



HAL
open science

On the calculation of the topographic wetness index: evaluation of different methods based on field observations

R. Sørensen, U. Zinko, J. Seibert

► **To cite this version:**

R. Sørensen, U. Zinko, J. Seibert. On the calculation of the topographic wetness index: evaluation of different methods based on field observations. *Hydrology and Earth System Sciences Discussions*, 2005, 2 (4), pp.1807-1834. hal-00301525

HAL Id: hal-00301525

<https://hal.science/hal-00301525>

Submitted on 18 Jun 2008

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Papers published in *Hydrology and Earth System Sciences Discussions* are under open-access review for the journal *Hydrology and Earth System Sciences*

**Evaluation of TWI
calculation, based on
field observations**

R. Sørensen et al.

On the calculation of the topographic wetness index: evaluation of different methods based on field observations

R. Sørensen¹, U. Zinko², and J. Seibert³

¹Department of Environmental Assessment, Swedish University of Agricultural Sciences, P.O. Box 7050, 75007 Uppsala, Sweden

²Department of Ecology and Environmental Science, Umeå University, Uminova Science Park, 90187 Umeå, Sweden

³Department of Physical Geography and Quaternary Geology, Stockholm University, 10691 Stockholm, Sweden

Received: 14 July 2005 – Accepted: 16 August 2005 – Published: 31 August 2005

Correspondence to: R. Sørensen (rasmus.sorensen@ma.slu.se)

© 2005 Author(s). This work is licensed under a Creative Commons License.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

EGU

Abstract

The topographic wetness index (TWI, $\ln(a/\tan\beta)$), which combines local upslope contributing area and slope, is commonly used to quantify topographic control on hydrological processes. Methods of computing this index differ primarily in the way the upslope contributing area is calculated. In this study we compared a number of calculation methods for TWI and evaluated them in terms of their correlation with the following measured variables: vascular plant species richness, soil pH, groundwater level, soil moisture, and a constructed wetness degree. The TWI was calculated by varying six parameters affecting the distribution of accumulated area among downslope cells and by varying the way the slope was calculated. All possible combinations of these parameters were calculated for two separate boreal forest sites in northern Sweden. We did not find a calculation method that performed best for all measured variables, but we were able to identify the general characteristics of the best methods for different groups of measured variables. The results provide guidelines for choosing the best method for estimating species richness, soil pH, groundwater level, and soil moisture by the TWI derived from digital elevation models.

1. Introduction

Topography is a first-order control on spatial variation of hydrological conditions. It affects the spatial distribution of soil moisture, and groundwater flow often follows surface topography (Burt and Butcher, 1985; Seibert et al., 1997; Rodhe and Seibert, 1999; Zinko et al., 2005). Topographic indices have therefore been used to describe spatial soil moisture patterns (Burt and Butcher, 1985; Moore et al., 1991). One such index is the topographic wetness index (TWI) developed by Beven and Kirkby (1979) within the runoff model TOPMODEL. It is defined as $\ln(a/\tan\beta)$ where a is the local upslope area draining through a certain point per unit contour length and $\tan\beta$ is the local slope. The TWI has been used to study spatial scale effects on hydrological processes (Beven

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

et al., 1988; Famiglietti and Wood, 1991; Sivapalan et al., 1987, 1990) and to identify hydrological flow paths for geochemical modelling (Robson et al., 1992) as well as to characterize biological processes such as annual net primary production (White and Running, 1994), vegetation patterns (Moore et al., 1993; Zinko et al., 2005), and forest site quality (Holmgren, 1994a).

Topography affects not only soil moisture, but also indirectly affects soil pH (Högberg et al., 1990; Giesler et al., 1998). Soil moisture and pH are important variables that influence distribution (Giesler et al., 1998) and species richness of vascular plants (Zinko et al., 2005) in Fennoscandian boreal forests. Because of the links between topography and plant species richness, the TWI has been useful for predicting the spatial distribution of vascular plant species richness in the Swedish boreal forest (Zinko, 2004). In these studies the TWI explained 52% of the variation in plant species richness for a site with relatively higher average soil pH (HP-site) and 30% of the variation for a site with lower average soil pH (LP-site). In the same studies, TWI was also found to correlate well with depth to groundwater and soil pH. (LP; groundwater: Spearman's rank correlation $r_s=0.58$, $P<0.001$, $n=46$; soil pH: $r_s=0.50$, $P<0.001$, $n=84$; HP: groundwater level: $r_s=0.71$, $P<0.001$, $n=45$; soil pH: $r_s=0.71$, $P<0.001$, $n=55$).

The TWI is usually calculated from gridded elevation data. Different algorithms are used for these calculations; the main differences are the way the accumulated upslope area is routed downwards, how creeks are represented, and which measure of slope is used (Quinn et al., 1995; Wolock and McCabe, 1995; Tarboton, 1997; Güntner et al., 2004). New algorithms have been evaluated primarily in terms of comparisons with other algorithms (e.g. Quinn, 1991; Holmgren, 1994a; Tarboton, 1997) or in terms of theoretical geometric correctness (Pan et al., 2004). Only a few studies have evaluated the TWI computation algorithms using spatially distributed field measurements. Güntner et al. (2004) compared different algorithms and modifications of the TWI with the spatial pattern of saturated areas. They concluded that the ability of the TWI to predict observed patterns of saturated areas was sensitive to the algorithms used for calculating upslope contributing area and slope gradient. Kim and Lee (2004) evaluated

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

different calculation methods based on their ability to predict the observed stream network and found that a modification of the multidirectional flow accumulation algorithm suggested by Quinn et al. (1991) was needed to solve the problem of flow dispersion overestimation in near-stream cells.

5 In this study, we asked whether or not the correlation between TWI and a number of variables for which a correlation could be expected depends on the method used to calculate the TWI. Spatially distributed field observations of plant species richness, soil pH, groundwater level, and soil moisture were used to evaluate the different methods. If the methods provided differing results, then we sought to determine if it was possible
10 to define an optimal TWI computation method that works well in different geographic areas for different variables. We used data from Zinko (2004) for two boreal forest sites in northern Sweden that differed in average soil pH. In contrast to previous studies of the relationship between plant species richness and TWI (Zinko et al., 2005; Zinko, 2004), we assumed that there should be a correlation between TWI and the different
15 variables and that a suitable computation algorithm would provide this correlation. Our study was restricted to calculations of TWI based on raster elevation data. We also restricted the analysis to point-to-point comparisons because our field observations were not suitable for other comparison methods such as those described by Grayson et al. (2002) or Güntner et al. (2004).

20 The main questions we addressed for this paper were: (1) Do different calculation methods and modifications of TWI give different results in terms of correlation with measured variables of hydrology, soil chemistry, and vegetation? (2) Which combinations of calculation methods and parameters provide the most accurate results? (3) Is there one general method for TWI computation that provides near-optimal correlations
25 for different areas and different measured variables of hydrology, soil chemistry, and vegetation?

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

2. Material and methods

2.1. TWI calculation methods and modifications

When calculating TWI, different algorithms and modifications of the original “TOP-MODEL” index (Beven and Kirkby, 1979) can be used. The variants of TWI differ in the ways that the upslope area a , creek cell representation, and slope are computed. The different calculation methods we tested are listed below.

2.1.1. Upslope area

Calculation of upslope area depends on the way the accumulated area of upstream cells is routed to downstream cells. Traditionally, the area from a cell has been transferred in the steepest downslope direction to one of the eight neighbouring cells. Quinn et al. (1991) introduced a multidirectional flow algorithm that allowed the area from one cell to be distributed among all neighbouring downslope cells, weighted according to the respective slopes. The distribution of area to each downslope cell was based on slope according to the term $F_i = \tan\beta_i / \sum \tan\beta_j$. Quinn’s multiple flow algorithm more accurately predicted flow paths in the upper part of the catchment while the single directional flow algorithm had higher predictive power in the lower parts (Quinn, 1991).

Holmgren (1994b) extended Quinn’s (1991) distribution function by introducing an exponent h that controls the distribution among down slope directions according to $\tan\beta_i^h / \sum \tan\beta_j^h$, where $0 \leq h \leq \infty$. For $h > 1$ steeper slopes receive a higher proportion of the accumulated area than they would according to the usual weighting (i.e., $h=1$), while values smaller than one are more evenly distributed among the down slope directions. A high exponent (h) means that more accumulated area will be distributed in the steepest direction, i.e. more similar to single directional flow. The lower the exponent the more equally the flow will be distributed among the downslope cells (for a more detailed description see Holmgren, 1994b, or Quinn et al., 1995). This exponent can thus be seen as a parameter that causes a gradual transition from single directional

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

flow (infinite h ; in practice values above ~ 25) to multidirectional flow ($h=1$).

In the usual single-direction algorithm, the steepest direction into which the accumulated area is routed is restricted to the eight cardinal and diagonal directions. An alternative was proposed by Tarboton (1997), who calculated the steepest downslope direction based on triangular facets that allowed the steepest direction to be routed in any direction rather than being restricted to the eight cardinal and diagonal directions. The accumulated area is then routed to the two cardinal and diagonal directions that are closest to the steepest direction weighted according to their distance from this direction. This method was further developed by allowing multiple flow directions (Seibert, unpubl. manuscript). Around the midpoint, M , of any pixel, eight planar triangular facets were constructed with the midpoints, P_1 and P_2 , of two adjacent neighbouring pixels. The slope direction of this plane (determined by M , P_1 , and P_2) was computed for each facet. If the steepest slope direction was outside the 45° ($\pi/4$ radian) angle range of the particular triangular facet (i.e., not between the vectors pointing from M towards P_1 and P_2 , respectively), the direction with the steeper gradient of the two directions towards P_1 or P_2 , was used as the steepest direction. After computing the steepest direction for all eight triangular facets, those directions that had a steeper gradient than both of their adjacent facets were identified. These directions were interpreted as local outflows and the accumulated area was distributed among these directions. Similar to Quinn's multidirectional flow algorithm the h exponent was used to control the weighting of the different directions according to their respective slopes. We tested both Tarboton's and Quinn's approach with different values for the exponent, h , as mentioned above. Both of the algorithms were tested with seven values for h : 0.5, 1, 2, 4, 8, 16, and 32, for a total of 14 different combinations of flow distribution methods.

2.1.2. Creek representation

The basic assumptions of the TWI do not hold when there is a creek and, thus, creeks need to be considered explicitly. We assumed that creeks began when the accumu-

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

lated area exceeded a certain creek initiation threshold area (cta). The accumulated area of a “creek cell” is usually routed downslope as “creek area” and not considered in the calculation of a in downslope cells. However, a key question is if the area below cta should be routed downwards and contribute to a (i.e., only the area exceeding cta is treated as creek area) or if all accumulated area should be routed downwards as creek area. We tested both variants (called cta-down) and 8 values for cta: 2.5, 5, 10, 15, 20, 30, 40, and 50 ha.

2.1.3. Slope

The local slope $\tan\beta$ might not always be a good representation of the groundwater table hydraulic gradient because downslope topography more than one cell distant is not considered. A new slope term, $\tan\alpha_d$ was introduced by Hjerdt et al. (2004) in response to this issue. In contrast to $\tan\beta$, which only considers the cell of interest and its neighbours, $\tan\alpha_d$ is defined as the slope to the closest point that is d meters below the cell of interest. Both slope estimates give similar results for small values of d , but the results differ for larger values of d (Hjerdt et al., 2004). The distance to this point can be computed as either beeline distance or distance along the flow path (i.e., always following the steepest downslope directions). In the following text this parameter is called slope distance. We tested both $\tan\beta$ and $\tan\alpha_d$, varying the latter with different vertical distances d : 2, 5, 10, 15, and 20 m (i.e., in total 6 slope variants). Both beeline and flow path distance were tested.

In summary, combinations of three binary (flow distribution, cta-down, slope distance) and three continuous (h , cta, d) calculation parameters were tested. For the continuous parameters we tested six to eight different values. A total of 2688 (=2·2·2·7·8·6) different TWI values were computed for each of the two forest sites.

We treated cells without any adjacent downslope cell, i.e., depressions, as real topographic features and not as errors in the elevation data. Therefore, instead of “filling” these depressions before the index was calculated, we continued the search for downslope cells using all cells located 2, 3,... cells away until the nearest downslope cell was

found; the area was routed to this/these cell(s) (Rodhe and Seibert, 1999). An initial test indicated that filling the sinks would not have significantly influenced the results of this study.

We did not compare the TWI values directly to the observed field data but used the mean value of a 3×3 cell window around the particular cell to minimize the effect of erroneously assigning a sample site to the wrong cell in the DEM.

2.2. Study sites and field measurements

The study was performed in two separate 25-km² boreal forest sites in northern Sweden: one site with low average soil pH (LP) in Åmsele, Västerbotten county (64°33' N, 19°35' E), and one site with high average soil pH (HP) 240 km to the southwest in Kälarne, Jämtland county (62°59' N, 16°01' E). The elevations of both sites vary between 220 and 400 m a.s.l. The bedrock is mainly granitic and the soil consists of glacial till with peat cover in the depressions. Yearly precipitation is about 600 mm at both sites. Mean temperature in January is –13°C and –10°C for the LP and HP sites, respectively, and in July is +14°C for both sites (Raab and Vedin, 1995). Vegetation at both sites is dominated by boreal forest composed mainly of *Pinus sylvestris* and *Picea abies*; intensive silviculture has been conducted for over 50 years in these forests. The studied areas therefore include semi-natural forest, clear-cuts, and plantations of native and exotic (*Pinus contorta*, *Abies sp.*) tree species. For a more detailed description of the study sites see Zinko (2004). Study plots of 200 m² were distributed to the centre of the 400 m² grids of the digital elevation model used for calculating the topographical index. The study plots (88 plots in the LP site and 56 plots in the HP site) were randomly distributed within each site, but constrained so that the number of samples for different classes of TWI values was equal (i.e., high TWI values were sampled more frequently than would correspond to their occurrence in the landscape). The plots were located in the field by a GPS receiver. Marshlands without trees, lakes, and streams were not included in this study.

We catalogued all vascular plant species at the LP site in July 1999 and in July 2002

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

at the HP site. Soil sampling was conducted in 2002 and 2003 at the HP and LP sites, respectively. Cores of 2.5 (LP) and 5 (HP) cm diameter and up to 30 cm long were collected for soil pH measurement from the O-horizons at eight evenly distributed locations within 2 m of the plot centre. The eight soil samples were bulked to one single sample, air-dried, and analysed for soil pH (H_2O , 1:25, soil:solution mass ratio).

Polyethylene tubes of 9 mm diameter were installed to a depth of 0.7 m as close as possible to the centre of the plots to measure ground water levels. At one plot in each study site, the presence of bedrock and boulders made it impossible to insert a tube. For the same reason not all tubes were inserted to 0.7 m. We excluded all plots at which groundwater levels were never recorded (38 plots in the LP site and 10 plots in the HP site). Groundwater levels were measured four times (once a month) between June and September 2001 at the LP site and twice during 2002 (July and October) at the HP site. We used the mean of the four groundwater level measurements at the LP site for the statistical analysis. Since July 2002 was very dry, with groundwater levels below 0.7 m in many HP plots, we used only the October groundwater level measurements.

At the HP site, soil moisture in the upper 15 cm was measured with a Time Domain Reflectometry (TDR) instrument (TRIME FM3 manufactured by IMKO, Germany). The factory-set calibration curve that translates the dielectric constant of the soil into soil water content was used for all measurements. The soil water content of a plot was calculated as the mean value of the eight measurements, which were taken at about 2 m distance from the center towards all cardinal and diagonal directions. At soil water contents above ~50%, the measurements became unreliable and unrealistic soil water contents of up to 80–100% were returned for wet soils. While these values obviously are not correct, it was assumed that they still could be used as a relative measure to compare the wetness in the different plots. Very wet plots, in which the ground water was at or close to the ground surface and where the TDR measurements gave values of 100% for most points, were excluded from further soil moisture analyses. TDR measurements were performed in July and October 2002, but only the July measurements, which represented drier conditions, were used in this paper. Soil moisture and ground-

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

water levels were measured for all plots during a 2–3 day period without precipitation so that the conditions could be assumed to be constant during the measurement period.

For the HP site, the July groundwater and soil moisture measurements were combined into a parameter called “degree of wetness” because the TDR was more reliable in drier locations where the groundwater level was often below 0.7 m, while the groundwater level was often measurable where the TDR was unreliable. In this way the two methods complemented each other and allowed ranking of all plots according to a single wetness variable. We performed a linear least square regression with soil moisture as the dependent variable and groundwater level as the predictor. Groundwater level could then be expressed as a function of soil moisture (Fig. 1). For plots ($n=22$) where only soil moisture content was measured in July a value based on this function was estimated. If only groundwater level was measured there was no modification ($n=11$). If both TDR and groundwater level were measured, the average of estimated and measured groundwater level was used ($n=14$), i.e., both types of hydrological data were represented. If neither moisture nor ground water level was measured, the location was excluded from the analysis ($n=9$). The degree of wetness computed in this way was then used to rank the plots according to wetness conditions and these ranks were used for the calculation of Spearman’s rank correlations.

2.3. Data analysis

All correlation coefficients between the 2688 different TWI values and the measured variables (plant species richness, soil pH, groundwater level, soil moisture, and wetness degree (for the HP site)) for each site were calculated using Spearman’s rank correlation. The results were examined in three ways:

1. The highest correlation coefficient (HC) between each measured variable and each of the parameter values was identified. For each measured variable this was done by keeping one parameter fixed to a certain value but allowing the other parameters to vary. The variability of the HC within a parameter was then

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

analysed.

2. The 10% methods (best-10%) resulting in the highest correlations between TWI and measured variables were selected and distribution functions were compiled for each parameter. We also computed the overlap of the best-10% sets between the two study sites as well as for the different measured variables within each study site. The overlap was computed as the ratio between the number of methods found in both best-10% sets and the total number of methods in a best-10% set (269).

3. Finally, we determined which calculation methods were most suitable for more than one variable. For each set of correlations between each measured variable and parameter value the differences (ΔC) between the highest correlation coefficient and all the other correlation coefficients were computed. The measured variables were divided into different groups and the mean differences $\overline{\Delta C}$ for all variables within a group were calculated. The calculation method with the lowest $\overline{\Delta C}$ was considered the most suitable TWI calculation method for this particular group of measured variables. The groups used in this analysis were: (i) all variables from both sites (to obtain an overall best calculation method), (ii) all parameters from the HP site, (iii) all variables from the LP site, (iv) different groups of the measured variables.

The first approach showed the best calculation method for each single measured variable alone, whereas the second approach provided information on how likely a good correlation was depending on a certain value for one single parameter. The two approaches, HC and best-10%, were used together to decide on the best methods for each measured variable and were expected to return similar results. The third approach gave a general perception of the processes influencing the groups of measured parameters.

3. Results

The correlations between TWI values and measured variables varied considerably by calculation method. The Spearman rank correlation between TWI and soil pH, for instance, varied between 0.40 and 0.52 for the LP site and between 0.74 and 0.84 for the HP site (Fig. 2). Among all variables at both sites the least accurate method gave correlations between 0.11 and 0.29 units lower than the best of the tested methods.

3.1. Single measured variables

Different calculation methods yielded the strongest correlations for the different single measured variables at the two study sites. Below we summarize the results for each parameter (see also Fig. 3 and Table 1).

3.1.1. Flow distribution

The modification of Tarboton's approach was superior for calculation of flow distribution (Table 1), except for pH and groundwater level at the HP site, where Quinn's method achieved a higher portion among the best-10% methods.

3.1.2. h -exponent

The h values of the best methods were evenly distributed for species richness for the LP site. For pH, higher h values dominated the best-10%, while lower h values gave better results for groundwater. The best of the highest correlations (HCs) for species richness and soil pH were similar among all parameter values, whereas the best HC for groundwater was with low h values (Fig. 3A). A slightly different pattern was observed at the HP site. For plant species richness and groundwater level, $h=0.5$ had the best-10% (Fig. 3D). A low h ($h=2$) also performed best for soil moisture. For soil pH and wetness degree the $h=8$ and 16, respectively, had slightly better best-10%. The best HCs were found using the three lowest h -exponent values ($h=0.5$, 1, or 2) for plant

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

species richness, soil pH, and groundwater level while the highest value ($h=32$) gave the highest HCs for soil moisture and wetness degree (Fig. 3D).

3.1.3. cta

At the LP site, high cta values had the highest portion of the best-10% for species richness, whereas for pH and groundwater level, lower cta values had the highest portion (Fig. 3C). This also applied for the HCs. For the HP site, the groundwater level followed the same pattern, but for the rest of the measured variables the best-10% were evenly distributed and the HCs were similar (Fig. 3F).

3.1.4. cta-down

The decision as to whether or not the area corresponding to cta was routed downslope as groundwater flow did not influence the correlations. In both sites the portion of the best-10% was equally distributed between the two options (not shown).

3.1.5. Slope

For the LP site, $\tan\beta$ or $\tan\alpha_2$ had the largest portion of the best-10% for species richness, soil pH, and groundwater level (Fig. 3B). The highest HC was found when using $\tan\beta$ for all three measured variables. At the HP site $\tan\alpha_{20}$ gave best results both with respect to best-10% and HC for soil pH and species richness (Fig. 3E). According to the best-10% and HC, $\tan\alpha_2$ was found to be best for groundwater, whereas $\tan\beta$ was best for soil moisture and wetness degree.

3.1.6. Slope-distance

The downslope index computed with the beeline distance performed best for both plant species richness and soil pH at both sites (Table 1). In contrast, the distance following the flow path gave best results for the groundwater levels at both sites and soil moisture

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

at the HP site (Table 1). The slope distance method did not affect the correlations with wetness degree at the HP site.

The large variation in best parameter values for the different measured variables indicates that there is no single best method. In general, there was also a relatively small overlap between the best-10% methods for the different measured variables and study sites (Table 2). At the HP site, there was significant overlap between the best methods for plant species number and soil pH as well as among the hydrological variables (although one has to consider that wetness degree was calculated from groundwater level and moisture). No overlap at all between any of the hydrological variables and species richness or soil pH was found at the HP site. There was significant overlap at the LP site among all three parameters (Table 2). There was overlap among the hydrological parameters and between the pH-methods for both sites together. There was also significant overlap between the pH at the HP site and the species richness and the pH at the LP site, but not between the species richness at both sites. However the species richness at the LP site overlapped with the soil moisture at the HP site.

3.2. Grouped measured variables

The overall best calculation method, evaluated by the portion among the best-10%, was found when using the modification of Tarboton's flow distribution, low values of h ($h=1-2$), the $\tan\beta$ slope, and cta values of 15 ha. Slope distance did not have any influence on the correlations (Fig. 4, Table 3), nor did the cta-down (not shown).

We identified two groups of measured variables that each had generally similar best-10% distributions, with plant species richness and soil pH in one group, and groundwater level, soil moisture, and wetness degree in the other. The calculation parameters performing best for the first group were Quinn's flow distribution method, h value of 2–8, $\tan\alpha_{15}$ slope and beeline slope distance, and cta values of 15–20 ha (Fig. 4a, Table 3). For the group of hydrological variables, the best results were obtained with Tarboton's flow distribution, h value of 1–2, cta value of 10–20 ha, $\tan\beta$ slope, and flowpath slope distance (Fig. 4a, Table 3). The parameter cta-down did not have any influence on the

correlations (not shown).

Grouping the variables by study site resulted in best performance for Tarboton's flow distribution and $\tan\beta$ slope for all measured variables in the HP site. The other parameters exhibited no significant influence on correlations for this site, but h values of 0.5 and cta values of 2.5–5 gave lower correlations (Fig. 4, Table 3). In the LP site Tarboton's flow distribution, low values of h (0.5–2), cta values of 10–20, $\tan\alpha_{d2}$ slope, and the beeline distance yielded the best results (Fig. 4, Table 3). Cta-down did not have any effect on the results in any of the sites (not shown).

Using the overall best calculation method resulted in lower correlations for the different measured variables than when using the calculation method that performed best for each single measured variable (Table 4). The best calculation methods when grouping the measured variables according to type or site resulted in correlation coefficients between the overall best calculation method and the best calculation method for each single measured variable (Table 4).

4. Discussion

Our results demonstrate that different methods of calculating the TWI indeed produce a high variation in correlation strengths between the various TWI values and the different measured variables. There was not one single method that was optimal for all variables and study sites. Overall, the overlap of the best-10% between either measured variables or study sites was rather small (Table 2). However, general characteristics for methods yielding the best-10% could be observed for certain groups of variables.

The correlation coefficients decreased with the generality of the calculation method. The best overall calculation method did not yield as strong correlations as the best calculation methods for each single measured variable. However, the latter calculation methods were only optimal for a particular variable and study site and are thus of more limited general applicability.

In our study, the modification of Tarboton's flow distribution method was in general

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

superior to Quinn's distribution method. This was expected, since Quinn's method tends to overestimate flow dispersion and braiding, especially in near-stream areas (Kim and Lee, 2004). Pan et al. (2004) found the multiple flow direction to be geometrically more accurate than the single flow direction algorithm in idealized DEMs. Our empirical study also found that the multiple directional flow algorithms were superior to the single-directional algorithm in both Quinn's and Tarboton's methods. However, optimal values for h were larger than one in some cases, indicating that the usual multidirectional flow algorithm might sometimes result in too large a spreading of the accumulated area. Holmgren (1994b) suggests a value of h between 4 and 6 irrespective of DEM resolution. In our study the best correlations were mainly found with lower values of h (0.5–2). The value of h could depend on the steepness in the studied landscape. Our results combined with those of Güntner et al. (2004), who found h values of 8–10 to be most suitable in a mountainous catchment, suggest that h should decrease when going from mountainous (with steeper slopes) to hilly areas.

The best-10% differed in terms of slope calculation between the two groups of measured variables. For plant species richness and soil pH, a higher slope distance ($\tan\alpha_{d15}$) and the beeline distance should be used, while for the hydrological variables best results were obtained with $\tan\beta$ slope and slope distance calculated along the flow path. The difference in d indicates that downslope drainage conditions are more important for the plant species richness and soil pH than for groundwater level, soil moisture, and wetness degree. A possible explanation is that local slope influences the hydrological variables, while larger geomorphologic features are more important for species richness of vascular plants and soil pH. For example, a site on a plateau with relatively small upstream area but a low slope can be quite moist but have low soil pH and plant species richness. A higher value of d gives information about the downslope conditions, which can indicate where along a slope the site is situated. A gentle slope would be found in the lower parts of a hill, while a steeper slope would indicate that the point is situated in a recharge area. Groundwater recharge and discharge areas differ considerably in terms of soil pH and plant species richness, with both increasing

towards discharge areas (Giesler et al., 1998; Zinko et al., 2005).

Güntner et al. (2004) found that a cta of 6–10 ha worked best for the TWI used to predict water-saturated areas. In our study cta values of 10 to 20 ha generally gave the best correlations for the measured hydrological variables. However, the cta did not have much influence on the strength of the correlations, which may be because most plots were located in non-creek cells regardless of the value of cta. Although Güntner et al. (2004) found a smaller value of cta, indicating that creeks start with less accumulated area, precipitation in their study catchment was roughly twice that in our sites. Kim and Lee (2004) found an optimal cta value of 20 ha for estimation of the creek network in their catchment in South Korea.

Correlation coefficients were in general higher at the HP site than at the LP site. This difference might be explained by the fact that the pH range in the HP area is greater than that of the LP area, meaning that there is more variation in pH to be explained by the TWI.

5. Concluding remarks

This study was a first attempt to find a general calculation method for the TWI that would be valid for the spatial distribution of plant species richness, soil pH, groundwater level, and soil moisture. We were not able to identify one single best method since different methods gave best correlations with the different measured variables. However, “compromise” methods that yielded best calculations for the different measured variables were identified. In general, the modified Tarboton’s flow distribution performed better than Quinn’s method, and a low h value yielded the best results. The local slope $\tan\beta$ was found in most cases to be superior to the use of the $\tan\alpha_d$ slope. However, a higher d value and the beeline slope distance were best for estimating soil pH and species richness, while $\tan\beta$ and flow path slope distance were best for estimating the hydrological variables.

Therefore it might be useful to explore, if at least some data is available, the variety of

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

calculation methods for the topographical index prior to performing estimates based on it. Our results also indicate the need to further refine the algorithms. Some calculation parameters could be variable in time or space. The value of cta , for instance, could vary with slope or season and the value of h could vary with soil type or slope. The species richness of vascular plants and the pH, however, are not expected to vary seasonally.

Acknowledgements. We thank K. Holmström, Metria, for the interpretation of aerial photographs, and J. Temnerud, J. Lindeberg, Å. Laurell, T. Göthner, L. Ahnby, M. Juutilainen, G. Nordenmark, G. Nilsson, P.-E. Wikberg, G. Brorsson, J. Englund, M. Larsson, E. Carlborg, M. Svedmark and C. Reidy for fieldwork assistance. We also thank N. Hjerdt and K. Bishop for valuable comments, and K. McGlynn for excellent editing. Funding for this project was provided by the Swedish Research Council (RS and JS) as well as by the Lamm Foundation, the Foundation for Strategic Environmental Research (MISTRA), the Swedish Research Council for Environment, Agricultural Sciences and Spatial Planning, the Swedish Research Council, J. C. Kempes Minnes Foundation, and Gunnar and Birgitta Nordin's Foundation (UZ).

References

- Beven, K. J. and Kirkby, M. J.: A physically based, variable contributing area model of basin hydrology, *Hydrol. Sci. Bull.*, 24, 43–69, 1979.
- Beven, K. J., Wood, E. F., and Sivapalan, M.: On hydrological heterogeneity – catchment morphology and catchment response, *J. Hydrol.*, 100, 353–375, 1988.
- Burt, T. and Butcher, D.: Stimulation from simulation – a teaching model of hillslope hydrology for use on microcomputers, *J. Geography in Higher Educ.*, 10, 23–39, 1986.
- Famiglietti, J. S. and Wood, E. F.: Evapotranspiration and runoff from large land areas – land surface hydrology for atmospheric general-circulation models, *Surveys in Geophysics*, 12, 179–204, 1991.
- Giesler, R., Högberg, M., and Högberg, P.: Soil chemistry and plants in Fennoscandian boreal forest as exemplified by a local gradient, *Ecology*, 79, 119–137, 1998.
- Grayson, R. B., Blöschl, G., Western, A. W., and McMahon, T. A.: Advances in the use of observed spatial patterns of catchment hydrological response, *Adv. Water Resour.*, 25, 1313–1334, 2002.

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

[◀](#)

[▶](#)

[◀](#)

[▶](#)

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Print Version](#)

[Interactive Discussion](#)

- Güntner, A., Seibert, J., and Uhlenbrook, S.: Modeling spatial patterns of saturated areas: an evaluation of different terrain indices, *Water Resour. Res.*, 40, W05114, doi:10.1029/2003WR002864, 2004.
- Hjerdt, K. N., McDonnell, J. J., Seibert, J., and Rodhe, A.: A new topographic index to quantify downslope controls on local drainage, *Water Resour. Res.*, 40, W05602, doi:10.1029/2004WR003130, 2004.
- Högberg, P., Johannisson, C., Nicklasson, H., and Högbom, L.: Shoot nitrate reductase activities of field-layer species in different forest types, *Scandinavian J. Forest Res.*, 5, 449–456, 1990.
- Holmgren, P.: Topographic and geochemical influence on the forest site quality, with respect to *Pinus sylvestris* and *Picea abies* in Sweden, *Scandinavian J. Forest Res.*, 9, 75–82, 1994a.
- Holmgren, P.: Multiple flow direction algorithms for runoff modelling in grid based elevation models: an empirical evaluation, *Hydrol. Processes*, 8, 327–334, 1994b.
- Kim, S. and Lee, H.: A digital elevation analysis: a spatially distributed flow apportioning algorithm, *Hydrol. Processes* 18, 1777–1794, 2004.
- Moore, I. D., Grayson, R. B., and Ladson, A. R.: Digital terrain modeling – a review of hydrological, geomorphological, and biological applications, *Hydrol. Processes*, 5, 3–30, 1991.
- Moore, I. D., Norton, T. W., and Williams, J. E.: Modelling environmental heterogeneity in forested landscapes, *J. Hydrol.*, 150, 717–747, 1993.
- Pan, F., Peters-Lidard, C. D., Sale, M. J., and King, A. W.: A comparison of geographical information system-based algorithms for computing the TOPMODEL topographic index, *Water Resour. Res.*, 40, 1–11, 2004.
- Quinn, P., Beven, K., Chevallier, P., and Planchon, O.: The prediction of hillslope flow paths for distributed hydrological modeling using digital terrain models, *Hydrol. Processes*, 5, 59–79, 1991.
- Quinn, P. F., Beven, K. J., and Lamb, R.: The $\ln(a/\tan \beta)$ index: how to calculate it and how to use it within the TOPMODEL framework, *Hydrol. Processes*, 9, 161–182, 1995.
- Raab, B. and Vedin, H.: The national atlas of Sweden: climate, lakes and rivers, SNA Publisher, Stockholm, 1995.
- Robson, A., Beven, K., and Neal, C.: Towards identifying sources of subsurface flow: a comparison of components identified by a physically based runoff model and those determined by chemical mixing techniques, *Hydrol. Processes*, 6, 199–214, 1992.
- Rodhe, A. and Seibert, J.: Wetland occurrence in relation to topography: a test of topographic

- indices as moisture indicators, *Agricultural and Forest Meteorology*, 98–99, 325–340, 1999.
- Seibert, J., Bishop, K. H., and Nyberg, L.: A test of TOPMODEL's ability to predict spatially distributed groundwater levels, *Hydrol. Processes*, 11, 1131–1144, 1997.
- Sivapalan, M. and Wood, E. F.: A multidimensional model of nonstationary space-time rainfall at the catchment scale, *Water Resour. Res.*, 23, 1289–1299, 1987.
- 5 Sivapalan, M., Wood, E. F., and Beven, K. J.: On hydrologic similarity. 3. A dimensionless flood frequency model using a generalized geomorphologic unit hydrograph and partial area runoff generation, *Water Resour. Res.*, 26, 43–58, 1990.
- Tarboton, D. G.: A new method for the determination of flow directions and upslope areas in grid digital elevation models, *Water Resour. Res.*, 33, 309–319, 1997.
- 10 White, J. D. and Running, S. W.: Testing scale-dependent assumptions in regional ecosystem simulations, *J. Vegetation Science*, 5, 687–702, 1994.
- Wolock, D. M. and McCabe, G. J.: Comparison of single and multiple flow direction algorithms for computing topographic parameters in Topmodel, *Water Resour. Res.*, 31, 1315–1324, 1995.
- 15 Zinko, U., Seibert, J., Dynesius, M., and Nilsson, C.: Plant species numbers predicted by a topography based groundwater-flow index, *Ecosystems*, 8, 430–441, 2005.
- Zinko, U.: Plants go with the flow – predicting spatial distribution of plant species in the boreal forest, doctoral thesis, ISBN 91-7305-705-3, 2004.

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Table 1. Distribution of the best 10% of the calculation methods of two of the flow distribution and slope distance for each measured variable. The highest Spearman's rank correlation coefficients, r_s , which were obtained with a certain method, are given in brackets.

	LP site (Åmsele)			HP site (Kålarne)				
	Species richness	pH	Ground-water	Species richness	pH	Ground-water	Soil moisture	Wetness degree
Flow distribution method								
Tarboton	63 (0.604)	60 (0.519)	59 (0.762)	55 (0.795)	33 (0.842)	41 (0.894)	78 (0.729)	68 (0.797)
Quinn	37 (0.590)	40 (0.515)	41 (0.772)	45 (0.793)	67 (0.845)	59 (0.898)	22 (0.700)	32 (0.787)
Slope distance method (for $\tan\alpha_d$)								
Beeline	79 (0.604)	76 (0.519)	40 (0.760)	100 (0.795)	100 (0.845)	13 (0.892)	41 (0.729)	49 (0.797)
Along flow path	21 (0.589)	24 (0.510)	60 (0.772)	0 (0.771)	0 (0.829)	87 (0.898)	59 (0.729)	51 (0.797)

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

EGU

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Table 2. Overlapping between the best 10% calculation methods for the different measured variables. The overlap was computed as the ratio between the number of methods found in both best-10% sets (of the measured variables to be compared) and the total number of methods in a best-10% set ($n=269$). For random drawings the overlapping ratio would be smaller than 0.071 with a probability of 0.05 and higher than 0.127 with a probability of 0.95.

		LP site (Åmsele)			HP site (Kålarne)				
		Species richness	pH	Ground-water	Species richness	pH	Ground-water	Soil moisture	Wetness degree
LP site (Åmsele)	Species richness	1	0.290	0.320	0	0.186	0.119	0.215	0.082
	pH		1	0.142	0.007	0.142	0.052	0.126	0.261
	Groundwater			1	0	0	0.424	0.379	0.254
HP site (Kålarne)	Species richness				1	0.677	0	0	0
	pH					1	0	0	0
	Groundwater						1	0.163	0.178
	Soil moisture							1	0.751
	Wetness degree								1

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Table 3. Distribution of the best 10% of the algorithms of flow distribution and flow distance for different groups of measured variables. Shown in brackets are the mean of the difference between the very best correlation coefficient for each measured parameter and the best correlation coefficient for the group.

	Species richness and pH	Groundwater, soil moisture and wetness degree	LP site (Åmsele)	HP site (Kälärne)	All
Flow distribution method					
Tarboton	41 (0.093)	71 (0.181)	87 (0.154)	74 (0.114)	77 (0.125)
Quinn	59 (0.096)	29 (0.168)	13 (0.152)	26 (0.112)	23 (0.123)
Downslope index, method to estimate horizontal distance					
Beeline	91 (0.084)	37 (0.181)	58 (0.154)	52 (0.104)	48 (0.121)
Along flowpath	9 (0.096)	63 (0.169)	42 (0.149)	48 (0.114)	52 (0.125)

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

EGU

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

Table 4. Best Spearman rank correlation coefficients obtained for the single measured variables at each site and for different groups of variables. Correlation coefficients for correlations where the particular variable is included in the respective group are in bold.

	Best possible correlation for each variable	Best correlation for groups of variables				
		Species richness and pH	Groundwater, soil moisture and wetness degree	LP site (Åmsele)	HP site (Kålarne)	All
LP site (Åmsele)						
Species richness	0.604	0.587	0.556	0.597	0.570	0.580
pH	0.519	0.505	0.492	0.513	0.497	0.498
Groundwater	0.772	0.582	0.772	0.743	0.711	0.722
HP site (Kålarne)						
Species richness	0.795	0.765	0.667	0.716	0.730	0.739
pH	0.845	0.840	0.757	0.795	0.798	0.802
Groundwater	0.898	0.835	0.886	0.872	0.862	0.871
Soil moisture	0.729	0.582	0.676	0.674	0.723	0.702
Wetness degree	0.797	0.721	0.765	0.746	0.792	0.772

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

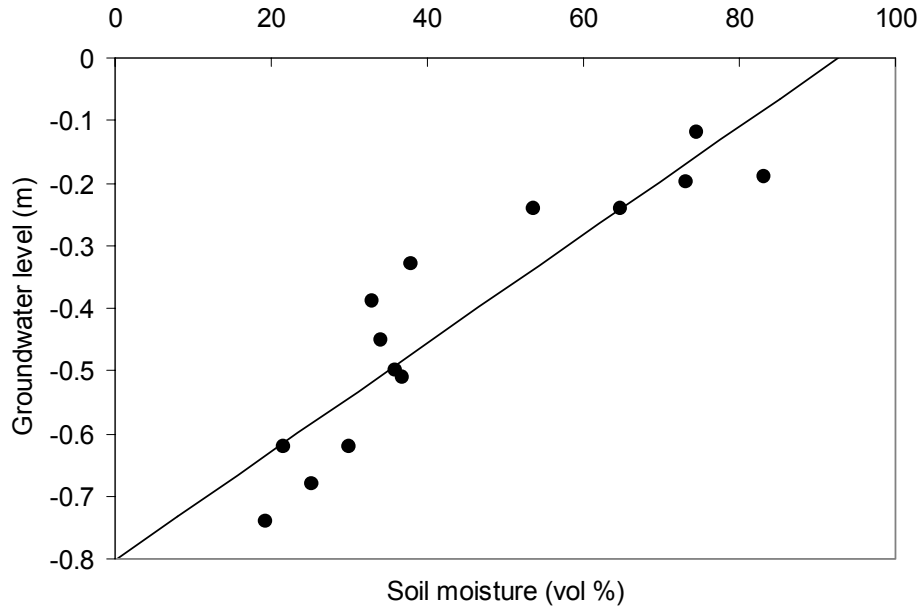


Fig. 1. Relationship between soil moisture (measured with TDR) and groundwater level at the HP site in July 2002. Both variables were measured for 14 plots ($R^2=0.823$, $P>0.001$, $n=14$).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

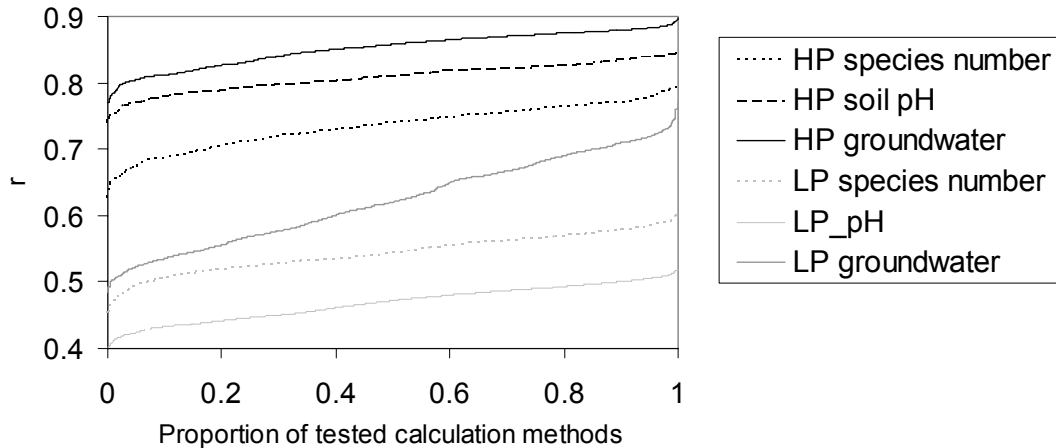


Fig. 2. Accumulated distribution of correlation coefficients obtained by using the 2688 different TWI values.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

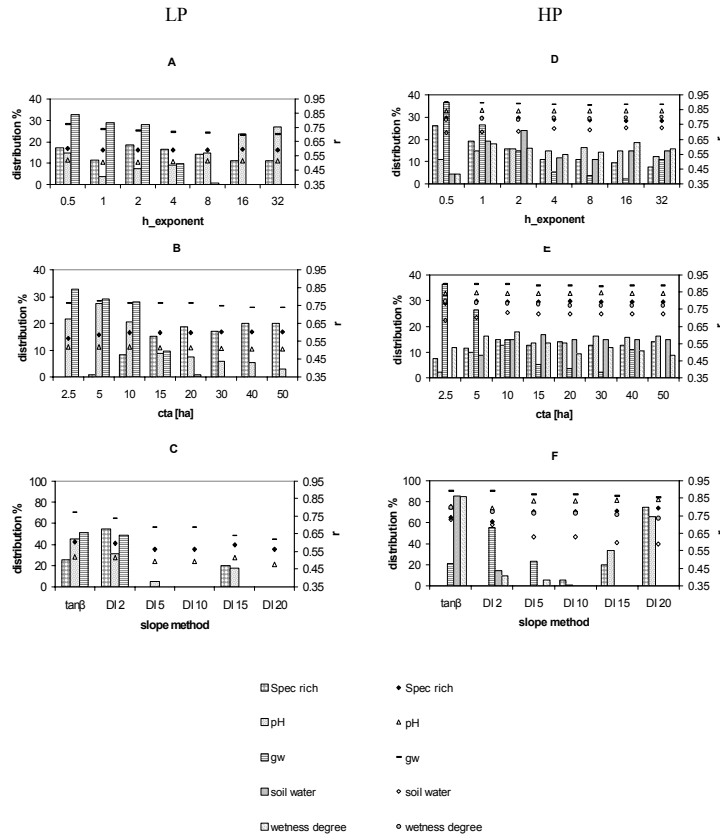


Fig. 3. Distribution of the best 10% calculation methods (bars) among different values of h , slope, and cta, as well as the highest correlation coefficient (HC) obtained using a certain parameter value (symbol) for each measured variable. **(A)** The h exponent parameter in the LP site. **(B)** The cta parameter in the LP site. **(C)** The slope parameter in the LP site. **(D)** The h exponent parameter in the HP site. **(E)** The cta parameter in the LP site. **(F)** The slope parameter in the LP site. Note the different scale on the distribution axis for slope method.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion

Evaluation of TWI calculation, based on field observations

R. Sørensen et al.

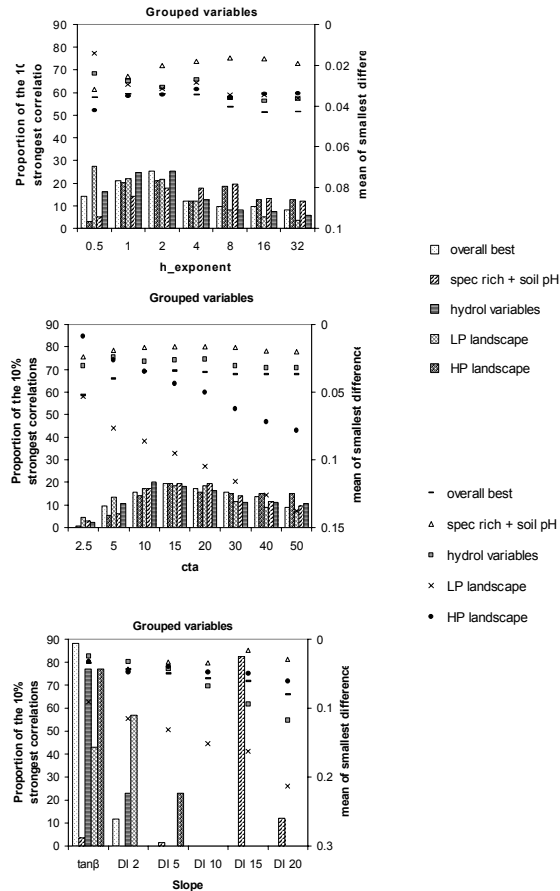


Fig. 4. Distribution of the best 10% calculation methods (bars) among different values of h , cta , and slope for different groups of measured variables. Also shown is the mean (symbol) of the smallest differences between the highest correlation coefficient and all other correlation coefficients in each group of measured variables.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Print Version

Interactive Discussion