



Pre-earthquake ionospheric anomalies registered by continuous GPS TEC measurements

J. Y. Liu, Y. J. Chuo, S. J. Shan, Y. B. Tsai, Y. I. Chen, S. A. Pulinets, S. B.
Yu

► To cite this version:

J. Y. Liu, Y. J. Chuo, S. J. Shan, Y. B. Tsai, Y. I. Chen, et al.. Pre-earthquake ionospheric anomalies registered by continuous GPS TEC measurements. *Annales Geophysicae*, 2004, 22 (5), pp.1585-1593. hal-00317335

HAL Id: hal-00317335

<https://hal.science/hal-00317335>

Submitted on 18 Jun 2008

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Pre-earthquake ionospheric anomalies registered by continuous GPS TEC measurements

J. Y. Liu^{1,2}, Y. J. Chuo³, S. J. Shan¹, Y. B. Tsai⁴, Y. I. Chen⁵, S. A. Pulinets⁶, and S. B. Yu⁷

¹Institute of Space Science, National Central University, Taiwan

²Center for Space and Remote Sensing Research, National Central University, Taiwan

³Department of Information Management, Ling-Tung College, Taiwan

⁴Institute of Geophysics, National Central University, Taiwan

⁵Institute of Statistics, National Central University, Taiwan

⁶Institute of Geophysics, National Autonomous University of Mexico, Mexico

⁷Institute of Earth Sciences, Academia Sinica, Taiwan

Received: 31 July 2003 – Revised: 10 November 2003 – Accepted: 22 November 2003 – Published: 8 April 2004

Abstract. In this paper we examine pre-earthquake ionospheric anomalies by the total electron content (TEC) derived from a ground-based receiver of the Global Positioning System (GPS). A 15-day running median of the TEC and the associated inter-quartile range (IQR) are utilized as a reference for identifying abnormal signals during all of the 20 $M \geq 6.0$ earthquakes in the Taiwan area from September 1999 to December 2002. Results show that the pre-earthquake ionospheric anomalies appear during 18:00–22:00 LT (LT=UT+8 h) within 5 days prior to 16 of the 20 $M \geq 6.0$ earthquakes. This success rate of 80% (=16/20%) suggests that the GPS TEC is useful to register pre-earthquake ionospheric anomalies appearing before large earthquakes.

Key words. Ionosphere (ionospheric disturbances; ionosphere-atmosphere interactions)

1 Introduction

Many electromagnetic phenomena possibly associated with seismic activities have been extensively discussed in the literature (e.g. Hayakawa and Fujinawa, 1994; Hayakawa, 1999; Hayakawa, 2000; Hayakawa and Molchanov, 2002). Scientists observed anomalies appearing in electron densities of the ionospheric F region a few days before some strong earthquakes (Pulinets et al., 1994; Pulinets, 1998; Liu et al., 2000). Liu et al. (2000) examined the ionospheric plasma frequency (or electron density) recorded by a local ionosonde and found that the critical frequency of the F2-peak, f_oF_2 , significantly decreased a few days prior to most of the $M \geq 6.0$ earthquakes in the Taiwan area between 1994–1999.

Ionosondes have been the most popular instrument probing the ionospheric electron density for more than seven decades (Hunsucker, 1991). Currently, there are more than 200 ionosondes available worldwide. However, only a fraction of them are routinely operational. Therefore, the spatial and temporal coverage of the ionosonde observations are rather limited and difficult to use to correlate with seismic activities systematically. Meanwhile, due to the use of median and high frequencies (MF and HF), 1–20 MHz, ionosondes often suffer from the short wave fadeout and result in data gaps (Davies, 1990). By contrast, today there are thousands of ground-based receivers of the global positioning system (GPS) deployed to monitor the Earth's surface deformation rates (see the papers listed in Calais and Amarjargal, 2000). Owing to the use of two ultra high frequency (UHF, $f_1=1575.42$ MHz and $f_2=1227.60$ MHz) waves, the GPS studies are generally free from the short wave fadeout. Therefore, while performing Earth's surface deformation observations, the same network of GPS receivers can also be used to simultaneously and continuously monitor the ionospheric total electron content (TEC) (for example, see Leick, 1995; Sardon et al., 1994; Liu et al., 1996).

Recently, scientists have found an apparent reduction in GPS TEC a few days prior to some strong earthquakes (selected, e.g. Calais and Minster, 1995; Liu et al., 2001; Liu et al., 2002). However, these articles reported only a limited 1–3 cases. In this paper, we adopt the procedure of Liu et al. (2001) to derive the GPS TEC and then employ the statistical analysis of Liu et al. (2000) to identify possible pre-earthquake ionospheric anomalies 15 days before and after each of the 20 $M \geq 6.0$ earthquakes in the Taiwan area from September 1999 to December 2002. The features and lead times of the identified pre-earthquake ionospheric TEC anomalies are examined and discussed.

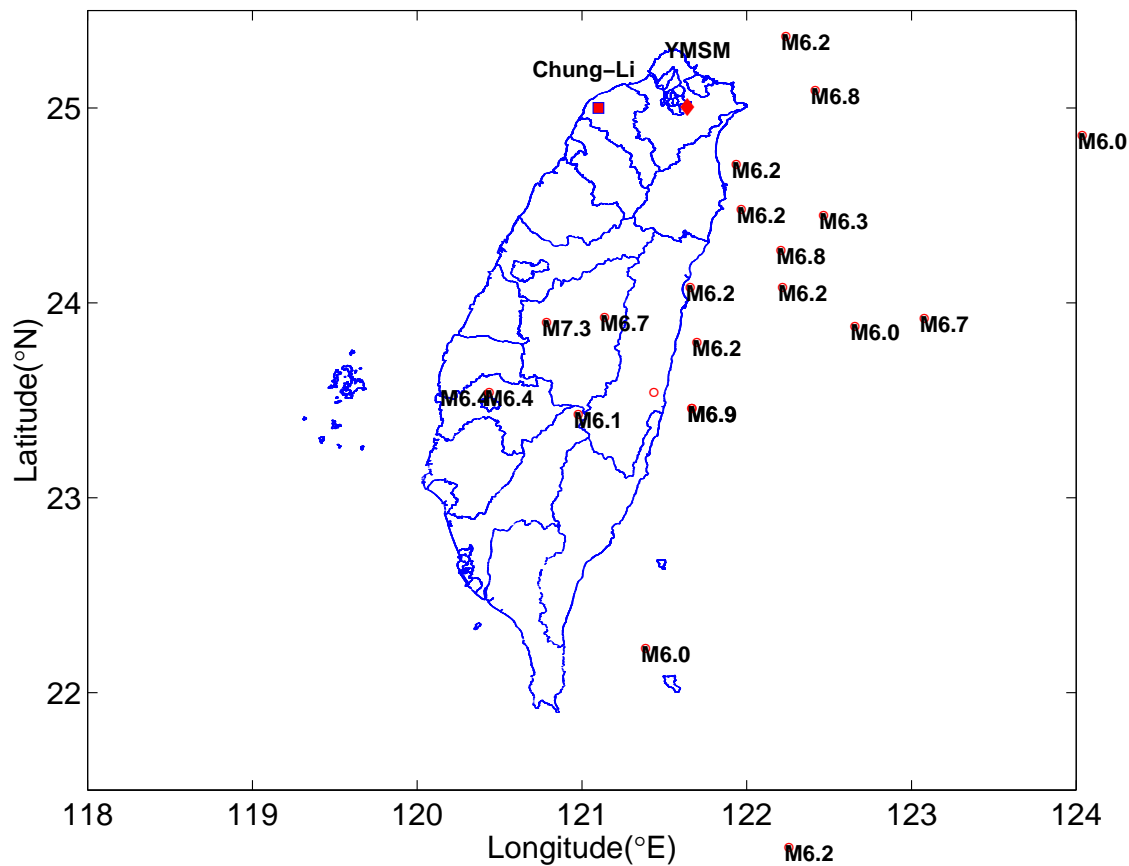


Fig. 1. The locations of the ionosonde, the GPS receiver and the 20 $M \geq 6.0$ earthquakes in the Taiwan area during September 1999 and December 2002. The square and diamond symbols denote the Chung-Li ionosonde and YMSM GPS receiver, respectively.

Table 1. Catalog of the earthquakes in the Taiwan area used in this study.

EQK	YY	MM	DD	Hr	Min	Lat.	Long.	Depth	Mag.	P-day (LT)
1	1999	09	21	01	17	23.87	120.75	1.7	7.3	−1, −3, −4
2	1999	10	22	10	19	23.51	120.4	12.1	6.4	−1
3	1999	11	02	01	53	23.43	121.63	30.4	6.9	−2
4	2000	02	25	14	13	24.83	124.00	112	6.0	−2
5	2000	06	11	02	23	23.9	121.10	10.2	6.7	−3
6	2000	07	16	11	21	20.16	122.29	10.0	7.0	−2, −5
7	2000	07	29	04	28	23.4	120.94	4.0	6.1	−2
8	2000	09	10	16	54	24.05	121.62	20.0	6.2	−1, −2
9	2000	12	26	11	20	21.18	122.22	164.2	6.2	−1, −2
10	2001	06	13	21	17	24.42	122.43	54.2	6.3	X
11	2001	06	14	10	35	24.45	121.93	10.4	6.2	X
12	2001	11	24	13	46	25.34	122.20	280.4	6.2	−5
13	2001	12	18	12	02	23.89	123.04	32.2	6.7	−2
14	2002	02	12	11	27	23.77	121.66	25.1	6.2	X
15	2002	03	31	14	52	24.24	122.17	9.6	6.8	−3, −5
16	2002	05	15	11	46	24.68	121.90	5.0	6.2	−3, −4
17	2002	05	29	00	45	24.05	122.18	5.7	6.2	−3, −5
18	2002	08	29	01	05	22.2	121.35	13.2	6.0	−2
19	2002	09	01	15	07	23.85	122.62	35.5	6.0	X
20	2002	09	16	08	03	25.06	122.38	173.6	6.8	−3

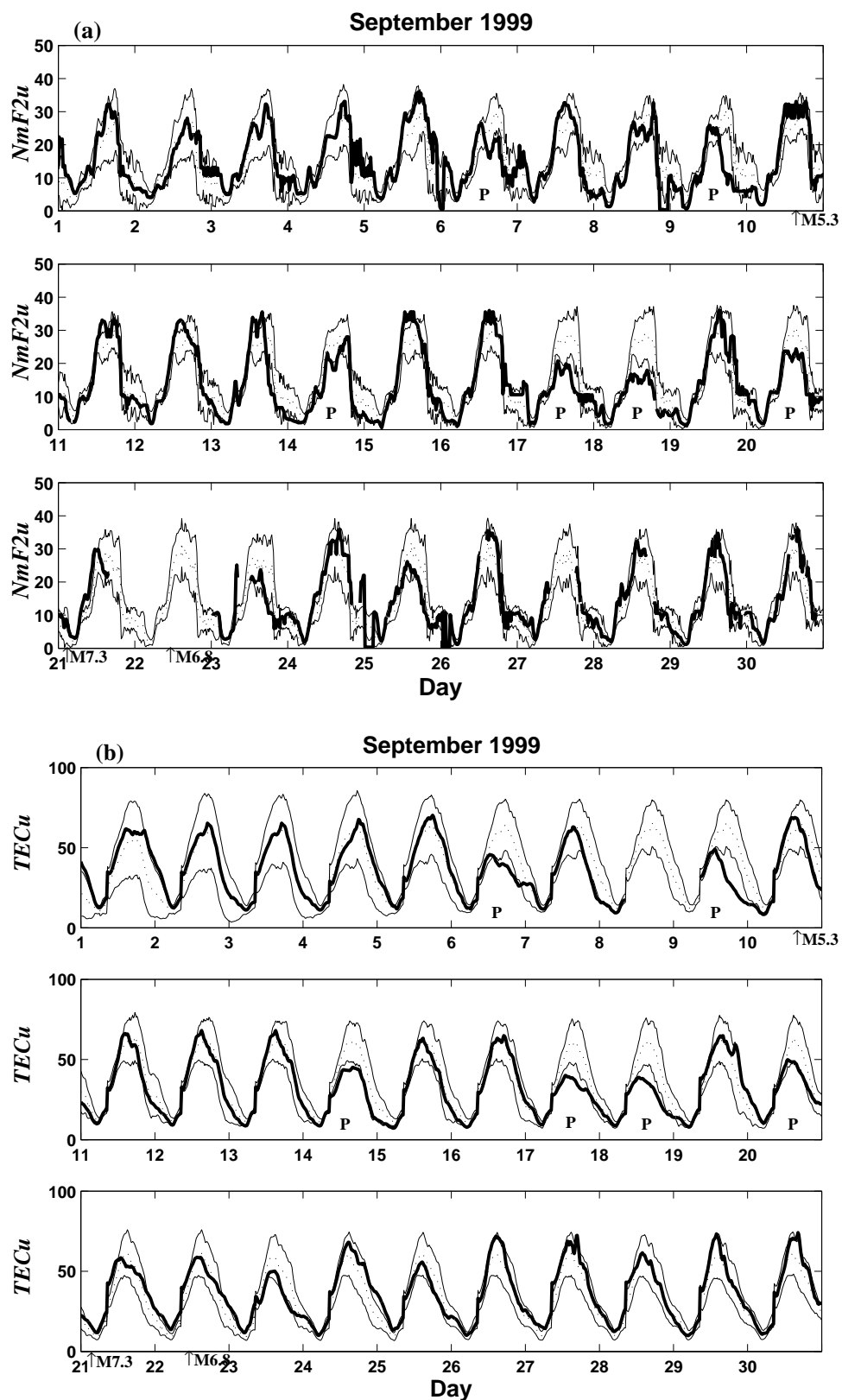


Fig. 2. The variations of $NmF2$ and $VTEC$ observed in September 1999. (a) $NmF2$ and (b) $VTEC$. The bold, dashed, solid curves and the P character denote the observed $NmF2$ or $VTEC$, associated 15-day medians, upper/lower bounds and detected seismo-ionospheric anomalies, respectively. $1 NmF2u = 10^{12} \text{ el/m}^3$ and $1 TECu = 10^{16} \text{ el/m}^2$.

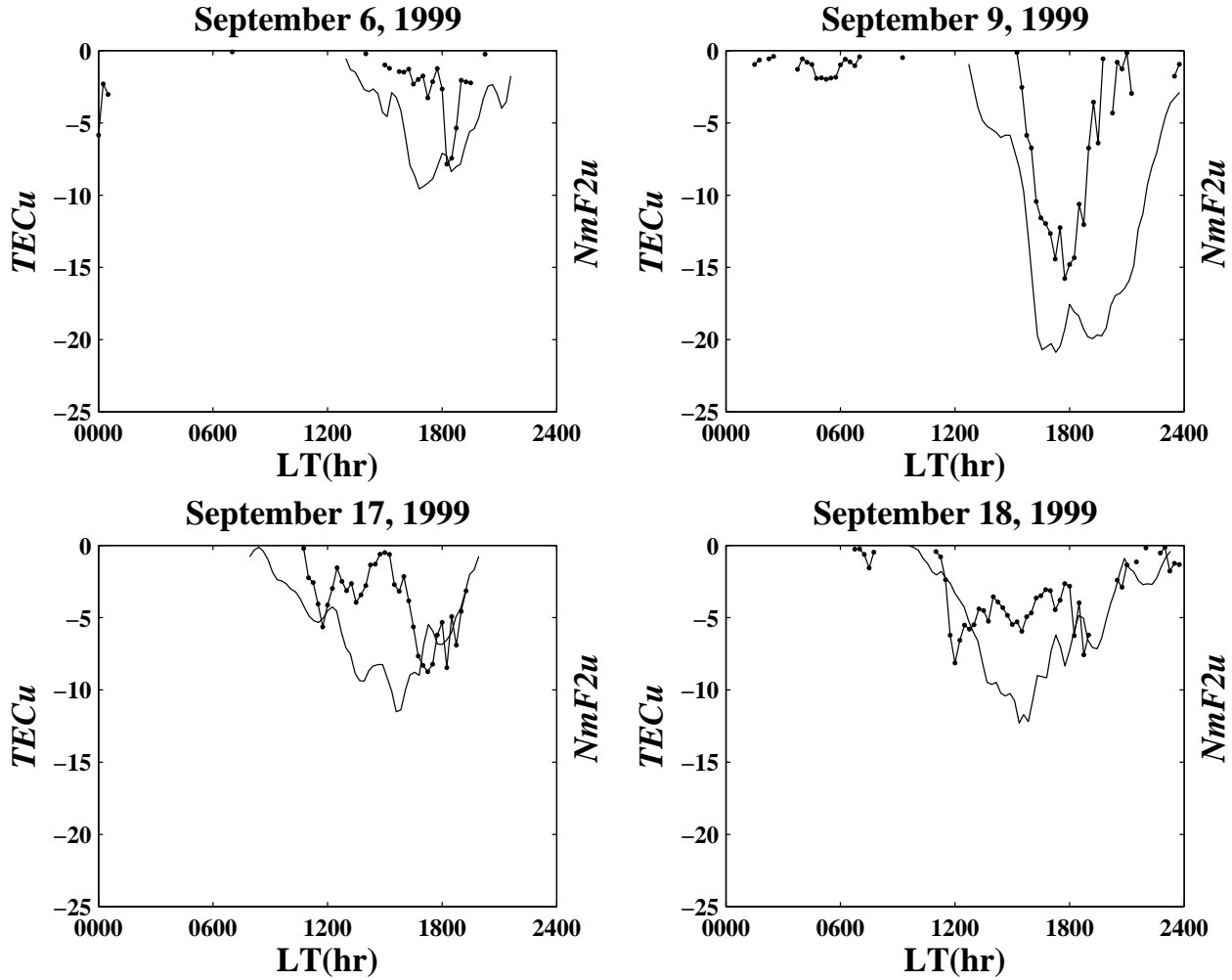


Fig. 3. The strength of four pre-earthquake ionospheric anomalies in $NmF2$ (dotted lines) and $VTEC$ (solid lines) appear on 6, 9, 17 and 18 September 1999. The strength is defined as the departure of the observed quantities from the associated medians.

2 Observation and methodology

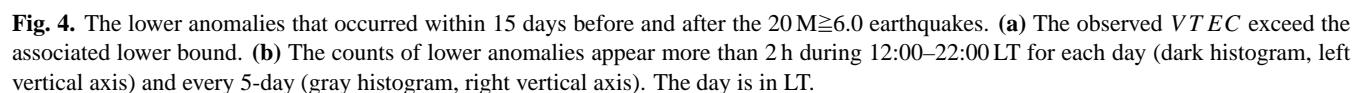
Owing to being a dispersive medium of the ionosphere, scientists can derive the TEC from the signals recorded by ground-based GPS receivers every 30 s (for example see Sardon et al., 1994; Leick, 1995; Liu et al., 1996). The slant total electron content, $STEC$, along ray path l between a GPS satellite, Tx , and a ground-based receiver, Rx , can be written as:

$$\begin{aligned}
 STEC &= \int_{Rx}^{Tx} N dl = \frac{f^2}{40.3} \int_{Rx}^{Tx} (n^{-1} - 1) dl \\
 &= \frac{f^2}{40.3} \int_{Rx}^{Tx} \left[\left(\sqrt{1 - \frac{f_N^2}{f^2}} \right)^{-1} - 1 \right] dl, \quad (1)
 \end{aligned}$$

where N is the electron density in el/m^3 , n denotes the refractive index, and f and f_N represent radio wave and plasma frequency in Hz, respectively. The l -axis stands for the receiver-to-satellite direction. From recorded broadcast

ephemeris (GPS satellite parameters) and given local sub-ionospheric heights, the $STEC$ can be converted into the vertical total electron content $VTEC$ at its associated longitude and latitude (Tsai and Liu, 1999). Both $STEC$ and $VTEC$ are in TECu ($1 \text{ TECu} = 10^{16} \text{ el}/\text{m}^2$).

Taiwan is located in the seismic zones around the rim of the Pacific Ocean and, therefore, earthquakes frequently occur. For instance, the recurrence interval of an $M \geq 5.0$ earthquake between 1991–1999 is about 13–15 days. To identify abnormal signals, we compute in this paper the median \tilde{X} of the previous 15-day $VTEC$ s (or $foF2$) and the associated inter-quartile range IQR , to construct the upper bound $\tilde{X} + IQR$ and lower bound $\tilde{X} - IQR$ at a certain local time (LT). Under the assumption of a normal distribution with mean μ and standard deviation σ for the $VTEC$ (or $foF2$), the expected value of \tilde{X} and IQR are μ and 1.34σ , respectively (Klotz and Johnson, 1983). If an observed $VTEC$ (or $foF2$) falls out of either the associated lower or upper bound, we declare with a confidence level of about 80–85% that a lower or upper abnormal signal is detected.



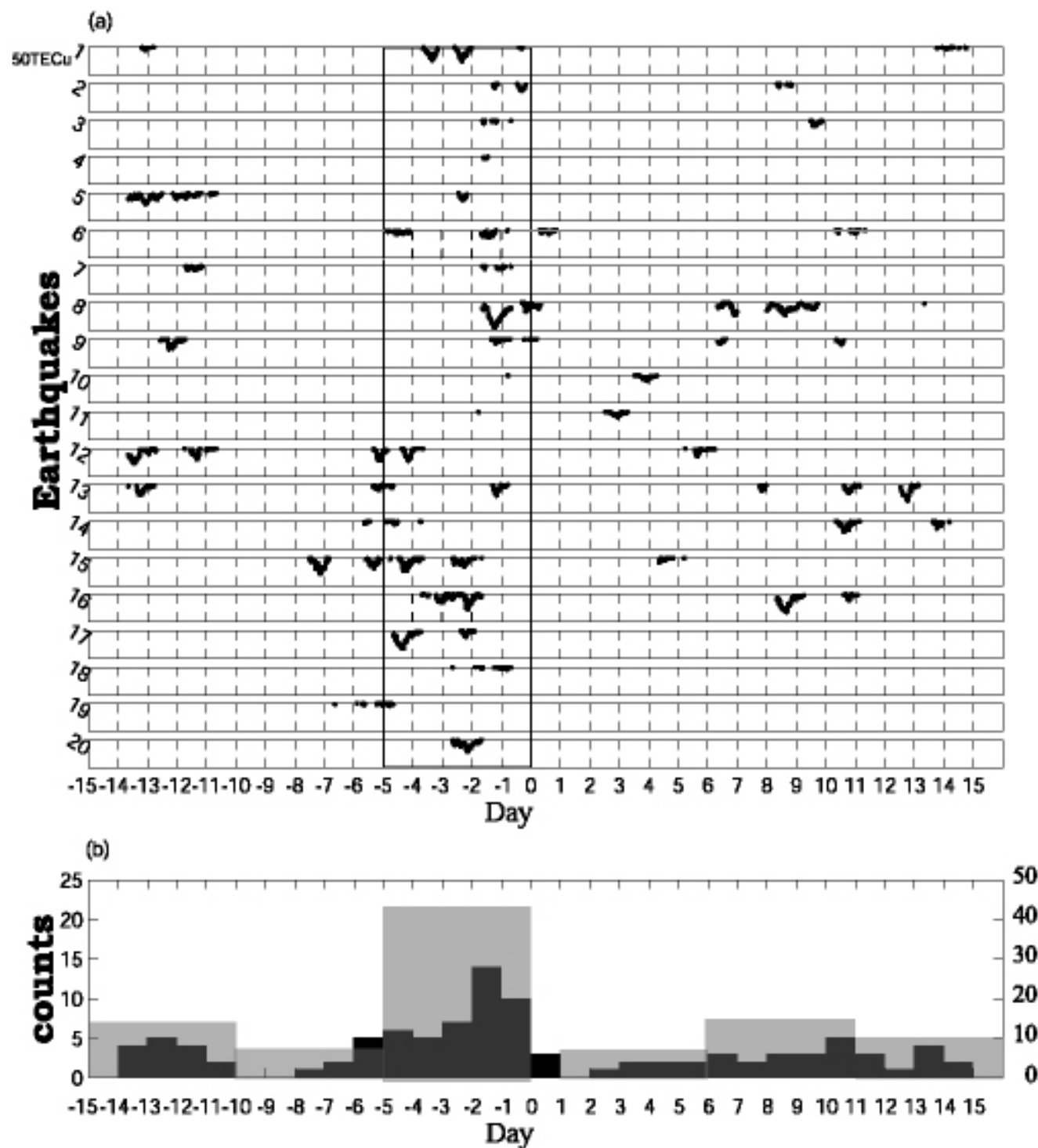


Fig. 5. The same plots as in Fig. 4 but after removing the anomalies related to the M5.0 s earthquakes and the geomagnetic storms.

3 Data analysis and interpretation

Figure 1 illustrates the locations of a local ionosonde at Chung-Li (25° N, 120° E), a GPS receiver at Yang-Ming San Mountain (YMSM, 25.3° N, 120° E) and the epicenters of all the 20 $M \geq 6.0$ earthquakes that occurred in the Taiwan area during September 1999 and December 2002. Table 1 gives a catalog of the earthquakes, which summarizes the origin time, epicenter, magnitude and the anomaly day(s) before each earthquake. The M7.3 Chi-Chi earthquake was the strongest one among the 20 earthquakes. To validate the applicability of the GPS TEC, we compare first the temporal variations between the co-located *VTEC* (right above the Chung-Li ionosonde station) from the YMSM GPS receiver and the F2-peak electron density $NmF2 = (foF2)^2 / 80.3$ (for details, see Davies, 1990) from the Chung-Li ionosonde during the month of the Chi-Chi earthquake (see Figs. 2a and b). The bold lines in the figures denote the observed $NmF2$ and *VTEC*, the dashed lines show the associated 15-day medians, and the solid lines represent the upper/lower bounds, respectively. Finally, the P characters highlight the day when the pre-earthquake ionospheric anomalies are detected. Although local time (LT) and universal time (UT=LT-8 h) are, respectively, used by the ionosonde and the GPS, for simplicity, the LT coordinate is adopted in this study. It is found that both the $NmF2$ and *VTEC* plots simultaneously reveal strong anomalies on 6, 9, 14, 17 and 18 September, as well as one weak anomaly on 20 September 1999. Those appearing on 17, 18 and 20 September are the pre-earthquake anomalies of the Chi-Chi earthquake. The anomalies on 6 and 9 September are considered to be related to an M5.3 earthquake which occurred on 10 September. Two geomagnetic storm sudden commencements (SSC) occurred on 12 and 22 September 1999. The anomaly that appeared on 14 September was probably a fluctuation perturbed by the geomagnetic storm on 12 September, since a few hours to two days after a SSC, the ionospheric electron density might significantly decrease (Davies, 1990; Kelley, 1989). Figure 3 shows the strength of the $NmF2$ and *VTEC* anomalies on 6, 9, 17 and 18 September for the M5.3 and the Chi-Chi earthquakes, respectively. It can be seen that the two quantities have consistent tendencies, which significantly decrease between 12:00 and 22:00 LT. Such consistent tendencies suggest that the TEC data can be employed to search for possible anomalies before $M \geq 6.0$ earthquakes. Figure 4a displays the variations of lower anomalies detected 15 days before and after all the 20 $M \geq 6.0$ earthquakes during September 1999 and December 2002. To avoid detecting other short lifetime perturbations caused by some other geophysics, such as thunderstorm, traveling ionospheric disturbances, ionospheric bubbles, etc. (Davies, 1990), we consider an anomalous day when observed *VTEC*s continuously exceed the associated lower bounds for at least 2 h during 00:00–24:00 LT. There are 106 lower anomalous days detected by this criterion during the entire studied period of 620 ($=20 \times (15+1+15)$) days. This shows that the chance of observing an anomaly during the entire investigated period is about 25% ($=158/620$).

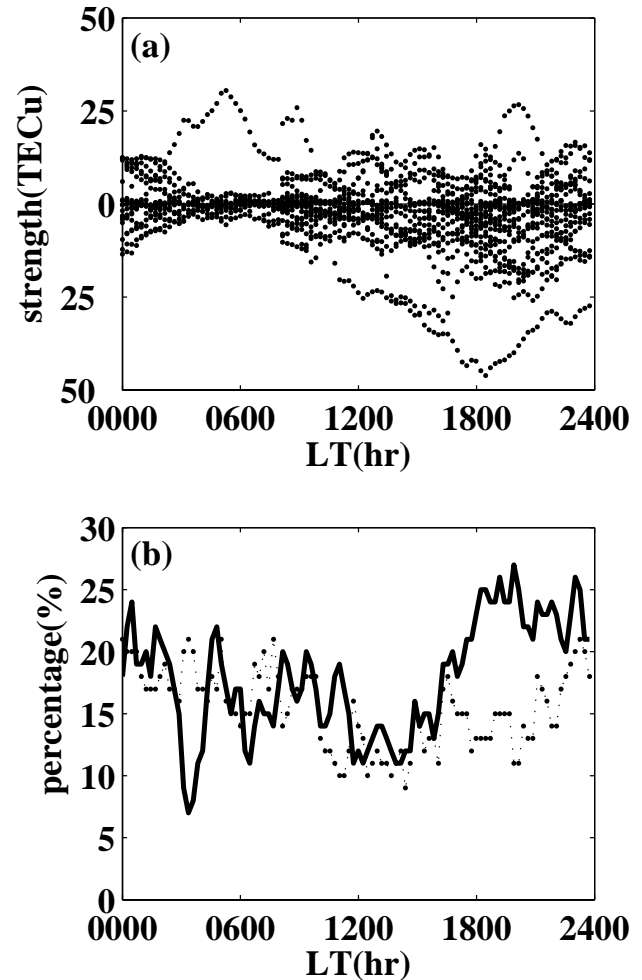


Fig. 6. The strengths and cumulative percentages of the *VTEC* anomalies appear 1–5 days before the 20 $M \geq 6.0$ earthquakes. **(a)** The upper and lower anomalies strengths. **(b)** The occurrence percentages of the upper (dotted line) and lower (solid line) anomalies.

Although many $5.0 \leq M < 6.0$ earthquakes and geomagnetic storms (denoted by M5 s and SSC in Fig. 4a, respectively) occurred during the study period, Fig. 4b shows that the occurrences of the lower anomalous days yield greater counts (8, 6 and 7, respectively) on 1, 2 and 5 days before $M \geq 6.0$ earthquakes. It can be seen that the occurrence of the lower anomalous 1–5 days prior to the earthquakes is 44% ($=44/(5 \times 20)\%$), which is greater than those of any other 5-day periods (on average about 23%) or the entire study period of 25%. To have a better understanding of the relationship between the seismo-ionospheric anomalies and the $M \geq 6.0$ earthquakes, we remove the anomalies 1–5 days before the M5.0 s earthquakes and 1–2 days after the SSCs (Davies, 1990; Kelley, 1989). Figure 5a, similar to Fig. 4a, illustrates the appearances of the lower anomalous 15 days before and after the $M \geq 6.0$ earthquakes, after those anomalies related to the M5.0 s earthquakes and the geomagnetic storms are removed. Figure 5b demonstrates that the percentage of the

lower anomalies 1–5 days before the $M \geq 6.0$ earthquakes is 44%, which is 4 times greater than that of about 11% on average of any other 5-day periods.

To further understand the pre-earthquake ionospheric TEC anomalies, the strengths and occurrences of the upper and lower anomalies in the *VTEC* 1–5 days before all the 20 $M \geq 6.0$ earthquakes are plotted and counted. Figure 6a illustrates that strengths of the anomalies, which is the observed *VTEC*'s deviation from the associated medians, enhance during 14:00–22:00 LT, while Fig. 6b shows that cumulative counts of the lower anomalies are clearly greater than those of the associated upper anomalies during 18:00–22:00 LT 1–5 days before the $M \geq 6.0$ earthquakes. These suggest the significant lower anomaly appearing 18:00–22:00 LT within 1–5 days before the earthquakes to be the pre-earthquake ionospheric anomalies. Table 1 lists the pre-earthquake ionospheric anomalies that occurred before the 20 $M \geq 6.0$ earthquakes.

4 Discussion and conclusion

It has been shown that both the current *VTEC* observations and the previous ionosonde *foF2* observations of Liu et al. (2000) can simultaneously register the pre-earthquake ionospheric anomalies during the month of the Chi-Chi earthquake occurrence (Figs. 2 and 3). Liu et al. (2001) calculated the correlation coefficient between the co-located *NmF2* and *VTEC* 0–7 days prior to the Chi-Chi earthquake to be 0.953. These agreements suggest that the GPS TEC can be employed to monitor the pre-earthquake ionospheric anomalies. The stack processes for identifying occurrences of the anomalies, as shown in Figs. 4 and 5, demonstrate that the lead time of the *VTEC* anomalies of the $M \geq 6.0$ earthquakes in the Taiwan area is typically 1–5 days. Table 1 shows that the occurrence rates of earthquakes after the *VTEC* anomalies within 5 days are 80% (=16/20) which generally agree with the 93% reported by Liu et al. (2000).

To further understand the features of the pre-earthquake ionospheric anomalies of *VTEC*, we examine the diurnal distributions of strengths and counts of the upper and lower anomalies within 1–5 days of the $M \geq 6.0$ earthquakes. Figures 6a and b illustrate that the significant strengths and counts of the lower anomalies appear during 18:00–22:00 LT, which is somewhat later than that of 12:00–18:00 LT, as reported previously by Liu et al. (2000). The discrepancies in the diurnal features of the two anomalies may result from the fact that the *VTEC* and *foF2* (or *NmF2*) are two different physical quantities. It is noted that the *NmF2* is the electron density at the F2-peak, about 300–500 km altitude, while the *VTEC* is the integration from the ground-based receiver to the GPS satellite orbit at about 20 200 km altitude (also see Eq. 1). Although the *NmF2* yields the heaviest weight in computing the *VTEC*, the part above the F2-peak, ranging from 300 (or 500) km to 20 200 km, still has more than 70% contribution for the *VTEC*. This means that the ionizations in the higher altitudes, i.e. the upper ionosphere and the

lower magnetosphere, have to be taken into consideration. Many coupling mechanisms between the lithosphere, atmosphere, and ionosphere, the dirty plasma diffusion, the atmospheric gravity waves, and the vertical electric fields generated during the earthquake preparation periods have been proposed to explain the seismo-ionospheric anomalies (see papers listed in Hayakawa and Fujinawa, 1994; Hayakawa, 1999; Pilipenko et al., 2001; Tanaka et al., 1999; Pulinets et al., 2000; Hayakawa and Molchanov, 2002; Pulinets et al., 2002). Although, the mechanisms are not fully understood, it is reasonable to assume that the anomalies appear first near the Earth's surface and then extend to higher altitudes. Since a large amount of contribution comes from higher altitudes, the appearance of the diurnal *VTEC* features may be somewhat later than that of the *NmF2* (or *foF2*).

In conclusion, the pre-earthquake ionospheric anomalies observed in the Taiwan area show that ionospheric TEC remarkably decreased during 18:00–22:00 LT within 1–5 days before the earthquakes. Today, thousands of ground-based GPS receivers are available worldwide. This study provides a new approach and opportunity without any extra cost to search for and validate the existence of possible seismo-ionospheric anomalies all over the world.

Acknowledgements. The GPS data and the earthquake catalog are obtained from the Ministry of Interior and the Central Weather Bureau of Taiwan, respectively. This research was supported by the Ministry of Education under Grant 91-N-FA07-7-4 for the iSTEP project of the National Central University.

Topical Editor M. Lester thanks D. Ouzounor and S. Uyeda for their help in evaluating this paper.

References

- Calais, E. and Minster, J. B.: GPS detection of ionospheric TEC perturbations following the January 17, 1994, Northridge earthquake, *Geophys. Res. Lett.*, 22, 1045–1048, 1995.
- Calais, E. and Amarjargal, S.: New constraints on current deformation in Asia from continuous GPS measurements at Ulan Baatar, Mongolia, *Geophys. Res. Lett.*, 27, 1527–1530, 2000.
- Davies, K.: *Ionospheric Radio*, Peter Peregrinus Ltd, London, 1990.
- Hayakawa, M. and Fujinawa, Y.: *Electromagnetic Phenomena Related to Earthquake Prediction*, Terra Sci. Pub. Co., Tokyo, 1994.
- Hayakawa, M.: *Atmospheric and Ionospheric Electromagnetic Phenomena with Earthquakes*, Terra Sci. Pub. Co., Tokyo, 1999.
- Hayakawa, M.: *Seismo Electromagnetics*, Monograph of International Workshop on Seismo Electromagnetics, Tokyo, 2000.
- Hayakawa, M. and Molchanov, O. A.: *Seismo Electromagnetics, Lithospheric- Atmospheric- Ionospheric coupling*, Terra Sci. Pub. Co., Tokyo, 2002.
- Hunsucker, R. D.: *Radio Techniques for Probing the Ionosphere*, Springer-Verlag Berlin Heidelberg New York, 1991.
- Kelly, M. C.: *The Earth's Ionosphere*, Academic Press, 1989.
- Klotz, S. and N. L. Johnson, (Eds.): *Encyclopedia of Statistical Sciences*, John Wiley and Sons, 1983.
- Leick, A.: *GPS satellite surveying*, John Wiley, New York, 560 pp, 1995.

- Liu, J. Y., Tsai, H. F., and Jung, T. K.: Total electron content obtained by using the global positioning system, *Terr. Atmos. Oceanic Sci.*, 7, 107–117, 1996.
- Liu, J. Y., Chen, Y. I., Pulinets, S. A., Tsai, Y. B., and Chuo, Y. J.: Seismo-ionospheric signatures prior to $M \geq 6.0$ Taiwan earthquakes, *Geophys. Res. Lett.*, 27, 3113–3116, 2000.
- Liu, J. Y., Chen, Y. I., Chuo, Y. J., and Tsai, H. F.: Variations of ionospheric total electron content during the Chi-Chi earthquake, *Geophys. Res. Lett.*, 28, 1383–1386, 2001.
- Liu, J. Y., Chuo, Y. J., Pulinets, S. A., Tsai, H. F., and Zeng, X. P.: A study on the TEC perturbations prior to the Rei-Li, Chi-Chi and Chia-Yi earthquakes, *Seismo Electromagnetics: Lithosphere-Atmosphere-Ionosphere Coupling*, edited by Hayakawa, M. and Molchanov, O. A., TERRAPUB, Tokyo, 297–301, 2002.
- Pilipenko, V., Shamilov, S., Uyeda, S., and Tanaka, H.: Possible mechanism of the over-horizon reception of FM radio waves during earthquake preparation period, *Proc. Japan Academy*, 77, Ser. B, 125–130, 2001.
- Pulinets, S. A., Legen'ka, A. D., and Alekseev, V. A.: Pre-earthquake ionospheric effects and their possible mechanisms, in *Dusty and Dirty Plasmas, Noise and Chaos in Space and in Laboratory*, Plenum Publishing, New York, 545–557, 1994.
- Pulinets, S. A.: Seismic activity as a source of the ionospheric variability, *Adv. Space Res.*, 22, 6, 903–906, 1998.
- Pulinets, S. A., Boyarchuk, K. A., Hegai, V. V., Kim, V. P., and Lomonosov, A. M.: Quasielectrostatic Model of Atmosphere-Thermosphere-Ionosphere Coupling, *Adv. Space Res.*, 26, 8, 1209–1218, 2000.
- Pulinets, S. A., Boyarchuk, K. A., Hegai, V. V., and Karelin, A. V.: Conception and model of seismo-ionosphere-magnetosphere coupling, in *Seismo- Electromagnetics: Lithosphere-Atmosphere-Ionosphere Coupling*, edited by Hayakawa, M. and Molchanov, O. A., TERRAPUB, Tokyo, pp. 353–361, 2002.
- Sardon, E., Rius, A., and Zarraoa, N.: Estimation of the transmitter and receiver differential biases and the ionospheric total electron content from global positioning system observations, *Radio Sci.*, 29, 577–586, 1994.
- Tanaka, H., Kamogawa, M., and Ohtsuki, Y.: The interaction between bulk plasmas and electromagnetic waves assisted by surface roughness, *Proc. Japan Academy*, 75, Ser. B, 190–194, 1999.
- Tsai, H. F., and Liu, J. Y.: Ionospheric total electron content response to solar eclipses, *J. Geophys. Res.*, 104, 12 657–12 668, 1999.