



HAL
open science

Effect of smoke and clouds on the transmissivity of photosynthetically active radiation inside the canopy

M. A. Yamasoe, C. von Randow, A. O. Manzi, J. S. Schafer, T. F. Eck, B. N. Holben

► **To cite this version:**

M. A. Yamasoe, C. von Randow, A. O. Manzi, J. S. Schafer, T. F. Eck, et al.. Effect of smoke and clouds on the transmissivity of photosynthetically active radiation inside the canopy. *Atmospheric Chemistry and Physics*, 2006, 6 (6), pp.1645-1656. hal-00295923

HAL Id: hal-00295923

<https://hal.science/hal-00295923>

Submitted on 18 Jun 2008

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Effect of smoke and clouds on the transmissivity of photosynthetically active radiation inside the canopy

M. A. Yamasoe¹, C. von Randow², A. O. Manzi³, J. S. Schafer^{4,5}, T. F. Eck^{5,6}, and B. N. Holben⁵

¹Instituto de Astronomia, Geofísica e Ciências Atmosféricas da Universidade de São Paulo, Rua do Matão, 1226, São Paulo, SP, 05508-090, Brazil

²Alterra, Wageningen University and Research Centre, P.O. Box 47, 6700 AA, Wageningen, The Netherlands

³Instituto Nacional de Pesquisas da Amazônia, Av. André Araújo, 2936 – Alojamento 9/Casa 21, Manaus, AM, 69060-001, Brazil

⁴Science Systems and Applications, Inc., 10210 Greenbelt Road, Suite 600, Lanham, MD 20706, USA

⁵NASA Goddard Space Flight Center, Greenbelt, MD, 20771, Biospheric Sciences Branch, Code 614.4, USA

⁶Goddard Earth Sciences and Technology Center, University of Maryland-Baltimore County, Baltimore, MD 21228, USA

Received: 6 July 2005 – Published in Atmos. Chem. Phys. Discuss.: 12 August 2005

Revised: 6 March 2006 – Accepted: 20 March 2006 – Published: 22 May 2006

Abstract. Biomass burning activities emit high concentrations of aerosol particles to the atmosphere. Such particles can interact with solar radiation, decreasing the amount of light reaching the surface and increasing the fraction of diffuse radiation through scattering processes, and thus has implications for photosynthesis within plant canopies. This work reports results from photosynthetically active radiation (PAR) and aerosol optical depth (AOD) measurements conducted simultaneously at Reserva Biológica do Jaru (Rondonia State, Brazil) during LBA/SMOCC (Large-Scale Biosphere-Atmosphere Experiment in Amazonia/ Smoke, Aerosols, Clouds, Rainfall, and Climate) and RaCCI (Radiation, Cloud, and Climate Interactions in the Amazon during the Dry-to-Wet Transition Season) field experiments from 15 September to 15 November 2002. AOD values were retrieved from an AERONET (Aerosol Robotic Network) radiometer, MODIS (Moderate Resolution Spectroradiometer) and a portable sunphotometer from the United States Department of Agriculture – Forest Service. Significant reduction of PAR irradiance at the top of the canopy was observed due to the smoke aerosol particles layer. This radiation reduction affected turbulent fluxes of sensible and latent heats. The increase of AOD also enhanced the transmission of PAR inside the canopy. As a consequence, the availability of diffuse radiation was enhanced due to light scattering by the aerosol particles. A complex relationship was identified between light availability inside the canopy and net ecosystem exchange (NEE). The results showed that the increase of aerosol optical depth corresponded to an increase of CO₂ uptake by the vegetation. However, for even higher AOD values, the

corresponding NEE was lower than for intermediate values. As expected, water vapor pressure deficit (VPD), retrieved at 28 m height inside the canopy, can also affect photosynthesis. A decrease in NEE was observed as VPD increased. Further studies are needed to better understand these findings, which were reported for the first time for the Amazon region under smoky conditions.

1 Introduction

Aerosol particles are well known to affect the climate system by interacting with solar radiation through direct and indirect processes. The direct process involves absorption and scattering of solar radiation. While scattering affects climate by reflecting part of the available radiation back to space and thus cooling the surface, absorption of solar radiation can cool the surface and heat the atmosphere. Both effects of cooling the surface and heating the atmosphere can stabilize the atmosphere by changing its thermodynamic profile. If less energy is available at surface level, turbulent fluxes are inhibited with less evaporation of water from vegetation and water bodies, resulting in a drier lower atmosphere. If the atmosphere is more stable and drier, fewer clouds can be formed, termed a semi-direct aerosol effect (Hansen et al., 1997; Koren et al., 2004). The indirect process is coupled to the cloud condensation nuclei property of aerosol particles, whose excess can change cloud properties and lifetime in the atmosphere (Twomey, 1977; Kaufman and Nakajima, 1993; Kaufman and Fraser, 1997; Andreae et al., 2004). Recently another consequence of the aerosol direct effect on solar radiation has been brought under investigation, namely,

Correspondence to: M. Yamasoe
(akemi@model.iag.usp.br)

the effect on vegetation carbon gain due to a reduction in total photosynthetically active radiation (PAR, 400 to 700 nm) reaching the Earth's surface and the increase of the diffuse fraction of PAR (Chameides et al., 1999; Cohan et al., 2002; Gu et al., 2002; Gu et al., 2003). The first studies considering diffuse radiation due to cloud cover on photosynthetic activities date from the 1980's. Young and Smith (1983) reported an increase in carbon uptake and a reduction in transpiration on cloudy days compared to clear days for *Arnica latifolia* Bong, an understory species common throughout the Rocky Mountains. The increase in carbon uptake was related to the increase of diffuse light in the presence of clouds. Spitters et al. (1986) proposed a more realistic partitioning between diffuse and direct solar radiation based on daily total radiation reaching the surface from measurements. Spitters (1986) incorporated that relationship on a model for daily canopy assimilation of CO₂ and obtained a better agreement between model calculation and measurement of CO₂ assimilation rates for sugar beet crop.

Particles with diameters of 0.1 to 1.0 μm scatter light most efficiently in the wavelengths used for photosynthesis. During the dry season in the Amazon region, large amounts of such particles are emitted from biomass burning activities to the atmosphere (Andreae et al., 1991; Kaufman et al., 1998; Yamasoe et al., 2000). Measurements performed in the region showed significant reduction of downward solar total and photosynthetically active irradiance at the surface (Schafer et al., 2002; Procopio et al., 2004; Eck et al., 1998). On the other hand, diffuse fraction of PAR can increase from 19% with a clear atmosphere up to 80% under heavy smoke conditions (Yamasoe et al., 2006¹). The purpose of the present work is to assess the effect of the smoke layer on the transmission of PAR inside the canopy in a tropical rainforest in the Amazon region and investigate the possible consequences of this effect to the vegetation.

2 Experimental setup and methodology

The measurements were performed as part of LBA/SMOCC (Large-Scale Biosphere-Atmosphere Experiment in Amazonia/Smoke, Aerosols, Clouds, Rainfall, and Climate) and RaCCI (Radiation, Cloud, and Climate Interactions in the Amazon during the Dry-to-Wet Transition Season) field experiments at a 60-m high micrometeorological tower located at Reserva Biológica do Jaru, hereafter called Rebio Jaru (10°04.7' S, 61°56.0' W). The tower is surrounded by tropical rainforest vegetation with mean canopy height of 30–35 m, with some trees as high as 45m. Although the tower is located in a governmental protected area, landless people

¹Yamasoe, M. A., Plana-Fattori, A., Corrêa, M. P., Garcia, M. P., Dubuisson, P., Holben, B. N., Schafer, J., Eck, T., and Artaxo, P.: Measurement of global and direct-normal incidence PAR irradiance under smoky conditions in the Amazon Basin, *Atmos. Chem. Phys. Discuss.*, to be submitted, 2006.

have recently developed small-scale slash and burn activities in the area (Andreae et al., 2002). In fact, during the first weeks of the field experiment, conducted from 15 September to 15 November 2002, it was possible to see fires and smoke nearby from the top of the tower. Additional information about the site can be obtained from Andreae et al. (2002) and Von Randow et al. (2002).

PAR irradiance measurements were carried out at seven different heights above surface: from the top of the canopy at 39 m, 30, 25, 19, 15, 10 and 5 m. Energy sensors SKE 510 from Skye Instruments were used. The sensors were mounted at the faces to the north, east and west of the tower on 4-m-long-aluminum poles. Six other sensors measured upwelling PAR irradiances at 39 and 30 m at the same three faces. Four other sensors were setup at about 1 m from the surface, also measuring downward PAR irradiance. Measurements were performed every minute.

Aerosol optical depth (AOD) was retrieved from a Cimel radiometer from AERONET (Aerosol Robotic Network) (Holben et al., 1998) mounted at a second tower located about 800 m from the main tower. AOD was also retrieved from a portable sunphotometer (hazemeter), from USDA-FS (United States Department of Agriculture – Forest Service) which was operated at the site, as part of a regional network spread over states of Rondonia and Acre. Direct solar attenuation measurements were performed from 9:00 to 17:00 LT every 30 minutes. Hao et al. (2005) give additional information on the hazemeters (description, operation and calibration). Finally retrievals from MODIS (Moderate Resolution Spectroradiometer) (Kaufman et al., 1997; Remer et al., 2005) aboard Terra (about 10:30 h LT overpass) and Aqua (about 13:30 h LT overpass) satellites were also used in this study, since the AERONET radiometer was not available at the end of the sampling period due to instrumental problems. For the period while AERONET instrument was operating simultaneously with the hazemeter and MODIS, aerosol optical depth retrieved from the hazemeter measurements and from MODIS was quality assured with AERONET data, considered our reference instrument. Results from the hazemeter and MODIS were corrected, since hazemeter tended to overestimate AOD as compared to AERONET and since MODIS AOD was retrieved at wavelength 0.55 μm while both AERONET and the hazemeter values used in this manuscript are for 0.50 μm . The correction was based on linear regressions between hourly mean AOD from AERONET versus AOD from hazemeter or MODIS.

Latent heat, sensible heat and CO₂ fluxes were determined from fast response wind velocity, temperature, humidity and CO₂ concentration measurements performed with a 3-D sonic anemometer (Solent 1012R2, Gill Instruments, UK) and an infrared gas analyzer (LI-6262, LICOR, USA) installed at 62.7 m height. The fluxes were estimated using the eddy covariance method. The fluctuations of the variables were calculated by subtracting 60 min block average

values from the instantaneous measurements. Also, two rotations were applied to align the coordinate frame with the mean streamlines and to force the mean vertical component to zero. A detailed description of the eddy covariance system and flux calculations is provided by Von Randow et al. (2004). An estimate of net ecosystem exchange (NEE) of CO₂ was performed as the sum of the fluxes measured at the top of the tower and the change in storage of CO₂ in the layer below. To quantify this change in storage, an empirical model was proposed by Von Randow et al. (2004) and is based on the amount of turbulence observed during the preceding night.

AERONET retrievals of size distribution and complex refractive index (according to an inversion model developed by Dubovik and King, 2000) were also used to develop an aerosol optical model within the PAR spectral range. Refractive index was linearly interpolated in the PAR region in bin size intervals of 0.025 μm and input in a Mie code developed by Wiscombe (1980) together with size distribution to calculate single scattering albedo (ω_0), asymmetry factor (g) and extinction efficiency (Q_{ext}). The optical model was used as input in the radiative transfer code SBDART (Santa Barbara DISORT Atmospheric Radiative Transfer) (Ricchiuzzi et al., 1998) to simulate downward global PAR irradiance at the top of the canopy. Calculations were performed at one minute time steps although hourly mean AOD values were used as input for the runs.

The simulated PAR irradiance was used to separate data affected only by smoke from those affected by clouds. Since the calculations were performed considering only the effect of smoke, with no clouds, hourly mean irradiance from numerical simulations would agree with measurements only for non cloud-contaminated cases. Hourly mean values and standard deviations of the mean were calculated for measured ($\bar{\epsilon}_m, \sigma_m$) and simulated ($\bar{\epsilon}_c, \sigma_c$) data. In order to filter cloudy data, firstly mean values from measurements with large standard deviations were discarded ($\sigma_m/\bar{\epsilon}_m > 0.25$) as well as data for which the ratio between mean values of PAR from measurements and from calculations was lower than 0.70. The choice of those thresholds was based on sensitivity studies according to the variability of aerosol optical depth on a time scale of one hour. Those numbers were obtained considering the worse scenario, for which AOD presented the highest variability. Numerical simulations of PAR at one minute time step were performed with hourly-mean AOD plus or minus one standard deviation for the worse scenario and comparisons between these calculations were performed. Mean ($\bar{\epsilon}_c$) and standard deviation (σ_c) for the irradiances calculated with the highest (ϵ_{ch}) and lowest (ϵ_{cl}) AOD values were determined. Ratios $\epsilon_{cl}/\epsilon_{ch}$ were always larger than 0.70 and the ratio $\sigma_c/\bar{\epsilon}_c$ was lower than 0.25. For the remaining data base, a linear fit based on the least squares method was performed. Theoretically, if the correct aerosol optical depth is used in the numerical simulations, measured and calculated PAR should result in a linear fit with slope equal one

Table 1. Smoke aerosol optical model in the PAR spectral region developed according to AERONET retrievals of size distribution and refractive index and a Mie code.

Wavelength (μm)	ω_0	g	Q_{ext}
0.400	0.9375	0.6966	1.6293
0.425	0.9360	0.6884	1.5005
0.450	0.9343	0.6800	1.3816
0.475	0.9324	0.6714	1.2722
0.500	0.9304	0.6627	1.1724
0.525	0.9282	0.6541	1.0821
0.550	0.9259	0.6457	1.0000
0.575	0.9235	0.6374	0.9255
0.600	0.9209	0.6293	0.8579
0.625	0.9190	0.6204	0.8064
0.650	0.9164	0.6126	0.7497
0.675	0.9136	0.6051	0.6985
0.700	0.9108	0.5978	0.6518

and intercept zero. If data is normally distributed around the best fit, all data should not be far from the linear fit for more than three standard deviations. Thus, this criterion was also used to eliminate possibly cloud-contaminated data.

An index to quantify cloudy or smoky conditions relative to clear sky atmosphere was defined. This index is similar to the “relative irradiance” proposed by Gu et al. (1999) and to the Schafer et al. (2002):

$$f_B = \frac{\bar{\epsilon}_m}{\epsilon_0}$$

where $\bar{\epsilon}_m$ is the hourly-mean measured PAR irradiance and ϵ_0 is the equivalent clear sky value. The clear sky value was determined numerically considering aerosol optical depth equal 0.05 at 550 nm.

3 Results and discussion

Table 1 presents the calculated parameters of the optical model for biomass burning aerosol particles. The extinction efficiency at the table is normalized at 0.55 μm. The results are similar to Procopio et al. (2003) values. The authors analyzed data from two AERONET radiometers located 700 km apart in the southern Amazon Basin, Alta Floresta (09°55′ S, 56°00′ W) and Abracos Hill (10°45′ S, 62°21′ W). Procopio et al. (2003) reported mean values of asymmetry factor (g) of 0.67 ± 0.01 and 0.56 ± 0.02 , respectively at 0.44 and 0.67 μm, while in the present study, the calculated values for g are 0.680 and 0.605 at 0.45 μm and 0.675 μm, respectively, as shown in Table 1. Extinction efficiency from this work presents a slightly lower spectral dependence when compared to Procopio and co-authors results. Figure 1 shows a comparison of single scattering albedo from Mie calculations from this work and mean values retrieved from AERONET.

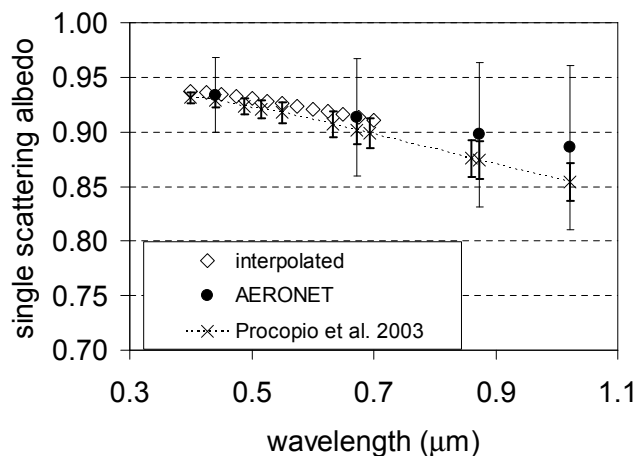


Fig. 1. Single scattering albedo in the PAR spectral range interpolated according to Mie theory (open diamonds). Solid black dots correspond to mean values from AERONET retrievals at 0.44, 0.67, 0.87 and 1.02 μm and standard deviation (an indication of data variability). Mean values from Procopio et al. (2003) are also shown for comparison (crosses).

Vertical bars indicate the variability of AERONET results. Mean values from the 12 optical models proposed by Procopio et al. (2003) are also shown. Chand et al. (2005) retrieved single scattering albedo of about 0.92 ± 0.02 (at 545 nm) from in situ measurements of linear scattering and absorption coefficients performed at Fazenda Nossa Senhora Aparecida during SMOCC (same location of Abracos Hill AERONET site) field campaign, for submicron particles (with diameter lower than 1.5 μm) and dry ambient conditions (relative humidity of about 40%).

As discussed previously both hazemeter and MODIS results were corrected according to AERONET results, in order to extend the time series for the entire field campaign, since the radiometer from AERONET was not available all the time. The correction was based on linear fittings. Figure 2 shows the comparison of AOD retrievals from the distinct platforms. The parameters of the linear fits are also presented in the figure and were used to correct the data. Although it is possible to observe a good agreement between them, MODIS retrievals were in general lower than AERONET, while the opposite was observed for the hazemeter data.

Hourly mean values of aerosol optical depth at 500 nm are presented in Fig. 3. Mean values higher than 3.0 were observed at the beginning of the sampling period, indicating a very polluted atmosphere. Gradually AOD decreased at the site mostly due to rainfall, reaching mean values of 0.35 to 0.40 by the end of the experiment. Three-hourly rain rate estimates from TRMM (Tropical Rainfall Measuring Mission, further information: http://trmm.gsfc.nasa.gov/trmm_rain/Events/TRMMSeniorProp.1.pdf) at a 0.25° by 0.25° around the site for September and October 2002 are presented at Fig. 3b. Rainfall on 28 September was re-

sponsible for the sharply drop of AOD at the site, as well as the increase in soil water storage integrated from surface up to 3.4 m depth (not shown), which increased from about 600 mm (before 28 September 2002) to 690 mm (measured on 3 October 2002) reaching about 985 mm by the end of the field campaign. In the case of vapor pressure deficit, whose measurements at 28 m height are presented at Fig. 3c, it is possible to observe a tendency of decreasing of maxima values.

The smoke layer affected PAR irradiance measured at the top of the canopy. Depending on the time of the day, a decrease of more than 50% could be observed. Figure 4 shows hourly-mean measured PAR irradiance as function of the relative irradiance. Solid symbols indicate smoky conditions only and open symbols include all cases. Separation between hazy and cloud-contaminated data was performed through a linear fitting based on the least squares method, as described previously. Figure 5 shows the result of the fitting. The solid symbols indicate cloud-free cases. After this screening, 66% of data is one standard deviation far from the fit and 96% two standard deviations far. The resulting reduced chi-square (χ_{red}^2) is 1.08. The number of degrees of freedom is 178 (after cloud screening), thus, there is a 90% probability that the resultant reduced chi-square should be in the interval $0.83 < \chi_{\text{red}}^2 < 1.18$.

When analyzing the effect of smoke on the transmission of PAR through the canopy, an increase of daily mean transmittance was observed with the increase of AOD, as shown in Fig. 6a. The estimation of transmittance was obtained by normalizing daily mean downward irradiance measured at one particular level for the one measured at the top of the canopy (at 39 m). Since aerosol particles in the atmosphere increase the availability of diffuse radiation, the result presented in Fig. 6 indicates a more efficient penetration of PAR inside the canopy in the presence of the smoke layer. In Fig. 6b, the transmittance is plotted as function of leaf area index (LAI), whose values were estimated from Rummel et al. (2002) (their Fig. 1a) determined at the same site during the dry season of 1999, since no measurement was conducted in 2002. According to Jones (1992), it is possible to estimate an empirical extinction coefficient by adjusting an exponential curve of transmission versus leaf area index. For mean AOD value of 0.26, an extinction coefficient of 0.55, while for AOD equal 2.53, a value of 0.43 was obtained following this approach as shown in Fig. 6b.

As mentioned previously, PAR irradiance at the top of the canopy was attenuated due to the presence of the smoke layer. As expected, if less radiation reaches the surface, there is less energy available and consequently a decrease in turbulent fluxes. In the analysis of Fig. 7 it is possible to observe that both sensible and latent heat fluxes are affected by variations in PAR irradiance reaching the top of the canopy, in a quite linear relationship, independently if reduction of PAR was due to aerosol (solid symbols) or cloudy conditions. The high variability could be due to variations in air

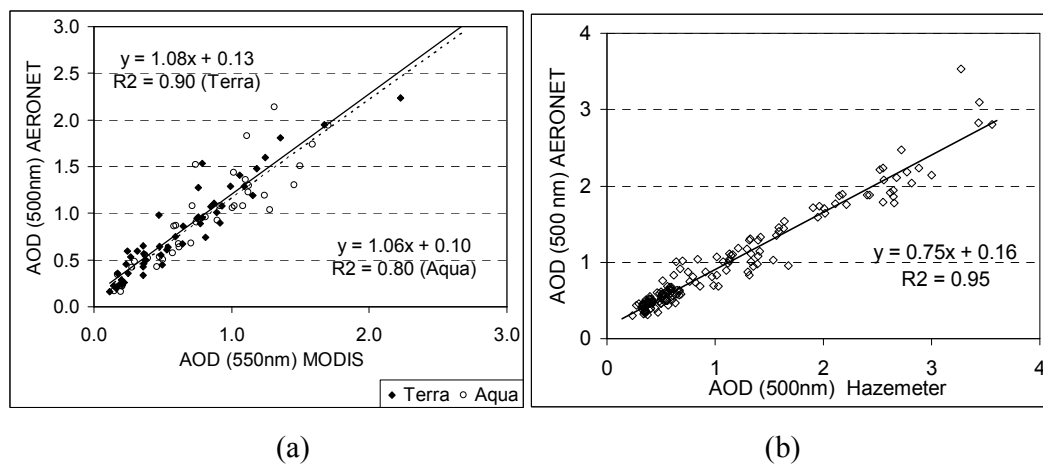


Fig. 2. Comparison of AOD retrievals from AERONET versus (a) MODIS onboard Terra and Aqua satellites and (b) a portable sunphotometer (hazemeter). AERONET data collected 30 min before and 30 min after the overpasses were averaged in the comparison with MODIS retrievals. Both AERONET and hazemeter data were averaged in one hour intervals for the second comparison.

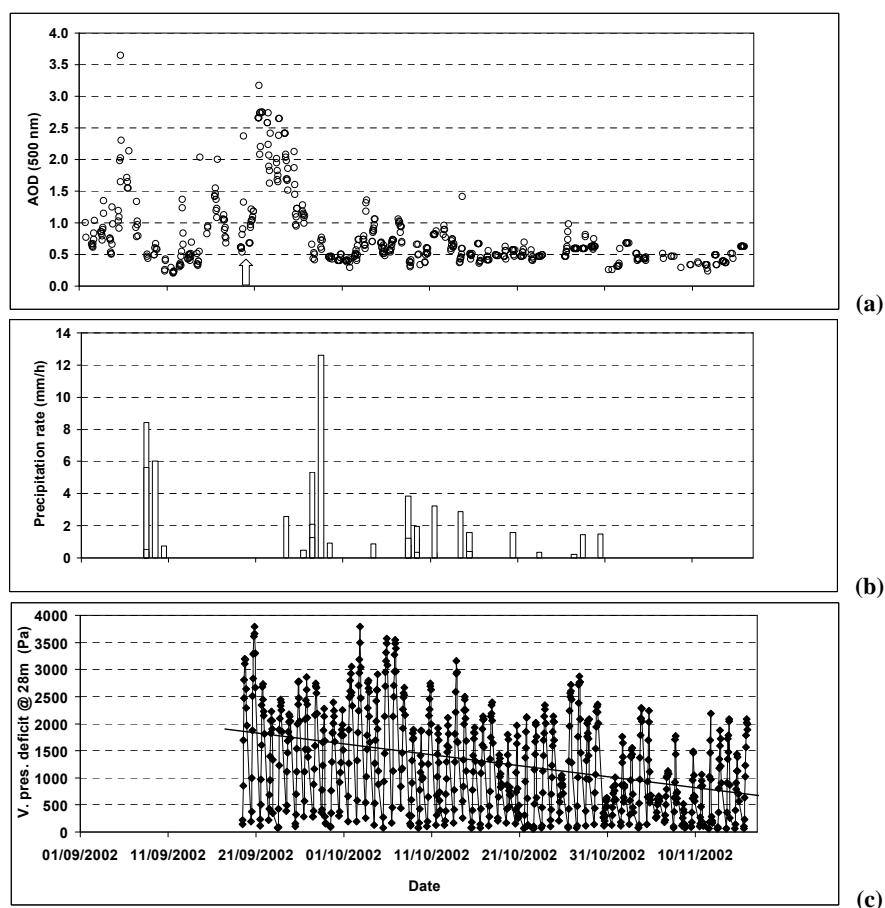


Fig. 3. Time series of: (a) Hourly-mean aerosol optical depth (AOD) at 500 nm retrieved from AERONET, portable sunphotometer and MODIS. The arrow at the bottom of the graph shows the beginning of the intensive field experiment, when vertical profile measurements inside the canopy were carried out; (b) Three-hourly rain rate estimated from TRMM at a 0.25° by 0.25° around the site location; (c) day time hourly-mean water vapour pressure deficit.

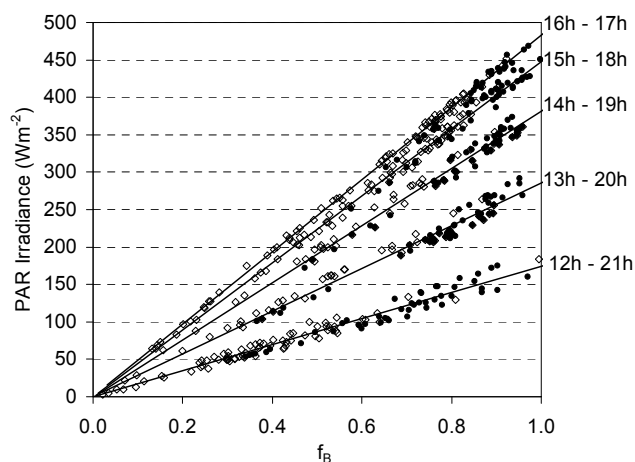


Fig. 4. Hourly-mean measured total PAR irradiance at the top of the canopy as function of the relative irradiance. Solid circles represent cloud-free data, while open diamonds correspond to all data. The hour (UTC) indicated at the right represent the final time considered in the hourly mean, for instance, 12:00 h–20:00 h represents all data measured between 11:01 h up to 12:00 h and from 19:01 to 20:00 h UTC.

temperature, rain events and local circulations as discussed by Von Randow et al. (2002). For CO_2 flux, on the other hand, the relationship with incident PAR is not linear. From Fig. 8 it is possible to observe that NEE leveling off occurs at about 250 to 300 Wm^{-2} . It is also possible to observe that morning values are higher (more negative, indicating more CO_2 uptake by vegetation) and present higher variability than afternoon fluxes. Third degree polynomial fittings to the data are presented in the figure, stressing the non-linear relationship. Afternoon variability can be related to changes in water vapor pressure deficit (VPD). As Fig. 9 shows, VPD increases with PAR in the morning but presents higher values in the afternoon, without correlation with incident PAR. For morning measurements, a second order polynomial fitting could be adjusted to the data, with R^2 of 0.70, as shown in Fig. 9. Hourly mean values of VPD were retrieved from air temperature and relative humidity measured at 28 m height inside the canopy. A tendency of decreasing NEE for increasing VPD was observed for afternoon measurements for similar values of incident PAR (not shown), explaining the less negative results. Since VPD tends to present lower values in the morning than in the afternoon (Fig. 9), this could also explain the higher variability of NEE in the morning, meaning that high VPD inhibits photosynthetic activity. The effect of soil moisture on turbulent and CO_2 fluxes, if any, is small, since no systematic difference was observed from the beginning to the end of the field experiment when soil water storage changed from about 600 mm before 27 September to 985 mm in November.

Distinct NEE response was observed for varying aerosol loadings. For low AOD (<0.7) no correlation between NEE

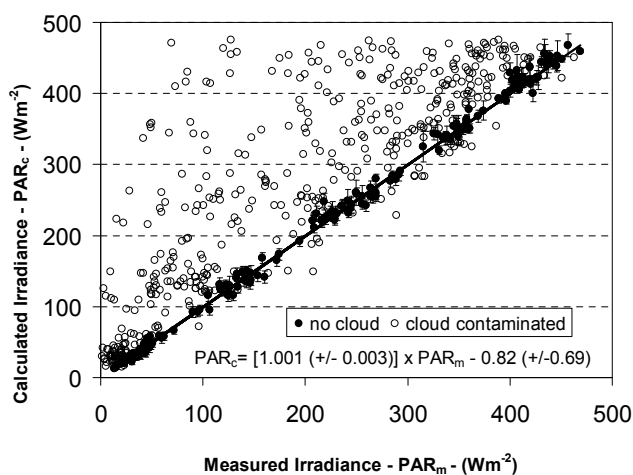


Fig. 5. Linear fitting based on the least squares method between hourly mean calculated and measured PAR irradiance. Open symbols represent all analyzed data and solid symbols indicate situations when cloud effect was negligible. The coefficients of the fitting and their standard deviations are also presented.

and aerosol loading was observed (Fig. 10). Above that threshold, NEE presented a tendency of becoming more negative, up to a saturation point at about AOD 1.5 to 2.0, although no data is available for AOD in the range 1.2 to 1.7. For even higher AOD values, NEE started to decrease again. A possible explanation for the observed behavior is that, although the diffuse fraction of PAR increases with AOD, the attenuation caused by the aerosol layer reduces the total amount of radiation available for photosynthesis. Figure 11 shows diurnal cycles of total incident PAR irradiance and of its diffuse fraction for distinct AOD, determined numerically with SBDART. For AOD equal 1.5, more than 70% of the PAR is diffuse, but only 360 Wm^{-2} or less is available for photosynthesis. In a similar study, Niyogi et al. (2004) observed an increase of CO_2 flux for AOD varying from 0.1 to 0.8. For a broadleaf deciduous forest in Walker Branch, TN, US, they observed an increase from -15 up to about $-24 \mu\text{mol m}^{-2} \text{ s}^{-1}$ for that AOD range. According to their results, C4 vegetation presented the largest sensitivity, C3 crops/grasslands less and trees from the deciduous forest moderate sensitivity for aerosol on CO_2 flux. Actually, grassland sites presented an opposite response with a decreasing CO_2 flux as aerosol loading increased. They hypothesized this difference to the distinct canopy architecture. Aerosol loading at their studied sites was not as high as observed in the Amazon region during the burning season, thus it was not possible to verify if CO_2 flux would also decrease at their sites for higher AOD values. Some possible explanations for the changes in NEE observed in this study for distinct aerosol loadings are discussed: 1) for intermediate values of AOD, the increase of the diffuse fraction of PAR enhances photosynthetic activities up to a level where the amount of

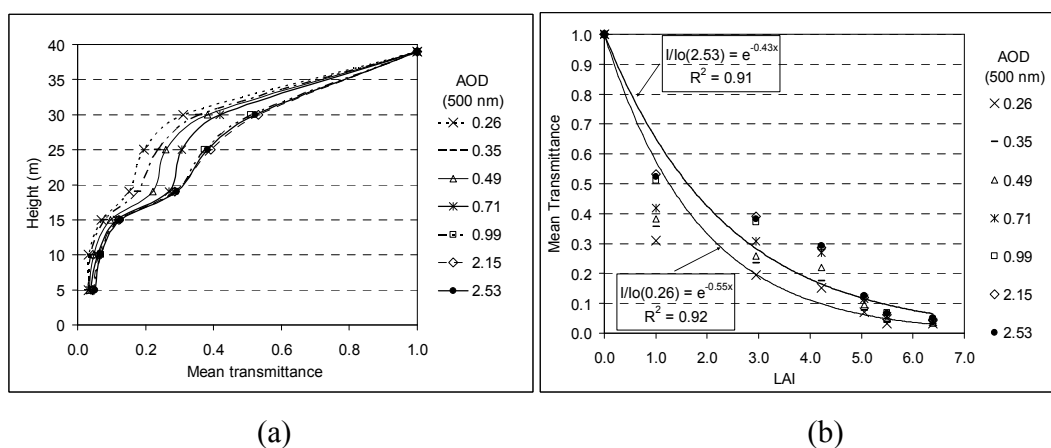


Fig. 6. Mean transmittance of photosynthetically active radiation inside the canopy at Rebio Jaru for distinct aerosol optical depths at 500 nm.

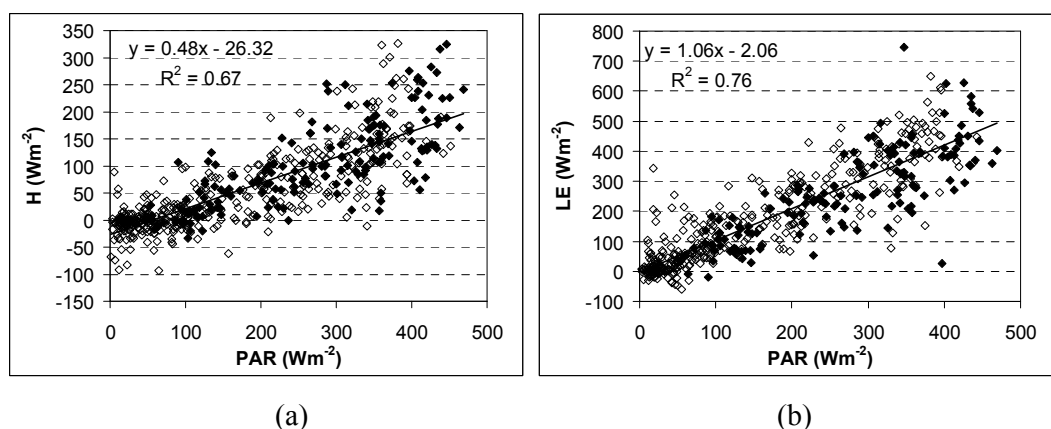


Fig. 7. Hourly-mean values of sensible (H) and latent heat (LE) fluxes as function of PAR irradiance. Open symbols include all data and solid symbols refer to cloudless cases (aerosol only).

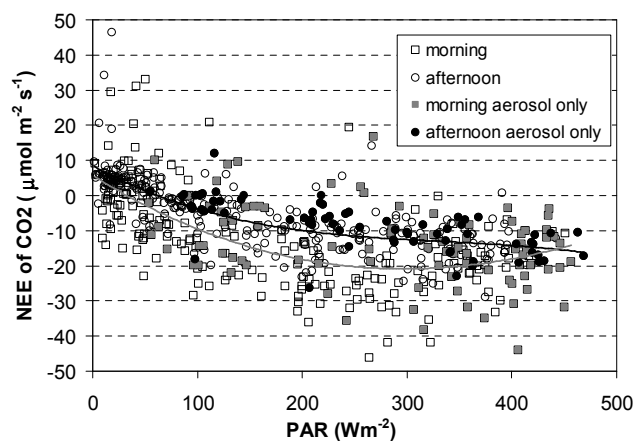


Fig. 8. Hourly-mean NEE of CO_2 flux as function of PAR irradiance incident at the top of the canopy for morning (squares) and afternoon (circles) measurements. Open symbols include all data and solid symbols represent cloudless data. Solid lines represent third degree polynomial fittings to the data.

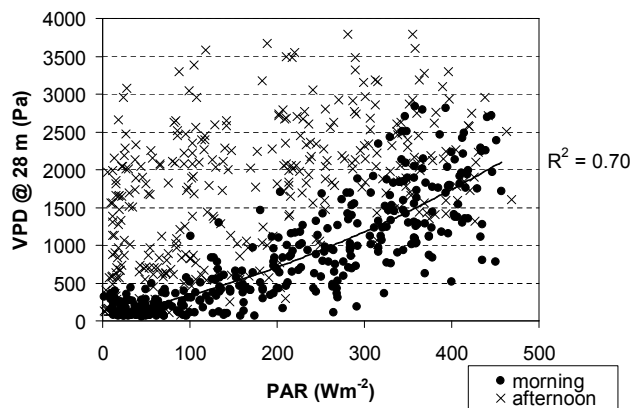


Fig. 9. Hourly-mean water vapor pressure deficit at 28 m height (inside the canopy) as function of PAR. The line represents a second order polynomial fitting to morning data.

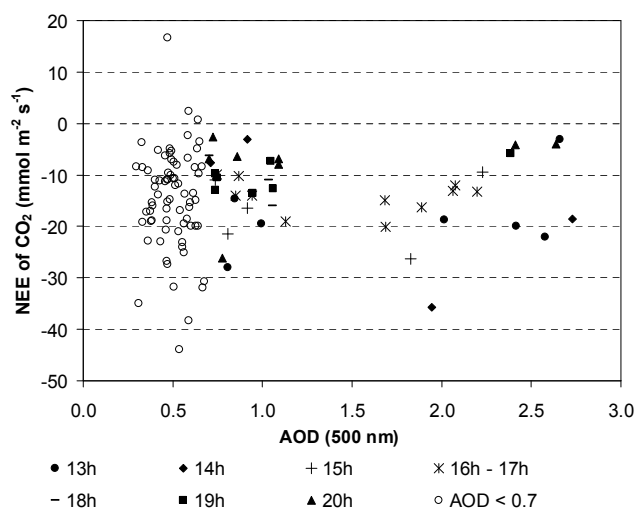


Fig. 10. Effect of changes of aerosol optical depth on hourly-mean values of NEE of CO₂.

global radiation is too low due to this same smoke layer, inhibiting photosynthesis; 2) for higher AOD, the concentration of aerosol particles or gases such as ozone in the atmosphere is so high that photosynthesis decreases due to the toxic effect of any chemical compounds in the atmosphere. As reported by Andreae et al. (2002), ozone concentration at Rebio Jaru during the dry season of 1999 reached values higher than 40 ppb. This threshold defines ozone critical level, according to the Protocol to Abate Acidification, Eutrophication and Ground-level Ozone (<http://www.unece.org/env/lrtap/full/text/1999/Multi.e.pdf>). Ozone is a secondary product from vegetation fires.

From Figs. 11 and 12 it seems that hypothesis 1 is very plausible. Figure 11 shows that the aerosol layer increases the availability of diffuse radiation as well as reduces the total amount of PAR reaching the top of the canopy. From Fig. 12 it is possible to observe that NEE is more negative at about f_B around 0.6 to 0.8 independent on the time of the day, although NEE values are slightly lower (less negative) if the reduction of incident PAR is only due to smoke (solid symbols), particularly when sun is high on the sky (Fig. 11d). As discussed previously, morning VPD values are lower than afternoon and reduction in NEE could be related to increase of VPD. However, comparing graphics from Fig. 13 with those from Fig. 12, analyzing cases with no cloud effect (solid symbols) there are cases for which similar values of f_B are observed, VPD is not high but there is a reduction of NEE, especially for high AOD. This could be an indication of hypothesis 2. Obviously AOD is not appropriate to address this local effect, since it represents an atmospheric column integrated quantity and in situ measurements should be performed in order to study this effect. All these speculative discussions reinforce that further investigations in those directions must still be conducted to get a

better understanding of the observed behavior. The aerosols from biomass burning cover large areas including much of the Amazon as well as distant regions via long-range transport and thus could affect the carbon budget on a regional scale, over areas covered by forest, grass, cerrado (a Brazilian savanna type vegetation) and crops like soybean, cotton and sugarcane. Since the effect of aerosol on CO₂ flux depends on the vegetation structure, as reported by Niyogi et al. (2004), the overall effect on the carbon budget is rather non-linear and must still be addressed.

4 Conclusions

The present work showed that high concentrations of aerosol particles in the atmosphere due to biomass burning decrease the amount of global photosynthetically radiation at varying canopy levels, affecting sensible and latent heat fluxes at the surface. On the other hand, the smoke layer increases the diffuse fraction of PAR, enhancing transmission of radiation inside the canopy. This seems to enhance photosynthetic activity observed as a more negative CO₂ flux, thus indicating a higher CO₂ uptake by the surrounding vegetation. However, for even higher AOD values (>2.0), CO₂ flux and consequently NEE decreased. This could be due to the less availability of PAR or a consequence of the deleterious effects of some chemical compounds in the aerosol particles or gases such as ozone, a secondary product from biomass burning which could be formed from emissions from the surrounding fires. Cloud effect on CO₂ exchange is also difficult to quantify, since cloudiness can vary significantly during the course of a day introducing other complexities in the system. Higher values of water vapor pressure deficit at 28 m height were observed in the afternoon and were related to higher air temperature and lower relative humidity when compared to morning measurements. Those higher values also affected NEE of CO₂, resulting in less negative values in the afternoon indicating a reduction on photosynthetic activity.

During the dry season, large areas are affected by aerosol particles from biomass burning activities due to long-range transport. Thus, the observed effect of the smoke layer on carbon flux and NEE, discussed in this manuscript for the first time for the Amazon region, can have significant implications on the carbon budget of ecosystems. Laboratory studies using photosynthesis chambers, other field campaigns and additional modeling efforts are planned to improve our understanding of the effect of aerosol particles from biomass burning on the carbon budget.

Acknowledgements. This work was carried out within the framework of the Smoke, Aerosols, Clouds, Rainfall, and Climate (SMOCC) project, a European contribution to the Large-Scale Biosphere-Atmosphere Experiment in Amazonia (LBA). It was financially supported by the Environmental and Climate Program of the European Commission (contract No. EVK2-CT-2001-00110 SMOCC), the Max Planck Society (MPG), the Fundação de

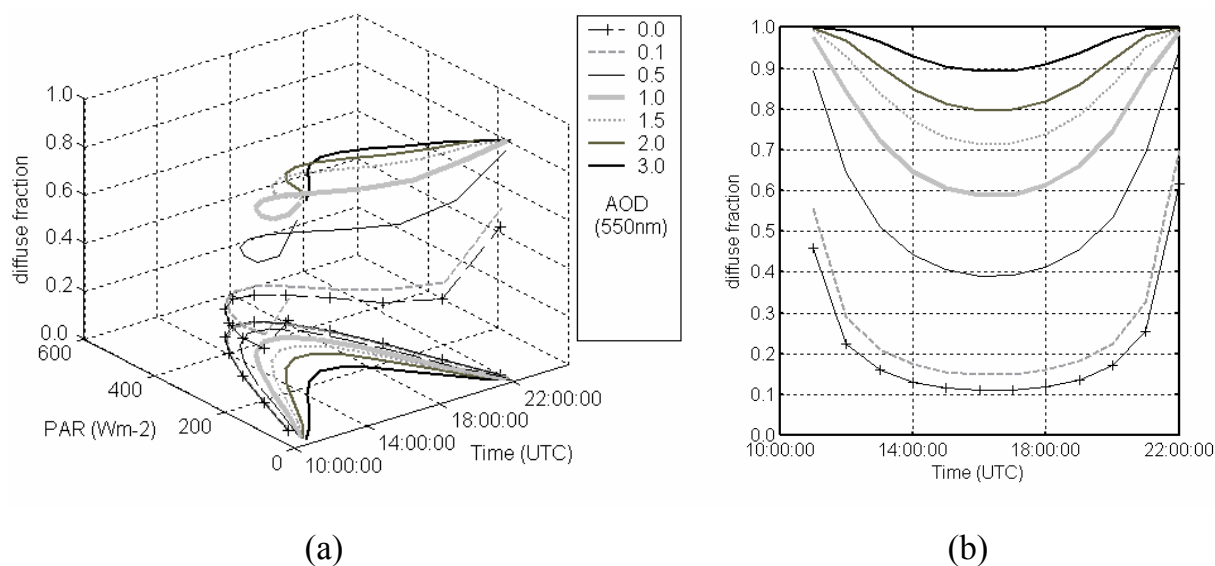


Fig. 11. Numerically calculated diurnal cycle of (a) the diffuse fraction and of total incident PAR irradiance for distinct aerosol optical depth and (b) diffuse fraction only.

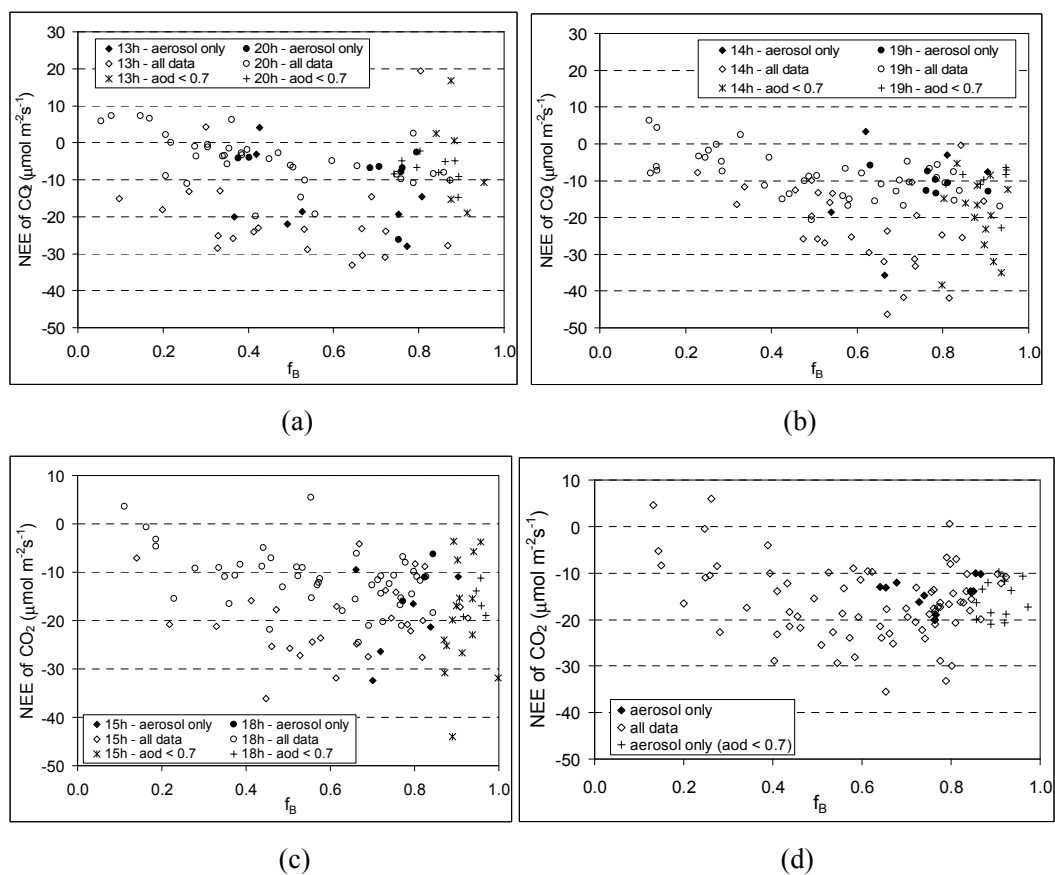


Fig. 12. NEE of CO₂ as function of f_B (relative irradiance) when solar zenith angle is (a) between 48° and 53°, (b) between 34° and 39°, (c) between 18° and 25° and (d) smaller than 13°. Symbols identified as AOD < 0.7 represent cases with aerosol only, with no cloud effect.

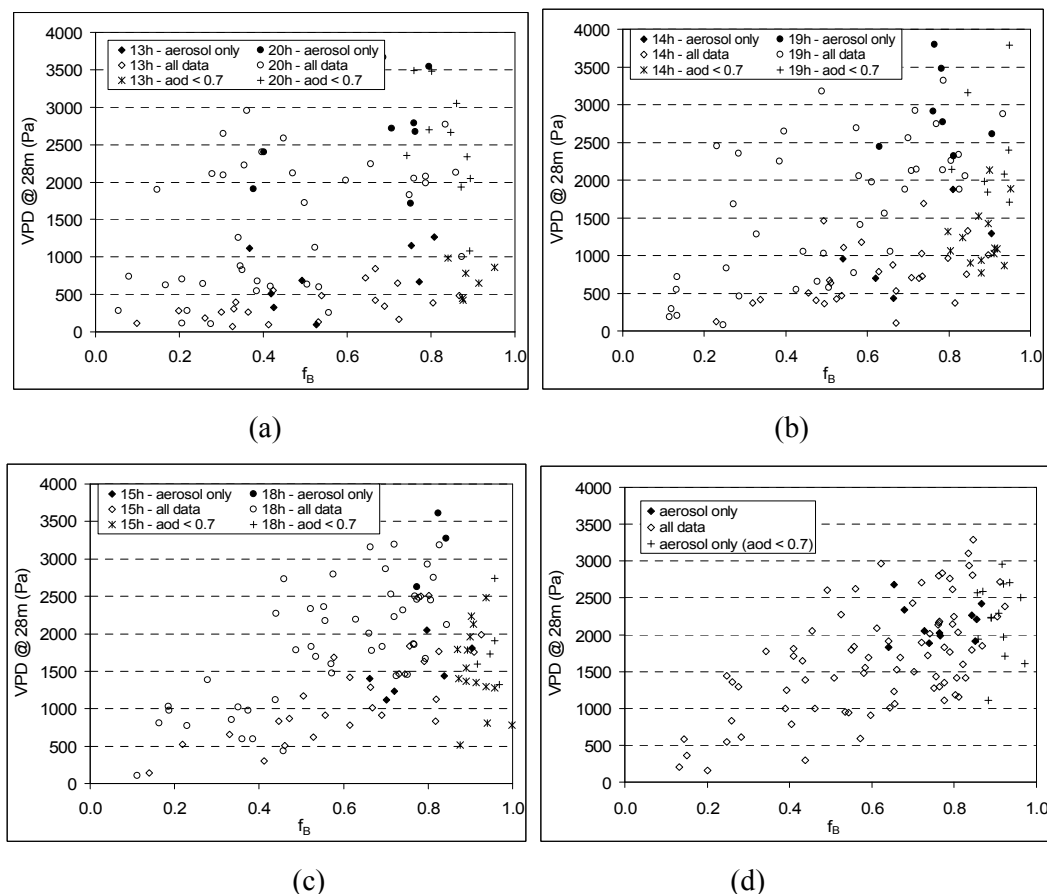


Fig. 13. Hourly-mean water vapor pressure deficit at 28 m height inside the canopy as function of the relative PAR irradiance reaching the top of the canopy when solar zenith angle is (a) between 48° and 53° , (b) between 34° and 39° , (c) between 18° and 25° and (d) smaller than 13° .

Amparo à Pesquisa do Estado de São Paulo, grants 2001/08574-9 and 2001/06908-7 and the Conselho Nacional de Desenvolvimento Científico e Tecnológico, grants 301151/01-6, 306085/2003-8 and Instituto do Milênio LBA, grant 62.0056/01-0. We thank Instituto Brasileiro do Meio Ambiente e dos Recursos Naturais Renováveis (IBAMA) and all members of the LBA-SMOCC and LBA-RACCI Science Teams for their support during the field campaign, especially A. C. Ribeiro, M. A. L. Moura, J. von Jouanne and staff from AERONET. M. Yamasoe acknowledges J. C. Thomaz Jr., J. Mello, J. Martins and staff from Laboratório de Instrumentação Meteorológica/Centro de Previsão do Tempo e Estudos Climáticos (LIM/CPTEC) for support during the field campaign, WeiMin Hao for providing hazemeter data, A. A. de Souza for hazemeter operation, K. C. Ng, A. L. Bonini and H. R. Rocha for support on the experimental setup project and A. Correia for revising this manuscript. The authors acknowledge the anonymous referees comments and suggestions which helped improving the final version.

Edited by: A. B. Guenther

References

- Andreae, M. O.: Biomass burning: Its history, use and distribution and its impact on environmental quality and global climate, in: *Global Biomass Burning: Atmospheric, Climatic and Biospheric Implications*, edited by: Levine, J. S., MIT Press, MA, 3–21, 1991.
- Andreae, M. O., Artaxo, P., Brandão, C., Carswell, F. E., Ciccioli, P., da Costa, A. L., Culf, A. D., Esteves, J. L., Gash, J. H. C., Grace, J., Kabat, P., Lelieveld, J., Malhi, Y., Manzi, A. O., Meixner, F. X., Nobre, A. D., Nobre, C., Ruivo, M. d. L. P., Silva-Dias, M. A., Stefani, P., Valentini, R., von Jouanne, J., and Waterloo, M. J.: Biogeochemical cycling of carbon, water, energy, trace gases, and aerosols in Amazônia: The LBA-EUSTACH experiments, *J. Geophys. Res.*, 107(D20), 8066, doi:10.1029/2001JD000524, 2002.
- Andreae, M. O., Rosenfeld, D., Artaxo, P., Costa, A. A., Frank, G. P., Longo, K. M., and Silva-Dias, M. A.: Smoking rain clouds over the Amazon, *Science*, 303, 1337–1342, 2004.
- Chameides, W. L., Yu, H., Liu, S. C., Bergin, M., Zhou, X., Mearns, L., Wang, G., Kiang, C. S., Saylor, R. D., Luo, C., Huang, Y., Steiner, A., and Giorgi, F.: Case study of the effects of atmospheric aerosols and regional haze on agriculture: An opportu-

- nity to enhance crop yields in China through emission controls?, *Proc. Natl. Acad. Sci. USA*, 96, 13 626–13 633, 1999.
- Chand, D., Guyon, P., Artaxo, P., Schmid, O., Frank, G. P., Rizzo, L. V., Mayol-Bracero, O. L., Gatti, L. V., and Andreae, M. O.: Optical and physical properties of aerosols in the boundary layer and free troposphere over the Amazon Basin during the biomass burning season, *Atmos. Chem. Phys. Discuss*, 4373–4406, 2005.
- Cohan, D. S., Xu, J., Greenwald, R., Bergin, M. H., and Chameides, W. L.: Impact of atmospheric aerosol light scattering and absorption on terrestrial net primary productivity, *Global Biogeochem. Cycles*, 16(4), 1090, doi:10.1029/2001GB001441, 2002.
- Dubovik, O. and King, M. D.: A flexible inversion algorithm for retrieval of aerosol optical properties from Sun and sky radiance measurements, *J. Geophys. Res.*, 105, 20 673–20 696, 2000.
- Eck, T. F., Holben, B. N., Slutsker, I., and Setzer, A.: Measurements of irradiance attenuation and estimation of aerosol single scattering albedo for biomass burning aerosols in Amazonia, *J. Geophys. Res.*, 103, 31 865–31 878, 1998.
- Gu, L., Fuentes, J. D., Shugart, H. H., Staebler, R. M., and Black, T. A.: Responses of net ecosystem exchanges of carbon dioxide to changes in cloudiness: results from two North American deciduous forests, *J. Geophys. Res.*, 104, 31 421–31 434, 1999.
- Gu, L., Baldocchi, D., Verma, S. B., Black, T. A., Vesala, T., Falge, E. M., and Dowty, P. R.: Advantages of diffuse radiation for terrestrial ecosystem productivity, *J. Geophys. Res.*, 107(D6), 4050, ACL 2-1 to ACL 2-23, 2002.
- Gu, L., Baldocchi, D., Wofsy, S. C., Munger, J. W., Michalsky, J. J., Urbanski, S. P., and Boden, T. A.: Response of a deciduous forest to the Mount Pinatubo eruption: Enhanced Photosynthesis, *Science*, 299, 2035–2038, 2003.
- Hansen, J., Sato, M., and Ruedy, R.: Radiative forcing and climate response, *J. Geophys. Res.*, 102(D6), 6831–6864, 1997.
- Hao, W. M., Ward, D. E., Susott, R. A., Babbitt, R. E., Nordgren, B. L., Kaufman, Y. J., Holben, B. N., and Giles, D. M.: Comparison of aerosol optical thickness measurements by MODIS, AERONET sun photometers, and Forest Service handheld sun photometers in southern Africa during the SAFARI 2000 campaign, *Int. J. Remote Sens.*, 29(19), 4169–4183, 2005.
- Holben, B. N., Eck, T. F., Slutsker, I., Tanré, D., Buis, J. P., Setzer, A., Vermote, E., Reagan, J. A., Kaufman, Y. J., Nakajima, T., Lavenu, F., Jankowiak, I., and Smirnov, A.: AERONET – A federated instrument network and data archive for aerosol characterization, *Rem. Sens. Environ.*, 66(1), 1–16, 1998.
- Jones, H. G.: *Plants and Microclimate. A quantitative approach to environmental plant physiology*, Cambridge University Press, Cambridge, 1992.
- Kaufman, Y. J. and Fraser, R. S.: Confirmation of smoke particles effect on clouds and climate forcing, *Science*, 277, 1636–1639, 1997.
- Kaufman, Y. J. and Nakajima, T.: Effect of Amazon smoke on cloud microphysics and albedo - Analysis from satellite imagery, *J. Appl. Meteor.*, 32, 729–744, 1993.
- Kaufman, Y. J., Tanré, D., Remer, L. A., Vermote, E., Chu, A., and Holben, B. N.: Operational remote sensing of tropospheric aerosol over land from EOS Moderate Resolution Imaging Spectroradiometer, *J. Geophys. Res.*, 102, 17 051–17 067, 1997.
- Kaufman, Y. J., Hobbs, P. V., Kirchhoff, V. W. J. H., Artaxo, P., Remer, L. A., Holben, B. N., King, M. D., Ward, D. E., Prins, E. M., Longo, K. M., Mattos, L. F., Nobre, C. A., Spinhrne, J. D., Ji, Q., Thompson, A. M., Gleason, J. F., Christopher, S. A., and Tsay, S.-C.: Smoke, Clouds, and Radiation–Brazil (SCAR-B) experiment, *J. Geophys. Res.*, 103(D24), 31 783–31 808, 1998.
- Koren, I., Kaufman, Y. J., Remer, L. A., and Martins, J. V.: Measurement of the effect of Amazon smoke on inhibition of cloud formation, *Science*, 303, 1342–1345, 2004.
- Niyogi, D., Chang, H.-I., Saxena, V. K., Holt, T., Alapaty, K., Booker, F., Chen, F., Davis, K. J., Holben, B., Matsui, T., Meyers, T., Oechel, W. C., Pielke Sr., R. A., Wells, R., Wilson, K., and Xue, Y.: Direct observations of the effects of aerosol loading on net ecosystem CO₂ exchanges over different landscapes, *Geophys. Res. Lett.*, 31, L20506, doi:10.1029/2004GL020915, 2004.
- Procopio, A. S., Remer, L. A., Artaxo, P., Kaufman, Y. J., and Holben, B. N.: Modeled spectral optical properties for smoke aerosols in Amazonia, *Geophys. Res. Lett.*, 30, 24, 2265–2270, doi:10.1029/2003GL018063, 2003.
- Procopio, A. S., Artaxo, P., Kaufman, Y. J., Remer, L. A., Schafer, J. S., and Holben, B. N.: Multiyear analysis of amazonian biomass burning smoke radiative forcing of climate, *Geophys. Res. Lett.*, 31, L03108, doi:10.1029/2003GL018646, 2004.
- Remer, L. A., Y. J. Kaufman, D. Tanré, S. Mattoo, D. A. Chu, J. V. Martins, R.-R. Li, C. Ichoku, R. C. Levy, R. G. Kleidman, T. F. Eck, E. Vermote, and B. N. Holben.: The MODIS Aerosol Algorithm, Products, and Validation, *J. Atmos. Sci.* 62, 947–973, 2005.
- Ricchiazzi, P., Yang, S., Gautier, C., and Sowle, D.: SBDART: A research and teaching software tool for plane-parallel radiative transfer in the Earth's Atmosphere, *Bull. Amer. Meteorol. Soc.*, 79(10), 2101–2114, 1998.
- Rummel, U., Ammann, C., Gut, A., Meixner, F. X., and Andreae, M. O.: Eddy covariance measurements of nitric oxide flux within an Amazonian rain forest, *J. Geophys. Res.*, 107(D20), 8050, doi:10.1029/2001JD000520, 2002.
- Schafer, J. S., Eck, T. F., Holben, B. N., Artaxo P., Yamasoe, M. A., and Procopio, A. S.: Observed reductions of total solar irradiance by bio-mass burning aerosols in the Brazilian Amazon and Zambian Savanna, *Geophys. Res. Lett.*, 29(17), 1823, doi:10.1029/2001GL014309, 2002.
- Spitters, C. J. T., Toussaint, H. A. J. M., and Goudriaan, J.: Separating the diffuse and direct component of global radiation and its implications for modeling canopy photosynthesis – Part I. Components of incoming radiation, *Agric. For. Meteorol.*, 38, 217–229, 1986.
- Spitters, C. J. T.: Separating the diffuse and direct component of global radiation and its implications for modeling canopy photosynthesis – Part II. Calculation of canopy photosynthesis, *Agric. For. Meteorol.*, 38, 231–242, 1986.
- Twomey, S.: The influence of pollution on the shortwave albedo of clouds, *J. Atmos. Sci.*, 34, 1149–1152, 1977.
- Von Randow, C., Sá, L. D. A., Gannabathula, P. S. D., Manzi, A. O., and Arlino, P. R. A.: Scale variability of atmospheric surface layer fluxes of energy and carbon over a tropical rain forest in southwest Amazonia 1. Diurnal conditions, *J. Geophys. Res.*, 107(D20), 8062, doi:10.1029/2001JD000379, 2002.
- Von Randow, C., Manzi, A. O., Kruijt, B., Oliveira, P. J., Zanchi, F. B., Silva, R. L., Hodnett, M. G., Gash, J., Elbers, J., Waterloo, M. J., Cardoso, F. L., and Kabat, P.: Comparative measurements and seasonal variations in energy and carbon exchange over forest

- and pasture in South West Amazonia, *Theor. Appl. Climatol.*, 78, 5–26, doi:10.1007/s00704-004-0041-z, 2004.
- Wiscombe, W.: Improved Mie Scattering Algorithms, *Appl. Opt.*, 19, 1505–1509, 1980.
- Yamasoe, M. A., Artaxo, P., Miguel, A. H., and Allen, A. G.: Chemical composition of aerosol particles from direct emissions of vegetation fires in the Amazon Basin: water-soluble species and trace elements, *Atmos. Environ.*, 34, 1641–1653, 2000.
- Young, D. R. and Smith, W. K.: Effect of cloudcover on photosynthesis and transpiration in the Subalpine understory species *Arnica Latifolia*, *Ecology*, 64(4), 681–687, 1983.