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Christine David, Julien Jumelet

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# Evidences of thin cirrus clouds in the stratosphere at mid-latitudes

**P. Keckhut, A. Hauchecorne, S. Bekki, A. Colette, C. David, and J. Jumelet**

Service d'Aéronomie/Institut Pierre-Simon Laplace, CNRS, Verrières le Buisson, France

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Correspondence to: P. Keckhut (keckhut@aerov.jussieu.fr)

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## Abstract

This study is devoted to the possible presence of cirrus clouds in the stratosphere. Three months of lidar data collected in the south of France (44° N) for detection of stratospheric cirrus are carefully analyzed. Most of the cirrus clouds appear to be located in the troposphere below the dynamical tropopause even when the cloud top is close to the thermal tropopause. Two cirrus are found to be unambiguously located well above the local dynamical tropopause. According to high-resolution PV advection calculations, these two clouds are observed inside air masses that originate from the tropical regions and are then transported rapidly to mid-latitudes through isentropic transport. The air mass history for one case is investigated with a 3-D trajectory model. The back-plumes indicate that the air mass, moist with respect to typical stratospheric air, was transported from the subtropical troposphere to the lowermost stratosphere in 4 days before detection above France. A continuous cooling of 5–10° along the trajectory took place during its transit. This cooling could have been partly responsible for the thin cirrus layer detected.

## 1. Introduction

The depletion of ozone just above the tropopause region has a limited influence on total ozone column trends and on UV radiation at ground level. However, the Earth's climate is very sensitive to ozone changes in this region. (Lacis et al., 1990; Ramaswamy et al., 1992). Ozone trends in the lower stratosphere at mid-latitude are notoriously difficult to establish (WMO, 2002). It is acknowledge that ozone has decreased by between 5 and 20% in this region.

The exact reasons for this decline remain unclear. Heterogeneous reactions on aerosol particles are able to destroy significantly ozone at polar latitudes due to very low temperatures prevailing there (Solomon et al., 1986). However, it is not yet clear whether the entire observed decrease at mid-latitude is directly related to the polar

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depletion (WMO, 1999). The fact that reported ozone trends in the winter spring seasons are twice those obtained for the summer autumn seasons (WMO, 1999) favors a transport contribution rather than an in-situ mechanism. In the recent years, a lot of efforts have been performed on the estimate of the potential ozone decline induced by the transport. The contribution of the transport strongly depends on the meteorological conditions and the stability of the polar vortex (WMO, 2002) and approximately an average of 40% of the observed decline of the mid-latitude ozone could be attributed to the transport of polar ozone-depleted air into mid-latitudes.

It appears that transport from polar regions cannot be responsible for all the depletion observed at mid-latitude and is not applicable to the summer and autumn trends. It is then necessary to search for other causes such as in situ chemistry.

Borrmann et al. (1996) showed that cirrus clouds might lead to heterogeneous chemistry similar to the one taking place on Polar Stratospheric Clouds and suggested that these clouds could affect the abundances of ozone. Some observational studies (Reichard et al., 1996; Roumeau et al., 2000) have found such diminutions of ozone in the presence of cirrus at both mid-latitude and tropical sites. While several studies (Stowe et al., 1989; Wylie et al., 1994; Wang et al., 1996) reported frequent cirrus clouds near the tropopause, Solomon et al. (1997) suggested that the chemistry associated to these clouds at mid-latitudes may contribute ozone depletion observed at mid-latitudes in the lower stratosphere and would permit to reconcile observed and modeled ozone trends at mid-latitudes (Meilinger et al., 2001).

Some studies have reported cirrus above the thermal tropopause (Sassen et al., 1991; Murphy et al., 1990; Wang et al., 1996). To our best knowledge, no cirrus has been shown to be clearly and unambiguously located in the stratosphere at mid-latitudes. Here we report on the search for cirrus in the stratosphere using the French lidar database acquired in south of France at Observatory of Haute-Provence (OHP). We find some observational evidence that thin cirrus can be observed high enough to be unambiguously classified as a Mid-latitude Stratospheric Cloud type (MSC).

The paper is organized as follow. We briefly present data and the methodology in

Sect. 2. Then after a short section on stratospheric cirrus detection, one case observed during the night of 20 to 21 January 2000 is described and analyzed in Sect. 4. In Sect. 5, the history of the air masse is investigated. And finally conclusions are drawn in Sect. 6.

## 2. Data and methodology description

At Observatory of Haute-Provence in France (44° N, 6° E), a program of systematic lidar soundings has been running for two decades. Despite being mainly devoted to stratospheric observations as part of the Network of Detection of Stratospheric Changes (Kurylo and Solomon, 1990), the troposphere is also investigated simultaneously with similar techniques. Clouds with optical depths, as small as 0.03, can be detected with the system because measurements are performed at night with a powerful lidar and a small field of view of the receiver. In addition, the thickness of the clouds can be accurately determined because the vertical resolution of the measurements is only 75 m. As described in Goldfarb et al. (2001), the presence of cloud is determined when the signal is greater than a threshold equal to three times the standard deviation of the scattering ratio at the cloud height.

A climatology of cirrus clouds at mid-latitudes has been derived from 3 years of lidar data (Goldfarb et al., 2001). It has shown that cirrus were present half the time and that about half of them could be classified as sub-visible cases according to the definition of Sassen (1989). Goldfarb et al. (2001) also reported that most of the cirrus were located just below the thermal tropopause (according to the WMO definition) but many of them were partly observed above the thermal tropopause. The thermal tropopause was determined from systematic radio-soundings performed at Nîmes by the French meteorological center (Météo-France), about 110 km westward from the lidar site. As a result of this separation, the temperature at the cirrus height could not expected to be accurate by less than a few K. More importantly, the thermal tropopause is not the best criteria for distinguishing tropospheric from stratospheric air. A preferred criteria is based on

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the potential vorticity (PV). When diabatic and turbulent effects can be neglected, the potential vorticity of an air parcel is conserved along its three dimensional trajectory. On a time scale of few days, it is almost the case in the stratosphere. A threshold value of 1.6 PVU (PV units) for the tropopause has been defined by WMO (1986) and Hoerling et al. (1991) suggest to consider 3.5 PVU threshold value. So instead of considering tropopause as a sharp transition, a smoother transition zone can be defined by these two PV thresholds.

Due to the need for a PV estimate, collocated with cloud observations, a three-dimensional high-resolution PV advection model called MIMOSA (Modèle Isentropique de transport Méso-échelle de l'Ozone Stratosphérique par Advection) is used to derive the PV profile above the OHP station (Fig. 1). The model is forced by ECMWF daily analyses. The advection of PV takes place on isentropic surfaces. PV is also relaxed towards ECMWF analyses with a time constant of 10 days. The advection scheme is semi-Lagrangian. More details on the model can be found in Hauchecorne et al. (2002). The ability of MIMOSA to describe small-scale-structures through the advection of PV as a quasi-passive tracer has already been demonstrated in the upper troposphere – lower stratosphere domain (Hauchecorne et al., 2002; Heese et al., 2001). A typical PV profiles depict small values (around or smaller than 1 PVU) for potential temperature below a 330 Kelvin (approximately 12 km) and then, due to the static stability of the stratosphere, exhibit a rapid and monotone increase with values around 8 PVU at 400 Kelvin (16 km).

In order to determine the geographical origins and the thermal history of air masses, reverse plume dispersion calculations are performed using the FLEXPART model (version 5.1) that is extensively described in Stohl (1998). The trajectory model is driven by ECMWF ERA40 reanalysis with 1° horizontal resolution, 60 vertical levels and 3 h time resolution (ECMWF, 1995). The code permits to advect large plumes of passive tracer by reverse non-isentropic three dimensional transport including parametrization of sub-grid scale orographic processes and convection following the formulation of Emanuel and Zivkovic-Rothman (1999) designed for improving convection in tropi-

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cal region. Even though simulation is initialized in the stratosphere, convective scheme was turned on since, as preliminary analysis suggested, a tropical origin is suspected for air masses of interest. A last specificity of Flexpart consists in accounting for stochastic fluctuation by solving Langevin equations in the plume dispersion modelling (Stohl and Thomson, 1999). The transport calculations by FLEXPART is expected to be slightly more reliable the isentropic transport by MIMOSA because the trajectories are 3-D (cross-isentropic transport is accounted for). It also includes a random component for a representation of the effect of turbulence that permits to reproduce more realistically the spreading of cluster trajectories.

### 3. Stratospheric cases identification

During the first three months of 2000, 58 nights of lidar operations were conducted, and cirrus clouds were observed on 27 nights. A similar frequency of occurrence was obtained on a larger lidar data set (Goldfarb et al., 2001). Ten cirrus, among all the 27 detections, were found to be located in the stratosphere when the tropopause is calculated according to the thermal gradient. However, only two of them are found above the dynamical tropopause defined as 1.6 PVU surface calculated from MIMOSA fields. This corresponds to a frequency of occurrence of 3–4% for stratospheric ice clouds. Some sharp horizontal gradients (Fig. 3) can be noted that may explain the disagreement with the previous estimates of the location of the cirrus according to the thermal tropopause (Goldfarb et al., 2001).

### 4. Case study

We now focus our attention on the highest cirrus. During the night of 20 to 21 January, a thin cloud (Fig. 2) was detected between 13.5 and 13.9 km (respectively 367 to 374 K) from 20:50 to 22:00 UT above OHP. Another cirrus is present at lower altitude (8–10 km)

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in the troposphere during a longer period with a mean backscattering ratio of nearly a magnitude larger than the upper cloud that exhibited a mean backscattering during the whole period of 1.5. The thermal tropopause is at 11.1 km or in potential temperature at 325 K (estimated from the radiosonde profiles).

5 The PV field shows a very disturbed situation (Figs. 3, 4). The PV profile above OHP at midnight on 20 January, indicates a threshold value of 1.6 PVU at 310 K (Fig. 1). A fine structure of tropospheric air centered at 325 K (see Fig. 3) is noticeable above this level and then the PV profile crosses again the 1.6 PVU threshold at 332 K. If the upper limit (3.5 PVU) proposed by Hoerling et al. (1991) is used instead then the potential  
10 temperature covered by the tropopause region can extend as high as 340 K.

This cloud appears to be clearly well above the tropopause whatever the definition of it. Around the altitude of the cloud a slight PV anomaly can be noticed suggesting a possible origin from a region close to the tropopause or even the troposphere. Nonetheless, the PV themselves within the anomaly remains large and characteristic  
15 of stratospheric conditions. The cloud seems to be located in the upper part of the PV anomaly. This might be due to the fact that the temporal coincidence is not perfect (PV profile at midnight, cirrus detected between 20:50 to 22:00). The meridional section of the PV shows that this structure extends to up to 400 K (Fig. 3).

20 The anomaly is caused by a laminae structure that forms about a week before, and passes over the lidar location during the night of 20 to 21 January. This structure found its origin in the tropical area. The structure appears clearly more than 5 days before detection above OHP and its development can be decomposed in the model simulations day after day with a twisted motion on the isentropic plan before being observed above France. It then moves southward, out of France and dissipates (at  
25 at least in the MIMOSA model).

Plume dispersion computation calculated using a different advection tool, the FLEXPART model, show also that air masses are first coming from England after crossing the Atlantic northward from the Bermudes. The FLEXPART simulation is initialized by releasing 20 000 air parcels in 20 January 2000, uniformly spread between 18:00 and

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24:00 UT within a spatial box of  $10^\circ$  in longitude  $5^\circ$  in latitude centered on the OHP station ( $43^\circ 56' N$ ,  $5^\circ 42' E$ ), and within an altitude range from 12 and 16 Km above sea level (Fig. 5). A large domain was initially chosen to take into account possible drifts of the advection caused by non-perfect meteorological analyses. Among these parcels, about 3604 are released at the altitude of the observed cirrus (13.5 to 13.9 km) including 310 that are coming from the troposphere.

The length of the trajectory and the time for transit from the troposphere up to the stratosphere above OHP, are in good agreement with the statistical study of Fueglistaler (2004) based on ECMWF trajectories. The analysis shows median length of several tenth thousand kilometers from Africa and South America with a residence time around the 360 K level of few days.

The origin of the subset of air masses having encountered the troposphere during the 6 previous days has been identified on FLEXPART simulations and compared with the initial volume of individual air parcels (Fig. 5). The most peculiar feature of this plume structure is its thin filament-like pattern very similar to the MIMOSA pattern although initial air parcel emission was done within a broader rectangular spatial domain for  $10 \times 5^\circ$ .

## 5. Air mass history

The envelope of the altitude of the air parcel reveals that corresponds to the subset of cases exhibiting an origin at altitudes lower than the tropopause (Fig. 6). Tropopause definition in Flexpart relies on a thermal definition equatorward of  $20^\circ$  and a dynamical one poleward of  $30^\circ$  with linear interpolation of both definition between these two limits (James et al., 2003). Tropopause was crossed 3–4 days before the cloud observation as shown on Fig. 6 where air masses were advected northward and eastward towards England.

In addition to the cloud occurrence statistic, a lot of uncertainty remains about the formation and persistence of the MLC. With a single measurement, it is difficult to

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know if ice crystals were transported or if moist air froze as air crossed the cold point. Holton and Gettelmen (2001) pointed out the importance of horizontal motion for cloud formation and dehydration in the TTL, while Jensen et al. (2004) mentioned that the cloud lifetime along the trajectories into mid-latitude regions are typically no longer than a or two days.

In our case, while air masses enter the stratosphere, temperatures continuously decreases (Fig. 6) by 5–10°. The air laminae, is expected to be humid with respect to the surrounding stratospheric air because of its subtropical tropospheric origin. This moist air may freeze into ice crystal as temperature drops. At the same time, this air parcel would be losing its integrity during transit due to mixing processes. At the cloud altitude, water vapor, given by the meteorological analyses, are not reliable enough to calculate ice saturation level.

It is worth pointing out that the ozone levels in this air mass must have been low because of its tropospheric origin. Unfortunately, no ozone measurements were made on that day.

## 6. Discussion and conclusions

This observational study shows that mid-latitude Stratospheric Clouds can exist under certain conditions. The mechanism of isentropic transport of subtropical tropospheric air into the mid-latitude stratosphere is not new and is an evident source of moist air into the stratosphere. The significance of this source cannot be assessed from this case study. The data set analyzed here is too small to have a reliable estimate of the occurrence of such clouds that form within air masses transported from the upper tropical troposphere.

This case is a good illustration of air transport from the troposphere to the stratosphere through what Sherwood and Dessler (2000) termed the TTL (Tropical Tropopause Layer) or sub-stratosphere (Thuburn and Craig, 2002). This layer is delimited by the level of zero net radiative heating (350 K), and the highest level reached

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by convection (420 K). The main conceptual idea for the entry of air from the stratosphere is the Brewer-Dobson circulation. This case (as many other) shows that this happens through fine structures of respectively few kilometers vertically and section of few hundred of kilometers that can extend over thousand kilometers that are likely to be irreversible. This observation provides a good support of the statistic analysis of Fueglistaler (2004) that predicts the transport of moist air from the tropical tropopause to the stratosphere based on meteorological analyses.

The presence of cirrus clouds in the lowermost stratosphere does not imply that the heterogeneous chemistry operating on them is significant for the ozone budget as speculated by Solomon et al. (1997). First, tropical upper tropospheric air contains very low amounts of chlorine and bromine. Second, mixing with the surrounding air is required to allow an efficient chemical processing of large volumes of stratospheric air. This situation is less favorable for ozone destruction, than the polar situation where large volumes of stratospheric air can be processed by the usually stationary polar stratospheric clouds, with air flowing through them. The persistence of those thin clouds is uncertain. On the other hand, these thin moist structures that penetrate the stratosphere offer large surfaces of contact with stratospheric ozone rich air on the edge of filamentary structures on regional and planetary scale. More data are required to quantify the occurrence of such clouds and the exact surface of contact.

The cirrus formation through subtropical moist tropospheric air can be an efficient mechanism for water vapor transport and dehydration of the TTL. Cirrus formation must be taken into account to explain water vapor distribution in the lower stratosphere.

Data from space as those available by CALIPSO should offer a global view and allow a better characterization of the history of the clouds as soon as they exhibit optical depth sufficiently large for detection.. OHP database will continue to be analyzed with special attention on cases when simultaneous ozone and water vapor lidar measurements are available.

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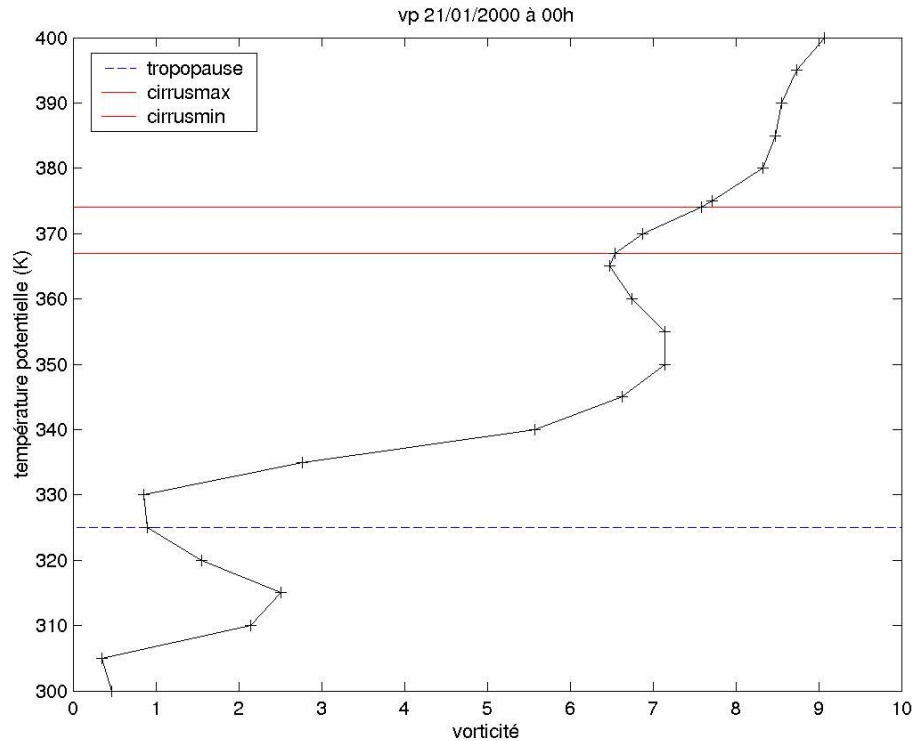
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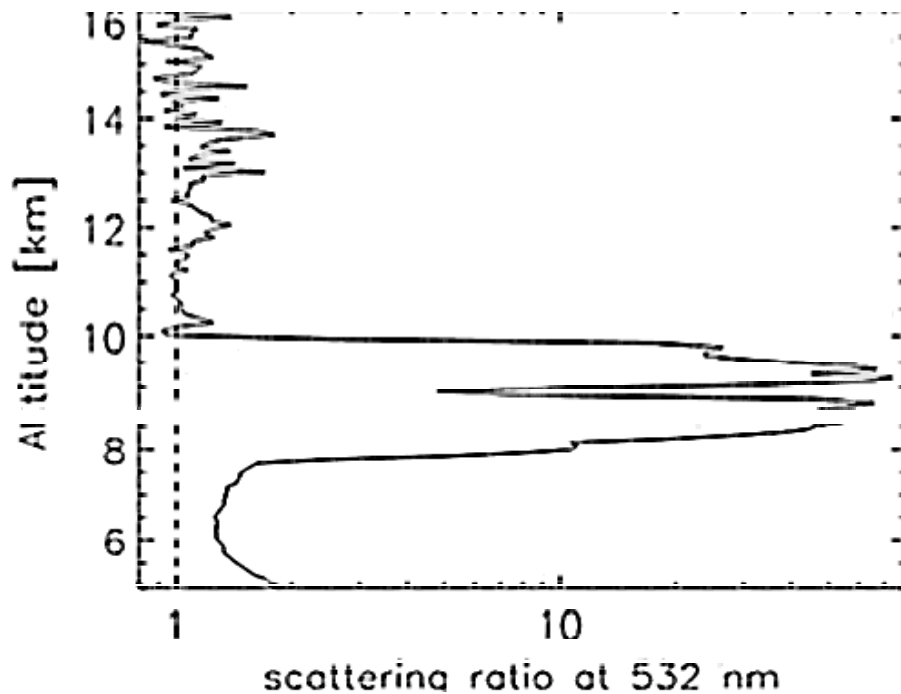


**Fig. 1.** Vertical profile of the potential vorticity for January 20 at midnight. The top and bottom heights of high altitude cloud around 370 K are reported and the level of the thermal tropopause around 325 K is indicated with a dashed line.

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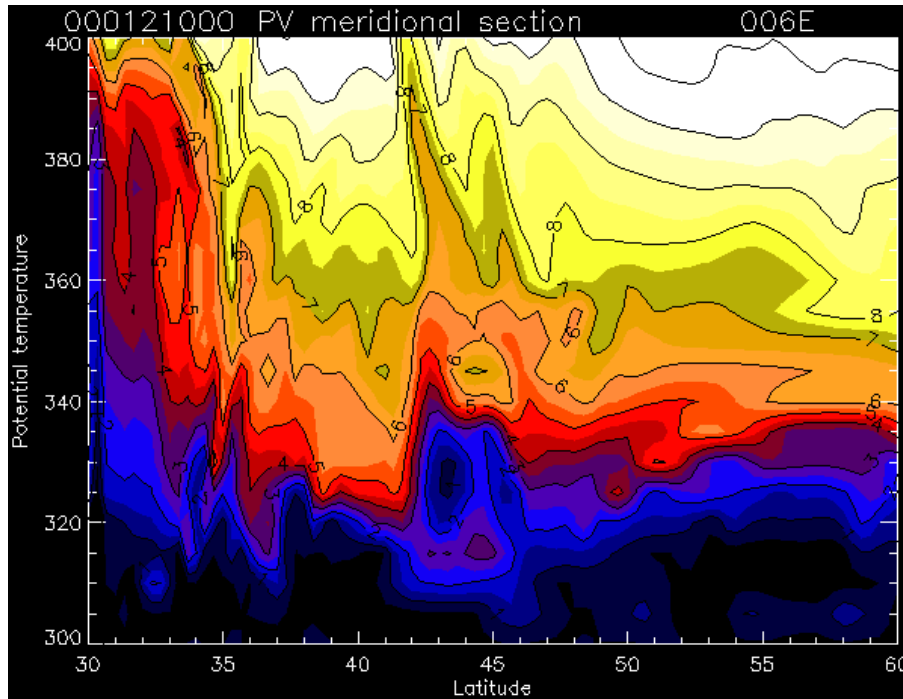
**Fig. 2.** Mean vertical backscattering ratio profile obtained with the lidar at OHP from 20:50 to 22:00 UT on 20 January 2000.

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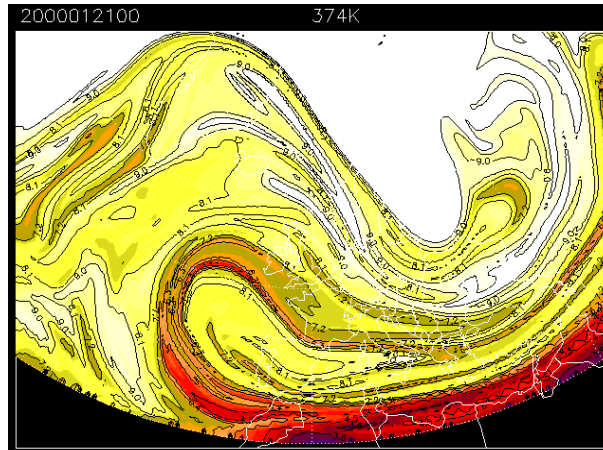
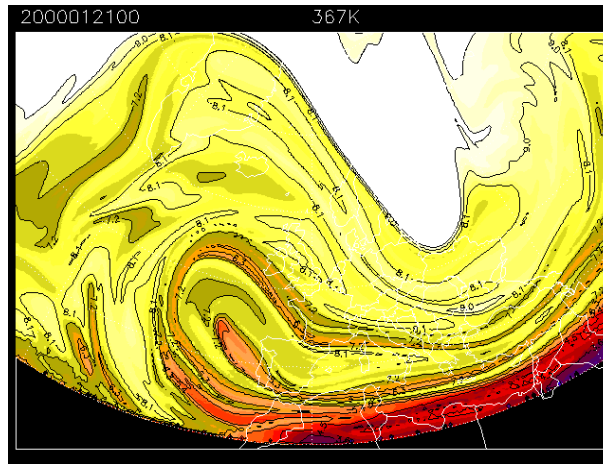


**Fig. 3.** PV meridional section, for latitudes from 30 to 60° N, at the OHP longitude, as deduced from the MIMOSA model for 20 January at midnight. The vertical structure is given for potential temperature from 300 to 400 K. The light-blue-purple colors correspond to air associated to the tropopause region, while orange yellow white colors indicate large PV values associated to stratospheric air.

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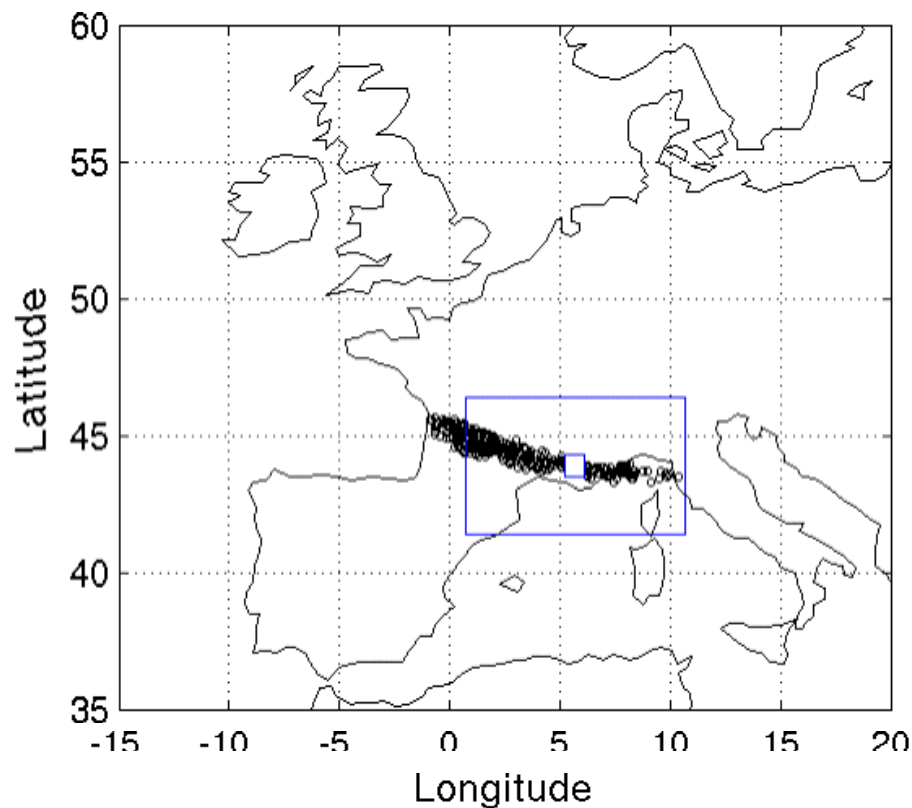
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**Fig. 4.** PV map on a geographical sector including Europe. The potential vorticity is given for potential temperature corresponding to the top (374 K) and the bottom (367 K) part of the cloud.

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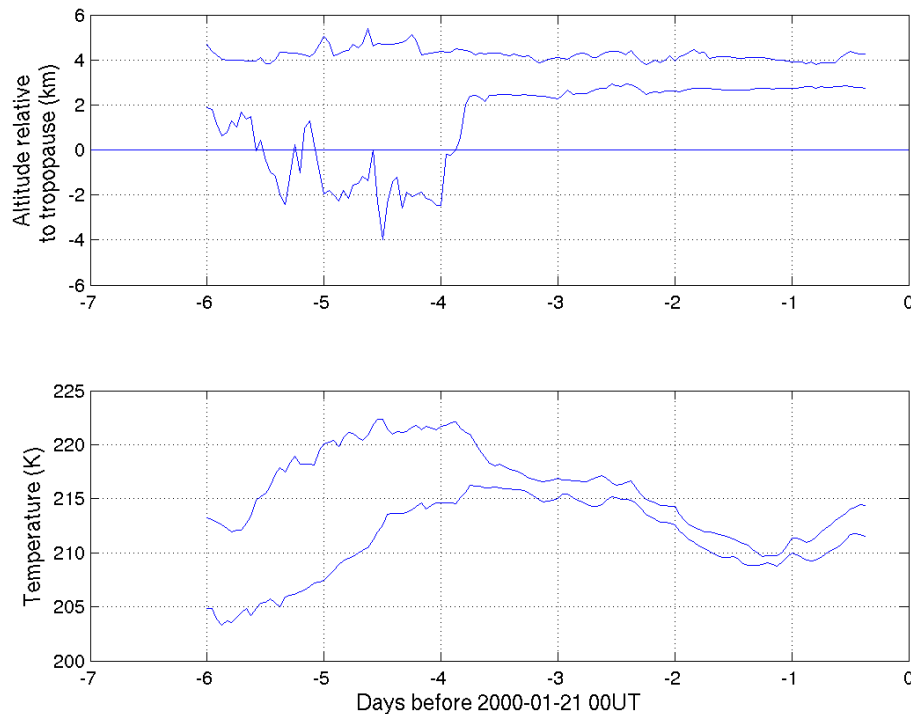
**Fig. 5.** Geographical position on 20 January 2000 at 18:00 of air parcels released at the altitude of the cirrus and that have gone below the thermal tropopause (backwards in time). The rectangle box corresponds to the spatial domain where back-trajectories were initialized.

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**Fig. 6.** Envelopes of altitude relative to the tropopause (top panel), and of the corresponding temperatures (bottom panel), for a cluster of air back-trajectories, starting from OHP on 20 January at night. Simulations are based on meteorological analyses and FLEXPART simulations.

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