



HAL
open science

Well-posedness for the generalized Benjamin-Ono equations with arbitrary large initial data in the critical space

Stéphane Vento

► **To cite this version:**

Stéphane Vento. Well-posedness for the generalized Benjamin-Ono equations with arbitrary large initial data in the critical space. 2008. hal-00296637

HAL Id: hal-00296637

<https://hal.science/hal-00296637>

Preprint submitted on 14 Jul 2008

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Well-posedness for the generalized Benjamin-Ono equations with arbitrary large initial data in the critical space

Stéphane Vento,
Université Paris-Est,
Laboratoire d'Analyse et de Mathématiques Appliquées,
5 bd. Descartes, Cité Descartes, Champs-Sur-Marne,
77454 Marne-La-Vallée Cedex 2, France

E-mail: stephane.vento@univ-paris-est.fr

Abstract. We prove that the generalized Benjamin-Ono equations $\partial_t u + \mathcal{H}\partial_x^2 u \pm u^k \partial_x u = 0$, $k \geq 4$ are locally well-posed in the scaling invariant spaces $\dot{H}^{s_k}(\mathbb{R})$ where $s_k = 1/2 - 1/k$. Our results also hold in the non-homogeneous spaces $H^{s_k}(\mathbb{R})$. In the case $k = 3$, local well-posedness is obtained in $H^s(\mathbb{R})$, $s > 1/3$.

Keywords: NLS-like equations, Cauchy problem

AMS Classification: 35Q55, 35B30, 76B03, 76B55

1 Introduction

In this paper we pursue our study of the Cauchy problem for the generalized Benjamin-Ono equations

$$\begin{cases} \partial_t u + \mathcal{H}\partial_x^2 u \pm u^k \partial_x u = 0, & x, t \in \mathbb{R}, \\ u(x, t = 0) = u_0(x), & x \in \mathbb{R}, \end{cases} \quad (\text{gBO})$$

with k an integer ≥ 3 and with \mathcal{H} the Hilbert transform defined *via* the Fourier transform by

$$\mathcal{H}f = \mathcal{F}^{-1}(-i \operatorname{sgn}(\xi) \hat{f}(\xi)), \quad f \in \mathcal{S}'(\mathbb{R}). \quad (1.1)$$

The Hilbert transform is a real operator, and consequently we look for real-valued solutions. In view of (1.1), we see that \mathcal{H} is nothing but $-i$

on positive frequencies and $+i$ on negative ones. A very close equation to (gBO) is then the derivative nonlinear Schrödinger equation

$$\partial_t u - i\partial_x^2 u \pm u^k \partial_x u = 0. \quad (1.2)$$

for which all our results remain true. Furthermore, (gBO) and (1.2) enjoy the same linear estimates, see Section 3.

A remarkable feature of (gBO) is the following scaling invariance: if $u(t, x)$ is a solution of the equation on $[-T, +T]$, then for any $\lambda > 0$, $u_\lambda(t, x) = \lambda^{1/k} u(\lambda^2 t, \lambda x)$ also solves (gBO) on $[-\lambda^{-2}T, +\lambda^{-2}T]$ with initial data $u_\lambda(0, x)$ and moreover

$$\|u_\lambda(\cdot, 0)\|_{\dot{H}^s} = \lambda^{s+\frac{1}{k}-\frac{1}{2}} \|u(\cdot, 0)\|_{\dot{H}^s}.$$

Hence the $\dot{H}^s(\mathbb{R})$ norm is invariant if and only if $s = s_k = 1/2 - 1/k$ and we may expect well-posedness in $\dot{H}^{s_k}(\mathbb{R})$.

When $k = 1$, (gBO) is the ordinary Benjamin-Ono equation derived by Benjamin [1] and later by Ono [15] as a model for one-dimensional waves in deep water. The Cauchy problem for the Benjamin-Ono equation has been extensively studied these last years, see [17, 16, 6]. In [18], Tao introduced a gauge transformation (a kind of Cole-Hopf transformation) which ameliorate the derivative nonlinearity, and get the well-posedness of this equation in $H^s(\mathbb{R})$, $s \geq 1$. Recently, combining a gauge transformation together with a Bourgain's method, Ionescu and Kenig [5] shown that one could go down to $L^2(\mathbb{R})$, which seems to be the critical space for the Benjamin-Ono equation. Note also that Burq and Planchon [4] have obtained well-posedness in $H^s(\mathbb{R})$, $s > 1/4$ by similar methods. It is worth noticing that all these results have been obtained by compactness methods. On the other hand, Molinet, Saut and Tzvetkov [14] proved that, for all $s \in \mathbb{R}$, the flow map $u_0 \mapsto u$ is not of class \mathcal{C}^2 from $H^s(\mathbb{R})$ to $H^s(\mathbb{R})$. Furthermore, building suitable families of approximate solutions, Koch and Tzvetkov proved in [11] that the flow map is actually not even uniformly continuous on bounded sets of $H^s(\mathbb{R})$, $s > 0$. This explains why a Picard iteration scheme fails to solve the Benjamin-Ono equation in Sobolev spaces.

In the case of the modified Benjamin-Ono equation ($k = 2$), Kenig and Takaoka [10] have recently obtained the global well-posedness in the energy space $H^{1/2}(\mathbb{R})$. This have been proved thanks to a localized gauge transformation combined with a space-time L^2 estimate of the solution. It is

important to note that this result is far from that given by the scaling index $s_2 = 0$. However, it is known to be sharp since the solution map $u_0 \mapsto u$ is not \mathcal{C}^3 in $H^s(\mathbb{R})$ as soon as $s < 1/2$ (see [13]).

In the case $k = 3$, the local well-posedness is known in $H^s(\mathbb{R})$, $s > 1/3$ for small initial data [13] but only in $H^s(\mathbb{R})$, $s > 3/4$ for large initial data. In [19], we showed that (gBO) is \mathcal{C}^4 -ill-posed in $H^s(\mathbb{R})$, $s < 1/3$, in the sense that the flow-map $u_0 \mapsto u$ fails to be \mathcal{C}^4 . We prove here that well-posedness occurs in $H^s(\mathbb{R})$, $s > 1/3$, and without smallness assumption on the initial data.

Concerning the case $k \geq 4$, global well-posedness in $H^s(\mathbb{R})$, $s > s_k$ was derived for small initial data by Molinet and Ribaud in [13]. Later, by means of a gauge transformation, the same authors [12] removed the size restriction on the data and showed well-posedness in $H^{1/2}(\mathbb{R})$, whatever the value of k . By a refinement of their method, we reached in [19] the well-posedness in $H^s(\mathbb{R})$, $s > s_k$, but for high nonlinearities only ($k \geq 12$ in fact). On the other hand, in the particular case $k = 4$, Burq and Planchon [3] proved the local well-posedness in the critical space $\dot{H}^{1/4}(\mathbb{R})$. Inspired by their works, we extend in this paper the well-posedness to $\dot{H}^{s_k}(\mathbb{R})$ for any $k \geq 4$, and our method is flexible enough to get the result in the non-homogeneous space $H^{s_k}(\mathbb{R})$. A standard fixed point argument allows us to construct a unique solution in a subspace of $\dot{H}^{s_k}(\mathbb{R})$ with a continuous flow-map $u_0 \mapsto u$. Recall that Biagioni and Linares [2] proved using solitary waves, that this map cannot be uniformly continuous in $\dot{H}^{s_k}(\mathbb{R})$. In the surcritical case $s < s_k$, we also know that the solution-map (if it exists) fails to be \mathcal{C}^{k+1} in $H^s(\mathbb{R})$, see [13].

2 Notations and main results

2.1 Notations

For A and B two positive numbers, we write $A \lesssim B$ if it exists $c > 0$ such that $A \leq cB$. Similarly define $A \gtrsim B$, $A \sim B$ if $A \geq cB$ and $A \lesssim B \lesssim A$ respectively. When the constant c is large enough, we write $A \ll B$. For any $f \in \mathcal{S}'(\mathbb{R})$, we use $\mathcal{F}f$ or \hat{f} to denote its Fourier transform. For $1 \leq p \leq \infty$, L^p is the standard Lebesgue space and its space-time versions $L_x^p L_T^q$ and $L_T^q L_x^p$ ($T > 0$) are endowed with the norms

$$\|f\|_{L_x^p L_T^q} = \|\|f\|_{L_t^q([-T;T])}\|_{L_x^p(\mathbb{R})} \quad \text{and} \quad \|f\|_{L_T^q L_x^p} = \|\|f\|_{L_x^p(\mathbb{R})}\|_{L_t^q([-T;T])}.$$

The pseudo-differential operator D_x^α is defined by its Fourier symbol $|\xi|^\alpha$. We will denote by P_+ and P_- the projection on respectively the positive and the negative spatial Fourier modes. Thus one has

$$i\mathcal{H} = P_+ - P_-.$$

Let $\eta \in \mathcal{C}_0^\infty(\mathbb{R})$, $\eta \geq 0$, $\text{supp } \eta \subset \{1/2 \leq |\xi| \leq 2\}$ with $\sum_{-\infty}^\infty \eta(2^{-j}\xi) = 1$ for $\xi \neq 0$. We set $p(\xi) = \sum_{j \leq -3} \eta(2^{-j}\xi)$ and consider, for all $j \in \mathbb{Z}$, the operator Q_j defined by

$$Q_j(f) = \mathcal{F}^{-1}(\eta(2^{-j}\xi)\hat{f}(\xi)).$$

We adopt the following summation convention. Any summation of the form $r \lesssim j$, $r \gg j, \dots$ is a sum over the $r \in \mathbb{Z}$ such that $2^r \lesssim 2^j \dots$, thus for instance $\sum_{r \lesssim j} = \sum_{r: 2^r \lesssim 2^j}$. We define then the operators $Q_{\lesssim j} = \sum_{r \lesssim j} Q_r$, $Q_{\ll j} = \sum_{r \ll j} Q_r$, etc. For $1 \leq p, q, r \leq \infty$ and $s \in \mathbb{R}$, let $\dot{\mathcal{B}}_p^{s,r}(L_T^q)$ be the homogeneous Besov space equipped with the norm

$$\|f\|_{\dot{\mathcal{B}}_p^{s,r}(L_T^q)} = \left(\sum_{j \in \mathbb{Z}} [2^{js} \|Q_j f\|_{L_x^p L_T^q}]^r \right)^{1/r}.$$

Finally for $s \in \mathbb{R}$ and $\theta \in [0, 1]$, we define the solution space $\dot{\mathcal{S}}^{s,\theta}$ (where lives our solution u) and the nonlinear space $\dot{\mathcal{N}}^{s,\theta}$ (where lives the nonlinear term $u^k \partial_x u$) by

$$\dot{\mathcal{S}}^{s,\theta} = \dot{\mathcal{B}}_{\frac{4}{1-\theta}}^{s+\frac{3\theta-1}{4}, 2}(L_T^{\frac{2}{\theta}}), \quad \dot{\mathcal{N}}^{s,\theta} = \dot{\mathcal{B}}_{\frac{4}{3+\theta}}^{s+\frac{1-3\theta}{4}, 2}(L_T^{\frac{2}{2-\theta}}).$$

2.2 Main results

We first state our well-posedness results in the case $k \geq 4$.

Theorem 2.1. *Let $k \geq 4$ and $u_0 \in \dot{H}^{s_k}(\mathbb{R})$. There exists $T = T(u_0) > 0$ and a unique solution u of (gBO) such that $u \in \dot{Z}_T$ with*

$$\dot{Z}_T = \mathcal{C}([-T, +T], \dot{H}^{s_k}(\mathbb{R})) \cap \dot{X}^{s_k} \cap L_x^k L_T^\infty.$$

Moreover, the flow map $u_0 \mapsto u$ is locally Lipschitz from $\dot{H}^{s_k}(\mathbb{R})$ to \dot{Z}_T .

In the non-homogeneous case, one has the following result.

Theorem 2.2. *Let $k \geq 4$ and $u_0 \in H^s(\mathbb{R})$, $s \geq s_k$. There exists $T = T(u_0) > 0$ and a unique solution u of (gBO) such that $u \in Z_T$ with*

$$Z_T = \mathcal{C}([-T, +T], H^s(\mathbb{R})) \cap X^s \cap L_x^k L_T^\infty.$$

Moreover, the flow map $u_0 \mapsto u$ is locally Lipschitz from $H^s(\mathbb{R})$ to Z_T .

Remark 2.1. *We only obtain the Lipschitz continuity of the map $u_0 \mapsto u$ in Theorems 2.1 and 2.2 in $\dot{H}^{s_k}(\mathbb{R})$ (resp. $H^s(\mathbb{R})$). As noticed in the introduction, the solution map given by Theorem 2.1 is not uniformly continuous from $\dot{H}^{s_k}(\mathbb{R})$ to $\mathcal{C}([-T, T], \dot{H}^{s_k}(\mathbb{R}))$. Moreover, when $s < s_k$, the flow map in Theorem 2.2 is no longer of class \mathcal{C}^{k+1} in $H^s(\mathbb{R})$. It is not clear whether the map given by Theorems 2.1 and 2.2 is \mathcal{C}^{k+1} or not.*

Remark 2.2. *The spaces \dot{X}^{s_k} and X^s will be defined in Section 3 and are directly related with the linear estimates for the linear Benjamin-Ono equation.*

The main tools to prove Theorems 2.1 and 2.2 are the sharp Kato smoothing effect and the maximal in time inequality for the free solution $V(t)u_0$ where $V(t) = e^{it\mathcal{H}\partial_x^2}$. Recall that for regular solutions, (gBO) is equivalent to its integral formulation

$$u(t) = V(t)u_0 \mp \int_0^t V(t-t')(u^k(t')\partial_x u(t'))dt'. \quad (2.1)$$

It is worth noticing that (gBO) provides a perfect balance between the derivative nonlinear term on one hand, and the available linear estimates on the other hand. Heuristically, one may use (2.1) to write

$$\begin{aligned} \|D_x^{s_k+1/2}u\|_{L_x^\infty L_T^2} + \|u\|_{L_x^k L_T^\infty} &\lesssim \|u_0\|_{\dot{H}^{s_k}} + \|D_x^{s_k-1/2}\partial_x(u^{k+1})\|_{L_x^1 L_T^2} \\ &\lesssim \|u_0\|_{\dot{H}^{s_k}} + \|D_x^{s_k+1/2}u\|_{L_x^\infty L_T^2} \|u\|_{L_x^k L_T^\infty}^k \end{aligned}$$

and perform a fixed point procedure. Unfortunately, such an argument fails for several reasons:

- First, it is not clear whether the second inequality holds true or not. Indeed, we used the fractional Leibniz rule (see the Appendix in [9], [12]) at the end points L^p , $p = 1, \infty$. However, this inequality becomes true if one works in the associated Besov spaces $\dot{\mathcal{B}}_\infty^{s_k+1/2,2}(L_T^2) \cap \dot{\mathcal{B}}_k^{0,2}(L_T^\infty)$ and provides sharp well-posedness for small initial data, see [13].

- The term $\|V(t)u_0\|_{L_x^k L_T^\infty}$ will be small only if $\|u_0\|_{\dot{H}^{s_k}}$ is small as well, even for small T . Nevertheless, as noticed in [3], if we consider instead the difference $V(t)u_0 - u_0$, then its $L_x^k L_T^\infty$ -norm is small provided we restrict ourselves to a small interval $[-T, T]$ (see Lemma 3.5).
- We also need to get a better share of the derivative in the nonlinear term. By a standard paraproduct decomposition, we see that the worst contribution in $\partial_x u^{k+1}$ is given by $\pi(u, u)$ where

$$\pi(f, g) = \sum_j \partial_x Q_j ((Q_{\ll j} f)^k Q_{\sim j} g).$$

The main idea is then to inject this term (or more precisely $\pi(V(t)u_0, u)$) in the linear part of the equation to get the variable-coefficient Schrödinger equation

$$\partial_t u + \mathcal{H} \partial_x^2 u + \pi(V(t)u_0, u) = f \quad (2.2)$$

where f will be a well-behaved term. Linear estimates for equation (2.2) are obtained by the localized gauge transform

$$w_j = e^{\frac{i}{2} \int_{-\infty}^x (Q_{\ll j} u_0)^k} P_+ Q_j u, \quad j \in \mathbb{Z}.$$

Now we turn to the case $k = 3$. By similar considerations, we obtain the following result.

Theorem 2.3. *Let $k = 3$ and $u_0 \in H^s(\mathbb{R})$, $s > 1/3$. There exists $T = T(u_0) > 0$ and a unique solution u of (gBO) such that $u \in Z_T$ with*

$$Z_T = \mathcal{C}([-T, +T], H^s(\mathbb{R})) \cap X^s \cap L_x^3 L_T^\infty.$$

Moreover, the flow map $u_0 \mapsto u$ is locally Lipschitz from $H^s(\mathbb{R})$ to Z_T .

This paper is organized as follows. In Section 3, we recall some sharp estimates related with the linear operator $V(t)$, and we derive linear estimates for equation (2.2). Section 4 is devoted to the case $k \geq 4$. Finally, we prove Theorem 2.3 in Section 5.

3 Linear estimates

3.1 Estimates for the linear BO equation

This section deals with the well-known linear estimates for the Benjamin-Ono equation. Note that all results stated here hold as well for the Schrödinger operator $S(t) = e^{it\partial_x^2}$.

The following lemma summarizes the main estimates related to the group $V(t)$. See for instance [7, 8] for the proof.

Lemma 3.1. *Let $\varphi \in \mathcal{S}(\mathbb{R})$, then*

$$\|V(t)\varphi\|_{L_T^\infty L_x^2} \lesssim \|\varphi\|_{L^2}, \quad (3.1)$$

$$\|D_x^{1/2}V(t)\varphi\|_{L_x^\infty L_T^2} \lesssim \|\varphi\|_{L^2}, \quad (3.2)$$

$$\|D_x^{-1/4}V(t)\varphi\|_{L_x^4 L_T^\infty} \lesssim \|\varphi\|_{L^2}. \quad (3.3)$$

Moreover, if $T \leq 1$ and $j \geq 0$,

$$\|Q_{\leq 0}V(t)\varphi\|_{L_x^2 L_T^\infty} \lesssim \|Q_{\leq 0}\varphi\|_{L^2} \quad (3.4)$$

$$2^{-j/2}\|Q_j V(t)\varphi\|_{L_x^2 L_T^\infty} \lesssim \|Q_j \varphi\|_{L^2} \quad (3.5)$$

Definition 3.1. *A triplet $(\alpha, p, q) \in \mathbb{R} \times [2, \infty]^2$ is said to be 1-admissible if $(\alpha, p, q) = (1/2, \infty, 2)$ or*

$$4 \leq p < \infty, \quad 2 < q \leq \infty, \quad \frac{2}{p} + \frac{1}{q} \leq \frac{1}{2}, \quad \alpha = \frac{1}{p} + \frac{2}{q} - \frac{1}{2}. \quad (3.6)$$

By Sobolev embedding and interpolation between estimates (3.2) and (3.3) we obtain the following result.

Proposition 3.1 ([12]). *If (α, p, q) is 1-admissible, then for all φ in $\mathcal{S}(\mathbb{R})$,*

$$\|D_x^\alpha V(t)\varphi\|_{L_x^p L_T^q} \lesssim \|\varphi\|_{L^2}. \quad (3.7)$$

Now we define our resolution spaces.

Definition 3.2. *Let $k \geq 4$ and $s \in \mathbb{R}$ be fixed. For $0 < \varepsilon \ll 1$, we define the spaces $\dot{X}^s = \dot{\mathcal{S}}^{s, \varepsilon} \cap \dot{\mathcal{S}}^{s, 1}$ endowed with the norm*

$$\|u\|_{\dot{X}^s} = \|u\|_{\dot{\mathcal{S}}^{s, \varepsilon}} + \|u\|_{\dot{\mathcal{S}}^{s, 1}}.$$

At this stage it is important to remark that \dot{X}^s does not contain any L_T^∞ component. As a consequence, for each $u \in \dot{X}^s$ and $\eta > 0$ fixed, we can choose $T = T(u)$ such that $\|u\|_{\dot{X}^s} < \eta$.

In the case $k = 3$, we shall require the following result which is not covered by Proposition 3.1.

Lemma 3.2 ([12]). *Let $0 < T \leq 1$ and $s > 1/3$. Then it holds that*

$$\|V(t)\varphi\|_{L_x^3 L_T^\infty} \lesssim \|\varphi\|_{H^s}, \quad \forall \varphi \in \mathcal{S}(\mathbb{R}). \quad (3.8)$$

We next state the $L_x^p L_T^q$ and $L_T^q L_x^p$ estimates for the linear operator $f \mapsto \int_0^t V(t-t')f(t')dt'$.

Lemma 3.3 ([12]). *Let $\alpha \in \mathbb{R}$, and $2 < p, q \leq \infty$ such that for all $\varphi \in \mathcal{S}(\mathbb{R})$,*

$$\|D_x^\alpha V(t)\varphi\|_{L_x^p L_T^q} \lesssim \|\varphi\|_{L^2}.$$

Then for all $f \in \mathcal{S}(\mathbb{R}^2)$,

$$\left\| D_x^{1/2} \int_0^t V(t-t')f(t')dt' \right\|_{L_T^\infty L_x^2} \lesssim \|f\|_{L_x^1 L_T^2}, \quad (3.9)$$

$$\left\| D_x^{\alpha+1/2} \int_0^t V(t-t')f(t')dt' \right\|_{L_x^p L_T^q} \lesssim \|f\|_{L_x^1 L_T^2}. \quad (3.10)$$

Similarly, if

$$\|D_x^\alpha V(t)\varphi\|_{L_x^p L_T^q} \lesssim \|\varphi\|_{H^s}$$

for any $\varphi \in \mathcal{S}(\mathbb{R})$, then

$$\left\| D_x^{\alpha+1/2} \int_0^t V(t-t')f(t')dt' \right\|_{L_x^p L_T^q} \lesssim \|\langle D_x \rangle^s f\|_{L_x^1 L_T^2}. \quad (3.11)$$

We shall need the following Besov version of Lemma 3.3.

Lemma 3.4. *Let $k \geq 4$. For all $f \in \mathcal{S}(\mathbb{R})^2$,*

$$\left\| \int_0^t V(t-t')f(t')dt' \right\|_{L_x^k L_T^\infty} \lesssim \|f\|_{\dot{\mathcal{N}}^{s_k, 1}}.$$

Proof. Note that the triplets $(1/2, \infty, 2)$ and $(-s_k, k, \infty)$ are both 1-admissible. In particular we deduce

$$\left\| \int_{-T}^T D_x^{1/2} V(-t')h(t')dt' \right\|_{L^2} \lesssim \|h\|_{L_x^1 L_T^2}, \quad \forall h \in \mathcal{S}(\mathbb{R}^2),$$

which is the dual estimate of (3.7) for $(\alpha, p, q) = (1/2, \infty, 2)$. Since $L^2 = \dot{\mathcal{B}}_2^{0,2}$, we infer

$$\left\| \int_{-T}^T D_x^{1/2} V(-t')h(t')dt' \right\|_{L^2} \lesssim \|h\|_{\dot{\mathcal{B}}_1^{0,2}(L_T^2)}, \quad \forall h \in \mathcal{S}(\mathbb{R}^2).$$

The usual TT^* argument provides

$$\left\| \int_{-T}^T V(t-t')f(t')dt' \right\|_{L_x^k L_T^\infty} \lesssim \|f\|_{\dot{\mathcal{B}}_1^{-1/k, 2}(L_T^2)}.$$

We can conclude with the Christ-Kiselev lemma for reversed norms (Theorem B in [3]). \square

3.2 Linear estimates for equation (2.2)

Here and hereafter we take $k \geq 4$, the special case $k = 3$ will be discussed in Section 5.

Next lemma will be crucial in the proof of our main results.

Lemma 3.5. *Let $k \geq 4$ and $u_0 \in \dot{H}^{s_k}$. For any $\eta > 0$, there exists $T = T(u_0)$ such that*

$$\|V(t)u_0 - u_0\|_{L_x^k L_T^\infty} < \eta.$$

Proof. Let $N > 0$ to be chosen later. One has

$$\|V(t)u_0 - u_0\|_{L_x^k L_T^\infty} \lesssim \sum_{|j| < N} \|Q_j(V(t)u_0 - u_0)\|_{L_x^k L_T^\infty} + \left(\sum_{|j| > N} \|Q_j u_0\|_{\dot{H}^{s_k}}^2 \right)^{1/2}.$$

Note that $v = V(t)u_0 - u_0$ solves the equation

$$\partial_t v + \mathcal{H}\partial_x^2 v = -\mathcal{H}\partial_x^2 u_0$$

with zero initial data. Thus $V(t)u_0 - u_0 = \int_0^t V(t-t')\mathcal{H}\partial_x^2 u_0 dt'$ and

$$\begin{aligned} \sum_{|j| < N} \|Q_j(V(t)u_0 - u_0)\|_{L_x^k L_T^\infty} &\lesssim \sum_{|j| < N} 2^{2j} \left\| \int_0^t V(t')Q_j u_0 dt' \right\|_{L_x^k L_T^\infty} \\ &\lesssim T \sum_{|j| < N} 2^{2j} \|V(t)Q_j u_0\|_{L_x^k L_T^\infty} \\ &\lesssim T 2^{2N} \|u_0\|_{\dot{H}^{s_k}}. \end{aligned}$$

It suffices now to choose sufficiently large N and then T small enough. \square

Let us turn back to the nonlinear (gBO) equation. The sign of the nonlinearity is irrelevant in the study of the local problem, and we choose for convenience the plus sign.

Using standard paraproduct rearrangements, we can rewrite the nonlin-

ear term in (gBO) as follows:

$$\begin{aligned}
\partial_x Q_j(u^{k+1}) &= \partial_x Q_j(\lim_{r \rightarrow \infty} (Q_{<r}u)^{k+1}) \\
&= \partial_x Q_j\left(\sum_{-\infty}^{\infty} (Q_{<r+1}u)^{k+1} - (Q_{<r}u)^{k+1}\right) \\
&= \partial_x Q_j\left(\sum_{-\infty}^{\infty} Q_r u (Q_{\lesssim r}u)^k\right) \\
&= \partial_x Q_j\left(\sum_{r \sim j} Q_r u (Q_{\ll r}u)^k\right) + \partial_x Q_j\left(\sum_{r \gtrsim j} (Q_{\sim r}u)^2 (Q_{\lesssim r}u)^{k-1}\right) \\
&= \partial_x Q_j((Q_{\ll j}u)^k Q_{\sim j}u) - g_j.
\end{aligned}$$

We set

$$\pi(f, g) = \sum_j \partial_x Q_j((Q_{\ll j}f)^k Q_{\sim j}g)$$

so that (gBO) reads

$$\partial_t u + \mathcal{H}\partial_x^2 u + \pi(u, u) = g(t, x)$$

with

$$g = \sum_j g_j.$$

Setting

$$f = \pi(u_L, u) - \pi(u, u) + g$$

where $u_L = V(t)u_0$ is the solution of the free BO equation, we see that (gBO) is equivalent to

$$\partial_t u + \mathcal{H}\partial_x^2 u + \pi(u_L, u) = f(t, x). \quad (3.12)$$

We intend to solve (gBO) by a fixed point procedure on the Duhamel formulation of (3.12):

$$u(t) = U(t)u_0 - \int_0^t U(t-t')f(t')dt',$$

where $U(t)\varphi$ is solution to

$$\partial_t u + \mathcal{H}\partial_x^2 u + \pi(V(t)u_0, u) = 0, \quad u(0) = \varphi.$$

It is worth noticing that $U(t)$ depends on the data u_0 .

Setting $u_j = Q_j u$ and $f_j = Q_j f$, we get from (3.12) that

$$\partial_t u_j + \mathcal{H} \partial_x^2 u_j + \partial_x ((u_{0, \ll j})^k u_j) = \partial_x [((u_{0, \ll j})^k - (u_{L, \ll j})^k) u_j] - \partial_x [Q_j, (u_{L, \ll j})^k] u_{\sim j} + f_j$$

and we will denote by R_j the right-hand side. Now take the positive frequencies and set $v_j = P_+ u_j$:

$$i \partial_t v_j + \partial_x^2 v_j + i \partial_x ((u_{0, \ll j})^k v_j) = iP_+ R_j.$$

With $b_{\ll j} = \frac{1}{2} (u_{0, \ll j})^k$, we obtain

$$i \partial_t v_j + (\partial_x + i b_{\ll j})^2 v_j = g_j \quad (3.13)$$

with

$$g_j = -i \partial_x b_{\ll j} v_j - b_{\ll j}^2 v_j + iP_+ R_j. \quad (3.14)$$

Lemma 3.6. *Let v_j be a solution to (3.13) with initial data $v_{0,j} \in \dot{H}^{s_k} \cap \dot{H}^s$. Then there exists $C = C(u_0)$ such that*

$$\|v_j\|_{\dot{X}^s} \leq C \|v_{0,j}\|_{\dot{H}^s} + C \|g_j\|_{\dot{N}^{s,1}}.$$

Proof. We define w_j by

$$w_j = e^{i \int^x b_{\ll j}} v_j.$$

Then we easily check that w_j solves

$$i \partial_t w_j + \partial_x^2 w_j = e^{i \int^x b_{\ll j}} g_j.$$

From the well-known linear estimates on the Schrödinger equation (Lemmas 3.1-3.3) we infer

$$\|\partial_x w_j\|_{L_x^\infty L_T^2} \lesssim \|e^{i \int^x b_{\ll j}} v_{0,j}\|_{\dot{H}^{1/2}} + \|g_j\|_{L_x^1 L_T^2}.$$

Since $\partial_x w_j = e^{i \int^x b_{\ll j}} (\partial_x v_j + b_{\ll j} v_j)$, we have

$$\begin{aligned} \|\partial_x v_j\|_{L_x^\infty L_T^2} &\lesssim \|\partial_x w_j\|_{L_x^\infty L_T^2} + \|b_{\ll j} v_j\|_{L_x^\infty L_T^2} \\ &\lesssim \|\partial_x w_j\|_{L_x^\infty L_T^2} + 2^{-j} \|b_{\ll j}\|_{L^\infty} \|\partial_x v_j\|_{L_x^\infty L_T^2}. \end{aligned}$$

On the other hand, we can make $2^{-j} \|(u_{0, \ll j})^k\|_{L^\infty}$ as small as desired by choosing the implicit constant $J = J(u_0)$ in $u_{0, \ll j}$ large enough:

$$2^{-j} \|(u_{0, < j-J})^k\|_{L^\infty} \lesssim 2^{-j} 2^{j-J} \|u_0\|_{L^k}^k \lesssim c(u_0) 2^{-J} \ll 1.$$

It follows that

$$\|\partial_x v_j\|_{L_x^\infty L_T^2} \lesssim \|e^{i f^x} b_{\ll j} v_{0,j}\|_{\dot{H}^{1/2}} + \|g_j\|_{L_x^1 L_T^2}.$$

We now use the fractional Leibniz rule (Theorem A.12 in [9]) and Bernstein inequality to estimate the first term in the right-hand side,

$$\begin{aligned} \|e^{i f^x} b_{\ll j} v_{0,j}\|_{\dot{H}^{1/2}} &\lesssim \|e^{i f^x} b_{\ll j}\|_{L^\infty} \|v_{0,j}\|_{\dot{H}^{1/2}} + \|D_x^{1/2} e^{i f^x} b_{\ll j}\|_{L^\infty} \|v_{0,j}\|_{L^2} \\ &\lesssim \|v_{0,j}\|_{\dot{H}^{1/2}} + \|(u_{0,\ll j})^k\|_{L^2} \|v_{0,j}\|_{L^2} \\ &\lesssim (1 + \|u_0\|_{L^k}^k) \|v_{0,j}\|_{\dot{H}^{1/2}} \end{aligned}$$

Since v_j , g_j as well as $v_{0,j}$ are frequency localized, we conclude

$$\|v_j\|_{\dot{B}_\infty^{s+1/2,2}(L_T^2)} \lesssim \|v_{0,j}\|_{\dot{H}^s} + \|g_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)}. \quad (3.15)$$

We also need $L_x^4 L_T^\infty$ -norm estimates. Our equation can be rewritten as

$$i\partial_t v_j + \partial_x^2 v_j = g_j + h_j$$

with

$$h_j = b_{\ll j}^2 v_j - i\partial_x(b_{\ll j} v_j) - i b_{\ll j} \partial_x v_j.$$

Thus we get from Lemmas 3.1-3.3 that

$$\|v_j\|_{\dot{B}_4^{s-1/4,2}(L_T^\infty)} \lesssim \|v_{0,j}\|_{\dot{H}^s} + \|g_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)} + \|h_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)}.$$

We bound the h_j contribution with (3.15):

$$\begin{aligned} \|b_{\ll j}^2 v_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)} &\lesssim 2^{j(s-1/2)} \|b_{\ll j}^2\|_{L^1} \|v_j\|_{L_x^\infty L_T^2} \\ &\lesssim (2^{-j/2} \|b_{\ll j}\|_{L^2})^2 \|v_j\|_{\dot{B}_1^{s+1/2,2}(L_T^2)} \\ &\lesssim \|b\|_{L^1}^2 (\|v_{0,j}\|_{\dot{H}^s} + \|g_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)}), \end{aligned}$$

and

$$\begin{aligned} \|\partial_x(b_{\ll j} v_j) + b_{\ll j} \partial_x v_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)} &\lesssim 2^{j(s+1/2)} \|b_{\ll j}\|_{L^1} \|v_j\|_{L_x^\infty L_T^2} \\ &\lesssim \|b\|_{L^1} (\|v_{0,j}\|_{\dot{H}^s} + \|g_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)}). \end{aligned}$$

Therefore,

$$\|v_j\|_{\dot{B}_4^{s-1/4,2}(L_T^\infty)} \lesssim \|v_{0,j}\|_{\dot{H}^s} + \|g_j\|_{\dot{B}_1^{s-1/2,2}(L_T^2)} \quad (3.16)$$

and the claim follows by interpolation between (3.16) and (3.15). \square

We are now ready to prove the main linear estimate on equation (3.12).

Proposition 3.2. *Let u be a solution of (3.12) with initial data $u_0 \in \dot{H}^s \cap \dot{H}^{s_k}$, $s \in \mathbb{R}$. Then there exists $T = T(u_0) > 0$ and $C = C(u_0)$ such that on $[-T, +T]$,*

$$\|u\|_{\dot{X}^s} \leq C\|u_0\|_{\dot{H}^s} + C\|f\|_{\dot{N}^{s,1}}.$$

Proof. Using that $|P_+u_j| = |P_-u_j|$ (since u is real) and Lemma 3.6, we infer

$$\begin{aligned} \|u_j\|_{\dot{X}^s} &\lesssim \|v_j\|_{\dot{X}^s} \lesssim \|Q_j u_0\|_{\dot{X}^s} + \|f_j\|_{\dot{N}^{s,1}} + \|\partial_x(u_{0,\ll j})^k v_j\|_{\dot{N}^{s,1}} + \|(u_{0,\ll j})^{2k} v_j\|_{\dot{N}^{s,1}} \\ &\quad + \left\| \partial_x[(u_{0,\ll j})^k - (u_{L,\ll j})^k] u_j \right\|_{\dot{N}^{s,1}} + \left\| \partial_x[Q_j, (u_{L,\ll j})^k] u_{\sim j} \right\|_{\dot{N}^{s,1}} \\ &= \|Q_j u_0\|_{\dot{X}^s} + \|f_j\|_{\dot{N}^{s,1}} + A + B + C + D. \end{aligned}$$

We bound A by

$$\begin{aligned} A &\lesssim 2^{j(s-1/2)} \|\partial_x(u_{0,\ll j})^k v_j\|_{L_x^1 L_T^2} \lesssim 2^{-j} \|\partial_x(u_{0,\ll j})^k\|_{L^1} 2^{j(s+1/2)} \|v_j\|_{L_x^\infty L_T^2} \\ &\lesssim 2^{-j} \|\partial_x(u_{0,\ll j})^k\|_{L^1} \|v_j\|_{\dot{X}^s}. \end{aligned}$$

As previously, $2^{-j} \|\partial_x(u_{0,\ll j})^k\|_{L^1}$ can be made as small as needed by choosing the implicit constant $J = J(u_0)$ in $u_{0,\ll j}$ large enough:

$$2^{-j} \|\partial_x(u_{0,\ll j})^k\|_{L^1} \lesssim 2^{-j} 2^{j-J} \|u_0\|_{L^k}^k \lesssim c(u_0) 2^{-J} \ll 1.$$

One proceeds similarly for B :

$$\begin{aligned} B &\lesssim 2^{j(s-1/2)} \|(u_{0,\ll j})^{2k} v_j\|_{L_x^1 L_T^2} \\ &\lesssim 2^{-j} \|(u_{0,\ll j})^k\|_{L^\infty} \|u_0\|_{L^k}^k 2^{j(s+1/2)} \|v_j\|_{L_x^\infty L_T^2} \\ &\ll \|v_j\|_{\dot{X}^s}. \end{aligned}$$

Now we estimate C :

$$\begin{aligned} C &\lesssim 2^{j(s-1/2)} \|\partial_x[(u_{0,\ll j})^k - (u_{L,\ll j})^k] u_j\|_{L_x^1 L_T^2} \\ &\lesssim 2^{j(s+1/2)} \|(u_{0,\ll j})^k - (u_{L,\ll j})^k\|_{L_x^1 L_T^2} \|u_j\|_{L_x^\infty L_T^2} \\ &\lesssim \|u_0 - u_L\|_{L_x^k L_T^\infty} (\|u_0\|_{L^k}^{k-1} + \|u_L\|_{L_x^k L_T^\infty}^{k-1}) \|u_j\|_{\dot{X}^s} \\ &\ll \|u_j\|_{\dot{X}^s} \end{aligned}$$

by Lemma 3.5. Finally we deal with term D . By commutator lemma (Lemma 2.4 in [3]), we get

$$\begin{aligned}
D &\lesssim 2^{j(s-1/2)} \|\partial_x [Q_j, (u_{L, \ll j})^k] u_j\|_{L_x^1 L_T^2} \\
&\lesssim 2^{j(s-1/2)} 2^{-j} \|\partial_x (u_{L, \ll j})^k\|_{L_x^{\frac{4}{4-\varepsilon}} L_T^{\frac{2}{\varepsilon}}} \|\partial_x u_j\|_{L_x^{\frac{4}{\varepsilon}} L_T^{\frac{2}{1-\varepsilon}}} \\
&\lesssim 2^{-j} 2^{3j\varepsilon/4} \|\partial_x (u_{L, \ll j})^k\|_{L_x^{\frac{4}{4-\varepsilon}} L_T^{\frac{2}{\varepsilon}}} \|u_j\|_{\dot{S}^{s, 1-\varepsilon}} \\
&\lesssim 2^{-j} 2^{3j\varepsilon/4} \|\partial_x u_{L, \ll j}\|_{L_x^{(\frac{1}{k}-\frac{\varepsilon}{4})^{-1}} L_T^{\frac{2}{\varepsilon}}} \|u_{L, \ll j}\|_{L_x^k L_T^\infty}^{k-1} \|u_j\|_{\dot{X}^s} \\
&\lesssim \|D_x^{3\varepsilon/4} u_{L, \ll j}\|_{L_x^{(\frac{1}{k}-\frac{\varepsilon}{4})^{-1}} L_T^{\frac{2}{\varepsilon}}} \|u_j\|_{\dot{X}^s}.
\end{aligned}$$

Since the triplet $(\frac{3\varepsilon}{4} - s_k, (\frac{1}{k} - \frac{\varepsilon}{4})^{-1}, \frac{2}{\varepsilon})$ is 1-admissible, for any $\eta > 0$, we can choose $T > 0$ small enough such that

$$\|D_x^{3\varepsilon/4} u_L\|_{L_x^{(\frac{1}{k}-\frac{\varepsilon}{4})^{-1}} L_T^{\frac{2}{\varepsilon}}} < \eta.$$

Gathering all these estimates we infer

$$\|u_j\|_{\dot{X}^s} \lesssim \|Q_j u_0\|_{\dot{X}^s} + \|f_j\|_{\dot{N}^{s, 1}}.$$

Summing this inequality over j finishes the proof of Proposition 3.2. \square

We also need $L_x^k L_T^\infty$ -norm estimates.

Proposition 3.3. *Let u be a solution of (3.12) with initial data $u_0 \in \dot{H}^{s_k}$. Then there exists $T > 0$ and $C = C(u_0)$ such that*

$$\|u\|_{L_x^k L_T^\infty} \leq C \|u_0\|_{\dot{H}^{s_k}} + C \|f\|_{\dot{N}^{s_k, 1}}.$$

Moreover, if $u_0 \in \dot{H}^s \cap \dot{H}^{s_k}$, $s \in \mathbb{R}$, then

$$\|u\|_{L_T^\infty \dot{H}_x^s} \leq C \|u_0\|_{\dot{H}^s} + C \|f\|_{\dot{N}^{s, 1}}. \quad (3.17)$$

Proof. We can rewrite our equation as

$$u = u_L - \int_0^t V(t-t')(f - \pi(u_L, u)) dt'.$$

By virtue of Lemma 3.4 and Lemma 3.3, we deduce

$$\|u\|_{L_x^k L_T^\infty} \lesssim \|u_0\|_{\dot{H}^{s_k}} + \|f\|_{\dot{N}^{s_k, 1}} + \|\pi(u_L, u)\|_{\dot{N}^{s_k, 1}},$$

$$\|u\|_{L_T^\infty \dot{H}_x^s} \lesssim \|u_0\|_{\dot{H}^s} + \|f\|_{\dot{\mathcal{N}}^{s,1}} + \|\pi(u_L, u)\|_{\dot{\mathcal{N}}^{s,1}}.$$

Then we get

$$\begin{aligned} \|\pi(u_L, u)\|_{\dot{\mathcal{N}}^{s,1}} &\lesssim \left(\sum_j [2^{j(s+1/2)} \|(u_{L, \ll j})^k u_{\sim j}\|_{L_x^1 L_T^2}]^2 \right)^{1/2} \\ &\lesssim \|u_L\|_{L_x^k L_T^\infty}^k \left(\sum_j [2^{j(s+1/2)} \|u_{\sim j}\|_{L_x^\infty L_T^2}]^2 \right)^{1/2} \\ &\lesssim \|u_0\|_{\dot{H}^{s_k}}^k \|u\|_{\dot{X}^s} \\ &\lesssim C(u_0) (\|u_0\|_{\dot{H}^s} + \|f\|_{\dot{\mathcal{N}}^{s,1}}) \end{aligned}$$

by Proposition 3.2. □

4 Well-posedness for $k \geq 4$

4.1 Nonlinear estimates

Now we estimate the right-hand side of (3.12) in $\dot{\mathcal{N}}^{s,1}$ -norm.

Proposition 4.1. *For any $u \in \dot{X}^s \cap L_x^k L_T^\infty$, we have*

$$\|\pi(u_L, u) - \pi(u, u)\|_{\dot{\mathcal{N}}^{s,1}} \lesssim \|u_L - u\|_{L_x^k L_T^\infty} (\|u_L\|_{L_x^k L_T^\infty}^{k-1} + \|u\|_{L_x^k L_T^\infty}^{k-1}) \|u\|_{\dot{X}^s}$$

and

$$\|g\|_{\dot{\mathcal{N}}^{s,1}} \lesssim \|u\|_{L_x^k L_T^\infty}^{k-1} \|u\|_{\dot{X}^s}^2.$$

Proof. Set $u_j = Q_j u$, $u_{\ll j} = Q_{\ll j} u$, etc. Then:

$$\begin{aligned} \|\pi(u_L, u) - \pi(u, u)\|_{\dot{\mathcal{N}}^{s,1}} &\lesssim \left(\sum_j [2^{j(s-1/2)} \|\partial_x [((u_{L, \ll j})^k - (u_{\ll j})^k) u_{\sim j}]\|_{L_x^1 L_T^2}]^2 \right)^{1/2} \\ &\lesssim \left(\sum_j [2^{j(s+1/2)} \|(u_{L, \ll j})^k - (u_{\ll j})^k\|_{L_x^1 L_T^\infty} \|u_{\sim j}\|_{L_x^\infty L_T^2}]^2 \right)^{1/2} \\ &\lesssim \|u_L - u\|_{L_x^k L_T^\infty} (\|u_L\|_{L_x^k L_T^\infty}^{k-1} + \|u\|_{L_x^k L_T^\infty}^{k-1}) \|u\|_{\dot{X}^s}. \end{aligned}$$

We bound the second term by

$$\begin{aligned}
\|g\|_{\dot{X}^{s,1}} &\lesssim \left(\sum_j [2^{j(s+1/2)} \sum_{r \gtrsim j} \|(u_{\sim r})^2 (u_{\lesssim r})^{k-1}\|_{L_x^1 L_T^2}]^2 \right)^{1/2} \\
&\lesssim \left(\sum_j [\sum_{r \gtrsim j} 2^{j(s+1/2)} \|u_{\sim r}\|_{L_x^{\frac{4}{\varepsilon}} L_T^{\frac{2}{1-\varepsilon}}} \|u_{\sim r}\|_{L_x^{(\frac{1}{k}-\frac{\varepsilon}{4})^{-1}} L_T^{\frac{2}{\varepsilon}}} \|u_{\lesssim r}\|_{L_x^k L_T^\infty}^{k-1}]^2 \right)^{1/2} \\
&\lesssim \|u\|_{L_x^k L_T^\infty}^{k-1} \sup_r 2^{3\varepsilon r/4} \|u_{\sim r}\|_{L_x^{(\frac{1}{k}-\frac{\varepsilon}{4})^{-1}} L_T^{\frac{2}{\varepsilon}}} \\
&\quad \times \left(\sum_j [\sum_{r \gtrsim j} (2^{(j-r)(s+1/2)}) (2^{r(s+1/2-3\varepsilon/4)}) \|u_{\sim r}\|_{L_x^{\frac{4}{\varepsilon}} L_T^{\frac{2}{1-\varepsilon}}}]^2 \right)^{1/2} \\
&\lesssim \|u\|_{L_x^k L_T^\infty}^{k-1} \|u\|_{\dot{X}^{s,\varepsilon}} \left(\sum_{j \leq 0} 2^{j(s+1/2)} \right) \left(\sum_j [2^{j(s+1/2-3\varepsilon/4)} \|u_{\sim j}\|_{L_x^{\frac{4}{\varepsilon}} L_T^{\frac{2}{1-\varepsilon}}}]^2 \right)^{1/2} \\
&\lesssim \|u\|_{L_x^k L_T^\infty}^{k-1} \|u\|_{\dot{X}^s}^2
\end{aligned}$$

where we used discrete Young inequality. \square

4.2 Existence in $\dot{H}^{s_k}(\mathbb{R})$

Consider the map F defined as

$$F(u) = U(t)u_0 - \int_0^t U(t-t')f(t')dt'$$

We shall contract F in the intersection of two balls:

$$B_M(u_0, T) = \{u \in \dot{X}^{s_k} \cap L_x^k L_T^\infty : \|u - u_0\|_{L_x^k L_T^\infty} \leq \delta\}$$

and

$$B_S(u_0, T) = \{u \in \dot{X}^{s_k} \cap L_x^k L_T^\infty : \|u\|_{\dot{X}^{s_k}} \leq \delta\}$$

endowed with the norm

$$\|u\|_{\dot{Y}_T} = \|u\|_{\dot{X}^{s_k}} + \|u\|_{L_x^k L_T^\infty}.$$

Gathering Propositions 3.2, 3.3 and 4.1 (with $s = s_k$) we find that there exists $C = C(u_0) > 1$ such that

$$\begin{aligned}
\|F(u)\|_{\dot{X}^{s_k}} &\leq C\|U(t)u_0\|_{\dot{X}^{s_k}} + C(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{\dot{X}^{s_k}}^2 \\
&\quad + C(\|u_L - u_0\|_{L_x^k L_T^\infty} + \|u - u_0\|_{L_x^k L_T^\infty})(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{\dot{X}^{s_k}}
\end{aligned}$$

and

$$\begin{aligned} \|F(u) - u_0\|_{L_x^k L_T^\infty} &\leq \|U(t)u_0 - u_0\|_{L_x^k L_T^\infty} + C(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{\dot{X}^{s_k}}^2 \\ &\quad + C(\|u_L - u_0\|_{L_x^k L_T^\infty} + \|u - u_0\|_{L_x^k L_T^\infty})(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{\dot{X}^{s_k}}. \end{aligned}$$

We can choose $T = T(u_0)$ small enough so that the quantities $\|U(t)u_0\|_{\dot{X}^{s_k}}$, $\|u_L - u_0\|_{L_x^k L_T^\infty}$ and $\|U(t)u_0 - u_0\|_{L_x^k L_T^\infty}$ are smaller than $\varepsilon = \frac{1}{128C^2}$. Thus if $u \in B_M \cap B_S$, then

$$\|F(u)\|_{\dot{X}^{s_k}} \leq 4C\varepsilon + 4C\delta^2$$

and

$$\|F(u) - u_0\|_{L_x^k L_T^\infty} \leq 4C\varepsilon + 4C\delta^2.$$

Now we take $\delta = \frac{1}{8C}$ so that $F(u)$ belongs to $B_M \cap B_S$. In the same way, for any u_1 and u_2 in $B_M \cap B_S$, one has

$$\begin{aligned} \|F(u_1) - F(u_2)\|_{\dot{Y}_T} &\lesssim \|f(u_1) - f(u_2)\|_{\dot{N}^{s_k,1}} \\ &\lesssim \|u_L - u_1\|_{L_x^k L_T^\infty} (1 + \|u_1\|_{L_x^k L_T^\infty}^{k-1}) \|u_1 - u_2\|_{\dot{X}^{s_k}} \\ &\quad + \|u_2\|_{\dot{X}^{s_k}} (\|u_1\|_{L_x^k L_T^\infty}^{k-1} + \|u_2\|_{L_x^k L_T^\infty}^{k-1}) \|u_1 - u_2\|_{L_x^k L_T^\infty} \\ &\quad + \|u_1\|_{\dot{X}^{s_k}}^2 (\|u_1\|_{L_x^k L_T^\infty}^{k-2} + \|u_2\|_{L_x^k L_T^\infty}^{k-2}) \|u_1 - u_2\|_{L_x^k L_T^\infty} \\ &\quad + \|u_2\|_{L_x^k L_T^\infty}^{k-1} (\|u_1\|_{\dot{X}^{s_k}} + \|u_2\|_{\dot{X}^{s_k}}) \|u_1 - u_2\|_{\dot{X}^{s_k}}. \end{aligned} \tag{4.1}$$

Therefore,

$$\|F(u_1) - F(u_2)\|_{\dot{Y}_T} \lesssim (\varepsilon + \delta) \|u_1 - u_2\|_{\dot{Y}_T}$$

and for ε, δ small enough, $F : B_M \cap B_S \rightarrow B_M \cap B_S$ is contractive. There exists a solution u in $B_M \cap B_S$.

The next step is to show that $u \in \mathcal{C}([-T, +T], \dot{H}^{s_k}(\mathbb{R}))$. Using (3.17) and Proposition 4.1, we obtain that $u \in L_T^\infty \dot{H}_x^{s_k}$. For any $t_1, t_2 \in [0, T]$ with $t_1 < t_2$, writing $u(t)$ as

$$u(t) = V(t - t_1)u(t_1) - \int_{t_1}^t V(t - t') \partial_x u^{k+1}(t') dt',$$

we get

$$\begin{aligned}
\|u(t_1) - u(t_2)\|_{\dot{H}^{s_k}} &\lesssim \sup_{t \in [t_1, t_2]} \|u(t) - u(t_1)\|_{\dot{H}^{s_k}} \\
&\lesssim \sup_{t \in [t_1, t_2]} \|u(t_1) - V(t - t_1)u(t_1)\|_{\dot{H}^{s_k}} \\
&\quad + \left\| \int_{t_1}^t V(t - t') \partial_x u^{k+1}(t') dt' \right\|_{L^\infty(t_1, t_2; \dot{H}^{s_k})} \\
&\rightarrow 0
\end{aligned}$$

as $t_1 \rightarrow t_2$.

Now consider $u_{0,1}, u_{0,2} \in \dot{H}^{s_k}$ two initial data, and $u_1, u_2 \in \dot{Z}_T$ satisfying

$$\begin{aligned}
u_1(t) &= U_1(t)u_{0,1} - \int_0^t U_1(t - t') f_1(u_1)(t') dt', \\
u_2(t) &= U_2(t)u_{0,2} - \int_0^t U_2(t - t') f_2(u_2)(t') dt',
\end{aligned}$$

where $U_j(t)\varphi$ is solution to

$$\partial_t u + \mathcal{H}\partial_x^2 u + \pi(V(t)u_{0,j}, u) = 0, \quad u(0) = \varphi$$

and f_j is defined by

$$f_j(u) = \pi(V(t)u_{0,j}, u) - \pi(u, u) + g(u).$$

We intend to show that there exists a nondecreasing polynomial function $P \geq 1$ such that

$$\begin{aligned}
\|u_1 - u_2\|_{\dot{Z}_T} &\lesssim P(\|u_1\|_{\dot{Z}_T} + \|u_2\|_{\dot{Z}_T}) [\|u_{0,1} - u_{0,2}\|_{\dot{H}^{s_k}} \\
&\quad + (\|u_1\|_{\dot{X}^{s_k}} + \|u_2\|_{\dot{X}^{s_k}}) \|u_1 - u_2\|_{\dot{Z}_T}] \quad (4.2)
\end{aligned}$$

where the implicit constant in the inequality may depends on $u_{0,1}, u_{0,2}$. Obviously, the uniqueness of the solution to (gBO) and the fact that the flow map is locally Lipschitz from $\dot{H}^{s_k}(\mathbb{R})$ to \dot{Z}_T follow directly from (4.2).

One has

$$\|U_1(t)u_{0,1} - U_2(t)u_{0,2}\|_{\dot{Z}_T} \lesssim \|U_1(t)(u_{0,1} - u_{0,2})\|_{\dot{Z}_T} + \|(U_1(t) - U_2(t))u_{0,2}\|_{\dot{Z}_T}.$$

The first term in the right-hand side is bounded by $\|u_{0,1} - u_{0,2}\|_{\dot{H}^{s_k}}$. To treat the second one, we note that $(U_1(t) - U_2(t))u_{0,2}$ is solution to

$$\partial_t u + \mathcal{H}\partial_x^2 u + \pi(V(t)u_{0,1}, u) = \pi(V(t)u_{0,1}, U_2(t)u_{0,2}) - \pi(V(t)u_{0,2}, U_2(t)u_{0,2})$$

with zero initial data. Hence by Propositions 3.2 and 3.3,

$$\begin{aligned} \|(U_1(t) - U_2(t))u_{0,2}\|_{\dot{Z}_T} &\lesssim \|\pi(V(t)u_{0,1}, U_2(t)u_{0,2}) - \pi(V(t)u_{0,2}, U_2(t)u_{0,2})\|_{\dot{\mathcal{N}}^{s_k,1}} \\ &\lesssim \|u_{0,1} - u_{0,2}\|_{\dot{H}^{s_k}}. \end{aligned}$$

We also need to bound

$$\begin{aligned} &\left\| \int_0^t (U_1(t-t')f_1(u_1) - U_2(t-t')f_2(u_2))dt' \right\|_{\dot{Z}_T} \\ &\lesssim \left\| \int_0^t U_1(t-t')(f_1(u_1) - f_1(u_2))dt' \right\|_{\dot{Z}_T} \end{aligned} \quad (4.3)$$

$$+ \left\| \int_0^t U_1(t-t')(f_1(u_2) - f_2(u_2))dt' \right\|_{\dot{Z}_T} \quad (4.4)$$

$$+ \left\| \int_0^t (U_1(t-t') - U_2(t-t'))f_2(u_2)dt' \right\|_{\dot{Z}_T}. \quad (4.5)$$

(4.3) is bounded by

$$(4.3) \lesssim \|f_1(u_1) - f_1(u_2)\|_{\dot{\mathcal{N}}^{s_k,1}}$$

and we can use (4.1) to get the desired estimate. Term (4.4) is bounded by

$$\begin{aligned} (4.4) &\lesssim \|\pi(V(t)u_{0,1}, u_2) - \pi(V(t)u_{0,2}, u_2)\|_{\dot{\mathcal{N}}^{s_k,1}} \\ &\lesssim \|u_2\|_{\dot{X}^{s_k}} \|u_{0,1} - u_{0,2}\|_{\dot{H}^{s_k}}. \end{aligned}$$

Finally, note that $\int_0^t (U_1(t-t') - U_2(t-t'))f_2(u_2)dt'$ is solution to

$$\partial_t u + \mathcal{H}\partial_x^2 u + \pi(V(t)u_{0,1}, u) = \pi(V(t)u_{0,2}, \psi) - \pi(V(t)u_{0,1}, \psi),$$

with zero initial data, and where $\psi = \int_0^t U_2(t-t')f_2(u_2)dt'$. It follows that

$$\begin{aligned} (4.5) &\lesssim \|\pi(V(t)u_{0,2}, \psi) - \pi(V(t)u_{0,1}, \psi)\|_{\dot{\mathcal{N}}^{s_k,1}} \\ &\lesssim \|\psi\|_{\dot{X}^{s_k}} \|u_{0,1} - u_{0,2}\|_{\dot{H}^{s_k}} \\ &\lesssim (\|u_2\|_{\dot{X}^{s_k}} + \|u_2\|_{\dot{X}^{s_k}}^{k+1}) \|u_{0,1} - u_{0,2}\|_{\dot{H}^{s_k}}. \end{aligned}$$

Gathering all these estimates we obtain (4.2).

4.3 Existence in $H^s(\mathbb{R})$, $s \geq s_k$

Define the spaces $X^s = \dot{X}^0 \cap \dot{X}^s$ and $\mathcal{N}^{s,\theta} = \dot{\mathcal{N}}^{0,\theta} \cap \dot{\mathcal{N}}^{s,\theta}$.

We closely follow the proof of Theorem 2.1. We show that F is a contraction in the intersection of

$$B_M(u_0, T) = \{u \in X^s \cap L_x^k L_T^\infty : \|u - u_0\|_{L_x^k L_T^\infty} \leq \delta\}$$

and

$$B_S(u_0, T) = \{u \in X^s \cap L_x^k L_T^\infty : \|u\|_{X^s} \leq \delta\}$$

endowed with the norm

$$\|u\|_{Y_T} = \|u\|_{X^s} + \|u\|_{L_x^k L_T^\infty}.$$

Using Propositions 3.2, 3.3 and 4.1 (applied with $s \geq s_k$ and $s = 0$) and the embedding $\mathcal{N}^{s,1} \hookrightarrow \mathcal{N}^{s_k,1}$ for $s \geq s_k$ we find

$$\begin{aligned} \|F(u)\|_{X^s} &\leq C\|U(t)u_0\|_{X^s} + C(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{X^s}^2 \\ &\quad + C(\|u_L - u_0\|_{L_x^k L_T^\infty} + \|u - u_0\|_{L_x^k L_T^\infty})(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{X^s} \end{aligned}$$

and

$$\begin{aligned} \|F(u) - u_0\|_{L_x^k L_T^\infty} &\leq \|U(t)u_0 - u_0\|_{L_x^k L_T^\infty} + C(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{X^s}^2 \\ &\quad + C(\|u_L - u_0\|_{L_x^k L_T^\infty} + \|u - u_0\|_{L_x^k L_T^\infty})(1 + \|u - u_0\|_{L_x^k L_T^\infty}^{k-1})\|u\|_{X^s}. \end{aligned}$$

In the same way, one may show that

$$\begin{aligned} \|F(u_1) - F(u_2)\|_{Y_T} &\lesssim \|f(u_1) - f(u_2)\|_{\mathcal{N}^{s,1}} \\ &\lesssim \|u_L - u_1\|_{L_x^k L_T^\infty} (1 + \|u_1\|_{L_x^k L_T^\infty}^{k-1}) \|u_1 - u_2\|_{X^s} \\ &\quad + \|u_2\|_{X^s} (\|u_1\|_{L_x^k L_T^\infty}^{k-1} + \|u_2\|_{L_x^k L_T^\infty}^{k-1}) \|u_1 - u_2\|_{L_x^k L_T^\infty} \\ &\quad + \|u_1\|_{X^s}^2 (\|u_1\|_{L_x^k L_T^\infty}^{k-2} + \|u_2\|_{L_x^k L_T^\infty}^{k-2}) \|u_1 - u_2\|_{L_x^k L_T^\infty} \\ &\quad + \|u_2\|_{L_x^k L_T^\infty}^{k-1} (\|u_1\|_{X^s} + \|u_2\|_{X^s}) \|u_1 - u_2\|_{X^s}. \end{aligned}$$

This proves the existence in $H^s(\mathbb{R})$. The end of the proof is identical to that of Theorem 2.1.

5 Well-posedness for $k = 3$

Let $k = 3$ and $s > 1/3$ be fixed.

The scheme of the proof is the same as for the case $k \geq 4$ with minor modifications. First, in view of Lemma 3.2, it is clear that Lemma 3.5 holds

for $k = 3$ with $u_0 \in \dot{H}^{s_k}$ replaced by $u_0 \in H^s$. Next we see that the $\dot{\mathcal{B}}_{(\frac{1}{k}-\frac{\varepsilon}{4})^{-1}}^{\frac{3\varepsilon}{4},2}(L_T^{\frac{2}{\varepsilon}})$ -norm which appears in Proposition 4.1 when estimating the nonlinear term g is not bounded by the $\dot{S}^{\varepsilon,1}$ -norm for $k = 3$. So we modify slightly the space X^s by setting

$$X^s = \dot{X}^0 \cap \dot{X}^s \cap \dot{\mathcal{B}}_3^{\varepsilon,2}(L_T^{\frac{2}{\varepsilon}}).$$

On one hand, it is clear from Sobolev inequalities that

$$\|u\|_{\dot{\mathcal{B}}_{(\frac{1}{3}-\frac{\varepsilon}{4})^{-1}}^{\frac{3\varepsilon}{4},2}(L_T^{\frac{2}{\varepsilon}})} \lesssim \|u\|_{\dot{\mathcal{B}}_3^{\varepsilon,2}(L_T^{\frac{2}{\varepsilon}})} \lesssim \|u\|_{X^s}.$$

On the other hand, the $\dot{\mathcal{B}}_3^{\varepsilon,2}(L_T^{\frac{2}{\varepsilon}})$ -norm is acceptable since by (3.8),

$$\begin{aligned} \|V(t)\varphi\|_{\dot{\mathcal{B}}_3^{\varepsilon,2}(L_T^{\frac{2}{\varepsilon}})} &\lesssim \left(\sum_j 4^{j\varepsilon} \|Q_j V(t)\varphi\|_{L_x^3 L_T^\infty}^2 \right)^{1/2} \\ &\lesssim \left(\sum_j \|Q_j \varphi\|_{H^{1/3+2\varepsilon}}^2 \right)^{1/2} \lesssim \|\varphi\|_{H^s} \end{aligned}$$

for $\varepsilon \ll 1$. From this, it is straightforward to check that the subcritical non-homogeneous versions of Propositions 3.2, 3.3 and 4.1 are valid whenever $k = 3$. This essentially proves Theorem 2.3.

Acknowledgments

The author wants to thank Fabrice Planchon for his enthusiastic help and his availability.

References

- [1] T. B. Benjamin. Internal waves of permanent form in fluids of great depth. *Journal of Fluid Mechanics*, 29:559–592, 1967.
- [2] H. A. Biagioni and F. Linares. Ill-posedness for the derivative Schrödinger and generalized Benjamin-Ono equations. *Trans. Amer. Math. Soc.*, 353(9):3649–3659 (electronic), 2001.
- [3] N. Burq and F. Planchon. Smoothing and dispersive estimates for 1D Schrödinger equations with BV coefficients and applications. *J. Funct. Anal.*, 236(1):265–298, 2006.

- [4] N. Burq and F. Planchon. On well-posedness for the Benjamin-Ono equation. *Math. Ann.*, 340(3):497–542, 2008.
- [5] A. D. Ionescu and C. E. Kenig. Global well-posedness of the Benjamin-Ono equation in low-regularity spaces. *J. Amer. Math. Soc.*, 20(3):753–798 (electronic), 2007.
- [6] R. J. Iório, Jr. On the Cauchy problem for the Benjamin-Ono equation. *Comm. Partial Differential Equations*, 11(10):1031–1081, 1986.
- [7] C. E. Kenig, G. Ponce, and L. Vega. Oscillatory integrals and regularity of dispersive equations. *Indiana Univ. Math. J.*, 40(1):33–69, 1991.
- [8] C. E. Kenig, G. Ponce, and L. Vega. Well-posedness of the initial value problem for the Korteweg-de Vries equation. *J. Amer. Math. Soc.*, 4(2):323–347, 1991.
- [9] C. E. Kenig, G. Ponce, and L. Vega. Well-posedness and scattering results for the generalized Korteweg-de Vries equation via the contraction principle. *Comm. Pure Appl. Math.*, 46(4):527–620, 1993.
- [10] C. E. Kenig and H. Takaoka. Global wellposedness of the modified Benjamin-Ono equation with initial data in $H^{1/2}$. *Int. Math. Res. Not.*, pages Art. ID 95702, 44, 2006.
- [11] H. Koch and N. Tzvetkov. Nonlinear wave interactions for the Benjamin-Ono equation. *Int. Math. Res. Not.*, (30):1833–1847, 2005.
- [12] L. Molinet and F. Ribaud. Well-posedness results for the generalized Benjamin-Ono equation with arbitrary large initial data. *Int. Math. Res. Not.*, (70):3757–3795, 2004.
- [13] L. Molinet and F. Ribaud. Well-posedness results for the generalized Benjamin-Ono equation with small initial data. *J. Math. Pures Appl. (9)*, 83(2):277–311, 2004.
- [14] L. Molinet, J.-C. Saut, and N. Tzvetkov. Ill-posedness issues for the Benjamin-Ono and related equations. *SIAM J. Math. Anal.*, 33(4):982–988 (electronic), 2001.
- [15] H. Ono. Algebraic solitary waves in stratified fluids. *J. Phys. Soc. Japan*, 39(4):1082–1091, 1975.
- [16] G. Ponce. On the global well-posedness of the Benjamin-Ono equation. *Differential Integral Equations*, 4(3):527–542, 1991.

- [17] J.-C. Saut. Sur quelques généralisations de l'équation de Korteweg-de Vries. *J. Math. Pures Appl. (9)*, 58(1):21–61, 1979.
- [18] T. Tao. Global well-posedness of the Benjamin-Ono equation in $H^1(\mathbf{R})$. *J. Hyperbolic Differ. Equ.*, 1(1):27–49, 2004.
- [19] S. Vento. Sharp well-posedness results for the generalized Benjamin-Ono equation with high nonlinearity, 2007.