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Minimal weight expansions in Pisot bases

Christiane Frougny · Wolfgang Steiner

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Abstract For numeration systems representing real numbers and integers, which are based on Pisot numbers, we study expansions with signed digits which are minimal with respect to the absolute sum of digits. It is proved that these expansions are recognizable by a finite automaton if the base β is a Pisot number satisfying a certain condition (D'). When β is the Golden Ratio, the Tribonacci number or the smallest Pisot number, the digits are -1,0,1, and the automaton is given explicitly. We compute the average number of non-zero digits for these representations, which is lower than for the celebrated non-adjacent form (in base 2).

Keywords Minimal weight, beta-expansions, automata

Mathematics Subject Classification (2000) 11A63, 11B39, 68Q45, 94A60

1 Introduction

Let A be a set of (integer) digits and $x = x_1 x_2 \cdots x_n$ be a word with letters x_j in A. The weight of x is the absolute sum of digits $||x|| = \sum_{j=1}^{n} |x_j|$. The Hamming weight of x is the number of non-zero digits in x. Of course, when $A \subseteq \{-1,0,1\}$, the two definitions coincide.

Expansions of minimal weight in integer bases β have been studied extensively. When $\beta = 2$, it is known since Booth [5] and Reitwiesner [23] how to obtain such an expansion with the digit set $\{-1,0,1\}$. The well-known non-adjacent form (NAF) is a particular expansion of minimal weight with the property that the non-zero digits are isolated. It has many applications to cryptography, see in particular [20,17,21]. Other expansions of minimal weight in integer base are studied in [14, 16]. Ergodic properties of signed binary expansions are established in [7].

Non-standard number systems — where the base is not an integer — have been studied from various points of view. Expansions in a real non-integral base $\beta > 1$ have been introduced by Rényi [24] and studied initially by Parry [22]. Number theoretic transforms where numbers are represented in base the Golden Ratio have been introduced in [8] for application to signal processing and fast convolution. Fibonacci representations have been used in [19] to design exponentiation algorithms based on addition chains. Recently, the investigation of minimal weight expansions has been extended to the Fibonacci numeration system by Heuberger [15], who gave an equivalent to the NAF. Solinas [26] has shown how to represent a scalar in a complex base τ related to Koblitz

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curves, and has given a τ -NAF form, and the Hamming weight of these representations has been studied in [10].

In this paper, we study expansions in a real base $\beta>1$ which is not an integer. Any number z in the interval [0,1) has a so-called greedy β -expansion given by the β -transformation τ_{β} , which relies on a greedy algorithm: let $\tau_{\beta}(z)=\beta z-\lfloor \beta z\rfloor$ and define, for $j\geq 1,\ x_{j}=\lfloor \beta \tau_{\beta}^{j-1}(z)\rfloor$. Then $z=\sum_{j=1}^{\infty}x_{j}\beta^{-j}$, where the x_{j} 's are integer digits in the alphabet $\{0,1,\ldots,\lfloor \beta \rfloor\}$. We write $z=.x_{1}x_{2}\cdots.$ If there exists a n such that $x_{j}=0$ for all j>n, the expansion is said to be finite and we write $z=.x_{1}x_{2}\cdots x_{n}$. By shifting, any non-negative real number has a greedy β -expansion: If $z\in [\beta^{k},\beta^{k+1}),\ k\geq 0$, and $z/\beta^{k}=.x_{1}x_{2}\cdots$, then $z=x_{1}\cdots x_{k}.x_{k+1}x_{k+2}\cdots$.

We consider the sequences of digits $x_1x_2\cdots$ as words. Since we want to minimize the weight, we are only interested in finite words $x=x_1x_2\cdots x_n$, but we allow a priori arbitrary digits x_j in \mathbb{Z} . The corresponding set of numbers $z=\cdot x_1x_2\cdots x_n$ is therefore $\mathbb{Z}[\beta^{-1}]$. Note that we do not require that the greedy β -expansion of every $z\in\mathbb{Z}[\beta^{-1}]\cap[0,1)$ is finite, although this property (F) holds for the three numbers β studied in Sections 4 to 6, see [13,1].

The set of finite words with letters in an alphabet A is denoted by A^* , as usual. We define a relation on words $x = x_1 x_2 \cdots x_n \in \mathbb{Z}^*$, $y = y_1 y_2 \cdots y_m \in \mathbb{Z}^*$ by

$$x \sim_{\beta} y$$
 if and only if $x_1 x_2 \cdots x_n = \beta^k \times y_1 y_2 \cdots y_m$ for some $k \in \mathbb{Z}$.

A word $x \in \mathbb{Z}^*$ is said to be β -heavy if there exists $y \in \mathbb{Z}^*$ such that $x \sim_{\beta} y$ and ||y|| < ||x||. We say that y is β -lighter than x. This means that an appropriate shift of y provides a β -expansion of the number $x_1x_2\cdots x_n$ with smaller absolute sum of digits than ||x||. If x is not β -heavy, then we call x a β -expansion of minimal weight. It is easy to see that every word containing a β -heavy factor is β -heavy. Therefore we can restrict our attention to strictly β -heavy words $x = x_1 \cdots x_n \in \mathbb{Z}^*$, which means that x is β -heavy, and $x_1 \cdots x_{n-1}$ and $x_2 \cdots x_n$ are not β -heavy.

In the following, we consider real bases β satisfying one of the following conditions:

(D):
$$\beta^{d+1} - B\beta^d + b_1\beta^{d-1} + b_2\beta^{d-2} + \dots + b_d = 0$$
 for some $B, b_1, \dots, b_d \in \mathbb{Z}$ with $B > \sum_{j=1}^d |b_j|$

(D'): there exists
$$B \in \mathbb{Z}$$
, $B > 0$, and $b \in \{1 - B, \dots, B - 1\}^*$ such that $B \sim_{\beta} b$ and $||b|| \leq B$.

Note that Condition (D) is a special case of (D') since $B \sim_{\beta} 10b_1b_2\cdots b_d$ in this case. It was shown in [2] for $\beta > 1$ satisfying (D) that every $z \in \mathbb{Z}[\beta^{-1}] \cap [0,1)$ can be written as $z = z_+ - z_-$ with some $z_+ \in [0,\beta)$, $z_- \in [0,1)$ having finite greedy β -expansions. This property has some important consequences (see [2]) and is conjectured to hold true for all Pisot numbers β . Recall that a Pisot number is an algebraic integer $\beta > 1$ such that all the other roots of its minimal polynomial are in modulus less than one. In the Appendix we show that a number $\beta > 1$ which satisfies Condition (D) is necessarily a Pisot number. Furthermore, we have $B \in \{|\beta|, |\beta|\}$, see [2].

Example If $1 = t_1 t_2 \cdots t_d (t_{d+1})^{\omega}$ with integers $t_1 \geq t_2 \geq \cdots \geq t_d > t_{d+1} \geq 0$, then β satisfies (D) since $\beta^{d+1} - t_1 \beta^d - \cdots - t_d \beta - t_{d+1} = t_{d+1}/(\beta - 1) = \beta^d - t_1 \beta^{d-1} - \cdots - t_d$ and thus

$$\beta^{d+1} - (1+t_1)\beta^d + (t_1 - t_2)\beta^{d-1} + \dots + (t_{d-1} - t_d)\beta + (t_d - t_{d+1}) = 0.$$

We show that Condition (D') implies that every class of words contains a β -expansion of minimal weight in $\{1-B,\ldots,B-1\}^*$. Recall that the set of greedy β -expansions is recognizable by a finite automaton when β is a Pisot number [4]. In this work, we show that the set of β -expansions of minimal weight in $\{-c,\ldots,c\}^*$ is recognized by a finite automaton if β is a Pisot number satisfying (D') and $c \geq B-1$.

We then consider particular Pisot numbers satisfying (D') which have been extensively studied from various points of view. When β is the Golden Ratio, we construct a transducer which gives, for a strictly β -heavy word as input, a β -lighter word as output, and another transducer which converts all words without β -heavy factors into some unique expansion avoiding certain factors. From these transducers, we derive the minimal automaton recognizing the set of β -expansions of

minimal weight in $\{-1,0,1\}^*$. We give a branching transformation which provides all β -expansions of minimal weight in $\{-1,0,1\}^*$ of a given $z\in\mathbb{Z}[\beta^{-1}]$. Similar results are obtained for the representation of integers in the Fibonacci numeration system. The average weight of expansions of the numbers $-M,\ldots,M$ is $\frac{1}{5}\log_\beta M$, which means that typically only every fifth digit is non-zero. Note that the corresponding value for 2-expansions of minimal weight is $\frac{1}{3}\log_2 M$, see [3,6], and that $\frac{1}{5}\log_\beta M\approx 0.288\log_2 M$.

We obtain similar results for the case where β is the so-called *Tribonacci number*, which satisfies $\beta^3 = \beta^2 + \beta + 1$ ($\beta \approx 1.839$), and the corresponding representations for integers. In this case, the average weight is $\frac{\beta^3}{45.11} \log_{\beta} M \approx 0.282 \log_{\beta} M \approx 0.321 \log_{2} M$.

average weight is $\frac{\beta^3}{\beta^5+1}\log_\beta M\approx 0.282\log_\beta M\approx 0.321\log_2 M$. Finally we consider the smallest Pisot number, $\beta^3=\beta+1$ ($\beta\approx 1.325$), which provides representations of integers with even lower weight than the Fibonacci numeration system: $\frac{1}{7+2\beta^2}\log_\beta M\approx 0.095\log_\beta M\approx 0.234\log_2 M$.

2 Preliminaries

A finite sequence of elements of a set A is called a *word*, and the set of words on A is the free monoid A^* . The set A is called *alphabet*. The set of infinite sequences or infinite words on A is denoted by $A^{\mathbb{N}}$. Let v be a word of A^* , denote by v^n the concatenation of v to itself n times, and by v^{ω} the infinite concatenation $vvv\cdots$.

A finite word v is a *factor* of a (finite or infinite) word x if there exists u and w such that x = uvw. When u is the empty word, v is a *prefix* of x. The prefix v is *strict* if $v \neq x$. When w is empty, v is said to be a *suffix* of x.

We recall some definitions on automata, see [11] and [25] for instance. An automaton over A, $\mathcal{A} = (Q, A, E, I, T)$, is a directed graph labelled by elements of A. The set of vertices, traditionally called states, is denoted by $Q, I \subset Q$ is the set of initial states, $T \subset Q$ is the set of terminal states and $E \subset Q \times A \times Q$ is the set of labelled edges. If $(p, a, q) \in E$, we write $p \stackrel{a}{\to} q$. The automaton is finite if Q is finite. The automaton A is deterministic if E is the graph of a (partial) function from $Q \times A$ into Q, and if there is a unique initial state. A subset E of E is said to be recognizable by a finite automaton if there exists a finite automaton E such that E is equal to the set of labels of paths starting in an initial state and ending in a terminal state.

Let A and A' be two alphabets. A transducer is an automaton $\mathcal{T}=(Q,A^*\times A'^*,E,I,T)$ where the edges of E are labelled by couples in $A^*\times A'^*$. It is said to be finite if the set Q of states and the set E of edges are finite. If $(p,(u,v),q)\in E$, we write $p\xrightarrow{u|v}q$. In this paper we consider letter-to-letter transducers, where the edges are labelled by elements of $A\times A'$. The input automaton of such a transducer is obtained by taking the projection of edges on the first component.

3 The general case

In this section, we prove the following result.

Theorem 31 If β is a Pisot number satisfying (D') and c is an integer with $c \geq B - 1$, then one can construct a finite automaton recognizing the set of β -expansions of minimal weight in $\{-c, \ldots, c\}^*$.

We begin with a combinatorial result which shows that Condition (D') is necessary and sufficient when we want to have a finite alphabet such that every class of words (with respect to \sim_{β}) contains a β -expansion of minimal weight with digits in this alphabet. Note that β can be an arbitrary complex number for the following proposition.

Proposition 32 Let β satisfy Condition (D') with $B \geq 2$. Then for every $x \in \mathbb{Z}^*$ there exists some $y \in \{1 - B, \dots, B - 1\}^*$ with $x \sim_{\beta} y$ and $||y|| \leq ||x||$.

If β does not satisfy Condition (D'), then for every $B \in \mathbb{Z}$ the set of β -expansions of minimal weight x with $x \sim_{\beta} B$ is 0^*B0^* .

Proof The second statement is an immediate consequence of the definition of (D').

The proof of the first statement is similar to the proof of Theorem 4 in [2]. If $x = x_1x_2\cdots x_n \in$ $\{1-B,\ldots,B-1\}^*$, then there is nothing to do. Otherwise, we use Condition (D'): there exists some word $b = b_{-k} \cdots b_d \in \{1 - B, \dots, B - 1\}^*$ such that $b_{-k} \cdots b_{-1}(b_0 - B)b_1 \cdots b_d \sim_{\beta} 0$ and $||b|| \leq B$. Set $x_j^{(0)} = x_j$ for $1 \leq j \leq n$, $x_j^{(0)} = 0$ for $j \leq 0$ and j > n, $b_j = 0$ for j < -k and j > d. Define, recursively for $i \geq 0$, $h_i = \max\{j \in \mathbb{Z} : |x_j^{(i)}| \geq B\}$,

$$x_{h_i}^{(i+1)} = x_{h_i}^{(i)} + \operatorname{sgn}(x_{h_i}^{(i)})(b_0 - B), \ x_{h_i+j}^{(i+1)} = x_{h_i+j}^{(i)} + \operatorname{sgn}(x_{h_i}^{(i)})b_j \text{ for } j \neq 0,$$

as long as h_i exists. Then we have $\sum_{j\in\mathbb{Z}}|x_j^{(0)}|=\|x\|, \sum_{j\in\mathbb{Z}}x_j^{(i+1)}\beta^{-j}=\sum_{i\in\mathbb{Z}}x_i^{(i)}\beta^{-j}$ and

$$\sum_{j \in \mathbb{Z}} |x_j^{(i+1)}| = |x_{h_i}^{(i+1)}| + \sum_{j \neq 0} |x_{h_i+j}^{(i+1)}| \le |x_{h_i}^{(i)}| + |b_0| - B + \sum_{j \neq 0} (|x_{h_i+j}^{(i)}| + |b_j|) \le \sum_{j \in \mathbb{Z}} |x_j^{(i)}|.$$

If h_i does not exist, then we have $|x_j^{(i)}| < B$ for all $j \in \mathbb{Z}$, and the sequence $(x_j^{(i)})_{j \in \mathbb{Z}}$ without the leading and trailing zeros provides a word $y \in \{1 - B, \dots, B - 1\}^*$ with the desired properties. Since ||x|| is finite, we have $\sum_{j \in \mathbb{Z}} |x_j^{(i+1)}| < \sum_{j \in \mathbb{Z}} |x_j^{(i)}|$ only for finitely many $i \geq 0$. In particular, the algorithm terminates after at most ||x|| - B + 1 steps if ||b|| < B. If ||b|| = B and $\sum_{j\in\mathbb{Z}}|x_j^{(i+1)}|=\sum_{j\in\mathbb{Z}}|x_j^{(i)}|$, then we have

$$\sum_{j=-\infty}^{h_i-1} |x_j^{(i+1)}| = \sum_{j=-\infty}^{h_i-1} |x_j^{(i)}| + \sum_{j=1}^k |b_{-j}| \text{ and } \sum_{j=h_i+1}^{\infty} |x_j^{(i+1)}| = \sum_{j=h_i+1}^{\infty} |x_j^{(i)}| + \sum_{j=1}^d |b_j|.$$

If we have a subsequence $(h_{i_j})_{1 \leq j \leq J}$ such that $h_{i_j} \leq h_m$ for all j, m with $i_j < m \leq i_J$, then we have therefore $\sum_{j=-\infty}^{h_{i_J}-1} |x_j^{(i_J+1)}| \ge J \sum_{j=1}^k |b_{-j}|$, hence J is bounded if $\sum_{j=1}^k |b_{-j}| > 0$. Similarly, the length of subsequences $(h_{i_j})_{1 \le j \le J}$ such that $h_{i_j} \ge h_m$ for all j, m with $i_j < m \le i_J$ is bounded if $\sum_{j=1}^{d} |b_j| > 0$. Since $h_{i+1} \leq h_i + d$, no infinite sequence $(h_i)_{i \geq 0}$ can exist in this case and the algorithm terminates.

algorithm terminates. It remains to consider the case that $\sum_{j=1}^{k} |b_{-j}| = 0$ or $\sum_{j=1}^{d} |b_{j}| = 0$. Assume, w.l.o.g., $\sum_{j=1}^{d} |b_{j}| = 0$. Then we have $h_{i+1} \leq h_{i}$ since $x_{h_{i}+j}^{(i+1)} = x_{h_{i}+j}^{(i)}$ for j > 0. If h_{i} exists for all $i \geq 0$, then both $\sum_{j=0}^{k} |x_{h_{i}-j}^{(i)}|$ and $\sum_{j=1}^{\infty} |x_{h_{i}+j}^{(i)}|$ must be eventually constant. Therefore we must have some i, i' with $h_{i'} < h_{i}$ such that $x_{h_{i'}-k}^{(i')} \cdots x_{h_{i'}}^{(i')} = x_{h_{i}-k}^{(i)} \cdots x_{h_{i}}^{(i)}$ and $x_{h_{i'}+1}^{(i')} x_{h_{i'}+2}^{(i')} \cdots = 0$. Since $\sum_{j=0}^{k} |x_{h_{i}-j}^{(i)}| > 0$, this implies $\beta^{h_{i}-h_{i'}} = 1$. In this case, it is easy to see that each $x \in \mathbb{Z}^*$ can be transformed into some $y \in \{-1,0,1\}^*$ with $y \sim_{\beta} x$ and ||y|| = ||x||, and the proposition is proved. and the proposition is proved. \Box

In order to understand the relation \sim_{β} on $\{-c,\ldots,c\}^*$, we have to consider the set

$$Z_{\beta}(2c) = \left\{ z_1 \cdots z_n \in \{-2c, \dots, 2c\}^* \mid n \ge 0, \sum_{j=1}^n z_j \beta^{-j} = 0 \right\}.$$

We recall a result from [12]. All the automata considered in this paper process words from left to right, that is to say, most significant digit first.

Theorem 33 If β is a Pisot number, then the set $Z_{\beta}(2c)$ is recognized by a finite automaton.

For convenience, we quickly explain the construction of the automaton $\mathcal{A}_{\beta}(2c)$ which recognizes $Z_{\beta}(2c)$. The states of $\mathcal{A}_{\beta}(2c)$ are 0 and all $s \in \mathbb{Z}[\beta] \cap (\frac{-2c}{\beta-1}, \frac{2c}{\beta-1})$ which are accessible from 0 by paths consisting of transitions $s \stackrel{e}{\to} s'$ with $e \in \{-2c, \dots, 2c\}$ such that $s' = \beta s + e$. The state 0 is both initial and terminal. When β is a Pisot number, then the set of states is finite. Note that the automaton $\mathcal{A}_{\beta}(2c)$ is symmetric, meaning that if $s \stackrel{e}{\to} s'$ is a transition, then $-s \stackrel{-e}{\to} -s'$ is also a transition. The automaton $\mathcal{A}_{\beta}(2c)$ is accessible and co-accessible.

The redundancy automaton (or transducer) $\mathcal{R}_{\beta}(c)$ is similar to $\mathcal{A}_{\beta}(2c)$. Each transition $s \xrightarrow{e} s'$ of $\mathcal{A}_{\beta}(2c)$ is replaced in $\mathcal{R}_{\beta}(c)$ by a set of transitions $s \xrightarrow{a|b} s'$, with $a, b \in \{-c, \ldots, c\}$ and a-b=e. From Theorem 33, one obtains the following proposition.

Proposition 34 The redundancy transducer $\mathcal{R}_{\beta}(c)$ recognizes the set

$$\{(x_1\cdots x_n,y_1\cdots y_n)\in A^*\times A^*\mid n\geq 0, \ x_1\cdots x_n=y_1\cdots y_n\},$$

where $A = \{-c, ..., c\}$. If β is a Pisot number, then $\mathcal{R}_{\beta}(c)$ is finite.

From the redundancy transducer $\mathcal{R}_{\beta}(c)$, one constructs another transducer $\mathcal{T}_{\beta}(c)$ with states of the form (s, δ) , where s is a state of $\mathcal{R}_{\beta}(c)$ and $\delta \in \mathbb{Z}$. Transitions are of the form $(s, \delta) \xrightarrow{a|b} (s', \delta')$ if $s \xrightarrow{a|b} s'$ is a transition in $\mathcal{R}_{\beta}(c)$ and $\delta' = \delta + |b| - |a|$. The initial state is (0, 0), and terminal states are of the form $(0, \delta)$ with $\delta < 0$. Of course, this transducer $\mathcal{T}_{\beta}(c)$ is not finite.

Proposition 35 The transducer $\mathcal{T}_{\beta}(c)$ recognizes the set

$$\{(x_1 \cdots x_n, y_1 \cdots y_n) \in A^* \times A^* \mid n \ge 0, \ .x_1 \cdots x_n = .y_1 \cdots y_n \ and \ \|y_1 \cdots y_n\| < \|x_1 \cdots x_n\| \}.$$

For the proof of Theorem 31, we use the following general construction.

Lemma 36 Let $H \subset A^*$ and $M = A^* \setminus A^*HA^*$. If H is recognized by a finite automaton, then so is M.

Proof Suppose that H is recognized by a finite automaton \mathcal{H} . Let P be the set of strict prefixes of H. We construct the minimal automaton \mathcal{M} of M as follows. The set of states of \mathcal{M} is the quotient $P/_{\equiv}$ where $p \equiv q$ if p and q arrive at the same set of states in \mathcal{H} . Since \mathcal{H} is finite, $P/_{\equiv}$ is finite. Transitions are defined as follows. Let a be in A. There is a transition $p \stackrel{a}{\to} q$ if pa is in P and $q = [pa]_{\equiv}$, or if pa is not in P, p = uv with v in P maximal in length, and $q = [v]_{\equiv}$. Every state is terminal. \square

Proof (of Theorem 31) Let $A = \{-c, \ldots, c\}$, $x \in A^*$ be a strictly β -heavy word and $y \in A^*$ be a β -expansion of minimal weight with $x \sim_{\beta} y$. Such a y exists because of Proposition 32. Extend x, y to words x', y' by adding leading and trailing zeros such that $x' = x_1 \cdots x_n$, $y' = y_1 \cdots y_n$ and $x_1 \cdots x_n = y_1 \cdots y_n$. Then there is a path in the transducer $\mathcal{T}_{\beta}(c)$ composed of transitions $(s_{j-1}, \delta_{j-1})^{\frac{x_j|y_j}{2}} (s_j, \delta_j)$, $1 \leq j \leq n$, with $s_0 = 0$, $s_n = 0$, $s_n = 0$, $s_n < 0$.

We determine bounds for δ_j , $1 \leq j \leq n$, which depend only on the state $s = s_j$. Choose a β -expansion of s, $s = a_1 \cdots a_i \cdot a_{i+1} \cdots a_m$, and set $w_s = \|a_1 \cdots a_m\|$. If $\delta_j > w_s$, then we have $\|y_1 \cdots y_j\| > \|x_1 \cdots x_j\| + w_s$. Since $s_j = (x_1 - y_1) \cdots (x_j - y_j)$, the digitwise subtraction of $0^{\max(i-j,0)} x_1 \cdots x_j 0^{m-i}$ and $0^{\max(j-i,0)} a_1 \cdots a_m$ provides a word which is β -lighter than $y_1 \cdots y_j$, which contradicts the assumption that y is a β -expansion of minimal weight.

Let $W = \max\{w_s \mid s \text{ is a state in } \mathcal{A}_{\beta}(2c)\}$. If $\delta_j < -W - c$, then let $h \leq j$ be such that $x_h \neq 0$, $x_i = 0$ for $h < i \leq j$. Since $|x_h| \leq c$, we have $\delta_{h-1} \leq \delta_j + c < -W \leq -w_{s_{h-1}}$, hence $||x_1 \cdots x_{h-1}|| > ||y_1 \cdots y_{h-1}|| + w_{s_{h-1}}$. Let $a_1 \cdots a_m$ be the word which was used for the definition of $w_{s_{h-1}}$, i.e., $s_{h-1} = a_1 \cdots a_i.a_{i+1} \cdots a_m$, $w_{s_{h-1}} = ||a_1 \cdots a_m||$. Then the digitwise addition of $0^{\max(i-h+1,0)}y_1 \cdots y_{h-1}0^{m-i}$ and $0^{\max(h-1-i,0)}a_1 \cdots a_m$ provides a word which is β -lighter than $x_1 \cdots x_{h-1}$. Since $x_h \neq 0$, this contradicts the assumption that $x_h \in \mathbb{R}$ is strictly β -heavy.

Let $S_{\beta}(c)$ be the restriction of $T_{\beta}(c)$ to the states (s, δ) with $-W - c \leq \delta \leq w_s$ with some additional initial and terminal states: Every state which can be reached from (0,0) by a path with input in 0^* is initial, and every state with a path to $(0, \delta)$, $\delta < 0$, with an input in 0^* is terminal. Then the set H which is recognized by the input automaton of $S_{\beta}(c)$ consists only of β -heavy words and contains all strictly β -heavy words in A^* . Therefore the set M given by Lemma 36 is the set of β -expansions of minimal weight in A^* . \square

4 The Golden Ratio case

In this section we give explicit constructions for the case where β is the Golden Ratio $\frac{1+\sqrt{5}}{2}$. We have $1 = .110^{\omega}$, hence the condition of the example in the Introduction is satisfied and B = 2. The digit -1 will be written as $\bar{1}$ in words and transitions.

4.1 β -expansions of minimal weight for $\beta = \frac{1+\sqrt{5}}{2}$

Lemma 41 All words in $\{-1,0,1\}^*$ which are not recognized by the automaton \mathcal{M}_{β} in Figure 1 (where all states are terminal) are β -heavy.

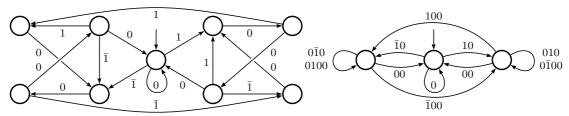


Fig. 1 Left: automaton \mathcal{M}_{β} of β -expansions of minimal weight, $\beta = \frac{1+\sqrt{5}}{2}$; right: compact representation of \mathcal{M}_{β} .

Proof The transducer in Figure 2 is a part of $S_{\beta}(1)$, which is constructed in the proof of Theorem 31. The set of inputs of paths accepted by it is

$$H = 1(0100)^*1 \cup 1(0100)^*0101 \cup 1(00\bar{1}0)^*\bar{1} \cup 1(00\bar{1}0)^*0\bar{1} \\ \cup \bar{1}(0\bar{1}00)^*\bar{1} \cup \bar{1}(0\bar{1}00)^*0\bar{1}0\bar{1} \cup \bar{1}(0010)^*1 \cup \bar{1}(0010)^*01$$

and \mathcal{M}_{β} is constructed as in the proof of Lemma 36. \square

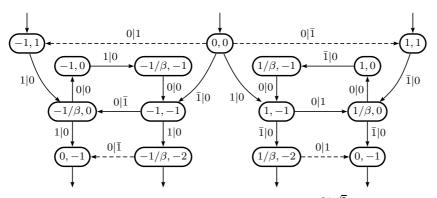


Fig. 2 Transducer with strictly β -heavy words as inputs, $\beta = \frac{1+\sqrt{5}}{2}$.

Proposition 42 If $\beta = \frac{1+\sqrt{5}}{2}$, then every $z \in \mathbb{R}$ has a β -expansion $z = y_1 \cdots y_k \cdot y_{k+1} y_{k+2} \cdots$ with $y_j \in \{-1,0,1\}$ such that $y_1 y_2 \cdots$ avoids the set $X = \{11,101,1001,1\overline{1},10\overline{1},$ and their opposites $\}$. If $z \in \mathbb{Z}[\beta] = \mathbb{Z}[\beta^{-1}]$, then this expansion is unique up to leading zeros.

Proof We determine this β -expansion similarly to the greedy β -expansion in the Introduction. Note that the sequence $x_1x_2\cdots$ avoiding the elements of X with maximal value $x_1x_2\cdots$ is $(1000)^{\omega}$, $\cdot (1000)^{\omega} = \beta^2/(\beta^2+1)$. Consider first $z \in \left[\frac{-\beta^2}{\beta^2+1}, \frac{\beta^2}{\beta^2+1}\right]$. If we define the transformation

$$\tau:\ \left[\frac{-\beta^2}{\beta^2+1},\frac{\beta^2}{\beta^2+1}\right) \to \left[\frac{-\beta^2}{\beta^2+1},\frac{\beta^2}{\beta^2+1}\right), \qquad \tau(z) = \beta z - \left\lfloor\frac{\beta^2+1}{2\beta}z + 1/2\right\rfloor,$$

and set $y_j = \left\lfloor \frac{\beta^2+1}{2\beta} \tau^{j-1}(z) + 1/2 \right\rfloor$ for $j \geq 1$, then we have $z = \cdot y_1 y_2 \cdots$. If $y_j = 1$ for some $j \geq 1$, then we have $\tau^j(z) \in \beta \times \left\lfloor \frac{\beta}{\beta^2+1}, \frac{\beta^2}{\beta^2+1} \right\rfloor - 1 = \left\lfloor \frac{-1}{\beta^2+1}, \frac{1/\beta}{\beta^2+1} \right\rfloor$, hence $y_{j+1} = 0$, $y_{j+2} = 0$, and $\tau^{j+2}(z) \in \left\lfloor \frac{-\beta^2}{\beta^2+1}, \frac{\beta}{\beta^2+1} \right\rfloor$, hence $y_{j+3} \in \{\bar{1}, 0\}$. This shows that the given factors are avoided. A similar argument for $y_j = -1$ shows that the opposites are avoided as well, hence we have shown the existence of the expansion for $z \in \left\lfloor \frac{-\beta^2}{\beta^2+1}, \frac{\beta^2}{\beta^2+1} \right\rfloor$. For arbitrary $z \in \mathbb{R}$, the expansion is given by shifting the expansion of $z\beta^{-k}$, $k \geq 0$, to the left.

If we choose $y_j = 0$ in case $\tau^{j-1}(z) > \beta/(\beta^2+1) = \cdot (0100)^\omega$, then it is impossible to avoid the

If we choose $y_j = 0$ in case $\tau^{j-1}(z) > \beta/(\beta^2 + 1) = \cdot (0100)^{\omega}$, then it is impossible to avoid the factors 11, 101 and 1001 in the following. If we choose $y_j = 1$ in case $\tau^{j-1}(z) < \beta/(\beta^2 + 1)$, then $\beta \tau^{j-1}(z) - 1 < -1/(\beta^2 + 1) = \cdot (00\bar{1}0)^{\omega}$, and thus it is impossible to avoid the factors $1\bar{1}$, $10\bar{1}$, $1\bar{1}$, $10\bar{1}$ and $100\bar{1}$. Since $\beta/(\beta^2 + 1) \notin \mathbb{Z}[\beta]$, we have $\tau^{j-1}(z) \neq \beta/(\beta^2 + 1)$ for $z \in \mathbb{Z}[\beta]$. Similar relations hold for the opposites, thus the expansion is unique. \square

Remark Similarly, the transformation $\tau(z) = \beta z - \lfloor z + 1/2 \rfloor$ on $[-\beta/2, \beta/2)$ provides for every $z \in \mathbb{Z}[\beta]$ a unique expansion avoiding the factors 11, 101, $1\overline{1}$, $10\overline{1}$, $100\overline{1}$ and their opposites.

Lemma 43 Let $x = x_1 \cdots x_n$ be accepted by \mathcal{M}_{β} and $x_1 \cdots x_n = y_1 \cdots y_k \cdot y_{k+1} y_{k+2} \cdots$ with $y = y_1 y_2 \cdots$ avoiding the set X of Proposition 42. Then we have ||x|| = ||y||. In particular, y is finite, i.e., 0^{ω} is a suffix of y.

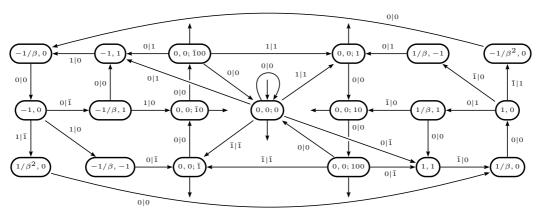


Fig. 3 Transducer \mathcal{N}_{β} normalizing β -expansions of minimal weight, $\beta = \frac{1+\sqrt{5}}{2}$.

Proof We show that the conversion of an arbitrary expansion accepted by \mathcal{M}_{β} into the expansion avoiding X is done by the transducer \mathcal{N}_{β} in Figure 3. Set

$$\begin{aligned} Q_0 &= Q_0' = \{(0,0;0),\, (-1,1),\, (1,1)\}, \\ Q_1 &= \{(0,0;1),\, (-1/\beta,0)\}, \quad Q_1' = \{(0,0;\bar{1}0)\}, \\ Q_{10} &= \{(0,0;10),\, (-1,0)\}, \quad Q_{10}' = \{(0,0;\bar{1}00)\}, \\ Q_{100} &= \{(0,0;100),\, (-1/\beta,1)\}, \quad Q_{100}' = \{(0,0;0),\, (-1,1)\}, \\ Q_{101} &= \{(-1/\beta,-1),\, (1/\beta^2,0)\}, \quad Q_{101}' = \{(0,0;1)\}. \end{aligned}$$

Then the paths in \mathcal{N}_{β} with input in 00^* lead to the three states in Q_0 , the paths with input 01 lead to the two states in Q_1 , and more generally the paths in \mathcal{N}_{β} with input 0x such that x is accepted by \mathcal{M}_{β} lead to all states in Q_u or to all states in Q'_u , where u labels the shortest path in \mathcal{M}_{β} leading to the state reached by x. Moreover Q_u, Q'_u are given by symmetry if they are not in the above list. Indeed, if $u \stackrel{a}{\to} v$ is a transition in \mathcal{M}_{β} , then we have $Q_u \stackrel{a}{\to} Q_v$ or $Q_u \stackrel{a}{\to} Q'_v$, and $Q'_u \stackrel{a}{\to} Q_v$ or $Q'_u \stackrel{a}{\to} Q'_v$, where $Q \stackrel{a}{\to} R$ means that for every $r \in R$ there exists a transition $q \stackrel{a|b}{\to} r$ in \mathcal{N}_{β} with $q \in Q$.

Since every Q_u and every Q_u' contains a state q with a transition of the form $q \xrightarrow{0|b} (0,0;w)$, there exists a path with input 0x0 going from (0,0;0) to (0,0;w) for every word x accepted by \mathcal{M}_{β} . Let y' be the output of this path. By construction, we have $x \sim_{\beta} y'$ and ||x|| = ||y'||. It can be easily checked that all outputs of \mathcal{N}_{β} contain none of the elements of X given in Proposition 42. Therefore we have $y \in 0^* y' 0^{\omega}$. \square

By Lemmas 41 and 43, we obtain for every $w \in \{-1,0,1\}^*$ that all x with $x \sim_{\beta} w$ are either β -heavy or ||x|| = ||y||, where y is given by Proposition 42 (with z = w). Therefore ||y|| is the minimal weight of these words and we obtain the following theorem.

Theorem 44 If $\beta = \frac{1+\sqrt{5}}{2}$, then the set of β -expansions of minimal weight in $\{-1,0,1\}^*$ is recognized by the finite automaton \mathcal{M}_{β} of Figure 1 where all states are terminal.

4.2 Branching transformation

All β -expansions of minimal weight can be obtained by a branching transformation.

Theorem 45 For $\beta = \frac{1+\sqrt{5}}{2}$, all β -expansions of minimal weight of $z \in \mathbb{Z}[\beta] \cap [\frac{-2\beta}{\beta^2+1}, \frac{2\beta}{\beta^2+1})$ are given by $z = x_1 x_2 \cdots$, where the x_j are recursively determined by

$$x_{j+1} = \begin{cases} 1 & \text{if } \frac{2}{\beta^2 + 1} < \beta^j z - x_1 \cdots x_j \cdot < \frac{2\beta}{\beta^2 + 1}, \\ 0 & \text{or } 1 & \text{if } \frac{\beta}{\beta^2 + 1} < \beta^j z - x_1 \cdots x_j \cdot < \frac{2}{\beta^2 + 1}, \\ 0 & \text{if } \frac{-\beta}{\beta^2 + 1} < \beta^j z - x_1 \cdots x_j \cdot < \frac{\beta}{\beta^2 + 1}, \\ -1 & \text{or } 0 & \text{if } \frac{-2}{\beta^2 + 1} < \beta^j z - x_1 \cdots x_j \cdot < \frac{-\beta}{\beta^2 + 1}, \\ -1 & \text{if } \frac{-2\beta}{\beta^2 + 1} < \beta^j z - x_1 \cdots x_j \cdot < \frac{-2}{\beta^2 + 1}. \end{cases}$$

The sequence $(\beta^j z - x_1 \cdots x_j \cdot)_{j \geq 0}$ is a trajectory $(\tau^j(z))_{j \geq 0}$, where the branching transformation $\tau : z \mapsto \beta z - x_1$ with $x_1 \in \{-1, 0, 1\}$ is given in Figure 4.

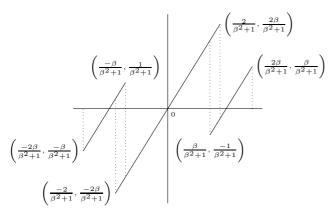


Fig. 4 Branching transformation τ providing all β -expansions of minimal weight, $\beta = \frac{1+\sqrt{5}}{2}$.

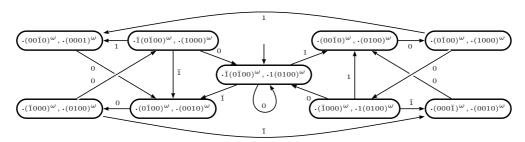


Fig. 5 Automaton \mathcal{M}_{β} with intervals as labels

Proof The proof mainly consists in labelling the states of the automaton in Figure 1 with the possible intervals for $\tau^{j}(z)$ if $x_1 \cdots x_j$ labels a path leading to this state, see Figure 5.

Recall that $.(0010)^{\omega} = \frac{1}{\beta^2+1}$ and thus $.1(0100)^{\omega} = \frac{2\beta}{\beta^2+1}$. Therefore all words $x_1 \cdots x_n$ corresponding to trajectories $(\tau^j(z))_{0 \le j \le n}$ are accepted by the automaton \mathcal{M}_{β} and are thus β -expansions of minimal weight. Similarly to the proof of Proposition 42, it is easy to see that choosing $x_{j+1} = 0$ if $|\beta^j z - x_1 \cdots x_j| > \frac{2}{\beta^2+1}$ or $x_{j+1} \ne 0$ if $|\beta^j z - x_1 \cdots x_j| < \frac{\beta}{\beta^2+1}$ implies that all possible continuations $x_{j+1}x_{j+2}\cdots$ are β -heavy. \square

4.3 Fibonacci numeration system

The reader is referred to [18, Chapter 7] for definitions on numeration systems defined by a sequence of integers. Recall that the linear numeration system canonically associated with the Golden Ratio is the Fibonacci (or Zeckendorf) numeration system defined by the sequence of Fibonacci numbers $F = (F_n)_{n \geq 0}$ with $F_n = F_{n-1} + F_{n-2}$, $F_0 = 1$ and $F_1 = 2$. Any non-negative integer $N < F_n$ can be represented as $N = \sum_{j=1}^n x_j F_{n-j}$ with the property that $x_1 \cdots x_n \in \{0,1\}^*$ does not contain the factor 11. For words $x = x_1 \cdots x_n \in \mathbb{Z}^*$, $y = y_1 \cdots y_m \in \mathbb{Z}^*$, we define a relation

$$x \sim_F y$$
 if and only if $\sum_{j=1}^n x_j F_{n-j} = \sum_{j=1}^m y_j F_{m-j}$.

The properties F-heavy and F-expansion of minimal weight are defined as for β -expansions, with \sim_F instead of \sim_β . An important difference between the notions F-heavy and β -heavy is that a word containing a F-heavy factor need not be F-heavy, e.g. 2 is F-heavy since $2 \sim_F 10$, but 20 is not F-heavy. However, uxv is F-heavy if $x0^{\operatorname{length}(v)}$ is F-heavy. Therefore we say that $x \in \mathbb{Z}^*$ is $\operatorname{strongly} F$ -heavy if every element in $x0^*$ is F-heavy. Hence every word containing a strongly F-heavy factor is F-heavy.

The Golden Ratio satisfies (D') since 2=10.01. For the Fibonacci numbers, we have $2F_n=F_{n+1}+F_{n-2}$ and thus $20^n\sim_F 10010^{n-2}$ for all $n\geq 2$. Since $20\sim_F 101$ and $2\sim_F 10$, we obtain similarly to the proof of Proposition 32 that for every $x\in\mathbb{Z}^*$ there exists some $y\in\{-1,0,1\}^*$ with $x\sim_F y$ and $\|y\|\leq \|x\|$. We will show the following theorem.

Theorem 46 The set of F-expansions of minimal weight in $\{-1,0,1\}^*$ is equal to the set of β -expansions of minimal weight in $\{-1,0,1\}^*$ for $\beta = \frac{\sqrt{5}+1}{2}$.

The proof of this theorem runs along the same lines as the proof of Theorem 44. We use the unique expansion of integers given by Proposition 47 (due to Heuberger [15]) and provide an alternative proof of Heuberger's result that these expansions are F-expansions of minimal weight.

Proposition 47 ([15]) Every $N \in \mathbb{Z}$ has a unique representation $N = \sum_{j=1}^{n} y_j F_{n-j}$ with $y_1 \neq 0$ and $y_1 \cdots y_n \in \{-1, 0, 1\}^*$ avoiding $X = \{11, 101, 1001, 1\overline{1}, 10\overline{1}, \text{ and their opposites}\}.$

Proof Let g_n be the smallest positive integer with an expansion of length n starting with 1 and avoiding X, and G_n be the largest integer of this kind. Then the expansion of g_{n+1} is $1(00\bar{1}0)^{n/4}$ and the expansion of G_n is $(1000)^{n/4}$. (A fractional power $(y_1\cdots y_k)^{j/k}$ denotes the word $(y_1\cdots y_k)^{\lfloor j/k\rfloor}y_1\cdots y_{j-\lfloor j/k\rfloor k}$.) Since $1(\bar{1}0\bar{1}0)^{n/4}\sim_F 1$, we obtain $g_{n+1}-G_n=1$. Therefore the length n of an expansion $y_1y_2\cdots y_n$ of $N\neq 0$ with $y_1\neq 0$ avoiding X is determined by $G_{n-1}<|N|\leq G_n$. Since $g_n-F_{n-1}=-G_{n-3}$ and $G_n-F_{n-1}=G_{n-4}$, we have $-G_{n-3}\leq N-F_{n-1}\leq G_{n-4}$ if $y_1=1$, hence $y_2=y_3=0$, $y_4\neq 1$, and we obtain recursively that N has a unique expansion avoiding X. \square

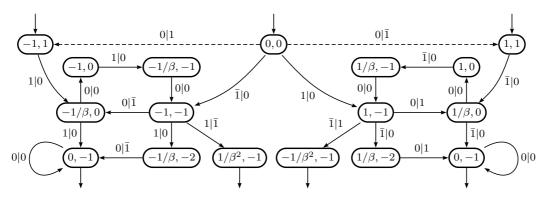


Fig. 6 All inputs of this transducer are strongly F-heavy.

Proof (of Theorem 46) Let $a_1 \cdots a_n \in \mathbb{Z}^*$ and set $z = \sum_{j=1}^n a_j \beta^{n-j}$, $N = \sum_{j=1}^n a_j F_{n-j}$. By using the equations $\beta^k = \beta^{k-1} + \beta^{k-2}$ and $F_k = F_{k-1} + F_{k-2}$, we obtain integers m_0 and m_1 such that $z = m_1 \beta + m_0$ and $N = m_1 F_1 + m_0 F_0 = 2m_1 + m_0$. Clearly, z = 0 implies $m_1 = m_0 = 0$ and thus N = 0, but the converse is not true: N = 0 only implies $m_0 = -2m_1$, i.e., $z = -m_1/\beta^2$. Therefore we have $x_1 \cdots x_n \sim_F y_1 \cdots y_n$ if and only if $(x_1 - y_1) \cdots (x_n - y_n) \cdot = m/\beta^2$ for some $m \in \mathbb{Z}$, hence the redundancy transducer $\mathcal{R}_F(1)$ for the Fibonacci numeration system is similar to $\mathcal{R}_{\beta}(1)$, except that all states m/β^2 are terminal.

The automaton in Figure 6 shows that all strictly β -heavy words in $\{-1,0,1\}^*$ are strongly F-heavy. Therefore all words which are not accepted by \mathcal{M}_{β} are F-heavy. Let \mathcal{N}_{F} be as \mathcal{N}_{β} , except that the states $(\pm 1/\beta^2, 0)$ are terminal. Every set Q_u and Q'_u contains a state of the form (0,0;w) or $(\pm 1/\beta^2, 0)$. If x is accepted by \mathcal{N}_{β} , then \mathcal{N}_{F} transforms therefore 0x into a word y avoiding the factors given in Proposition 47. Hence x is an F-expansion of minimal weight. \square

Remark If we consider only expansions avoiding the factors 11, 101, $1\bar{1}$, $10\bar{1}$, $100\bar{1}$, then the difference between the largest integer with expansion of length n and the smallest positive integer with expansion of length n+1 is 2 if n is a positive multiple of 3. Therefore there exist integers without an expansion of this kind, e.g. N=4. However, a small modification provides another "nice" set of F-expansions of minimal weight: Every integer has a unique representation of the form $N=\sum_{j=1}^n y_j F_{n-j}$ with $y_1\neq 0,\ y_1\cdots y_n\in \{\bar{1},0,1\}^*$ avoiding the factors $11,\bar{1}1,\bar{1}01,11,\bar{1}1,101,100\bar{1}$ and $y_{j-2}y_{j-1}y_j=101$ or $y_{j-3}\cdots y_j=\bar{1}001$ only if j=n.

4.4 Weight of the expansions

In this section, we study the average weight of F-expansions of minimal weight. For every $N \in \mathbb{Z}$, let $||N||_F$ be the weight of a corresponding F-expansion of minimal weight, i.e., $||N||_F = ||x||$ if x is an F-expansion of minimal weight with $x \sim_F N$.

Theorem 48 For positive integers M, we have, as $M \to \infty$,

$$\frac{1}{2M+1} \sum_{N=-M}^{M} ||N||_F = \frac{1}{5} \frac{\log M}{\log \frac{1+\sqrt{5}}{2}} + \mathcal{O}(1).$$

Proof Consider first $M = G_n$ for some n > 0, where G_n is defined as in the proof of Proposition 47, and let H_n be the set of words $x = x_1 \cdots x_n \in \{-1, 0, 1\}^n$ avoiding 11, 101, 1001, $1\overline{1}$, $10\overline{1}$, and their opposites. Then we have

$$\frac{1}{2G_n+1} \sum_{N=-G_n}^{G_n} \|N\|_F = \frac{1}{\#H_n} \sum_{x \in H_n} \|x\| = \sum_{j=1}^n \mathbf{E} X_j,$$

where $\mathbf{E} X_i$ is the expected value of the random variable X_i defined by

$$\Pr[X_j = 1] = \frac{\#\{x_1 \cdots x_n \in H_n : x_j \neq 0\}}{\#H_n}, \quad \Pr[X_j = 0] = \frac{\#\{x_1 \cdots x_n \in H_n : x_j = 0\}}{\#H_n}.$$

Instead of $(X_j)_{1 \leq j \leq n}$, we consider the sequence of random variables $(Y_j)_{1 \leq j \leq n}$ defined by

$$\Pr[Y_1 = y_1 y_2 y_3, \dots, Y_j = y_j y_{j+1} y_{j+2}] = \frac{\#\{x_1 \cdots x_{n+2} \in H_n 00 : x_1 \cdots x_{j+2} = y_1 \cdots y_{j+2}\}}{\#H_n},$$

 $\Pr[Y_{j-1} = xyz, Y_j = x'y'z'] = 0$ if $x' \neq y$ or $y' \neq z$. It can be easily verified that $(Y_j)_{1 \leq j \leq n}$ is a Markov chain, where the non-trivial transition probabilities are given by

$$1 - \Pr[Y_{j+1} = 000 \mid Y_j = 100] = \Pr[Y_{j+1} = 00\bar{1} \mid Y_j = 100] = \frac{G_{n-j-2} - G_{n-j-3}}{G_{n-j+1} - G_{n-j}},$$

$$1 - 2\Pr[Y_{j+1} = 001 \mid Y_j = 000] = \Pr[Y_{j+1} = 000 \mid Y_j = 000] = \frac{\#H_{n-j-3}}{\#H_{n-j-2}} = \frac{2G_{n-j-3} + 1}{2G_{n-j-2} + 1},$$

and the opposite relations. Since $G_n = c\beta^n + \mathcal{O}(1)$ (with $\beta = \frac{1+\sqrt{5}}{2}$, $c = \beta^3/5$), the transition probabilities satisfy $\Pr[Y_{j+1} = v \mid Y_j = u] = p_{u,v} + \mathcal{O}(\beta^{-n+j})$ with

$$(p_{u,v})_{u,v\in\{100,010,001,000,00\overline{1},0\overline{1}0,00\overline{1}\}} = \begin{pmatrix} 0 & 0 & 0 & \frac{2}{\beta^2} & \frac{1}{\beta^3} & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{1}{2\beta^2} & \frac{1}{\beta} & \frac{1}{2\beta^2} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & \frac{1}{\beta^3} & \frac{2}{\beta^2} & 0 & 0 & 0 \end{pmatrix}.$$

The eigenvalues of this matrix are $1, \frac{-1}{\beta}, \frac{\pm i}{\beta}, \frac{1\pm i\sqrt{3}}{2\beta}, \frac{-1}{\beta^2}$. The stationary distribution vector (given by the left eigenvector to the eigenvalue 1 of the matrix) is $(\frac{1}{10}, \frac{1}{10}, \frac{1}{10}, \frac{2}{5}, \frac{1}{10}, \frac{1}{10}, \frac{1}{10})$, thus we have

$$\mathbf{E}X_j = \Pr[Y_j = 100] + \Pr[Y_j = \bar{1}00] = 1/5 + \mathcal{O}(\beta^{-\min(j, n-j)}),$$

cf. [9]. This proves the theorem for $M = G_n$.

If $G_n < M \le G_{n+1}$, then we have $||N||_F = 1 + ||N - F_n||_F$ for all $N \in \{G_n + 1, \dots, M\}$, and a similar relation for $N \in \{-M, \dots, -G_n - 1\}$. With $G_n + 1 - F_n = -G_{n-2}$, we obtain inductively

$$\sum_{N=-M}^{M} ||N||_{F} = \sum_{N=-G_{n}}^{G_{n}} ||N||_{F} + \sum_{N=-G_{n-2}}^{M-F_{n}} (1 + ||N||_{F}) + \sum_{N=F_{n}-M}^{G_{n-2}} (1 + ||N||_{F})$$

$$= \sum_{N=-G_{n}}^{G_{n}} ||N||_{F} + \sum_{N=-G_{n-2}}^{G_{n-2}} ||N||_{F} + \operatorname{sgn}(M - F_{n}) \sum_{N=-|M-F_{n}|}^{|M-F_{n}|} ||N||_{F} + \mathcal{O}(M)$$

$$= \frac{2}{5 \log \beta} (F_{n} \log M + (M - F_{n}) \log |M - F_{n}|) + \mathcal{O}(M) = \frac{2M \log M}{5 \log \beta} + \mathcal{O}(M)$$

since $\frac{M-F_n}{M}\log|\frac{M-F_n}{M}|=\mathcal{O}(1)$.

Remarks As in [9], a central limit theorem for the distribution of $||N||_F$ can be proved, even if we restrict N to polynomial sequences or prime numbers.

If we partition the interval $\left[\frac{-\beta^2}{\beta^2+1}, \frac{\beta^2}{\beta^2+1}\right)$, where the transformation $\tau: z \mapsto \beta z - \left\lfloor \frac{\beta^2+1}{2\beta}z + 1/2 \right\rfloor$ of the proof of Proposition 42 is defined, into intervals $I_{\bar{1}00} = \left[\frac{-\beta^2}{\beta^2+1}, \frac{-\beta}{\beta^2+1}\right)$, $I_{0\bar{1}0} = \left[\frac{-\beta}{\beta^2+1}, \frac{-1}{\beta^2+1}\right)$, $I_{00\bar{1}} = \left[\frac{-1}{\beta^2+1}, \frac{1/\beta}{\beta^2+1}\right)$, $I_{000} = \left[\frac{1/\beta}{\beta^2+1}, \frac{1}{\beta^2+1}\right)$, $I_{010} = \left[\frac{1}{\beta^2+1}, \frac{\beta}{\beta^2+1}\right)$, then we have $p_{u,v} = \lambda(\tau(I_u) \cap I_v)/\lambda(\tau(I_u))$ where λ denotes the Lebesgue measure.

5 Tribonacci case

In this section, let $\beta > 1$ be the Tribonacci number, $\beta^3 = \beta^2 + \beta + 1$ ($\beta \approx 1.839$). Since $1 = .1110^{\omega}$, the condition of the example in the Introduction is satisfied. The proofs of the results in this section run along the same lines as in the Golden Ratio case. Therefore we give only an outline of them and point out the differences to the Golden Ratio case.

5.1 β -expansions of minimal weight

All words which are not accepted by the automaton \mathcal{M}_{β} in Figure 7, where all states are terminal, are β -heavy since they contain a factor which is accepted by the input automaton of \mathcal{S}_{β} (without the dashed arrows) in Figure 8.

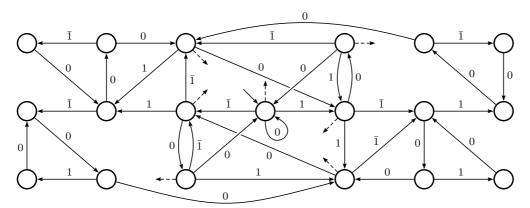


Fig. 7 Automata \mathcal{M}_{β} , $\beta^3 = \beta^2 + \beta + 1$, and \mathcal{M}_T .

Proposition 51 If $\beta > 1$ is the Tribonacci number, then every $z \in \mathbb{R}$ has a β -expansion $z = y_1 \cdots y_k \cdot y_{k+1} y_{k+2} \cdots$ with $y_j \in \{-1,0,1\}$ such that $y_1 y_2 \cdots$ avoids the set $X = \{11,101,1\overline{1},$ and their opposites $\}$. If $z \in \mathbb{Z}[\beta] = \mathbb{Z}[\beta^{-1}]$, then this expansion is unique up to leading zeros.

The expansion in Proposition 51 is provided by the transformation

$$\tau: \ \left[\frac{-\beta}{\beta+1}, \frac{\beta}{\beta+1}\right) \to \left[\frac{-\beta}{\beta+1}, \frac{\beta}{\beta+1}\right), \qquad \tau(z) = \beta z - \left\lfloor \frac{\beta+1}{2}z + \frac{1}{2} \right\rfloor.$$

Note that the word avoiding X with maximal value is $(100)^{\omega}$, $\cdot (100)^{\omega} = \frac{\beta}{\beta+1}$.

Remark The transformation $\tau(z) = \beta z - \left\lfloor \frac{\beta^2 - 1}{2} z + \frac{1}{2} \right\rfloor$ on $\left\lfloor \frac{-\beta}{\beta^2 - 1}, \frac{\beta}{\beta^2 - 1} \right)$ provides a unique expansion avoiding the factors 11, 1 $\bar{1}$, 10 $\bar{1}$ and their opposites.

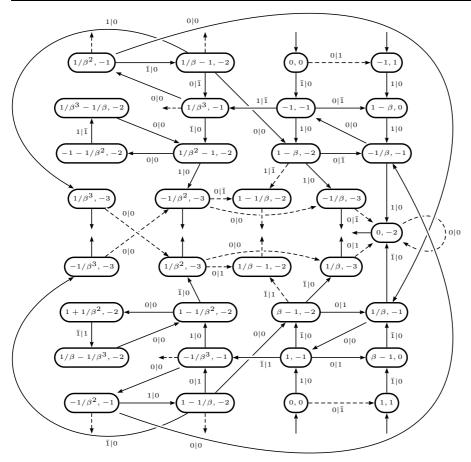


Fig. 8 The relevant part of $S_{\beta}(1)$, $\beta^3 = \beta^2 + \beta + 1$, and $S_T(1)$.

If x is a word accepted by \mathcal{M}_{β} , then there exists a path in the transducer \mathcal{N}_{β} in Figure 9 going from (0,0;0) to a state (0,0;w) with input $0x0^4$ and output of the same weight avoiding the set X given by Proposition 51. The sets Q_u and Q'_u are given by

$$\begin{split} Q_0 &= Q_0' = \{(0,0;0),\,(1,1),\,(-1,1)\}; \; Q_1 = \{(0,0;1),\,(1,-1),\,(1-\beta,0;0)\}, Q_1' = \{(0,0;\bar{1}0),\,(1,-1),\,(1-\beta,0;\bar{1})\}; \\ Q_{10} &= \{(0,0;10),\,(\beta-1,0;1),\,(-1-1/\beta,0),\,(-1/\beta,1)\}, \; Q_{10}' = \{(0,0;0),\,(-1,1),\,(\beta-1,0;1)\}; \\ Q_{11} &= \{(-1/\beta,-1;0),\,(1-1/\beta,0)\}, \; Q_{11}' = \{(0,0;1),\,(1,-1),\,(-1/\beta,-1;1)\}; \; Q_{1\bar{1}} = \{(-1,-1),\,(-1/\beta^3,-1;1)\}, \\ Q_{1\bar{1}}' &= \{(-1/\beta^3,-1;0)\}; \; Q_{1\bar{1}0} = \{(1-\beta,0;\bar{1}),\,(-1/\beta^2,-1;10)\}, \; Q_{1\bar{1}0}' = \{(-1/\beta^2,-1;0)\}; \\ Q_{1\bar{1}01} &= Q_{1\bar{1}01}' = \{(-1/\beta,-1;1),\,(1-1/\beta,-2)\}; \; Q_{1\bar{1}1} = \{(1/\beta^3,-1;\bar{1})\}, Q_{1\bar{1}1}' = \{(1/\beta^2,-1;10)\}, \; Q_{1\bar{1}10\bar{1}}' = \{(1/\beta^2,-1;10)\}, \; Q_{1\bar{1}10\bar{1}}' = \{(1/\beta-1,-2)\}. \end{split}$$

Theorem 52 If β is the Tribonacci number, then the set of β -expansions of minimal weight in $\{-1,0,1\}^*$ is recognized by the finite automaton \mathcal{M}_{β} of Figure 7 where all states are terminal.

5.2 Branching transformation

Contrary to the Golden Ratio case, we cannot obtain all β -expansions of minimal weight by the help of a piecewise linear branching transformation: If $z = .01(001)^n$, then we have no β -expansion of minimal weight of the form $z = .1x_2x_3\cdots$, whereas z' = .0011 has the expansion $.1\overline{1}$, and z' < z. On the other hand, $z = .1(100)^n 11$ has no β -expansion of minimal weight of the form $z = .1x_2x_3\cdots$ (since $1(100)^n 11$ is β -heavy but $(100)^n 11$ is not β -heavy), whereas z' = .1101

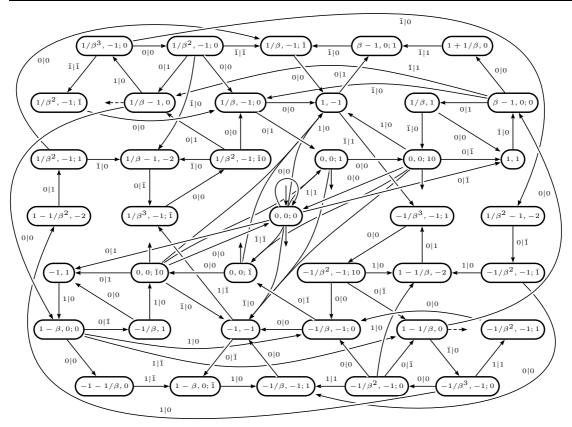


Fig. 9 Transducer \mathcal{N}_{β} normalizing β -expansions of minimal weight, $\beta^3 = \beta^2 + \beta + 1$.

is a β -expansion of minimal weight, and z'>z. Therefore the maximal interval for the digit 1 is $[\cdot(010)^\omega,\cdot 1(100)^\omega]$, with $\cdot(010)^\omega=\frac{\beta}{\beta^3-1}=\frac{1}{\beta+1}$ and $\cdot 1(100)^\omega=\frac{2\beta+1}{\beta(\beta+1)}$. The corresponding branching transformation and the possible expansions are given in Figure 10.

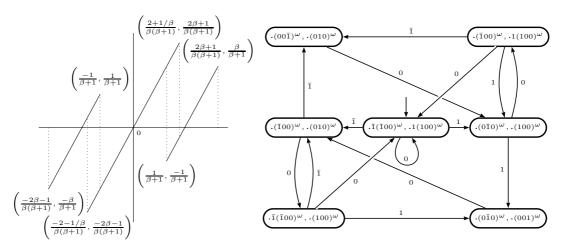


Fig. 10 Branching transformation and corresponding automaton, $\beta^3 = \beta^2 + \beta + 1$.

5.3 Tribonacci numeration system

The linear numeration system canonically associated with the Tribonacci number is the Tribonacci numeration system defined by the sequence $T=(T_n)_{n\geq 0}$ with $T_0=1,\ T_1=2,\ T_2=4,$ and $T_n=T_{n-1}+T_{n-2}+T_{n-3}$ for $n\geq 3$. Any non-negative integer $N< T_n$ has a representation $N=\sum_{j=1}^n x_j T_{n-j}$ with the property that $x_1\cdots x_n\in\{0,1\}^*$ does not contain the factor 111. The relation \sim_T and the properties T-heavy, T-expansion of minimal weight and strongly T-heavy are defined analogously to the Fibonacci numeration system. We have $20^n\sim_T 100010^{n-3}$ for $n\geq 3$, $200\sim_T 1001,\ 20\sim_T 100$ and $2\sim_T 10$, therefore for every $x\in\mathbb{Z}^*$ there exists some $y\in\{-1,0,1\}^*$ with $x\sim_T y$ and $\|y\|\leq \|x\|$. Since the difference of $1(0\bar{1}0)^{n/3}$ and $(100)^{n/3}$ is $1(\bar{1}\bar{1}0)^{n/3}\sim_T 1$, we obtain the following proposition.

Proposition 53 Every $N \in \mathbb{Z}$ has a unique representation $N = \sum_{j=1}^{n} y_j T_{n-j}$ with $y_1 \neq 0$ and $y_1 \cdots y_n \in \{-1, 0, 1\}^*$ avoiding the set $X = \{11, 101, 1\overline{1}, \text{ and their opposites}\}.$

If $z=a_1\cdots a_n$. $=m_2m_1m_0$., then we have $N=\sum_{j=1}^n a_jT_{n-j}=4m_2+2m_1+m_0=0$ if and only if $m_0=2m_0'$ and $m_1=-2m_2-m_0'$, i.e., $z=-m_2/\beta^2+m_0'/\beta^3$, hence all states $s=m/\beta^2+m'/\beta^3$ with some $m,m'\in\mathbb{Z}$ are terminal states in the redundancy transducer $\mathcal{R}_T(1)$. The transducer \mathcal{S}_T , which is given by Figure 8 including the dashed arrows except that the states $(\pm 1/\beta, -3)$ are not terminal, shows that all strictly β -heavy words in $\{-1,0,1\}^*$ are strongly T-heavy, but that some other $x\in\{-1,0,1\}^*$ are T-heavy as well. Thus the T-expansions of minimal weight are a subset of the set recognized by the automaton \mathcal{M}_β in Figure 7. Every set Q_u and Q_u' , $u\in\{0,1,10,11\}$, contains a terminal state (0,0;w) or $(1-1/\beta,0)$, hence the words labelling paths ending in these states are T-expansions of minimal weight. The sets Q_u and Q_u' , $u\in\{1\bar{1},1\bar{1}0,1\bar{1}1,1\bar{1}0,1\bar{1}01\}$, contain states $(\pm 1/\beta^3,-1;w)$, $(\pm 1/\beta^2,-1;w)$, $(\pm (1-1/\beta),-2)$, hence the words labelling paths ending in these states are T-heavy, and we obtain the following theorem.

Theorem 54 The T-expansions of minimal weight in $\{-1,0,1\}^*$ are exactly the words which are accepted by \mathcal{M}_T , which is the automaton in Figure 7 where only the states with a dashed outgoing arrow are terminal. The words given by Proposition 53 are T-expansions of minimal weight.

5.4 Weight of the expansions

Let H_n be the set of words $x = x_1 \cdots x_n \in \{-1, 0, 1\}^n$ avoiding the factors 11, 101, $1\bar{1}$, and their opposites. Then the sequence of random variables $(Y_i)_{1 \le i \le n}$ defined by

$$\Pr[Y_1 = y_1 y_2, \dots, Y_j = y_j y_{j+1}] = \#\{x_1 \cdots x_{n+1} \in H_n 0 : x_1 \cdots x_{j+1} = y_1 \cdots y_{j+1}\} / \# H_n$$

is a Markov chain with transition probabilities $\Pr[Y_{j+1} = v \mid Y_j = u] = p_{u,v} + \mathcal{O}(\beta^{-n+j}),$

$$(p_{u,v})_{u,v\in\{10,01,00,0\bar{1},\bar{1}0\}} = \begin{pmatrix} 0 & 0 & \frac{\beta^2 - 1}{\beta^2} & \frac{1}{\beta^2} & 0\\ 1 & 0 & 0 & 0 & 0\\ 0 & \frac{\beta - 1}{2\beta} & \frac{1}{\beta} & \frac{\beta - 1}{2\beta} & 0\\ 0 & 0 & 0 & 0 & 1\\ 0 & \frac{1}{\beta^2} & \frac{\beta^2 - 1}{\beta^2} & 0 & 0 \end{pmatrix}$$

where β is the Tribonacci number. The eigenvalues of this matrix are $1, \pm \frac{1}{\beta}, \frac{-\beta - 1 \pm i\sqrt{3\beta^3 - \beta}}{2\beta^3}$, and the stationary distribution vector of the Markov chain is $(\frac{\beta^3/2}{\beta^5 + 1}, \frac{\beta^3/2}{\beta^5 + 1})$. We obtain the following theorem (with $\frac{\beta^3}{\beta^5 + 1} = .(0011010100)^{\omega} \approx 0.28219$).

Theorem 55 For positive integers M, we have, as $M \to \infty$,

$$\frac{1}{2M+1} \sum_{N=-M}^{M} ||N||_T = \frac{\beta^3}{\beta^5 + 1} \frac{\log M}{\log \beta} + \mathcal{O}(1).$$

6 Smallest Pisot number

The smallest Pisot number $\beta \approx 1.325$ satisfies $\beta^3 = \beta + 1$. Therefore 1 = .011 = .10001 and 2 = 100.00001 as well as $2 = 1000.000\overline{1}$, hence (D') is satisfied with B = 2.

6.1 β -expansions of minimal weight

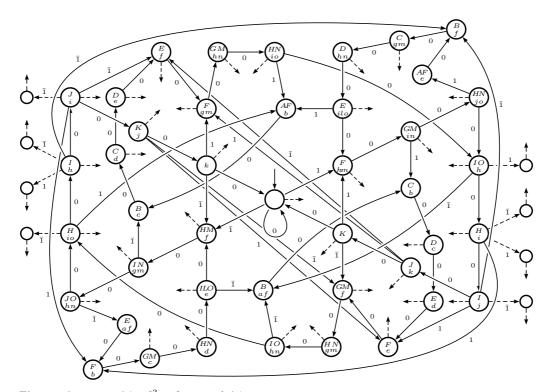


Fig. 11 Automata \mathcal{M}_{β} , $\beta^3 = \beta + 1$, and \mathcal{M}_S .

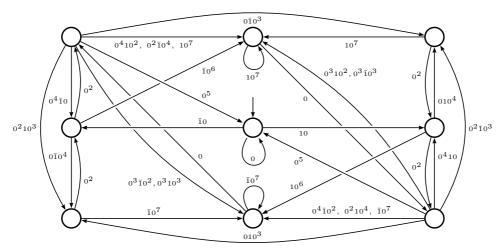


Fig. 12 Compact representation of \mathcal{M}_{β} .

Let \mathcal{M}_{β} be the automaton in Figure 11 without the dashed arrows where all states are terminal and \mathcal{S}_{β} be the automon in Figure 13. To see that all words which are not accepted by \mathcal{M}_{β} are β -heavy, we put labels on its states which stand for sets of states in \mathcal{S}_{β} : A, B, C, D, E, F, G stand for $(1/\beta^5, -1), (1/\beta^4, -1), \ldots, (\beta, -1), H, I, J, K$ stand for $(1/\beta, 0), \ldots, (\beta^2, 0), L, M, N, O$ stand for $(1/\beta^5, 0), \ldots, (1/\beta^2, 0)$, and the lowercase letters stand for the corresponding states (s, δ) with s < 0. If the label of a state u contains z, then all paths leading to u in \mathcal{M}_{β} have a suffix which is the input of a path in \mathcal{S}_{β} leading to z. This implies that the following letter cannot be $\bar{1}$ if the label of u contains one of the states B, C, \ldots, H . If it contains b, c, \ldots, h , then 1 is forbidden.

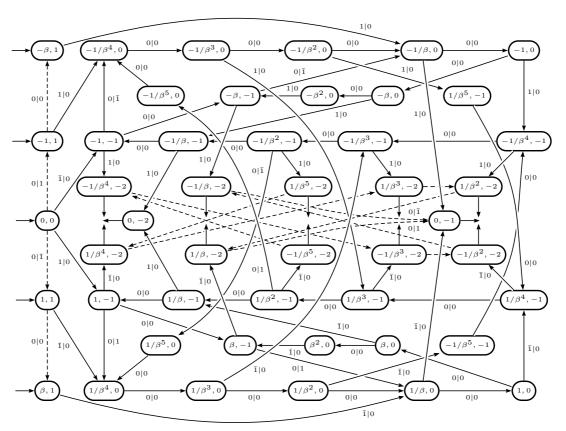


Fig. 13 The relevant part of $S_{\beta}(1)$, $\beta^3 = \beta + 1$.

Proposition 61 If β is the smallest Pisot number, then every $z \in \mathbb{R}$ has a β -expansion $z = y_1 \cdots y_k \cdot y_{k+1} y_{k+2} \cdots$ with $y_j \in \{-1, 0, 1\}$ such that $y_1 y_2 \cdots$ avoids the the set

$$X = \{10^61, 10^k1, 10^k\overline{1}, 0 \le k \le 5, \text{ and their opposites}\}.$$

If $z \in \mathbb{Z}[\beta] = \mathbb{Z}[\beta^{-1}]$, then this expansion is unique up to leading zeros.

The expansion is provided by the transformation

$$\tau:\ \left[\frac{-\beta^3}{\beta^2+1},\frac{\beta^3}{\beta^2+1}\right)\to \left[\frac{-\beta^3}{\beta^2+1},\frac{\beta^3}{\beta^2+1}\right),\qquad \tau(x)=\beta x-\left\lfloor\frac{\beta^2+1}{2\beta^2}x+\frac{1}{2}\right\rfloor$$

since $\tau\left[\frac{\beta^2}{\beta^2+1}, \frac{\beta^3}{\beta^2+1}\right) = \left[\frac{\beta^3}{\beta^2+1} - 1, \frac{\beta^4}{\beta^2+1} - 1\right) = \left[-\frac{1/\beta^3}{\beta^2+1}, \frac{1/\beta^4}{\beta^2+1}\right)$. The word avoiding X with maximal value is $(10^7)^\omega$, $\cdot (10^7)^\omega = \beta^7/(\beta^8-1) = \beta^3/(\beta^2+1)$.

Remark The transformation $\tau(z) = \beta z - \left\lfloor \frac{1}{\beta}z + \frac{1}{2} \right\rfloor$ on $\left[-\frac{\beta^2}{2}, \frac{\beta^2}{2} \right)$ provides a unique expansion avoiding $10^6\overline{1}$ instead of 10^61 .

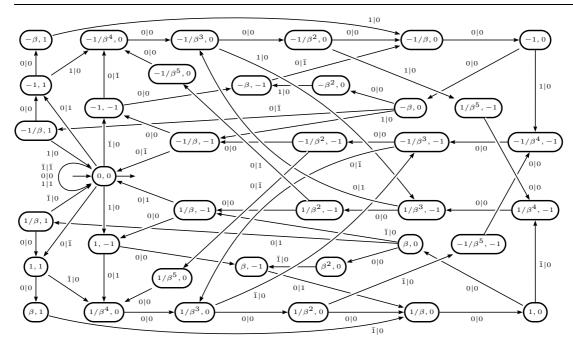


Fig. 14 Transducer \mathcal{N}_{β} normalizing β -expansions of minimal weight, $\beta^3 = \beta + 1$.

If x is a word accepted by \mathcal{M}_{β} , then there exists a path in the transducer \mathcal{N}_{β} in Figure 14 going from (0,0;0) to a state (0,0;w) with input $00x0^5$ and output of the same weight. Since an automaton where all outputs avoiding the factors given by Proposition 61 would be very large, we decided to draw a smaller automaton and to split up the states (s,δ) into states $(s,\delta;w)$ only in the sets Q_u and Q'_u . Since many states in Q_u and Q'_u coincide, we write only the set Q_u with some elements q[q'], which means that $q \in Q \setminus Q'$ and $q' \in Q' \setminus Q$:

```
Q_0 = \{(0,0;0), (1,1;\bar{1}), (-1,1;1), (\beta,1;\bar{1}0), (-\beta,1;10)\};
                                          Q_1 = \{(0,0;1), (1,-1;0), (-1/\beta^4,0;10) [(-1/\beta^4,0;\bar{1}0^2)], (-1/\beta,0;10^2) [(-1/\beta,0;\bar{1}0)]\};
                Q_{10} = \{(0,0;10), (1/\beta^4,0;1), (\beta,-1;0), (-1/\beta^3,0;10^2) [(-1/\beta^3,0;\bar{1}0^3)], (-1,0;10^3) [(-1,0;\bar{1}0^2)] \};
       Q_{100} = \{(0,0;10^2), (1/\beta^3,0;10), (1/\beta,0;1), (-1/\beta^2,0;10^3) [(-1/\beta^2,0;\bar{1}0^4)], (-\beta,0;10^4) [(-\beta,0;\bar{1}0^3)]\};
Q_{10^3} = \{(0,0;10^3),\, (1/\beta^2,0;10^2),\, (1,0;10),\, (-1/\beta,0;10^4)\}; \; Q_{10^4} = \{(0,0;10^4),\, (1/\beta,0;10^3),\, (-1,0;10^5)\}; \; Q_{10^4} = \{(0,0;10^4),\, (1/\beta,0;10^3),\, (1/\beta^2,0;10^4),\, (1/\beta,0;10^4)\}; \; Q_{10^4} = \{(0,0;10^4),\, (1/\beta,0;10^3),\, (1/\beta,0;10^3),\, (-1/\beta,0;10^4)\}; \; Q_{10^4} = \{(0,0;10^4),\, (1/\beta,0;10^4),\, (-1/\beta,0;10^4)\}; \; Q_{10^4} = \{(0,0;10^4),\, (-1/\beta,0;10^4),\, (-1/\beta,0;10^4)\}; \; Q_{10^4} = \{(0,0;10^4),\, (-1/\beta,0;10^4),\, (-1/\beta,0;10^4)\}; \; Q_{10^4} = \{(0,0;10^4),\, (-1/\beta,0;10^4)\}; \; Q_{10^4} = \{(0,0;10
             Q_{10^5} = \{(0,0;10^5),\, (1,0;10^4),\, (-\beta,0;10^6)\};\; Q_{10^6} = \{(0,0;10^6),\, (\beta,0;10^5),\, (-\beta^2,0;0),\, (-1/\beta,1;\bar{1})\};\; Q_{10^5} = \{(0,0;10^5),\, (1,0;10^4),\, (-\beta,0;10^6)\};\; Q_{10^6} = \{(0,0;10^6),\, (\beta,0;10^5),\, (-\beta^2,0;0),\, (-1/\beta,1;\bar{1})\};\; Q_{10^6} = \{(0,0;10^6),\, (\beta,0;10^6),\, (\beta,0;10^6)
                                                                                                     Q_{10^7} = \{(0,0;0), (1,1;\bar{1}), (\beta^2,0;10^6), (-\beta,1;\bar{1}), (-1,1;\bar{1}0)\};
                                                              Q_{101} = \{(1/\beta^3, -1; 10^3) [(1/\beta^3, -1; \bar{1}0^4)], (-1/\beta^4, -1; 10^4) [(-1/\beta^4, -1; \bar{1}0^3)]\};
                                                            Q_{1010} = \{(1/\beta^2, -1; 10^4) [(1/\beta^2, -1; \bar{1}0^5)], (-1/\beta^3, -1; 10^5) [(-1/\beta^3, -1; \bar{1}0^4)]\};
                                                              Q_{10100} = \{(1/\beta, -1; 10^5) [(1/\beta, -1; \bar{1}0^6)], (-1/\beta^2, -1; 10^6) [(-1/\beta^2, -1; \bar{1}0^5)]\};
                                           Q_{1010^3} = \{(1,-1;10^6)\,[(1,-1;0)],\,(-1/\beta,-1;0)\,[(-1/\beta,-1;\bar{1}0^6)],\,(1/\beta^5,0;\bar{1})\,[(0,0;1)]\};
                                                                Q_{1010^4} = \{(\beta, -1; 0), (-1, -1; 0), (0, 0; \bar{1}) [(0, 0; 10)], (1/\beta^4, 0; \bar{1}0) [(1/\beta^4, 0; 1)];
                    Q_{1010^5} = \{(1/\beta, 0; 1), \, (-\beta, -1; 0), \, (-1/\beta^4, 0; \bar{1}), \, (0, 0; \bar{1}0) \, [(0, 0; 10^2)], \, (1/\beta^3, 0; \bar{1}0^2) \, [(1/\beta^3, 0; 10)]\};
               Q_{1010^6} = \{(1,0;10),\, (-1/\beta,0;\bar{1}),\, (-1/\beta^3,0;\bar{1}0),\, (0,0;\bar{1}0^2)\, [(0,0;10^3)],\, (1/\beta^2,0;\bar{1}0^3)\, [(1/\beta^2,0;10^2)]\};
                                                                 Q_{1001} = \{(1/\beta^5, -1; 10^4) [(1/\beta^5, -1; \bar{1}0^5)], (-1/\beta, -1; 10^5) [(-1/\beta, -1; \bar{1}0^4)]\};
                                                                          Q_{10010} = \{(1/\beta^4, -1; 10^5) [(1/\beta^4, -1; \bar{1}0^6)], (-1, -1; 10^6) [(-1, -1; \bar{1}0^5)]\};
                   Q_{100100} = \{(1/\beta^3, -1; 10^6) [(1/\beta^3, -1; 0)], (-\beta, -1; 0) [(-\beta, -1; \bar{1}0^6)], (-1/\beta^4, 0; \bar{1}) [(-1/\beta^2, 0; 1)]\};
                                                     Q_{10010^3} = \{(1/\beta^2, -1; 0), (-1/\beta, 0; \bar{1}) [(-1/\beta, 0; 10)], (-1/\beta^3, 0; \bar{1}0) [(-1/\beta^3, 0; 1)]\};
                            Q_{10010^4} = \{(1/\beta, -1; 0), \, (-1/\beta^5, 0; 1), \, (-1, 0; \bar{1}0) \, [(-1, 0; 10^2)], \, (-1/\beta^2, 0; \bar{1}0^2) \, [(-1/\beta^2, 0; 10)] \}
                                                          Q_{1000\bar{1}} = \{(1/\beta^4, -1; 10^2) [(1/\beta^4, -1; \bar{1}0^3)], (-1/\beta^5, -1; 10^3) [(-1/\beta^5, -1; \bar{1}0^2)]\}.
```

If $u \xrightarrow{a} v$ is a transition in \mathcal{M}_{β} , then we have $Q_u \xrightarrow{a} Q_v$ or $Q_u \xrightarrow{a} Q_v'$, and $Q_u' \xrightarrow{a} Q_v$ or $Q_u' \xrightarrow{a} Q_v'$, where $Q \xrightarrow{a} R$ now means that for every $(s', \delta'; w') \in R$ there exists $(s, \delta; w) \in Q$ such that $(s, \delta) \xrightarrow{a|b} (s', \delta')$ is a transition in \mathcal{N}_{β} and $w \xrightarrow{b} w'$ is allowed. The allowed transitions $w \xrightarrow{b} w'$ are

$$0 \xrightarrow{1} 1$$
, $\overline{10^6} \xrightarrow{1} 1$, $10^k \xrightarrow{0} 10^{k'}$ with $k' \le k+1$, $10^k \xrightarrow{0} \overline{10^{k'}}$ with $k' \le k$, $10^6 \xrightarrow{0} 0$, $0 \xrightarrow{0} w'$ for all w' ,

and the opposites. This implies that if x labels a path leading to u in \mathcal{M}_{β} , then there exists paths with input 00x and output avoiding the set X given by Proposition 61 leading to all states in Q_u or to all states in Q'_u , and we obtain the following theorem.

Theorem 62 If β is the smallest Pisot number, then the set of β -expansions of minimal weight in $\{-1,0,1\}^*$ is recognized by the finite automaton \mathcal{M}_{β} of Figure 11 (without the dashed arrows) where all states are terminal.

6.2 Branching transformation

If β is the smallest Pisot number, then the maximal interval for the digit 1 is $[\cdot(010^6)^\omega, \cdot 1(0^510^2)^\omega]$, with $\cdot(010^6)^\omega = \frac{\beta^2}{\beta^2+1}$ and $\cdot 1(0^510^2)^\omega = \frac{\beta^2+1/\beta}{\beta^2+1}$. The corresponding branching transformation and the possible expansions are given in Figure 15.

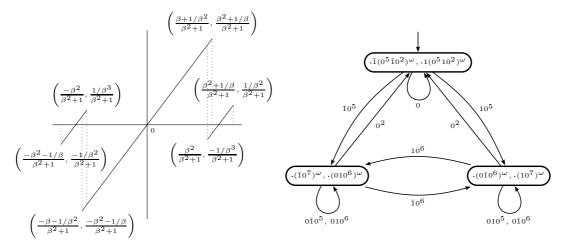


Fig. 15 Branching transformation and corresponding automaton, $\beta^3 = \beta + 1$.

6.3 Integer expansions

Let $(S_n)_{n\geq 0}$ be the linear numeration system associated with the smallest Pisot number β which is defined as follows:

$$S_0 = 1$$
, $S_1 = 2$, $S_2 = 3$, $S_3 = 4$, $S_n = S_{n-2} + S_{n-3}$ for $n \ge 4$.

Note that we do not choose the canonical numeration system associated with the smallest Pisot number, which is defined by $U_0=1, U_1=2, U_2=3, U_3=4, U_4=5, U_n=U_{n-1}+U_{n-5}$ for $n\geq 5$, since $U_n=U_{n-2}+U_{n-3}$ holds only for $n\equiv 1 \bmod 3, \ n\geq 4$.

Since $2 \sim_S 10$, $20 \sim_S 1000$, $200 \sim_S 1010$, $20^3 \sim_S 10100$, $20^4 \sim_S 100100$, $20^5 \sim_S 1010^4$, $20^n \sim_S 10^6 10^{n-5}$ for $n \geq 6$, for every $x \in \mathbb{Z}^*$ there exists $y \in \{-1,0,1\}^*$ with $x \sim_S y$, $||y|| \leq ||x||$.

Proposition 63 Every $N \in \mathbb{Z}$ has a unique representation $N = \sum_{j=1}^{n} y_j S_{n-j}$ with $y_1 \neq 0$ and $y_1 \cdots y_n \in \{-1,0,1\}^*$ avoiding the set $X = \{10^61,10^k1,10^k\overline{1},0 \leq k \leq 5, \text{ and their opposites}\}$, with the exception that $10^61,10^5\overline{1},10^5\overline{1},10^4\overline{1}$ and their opposites are possible suffixes of $y_1 \cdots y_n$.

As for the Fibonacci numeration system, this proposition is proved by considering g_n , the smallest positive integer with an expansion of length n starting with 1 avoiding these factors, and G_n , the largest integer of this kind. The representations of g_{n+1} and G_n , $n \ge 1$, depending on the congruence class of n modulo 8 are given by the following table.

$n \equiv j \mod 8$		G_n	$g_{n+1} - G_n$
1, 2, 3, 4	$1(0^6\bar{1}0)^{n/8}$	$(10^7)^{n/8}$	$1(\bar{1}0^5\bar{1}0)^{n/8} \sim_S 1\bar{1}0^{j-1} \sim_S 1$
5	$1(0^6\bar{1}0)^{(n-5)/8}0^4\bar{1}$	$(10^7)^{(n-5)/8}10^4$	$1\bar{1}000\bar{1} \sim_S 1$
6	$1(0^6\bar{1}0)^{(n-6)/8}0^5\bar{1}$	$(10^7)^{(n-6)/8}10^5$	$1\bar{1}0000\bar{1} \sim_S 1\bar{1} \sim_S 1$
7	$1(0^6\bar{1}0)^{(n-7)/8}0^6\bar{1}$	$(10^7)^{(n-7)/8}10^51$	
0	$1(0^6\bar{1}0)^{n/8}$	$(10^7)^{n/8-1}10^61$	$1\bar{1}00000\bar{1}\bar{1} \sim_S 10\bar{1}\bar{1} \sim_S 1$

For the calculation of $g_{n+1} - G_n$ we have used $S_n - S_{n-1} - S_{n-7} = S_{n-5} - S_{n-7} = S_{n-8}$ for $n \ge 9$. In the rest of the section, we prove the following theorem.

Theorem 64 The set of S-expansions of minimal weight in $\{-1,0,1\}^*$ is recognized by \mathcal{M}_S , which is the automaton in Figure 11 including the dashed arrows. The words given by Proposition 63 are S-expansions of minimal weight.

Since $S_n = S_{n-2} - S_{n-3}$ holds only for $n \ge 4$ and not for n = 3, determining when $x \sim_S y$ is more complicated than for \sim_F and \sim_T . If $z = a_1 \cdots a_n \cdot = m_3 m_2 m_1 a_n \cdot$, then we have $N = \sum_{j=1}^n a_j S_{n-j} = 4m_3 + 3m_2 + 2m_1 + a_n$. We have to distinguish between different values of a_n . If $a_n = 0$, we obtain N = 0 if and only if $m_2 = 2m_2'$, $m_1 = -2m_3 - 3m_2'$, hence

$$z = m_3(\beta^3 - 2\beta) + m_2'(2\beta^2 - 3\beta) = -m_3/\beta^4 - m_2'(1/\beta^4 + 1/\beta^7).$$

In particular, $m'_2 = 0, m_3 \in \{0, \pm 1\}$ implies N = 0 if $z \in \{0, \pm 1/\beta^4\}$.

If $a_n = 1$, we obtain N = 0 if and only if $m_2 = 2m'_2 - 1$, $m_1 = -2m_3 - 3m'_2 + 1$, hence

$$z = m_3(\beta^3 - 2\beta) + m_2'(2\beta^2 - 3\beta) - \beta^2 + \beta + 1 = -m_3/\beta^4 - m_2'(1/\beta^4 + 1/\beta^7) + 1/\beta^2.$$

In particular, $m_3 m_2' \in \{00, \bar{1}1, 01\}$ provides N = 0 if $z \in \{1/\beta^2, 1/\beta^3, 1/\beta^5\}$.

If $a_n = 2$, then $m_3 m_2 m_1 \in \{00\overline{1}, \overline{1}01\}$ provides N = 0 if $z \in \{2 - \beta, 1\}$.

We have $x_1 \cdots x_n \sim_S y_1 \cdots y_n$ if the corresponding path in $\mathcal{R}_{\beta}(1)$ ends in a state z corresponding to $a_n = x_n - y_n$ (or in -z, $a_n = y_n - x_n$).

It is easy to see that 11, $10\overline{1}$ and their opposites are strongly S-heavy. Therefore x1 is strongly S-heavy if x is the input of a path in S_{β} leading to $(-1/\beta^4, -1)$. The same is true for the states $(-1/\beta^3, -1), \ldots, (-1, -1)$ because of the following transitions leading to terminal states in S_F :

For $(-\beta, -1)$, we can assume that incoming transition is $(-1, -1) \xrightarrow{0|0} (-\beta, -1)$ since we already know that 11 is strongly S-heavy. With $(-1, -1) \xrightarrow{0|\overline{1}} \xrightarrow{1|0} (1/\beta^2, -1), (-\beta, -1) \xrightarrow{1|\overline{1}} \xrightarrow{0|0} (1/\beta^4, -1), (-\beta, -1) \xrightarrow{1|0} \xrightarrow{0|0} (0, -1)$, we obtain that these x1 are strongly S-heavy as well. If x is the

input of a path in S_{β} ending in $(-1/\beta, 0)$, then $x10^{j}$ is S-heavy for $j \geq 1$, but not necessarily for j = 0, e.g. 10001 is not S-heavy.

In other words, if the label of a state in Figure 11 contains a letter b, c, d, e, f, g, then the following letter cannot be 1. The same is true if it contains h, except if the following letter is the last letter of the word. For the last letter we have other restrictions: Because of $(-1/\beta^4, 0) \xrightarrow{1|0} (1/\beta^2, -1)$, $(-1/\beta^3, 0) \xrightarrow{1|0} (1/\beta^3, -1)$, $(-1/\beta^2, 0) \xrightarrow{1|0} (1/\beta^5, -1)$, it cannot be 1 if the state contains m, n, o. By symmetry, the following letter cannot be -1 if the label contains a corresponding capital letter. With $(\pm 1/\beta^5, -1) \xrightarrow{0|0} (\pm 1/\beta^4, -1)$, the last letter cannot be 0 if the label contains a or A.

Therefore all words which are not accepted by \mathcal{M}_S , which is the automaton in Figure 11 including the dashed arrows, are S-heavy. It remains to show that for every terminal transition $u \xrightarrow{a} v$ in \mathcal{M}_S , there exists transitions $q \xrightarrow{a|b} r$, $q' \xrightarrow{a|b'} r'$ with $q \in Q_u$, $q' \in Q'_u$, leading to terminal states, with output satisfying the conditions of Proposition 63. We provide this transition in case that it is not of the form $(0,0;w) \xrightarrow{a|a} (0,0)$.

$$10^{2}10^{4} \stackrel{\circ}{\to} 1: (-1/\beta^{5}, 0; 1) \stackrel{\circ | 0}{\longrightarrow} (-1/\beta^{4}, 0)$$

$$101 \stackrel{\circ}{\to} 1010: (-1/\beta^{4}, -1; 10^{4}) \stackrel{\circ | \bar{1}}{\to} (1/\beta^{2}, 0), \ (1/\beta^{3}, -1; \bar{1}0^{4}) \stackrel{\circ | 1}{\to} (-1/\beta^{3}, 0)$$

$$1010 \stackrel{\circ}{\to} 1010^{2}: (-1/\beta^{3}, -1; 10^{5}) \stackrel{\circ | \bar{1}}{\to} (1/\beta^{3}, 0), \ (1/\beta^{2}, -1; \bar{1}0^{5}) \stackrel{\circ | 1}{\to} (-1/\beta^{5}, 0)$$

$$1010^{2} \stackrel{\circ}{\to} 1010^{3}: (-1/\beta^{2}, -1; 10^{6}) \stackrel{\circ | \bar{1}}{\to} (1/\beta^{5}, 0), \ (-1/\beta^{2}, -1; \bar{1}0^{5}) \stackrel{\circ | \bar{1}}{\to} (1/\beta^{5}, 0)$$

$$1010^{3} \stackrel{\circ}{\to} 1010^{4}: (1/\beta^{5}, 0; \bar{1}) \stackrel{\circ | 0}{\to} (1/\beta^{4}, 0)$$

$$10^{2}10 \stackrel{\circ}{\to} 10^{2}10^{2}: (1/\beta^{4}, -1; 10^{5}) \stackrel{\circ | 1}{\to} (-1/\beta^{2}, 0), \ (1/\beta^{4}, -1; \bar{1}0^{6}) \stackrel{\circ | 1}{\to} (-1/\beta^{2}, 0)$$

$$10^{2}10^{2} \stackrel{\circ}{\to} 10^{2}10^{3}: (1/\beta^{3}, -1; 10^{6}) \stackrel{\circ | 1}{\to} (-1/\beta^{3}, 0), \ (1/\beta^{3}, -1; 0) \stackrel{\circ | 1}{\to} (-1/\beta^{3}, 0)$$

$$10^{2}10^{3} \stackrel{\circ}{\to} 10^{2}10^{4}: (1/\beta^{2}, -1; 0) \stackrel{\circ | 1}{\to} (-1/\beta^{5}, 0)$$

$$10^{3} \stackrel{1}{\to} 10^{3}1: (-1/\beta, 0; 10^{4}) \stackrel{1| \bar{1}}{\to} (1, 0)$$

$$10^{4} \stackrel{1}{\to} 10^{4}1: (-1, 0; 10^{5}) \stackrel{1| \bar{1}}{\to} (2 - \beta, 0)$$

6.4 Weight of the expansions

Let H_n be the set of words $x = x_1 \cdots x_n \in \{-1, 0, 1\}^n$ avoiding the factors given by Proposition 63. Then the sequence of random variables $(Y_j)_{1 \le j \le n}$ defined by

$$\Pr[Y_1 = y_1 \cdots y_7, \dots, Y_j = y_j \cdots y_{j+6}] = \#\{x_1 \cdots x_{n+6} \in H_n 0^6 : x_1 \cdots x_{j+6} = y_1 \cdots y_{j+6}\} / \#H_n$$

is a Markov chain with transition probabilities $\Pr[Y_{j+1} = v \mid Y_j = u] = p_{u,v} + \mathcal{O}(\beta^{-n+j}),$

$$(p_{u,v})_{u,v\in\{10^6,\dots,0^61,0^7,0^6\overline{1},\dots,\overline{1}0^6\}} = \begin{pmatrix} 0 & \cdots & 0 & \frac{2}{\beta^3} & \frac{1}{\beta^7} & 0 & \cdots & 0 \\ 1 & \cdots & \vdots & 0 & 0 & \vdots & \vdots \\ 0 & \cdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \cdots & 1 & 0 & 0 & 0 & \vdots & \vdots \\ \vdots & \cdots & 1 & 0 & 0 & 0 & \vdots & \vdots \\ \vdots & \cdots & 0 & \frac{1}{2\beta^5} & \frac{1}{\beta} & \frac{1}{2\beta^5} & 0 & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \ddots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \ddots & 0 \\ \vdots & \vdots & 0 & 0 & \vdots & \ddots & \ddots & 1 \\ 0 & \cdots & 0 & \frac{1}{\beta^7} & \frac{2}{\beta^3} & 0 & \cdots & \cdots & 0 \end{pmatrix},$$

where β is the smallest Pisot number. The left eigenvector to the eigenvalue 1 of this matrix is $\frac{1}{14+4\beta^2}(1,\ldots,1,4\beta^2,1,\ldots,1)$, and we obtain the following theorem (with $\frac{1}{7+2\beta^2}\approx 0.09515$).

Theorem 65 For positive integers M, we have, as $M \to \infty$,

$$\frac{1}{2M+1} \sum_{N=-M}^{M} ||N||_{S} = \frac{1}{7+2\beta^{2}} \frac{\log M}{\log \beta} + \mathcal{O}(1).$$

7 Concluding remarks

Another example of a number $\beta < 2$ of small degree satisfying (D'), which is not studied in this article, is the Pisot number satisfying $\beta^3 = \beta^2 + 1$, with $2 = 100.0000\overline{1}$.

A question which is not approached in this paper concerns β -expansions of minimal weight restricted to alphabets which do not contain $\{1-B,\ldots,B-1\}$, in particular if β does not satisfy (D').

Finally, we present a summary of the results obtained for integers represented in linear numeration systems $(U_n)_{n\geq 0}$ associated with β , with digits in $A=\{0,1\}$ or in $A=\{-1,0,1\}$.

U_n	A	β	average $ N _U$ for $N \in \{-M, \dots, M\}$
2^n	$\{-1,0,1\}$	2	$(\log_2 M)/2$
2^n	$\{-1,0,1\}$	2	$(\log_2 M)/3$
F_n	$\{0, 1\}$	$\frac{1+\sqrt{5}}{2}$	$(\log_{\beta} M)/(\beta^2 + 1) \approx 0.398 \log_2 M$
F_n	$\{-1,0,1\}$	$\frac{1+\sqrt{5}}{2}$	$(\log_\beta M)/5 \approx 0.288 \log_2 M$
$\overline{T_n}$	$\{-1,0,1\}$	$\beta^3 = \beta^2 + \beta + 1$	$(\log_{\beta} M)\beta^3/(\beta^5 + 1) \approx 0.321 \log_2 M$
S_n	$\{-1,0,1\}$	$\beta^3 = \beta + 1$	$(\log_{\beta} M)/(7+2\beta^2) \approx 0.235 \log_2 M$

If we want to compute a scalar multiple of a group element, e.g. a point P on an elliptic curve, we can choose a representation $N = \sum_{j=0}^n x_j U_j$ of the scalar, compute $U_j P$, $0 \le j \le n$, by using the recurrence of U and finally $NP = \sum_{j=0}^n x_j (U_j P)$. In the cases which we have considered, this amounts to $n + \|N\|_U$ additions (or subtractions). Since $n \approx \log_\beta N$ is larger than $\|N\|_U$, the smallest number of additions is usually given by a 2-expansion of minimal weight. (We have $\log_{(1+\sqrt{5})/2} N \approx 1.44 \log_2 N$, $\log_\beta N \approx 1.137 \log_2 M$ for the Tribonacci number, $\log_\beta N \approx 2.465 \log_2 N$ for the smallest Pisot number.)

If however we have to compute several multiples NP with the same P and different $N \in \{-M, \ldots, M\}$, then it suffices to compute U_jP for $0 \le j \le n \approx \log_\beta M$ once, and do $\|N\|_U$ additions for each N. Starting from 10 multiples of the same P, the Fibonacci numeration system is preferable to base 2 since $(1+10/5)\log_{(1+\sqrt{5})/2}M \approx 4.321\log_2 M < (1+10/3)\log_2 M$. Starting from 20 multiples of the same P, the S-expansions are preferable to the Fibonacci numeration system since $(1+20/(7+2\beta^2))\log_\beta M \approx 7.156\log_2 M < 7.202\log_2 M \approx (1+20/5)\log_{(1+\sqrt{5})/2}M$.

Appendix

Proposition A1 If $\beta > 1$ is a root of a polynomial $P(X) = X^{d+1} - BX^d + b_1X^{d-1} + \cdots + b_d \in \mathbb{Z}[X]$ with $B > \sum_{j=1}^{d} |b_j|$, then β is a Pisot number.

Proof First note that every polynomial P(X) of this form has a root $\beta > 1$ except for the trivial cases X - 1 and $X^2 - 2X + 1$. It is easy to see (e.g. by multiplying both sides with $\beta - 1$) that

$$1 = \cdot (B-1)(B-b_1-1)\cdots(B-b_1-\cdots-b_{d-1}-1)(B-b_1-\cdots-b_{d-1}-1)^{\omega}$$

is an expansion of 1 in base β with non-negative digits. We have

$$X^{d+1} - BX^d + b_1X^{d-1} + \dots + b_d = (X - \beta)(X^d - r_1X^{d-1} - \dots - r_d)$$

with $r_i = b_i b_{i+1} \cdots b_d$, and

$$\sum_{j=1}^{d} |r_{j}| = \sum_{j=1}^{d} \left| \sum_{i=j}^{d} \frac{b_{i}}{\beta^{i-j+1}} \right|$$

$$\leq \sum_{j=1}^{d} \sum_{i=j}^{d} \frac{|b_{i}|}{\beta^{i-j+1}} = \cdot (|b_{1}| + \dots + |b_{d}|)(|b_{2}| + \dots + |b_{d}|) \dots (|b_{d-1}| + |b_{d}|)(|b_{d}|)$$

$$\leq \cdot (B-1)(B-|b_{1}|-1) \dots (B-|b_{1}|-\dots-|b_{d-1}|-1)$$

$$\leq \cdot (B-1)(B-b_{1}-1) \dots (B-b_{1}+\dots-b_{d-1}-1)$$

$$\leq 1$$

We have equality everywhere if and only if $b_j \ge 0$ for all $j, 1 \le j \le d$, and $B = \sum_{j=1}^d b_j + 1$. In this case,

$$1 = \cdot t_1 t_2 \cdots t_d = \cdot (B-1)(B-b_1-1)\cdots(B-b_1-\cdots-b_{d-1}-1)$$

with $t_1 \ge t_2 \ge \cdots \ge t_d$, and it is well known that β is a Pisot number. If $\sum_{j=1}^d |r_j| < 1$, then

$$|x^{d}| > \sum_{i=1}^{d} |r_{i}| |x|^{d} \ge \sum_{i=1}^{d} |r_{i}| |x|^{d-j} \ge |r_{1}x^{d-1} + \dots + r_{d-1}x + r_{d}|$$

for all x with $|x| \geq 1$, hence x cannot be a root of P(X) and β is a Pisot number. \square

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