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Seismic detection and characterization of landslides and other mass movements

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Abstract. Seismic methods used in the study of snow avalanches may be employed to detect and characterize landslides and other mass movements, using standard spectrogram/sonogram analysis. For snow avalanches, the spectrogram for a station that is approached by a sliding mass exhibits a triangular time/frequency signature due to an increase over time in the higher-frequency constituents. Recognition of this characteristic footprint in a spectrogram suggests a useful metric for identifying other mass-movement events such as landslides. The 1 June 2005 slide at Laguna Beach, California is examined using data obtained from the Caltech/USGS Regional Seismic Network. This event exhibits the same general spectrogram features observed in studies of Alpine snow avalanches. We propose that these features are due to the systematic relative increase in high-frequency energy transmitted to a seismometer in the path of a mass slide owing to a reduction of distance from the source signal. This phenomenon is related to the path of the waves whose high frequencies are less attenuated as they traverse shorter source-receiver paths. Entrainment of material in the course of the slide may also contribute to the triangular time/frequency signature as a consequence of the increase in the energy involved in the process; in this case the contribution would be a source effect. By applying this commonly observed characteristic to routine monitoring algorithms, along with custom adjustments for local site effects, we seek to contribute to the improvement in automatic detection and monitoring methods of landslides and other mass movements.

1 Introduction

Seismic detection in real or quasi-real time of natural events associated with mass movements such as landslides, debris flows, rock falls and snow avalanches can provide timely warnings to people, reducing the associated risk. Detection even in remote, uninhabited areas can be helpful in characterizing return periods. Moreover, the seismic characterization of these phenomena can serve to remove them as “noise” events, masking other potentially important seismic signals such as earthquakes or volcanic and man-made explosions of interest.

In addition to the most common application of seismology to the discrimination and analysis of assumed stationary, point-source phenomena such as explosions and earthquakes, these methods have also been applied to the study of mass movements. Landslide signals recorded by seismometers were investigated in the early 20th century; two of the eminent researchers in this area were Galitzin (1915) and Jeffreys (1923). More recent analyses have been carried out by Norris (1994) and Wiechert et al. (1994), on rockfalls and landslides, respectively. Uhira et al. (1994) studied seismic waves excited by pyroclastic flows in erupting volcanoes in an attempt to clarify the source mechanism of seismic waves. Most of these studies have been based on time series obtained with seismometers.

Snow avalanches were first investigated seismologically by Lawrence and Williams (1976). Suriñach et al. (2000) demonstrated that seismology can be successful in detecting and determining the characteristics of snow avalanches. Seismic sensors have also been used for debris flows (e.g. Arattano, 2003; Arattano and Marchi, 2005). Additional sensors such as microphones, hydrophones or accelerometers have also been used in the study of mass movements (e.g. Van Lancker and Chritin, 1991; Hagerty et al., 2000; Itakura et al., 2000; Huang et al., 2004).

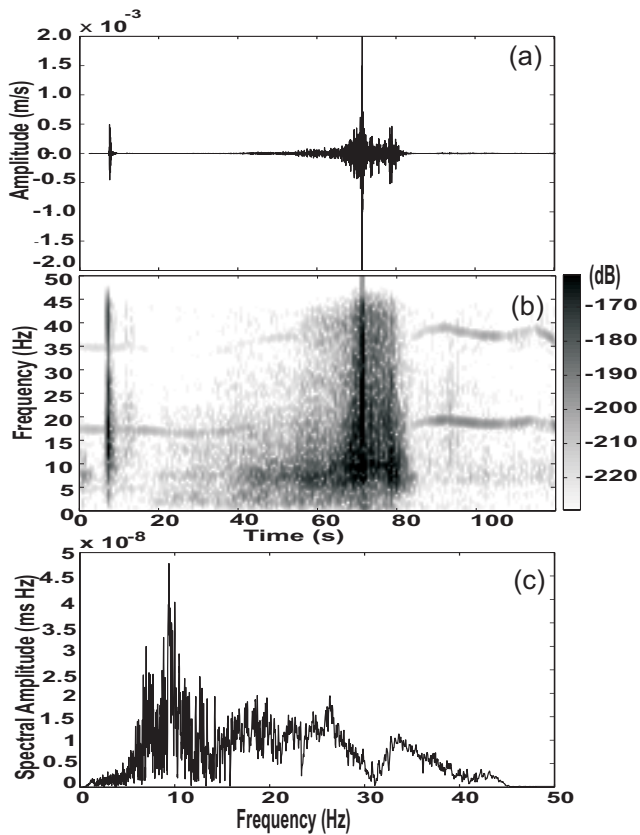


Fig. 1. (a) E-W component seismogram (100 sps) of La Sionne (Switzerland) artificially released dry/mixed avalanche on 20 February 2000, 09:40 UTC recorded at station C (Fig. 4c). (b) Running spectra with a 128-sample window and 50% overlap. (c) Total spectrum of the avalanche signals (excluding the explosion).

In general, the recorded seismic time series of these phenomena are complex since the wave field obtained at a point receiver is composed of many phase arrivals. This results from the existence of moving multi-seismogenic sources and from the complexity of the wave propagation in heterogeneous media and the rugged topography that usually accompanies the phenomena. Energy attenuation through internal frictional losses (anelasticity) and geometrical spreading with distance must also be taken into account.

Although the mass movements stated above are different in nature and have, in general, different characteristics, they can all be regarded as moving seismogenic sources because the material propagation down slope due to gravity produces ground vibration. This vibration can be recorded and separated from the seismic ambient noise if this is sufficiently energetic. It is in this regard that these phenomena are considered in the present paper.

The snow avalanche team of the Universitat de Barcelona (UB) has been studying the characteristics of snow avalanches since 1994, using seismic methods (Sabot et al., 1998; Suriñach, 2004). We have studied the seismic signals of avalanches that occurred in the valleys of Boí Taüll and Núria (Catalan Pyrenees), Vallée de La Sionne (Swiss Alps)

and at the test site in Ryggfonn (Norway). Our aim is to contribute to a better understanding of the dynamics of avalanche propagation. The detection of snow avalanches is also within our scope.

During our experiments different types of snow avalanches (flow and size) were recorded at several distances. Avalanches were triggered by explosives experts by dropping explosives from a helicopter or by detonating them on land. In addition to the seismic signals we also analyzed information obtained simultaneously from video images and field observations including cartography, type of flow and deposits. These data allowed us to identify general and specific characteristics of the seismic signals of the different types of avalanches in the time and frequency domains. The evolution in time of the frequency content (running spectra or spectrogram) of the signals provided valuable information on the snow avalanches (Biescas et al., 2003). Similar methods are used routinely to complement the time signal analyses in the seismic monitoring of volcanic areas in order to distinguish the different types of seismic events produced by volcanoes (e.g. Ibañez et al., 2000; Del Pezzo, 2003). Spectrograms are also useful as a complement in locating pyroclastic flows (Jolly et al., 2002). Information contained in spectrograms is also included in the design of algorithms of detection and classification of seismic events by means of neural networks (Wang and Teng, 1995; Scarpetta et al., 2005). Nevertheless, none of the above studies had considered in detail the specific characteristic shapes of the calculated spectrograms associated with various types of mass movements, which is the main subject of our paper. Specifically, we describe the results obtained in our earlier studies of snow avalanches and discuss their applicability to other types of mass movements.

2 Methods and results

Seismic records from avalanches were obtained with different types of seismometers. All were three-component geophones with eigenfrequencies of 0.2, 1, and 2 Hz, respectively and a cut-off of 40 Hz. Data were recorded with different sampling rates (100, 200 and 400 sps). All data were homogenized converting the amplitude of the signals to ground motion (m/s) using the corresponding transfer function of the equipment and then filtered using an order 4 Butterworth band pass filter. Time series, total spectrum (TS) and spectrogram (RS) of all the records were analysed. Figure 1 shows the E-W component of the avalanche signal obtained at Vallée de la Sionne (site C, Fig. 4c). This was a triggered dry/mixed avalanche that descended a 2500 m long path and reached the recording station at ~ 70 s. The time series in Fig. 1a at 7.4 s shows the signal of the explosion that triggered the avalanche. After this signal, at ~ 40 s, the increased signal-to-noise ratio allows visual detection of the avalanche arrival. The TS of the portion of the signal corresponding to the avalanche is shown in Fig. 1c plotted on a linear scale. In Fig. 1b the RS shows the evolution of the frequencies and their partitioning. In our RS representation the

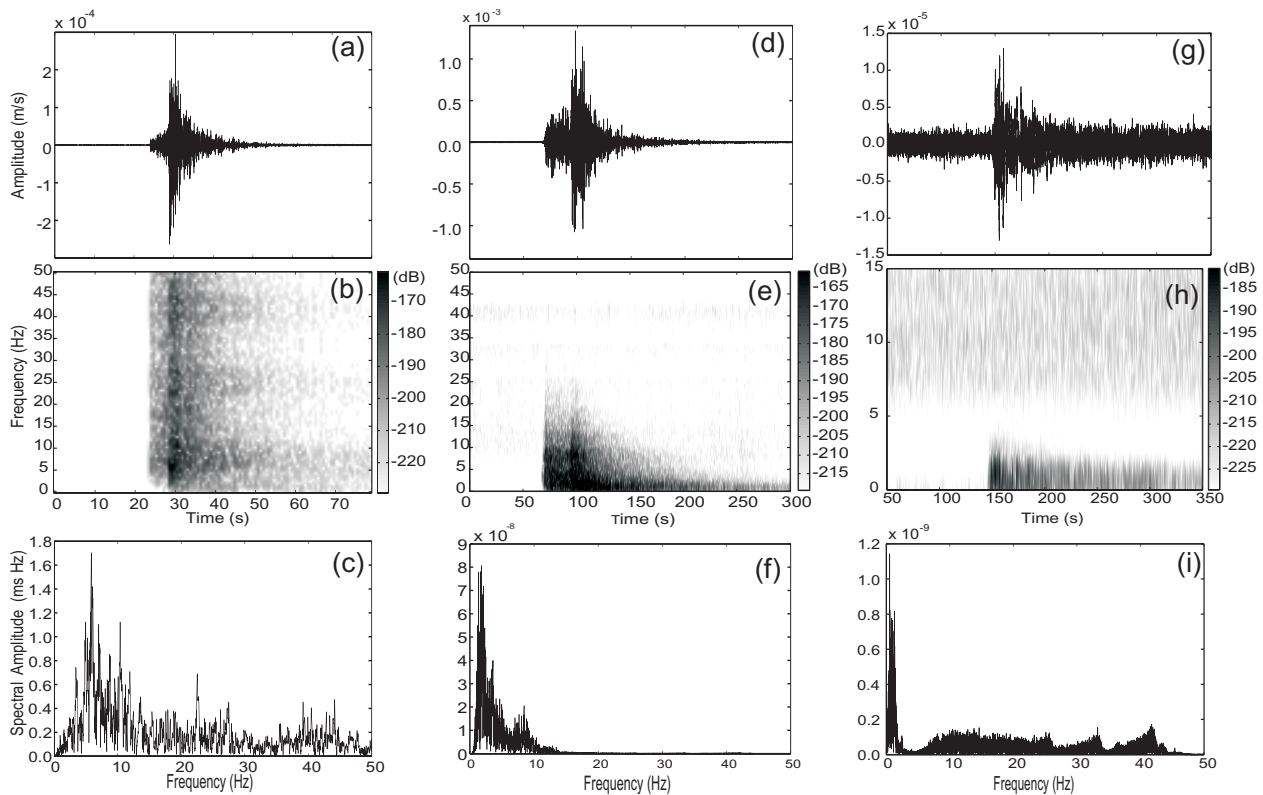


Fig. 2. E-W component seismogram (100 sps) recorded at station C in La Sionne, Switzerland (Fig. 4c). Top: seismograms. Middle: spectrograms. Bottom: full spectra. (a) Local (52.8 km), ML 2.0 earthquake of 10 April 2002, 13:6:29.2 UTC at Zermat, Switzerland. (b) Regional earthquake (228.2 km), ML 5.1, 11 April 2003, 09:26:57.6 UTC in northern Italy. (c) Teleseismic recording (6931.6 km) of ML 6.7 event of 17 April 2003, 00:48:46.8 UTC in Qinghai, China. All running spectra with a 128-sample window and 50% overlap.

spectral amplitudes are given in a grey shade scale; the darkest colours correspond to the maximum amplitudes in dB. The sharp band of high spectral amplitude at ~ 7 s covering all the frequencies corresponds to the explosion. Two bands at ~ 18 and ~ 35 Hz oscillating in time affect the whole RS. These bands correspond to harmonics associated with the helicopter flying over the area. The RS facilitates the detection of the onset of the avalanche signal because of the coherent signal behaviour. The gradual increase in frequencies and amplitudes with time is evident at ~ 18 s, which indicates a detection of the avalanche that is earlier than in the time series.

One result of our earlier studies that is worth highlighting concerns the running spectra (RS) of the signals produced by snow avalanches. The reproducibility of these was observed and discussed by Biescas et al. (2003). One constant characteristic observed in the RS of the studied avalanches is an increase in the high frequency content (and amplitude) of the signal with time when the avalanche approaches the sensor, which is responsible for the triangular shape observed in the RS (Fig. 1b). This feature seems to be peculiar to the signals of snow avalanches and is not observed in other natural or artificial seismogenic sources such as helicopters, explosions or earthquakes. For the sake of comparison, Fig. 2 shows the time series (E-W component), RS and total spectrum (TS) of three different type earthquakes: local, regional

and teleseism that were recorded at the same station at Vallée de la Sionne (site C, Fig. 4c) as the avalanche of Fig. 1. Further characteristics of these earthquakes are given in the caption of Fig. 2. In all these cases, regardless of the frequency range, the shape of the time series and TS functions is similar to those of the avalanches (Figs. 1 and 3). However, the shape of the RS is different. In the RS of earthquakes high spectral amplitudes in all frequencies suddenly appear at the same time (earthquake arrival time), and no triangular shape is observed, indicating that the evolution of frequencies in this case is completely different from avalanches. Likewise, for the explosions (Figs. 1 and 3) the initial shape of the RS does not resemble the triangle. In Fig. 3 the seismic signals of avalanches recorded at two more sites (Núria, and Ryggfonn) are presented. In both cases the general tendency of the functions is analogous to that of Fig. 1, although the slope of the triangles in the RS and the characteristics of the total spectra are different. Figures 3a–3c correspond to an avalanche recorded at Núria triggered by explosives. This was a small size dense/wet avalanche that stopped 40 m up slope the seismic station. The length of the path was 150 m. Figures 3d–3f show the signal of a large dry/mixed artificially released avalanche recorded at the Ryggfonn site. This avalanche travelled 2100 m down the path and passed over the sensor at ~ 76 .

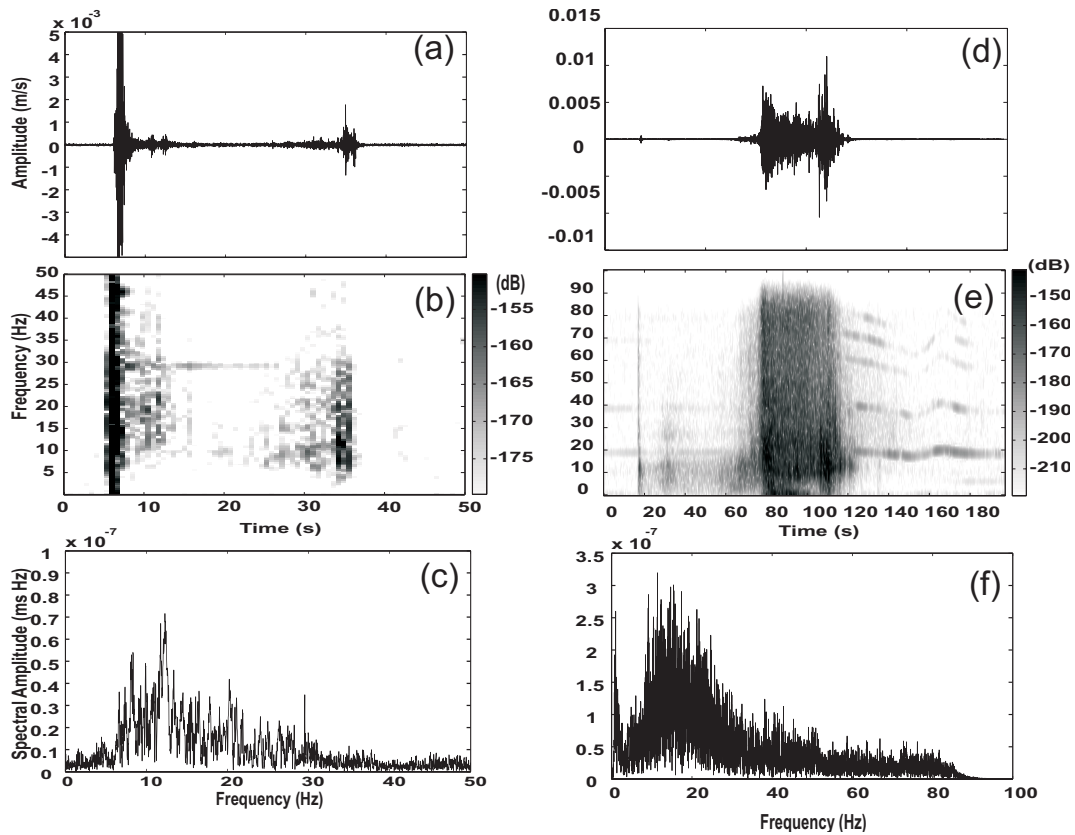


Fig. 3. Núría and Ryggfjonn avalanche signals. Top: seismograms; Middle: spectrograms (RS); Bottom: full spectra (TS). (a) E-W component seismogram (100 sps) of the Núría (Spain) artificially released wet snow avalanche on 1 February 1996. (b) N-S component seismogram (200 sps) of the Ryggfjonn site (Norway) artificially released dry-mixed snow avalanche on the 28 February 2004. Running spectra with a 128-sample window and 50% overlap.

In the light of our findings, we can conclude that the triangular shape observed in the RS of avalanche seismic signal is a general and independent characteristic of these phenomena, regardless of the site and type of flow. This triangular shaped increase in high frequency contents observed in the RS could not be attributed to Doppler Effect (Biescas, 2003). In fact, experimental data and numerical simulations of the seismic wave field caused by moving sources show the triangular behaviour of the spectrogram (RS) when the velocity of the moving source approaching the sensor is lower than the wave propagation speed regardless of the scale (Almendros et al., 2002; Anderson et al., 2004; Ketcham et al., 2005). These findings support our proposal that the triangular shape observed in the RS is produced by a moving mass approaching the sensor, i.e. the snow avalanche.

Possible explanations for the triangular shape characteristics are 1) the anelastic attenuation with distance of the seismic waves that propagate in the earth, which is frequency dependent. High frequencies attenuate faster than low frequencies (Aki, 1980; Lay and Wallace, 1995); and/or 2) the increase in the energy involved in the avalanche due to the snow entrainment when the avalanche propagates down the path (Gauer and Issler, 2004) resulting in an increase in the amplitude of the signal.

In support of this hypothesis we present a case where the avalanche departs from the sensor. Figure 4 shows the signals corresponding to the same avalanche presented in Fig. 1 but recorded at sensor A (Fig. 4c). The avalanche reaches the sensor at 5–6 s. The increase in frequencies before the avalanche reaches the sensor is abrupt because of the short distance between the releasing zone of the avalanche and the recording site. After 5–6 s the spectrogram shows a decrease in frequencies as the avalanche departs from the sensor causing an inverted triangular shape in the RS. In the same figure, at 12 s, an increase in the amplitudes and frequencies is observed, which we attributed to the entrainment of snow. A physical justification of this statement is presented below: a) the amplitude of a seismogram is proportional to the force transmitted into the ground (Aki and Richards (1980), b) for a moving mass this force is proportional to the mass involved and dependent on the angle of the slope (i.e. Brodsky et al., 2003, Eq. 1). Thus, in a first approximation, any mass increase in the moving flow is converted into an increase in the net force applied to the ground and, hence, into an increase of the amplitude of the seismogram. This amplitude increase produces a bias of the amplitudes in all frequencies to higher values (i.e. Jolly et al., 2002, Eq. 1), which can be observed in the RS representation. Consequently, the sudden increase

in the amplitudes in all the frequencies observed in the RS in Fig. 4 at approx. 12 s could be attributed to the incorporation of the mass in the flow as observed in the video images.

3 The case of a landslide (Laguna Beach)

In order to confirm our hypothesis that the increase in frequencies and amplitudes with time in snow avalanche seismic records is related to the mass movement, and that this increase can be observed in other types of mass movements, corroboration by seismic data from such events is necessary. On 1 June 2005, at approx. 06:51 a.m./PDT (13:51 UTC) a significant landslide occurred in the Bluebird Canyon near the town of Laguna Beach in southern California (Tran et al., 2005) (Fig. 5). Considerable landslides have been reported in this area since 1978 (Miller et al., 1979). A 121.405 m² piece of 15 to 18 m deep hillside broke free and slid downwards, destroying dozens of multi-million dollar houses. Since this landslide occurred in an area with a dense state-of-the-art Caltech/USGS Regional Seismic Network (CI), we expected that some of these stations had recorded the seismic signal associated with the landslide. We downloaded seismic data from the 10 closest broadband stations of the CI network from the Southern California earthquake data centre (SCEDC, www.data.scec.org) (Fig. 5). We examined a 24-hour section of 3-component, continuous ground motion (m/s) data recorded at 20 sps, and we realised that only stations SDD and LLS, 9 and 23 km respectively from the slide, recorded the signal. However, the SDD signal was barely perceptible. Unfortunately, station STG, close to the event, which could have been of help, seemed to be out of order at the time of the landslide. There were no local earthquakes that could have disturbed our study during the 24 h corresponding to our time window.

The instruments at SDD and LSS have an eigenfrequency of 1 Hz and a cut-off frequency of 30 Hz. The time-series, together with their RS of both stations is shown in Fig. 5. We only present the E-W component of the ground motion since the two other components are similar. The initial time in both time series is 12:00 UTC (approx. 2 h before the occurrence of the landslide). Although, the highest amplitudes in the LLS time series that last approx. 11 h are observed at 14:02:15 (7335 s), first energetic arrivals appear at 13:25:55 (5155 s), which we interpreted as the onset of the landslide (Fig. 5a). The time series from the SDD station exhibits only a small increase in the amplitudes during the landslide and no first arrival can be identified (Fig. 5c). The significantly lower signal-to-noise ratio observed at this station with respect to the LLS, was somewhat unexpected, since SDD is located closer to the landslide. This effect could be produced by characteristics of the seismometer, the site and/or the directivity of the wave propagation. To test the significance of these effects, we examined the time series of a local earthquake recorded at SDD and LSS, which showed a small difference in the amplitudes of the recorded signals. In consequence, we suspect that the differences observed in the land-

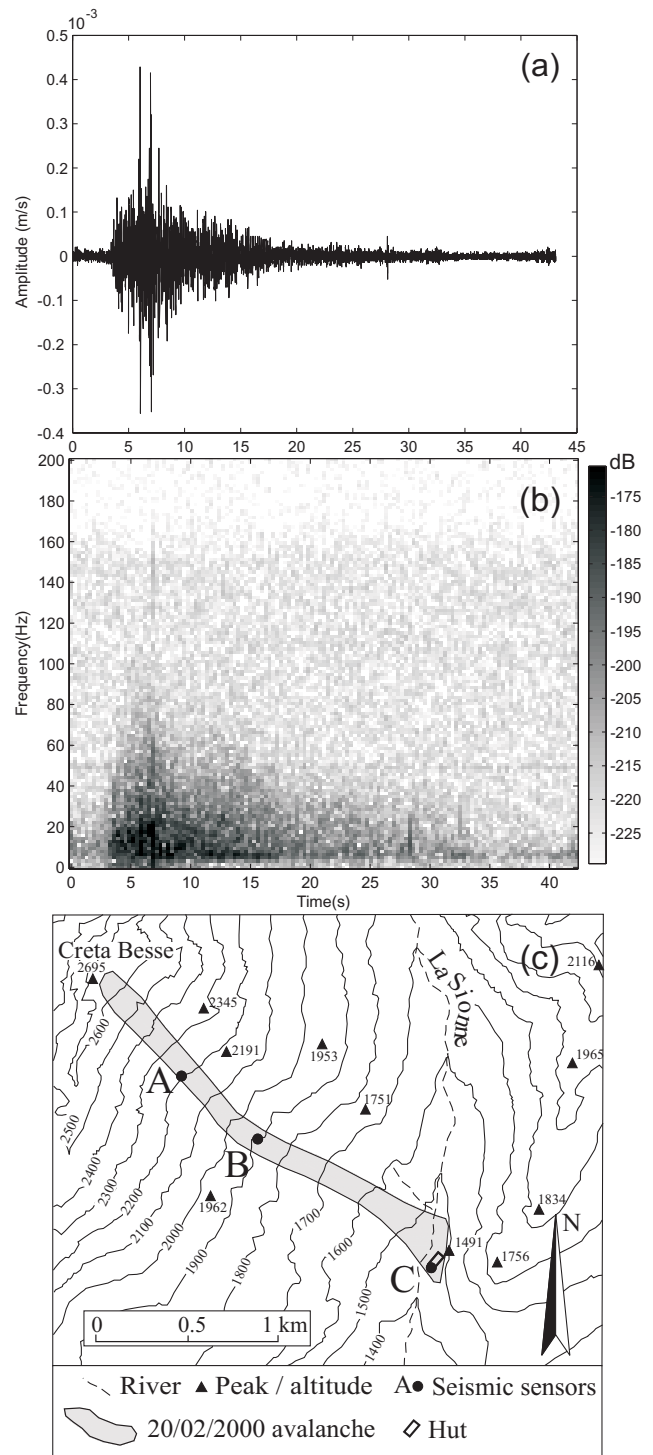


Fig. 4. (a) E-W component seismogram (400 sps) of La Sionne (Switzerland) artificially released dry/mixed avalanche of 20 February 2000 09:40 UTC, recorded at station A. (b) Running spectra with a 128-sample window and 50% overlap. (c) Cartography of the avalanche; A, B, C locations of the seismic sensors.

slide records are independent of the stations and are probably caused by other effects (e.g. directivity).

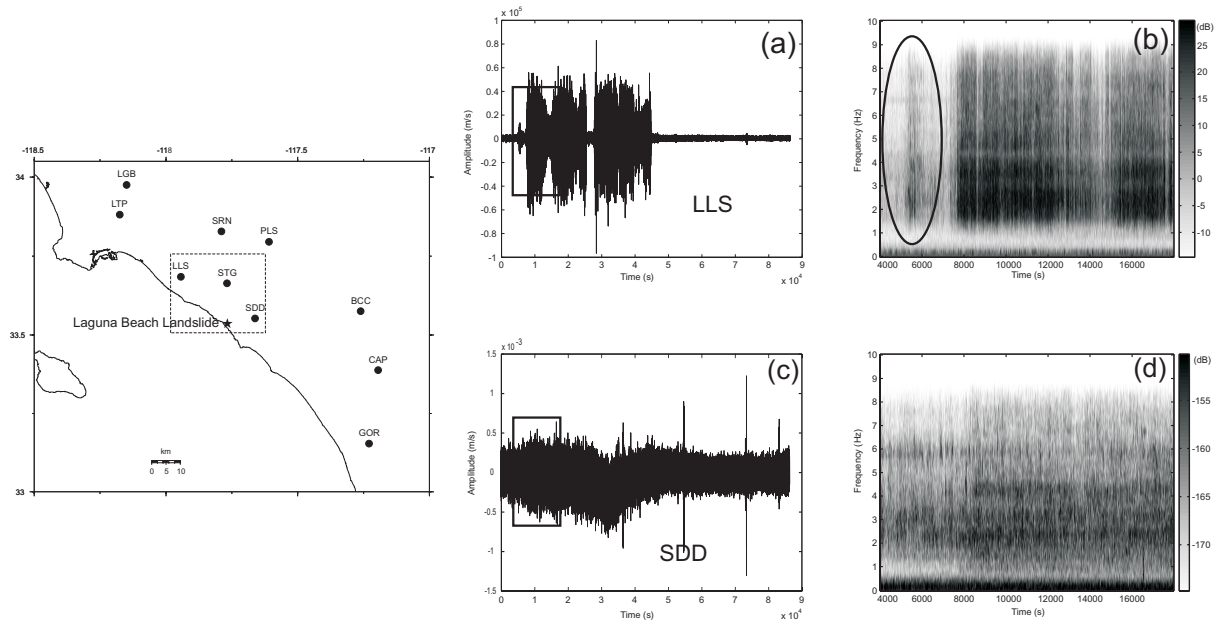


Fig. 5. Left: Map showing the Laguna Beach landslide (Star) and 10 closest seismic stations of Caltech/USGS Regional seismic network (CI). Centre: E-W component seismograms (20 sps) recorded at stations (a) LLS (23 km from landslide); (c) SDD (9 km from landslide); (b) and (d) spectrograms (RS) of (a) and (c) respectively with a 128-sample window and 50% overlap.

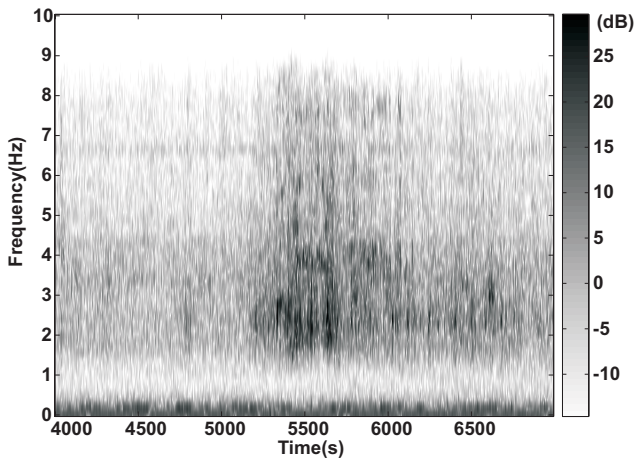


Fig. 6. Detail of the first part of the Laguna Beach landslide running spectra of station LLS indicated in Fig. 5b.

Figures 5b and 5d shows the RS corresponding to the interval indicated in the time series for both stations. Spectra of both time series are limited to 8–9 Hz, although the Nyquist frequency is 10 Hz and data were not filtered. Regardless of the scale, the shape of the RS is similar to those of the avalanches. An increase in the frequencies and energy through time also results in a triangular shape. This is more evident at station LLS (Fig. 5b). A detail of the RS in the 5500–6800 s interval is presented in Fig. 6. At approx. (4800 s, 3 Hz) an increase in the amplitudes and frequencies involving all frequencies at 5300 s with a nucleus of high amplitude centred at (5500 s, 2–4 Hz) is observed. Amplitudes

in higher frequencies decrease at ~ 6100 s. High amplitude in low frequencies (~ 2 –4 Hz) still persist. This behaviour is also observed in the RS of the second part of the LLS signal at ~ 7800 s (Fig. 5b). At station SDD the triangular shape can also be observed between 6500–8000 s, although it is less clear owing to the compressed scale of time and the low signal-to-noise ratio. We attribute the energy observed from 8000 s with a duration of approx. 11 h to a large movement of mass of origin different (plug flow) from that of a turbulent mechanism such as an avalanche or a pyroclastic flow.

4 Discussion and conclusions

The preliminary analysis of the landslide seismic data seems to support our hypothesis that the increase in the frequencies and amplitudes with time in the spectrograms is associated with the mass movements on the surface. Although we compared only one landslide to snow avalanches, this is not the only case where similar effects can be observed. For example, Jolly et al. (2002) present the RS of pyroclastic flows for stations within 1–2 km range at La Soufrière Hills Volcano (Montserrat), where it is possible to identify a triangular shape. Although, these authors did not specifically focus on this feature, it seems that the directivity effect was present. As in our experiments with snow avalanches, the RS triangular shape is clearer when the flow approaches the recording station. In addition, a typical triangular shape can also be observed in the spectrograms obtained during the laboratory studies of debris flows using hydrophones in a flume (Huang et al., 2004). However, this shape is observed only when the

grain size is large enough to produce sufficient energy to be detected before the flume material arrives at the sensor.

In the light of the examples discussed in this paper, which covers a wide range of distances and energy scales, we conclude that a characteristic triangular shape appears in the RS representation when the seismic signal of the moving source is recorded at a sensor located in the direction of its propagation. This suggests the existence of a general physical mechanism that is responsible for the observed increase in frequency content with time in the RS: the anelastic attenuation of frequencies and the mass (i.e. energy) incorporation. Greater distances between source and receiver will produce more low-frequency energy in the spectrogram because of the intrinsic attenuation for high frequency signals. As the source approaches the sensor and the path is shortened, a greater proportion of high frequency energy will be observed as the overall amplitudes increase. Moreover, entrainment of additional material into the moving mass will serve to increase overall amplitudes. These factors combine to generate the triangular shape observed in the spectrogram for instruments in the path of an approaching mass. Although more work on the influence of site characteristics and other variables is warranted, our findings may prove useful in refining algorithms designed to monitor the occurrence of mass movements.

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