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# Radiation transfer in stratus clouds at the BSRN Payerne site

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## Abstract

Clouds represent a major source of uncertainty in understanding climate change, because potential changes in the way they affect the atmospheric and surface energy budget are difficult to predict. It is therefore important to determine how clouds affect radiation. Stratiform clouds in particular have an important effect on climate as they cover large areas. This article presents results of radiation transfer calculations with MODTRAN<sup>TM</sup> for well-defined stratus cloud cases detected at the meteorological station of Payerne, Switzerland. These stratus situations are selected in a data set covering the years from 2000 to 2005 with a method using data widely available at national meteorological observing stations. For 18 single layer stratus situations the shortwave radiation fluxes calculated with MODTRAN<sup>TM</sup> are compared to surface observations from the Baseline Surface Radiation Network (BSRN) site at Payerne and top of atmosphere (TOA) observations from the Clouds and the Earth's Radiant Energy System (CERES) experiment. A median bias on the order of  $20 \text{ Wm}^{-2}$  (<9%) was found for the differences between modeled and observed reflected solar radiation at TOA. At the surface, good agreement is obtained by adjusting the vertical extinction in the modeled cloud layer within reasonable limits for a stratus cloud: The median bias of modeled minus observed shortwave downward radiation is well within instrument precision (<1%). The simultaneous agreement of modeled and observed radiation fluxes at the surface and TOA confirmed that radiation transfer in the atmosphere including a single cloud layer can be well simulated with MODTRAN<sup>TM</sup>. Based on the present results, the absorbance was calculated within the stratus cloud layer (cloud base to cloud top). For the 18 single stratus layer situations the median absorbance is 0.07 [minimum 0.04, maximum 0.1], the median transmittance is 0.29 [0.15 0.39], and the median cloud reflectance is 0.70 [0.63, 0.80].

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# 1 Introduction

Clouds cover approximately 60% of the earth's surface (Heymsfield, 1993), and they play a major role in the evolution of climate. The properties of clouds most important for climate are those that affect radiation and precipitation, namely, cloud height, thickness, horizontal extent and horizontal variability, water content, cloud phase, as well as the size of droplets and ice crystals. Therefore it is important to determine how clouds affect the radiation, while distinguishing the different types of clouds (Warren, 2002). Stratus, stratocumulus, altostratus and cirrus clouds are thought to have the greatest effect on climate as they cover large areas. Stratus and stratocumulus cover an area of 34% over ocean surface and 18% of the land surface (Heymsfield, 1993). On average, clouds tend to have a cooling effect on climate (Somerville et al., 2007). But, effects of clouds remain a major source of uncertainty in the simulation of climate changes (e.g. aerosol indirect effect, cloud lifetime effect, response of cloud cover to increasing greenhouse gases, Trenberth et al., 2007).

Many studies were performed using detailed cloud observations and radiation measurements. Special emphasis was given to the so called cloud absorption anomaly (CAA, Li et al., 1999). Collocated satellite and surface measurements of solar radiation showed significantly higher solar absorption by clouds than anticipated, resulting in about  $25 \text{ Wm}^{-2}$  more absorption than predicted by theoretical models (global mean, Cess et al., 1995). Two experiments were performed (Atmospheric Radiation Measurement Enhanced Shortwave Experiment – ARESE – and ARESE II) in order to determine the reasons for the systematic underestimation of the cloud absorption by the models (Valero et al., 2000; Ackerman et al., 2003). With help of the ARESE II data sets, Li (2004) demonstrated that no significant CAA was observed when accounting for observation and modeling uncertainties, and that it was largely an artifact. Studies like ARESE and ARESE II often include few very well determined cloud cases over a short period of time. For studying the radiative effects of clouds it is difficult and expensive to obtain the requested datasets over long time periods. However, for single

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layered stratiform cloud types, methods can be developed for calculating their effect on radiation using standard meteorological observations combined with satellite observations.

This paper presents results of radiation transfer calculations with MODTRAN<sup>TM</sup> for well-defined stratus cloud situations selected in a data set covering 2000 to 2005. These cloud situations are selected with a method using data widely available at national meteorological observing stations such as the MeteoSwiss Payerne aerological station (PAY). The data include surface weather observations (synops), radiosonde data and weather maps, as well as radiation observations from the PAY Baseline Surface Radiation Network (BSRN) station for model comparisons and validation. Our goal is achieving a sufficiently accurate description of the radiation transfer in the atmosphere (including a cloud layer) so that calculated radiation fluxes match both surface and top of the atmosphere observations. This study allows the determination of transmittance, absorbance and reflectance, while focusing on single layered stratiform clouds, more precisely on stratus nebulosus, which often occur during stable winter conditions at PAY.

## 2 Observation techniques

The PAY aerological station (46.812° N, 6.942° E, 491 m above sea level – a.s.l.), located in the Swiss Plateau between the Jura Mountains and the Alps, is operated by the Federal Office of Meteorology and Climatology (MeteoSwiss). Atmospheric observations are performed operationally following World Meteorological Organization (WMO) standards. For the selection of cloud cases, determination of cloud boundaries and for model to observation comparisons of surface radiation, official meteorological observations and BSRN radiation measurements from PAY were used. Additionally, the cloud case selection was confirmed with surface observations of La Chaux-de-Fonds (CDF, 47.083° N, 6.792° E) at 1060 m a.s.l and Jungfrauoch (JFJ, 46.547° N, 7.985° E), at 3580 m a.s.l. Table 1 gives a list of the observations used in this study.

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Because of the long time period considered, different sources of information were available at different times for specifying the status of the atmosphere. For a short time period (3 months during winter 2003/2004) an intensive measurement campaign including a cloud radar provided a dataset allowing comparison of the cloud top detection used in this study with cloud radar defined cloud top heights (Nowak et al., 2008a). Since November 2003 ceilometer data is available to define the cloud base height, which can be compared to the heights determined by surface weather observers.

## 2.1 Synop

Surface weather observations (synop), including cloud information are performed every 3 h at PAY, starting at 00:00 UTC. Cloud cover, type and base height are reported for the three main cloud levels (low, middle, high clouds) as well as the horizontal visibility (Müller, 1982). Despite their subjective character and variations from one observer to another these observations (synop) are very important for climatologists and meteorologists (Dai, 2006). These human eye observations are generally of excellent quality and give regular and important information to meteorologists about the state of the sky, the meteorological conditions (fog, snow, rain etc.), and the horizontal visibility.

## 2.2 Radiosounding

Balloon-borne meteorological radiosoundings including pressure, temperature and humidity profiles are measured twice a day at 12:00 and 00:00 UTC. They are launched at 11:00 and 23:00 UTC respectively, an hour before the designated time, in order to account for the balloon ascent. Operational radiosoundings are made with the SRS 400 sonde (Richner 1999; Ruffieux et al., 2006). In addition radiosoundings with the Snow White dew point hygrometer (Miloshevic et al., 2006) are available at irregular time intervals.

## 2.3 Weather map

The daily synoptic weather situation for Switzerland and Europe is published on a weather map including the distribution of the atmospheric pressure, fronts and information on the cloud situation, wind, sunshine duration, relative humidity and precipitation at 12:00 UTC. The 500 hPa geopotential height is given including wind direction and strength. Additionally, meteorological observations of several observation stations of the measurements network from MeteoSwiss are included on these weather maps for 06:00, 12:00 and 18:00 UTC. A satellite image (Meteosat) is shown for 12:00 UTC, as well as the PAY radiosonde ascent for the 00:00 and 12:00 UTC temperature and humidity profiles. The satellite imagery, even if of low resolution, gives valuable additional information on the cloudiness seen from top of the clouds.

## 2.4 Radiation

One of 39 operative BSRN radiation observation sites (Ohmura et al. 1998) spanning over the globe is located at PAY. High quality shortwave and longwave surface radiation flux measurements are performed at a high sampling rate. Diffuse and global shortwave downward radiation (SDR) are measured with shaded and unshaded Kipp & Zonen CM21 pyranometers, respectively, at a sampling rate of 1 Hz. One-minute mean values are recorded, as well as the sample minimum and maximum values, and the sample standard deviation. The pyranometers for the diffuse and global SDR measurements are ventilated and heated. In addition, the instruments are calibrated at the World Radiation Center (WRC) at Davos, Switzerland and are regularly compared with reference instruments using recent calibration from the WRC. The errors of the global and diffuse SDR have been estimated for BSRN PAY for a one year measuring period (October 2004–October 2005) (Ruckstuhl, 2008). The monthly mean error (RMSE) of the global SDR measured with an unshaded CM21 is about 1.6% ( $2.3 \text{ Wm}^{-2}$ ) with a bias of about  $-2.3 \text{ Wm}^{-2}$ . More details on the radiation observations at BSRN PAY and the instruments settings are given by Nowak et al. (2008b).

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## 2.5 Cloud radar

During the COST 720 Temperature, hUmidity and Cloud (TUC) experiment performed at PAY from 15 November 2003 to 15 February 2004 (Ruffieux et al., 2006), a Frequency Modulated Continuous Wave (FMCW) cloud radar was operated for determining cloud boundaries. In some stratus cloud cases used in this study, the cloud top height was determined using cloud radar data. The height of the upper boundary determined by the cloud radar was compared with the height of this boundary determined using humidity radiosounding profiles. The method to determine the cloud upper boundary and results of the comparison are described in Sect. 3. Further information on this method and its performance are provided by Nowak et al. (2008a).

## 2.6 Ceilometer

Since the beginning of the TUC experiment in November 2003, cloud base information inferred from ceilometer data is available at PAY. From November 2003 to August 2004, a Vaisala CT25K ceilometer was operational at PAY. Afterwards ceilometer data was retrieved from a nearby military airport (about 4 km from PAY), where the same type of ceilometer is operated at each end of the runway. When available, ceilometer data were used to provide cloud base height. In the other cases, the information from the synop observations was used. Cloud base detection with ceilometers is described by Nowak et al. (2008a) and Kollias et al. (2004).

## 3 Case selection and cloud boundaries detection

Stratus nebulosus is a low level cloud that is frequent at PAY, especially during the winter half year. It occurs either in combination with strong and stable high pressure conditions situated north of the Alps, together with easterly winds on the Plateau, or with the center of the high pressure being located over Switzerland and the Alps (Schüep, 2008).

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1979). In both cases, a distinct temperature inversion can be observed at the altitude where the cloud top is located. While the stratus layer over the Swiss Plateau hardly dissipates during the day, fair weather conditions dominate in the Jura Mountains and in the Alps (Hack, 2006). In such cases, it is possible to find single layered stratus cloud situations.

Surface observations (synops) are used for the primary selection of the cloud cases. Observations performed at 12:00 UTC were considered, including stratus nebulosus only at coverage of 8 octas (100%). A known limitation is the restriction to the lowest cloud layer in the case of multi-layer clouds with full sky coverage of the lowest layer. As the focus of this study is on low level single layered stratus clouds, the surface observations of CDF and JFJ were used for verifying the absence of clouds above the cloud layer detected at PAY. Only situations where CDF and JFJ observations include 0 or 1 octa of clouds were chosen. The daily synoptic weather map was verified with a focus on the atmospheric pressure distribution over Switzerland and Central Europe. The pressure distribution at the surface was used for verification of the general weather situation. The emphasis was set on stable high pressure conditions over Switzerland and the Alps. Under these conditions single layered stratus nebulosus situations most commonly occur.

The radiosounding profile was used to determine the cloud top height (Wang et al., 1999; Dong et al., 2000). Cloud layers were identified as regions with a relative humidity above a given threshold, and cloud upper boundaries were determined by finding sudden decrease in relative humidity (Nowak et al., 2008a). In two cases, data of the FMCW cloud radar could be used for the cloud top height detection. The limitation to two cases is due to the restriction for the cloud type and definition (single layer, noon observation, coincidence with satellite observation) and to the short operational period of the cloud radar at the PAY site. However, Nowak et al. (2008a) did not follow the above mentioned restrictions, and they could confirm on a larger data set (25 independent cases), the validity of the radiosounding profile method for determining the cloud upper boundary. In their study, they found an average difference between

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the radiosounding and cloud radar determination of the cloud upper boundary of 53 m (radiosounding minus cloud radar).

In the majority of the cases, the cloud lower boundary was inferred from the synop observations. However, for cases after 15 October 2003, ceilometer data were available for cloud base determination, and also allowed verification of the synop observation method. The agreement between the cloud base height detected with ceilometer data and the height reported in the surface observations is on the order of 25 m (median difference for 39 stratus cases found for this study or the study presented by Nowak et al., 2008a). Note that the altitude of the cloud base is measured by the ceilometer exactly above the instrument, but not for the surroundings; whereas the surface observations represent a mean altitude of the stratus covering the hemisphere. If the cloud base is not totally homogenous, large differences may occur between the local ceilometer data and the hemispherical synop observation.

#### 4 Satellite observations

Clouds and the Earth's Radiant Energy System (CERES) experiment satellite instruments were developed for the National Aeronautics and Space Administration (NASA) Earth observation system (EOS). CERES belongs to an investigation to examine the role of cloud/radiation feedback in the earth's climate system (Wielicki et al., 1996). The instruments are flown aboard the Tropical Rainfall Measuring Mission (TRMM) and on the EOS Terra and Aqua satellites. The CERES instrument consists of a three channel scanning broadband radiometer, which uses precision thermistor bolometers to achieve radiometric measurements with high accuracy and stability. The instrument's field of view is of 20 km (about a factor 2 smaller than for the Earth Radiation Budget experiment). Three spectral channels measure the thermal radiation emitted from the earth's surface in the 8–12  $\mu\text{m}$  window, the shortwave broadband 0.2–5  $\mu\text{m}$  and total broadband 0.2–100  $\mu\text{m}$  radiation. The CERES product used in this study is the Clouds and Radiation Swath (CRS) for the period of December 2000 to December 2005. This

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product includes CERES observed TOA fluxes, cloud properties derived by the CERES team from MODIS pixels collocated within the larger CERES footprints, and the results of a fast radiation transfer code for each CERES footprint included in the file. More information on this product is given by Charlock et al. (1997) and Rutan et al. (2001).

5 The reflected solar irradiance observed at the top of atmosphere (TOA) was used for comparison with our model results. Cloud properties given in the CRS include information on cloud fraction, cloud type and phase (water/ice). These variables were used for confirming that the CERES instrument observed conditions similar to single layered stratus cloud situations, which we assume in our model.

10 For a short time period, data from the Geostationary Earth Radiation Budget (GERB) project (Harries et al., 2005) were available. GERB is a highly accurate, visible-infrared broadband radiometer designed to operate on a spinning geostationary satellite to make measurements of the reflected solar and emitted thermal radiation from the Earth (Harries and Crommelynck, 1999). The GERB instrument is in operation on the  
15 first Meteosat Second Generation (MSG) satellite. Total broadband reflected radiation (0.32–100.0  $\mu\text{m}$ ), shortwave reflected radiation (0.32–4.0  $\mu\text{m}$ ), and longwave emitted radiation (4.0–100.0  $\mu\text{m}$  by subtraction of SW from total) observations are available. The data used in this study is the High Resolution (HR) product provided over a grid size of 3×3 SEVIRI pixels (i.e. 9×9 km at nadir). Fine scale estimates of the broadband  
20 radiances from SEVIRI are combined with GERB observations (44.6 km×39.3 km at nadir) to produce the GERB HR data. The HR values are provided each 15 min as an instantaneous value at the time of the SEVIRI observation. Observations collocated with the PAY station are available since February 2004. During the period from February 2004 to December 2005 four situations were found answering our requisites  
25 for model simulations and model to observation matching at surface and top of atmosphere.

For few stratus cases, observations of the medium-spectral resolution imaging spectrometer (MERIS) were available, and used for a verification of the cloud type (classified according their height and optical thickness) and cloud albedo (Fischer et al., 2000a,

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b). Especially the cloud type information was valuable for verifying that the selected case is a single cloud layer situation.

## 5 Radiation transfer model MODTRAN<sup>TM</sup>

MODTRAN<sup>TM</sup> is a state of the art column radiative transfer model to calculate short-wave and longwave radiation fluxes at the Earth's surface or at any other point in the atmosphere from the UV (0.2  $\mu\text{m}$ ) to the thermal infrared ( $>100 \mu\text{m}$ ). The version used for this study is MODTRAN5v2r11<sup>TM</sup> (called MODTRAN<sup>TM</sup> in this paper for convenience). For detailed information about MODTRAN<sup>TM</sup> and the range of applications see Berk et al. (1998, 2003).

The performance of a former MODTRAN4<sup>TM</sup> release for clear-sky radiation transfer at PAY has been tested in a previous study of cloud free situations at noon (Nowak et al., 2008b). In the study of the cloud free situations, the diffuse SDR was found to be generally overestimated by the model (median bias  $4.5 \text{ Wm}^{-2}$ ), and the model to observation linear regression slope and zero-intercept were found to differ significantly from their ideal values of 1 and 0. However, better agreement was obtained when restricting the data set to cases where model 550 nm aerosol optical depth input is inferred from observations using nine spectral channels, and BSRN observations were performed with a new and more precise shading disk and sun tracker system. In this case, the median bias between model simulations and observed diffuse SDR was  $-0.4 \text{ Wm}^{-2}$  ( $<1\%$ ).

Beside the introduction of a cloud layer, the description of the atmospheric state and the incoming radiation were developed similarly for this study and the previous one concerning clear-sky radiation. A detailed description of the model settings for SDR calculations is given by Nowak et al. (2008b), including the method for calculating  $\text{CO}_2$  and  $\text{O}_3$  concentrations and the implementation of the temperature, pressure and absolute humidity profile.

With respect to the previous study of clear-sky radiation transfer, the main difference

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in the model setup is the introduction of a cloud layer. In the present study, a single uniform stratus cloud layer is used. Cloud base height is determined using synop observation or ceilometer data as described in Sect. 3. The cloud geometrical thickness is derived from the cloud base height and determination of cloud top height using radiosounding profiles or cloud radar data (see Sect. 3). In the MODTRAN™ framework, model properties determining the simulation of radiation transfer in cloud are the cloud liquid water droplet vertical extinction [ $\text{km}^{-1}$ ] (hereafter called cloud vertical extinction), and the water droplet vertical column density [ $\text{km g m}^{-3}$ ]. None of these parameters are routinely available at PAY. Consequently, the model default settings of these two parameters for stratus clouds were used for initial model simulations. Then, the vertical cloud extinction was adjusted within limits adequate for stratus cloud, in order to minimize the differences between modeled and observed surface diffuse SDR. Such an adjustment seemed legitimate since there were no measurements available to determine this parameter, and the agreement found between model and observation in our former study (Nowak et al., 2008b) demonstrated the good skill of the model at least for clear-sky radiation transfer.

## 6 Results

### 6.1 Surface radiation

In our data set covering the years from 2000 to 2005, we found 32 independent cases where a single stratus cloud layer could be unequivocally identified at the time of the noon radiosonde launching (11:00 UTC) using the information described in Sects. 2 and 3. For these cases, we could simulate the transfer of radiation through the atmosphere (including the single cloud layer). As mentioned above, the first simulation was performed using the stratus clouds default model settings for describing the cloud layer between the lower and upper boundaries determined as described in Sect. 2. The modeled diffuse SDR values are compared with a 5-min mean of the measurements

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around this simulated time  $t$  (11:00 UTC in this case). The mean is calculated from the minute-averaged observed radiation from  $t$  minus 2 min to  $t$  plus two minutes. In 13 of the 32 cases, a difference on the order of, or less than  $10 \text{ Wm}^{-2}$  was found between the simulated and observed values. In the remaining cases, we checked whether it was possible to obtain a better agreement by adjusting the vertical cloud extinction within a reasonable range for stratus clouds ( $40$  to  $80 \text{ km}^{-1}$ ). In a study presented by Lindberg et al. (1984), profiles of the extinction within stratus clouds were obtained with lidar returns from ground based measurements and spectrometers and a point visibility meter carried aloft by a tethered balloon. Their extinction values within the stratus cloud vary between the aforementioned limits with a maximum extinction on the order of  $100 \text{ km}^{-1}$ . Since we do not have measurements of the vertical extinction, it is reasonable to adjust this model parameter within the range observed by Lindberg et al. (1984), in order to obtain a good agreement between model results and observation at the ground. In case a subsequent reasonable agreement is obtained between model results and satellite observations at TOA, we can conclude that the MODTRAN<sup>TM</sup> simulation of radiation transfer in the atmosphere including the cloud layer is satisfactory. Such adjustment of an unobserved cloud property for obtaining good agreement between simulated radiation fluxes and the corresponding observations was also applied in other studies (e.g. Dong et al., 2000 and Ackerman et al., 2003). After such an adjustment, it was in all cases possible to reduce the difference to be on the order of, or less than  $17 \text{ Wm}^{-2}$ . Table 2 provides different statistical indicators of the agreement between model simulations and observations.

## 6.2 Top of atmosphere reflected radiation

As stated in the introduction, we aim at achieving a description of the radiation transfer in the atmosphere, including a single cloud layer, in a sufficiently accurate manner so that the calculated radiation fluxes match observed values both at the surface and at the top of the atmosphere. For 31 of the 32 cases studied here, CERES observations of the reflected shortwave radiation at the top of atmosphere (later referred to as

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$SUR_{\text{toa}}$ ) were available within a  $\pm 1$  h window around the radiosonde launching time. However, the simulation results exhibited large discrepancies with the  $SUR_{\text{toa}}$  CERES observations (large positive bias in the modeled minus observed  $SUR_{\text{toa}}$ ).

Two reasons were found that can explain such discrepancies. First, in some cases, the viewing zenith angle between the CERES instrument and the PAY station is large, which results in large uncertainties in  $SUR_{\text{toa}}$ . Restricting this angle to values below  $40^\circ$  further restricted the data set to 18 cases, which show a better agreement, but still have significant differences. Second, the CERES observation time is within a  $\pm 1$  h window with respect to the radiosonde launching time, but generally a time mismatch remains. The most important effects arising from this time mismatch are differences in solar zenith angle and in the characteristics of the cloud layer. In our stringent cloud selection, several criteria privileged stable situations. Thus, in most of the cases, the cloud layer should not evolve significantly between the time of radiosonde launching and the time of CERES observation, but changes in the location of the cloud upper and lower boundaries, as well as the cloud vertical extinction can occur.

Therefore, for the 18 cases where both the top of atmosphere and surface radiation flux simulation results could be compared with observation, we performed new simulation at the time of the CERES observation, and compared them with the 5-min mean of surface observation around this time (similarly to Sect. 6.1). In order to compensate the possible evolution of the cloud layer between the time of radiosonde launching (determination of the cloud layer altitude) and the time of simulation, the cloud vertical extinction was adjusted within reasonable limits ( $30$  to  $85 \text{ km}^{-1}$ ) in order to obtain a good agreement between the surface SDR model calculation and observation. In 12 of the 18 cases an agreement better than  $\pm 10 \text{ Wm}^{-2}$  was obtained, while in the other cases differences up to  $\pm 25 \text{ Wm}^{-2}$  remained. As mentioned in Sect. 6.1, this adjustment was performed within limits compatible with observations from Lindberg et al. (1984), and is reasonable given the absence of measurements of this parameter at PAY, and given the fact that we obtain a satisfactory agreement at TOA, while adjusting for agreement at the surface.

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Figure 1 shows a comparison between modeled and observed surface SDR for the 18 cases described in the previous paragraph, using the CERES observation time. Again, Table 2 provides different statistical indicators of the agreement between model simulations and observations. It can be seen that the majority of the simulation results could be well adjusted to the observation, but some cases present relatively significant differences. The correlation (0.96) is high, and the median bias ( $-0.11$  or  $-0.22\%$ ) is well below the instrument precision, which is expected, because the simulation is adjusted to yield a good agreement in this quantity. However, the slope of the regression line and its zero-intercept are  $0.84$  and  $16.8 \text{ Wm}^{-2}$ , respectively, and are significantly different from their expected value of  $1$  and  $0$  (see Table 2). It is due to the fact that the majority of outliers are significant model underestimation for cases featuring high SDR observation: On Fig. 1, four of the seven cases with SDR observation above  $110 \text{ Wm}^{-2}$  are significantly below the  $X=Y$  line.

The model simulation values of the reflected shortwave radiation at the TOA ( $\text{SUR}_{\text{toa}}$ ) for the 18 studied cases are compared to corresponding CERES observations in Fig. 2, and the related statistical indicators are also listed in Table 2. The agreement between simulation values and CERES observation is relatively good. The correlation remained as high as for surface radiation fluxes (0.96), but a more significant positive median bias is present ( $20.0 \text{ Wm}^{-2}$  or  $8.9\%$ ). Furthermore, the 5 to 95 percentile range of the model minus observation difference distribution just includes zero. Even though the sample is small, there seems to be a systematic positive bias between the model results and the CERES observation. On the other hand, the slope and zero-intercept of the regression line ( $0.96$  and  $35.3 \text{ Wm}^{-2}$ , respectively) demonstrate the satisfactory agreement between model and observations, for they are compatible with their expected values of  $1$  and  $0$  at the 95% confidence level (see Table 2). In addition to the CERES observations, preliminary values for  $\text{SUR}_{\text{toa}}$  observations by the GERB HR product (see Sect. 4) have been made available, but are not yet released for publication. In four cases, GERB observations could be compared with our simulations and differences less than  $21 \text{ Wm}^{-2}$  were found for all these cases.



### 6.3 Cloud absorbance, transmittance and reflectance

The results presented above show that radiation transfer in the atmosphere including a single cloud layer can be well simulated with MODTRAN<sup>TM</sup> using our best knowledge of the atmospheric state derived from the data presented in Sects. 2 and 3, and reasonable assumptions for the parameters that were not measured. In addition, we showed in a previous study (Nowak et al., 2008b) that the radiation transfer model we use also performed well in simulating transfer in a non-cloudy atmosphere.

Therefore the absorbance and reflectance of stratus cloud single layers can be inferred from our simulations using the calculated fluxes at the surface, the top of atmosphere and at the cloud boundaries. The shortwave absorbance and transmittance, as well as reflectance were calculated for the 18 cases where the matching with CERES observation at TOA was possible. Furthermore, the good performance of MODTRAN<sup>TM</sup> for these 18 cases lead us to also compute absorbance and reflectance for all the 32 cases where single stratus cloud layers could be unequivocally identified, regardless of the availability of TOA observations. However, in the latter case, the time of radiosonde launching was used as simulation time, because it allowed a better cloud description.

For determining the absorbance  $A$  in an atmospheric layer, we first calculated the net shortwave radiation flux (SNR) at the layer boundaries by subtracting the shortwave upward radiation (SUR) from the shortwave downward radiation flux (SDR, including both diffuse and direct radiation when any direct solar radiation is remaining):  $SNR=SDR-SUR$ . SNR is calculated from model simulated flux at the surface (sfc), cloud base (cba), cloud top (cto) and top of atmosphere (toa). We then calculated the absorbance  $A$  similarly to Valero et al. (2000). The absorbance of the cloud layer is therefore calculated as:

$$A_{\text{cld}} = \frac{SNR_{\text{cto}} - SNR_{\text{cba}}}{SDR_{\text{cto}}}. \quad (1)$$

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The transmittance  $\tau$  is then obtained as

$$\tau = \frac{\text{SDR}_{\text{cba}}}{\text{SDR}_{\text{cto}}}, \quad (2)$$

therefore as the ratio of the incoming radiation at the lower and upper boundary of an atmospheric layer. These calculations were performed for the following layers: above cloud (acl, from toa to cto), cloud (cld, from cto to cba), below cloud (bcl, from cba to sfc), and for the whole atmosphere (all, from toa to sfc). The reflectance  $r$  of a layer is the ratio of outgoing flux and incoming flux at the top of the selected layer ( $r = \text{SUR} / \text{SDR}$ ). In this study,  $r$  is calculated for the cloud top (cto) and for the whole atmosphere at TOA (all). The median, minimum and maximum values of absorbance, transmission and reflectance are given in Table 3. Finally, besides the absorbance it is interesting to calculate the absorbed irradiance (absorption) in the cloud layer in  $\text{Wm}^{-2}$ . We calculated the absorption as Oreopoulos et al. (2003) by subtracting the net flux (SNR) at cloud base from SNR at cloud top.

Figure 3 presents box plots summarizing the distribution of absorbance for the 18 cases where matching with CERES observation was possible (black box plots), and for the whole 32 cases where the model runs were performed at radiosounding launching time (blue box plots). While the absorbance below the cloud layer is almost negligible, the median absorbance in the cloud is 0.07 varying between 0.04 and 0.1 for the 18 CERES cases. For the entire remaining atmosphere above the cloud, the absorbance is about 2 to 3 times higher with a median of 0.18, and minimum and maximum values of 0.13 and 0.23. Figure 4 presents box plots summarizing the distribution of transmittance for the 18 cases (CERES, black box plots), and for the 32 cases (11:00 UTC model runs, blue box plots). While the transmittance below the cloud layer is almost 1, and is on the order of 0.8 (0.72 to 0.86) above the cloud layer, it goes down to about 0.3 (0.15 to 0.39) for the cloud layer. Ideally, the absorbance, reflectance and transmittance of an atmospheric layer should add to 1 (ideal case with albedo being 0). When the median values of these parameters are added, the result for the layer below cloud (bcl) is significantly larger than for the ideal case ( $A + r + \tau = 1.17$ ). However for

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the cloud layer (cld) and the entire atmosphere (all) the addition results in 1.06 and 1.04, respectively.

The absorption depends on the solar elevation, cloud geometrical thickness and cloud droplet characteristics, such as number concentration, and the size distribution.

As mentioned before, no information about cloud droplet characteristics was available for this study, therefore model default were assumed for these characteristics, except that the vertical extinction was adjusted when necessary. The main absorption within the cloud is due to molecular absorption between the cloud particles, the cloud particles however promote absorption by increasing the optical path lengths of radiation due to scattering. For the 18 CERES cases the mean absorption is on the order of  $28 \text{ Wm}^{-2}$  varying between  $13.7$  and  $51.7 \text{ Wm}^{-2}$ . Below the cloud, approximately  $1 \text{ Wm}^{-2}$  is absorbed [minimum  $0.2 \text{ Wm}^{-2}$ , maximum  $4 \text{ Wm}^{-2}$ ], and  $91$  [60, 111]  $\text{Wm}^{-2}$  above the cloud top to the TOA.

## 7 Discussion

The performance of MODTRAN<sup>TM</sup> in simulating radiation flux at the surface for an atmosphere including a single stratus cloud layer was satisfactory. The atmosphere was defined in large part using observations at the site as model input. Similarly, the cloud layer elevation and extent were derived from observations, but no information was available to define the other cloud optical properties. Nonetheless, it was possible to obtain an agreement between modeled and observed surface SDR within instrumental uncertainty in about a third of the 32 cases considered. For the rest of the cases, it was possible to obtain comparable agreement by adjusting the cloud vertical extinction within reasonable limits for a stratus cloud.

TOA radiation flux measurements by the CERES satellite instruments that were suitable for comparison with our model simulations were found in 18 of the 32 cases. However, for sensible comparison we had to use the CERES observation time for both our simulations and surface radiation observations. This resulted in an increased mis-

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match with the time of the observations used to derive the cloud layer elevation and extent. Consequently, additional uncertainty was introduced, and we had to adjust the cloud vertical extinction for a larger fraction of the cases. Nonetheless, a reasonably good agreement was obtained for the 18 CERES cases while keeping the adjusted cloud vertical extinction within limits reasonable for a stratus cloud. The slope of the linear regression between the simulated and observed  $SUR_{toa}$  is compatible with 1.

On the other hand, we found a mean error (RMSE) of 10.7% and a bias on the order of  $20 \text{ Wm}^{-2}$ . Loeb et al. (2007) performed consistency tests comparing CERES TOA fluxes of the same scene from different viewing geometries. These TOA fluxes are not direct observations, but results from the application of angular distribution models on measurements. For overcast low clouds, they found a consistency of 8.5% that can be compared with our flux error. This seems lower than the RMSE and bias we found. But it should be emphasized that such error determination is difficult to compare with our results: We analyze 18 independent cases at a defined time, and the issue of the accuracy regarding a single CERES footprint is a problem difficult to address. Error can only be established for averages of views, and not for a single view. Our RMSE and the consistency found by Loeb et al. (2007) seem on the same order. On the other hand, the bias can be explained by the size of the CERES footprint and the geometry of our column model.

Using a column model forbids reproducing 3-D effects of clouds. The footprint for the CERES products includes parts of the nearby Jura Mountains and foothills of the Alps. These regions exhibit strongly different topographies than the PAY station. Since our study focuses on situations where the cloud deck is generally low, it must be assumed that the Jura and the Alp foothills often stick out of the stratus cloud layer. When these regions are not snow-covered, this can have an important influence on the reflectance (albedo) and therefore on the  $SUR_{toa}$  satellite observations. Instead of the uniform cloud we assume in the model, the footprint also includes in such case the much darker ground surface, resulting in a model overestimation of  $SUR_{toa}$  satellite observations. However, the Jura and Alp foothills are relatively low (on the order of 1500 m a.s.l.),

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and we would expect such overestimation to be more important when the clouds are lower. But differences between the modeled and observed  $SUR_{toa}$  do not show any correlation with increasing cloud top height or vertical extent as can be seen in Fig. 5.

Stratus nebulosus is a cloud type that can exhibit large variations in cloud extent, cloud droplet concentrations, optical depth and liquid water path (Dong et al., 2000). In the 32 stratus cases presented in this study, the cloud extent varied between 130 and 600 m, with cloud bases between 55 and 660 m, and cloud tops between 310 and 1180 m above the ground. The absorbance values found in our study are comparable to those found in other studies (Oreopoulos et al., 2003; Ackerman et al., 2003), if we use the same layer limits than used in these studies. In order to compare our results to those obtained in other studies, we used the model inferred absorbance and transmittance values for the same atmospheric layer as presented in these studies. In these two studies, the absorbance is inferred using surface measurements or measurements from an aircraft flying below the cloud and measurements from an aircraft flying above the cloud (7 and 13 km for ARESE and ARESE II, respectively). We infer the absorbance for the selected layer from our model calculations. The absorbance values observed between surface observations and aircraft measurements by Ackerman et al. (2003, ARESE II) vary between 0.178 and 0.217 (flight averages) for three selected days of measurements. The median absorbance for the corresponding atmospheric layer obtained with MODTRAN<sup>TM</sup> calculations in our study is 0.177 and varies between 0.146 and 0.203.

CERES products include the TOA reflectance. However, this does not use the same  $SUR_{toa}$  that we use for our comparisons. The  $SUR_{toa}$  values we used were directly inferred from measured radiances. However, for its TOA reflectance products, CERES uses values of  $SUR_{toa}$  and  $SDR_{toa}$  that are both consistent with their model of the atmospheric radiation transfer. Nevertheless, the median CERES-derived reflectance for the 18 cases we studied is 0.59, therefore identical to the value we deduced from our simulations. The minimum CERES-deduced reflectance was lower (0.49), while the maximum was on the same order than our determination.

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## 8 Conclusions and outlook

This study presents a method to deduce absorption, absorbance, transmittance and reflectance of solar radiation in stratiform clouds, determined with a state of the art RTM and with widely available atmospheric observations. Such observations include radiosonde profiles, synop observations, cloud remote sensing information and radiation measurements. Solar radiation flux matching between model and observations at both surface and top of atmosphere was obtained within reasonable agreement.

The results presented in this study offer a method for the monitoring of the effect of stratiform clouds on the solar radiation. Especially in a changing climate, tracking changes in radiation budgets due to potential cloud changes becomes important (e.g. changes of the stratiform cloud cover have been reported over the United States over a period from 1940 to 2002 by Sun and Groisman, 2004). Additionally cloud radiative properties may not remain constant when climate changes (Slingo, 1989).

Detailed atmospheric observations are required for improving the knowledge of the absorbance and reflectance of different cloud types. Ample data sets such as for ARESE (Valero et al., 2000) and ARESE II (Oreopoulos et al., 2003) are rare and expensive to obtain. This study demonstrates a method using an alternative data set to obtain valuable information on cloud effects on solar radiation. Therefore we highly recommend carrying on similar future studies on longer time span for more cloud types (e.g. altostratus, nimbostratus), with the help of a growing set of data (e.g. additional satellite information), and for different locations over the globe (e.g. BSRN sites with radiosonde data and synop observations).

The value of this study would have been significantly enhanced if the cloud optical properties had been available. Some cloud continuous operational monitoring with remote sensing instruments would be the most valuable addition from the point of view of such studies. Similarly, the performance of the future GERB high resolution products (Harries et al., 2005) should be noted here, which combine the excellent radiometric performances of the GERB instrument with the finer spatial resolution of the SEVIRI

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imager. Unfortunately, these products are still under validation, and we urge the GERB community to release as soon as possible these very valuable data sets for publication.

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**Table 1.** List of the used variables with source information, time resolution, and reference for further details.

Observations	Source	Time resolution	Ref.
Cloud base height	Synop Vaisala CT25K Ceilometer	3 h 30 s	Müller (1982) Nowak et al. (2008a)
Cloud top height	Radiosounding, Meteolabor SRS 400 FMCW Cloud radar, RAL, UK	12 h 30 s	Wang et al. (1999) Nowak et al. (2008a)
Cloud cover	Synop (PAY, JFJ, CDF)	3 h	Müller (1982)
Temperature, Humidity, Pressure Profile	Radiosounding Meteolabor SRS 400	12 h	Miloshevic et al. (2006)
Surface pressure situation	MeteoSwiss Weather Maps	1 d	MeteoSwiss
Surface SDR	BSRN (Kipp & Zonen CM21)	1 min	Ohmura et al. (1998)
TOA SUR	CERES/GERB	3 h/15 min	Wielicki et al. (1996) Harries et al. (1999)

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**Table 2.** Statistic indicators of the agreement between model simulation and observations for the datasets at surface and top of atmosphere (TOA): **(a)** surface diffuse shortwave downward radiation (SDR) measurements at 11:00 UTC, **(b)** surface SDR measurements at the CERES observation time for possible CERES TOA observation matching, and **(c)** TOA reflected shortwave radiation from CERES. For each dataset: number of cases, correlation ( $R^2$ ), median bias in  $\text{Wm}^{-2}$  and % (the 2.5 and 97.5 percentiles are indicated in brackets), RMSE in  $\text{Wm}^{-2}$  and %, slope and zero-intercept of model to observation linear regression (95% confidence intervals for the slope and zero-intercept are indicated in brackets).

Dataset	Nr. of cases	$R^2$	Median bias [ $\text{Wm}^{-2}$ ]	Median bias [%]	RMSE [ $\text{Wm}^{-2}$ ]	RMSE [%]	Slope	Zero-Intercept
Surface 11:00 UTC <sup>a</sup>	32	0.97	0.6 [−15.9 16.3]	0.5 [−11.6 14.6]	7.2	6.2	0.93 [0.84, 1.02]	7.4 [−2.3, 17.0]
Surface CERES valid <sup>b</sup>	18	0.96	−0.1 [−25.5 23.2]	−0.2 [−16.4 23.6]	12.5	10.1	0.84 [0.70, 0.97]	16.8 [0.6, 33.0]
TOA CERES <sup>c</sup>	18	0.96	20.0 [−3.3 58.3]	8.9 [−1.4 21.4]	28.3	10.7	0.96 [0.82, 1.09]	35.3 [−4.5, 75.2]

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**Table 3.** Median, minimum and maximum values of the 18 valid CERES cases for the absorbance  $A$  and transmittance  $\tau$  in the atmospheric layers below cloud (bcl), in cloud (cld), above the cloud (acl) and the entire atmosphere from surface to toa (all). The same information is given for the reflectance  $r$ , at the surface (sfc) the top of the cloud (cto) and the top of the atmosphere (toa).

	$A$ , median			$\tau$ , median			$r$ , median			
	min	max	min	min	max	min	max	min	max	
bcl	0.009	>0.00	0.02	0.97	0.94	0.99	sfc	0.19	0.16	0.27
cld	0.07	0.04	0.10	0.29	0.15	0.39	cto	0.70	0.63	0.80
acl	0.18	0.13	0.23	0.78	0.72	0.86	–	–	–	–
all	0.23	0.20	0.26	0.22	0.11	0.28	toa	0.59	0.53	0.66

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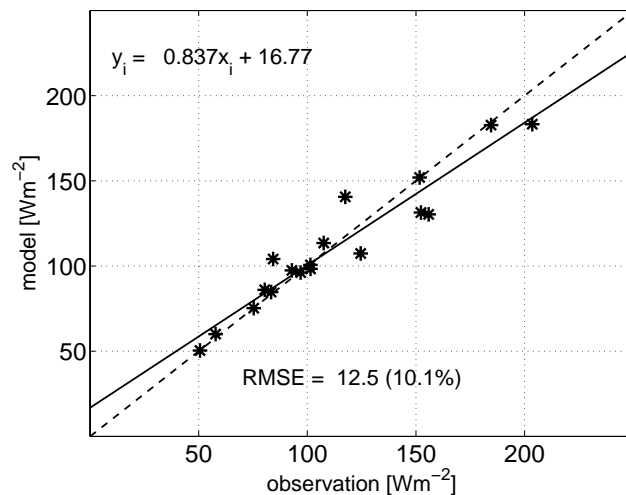
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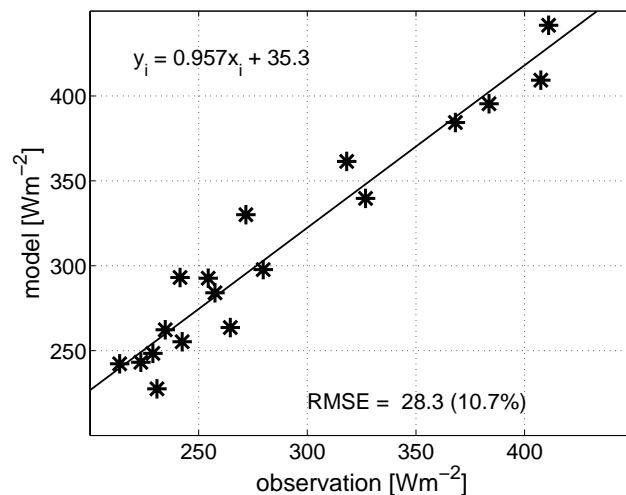


**Fig. 1.** Modeled versus observed surface diffuse shortwave downward radiation (SDR), 18 cases each calculated at the time of CERES satellite observation over Payerne. The correlation is on the order of 0.96.

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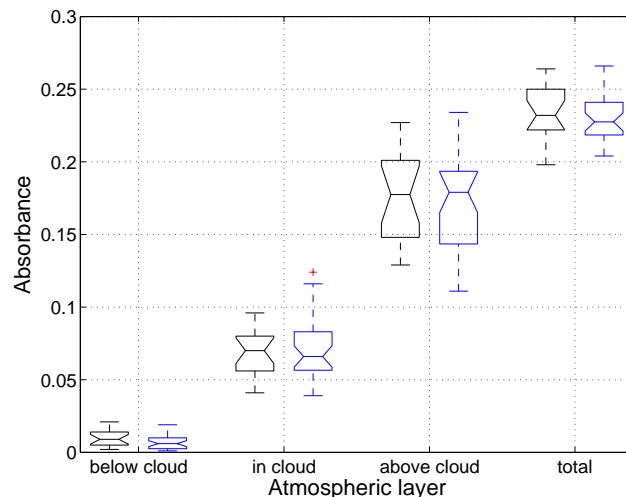


**Fig. 2.** Modeled versus observed top of atmosphere reflected shortwave radiation, 18 cases each calculated at the time of CERES satellite observation over Payerne. The correlation is on the order of 0.97.

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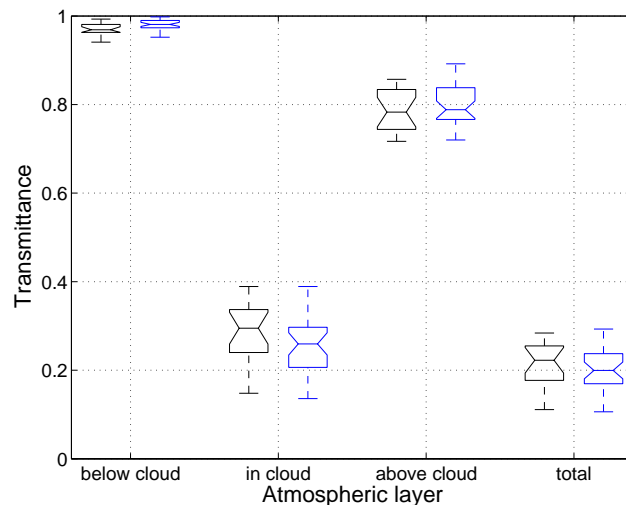
**Fig. 3.** Box plots for shortwave absorbance in the atmospheric layer between surface and cloud base (below cloud), within the cloud (in cloud), between cloud top and top of the atmosphere (above cloud) and for the entire atmosphere (total). The black box plots represent absorbance for the 18 cases with CERES time of observation, the blue box plots represent the absorbance for the 32 cases calculated for 11:00 UTC (radiosounding launch time).

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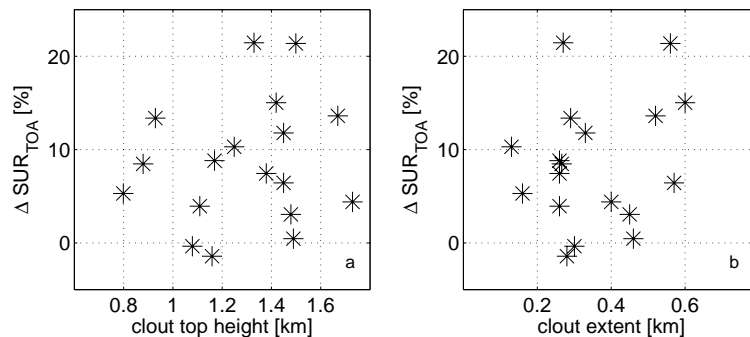


**Fig. 4.** Box plots for shortwave transmittance in the atmospheric layer between surface and cloud base (below cloud), within the cloud (in cloud), between cloud top and top of the atmosphere (above cloud) and for the entire atmosphere (total). The black box plots represent transmittance for the 18 cases with CERES time of observation, the blue box plots represent the transmittance for the 32 cases calculated for 11:00 UTC (radiosounding launch time).

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**Fig. 5.** Relative differences of modeled minus observed  $\text{SUR}_{\text{toa}}$  for the 18 CERES cases compared to the cloud top height **(a)** and the cloud vertical extent **(b)**.

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