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# Sampling of an STT event over the Eastern Mediterranean region by lidar and electrochemical sonde

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Abstract. A two-wavelength ultraviolet (289–316 nm) ozone Differential Absorption Lidar (DIAL) system is used to perform ozone measurements in the free troposphere in the Eastern Mediterranean (Northern Greece). The ozone DIAL profiles obtained during a Stratosphere-to-Troposphere Transport (STT) event are compared to that acquired by an electrochemical ozonesonde, in the altitude range between 2 and 10 km. The measurement accuracy of these two instruments is also discussed. The mean difference between the ozone profiles obtained by the two techniques is of the order of 1.11 ppbv (1.86%), while the corresponding standard deviation is 4.69 ppbv (8.16%). A case study of an STT event which occurred on 29 November 2000 is presented and analyzed, using ozone lidar, satellite and meteorological data, as well as air mass back-trajectory analysis. During this STT event ozone mixing ratios of 55-65 ppbv were observed between 5 and 7 km height above sea level (a.s.l.). Stratospheric air was mixed with tropospheric air masses, leading to potential vorticity (PV) losses due to diabatic processes. The ozone DIAL system can be used for following STT events and small-scale mixing phenomena in the free troposphere, and for providing sequences of vertical ozone profiles in the free troposphere.

**Keywords.** Atmospheric composition and structure (Evolution of the atmosphere; Instruments and techniques) – Meteorology and atmospheric dynamics (Middle atmosphere dynamics; Turbulence)

# 1 Introduction

Ozone plays a significant role in the gas-phase chemistry of the troposphere as an important oxidant and as a major precursor of the hydroxyl (OH) radicals, which in turn act as removal agents, through oxidation, for a large number of trace gases in the troposphere. In addition, ozone influences the radiative balance of the troposphere, since it is a strong absorber in the infrared and the ultraviolet part of the spectrum (Roelofs et al., 1997). The recent increase in the tropospheric ozone concentration, at both of the Earth's hemispheres, is of major concern to the scientific community worldwide (Bojkov, 1986; Logan, 1994; De Muer et al., 1995; Ancellet and Beekmann, 1997; Oltmans et al., 1998; Trickl et al., 2003; Wild et al., 2004; Lazaridis et al., 2005). Tropospheric ozone has its origin both in the stratosphere through Stratosphere-to-Troposphere Transport (STT) events and in the troposphere through photochemical processes. In the latter, ozone formation results from oxidation of carbon monoxide, nitrogen oxides and hydrocarbons (Fishman and Crutzen, 1978; Brasseur et al., 1999).

The most common occurrences of STT events in the extratropics are associated with tropopause folds (Danielsen, 1968; Davies and Schuepbach, 1994; Kentarchos et al., 2000; Kowol-Santen and Ancellet, 2000; Bertin et al., 2001; James et al., 2003). Although in principle the air remaining in the fold in the upper troposphere re-enters the lower stratosphere towards the jet exit region, the stretching of stratospheric intrusions to ever finer scales and a deep descent into the troposphere leads to irreversible transport as the stratospheric air becomes mixed with the surrounding air. Mixing has been attributed to turbulence, which can destroy the filaments physically, and to radiation, which can dissolve the PV anomalies and impact the occurrence and strength of the turbulence (Forster and Wirth, 1999). Furthermore, fragmentation of the intruded filaments into a train of stalactite features is an irreversible process that isolates each feature on an isentropic surface and thereby provides the time and the surface area of the interface between the two air masses for effective subsequent mixing (Vaughan et al., 1994; Appenzeller et al., 1996). Hence, deep and intense intrusions of stratospheric air penetrating down to lower tropospheric levels or even to the surface are important for stratosphere-to-troposphere transport and they are more relevant than the shallow ones for atmospheric chemistry as they lead to composition changes (Stohl et al., 2003; Zanis et al., 2003). Therefore, it is of crucial importance to study the tropospheric ozone variability with increased spatial and temporal resolution over extended measuring periods.

The DIAL technique is the most versatile to monitor the tropospheric ozone vertical profile variability with high spatial (<300 m) and temporal (<15 min) resolution (Papayannis et al., 1990), thus allowing a new insight into relatively small-scale mixing phenomena associated with exchanges between the troposphere and the stratosphere (Browell et al., 1987; Ancellet et al., 1991, 1994; Beekmann et al., 1997; Ravetta and Ancellet, 2000; Vaughan et al., 2001) or between the Planetary Boundary Layer (PBL) and the adjacent free troposphere (Papayannis, 1989; Ancellet and Ravetta, 2005). Ozone vertical profile measurements in the free troposphere and the lower stratosphere using the differential absorption lidar (DIAL) technique have been performed during the last twenty years, mostly in the Northern Hemisphere, either from ground-based or airborne platforms (Pelon and Mégie, 1982; Browell et al., 1987; Papayannis, 1989; Ancellet et al., 1991; Kempfer et al., 1994; Eisele et al., 1999; Guirlet et al., 2000; Galani et al., 2003; Zanis et al., 2003; Ancellet and Ravetta, 2005). The recent use of solid-state or Raman-shifted DIAL systems offers the possibility to work on a fully operational mode, continuously, over several days (Eisele et al., 1999; Zanis et al., 2003), as well as for longterm ozone monitoring in the troposphere (Beekmann et al., 1994a).

The purpose of this paper is to present a case of sampling of an STT event and the corresponding mixing of stratospheric with tropospheric air over the Eastern Mediterranean region, by using the ozone DIAL system of the Aristotle University of Thessaloniki (AUTH) in the frame of the STAC-CATO project (Stohl et al., 2003). The ozone lidar profiles obtained during the STT event are compared to that acquired by an Electrochemical Concentration Cell (ECC) attached to a free-flying balloon. A major part of the accuracy assessment of the ozone DIAL measurements has been previously presented by Papayannis et al. (1990), while the accuracy of the ECC ozone measurements has already been analytically addressed by Beekmann et al. (1994b) and by Beekmann et al. (1995). Therefore, in this paper we address the measuring accuracy of both ozone profiling techniques only very briefly.

In Sect. 2, both ozone profiling measurement techniques (DIAL and ECC-sonde) are presented and a short error description is given. Section 3 presents the intercomparison results between ozone DIAL and ECC measurements. In this section the differences observed in the ozone measurements are presented and discussed with respect to the instrumental error sources. Section 4 presents a case study analysis of an STT event which occurred over Thessaloniki, Greece on 29 November 2000, during the STACCATO project. Finally, Sect. 5 presents a discussion and our concluding remarks.

# 2 Instruments description and measuring accuracy

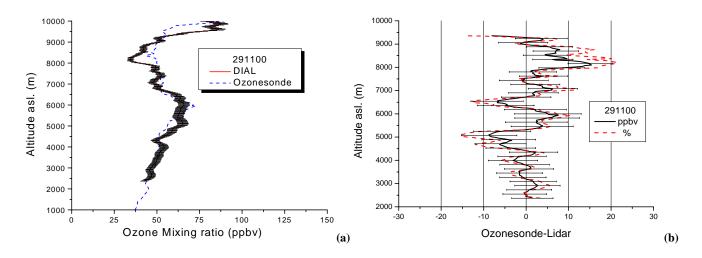
### 2.1 The UV DIAL system

The ultraviolet DIAL system was implemented in 1999 at the Laboratory of Atmospheric Physics (LAP) of AUTH, located in Thessaloniki (22.9° E, 40.5° N) and is described by Papayannis et al. (1999). The system is able to perform ozone measurements in the free troposphere (2-12 km) with a high temporal (20-40 min) and spatial (~300-1000 m) resolution, mainly during nighttime conditions. The DIAL system is based on a frequency quadrupled Nd:YAG laser (266 nm) and subsequent stimulated Raman shifting in a low-pressure deuterium  $(D_2)$  cell (Ancellet et al., 1989). Thus, the emitted laser beams, at a 10 Hz repetition rate, have energies of 12 mJ and 8 mJ at 289 nm and 316 nm, respectively. The backscattered laser radiation is collected by a 500-mm diameter Newtonian telescope and the wavelength separation is performed by a Czerny-Turner flat grating (4960 lines/mm) spectrometer. The telescope's field-of-view (1-mrad full angle) permits correct ozone profile measurements to be performed only at altitudes higher than 2 km a.s.l., due to the incomplete overlap of the telescope's field-of-view with the emitted laser beam.

The retrieval of the tropospheric ozone profile is based on the DIAL equation (Papayannis et al., 1990), which takes into account both statistical and systematic errors, occurring during the signal acquisition and processing. Previous studies (Papayannis et al., 1990; Beekmann et al., 1994b) have analytically presented these two error sources, as well as the relevant instrumental errors. These errors typically include the electronic noise of the detectors, the possible misalignment of the optical system, the temporal variability of the laser output energy and that of the atmospheric optical thickness. Based on this analysis and using a similar DIAL system design and after data averaging and filtering (in order to increase the signal-to-noise (SNR) ratio to higher than 3), the estimated ozone-DIAL measurement precision is of the order of 5% at 6 km and of the order of 10% at 12 km for a typical integration time of 1 h. The lidar data set was smoothed using a running least-squares fit to a second-order polynomial, thus the resulting spatial resolution on the retrieved ozone profile was kept close to 150 m at 3 km height, to 300 m at 6 km height and to 1000 m at 12 km height.

# 2.2 ECC-sonde

In this ECC-sonde DIAL intercomparison, which is a common practice during the last ten years (Ancellet et al., 1989; Beekmann et al., 1994b; Kempfer et al., 1994; Beekmann et al., 1995; Grabbe et al., 1996; Eisele et al., 1999; Vaughan et al., 2001; Ancellet and Ravetta, 2003), a Vaisala ECC5A ozonesonde was used to measure the vertical profile of ozone in the troposphere. The ECC-sonde was flown together with a radiosonde (RS80-Vaisala, Finland) used for the measurements of the vertical profile of the main meteorological parameters (pressure, temperature and relative humidity). The



**Fig. 1.** (a) Ozone vertical profile measured by the AUTH DIAL system (solid line) and by the ECC-sonde (dashed line) on 29 November 2000 at 18:00 UT over Thessaloniki. (b) The relative difference between the ozone profiles derived from ozonesonde and lidar, as a function of altitude (in ppbv and %) on 29 November 2000 at 18:00 UT over Thessaloniki.

RS80-15 radiosonde was flown by the Hellenic National Meteorological Service (HNMS) at the "Makedonia" Airport, located 15 km away from the lidar site. Prior to flight the ECC-sonde was thoroughly tested and calibrated according to the procedure described by Komhyr (1986). Following the SPARC review (WMO, 1998) the whole ECC-sonde ozone profile (both stratospheric and tropospheric one) was normalized by the total ozone column value measured by a Brewer spectrophotometer located at the AUTH lidar station. This normalization (or correction) factor, given as the ratio of the total ozone value derived by the Brewer instrument to that measured by the ozonesonde, was found equal to 1.02. This correction factor (CF) is normally used as a quality criterion of the ECC sonde data and may range from 0.9 up to 1.15 (Logan et al., 1994, 2001). If the CF value differs from unity (e.g. by more than 5%), its application may be not appropriate for the tropospheric part of the profile and may distort its shape. Therefore, the CF has to be applied to the whole ozone profile and after all altitude-dependent corrections have been applied (WMO, 1998). In order to derive the sonde total ozone value, one needs to estimate the residual ozone column above the bursting point of the sonde. This is achieved by fitting a mid-latitude standard ozone profile (U.S. Atmosphere, 1976) to the ozone concentration value measured at the maximum reached altitude (Beekmann et al., 1994b).

Another important error in ECC-sondes measurements is the error related to the altitude restitution. The response time of the ECC-sonde is reported to be 20–30 s (Komhyr, 1969), which means that for a typical ascent velocity of 5 m/s, the effect of the response time is equivalent to a mean altitude shift of about 125 m. Following Beekmann et al. (1994b) and considering a positive gradient of ozone of  $0.08 \times 10^{12}$  mol. cm<sup>-3</sup>km<sup>-1</sup> and a mean ozone concentration of  $0.8 \times 10^{12}$  mol. cm<sup>-3</sup>(e.g. in the altitude region 3–6 km a.s.l.), then an altitude shift of 125 m yields an  $\sim$ 1% ozone underestimation. In the contrary, considering a negative gradient of ozone of  $-0.03 \times 10^{12}$  mol. cm<sup>-3</sup>km<sup>-1</sup> and a mean ozone concentration of  $0.9 \times 10^{12}$  mol. cm<sup>-3</sup>(e.g. in the altitude region 6-9 km a.s.l.), then an altitude shift of 125 m yields an  $\sim 0.4\%$  ozone overestimation. Errors related to the preparation of sondes and errors occurring during the flight induce an additional systematic error, thus allowing the precision of ozone measurements by the ECCsondes to be of the order of  $\pm 3\%$  up to the upper troposphere (Beekmann et al., 1994b). Also taking into account the error arising from the incorrect estimation of the background current of the ECC-sonde in the troposphere (up to 6-7% at 400 hPa) an overall precision of the order of 10% has been finally attributed to the ECC-sonde measurement throughout the whole troposphere (Beekmann et al., 1995; Komhyr et al., 1995).

#### **3** Ozone profiles intercomparison

The ozone profiles' intercomparison between the ECC-sonde and the DIAL system took place on the evening (18:00 UT) of 29 November 2000 over the city of Thessaloniki, Greece. Averaging time of the lidar profile was of the order of 60 min (corresponding to 36 000 laser shots), the last 50 min of which coincided with the ECC-sonde measurements. The spatial resolution of the retrieved lidar ozone profile was kept close to 150 m at 3 km height, to 300 m at 6 km height and to 1000 m at 12 km height. The maximum height reached by the lidar was 10 km. The ozone profile intercomparison is given in Fig. 1a, where the ozone mixing ratio is expressed in ppbv, as a function of the geometric altitude a.s.l. which is given in m. The error bars on the lidar ozone profile, taking into account both statistical and systematic errors, are also shown (they refer to a confidence level of 68% (1 $\sigma$ )). The estimated precision of the ECC-sonde data is of the order of 10% throughout the whole troposphere.

The relative difference between the ozone profiles derived from the ozonesonde and the lidar, as a function of altitude, expressed in ppbv and in %, is presented in Fig. 1b. The error bars (at a confidence level of 68%  $(1\sigma)$ ) on the relative difference ozone profile in ppbv are also shown.

The general agreement between both ozone profiles is generally quite good, as the relative difference between the ozone profiles generally stays within the  $\pm 20\%$  limit from 2 km up to 10 km height. The mean difference between the ozone profiles obtained by the two techniques is of the order of 1.11 ppbv (1.86%), while the corresponding standard deviation is 4.69 ppbv (8.16%), indicating that there is no systematic bias for the lidar system. The largest differences (from -8 to 15 ppbv or from -15 to 20%) occur around 5 km, 6.6 km, 8.2 km and 9.5 km height, which may be explained by: i) the lower vertical resolution of the lidar system compared to the higher one of the ECC-sonde (Komhyr, 1969) (e.g. at 6 km the height resolution of the lidar data is 300 m and that of the sonde data is of the order of 100 m), as was previously discussed, ii) the fact that the two instruments probe different air masses, and iii) the different way in which the lidar and ECC-sondes probe the atmosphere (the lidar profile is a time average of instantaneously measured vertical profiles over 1 h and the sonde profile is a sequence of single data points).

The sonde data (Fig. 1a) also show a small sharp peak of 70 ppbv, around 6 km height and a minimum of 45 ppbv around 8.5 km height, while the lidar profile shows a much broader maximum (minimum) between 4.5 km and 6.8 km (between 8 km and 9 km). As it is shown in the next section, the ozone peak around 6 km height is related to an STT event. We also have to mention that concurrent aerosol lidar measurements at 355 nm performed over Thessaloniki did not show significant aerosol loads over 3 km height, thus no aerosol correction was applied to the retrieved ozone DIAL profiles (Papayannis, 1989).

As previously discussed, structures of the ozone vertical profile are quite well reproduced by the lidar technique. However, we have to note that the ozone profile measured by the lidar does not fully resolve all fine structures, which are visible in the ECC-sonde derived profile. This may be explained, as discussed earlier, by the higher spatial resolution of the ECC-sonde measurements compared to that of the lidar measurement, as well as by the possibility that the two instruments did not sample the same air masses, since the ECC-sonde was launched some 15 km away from the lidar site. If we take into consideration the measuring accuracy (error bars), as well as the range resolution of both techniques, we may conclude that the general agreement between the two techniques remains quite good up to the altitude of 10 km height. Therefore, as a conclusion, the intercomparison of the two techniques was proven to be quite successful.

#### 4 Case study analysis of an STT event

Intrusion of stratospheric air into the troposphere occurs during tropopause folding events and is associated with upperlevel frontogenesis in the upper polar (and to a lesser extent in the arctic and in the sub-tropical) jet stream region and with rapid surface cyclogenesis (Reed, 1955; Danielsen, 1968). On the other hand, cutoff lows (COL) (i.e. isolated regions of closed geopotential contours in the upper-level flow), which are also responsible for STT events, usually form when an upper air trough extends equatorwards far enough, so that a closed cyclonic circulation forms at its tip. COLs are often associated with blocked patterns (Hoskins et al., 1985; Kentarchos et al., 1998). In order to decide whether a case study represents an STT event or not, specific criteria have to be applied. These criteria are based on the fact that stratospheric air has certain characteristics, which can be used for its detection in the troposphere.

For instance, stratospheric air is characterized by high potential vorticity (PV) values  $(1 PVu=10^{-6} \text{ Km}^2 \text{ kg}^{-1} \text{ s}^{-1})$ . Tropopause threshold values reported in the literature range from 1 PVu (Danielsen, 1968) to 3.5 PVu (Hoerling et al., 1991), since they are also dependent on the geographical location of the sampling. A threshold value of 1.5 PVu is often used (e.g. Shapiro, 1980; WMO, 1986) to distinguish stratospheric from tropospheric air masses. In the absence of frictional and/or diabatic effects, PV is well conserved by individual air parcels and therefore can be used as a dynamical stratospheric tracer (Davies and Schuepbach, 1994). However, tropopause folds are known to be likely regions for the occurrence of turbulence and/or diabatic processes that lead to non-conservation of PV. Therefore, PV values within the remnants of a tropopause fold ranging from about 0.5 to 1.5 PVu, are indicative of recent (within a few days) mixing of stratospheric and tropospheric air or other diabatic effects, such as radiative erosion of PV.

Within a stratospheric intrusion, dry air penetrates into the troposphere and hence water vapor (relative or specific humidity) may also be used as a stratospheric tracer (Appenzeller and Davies, 1992; Gray et al., 1994). In our case study analysis we use the PV and the relative humidity (RH) fields, although the latter under certain circumstances may only be an indicator of stratospheric air entering the upper troposphere. The PV maps are presented on the 315 K isentropic surface to indicate the extent of streamers over the E. Mediterranean region. Our case study analysis also makes use of total ozone data derived by the High Resolution Infrared Radiation Sounder (HIRS), which is one of the three instruments forming NOAA's TIROS Operational Vertical Sounder (TOVS). Vertical cross sections of PV, RH and potential temperature (south-north and east-west direction) over Thessaloniki, provided by the European Center for Medium-Range Weather Forecasts (ECMWF), are also used to characterize the vertical extent of the STT over the lidar site. Finally, a 3-D air mass back trajectory analysis, using the trajectory model FLEXTRA (Stohl et al., 1995) based on ECMWF data analyses, is presented to indicate the

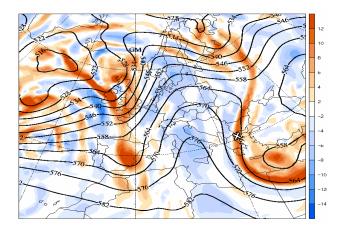
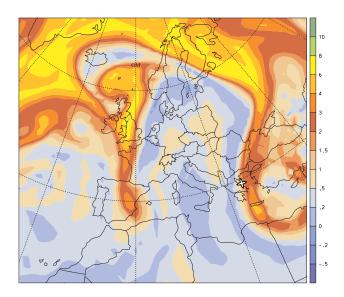


Fig. 2. The ECMWF 500-hPa geopotential height chart (black lines) over Europe on 29 November 2000 at 18:00 UT (Thessaloniki is marked by X). The colour contours correspond to the relative vorticity fields (in  $10^{-5}$  s<sup>-1</sup>).

origin of the air masses probed by the lidar. The spatial step is 100 hPa, from 200 to 800 hPa height region, and the corresponding time step is 6 hours (Stohl, 1998; Stohl et al., 1998). In the following paragraph we describe an STT event which occurred over Thessaloniki on 29 November 2000.

## 4.1 Case study of 29 November 2000

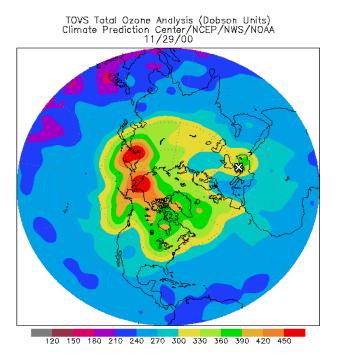
On 29 November 2000 a low center was located over Siberia with a trough extending southwards towards the E. Mediterranean region (Thessaloniki is marked by X), as is shown in the 500-hPa geopotential height chart (black lines) provided by ECMWF on 18:00 UT (Fig. 2). The colour contours correspond to the relative vorticity fields (in  $10^{-5}$  s<sup>-1</sup>). In this figure Thessaloniki is shown to have high relative vorticity values of the order of  $10-11 \times 10^{-5}$  s<sup>-1</sup>. This chart, together with the potential vorticity map on the 315 K isentropic surface on 29 November at 18:00 UT (Fig. 3), show that Thessaloniki (marked by X) was located on the western flank of a developing COL system. On the cyclonic edge of the jet (the jet stream position is marked on Fig. 2 by the red-shaded area over the E. Mediterranean area and Egypt) the isentropic PV chart shows strong gradients ( $\sim 3 PVu/200 \text{ km}$ ), which correspond to the position of the frontal zone (Kayser and Shapiro, 1986). On Fig. 3 two large streamers (elongated structures generated by the southward extension and thinning of the trough) of stratospheric air being drawn isentropically equatorward, away from the polar region, are visible. The left-hand side streamer extends southwards over the Iberian Peninsula. The second streamer (eastern one) had passed over central Europe on 26 November and during that process it developed a wave-like feature. Passing over the E. Mediterranean region this streamer tends to roll up into small vorticies (with substantial thinning) away from the polar jet stream and leads to the formation of a COL event of high PV values (3-5 PVu on the 315 K isentropic surface), on 30 November 2000.



**Fig. 3.** Potential vorticity map in *PV* units on the 315 K isentropic surface on 29 November 2000 at 18:00 UT (Thessaloniki is marked by X).

The corresponding infrared image (not shown) from the Advanced Very High Resolution Radiometer (AVHRR), from NOAA-14 satellite, taken on 29 November at 14:20 UT, clearly shows a curved band of dry air extending down to the E. Mediterranean region, indicating the dynamic evolution of the flow. The daily mean values of the specific humidity at 500-hPa pressure levels (not shown), given by the NOAA-CIRES Climate Diagnostic Center, reveal again the presence of very dry air masses  $(2 \times 10^{-4} \text{ g/kg})$  extending from Bulgaria down to Libya, which correlate well with the dry air masses observed on the AVHRR image. The pattern of these dry air masses correlates again very well with the corresponding PV pattern at 315 K isentropic surface shown in Fig. 3. The formation of the two streamers shown in Fig. 3, is also well depicted by the TOVS total ozone map (Fig. 4), where again the total ozone maximum over the E. Mediterranean (380 DU) coincides well with the position of the COL. The horizontal gradient of total ozone over Thessaloniki (marked by X) from the total ozone map is estimated to be 60 DU/100 km, close to the values presented by Beekmann et al. (1994a, b) in similar cases. Calculated values of  $O_3/PV$  ratios range between 38 and 45 ppbv/PVu in the upper troposphere over Greece during this STT event. These values are in good agreement with those obtained over central Europe (35-60 ppbv/PVu), as recently reported by Ancellet et al. (1994), Kentarchos et al. (1999), and by Stohl and Trickl (1999). On 30 November (Fig. 3) the elongated streamer passed over the Aegean Sea, with a total ozone increase – within two days – of 45 DU (18%), to finally move NE on 30 November.

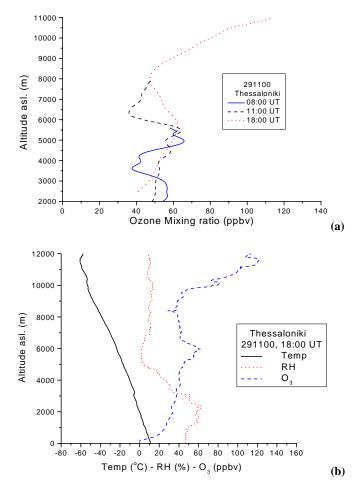
The UV–DIAL was operated during selected time periods on 29 November between 08:00 UT and 18:00 UT. Technical reasons (high solar background) did not allow us to take exploitable measurements between 12:00 and 16:00 UT, since



**Fig. 4.** The TOVS total ozone map (in DU) over Europe on 29 November 2000 at 12:00 UT (Thessaloniki is marked by X).

the maximum level height reached was of the order of 4 km. The mean ozone DIAL profiles retrieved are presented in the altitude range between 2 and 11 km (Fig. 5a) and correspond to measurements averaged over 1 h (36000 laser shots) around 08:00 UT and over 1.5 h (54000 laser shots) around 11:00 UT and 18:00 UT, respectively. The difference between the maximum height reached during daytime (08:00 and 11:00 UT) is mainly due to the lower number of laser shots acquired around 08:00 UT than around 11:00 UT. The three ozone vertical profiles show a mean concentration of the order of 50–55 ppbv, whilst they reveal the existence of a well-defined layer of ozone rich air, between 5 km and 7 km height, with a maximum of approximately 55-65 ppbv. The lidar profile taken around 18:00 UT and shown in Fig. 5a does not look exactly the same as the one of Fig. 1a, since, as explained, the averaging time is different, 1.5 h and 1 h, respectively. The ozone vertical variability (which is of the order of 10 ppbv) between these 3 profiles, is observed mostly in the 2-4.5 km and 6-8 km altitude regions. This variability is frequently observed in the free troposphere and could be attributed mainly to the different origin of the sampled air masses (Papayannis, 1989; Ancellet et al., 1989; Ancellet and Ravetta, 1998).

The combined radio sounding/ozone sounding performed over Thessaloniki on 29 November 2000 at 18:00 UT (Fig. 5b) reveals the presence of dry air (relative humidity <20%) at the same altitude region (5–7 km) where the ozone peak was observed. These dry ozone-rich air masses, either may be formed in the troposphere, if the polluted continental boundary layer is lifted by convection to the tropopause



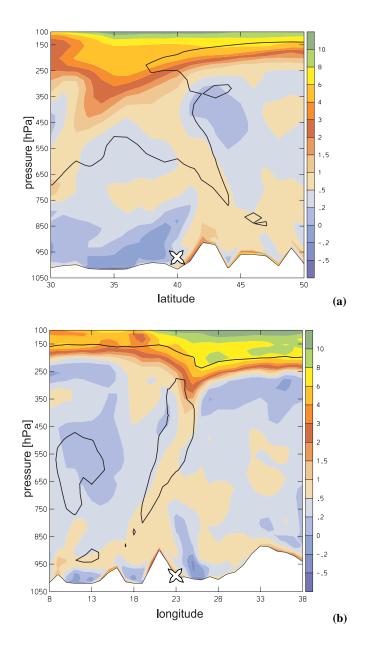
**Fig. 5.** (a): Ozone DIAL vertical profiles taken over Thessaloniki, on 29 November 2000 at 08:00 UT, 11:00 UT and 18:00 UT. (b): The vertical profile of the relative humidity (RH),  $O_3$  and temperature obtained by a combined radiosonde/ozonesonde over Thessaloniki, on 29 November 2000 at 18:00 UT.

(Bithell et al., 2000), or have a stratospheric origin. To investigate the origin of these air masses we make use of both ECMWF analysis data and three-dimensional backward air mass trajectories. Both the lidar and the ozonesonde data reveal an increasing ozone mixing ratio (>80 ppbv) at 10 km height that determinines the chemical tropopause height, which is lower than the thermal tropopause height estimated from the concurrent radiosounding temperature profile to be approximately at 11.5 km (see Fig. 5b).

In order to examine the vertical extent of the tropopause folding within the COL we calculated the vertical cross section of the isentropic potential vorticity on 29 November at 18:00 UT (Fig. 6) passing over Thessaloniki (marked by X) by using the ECMWF analysis with a resolution of  $1 \times 1$  degrees. The first cross section (Fig. 6a) corresponds to the north-south direction (30° to 50° N) and is made along the jet stream axis (downstream direction). The second cross section (Fig. 6b) corresponds to the east-west direction (8° to 38° E axis), and is nearly vertical to the jet stream axis. Both cross sections confirm the enfolding of the tropopause (defined by the 1.5 PVu isocontour) down to 450 hPa on the western flank of the COL system, south of the position of Thessaloniki (Fig. 6a) and roughly down to 350 hPa, east above Thessaloniki (Fig. 6b). The bold closed contours in Figs. 6a and b, which represent the vertical cross section of the RH isocontour with RH=0%, delineate the descending dry (RH<10%) air masses down to 750 hPa level.

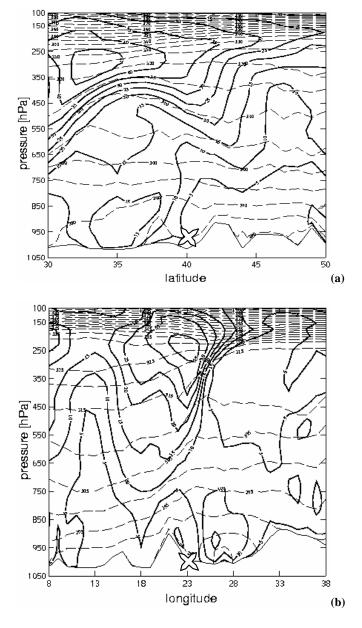
If we plot the vertical cross section of the potential temperature ( $\theta$ ) again along the same axes (Figs. 7a and b) we confirm again the enfolding structure of the tropopause extending again down to the 550 hPa level on the northwestern flank of the COL (Thessaloniki is marked by X). Very strong gradients of  $\theta$  are observed between the 400 and 200 hPa levels, which are typical for a tropopause folding event (Browell et al., 1987; Papayannis, 1989). Comparing Figs. 6 and 7, we can now follow exactly the enfolding structure of the tropopause progressing deeper into the lower free troposphere (~750 hPa level), as a tongue-like feature, showing strong gradients of PV,  $\theta$  and RH values. This slopping zone has a vertical thickness of typically 1–2 km, as also has been observed over mid-latitudes, but in much smaller longitudes (2-3° E) by Ancellet et al. (1991) and Beekmann et al. (1994a). Considering a section above the position of Thessaloniki in Figs. 6a and b, it appears to be a a dry layer around the 550 hPa level with a PV value between 0.5 and 1 PV units and an RH less than 10%, which coincides with the height region where the ozone maxima were observed with the lidar system and the ozonesonde (Fig. 1). This result suggests that mixing of stratospheric air with tropospheric air may have taken place in the air masses of this layer along their transport towards Thessaloniki and possible explains why the observed ozone layer of 60 ppbv between 5 and 7 km height is not in the order of upper tropospheric/lower stratospheric values (higher than 100 ppbv).

In order to investigate the origin of the rich ozone layer observed between 5 and 7 km height, eight-day backward trajectories using the trajectory model FLEXTRA (Stohl et al., 1995) were initialized every 250 m. As noted by Stohl and Trickl (1999) the accuracy of the trajectories decreases with time and after 72 to 96 h their position (both horizontal and vertical) may be erroneous (Stohl, 1998), with typical errors being 15-20% of the transport distance. Figure 8 shows the eight-day air mass backward trajectories ending at the lidar site, between 4 and 8 km height, on 29 November 2000 at 18:00 UT. On the one hand, Fig. 8 indicates that there are air masses (bold trajectories) which descended from the 10 km height level and which had been in the lower stratosphere over Northern U.K. and Scandinavia 1-2 days before, ending between 6 and 7 km, where the ozone maximum is observed. Two of these trajectories showed high PV values (1.5-6PVu) and low RH (<5%) values, typical of their stratospheric origin on their way towards the observation site. PV decreases along these trajectories to less than 1 PVu during the last two days before arrival over the observation site, indicating mixing with tropospheric air. While the mixing itself cannot be



**Fig. 6.** (a) Vertical cross section of potential vorticity (*PV*u) over Thessaloniki for 29 November 2000 at 18:00 UT, along the southnorth direction (latitudes form  $30^{\circ}$  to  $50^{\circ}$  N). Solid bold lines show regions with low humidity (<10%) (Thessaloniki is marked by X). (b) Vertical cross section of potential vorticity (*PV*u) over Thessaloniki for 29 November 2000 at 18:00 UT, along the west-east direction (longitudes form  $8^{\circ}$  to  $38^{\circ}$  E) (Thessaloniki is marked by X).

quantified using trajectories, the proximity to tropospheric air masses suggests such a mixing. This is in agreement with the PV vertical cross sections in Figs. 6a and b, where it appears as a dry layer around 550 hPa level with a PV value between 0.5 and 1 PV units and an RH less than 10%, which coincides with the height region where the ozone maxima were observed. On the other hand, there is also a bundle of middle and upper tropospheric trajectories (turquoise color



**Fig. 7.** (a) Vertical cross section of potential temperature (K) over Thessaloniki for 29 November 2000 at 18:00 UT, along the south-north direction (latitudes form  $30^{\circ}$  to  $50^{\circ}$  N) (Thessaloniki is marked by X). (b) Vertical cross section of potential temperature (K) over Thessaloniki for 29 November 2000 at 18:00 UT, along the west-east direction (longitudes form  $8^{\circ}$  to  $38^{\circ}$  E) (Thessaloniki is marked by X).

in Fig. 8, middle) ending at similar heights with PV values less than 1 PVu (Fig. 8, bottom), imposing the hypothesis of mixing between stratospheric and tropospheric air masses before arriving at the level where the ozone maximum is observed above the observation site. Furthermore, there is even another bundle of trajectories (brown color), which indicates clearly the strong ascent of lower tropospheric air (below 2 km) to the upper troposphere (7–8 km) above the observation site, enforcing further the possibility of mixing between stratospheric and tropospheric air. Similar behavior showed the air mass trajectories ending over Thessaloniki, between 4 and 8 km height, on 29 November 2000 at 08:00 UT and 11:00 UT.

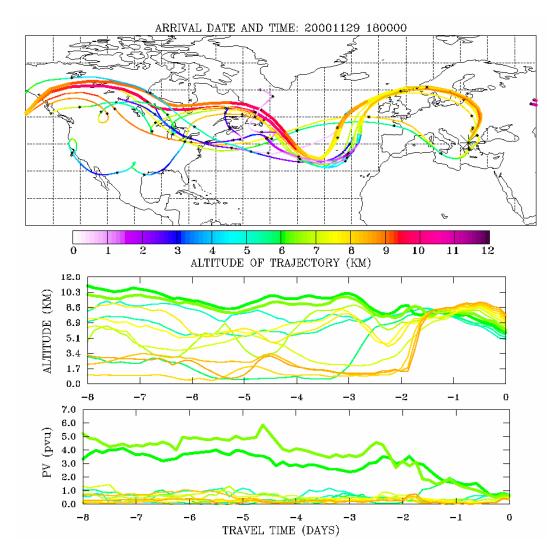
### 5 Discussion and concluding remarks

The AUTH DIAL system was used to perform ozone measurements in the free troposphere over the Eastern Mediterranean during an STT event. The DIAL profiles obtained during this event were compared to that acquired by an ECC-sonde. The intercomparison between the ozone DIAL technique and the ECC-sonde proved to be quite successful, at least under stable meteorological conditions. The ozone DIAL profile agreed quite well with that derived by the ECC-sonde in the altitude region between 2 and 10 km, as the relative difference between these profiles generally stayed within the  $\pm 20\%$  limit. The mean difference between the ozone profiles obtained by the two techniques was of the order of 1.11 ppbv (1.86%), while the corresponding standard deviation was 4.69 ppbv (8.16%). The largest differences (from -8 to 15 ppbv or from -15 to 20%) occur around 5 km, 6.6 km, 8.2 km and 9.5 km height. This may be explained by the different height resolution, the different air masses probed and the different ways the two instruments probe the atmosphere, as was previously discussed.

This intercomparison effort did not indicate any particular bias of the lidar system and is in agreement with its estimated accuracy in the upper troposphere, as well as with previously reported ozone lidar and ECC-sondes intercomparisons (Beekmann et al., 1994a, b, 1995; Grabbe et al., 1996).

Overall, it has been demonstrated that the AUTH ozone DIAL system can provide reliable measurements of the vertical distribution of ozone in the free troposphere and the lower stratosphere (2–10 km) with high spatial and an acceptable temporal resolution. Ozone tongues occurring during STT events, such as presented in a case study analysis in this paper, may occasionally be vertically as thick as 1-2 km, which as shown, may be resolved and correctly reproduced by our lidar system. As a consequence, the AUTH ozone DIAL system can be used for following STT events and small-scale mixing phenomena in the free troposphere, providing sequences of vertical ozone profiles in the free troposphere (Galani et al., 2003).

The STT event of 29 November 2000 observed by lidar and ECC-sonde over Thessaloniki was characterized by the following features: a) mixing ratios of the order of 55–65 ppbv were observed in the 5–7 km altitude region, corresponding to an increase in the mean free tropospheric background ozone mixing ratios by 10–20 ppbv (Kourtidis et al., 2002), b) the air masses probed by the lidar in the 5–7 altitude region had a stratospheric origin with *PV* values of 1.5–6 *PV*u during their residence in the lower stratosphere.



**Fig. 8.** Eight-day 3-D backward trajectories ending over Thessaloniki on 29 November 2000 at 18:00 UT. Top: Horizontal projection of trajectories with color-code scale according to the label bar referring to the actual heights (in km a.s.l.). Middle: Time-height profiles of the trajectories of the top plot. Bottom: *PV* values (in *PV*u) along the various trajectories with the color-code scale corresponding to the middle plot.

At their ending point (at 5.5 km height) over the lidar site, they showed *PV* values of 0.5-1 PVu, due to mixing of stratospheric with tropospheric air, leading to *PV* losses due to diabatic processes, c) according to the calculated RH values given by ECMWF data analyses, the stratospheric air penetrated into the lower troposphere down to the 750 hPa level, on the north-western flank of the COL, d) below the height where the STT event took place, as studied in this paper, air with both stratospheric and tropospheric origin was detected using an eight-day backward trajectory analysis.

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