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Scaling effect for estimating soil loss in the RUSLE model using remotely sensed geospatial data in Korea

G.-S. Lee and K.-H. Lee

Korea Water Resource Corporation, 462-1 Jeonmin-dong, Yusung-gu, Daejeon, Korea Received: 1 November 2005 – Accepted: 7 January 2006 – Published: 16 February 2006 Correspondence to: G.-S. Lee (ilovegod@kowaco.or.kr) © 2006 Author(s). This work is licensed under a Creative Commons License.



Abstract

Accurate estimation of soil loss/deposition forced by rainfall events plays a major role in water resources management and it directly affects the quality of agricultural land and water storage capacity in reservoirs. In this paper, the soil loss model, Revised Universal Soil Loss Equation (RUSLE) was used to quantify soil loss in a small basin 5 located in southern part of Korea. The surface characteristics, such as soil texture, elevation, and vegetation type, are needed to run the RUSLE model. Remotely sensed geospatial data has been successfully used to derive suitable model factors for this purpose. It is, however, difficult to select the grid size of elements for the best fit, which is, often, decided in a subjective and intuitive way. A GIS spatial analysis was 10 performed to investigate the scaling effect for estimating soil loss in the RUSLE model using the remotely sensed geospatial data. The results show that the L- and S- factors are sensitive to the grid size and the optimal resolution to quantify soil loss in the RUSLE model for the study site is 125 m. This approach presents a method to select the suitable scale for estimating soil loss using the remotely sensed geospatial data 15 and eventually improve the prediction of soil loss in a basin scale.

1. Introduction

In Korea, extremely heavy rainfall events over the last decade have been increasing, which has an effect on runoff, erosion, soil moisture distribution, irrigation, ecologi cal conditions, and design and planning. Especially, soil loss has been a threat to farm livelihoods and ecosystem integrity and accurate estimation of soil loss/deposition forced by heavy rainfall events is urgently needed to effectively control both the natural and accelerated erosion. Yet, not many studies have been made to quantitatively predict soil loss and those that have been made were primarily on a numerical modeling
 basis because of difficulties in the measurement of soil loss (Renard et al., 1998; Yitayew et al., 1999). It is, in fact, impossible to consider all forms of erosion with a model

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thus, mostly, models have been developed to investigate specific problems.

The RUSLE model, which is an updated version of the Universal Soil Loss Equation (USLE) model (Wischmeier and Smith, 1978), has been widely used to estimate the average annual soil loss per unit land area that is associated with rill and sheet ero-

sion and the RUSLE is well suited for predicting water induced erosion in temperate climates (Renard et al., 1998). With the RUSLE model, the average annual rate of soil loss for a site of interest can be predicted for any number of scenarios in association with cropping systems, management techniques, and erosion control practices. The erosion rate of ungauged basins can also be predicted based on the knowledge of the basin characteristics and local hydro-climate conditions (Garde and Kathyari, 1990).

The movement of sediment depends on geomorphologic and environmental surface factors such as topography and slope, drainage pattern, vegetation cover, soil texture, soil condition and rainfall duration (Walling, 1983). There are six main factors used to adequately represent all the surface characteristics in the RUSLE model and the rel-

- evant factors have been successfully derived from the remote sensing data, which is handled by Geographic information system (GIS) (Renard et al., 1998). The appropriate use of a complex deterministic model with a large number of input factors requires knowledge of the uncertainty of model outputs on the input factors. The accuracy of estimation in soil loss depends in part on how well the model factors describe the relevant
- ²⁰ characteristics of the basin. From this perspective, many studies have made efforts to improve the prediction capabilities by focusing on better estimations of the factor from the GIS data. GIS application has obvious advantages for rapid spatial risk assessment, particularly for remote rural areas (Sharma et al., 2001). This is especially true in the Korean water resources community, which stands on the brink of a new era of
- ²⁵ water and soil management with the advent of the broad GIS data sets. In typical fashion, the remotely sensed land surface data, such as soil texture map, Digital Elevation Map (DEM), and vegetation type map, were used as parameter inputs to RUSLE in this study. The model factors derived from the field investigation on a physical basis are, in most cases, reasonably given and the RUSLE model factors are not variable in a broad

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range.

In the meanwhile, it is unlikely that the RUSLE model incorporates all spatial scales of the land surface map in need of application and this scaling issue is almost always arising when merging the geospatial data into the numerical model. The numerical grid

⁵ size is usually decided on a subjective and intuitive basis and the factors vary with the grid size in the RUSLE model.

Because the RUSLE is an empirically based soil loss model, it is essential to calibrate the model concerning both the grid size and model factors and we performed the model calibration with emphasis on the grid size in this study. To do this, the geospatial data of lower resolution (up to 200 m) was, in turn, resampled from the 20 m resolution data

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and, then, converted to the corresponding model factors.

The objective of the present study is that, changing the scaling of geospatial data (20 m–200 m), a GIS spatial analysis was attempted to find the optimal resolution for the best fit with the observed soil loss data. The RUSLE model is facilitated for a basin,

15 so called Bosung located at southern part of Korea, to estimate soil loss for the year of 2002.

In the following section we briefly describe the study region and available data. In the third section, we explain the model setup and basic theory for the study, followed by the model results. In the last section we close the paper with summary and conclusions.

20 2. Study region and data

Unfortunately, in no field experiments to date, except the Bosung basin, have the unit sediment deposit required to verify the performance of the RUSLE model been made in Korea. Therefore the Bosung basin was chosen for the study site. The center of the Bosung basin is 127.03° E 34.80° N, which is about 300 km south of the capital of Korea (Fig. 1). It covers about 274 km² and the elevation is in the range of 121–794 m. Because the Bosung basin is very steep (0.22 for 30 m DEM, while 0.14 for 130 m DEM), it was selected for a hydropower dam in 1937. Its annual average temperature



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and humidity are approximately $12^{\circ}C$ and 75%, respectively, and its annual average precipitation (=1495 mm) is higher than the Korean national average (=1283 mm).

To extract the soil erodibility factor in RUSLE, a soil map is required and a scale of 1:25 000 was used. The soil data sampled at every 500 m was interpolated to construct
 the soil texture map (KICT, 1992). A DEM map (20 m resolution) was constructed for the basin based on 1:5000 scale topographic map. Contour lines and river courses were digitized, rasterized, and then linearly interpolated. Thousands of points were collected using differentially corrected readings and calibrated to the ground sampling and then used for interpolation process. The final DEM was projected into Transverse
 Mercator (TM) coordinates to overlay other thematic maps as shown in Fig. 2.

The landcover map was constructed based on the commercially available Landsat ETM+ reflectance data. The land cover was classified into seven classes followed by USGS landcover classification system (Reed, 1997; Latifovic et al., 2004); water, urban, barren, swamp, grass, forest and agriculture. The Maximum Likelihood Method ¹⁵ (MLM) classification technique was applied to classify the land cover from the satellite image and consequently Table 1 presents the portion of each landcover type for the study site.

3. Model and method

As mentioned earlier, the RUSLE, modified from the USLE (Wischmeier and Smith, 1978), is designed to compute the average annual erosion on hillslopes but it is more diverse and extensive in function (Renard et al., 1998). In RUSLE, there are five factors (soil erodibility: K, slope length: L, steepness: S, cover management: C, and support practice: P) derived form the surface characteristics and one factor (rainfall erosivity: R), which reflects the raindrop effect and the runoff rate, derived from the rainfall forcing data. The Toxopeus equation, which is well known for its superiority in Korea (KICT,

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1992), was used to calculate rainfall erosivity factor, R as follows;

 $R = 38.5 + 0.35 \times Pr$

where, *R* is rainfall erosivity factor (in MJ·mm·ha⁻¹·yr⁻¹) and *Pr* is the annual average rainfall (in mm·yr⁻¹).

⁵ The annual average precipitation for the time period of 1991–2001 was used to construct the spatially distributed rainfall map by the spline interpolation method. The corresponding average value of rainfall erosivity factor, *R* was 436.912 *MJ*·mm·ha⁻¹·yr⁻¹ and standard deviation was 8.497 *MJ*·mm·ha⁻¹·yr⁻¹.

The K-factor reflects the ease with which the soil is detached by splash and surface flow. In other words, it accounts for the influence of soil properties on soil loss on the 10 hillslopes. Unstable soil aggregates and the corresponding base saturation are used to determine K (El-Swaify and Dangler, 1976). The K-factor is related to soil texture, organic matter content permeability, and other factors and it is basically derived from the soil type (Wischmeier, 1971), which is related to the grain size distribution and was derived from the Erickson's triangle diagram (Erickson, 1997) for the study. Soil loss 15 is directly related to slope steepness (McCool et al., 1989) and the L- and S-factor accounts for the effect of slope length and slope gradient on erosion, respectively. A number of empirical equations for calculating the L and S factors have been suggested (McCool et al., 1989; Barsch, 1998; Yitayew et al., 1999) but the selection of a suitable algorithm is dependent on the characteristics of the particular basin. The following 20 equation (Desmet and Govers, 1996) is used for this study.

$$L_{ij} = \frac{(A_{ij-in} + D^2)^{m+1} - A_{ij-in}^{m+1}}{D^{m+2} \times x_{ij}^m \times 22.13^m}$$
(2)

where, L_{ij} is the equivalent slope length factor for the cell, A_{ij-in} is the contributing area at the grid cell inlet, *D* is the cell size, *m* is the standard slope length exponent, z_{ij} is the contour length ($|\sin \alpha_{ij}| + |\cos \alpha_{ij}|$), and α_{ij} is the direction of cell. For the HESSD 3, 135–157, 2006

(1)

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S-factor, the following equation (Nearing, 1997) is used

$$S = -1.5 + \frac{17}{1 + \exp(2.3 - 6.1\sin\theta)}$$

where the θ is the slope of cell (in degrees). In the RUSLE, the C-factor, which varies from near zero for well-protected land cover to 1 for barren areas (Singh, 1986), reflects
the abundance and type of the vegetation. The C-factor depends on the type of crop, the phenology, cultivation methods and management factors (Dissmeyer and Foster, 1981; Gilley, 1986). Table 2 shows the value of cover management factor, C, for each land cover type. The P-factor is a reflection of soil loss due to the flow pattern change, gradient, direction of surface runoff, and reduction of runoff rate resulting from variable
cultivation (e.g. contouring, stripping, and terracing cropping etc.) (Renard and Foster, 1983). Table 3 shows the value of support practice factor, P, for various cases (KICT, 1992).

The cell-based representations of map features used in RUSLE offer analytical capabilities for continuous data and allow fast processing of map layer (Fernandez et al., 2003). The mean annual gross soil erosion is calculated on the cell basis using the combination of the product of six factors as follows;

 $A = R \times K \times L \times S \times C \times P$

where *A* denotes the average soil loss due to water erosion (in ton·ha⁻¹·yr⁻¹) and the remaining factors are explained earlier. The *L*, *S*, *C*, and *P* are all dimensionless. The
basin sediment yield can be defined as the quantity of sediments which is routed to the basin outlet for a certain time period. Considering that only some of the eroded soils are routed to the basin outlet, knowing the ratio between the basin sediment yield at the basin outlet and soil erosion over the basin, which is called sediment delivery ratio (SDR), is important for the decision makers. However, the SDR involves numerous uncertainties including temporal discontinuity and spatial variability (Wolman, 1977; Walling, 1983). The RUSLE calculates soil loss forced by rainfall but doesn't take the SDR into account. To generate the sediment yield at the outlet, an Eq. (6) for SDR,

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(3)

(4)

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which is an empirical equation derived from the filed experimental data, was carried out in Korea (KICT, 1992).

$$SDR = 152.581 \times A_{\text{basin}}^{-0.577}$$
 (5)

 $Y_{v} = (R \times K \times L \times S \times C \times P) \times SDR = A \times SDR$

⁵ where Y_{γ} denotes the unit (area km²) sediment yield and SDR is the sediment delivery ratio and A_{basin} is the basin area. The SDR physically means the ratio of the sediment routed to the outlet over the basin (both overland and channel). The sediment is eventually deposited in the reservoir and the amount deposited in the reservoir, V_s can be calculated as follows;

$$V_{s} = E \frac{Y_{\gamma}}{\gamma_{m}}$$

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Where the *E* denotes the trap efficiency (explained later), which is an indicator of the capability of capturing the transported sediment in the reservoir and the γ_m denotes specific gravity of sediment. The sediment deposit V_s is usually sampled via outflow load in the field experiment. Figure 2 presents a schematic plot for the general procedure used in this study.

The erosivity factors in RUSLE was facilitated with a 2-D rainfall map (resolution 20 m) interpolated by spline method on the basis of the four raingauge stations for the time period of the year 2002. The corresponding average value of the rainfall erosivity factor, R for each grid comes out to be 436.912 (MJ·mm·ha⁻¹·yr⁻¹) with the standard deviation (SD)(This is a SD of the spatially distributed rainfall erosivity factor, R), 8.497 (in MJ·mm/ha/yr).

As shown in Table 4, the rainfall erosivity factor, R is in the range of 410–452 and it implies that the rainfall in the Bosung basin is spatially homogeneous in relative terms. The K-factor comes out the ranges from 0.10–0.50 on the basis of the soil map. The mean and SD of the soil erodibility factor, K is 0.286 and 0.114, respectively. The

(6)

(7)

L-factor and S-factor are calculated separately for independent risk assessment. The mean values of the L- and S- factor are 2.448 and 3.593 (Table 4), respectively, while the SD of the L- and S- factor are 1.751 and 3.108, respectively. The SD of L- and S- factor is relatively large and it is a direct reflection of high topographic variation in
⁵ Bosung basin because over 67% of the basin is mountainous and forest-covered (see Table 1). The average C- factor was evaluated as 0.150 and it is considered to be reasonable with 75% of forest and grass (see Table 1) in the basin, while The P-factor factor was estimated as 0.808, reflecting the dominant forest and steep gradient. The C- and P- factors are calculated from the landcover map and DEM on the basis of the field experimental results (KICT, 1992).

In an attempt to calibrate the simulated soil loss by RUSLE, the GIS data is incrementally resampled up to 200 m resolution by 10 m interval.

4. Results and discussion

We compared modeled to measured soil loss for the location at the outlet by using SDR and trap efficiency. The RUSLE calculated the annual average soil loss (for the basin) from Eq. (4) using the six factors and it is estimated as A=139.7 (in ton·ha⁻¹·yr⁻¹). It is, however, very difficult to measure the soil loss in a basin. Instead, the sediment deposit, V_s =200 m³·km⁻²·yr⁻¹, which is readily sampled in the field experiment, is reasonably converted to the sediment yield, Y_r =314.3 ton·km⁻²·yr⁻¹. To obtain sediment yield, Y_r from Eq. (7), the experimental value of 0.7 for trap efficiency and 1.1 ton·m⁻³ of bulk density (KICT, 1992) are used for this study. The sediment yield, Y_r is reasonably converted to the soil loss generation, A (=52.6 in ton·ha⁻¹·yr⁻¹) using the value of 5.98 (in %) for SDR (Eq. 5).

There are potential error sources in the measured soil loss generation, which include measurement error, the natural variability of particle size, and the electronic calibration of echo sounding. They might result in some uncertainties in the selection of optimal grid size explained later. 3, 135–157, 2006

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Because there is large discrepancy (157% overestimated) between the observed and the estimated, the simulated soil loss by RUSLE is not acceptable. In fact, the GIS-based simulation output is strongly dependent on the grid size but there is no fast and solid rule for selecting the grid size. The grid size is diversely selected depending ⁵ on the basin characteristics and modeling complexity. Figure 3 shows the sensitivity of the RUSLE factors normalized by maximum value of each factor, which is specified on the vertical axis as a function of the spatial resolution on the horizontal axis. It

- is general feature that, for estimating soil loss in the RUSLE model, the L- and Sfactors are very sensitive to the spatial resolution, while the remaining factors are not sensitive. It implies that the DEM information, which is directly transformed to L- and S- factors, is crucial in calculating soil loss and caution needs to be taken in selecting the DEM grid size. The L- and S- factors are large at higher resolution and vice versa. As mentioned earlier, the SD of L- and S- factor is large because of high topographic variation in Bosung basin and the topographic effect in Eqs. (2) and (3) is smoothed out
- ¹⁵ by averaging many different cells at lower resolution. Figure 4 shows the simulated soil loss generation as a function of the spatial resolution and the vertical line (dotted line) represents the optimal point for the best fit. It is also generally found that the soil loss responses are nonlinearly related to the spatial resolution. There is some evidence that the variation of soil loss (slope) is large at higher resolution but it is fast decreasing as the resolution decreases. The aimulated soil lose of 50 step ho⁻¹ ur⁻¹ by DUCL 5 at the resolution.
- ²⁰ as the resolution decreases. The simulated soil loss of 52.8 ton·ha⁻¹·yr⁻¹ by RUSLE at 125 m shows best fit with the sampled soil loss of 52.6 ton·ha⁻¹·yr⁻¹ and Fig. 5 shows the corresponding 2-D soil loss map simulated by the RUSLE at 125m resolution.

A strong correspondence between areas of high relief on the DEM and high soil loss is pronounced in Fig. 5.

It seems that the model could be fit to observations by adjusting either SDR or the RUSLE factors. As mentioned earlier, either SDR or the RUSLE model factors are extracted from the field investigation on a physical basis and they are reasonably given in reality. On the basis of this fact, it is hard to conceive that the RUSLE model factors are widely changeable (In some case, unrealistic values of SDR or factors are given to

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fit the observations). Alternatively the RUSLE scale is chosen to adjust observations in this study. But it is hard to conclude that the optimal resolution for the best fit in the RUSLE model is 125m in general, because the model output is highly dependent on the selection of model, the quality of geospatial data, and the basin characteristics. Hence the optimal spatial scale 125m may not work in every watershed and the procedure need to be done for every new basin of interest, but the method used to determine the optimal scale should work anywhere.

5. Summary and conclusions

This study describes the application of the RUSLE model, to quantify soil loss in a Bo sung basin located at southern part of Korea, using the GIS skill. The strategy adopted here is, firstly, to calculate six RUSLE factors using distributed GIS data (e.g. soil, land cover, and DEM) to adequately represent the surface characteristics and, secondly, to estimate spatial distribution of soil loss in the basin, and, lastly, to find a optimal numerical resolution for the RUSLE model, minimizing the difference between the observed and simulated. The primary conclusions of the present study are as follows:

- The spatial resolution is very sensitive to the estimation of soil loss in the RUSLE model. It implies that caution needs to be taken in selecting the grid size for estimating soil loss using numerical modeling approach.
- The L- and S- factor, which is a reflection of the topographical effect, are sensitive to the estimation of soil loss in the RUSLE model.
- The optimum resolution for soil loss comes out to be 125 m in this study but it might be dependent on the selection of model, the quality of geospatial data, and the basin characteristics.

It is anticipated that the approach suggested herein will provide a useful method for selecting optimum grid size for the best fit of soil loss using modeling approach and

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eventually improve the prediction of soil loss in a basin scale. Also the method is relatively simple and has wide applicability.

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 Table 1. Portion of each landcover type for Bosung basin.

Class	Count of cells (20 m)	Percent (%)
Water	5514	1.81
Urban	3534	1.16
Barren	2080	0.68
Swamp	98	0.03
Grass	20 997	6.90
Forest	205 803	67.59
Agriculture	66 469	21.83
Σ	304 495	100.00

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Land coverCWater0.000Urban0.002Barren0.500Grass0.050Forest0.004

0.300

Agriculture

Table 2. C-factor depending on the land cover types.



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Table 3. The P-factor depending on the cultivation types (e.g. contouring, stripping, and terracing etc.) and the slope (KICT, 1992).

Slope (%)	Contouring	Stripping	Terracing
0.0–7.0	0.55	0.27	0.10
7.0–11.3	0.60	0.30	0.12
11.3–17.6	0.80	0.40	0.16
17.6–26.8	0.90	0.45	0.18
26.8>	1.00	0.50	0.20

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Table 4. Basic statistics for the RUSLE factors and soil loss in Bosung basin at 20 m resolution.

	Min	Max	Mean	SD
Rainfall erosivity factor (R)	410.91	452.08	436.91	8.50
Soil erodibility factor (K)	0.10	0.50	0.29	0.11
Slope steepness factor (L)	0.77	9.77	2.45	1.75
Slope steepness factor (S)	0.05	14.95	3.59	3.11
Cover management factor (C)	0.00	0.50	0.15	0.09
Support practice factor (P)	0.10	1.00	0.81	0.36
Soil loss (A)	0.00	3721.39	139.66	208.97



Fig. 1. Study region. The Bosung basin is located at southern part of Korea.

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Fig. 3. The sensitivity of the RUSLE factors normalized by maximum value of each factor. The L- and S- factors are sensitive to the spatial resolution for estimating soil loss.

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Fig. 5. The simulated 2-D soil loss map at 125 m resolution along with 2-D DEM in 30 m resolution. The spatial average value is 52.8 (in ton ha^{-1} ·yr⁻¹).

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