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# On oriented arc-coloring of subcubic graphs

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**Abstract.** A homomorphism from an oriented graph G to an oriented graph H is a mapping  $\Phi$  from the set of vertices of G to the set of vertices of G such that  $\overline{\Phi(u)}$  is an arc in G. The oriented chromatic index of an oriented graph G is the minimum number of vertices in an oriented graph G such that there exists a homomorphism from the line digraph G of G to G (Recall that G is given by G is given by G and G is G and G is G whenever G is G and G is given by G is given by G and G is G and G and G is G and G and G and G is G and G and

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#### 1 Introduction

We consider finite simple *oriented graphs*, that is digraphs with no opposite arcs. For an oriented graph G, we denote by V(G) its set of vertices and by A(G) its set of arcs.

In [2], Courcelle introduced the notion of vertex-coloring of oriented graphs as follows: an *oriented k-vertex-coloring* of an oriented graph G is a mapping  $\varphi$  from V(G) to a set of k colors such that  $(i) \varphi(u) \neq \varphi(v)$  whenever  $\overrightarrow{uv}$  is an arc in G, and  $(ii) \varphi(u) \neq \varphi(x)$  whenever  $\overrightarrow{uv}$  and  $\overrightarrow{wx}$  are two arcs in G with  $\varphi(v) = \varphi(w)$ . The *oriented chromatic number* of an oriented graph G, denoted by  $\chi_o(G)$ , is defined as the smallest k such that G admits an oriented k-vertex-coloring.

Let H and H' be two oriented graphs. A *homomorphism* from H to H' is a mapping  $\varphi$  from V(H) to V(H') that preserves the arcs:  $\overline{\varphi(u)\varphi(v)} \in A(H')$  whenever  $\overrightarrow{uv} \in A(H)$ . An oriented k-vertex-coloring of G can be equivalently defined as a homomorphism  $\varphi$  from G to H, where H is an oriented graph of order k. The existence of such a homomorphism from G to H is denoted by  $G \to H$ . The graph H will be called *color-graph* and its vertices will be called *colors*, and we will say that G is

H-colorable. The oriented chromatic number can be then equivalently defined as the smallest order of an oriented graph H such that  $G \rightarrow H$ .

Oriented vertex-colorings have been studied by several authors in the last past years (see e.g. [1, 3, 5] or [7] for an overview).

One can define *oriented arc-colorings* of oriented graphs in a natural way by saying that, as in the undirected case, an oriented arc-coloring of an oriented graph G is an oriented vertex-coloring of the line digraph LD(G) of G (Recall that LD(G) is given by V(LD(G)) = A(G) and  $\overrightarrow{ab} \in A(LD(G))$  whenever  $a = \overrightarrow{uv}$  and  $b = \overrightarrow{vw}$ ). We will say that an oriented graph G is H-arc-colorable if there exists a homomorphism  $\varphi$  from LD(G) to H and  $\varphi$  is then an H-arc-coloring or simply an arc-coloring of G. Therefore, an oriented arc-coloring  $\varphi$  of G must satisfy  $(i) \varphi(\overrightarrow{uv}) \neq \varphi(\overrightarrow{vw})$  whenever  $\overrightarrow{uv}$  and  $\overrightarrow{vw}$  are two consecutive arcs in G, and  $(ii) \varphi(\overrightarrow{vw}) \neq \varphi(\overrightarrow{xy})$  whenever  $\overrightarrow{uv}, \overrightarrow{vw}, \overrightarrow{xy}, \overrightarrow{yz} \in A(G)$  with  $\varphi(\overrightarrow{uv}) = \varphi(\overrightarrow{yz})$ . The oriented chromatic index of G, denoted by  $\chi'_o(G)$ , is defined as the smallest order of an oriented graph H such that  $LD(G) \to H$ .

The notion of oriented chromatic index can be extended to undirected graphs as follows. The oriented chromatic index  $\chi'_o(G)$  of an undirected graph G is the maximum of the oriented chromatic indexes taken over all the orientations of G (an orientation of an undirected graph G is obtained by giving one of the two possible orientations to every edge of G).

In this paper, we are interested in oriented arc-coloring of subcubic graphs, that is graphs with maximum degree at most 3.

Oriented vertex-coloring of subcubic graphs has been first studied in [4] where it was proved that every oriented subcubic graph admits an oriented 16-vertex-coloring. In 1996, Sopena and Vignal improved this result:

**Theorem 1** [6] Every oriented subcubic graph admits an oriented 11-vertex-coloring.

It is not difficult to see that every oriented graph having an oriented k-vertex-coloring admits a k-arc-coloring (from a k-vertex-coloring f, we obtain a k-arc-coloring g by setting  $g(\overrightarrow{uv}) = f(u)$  for every arc  $\overrightarrow{uv}$ ). Therefore, every oriented subcubic graph admits an oriented 11-arc-coloring.

We improve this bound and prove the following

**Theorem 2** Every oriented subcubic graph admits a 7-arc-coloring.

More precisely, we shall show that every oriented subcubic graph admits a homomorphism to  $QR_7$ , a tournament on 7 vertices described in section 3.

Note that Sopena conjectured that every oriented connected subcubic graph admits an oriented 7-vertex-coloring [4].

This paper is organised as follows. In the next section, we introduce the main definitions and notation. In section 3, we described the tournament  $QR_7$  and give some properties of this graph. Finally, Section 4 is dedicated to the proof of Theorem 2.

### 2 Definitions and notation

In the rest of the paper, oriented graphs will be simply called *graphs*. For a graph G and a vertex v of G, we denote by  $d_G^-(v)$  the indegree of v, by  $d_G^+(v)$  its outdegree and by  $d_G(v)$  its degree. A vertex of degree k (resp. at most k, at least k) will be called a k-vertex (resp.  $\leq k$ -vertex,  $\leq k$ -vertex). A *source* 

vertex (or simply a source) is a vertex v with  $d^-(v) = 0$  and a sink vertex (or simply a sink) is a vertex v with  $d^+(v) = 0$ . A source (resp. sink) of degree k will be called a k-source (resp. a k-sink).

We denote by  $N_G^+(v)$ ,  $N_G^-(v)$  and  $N_G(v)$  respectively the set of successors of v, the set of predecessors of v and the set of neighbors of v in G. The maximum degree and minimum degree of a graph G are respectively denoted by  $\Delta(G)$  and  $\delta(G)$ .

We denote by  $\overrightarrow{uv}$  the arc from u to v or simply uv whenever its orientation is not relevant (therefore  $uv = \overrightarrow{uv}$  or  $uv = \overrightarrow{vu}$ ).

For a graph G and a vertex v of V(G), we denote by  $G \setminus v$  the graph obtained from G by removing v together with the set of its incident arcs; similarly, for an arc a of A(G),  $G \setminus a$  denotes the graph obtained from G by removing a. These two notions are extended to sets in a standard way: for a set of vertices V',  $G \setminus V'$  denotes the graph obtained from G by successively removing all vertices of V' and their incident arcs, and for a set of arcs A',  $G \setminus A'$  denotes the graph obtained from G by removing all arcs of A'.

The drawing conventions for a configuration are the following: a vertex whose neighbors are totally specified will be black (i.e. vertex of fixed degree), whereas a vertex whose neighbors are partially specified will be white. Moreover, an edge will represent an arc with any of its two possible orientations.

# 3 Some properties of the tournament $QR_7$

For a prime  $p \equiv 3 \pmod{4}$ , the Paley tournament  $QR_p$  is defined as the oriented graph whose vertices are the integers modulo p and such that  $\overrightarrow{uv}$  is an arc if and only if v - u is a non-zero quadratic residue of p.

For instance, let us consider the tournament  $QR_7$  with  $V(QR_7) = \{0, 1, ..., 6\}$  and  $\overrightarrow{uv} \in A(QR_7)$  whenever  $v - u \equiv r \pmod{7}$  for  $r \in \{1, 2, 4\}$ .

This graph has the two following useful properties [1]:

- $(P_1)$  Every vertex of  $QR_7$  has three successors and three predecessors.
- $(P_2)$  For every two distinct vertices u and v, there exists four vertices  $w_1, w_2, w_3$  and  $w_4$  such that:
  - $\overrightarrow{uw_1} \in A(OR_7)$  and  $\overrightarrow{vw_1} \in A(OR_7)$ ;
  - $\overrightarrow{uw_2} \in A(QR_7)$  and  $\overrightarrow{w_2v} \in A(QR_7)$ ;
  - $\overrightarrow{w_3u} \in A(QR_7)$  and  $\overrightarrow{w_3v} \in A(QR_7)$ ;
  - $\overrightarrow{w_4u} \in A(QR_7)$  and  $\overrightarrow{vw_4} \in A(QR_7)$ .

### 4 Proof of Theorem 2

Let G be an oriented subcubic graph and C be a cycle in G(C) is a subgraph of G(C). A vertex G(C) is a transitive vertex of G(C) if G(C) if G(C) if G(C) if G(C) if G(C) if G(C) is a subgraph of G(C) if G(C) if G(C) if G(C) if G(C) is a subgraph of G(C) is a sub

A cycle C in G is a special cycle if and only if:

- (1) every non-transitive vertex of C is a 2-source or a 2-sink in G;
- (2) C has either exactly 1 transitive vertex or exactly 2 transitive vertices, and in this case, both transitive vertices have the same orientation on C.

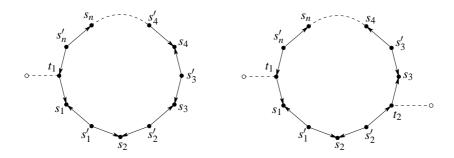


Figure 1: Two special cycles

Figure 1 shows two special cycles; the first one has exactly 1 transitive vertex while the second has exactly 2 transitive vertices oriented in the same direction. Vertices  $s_i$ ,  $s'_j$  and  $t_k$  are respectively the sinks, sources, and transitive vertices of the special cycles.

**Remark 3** Every 2-source (resp. 2-sink) in a special cycle *C* is necessarily adjacent to a 2-sink (resp. 2-source). This directly follows from the fact that *C* does not contain two transitive vertices oriented in opposite direction.

We shall denote by  $SS_G(C)$  the set of 2-sources and 2-sinks of the cycle C in G.

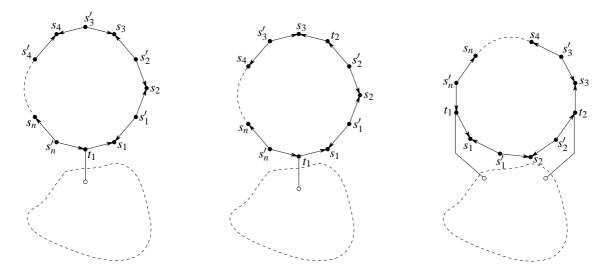


Figure 2: Graphs with a special cycle

**Remark 4** Note that a special cycle may only be connected to the rest of the graph by its transitive vertices (see Figure 2 for an example).

A  $QR_7$ -arc-coloring f of an oriented subcubic graph G is good if and only if:

- for every 2-source u,  $|C_f^+(u)| = 1$ ,
- for every 2-sink v,  $|C_f^-(v)| = 1$ .

Note that if a subcubic graph G admits a good  $QR_7$ -arc-coloring, then for every 2-vertex v of G,  $|C_f^+(v)| \le 1$  and  $|C_f^-(v)| \le 1$ .

We first prove the following:

**Theorem 5** Every oriented subcubic graph with no special cycle admits a good QR<sub>7</sub>-arc-coloring.

We define a partial order  $\prec$  on the set of all graphs. Let  $n_2(G)$  be the number of  $\geq$ 2-vertices of G. For any two graphs  $G_1$  and  $G_2$ ,  $G_1 \prec G_2$  if and only if at least one of the following conditions holds:

- $G_1$  is a proper subgraph of  $G_2$ ;
- $n_2(G_1) < n_2(G_2)$ .

Note that this partial order is well-defined, since if  $G_1$  is a proper subgraph of  $G_2$ , then  $n_2(G_1) \le n_2(G_2)$ . The partial order  $\prec$  is thus a partial linear extension of the subgraph poset.

In the rest of this section, let H a be counter-example to Theorem 5 which is minimal with respect to  $\prec$ .

We shall show in the following lemmas that H does not contain some configurations.

In all the proofs which follow, we shall proceed similarly. We suppose that H contains some configurations and, for each of them, we consider a reduction H' of H with no special cycle such that  $H' \prec H$ . Therefore, due to the minimality of H, there exists a good  $QR_7$ -arc-coloring f of H'. The coloring f is a partial good  $QR_7$ -arc-coloring of H, that is an arc-coloring of some subset S of A(H) and we show how to extend it to a good  $QR_7$ -arc-coloring of H. This proves that H cannot contain such configurations.

We will extensively use the following proposition:

**Proposition 6** Let  $\overrightarrow{G}$  be an oriented graph which admits a good  $QR_7$ -arc-coloring. Let  $\overleftarrow{G}$  be the graph obtained from  $\overrightarrow{G}$  by giving to every arc its opposite direction. Then,  $\overleftarrow{G}$  admits a good  $QR_7$ -arc-coloring.

**Proof**: Let f be a good  $QR_7$ -arc-coloring of  $\overrightarrow{G}$ . Consider the coloring  $f': V(QR_7) \to A(\overleftarrow{G})$  defined by  $f'(\overrightarrow{uv}) = 6 - f(\overrightarrow{vu})$ .

It is easy to see that for every arc  $\overrightarrow{uv} \in A(QR_7)$ , we have  $\overrightarrow{xy} \in A(QR_7)$  for x = 6 - v and y = 6 - u. Moreover, the two incident arcs to a 2-source (or a 2-sink) will get the same color by f' since they got the same color by f.

Therefore, when considering oriented good  $QR_7$ -arc-coloring of an oriented graph G, we may assume that one arc in G has a given orientation.

The following remark will be extensively used in the following lemmas:

**Remark 7** Let G be a graph with no special cycle and  $A \subseteq A(G)$  be an arc set. If the graph  $G' = G \setminus A$  contains a special cycle C, then at least one of the vertices incident to A is a 2-source or a 2-sink in G' and belongs to V(C), since otherwise C would be a special cycle in G.

#### **Lemma 8** *The graph H is connected.*

**Proof**: Suppose that  $H = H_1 \uplus H_2$  (disjoint union). We have  $H_1 \prec H$  and  $H_2 \prec H$ . The graphs  $H_1$  and  $H_2$  contain no special cycle and then, by minimality of H,  $H_1$  and  $H_2$  admits good  $QR_7$ -arc-colorings  $f_1$  and  $f_2$  respectively that can easily be extended to a good  $QR_7$ -arc-coloring  $f = f_1 \cup f_2$  of H.

**Lemma 9** *The graph H contains no 3-source and no 3-sink.* 

**Proof**: By Proposition 6, we just have to consider the 3-source case. Let u be a 3-source in H and H' be the graph obtained from H by splitting u into three 1-vertices  $u_1, u_2, u_3$ . We have  $H' \prec H$  since  $n_2(H') = n_2(H) - 1$ . Any good  $QR_7$ -arc-coloring of H' is clearly a good  $QR_7$ -arc-coloring of H.  $\square$ 

#### **Lemma 10** The graph H contains no 1-vertex.

**Proof**: Let  $u_1$  be a 1-vertex in H, v be its neighbor and  $N_H(v) = \{u_i, 1 \le i \le d_H(v)\}$ . By Proposition 6, we may assume  $\overrightarrow{u_1v} \in A(H)$ . We consider three subcases.

- 1.  $d_H(v) = 1$ . By Lemma 8,  $H = \overrightarrow{u_1 v}$  and obviously, H admits a good  $QR_7$ -arc-coloring.
- 2.  $d_H(v) = 2$ . Let  $H' = H \setminus u_1$ ; we have  $H' \prec H$  and H' contains no special cycle by remark 7. By minimality of H, H' admits a good QR, are coloring f that can easily be extended to  $H_i$  if v is a 2 sink, we

of H, H' admits a good  $QR_7$ -arc-coloring f that can easily be extended to H: if v is a 2-sink, we set  $f(\overrightarrow{u_1v}) = f(\overrightarrow{u_2v})$ ; otherwise, we have three available colors for  $f(\overrightarrow{u_1v})$  by Property  $(P_1)$ 

3.  $d_H(v) = 3$ .

Let  $H' = H \setminus u_1$ ; we have  $H' \prec H$ .

If H' contains no special cycle then, by minimality of H, H' admits a good  $QR_7$ -arc-coloring f such that  $|C_f^+(v)| \le 1$ . The coloring f can then be extended to H since we have three available colors to set  $f(\overline{u_1v})$  by property  $(P_1)$ .

If H' contains a special cycle C,  $v \in C$  and v is a 2-source in H' by Remark 7 and Lemma 9. We may assume w.l.o.g. that  $u_2$  is a 2-sink by Remark 3. Let  $N_H(u_2) = \{v, x\}$  and  $H'' = H \setminus \{\overrightarrow{vu_2}, \overrightarrow{u_1v}\}$ . We have  $H'' \prec H$  and H'' contains no special cycle by Remark 7. By minimality of H, H'' admits a good  $QR_7$ -arc-coloring f that can be extended to H: we set  $f(\overrightarrow{vu_2}) = f(\overrightarrow{xu_2})$ , and we have at least one available color for  $f(\overrightarrow{u_1v})$  by Property  $(P_2)$ .

Recall that a bridge in a graph G is an edge whose removal increases the number of components of G.

**Lemma 11** The graph H contains no bridge.

**Proof**: Suppose that H contains a bridge uv. Let  $H \setminus uv = H_1 \uplus H_2$ . For i = 1, 2, consider  $H_i' = H_i + uv$ . By Lemma 10, uv is not a dangling arc in H. Moreover  $H_i' \prec H$  for i = 1, 2. Clearly, the graphs  $H_1'$  and  $H_2'$  have no special cycle and therefore, by minimality of H, they admit good  $QR_7$ -arc-colorings  $f_1$  and  $f_2$  respectively. By cyclically permuting the colors of  $f_2$  if necessary, we may assume that  $f_1(uv) = f_2(uv)$ . The mapping  $f = f_1 \cup f_2$  is then clearly a good  $QR_7$ -arc-coloring of H.

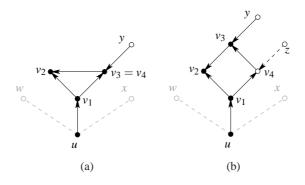


Figure 3: Configurations of Lemma 14

**Lemma 12** The graph H contains no 2-sink adjacent to a 2-source.

**Proof**: Suppose that H contains a 2-sink v adjacent to a 2-source w. Let  $N(v) = \{u, w\}$  and  $N(w) = \{v, x\}$ . Since H contains no special cycle, u and x are distinct vertices and  $\overrightarrow{xu} \notin A(H)$ .

Let H' be the graph obtained from  $H \setminus \{v, w\}$  by adding  $\overrightarrow{ux}$  (if it did not already belong to A(H)). We have  $H' \prec H$  since  $n_2(H') \leq n_2(H) - 2$ . Since the vertices u and x are neither 3-sources nor 3-sinks in H by Lemma 9, they are neither 2-sources nor 2-sinks in H' and therefore, by Remark 7, H' contains no special cycle. Hence, by minimality of H, H' admits a good  $QR_7$ -arc-coloring f' that can be extended to H by setting  $f(\overrightarrow{uv}) = f(\overrightarrow{wv}) = f(\overrightarrow{wx}) = f(\overrightarrow{ux})$ .

**Lemma 13** Every 2-source (resp. 2-sink) of H is adjacent to a vertex v with  $d^+(v) = 2$  (resp.  $d^-(v) = 2$ ).

**Proof**: Suppose that H contains a 2-source u adjacent to two vertices v and w such that  $d^+(v) \neq 2$  and  $d^+(w) \neq 2$  (by Proposition 6, it is enough to consider this case). Let  $H' = H \setminus u$ ; by hypothesis and by Lemmas 9 and 12, the vertices v and w are such that  $d^+_{H'}(v) = d^-_{H'}(v) = d^+_{H'}(w) = d^-_{H'}(w) = 1$ . Therefore, the graph H' contains no special cycle by Remark 7. By minimality of H, H' admits a good  $QR_7$ -arc-coloring f that can be extended to H in such a way that  $f(\overrightarrow{uu_1}) = f(\overrightarrow{uu_2})$  thanks to Property  $(P_2)$ .

Recall that we denote by  $SS_G(C)$  the set of 2-sources and 2-sinks of the cycle C in G.

**Lemma 14** Let u be a vertex of H and  $H' = H \setminus u$ . Then H' does not contain a special cycle C with  $|N_H(u) \cap SS_{H'}(C)| = 1$ .

**Proof**: Let  $v_1 \in N(u)$  and w.l.o.g., suppose that  $H' = H \setminus u$  contains a special cycle C such that  $N_H(u) \cap SS_{H'}(C) = \{v_1\}$ ; by Remark 7,  $v_1$  is a 2-source or a 2-sink in H' and by Proposition 6 we may assume w.l.o.g. that  $v_1$  is a 2-source.

By Remark 3,  $v_1$  is adjacent to a 2-sink  $v_2$ . By Lemma 12, the only pair of adjacent 2-source and 2-sink in H' is  $v_1, v_2$ . Therefore, we have  $3 \le |C| \le 4$ . Let  $V(C) = \{v_1, v_2, v_3, v_4\}$  and  $v_3 = v_4$  if |C| = 3. Moreover  $v_3$  and  $v_4$  are necessarily two transitives vertices of C. Furthermore, we have  $\overline{yv_3} \in A(H)$  by Lemma 13 and  $\overline{uv_1} \in A(H)$  by Lemma 9. Then, we have only two possible configurations, depicted in Figure 3.

- If |C| = 3 (see Figure 3(a)), consider  $H'_1 = H \setminus \overrightarrow{v_1v_2}$ . This graph contains no special cycle by Remark 7 and we have  $H'_1 \prec H$ . By minimality of H,  $H'_1$  admits a good  $QR_7$ -arc-coloring f that can be extended to H: we first erase  $f(\overrightarrow{v_1v_3})$ ; then, we can set  $f(\overrightarrow{v_1v_2}) = f(\overrightarrow{v_3v_2})$  thanks to Property  $(P_2)$  and then we have one avalaible color for  $f(\overrightarrow{v_1v_3})$  by Property  $(P_2)$  since  $f(\overrightarrow{uv_1}) \neq f(\overrightarrow{v_3v_2})$ .
- If |C| = 4 (see Figure 3(b)), consider the graph  $H'_2 = H \setminus v_2$ . We have  $H'_2 \prec H$ .
  - If  $H'_2$  contains no special cycle, by minimality of H,  $H'_2$  admits a good  $QR_7$ -arc-coloring f that can be extended to H in such a way that  $f(\overrightarrow{v_3v_2}) = f(\overrightarrow{v_1v_2})$  thanks to Property  $(P_2)$  since  $f(\overrightarrow{v_4v_3}) = f(\overrightarrow{yv_3})$ .
  - Suppose now that  $H'_2$  contains a special cycle C'. By Remark 7,  $v_3$  belong to C' and by Remark 3, y is a 2-sink. By Lemma 12, the only pair of adjacent 2-source and 2-sink in H' is  $v_3, y$ , and therefore |C'| is a special cycle of length 3 or 4. Suppose first that  $\{u, v_1, v_4, v_3, y\} \subseteq V(C')$ ; we thus have u = y, that is a contradiction since by hypothesis  $N_H(u) \cap SS_{H'}(C) = \{v_1\} \neq \{v_1, v_3\}$ .

Therefore  $V(C') = \{y, v_3, v_4, z\}$ , and then  $\overrightarrow{zv_4} \in A(H)$ . If |C'| = 3, we have y = z and in this case, the graph H contains a bridge  $\overrightarrow{uv_1}$  that is forbidden by Lemma 11. Therefore, we have |C'| = 4 and z is a transitive vertex of C'.

Consider in this case the graph  $H_3' = H \setminus v_4$ . This graph contains no special cycle since the vertices  $v_1$  and  $v_3$  are two transitive 2-vertices oriented in opposite directions. We have  $H_3' \prec H$  and therefore, by minimality of H, there exists a good  $QR_7$ -arc-coloring f of  $H_3'$  such that  $C_f^-(v_1) = \{c_1\}, C_f^-(v_2) = \{c_2\}$  and  $C_f^+(y) = C_f^-(z) = \{c_3\}$ . The mapping f can be extended to H as follows: we can set  $f(\overline{v_4v_3'}) = c_4 \notin \{c_1, c_3\}$  thanks to Property  $(P_1)$ . Then, by Property  $(P_2)$ , we have one avalaible color for  $f(\overline{v_1v_4})$  since  $c_1 \neq c_4$  and one avalaible color for  $f(\overline{zv_4})$  since  $c_3 \neq c_4$ .

**Lemma 15** The graph H does not contain two adjacent 2-vertices.

**Proof**: Suppose that H contains two adjacent 2-vertices v and w. Let  $N(v) = \{u, w\}$  and  $N(w) = \{v, x\}$  and  $H' = H \setminus v$ . By Lemma Remark 7 and 14, H contains no special cycle. We have  $H' \prec H$  and by minimality of H, H' admits a good  $QR_7$ -arc-coloring f.

We shall consider two cases depending on the orientation of the arcs incident to v and w (by Proposition 6, we may assume that  $\overrightarrow{uv} \in A(H)$ ).

- 1. v is a 2-sink and w is a transitive vertex. By Lemma 12, u is not a 2-source in H. We have  $|C_f^-(u)| \le 1$  and then, we can set  $f(\overrightarrow{uv}) = f(\overrightarrow{wv})$  thanks to Property  $(P_2)$ .
- 2. v and w are transitive vertices. By the previous case, u is not a 2-source. We have  $|C_f^-(u)| \le 1$ . Thanks to Property  $(P_1)$ , we can set  $f(\overrightarrow{uv}) \ne f(\overrightarrow{wx})$  and finally, we have one available color for  $f(\overrightarrow{vw})$  by Property  $(P_2)$  since  $f(\overrightarrow{uv}) \ne f(\overrightarrow{wx})$ .

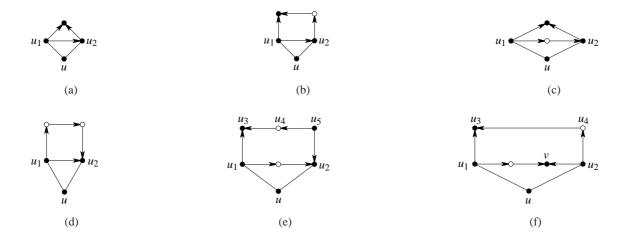


Figure 4: Configurations of Lemma 16

#### **Lemma 16** The graph H contains no 2-vertex.

**Proof**: Suppose that H contains a 2-vertex u and let  $N(u) = \{u_1, u_2\}$ . The vertices  $u_1$  and  $u_2$  are 3-vertices by Lemma 15. By Proposition 6, we may assume w.l.o.g. that  $\overrightarrow{uu_1} \in A(H)$ . Let  $H'_1 = H \setminus u$ ; we have  $H'_1 \prec H$ .

If  $H_1'$  contains no special cycle, then by minimality of H,  $H_1'$  admits a good  $QR_7$ -arc-coloring f of  $H_1'$  that can be extended to H as follows. If u is a 2-source , we can set  $f(\overrightarrow{uu_1}) = f(\overrightarrow{uu_2})$  thanks to Property  $(P_2)$  since  $|C_f^+(u_1)| \leq 1$  and  $|C_f^+(u_2)| \leq 1$ . If u is a transitive vertex, we can set  $f(\overrightarrow{uu_1}) \notin C_f^-(u_2)$  thanks to Property  $(P_1)$  and then we have one available color for  $f(\overrightarrow{u_2u})$  by Property  $(P_2)$ .

Suppose now that  $H'_1$  contains a special cycle C. By Lemma 14,  $u_1$  and  $u_2$  belongs to C and at least one of them is a 2-source or a 2-sink.

Suppose first that  $u_1$  is a 2-source in  $H'_1$  and  $u_2$  is neither a 2-source nor a 2-sink in  $H'_1$ . Then, since H contains no adjacent 2-vertices by Lemma 15, we have only three possible configurations depicted in Figures 4(a), 4(b) and 4(c).

Clearly, the configuration of Figure 4(a) admits a good  $QR_7$ -arc-coloring. The white vertex of the configuration of Figure 4(b) is a 3-vertex by Lemma 15, but in this case, the graph contains a bridge, that is forbidden by Lemma 11. The white vertex of the configuration of Figure 4(c) is of degree two by Lemma 11 and this configuration clearly admits a good  $QR_7$ -arc-coloring.

Therefore,  $u_1$  and  $u_2$  are either 2-sources or 2-sinks in  $H'_1$ . In this case, since H contains no adjacent 2-vertices by Lemma 15, we have only three possible configurations depicted in Figure 4(d), 4(e) and 4(f).

- Figure 4(d): by Lemma 9, we have  $\overrightarrow{u_2u}, \overrightarrow{uu_1} \in A(H)$ . Consider the graph  $H_2' = H \setminus \overrightarrow{u_1u_2}$ ;  $H_2'$  contains no special cycle. Since  $H_2' \prec H$ , by minimality of H,  $H_2'$  admits a good  $QR_7$ -arc-coloring f that can be extended to H thanks to Property  $(P_2)$  since  $f(\overrightarrow{u_2u}) \neq f(\overrightarrow{uu_1})$ .
- Figure 4(e): by Lemma 9, we have  $\overrightarrow{u_2u}, \overrightarrow{uu_1} \in A(H)$ . By Lemma 15,  $u_4$  is a 3-vertex. If  $d^-(u_4) = 2$ , this configuration is forbidden by Lemma 13. If  $d^+(u_4) = 2$ , this configuration is also forbidden by Lemma 13.

• Figure 4(f): by Lemma 9, we have  $\overrightarrow{uu_1}, \overrightarrow{uu_2} \in A(H)$ . Therefore, by Lemma 13,  $d^-(u_4) = 2$ . Consider  $H'_4 = H \setminus \overrightarrow{u_1u_3}$ ; clearly,  $H'_4$  contains no special cycle. By minimality of H,  $H'_4$  admits a good  $QR_7$ -arc-coloring that can be extended to H as follows. We first erase  $f(\overrightarrow{u_2u_4})$  and  $f(\overrightarrow{u_4u_3})$ ; then, thanks to Property  $(P_2)$ , we can set  $f(\overrightarrow{u_1u_3}) = f(\overrightarrow{u_4u_3})$ . Finally, since  $f(\overrightarrow{uu_2}) \neq f(u_4u_3)$ , we can extend f to a good  $QR_7$ -arc-coloring of H thanks to Property  $(P_2)$ .

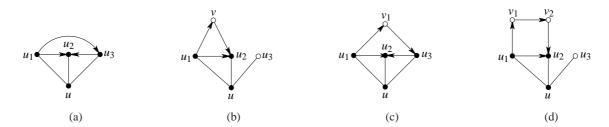


Figure 5: Configurations of Lemma 17

#### Lemma 17 The graph H contains no 3-vertex.

**Proof**: By Lemmas 10 and 16, H is a 3-regular graph. Let u be a vertex of H with neighbors  $u_1$ ,  $u_2$  and  $u_3$ . By Lemma 9, u is neither a 3-source nor a 3-sink and therefore, by Proposition 6, we may assume w.l.o.g. that  $d^+(u) \ge d^-(u)$ . Let  $\overrightarrow{u_1u}, \overrightarrow{uu_2}, \overrightarrow{uu_3} \in A(H)$ .

If  $H_1' = H \setminus u$  contains no special cycle, by minimality of H,  $H_1'$  admits a good  $QR_7$ -arc-coloring f that can be extended to H as follows. We can set  $f(\overrightarrow{u_1u}) \notin C_f^+(u_2) \cup C_f^+(u_3)$  thanks to Property  $(P_1)$ . Then, thanks to Property  $(P_2)$ , we can extend f to a good  $QR_7$ -arc-coloring of H.

Suppose now that  $H'_1$  contains a special cycle C. The graph  $H'_1$  contains three 2-vertices. Since a special cycle consists in k pairs of 2-sources and 2-sinks, C contains only one pair of adjacent 2-source and 2-sink (w.l.o.g.  $u_1$  and  $u_2$  respectively). Therefore, we have only four possible configurations depicted in Figure 5.

Clearly, the configuration of Figure 5(a) admits a good  $QR_7$ -arc-coloring. The white vertex of the configuration of Figure 5(c) is a 2-vertex by Lemma 11 and it is easy to check that there exits a good  $QR_7$ -arc-coloring of this graph. Consider now the configurations of Figures 5(b) and 5(d) and let  $H'_2 = H \setminus \overrightarrow{u_1 u_2}$ . We have  $H'_2 \prec H$  and clearly,  $H'_2$  contains no special cycle. Therefore, by minimality of H,  $H'_2$  admits a good  $QR_7$ -arc-coloing f that can be extended to H thanks to Property  $(P_2)$  since for any orientation of H,  $C_f^-(u_1) \cap C_f^+(u_2) = \emptyset$ .

#### **Proof of Theorem 2:**

By Lemmas 10, 16 and 17, a minimal counter-example to Theorem 5 does not exist.

We now say that a  $QR_7$ -arc-coloring f of an oriented subcubic graph G is quasi-good if and only if for every 2-source u,  $|C_f^+(u)| = 1$ .

Note that if a subcubic graph admits a quasi-good  $QR_7$ -arc-coloring f, we have  $|C_f^+(v)| \le 1$  for every  $\le 2$ -vertex v of G.

We shall then prove Theorem 2 by showing that every subcubic graph admits a quasi-good *QR7*-arc-coloring.

Let H be a minimal counter-example to Theorem 2.

If H contains no special cycle, by Theorem 5, H admits a good  $QR_7$ -arc-coloring which is a quasi-good  $QR_7$ -arc-coloring.

Suppose now that H contains at least one special cycle. By definition, a special cycle contains at least one 2-source. We inductively define a sequence of graphs  $H_0, H_1, \ldots, H_n$  for  $n \ge 0$ , and a sequence of vertices  $u_0, u_1, \ldots, u_{n-1}$  such that:

- $H_0 = H$ ;
- $H_i$  contains a special cycle, and thus a 2-source  $u_i$  for  $0 \le i < n$ ;
- $H_{i+1} = H_i \setminus u_i \text{ for } 0 \le i < n;$
- $H_n$  has no special cycle.

By Theorem 5,  $H_n$  admits a good  $QR_7$ -arc-coloring, and therefore a quasi-good  $QR_7$ -arc-coloring. Suppose that  $H_{i+1}$  admits a quasi-good  $QR_7$ -arc-coloring  $f_{i+1}$  for  $1 \le i < n$ ; we claim that we can extend  $f_{i+1}$  to a quasi-good  $QR_7$ -arc-coloring  $f_i$  of  $H_i$  as follows. To see that, let  $v_i$  and  $w_i$  be the two neighbors of  $u_i$  which are  $\le 2$ -vertices in  $H_{i+1}$ . Therefore, we have  $|C_{f_{i+1}}^+(v_i)| \le 1$  and  $|C_{f_{i+1}}^+(w_i)| \le 1$  and thanks to Property  $(P_2)$ , we can set  $f_i(\overline{u_iv_i}) = f_i(\overline{u_iw_i})$ .

Therefore, any quasi-good  $QR_7$ -arc-coloring of  $H_n$  can be extended to  $H_0 = H$ , that is a contradiction. A minimal counter-example to Theorem 2 does not exist, that completes the proof.

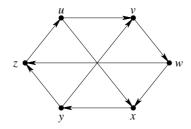


Figure 6: Cubic graph G with  $\chi'_{o}(G) = 6$ 

Currently, we cannot provide an oriented subcubic graph with oriented chromatic index 7. However, the oriented cubic graph G depicted in Figure 6 has oriented chromatic index 6.

Suppose we want to color G with five colors 1, 2, 3, 4, 5. Necessarily the colors of  $\overrightarrow{vw}$ ,  $\overrightarrow{xy}$  and  $\overrightarrow{zu}$  are pairwise distinct and we may assume w.l.o.g. that  $f(\overrightarrow{vw}) = 1$ ,  $f(\overrightarrow{xy}) = 2$  and  $f(\overrightarrow{zu}) = 3$ . Clearly, each of the colors 4 and 5 will appear at most once on  $\overrightarrow{uv}$ ,  $\overrightarrow{wx}$  and  $\overrightarrow{yz}$ . Therefore, w.l.o.g. we may assume that  $f(\overrightarrow{yz}) = 1$ , which implies w.l.o.g. that we must set  $f(\overrightarrow{ux}) = 4$ . Thus, we must set  $f(\overrightarrow{yv}) = 5$ , and then we have no remaining color to color  $f(\overrightarrow{wz})$ .

Therefore, we have the following:

**Proposition 18** Let  $\mathbb{C}$  be the class of subcubic graphs. Then  $6 \le \chi'_o(\mathbb{C}) \le 7$ .

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