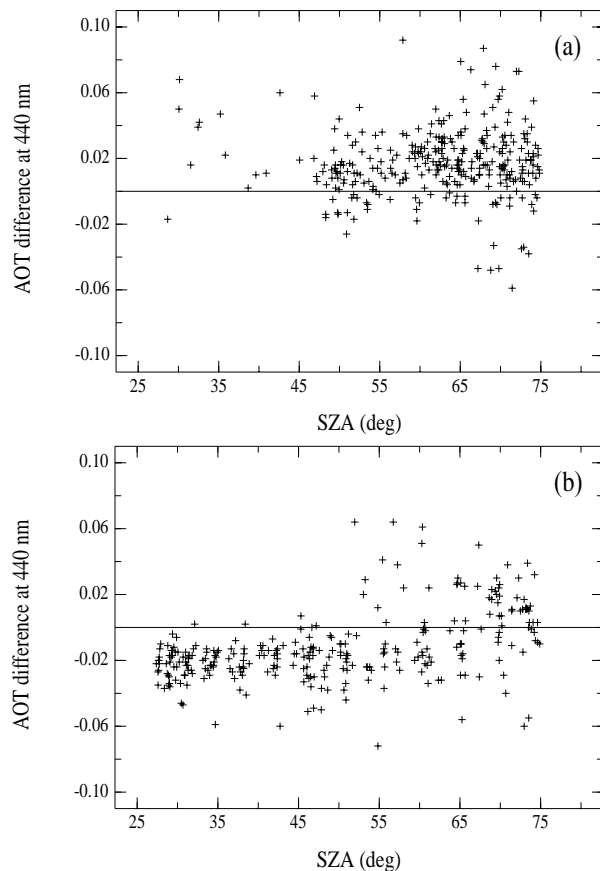
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**Fig. 5.** AOT difference (spectro-AERONET/PHOTONS) at 440 nm versus AOT at 440 nm from AERONET/PHOTONS: **(a)** in 2003–2005; **(b)** in 2006.

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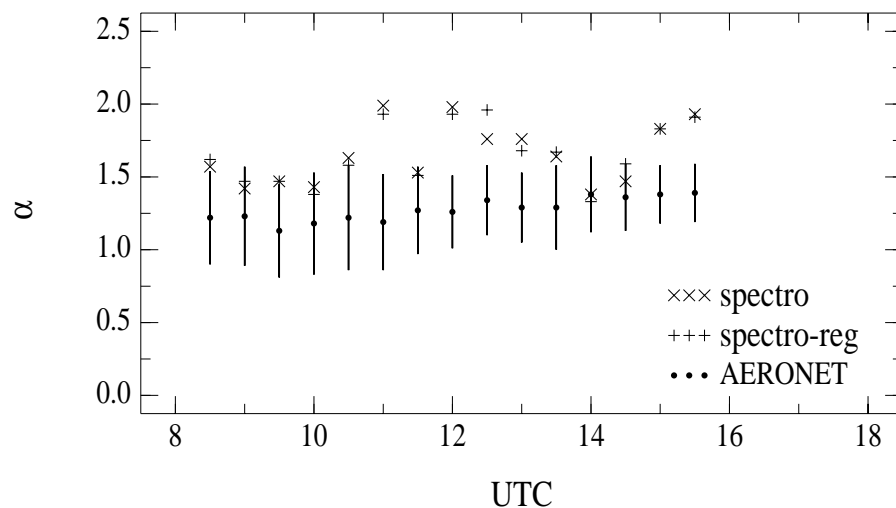


**Fig. 6.** AOT difference (Spectro-AERONET/PHOTONS) at 440 nm versus SZA in 2003–2005 (a) and in 2006 (b).

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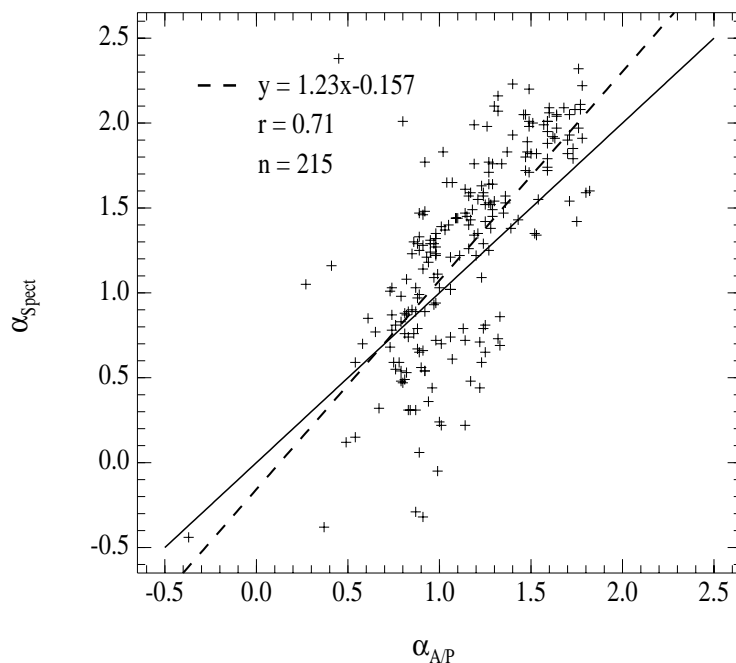


**Fig. 7.** Daily variations of the Angström exponents retrieved from the spectroradiometer's AOT at 340 and 440 nm, from the regression on the spectroradiometer's AOT in the 330–440 nm range and from AERONET/PHOTONS's AOT at 340 and 440 nm.

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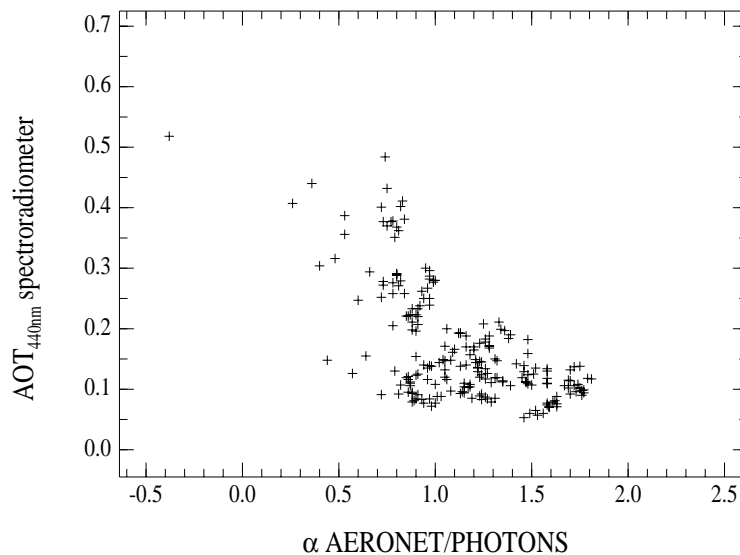
**Fig. 8.** Scatter plot of the Angström exponent derived from AOT at 340 and 440 nm for both instruments. The equation of the regression line (dash line) and the correlation coefficient are indicated, the solid line is the first bisector.

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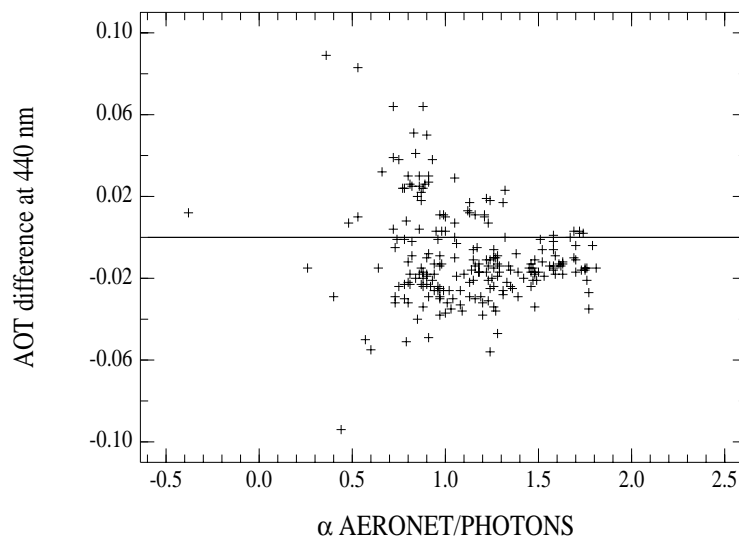


**Fig. 9.** Spectroradiometer's AOT at 440 nm versus the AERONET/PHOTONS Angström coefficient.

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**Fig. 10.** AOT difference at 440 nm (Spectro-AERONET/PHOTONS) versus the AERONET/PHOTONS Angström coefficient.

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